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## **Strategies for improving drug delivery: Nanocarriers and microenvironmental priming**

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## **Abstract**

**Introduction—**The ultimate goal in the field of drug delivery is to exclusively direct therapeutic agents to pathological tissues in order to increase therapeutic efficacy and eliminate side effects. This goal is challenging due to multiple transport obstacles in the body. Strategies that improve drug transport exploit differences in the characteristics of normal and pathological tissues. Within the field of oncology, these concepts have laid the groundwork for a new discipline termed transport oncophysics.

**Areas covered—**Efforts to improve drug biodistribution have mainly focused on nanocarriers that enable preferential accumulation of drugs in diseased tissues. A less common approach to enhance drug transport involves priming strategies that modulate the biological environment in ways that favor localized drug delivery. This review discusses a variety of priming and nanoparticle design strategies that have been used for drug delivery.

**Expert opinion—**Combinations of priming agents and nanocarriers are likely to yield optimal drug distribution profiles. Although priming strategies have yet to be widely implemented, they represent promising solutions for overcoming biological transport barriers. In fact, such strategies are not restricted to priming the tumor microenvironment but can also be directed toward healthy tissue in order to reduce nanoparticle uptake.

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**Declaration of interest**

The authors have no other relevant affiliations or financial involvement with any organization or entity with a financial interest in or financial conflict with the subject matter or materials discussed in the manuscript apart from those disclosed.

biodistribution; biological barrier; nanodelivery system; priming agents; transport ocophysics

## **1. Introduction**

Targeted therapy typically refers to drugs that have specific molecular targets that are differentially expressed in healthy and pathological tissues. Modern pharmaceuticals have come a long way since the hallmark discovery of agents such as methotrexate and cisplatin, which proved to be highly beneficial for cancer treatment but showed a broad array of side effects. Researchers have since adopted more focused approaches in terms of drug development, which may have begun with Ahlquist, who identified the alpha and beta subtypes of the adrenergic receptors. Sir James Black then postulated that the beta subtype found in the myocardium can specifically be targeted with an antagonist in order to reduce angina [1], leading to the eventual development of beta-blockers. This methodology encouraged the search for agonist or antagonist agents for a variety of receptors and molecular targets. Since then, numerous receptors and cellular targets have been elucidated and still remain areas of intense research. Sequencing of the human genome and our understanding of biological signaling pathways have brought innumerable new drug targets to the limelight, which has ushered in an era of research on target-specific therapy. For instance, the discovery of small interfering RNA (siRNA) has opened up a new category of therapeutic agents that can be used to suppress specific genes [2].

In addition to viewing targeted therapy from a molecular standpoint, targeting can also take place in the form of localized drug delivery. In parallel with the development of therapies that act on specific molecular targets, increased focus has been placed on achieving specificity in regards to drug distribution within the body. Specifically, with the emergence of the field of nanotechnology, multiple nanocarriers have been developed for localized drug delivery [3–6]. Multiple components and increased functionality can be implemented in nanoparticles, since they have larger dimensions (1–1000 nm) than conventional small molecule drugs (< 1 nm), [7]. Indeed, multifunctionality enables nanoparticles to possess both therapeutic activity and transport-enhancing properties [5, 7]. To date, several nanoparticle-based therapeutics have received clinical approval. For example, liposomal formulations for the treatment of fungal infections, viral infections, and cancer, are currently used in the clinical setting [8, 9]. In addition, several polymeric and metal-based nanoparticles have also entered the market [8, 9]. For a complete list of clinically approved nanoparticles, please refer to reviews by and Anselmo et al. [8] and Bobo et al. [9]. Nanodrugs typically display lower toxicity than their free drug counterparts due to improved biodistribution profiles. For instance, liposomal doxorubicin (Doxil) is associated with a lower incidence of cardiotoxicity compared to the conventional drug formulation [10]. Another example of a clinically approved nanodrug with reduced side effects is Abraxane, consisting of paclitaxel reversibly attached to albumin. Studies have shown that Abraxane displays 33% higher accumulation in tumor tissue in comparison to the cremophor formulation of paclitaxel [11]. This nanoparticle-mediated improvement in tumor-specific transport enables the use of higher doses of paclitaxel. For instance, in a phase III study of

metastatic breast cancer, albumin-bound paclitaxel could be administered at a dose that was 49% higher than that used for solvent-based paclitaxel [12]. However, it should be noted that reduced toxicity could also partially stem from not having to use harmful solubilizing agents, which are frequently administered with non-water-soluble cytotoxic agents [13–15]. Various preclinical studies have also demonstrated that nanoparticles can substantially improve the localized delivery of drugs. In certain cases, tumor accumulation of chemotherapeutic agents was shown to increase 16-fold [16] and 100-fold [17] as a result of nanoparticle delivery.

An alternative approach for improving drug delivery involves the use of priming agents that modulate the biological environment. Specifically, priming agents can be used to change the structure or function of tissues in ways that favor localized drug delivery. The majority of priming strategies for localized delivery have focused on changing various components of the tumor microenvironment, including the vasculature and extracellular matrix (ECM) [18]. In addition to using priming agents to modulate the tumor microenvironment, such agents can also be used to prime healthy tissue for decreased drug uptake. For example, pretreatment with agents that affect the function of resident macrophages [19, 20] or other components of the innate immune system [21] is likely to substantially impact the biodistribution of nanoparticles. Indeed, the function of the innate immune system is to recognize and engulf foreign bodies. This engulfment process leads to rapid accumulation of nanoparticle in organs that harbor key functional components of the innate immune system. Therefore, it is probable that a strong correlation exists between the activity of the innate immune system and the distribution of foreign entities within the body. The concept of priming the immune system for improved drug delivery will be discussed in greater detail in later sections of this review.

Strategies for achieving specificity in regards to molecular targets or drug distribution are alternative means of obtaining therapeutic efficacy with minimal side effects. However, these two strategies both display limitations in regards to achieving this ultimate goal. Although therapeutic agents that target specific pathways involved in pathogenesis typically display reduced toxicity, therapeutic efficacy cannot be guaranteed unless the drug is able to reach the intended location in sufficient quantities. For example, systemically administered siRNA molecules rarely reach their intended location. In fact, these molecules are rapidly degraded by enzymes in the circulation and tissue interstitium [4, 22]. Moreover, the bulky dimensions and negative charge of siRNAs substantially hinders cellular uptake [4, 22]. On the contrary, nanoparticles generally ensure efficient transport of cytotoxic drugs to an intended location. However, although nanoparticles dramatically improve drug distribution, the bulk of the injected dose still ends up in healthy tissues. In fact, in most cases, 5% or less of the injected nanoparticle dose accumulates in tumor tissue [23, 24], leading to adverse effects in other organs. A recent study analyzed 117 nanoparticle delivery studies and concluded that 0.7% (median) of the injected dose is delivered to tumor tissue [25]. Therefore, a combination of molecular targeted therapeutics with nanoparticle transport could yield optimal results in treating disease. Although priming agents can be used to improve the biodistribution of small molecules, the implementation of priming strategies for nanoparticle delivery is particularly useful for further improving drug transport.

## **2. Transport Oncophysics**

Localized delivery of drugs is especially crucial within the field of oncology, since most cancer deaths occur due to metastatic lesions that require treatment through systemic administration of drugs. The ultimate goal in the field of drug delivery is to exclusively direct and confine therapeutic agents to pathological tissues. If this goal can be achieved, the therapeutic window of drugs would be expanded and the toxic threshold eliminated. These concepts have inspired the emergence of a new field termed transport oncophysics [26–28]. This field views cancer treatment as a transport problem that arises due to biological barriers within the body (Figure 1). These biobarriers include, the protein corona, clearance by the mononuclear phagocyte system (MPS), fluid dynamics, the endothelial surface of blood vessels, the extracellular matrix, the cellular membrane, lysosomal degradation, and efflux pumps such as P-glycoprotein found in multi-drug resistant (MDR) tumors [26]. The multitude and complexity of these transport obstacles necessitates the development of multifunctional nanodelivery systems that can sequentially negotiate different biobarriers. A key concept within transport oncophysics is that tumors display unique physiological features that impact nanoparticle transport. Accordingly, such features can be exploited when designing nanoparticles for localized delivery. If such differences are well understood, nanoparticle properties including size, deformability, charge, and shape can be manipulated to achieve localized delivery [29]. In addition to nanoparticle design approaches, priming strategies can be developed based on differences in the properties of tumors and healthy tissue. Although treatment with priming agents prior to nanoparticle administration is a less commonly utilized approach for drug delivery, such strategies have proven useful in obtaining preferential accumulation of nanoparticles.

## **3. Strategies for addressing transport obstacles**

A wide variety of strategies have been developed to overcome transport obstacles for intravenous delivery of drugs. In this review, such strategies have been divided into categories based on the biological barriers that are addressed. Although multiple transport obstacles exist, this review focuses primarily on the MPS, the vascular endothelium, the tumor microenvironment, and membrane compartments. The majority of efforts within the field of drug delivery have been concentrated on developing nanocarriers that display transport-enhancing properties. However, priming strategies that aim to modulate the biological environment can lead to further improvements in the biodistribution of drugs.

#### **3.1. Strategies for overcoming the mononuclear phagocyte system**

Immediately after intravenous injection, nanoparticles are subject to opsonization by plasma proteins, including immunoglobulins and complement proteins [30]. These proteins form a corona around the nanoparticle surface, which promotes nanoparticle recognition and uptake by cells of the MPS [30, 31]. These cells include macrophages as well as other phagocytic cells residing in the spleen, lymph nodes, and the liver [32]. The MPS is sometimes referred to as the reticuloendothelial system (RES), however, this is an outdated term that does not accurately describe the cells that comprise this system [33]. The amount of time that nanoparticles spend in the circulation is largely determined by MPS sequestration rates [29].

Approximately 95% of the injected nanoparticle dose ends up in filtration organs such as the liver and spleen [34], since the MPS system has been fine-tuned by evolution to recognize and engulf foreign bodies.

Several studies have been undertaken to negotiate the MPS transport obstacle. Some of these studies have viewed the protein corona as a beneficial component, which composition can be modulated for targeting purposes [35]. Understanding the interactions that take place between nanoparticles and molecules in biological fluids is a prerequisite for harnessing the protein corona for improved nanoparticle biodistribution. For this purpose, various analytical methods have been developed to characterize the protein corona [36–38]. Using these methods, the absorbed protein profiles of nanoparticles with different characteristics have been compared, revealing that certain particle properties result in unique patterns of protein binding [39–41]. These and other studies have demonstrated that nanoparticle characteristics have a profound impact on the composition of the protein corona [30], which has spurred the notion that nanoparticles could be engineered to favor binding of specific biomolecules. In the context of addressing the MPS barrier, nanoparticles could be designed to bind plasma proteins that reduce interactions with macrophages. Examples of nanoparticle design strategies that have been employed to obtain binding of specific proteins include a study in which carbon nanotube-polymer constructs were designed to display binding pockets for specific proteins, including riboflavin, L-thyroxine, and oestradiol [42]. In addition to geometrical surface properties, other nanoparticle characteristics such as charge can also have a substantial impact on the protein corona [43]. For instance, positively-charged nanoparticles are generally more prone to protein adsorption and MPS sequestration, which leads to reduced circulation times [44]. Moreover, the material composition of nanoparticles has a profound impact on the protein corona. For example, it was show that different lipids attract unique plasma proteins around liposome surfaces. In particular, the presence of cholesterol promoted the binding of opsonins, while other lipids such as 1,2-dioleoyl-3 trimethylammonium propane (DOTAP) preferentially bound apolipoproteins and vitronectin [45]. An important consideration for protein corona studies is that results are usually highly dependent on the biological system that is used. Accordingly, it has been demonstrated that the protein corona of liposomes exposed to mouse plasma is markedly different in terms of surface charge and composition compared to liposomes exposed to human plasma [46]. Therefore, in order to obtain clinically relevant conclusions it is essential to consider the source of the biological fluid that is used for such studies.

An alternative approach to avoid nanoparticle uptake in the MPS is to decrease protein adsorption in the blood circulation. Reduced protein binding can be achieved by the attachment of polyethylene glycol (PEG) to nanoparticles, a process known as pegylation [47]. PEG attracts water molecules on the nanoparticle surface, which form a barrier that prevents protein absorption. This steric barrier reduces macrophage recognition, consequently prolonging the half-life of nanoparticles in circulation [48]. Recently, it was shown that although pegylation reduces overall protein binding, PEG also attracts specific plasma proteins on the nanoparticle surface. In particular, clusterin was present in high amounts on the protein corona of pegylated polystyrene nanoparticles [49]. Studies evaluating the role clusterin on nanoparticle uptake in macrophages found that preincubation with this protein substantially reduced the cellular internalization of nanoparticles

[49]. These observations suggest that in addition to decreasing overall protein binding, PEG reduces nanoparticle clearance by increasing the binding of dysopsonins. Interestingly, another study demonstrated that while pegylation reduces the total amount of bound opsonins on the surface of cationic liposomes, the relative abundance of opsonins on the protein corona increases [50]. In light of these results, it is important to note that the effect of pegylation on the protein corona composition is likely to be contingent on the type of nanoparticle studied. Despite the fact that pegylation is an effective approach to avoid recognition by the MPS, there are disadvantages of this strategy. For instance, it has been shown that antibodies against PEG can form upon repeated administration of pegylated nanoparticles, leading to rapid particle clearance, a process termed the accelerated blood clearance (ABC) phenomenon [51]. Another drawback of pegylation is that PEG chains can detach from nanoparticle surfaces upon intravenous injection [52]. To overcome this problem, super stealth liposomes have been developed, which display superior stability compared to conventional stealth liposomes [53]. These super stealth liposomes are composed of β-glutamic acid dendron anchors that attach single PEG chains to multiple phosphoethanolamines. These PEG-dendron liposomes differ from conventional stealth liposomes that have a PEG chain attached to a single phospholipid. An increase in the phospholipid/PEG attachment ratio leads to more stable interactions between PEG chains and the vesicular surface. Indeed, super stealth liposomes display prolonged circulation halflife and decreased liver and spleen accumulation [53]. Unfortunately, the PEG-induced stealth effect also pertains to target cells. This phenomenon, referred to as the PEG dilemma, results in reduced interactions between nanoparticles and target tissues, leading to impaired retention and intracellular uptake of nanoparticles [54]. To overcome this dilemma, stimuliresponsive nanodelivery systems with cleavable PEG chains have been developed [54, 55]. In addition to PEG, several other molecules have been employed to reduce the binding of opsonins to nanoparticles. These molecules include polyoxazolines, polyamino acids, N-(2 hydroxypropyl) methacrylamide, polybetaines, polyglycerols, and polysaccharides [56].

Moreover, phagocytic clearance can be hindered by the use of drug delivery strategies based on biomimicry, a term that refers to the imitation of components or processes in nature in order to solve problems. In the human body, cells, hormones, signaling molecules, metabolites, blood gases, and many other substances successfully reach their targets with near-perfect accuracy, while simultaneously overcoming multiple biobarriers. Therefore, a promising strategy for nanoparticle design involves harnessing the transport properties of naturally occurring biological components. Indeed, studies have demonstrated that liposomes containing proteins from leukocyte cell membranes can evade the MPS. These biomimetic liposomes displayed up to a 2.6-fold decrease in uptake by MPS organs and a fivefold increase in blood concentration 24 h post-injection [57]. The observed reduction in MPS uptake could be partially due to the presence of CD47, which is expressed on cells throughout the body, where it serves as a marker of 'self' for the innate immune system [36]. Namely, phagocytosis of cells is inhibited upon binding of CD47 to the signal regulatory protein alpha (SIRPα) receptor that is expressed on macrophages and dendritic cells. In addition to displaying self-tolerance properties, the liposomes preferentially adhered to inflamed vasculature, indicating that the extracted membrane proteins were able to endow the nanoparticles with leukocyte-like properties [57]. These proteolipid vesicles could be

utilized for several applications, since inflammation plays a role in multiple diseases, including cancer and autoimmune conditions. For a more detailed discussion of nanoparticle design strategies please refer to a review by Blanco et al. [29].

The aforementioned examples for avoiding phagocytic uptake primarily focus on nanoparticle design. However, the biological environment can also be manipulated in order to reduce immunological clearance of nanoparticles. In particular, pretreatment with inhibitors of the innate immune system could prove useful. Studies have shown that animals administered with clodronate liposomes, which temporarily deplete resident macrophages in the body can substantially increase the plasma and tumor concentration of nanoparticles [19, 20]. Although complete elimination of specific macrophage populations is likely to cause toxic side effects, less radical strategies such as the use of complement inhibitors [21] or agents for reducing macrophage activity could be promising. One study demonstrated that pretreatment with gadolinium chloride  $(GdCl<sub>3</sub>)$ , a known inhibitor of Kupffer cell phagocytosis [58], could reduce sequestration of quantum dots in the MPS, thereby prolonging nanoparticle circulation and increasing the fluorescent signal in the tumor [59]. Another approach to reduce macrophage engulfment is to overload the MPS system with decoy nanoparticles prior to administration of therapeutic nanoparticles. For instance, pretreatment with empty liposomes lead to a two-fold increase in the tumor accumulation of iron oxide particles [60]. In essence, the MPS represents one of the most crucial biological barriers that should be addressed in drug delivery. Therefore, nanoparticle design strategies that incorporate components that reduce macrophage interactions and priming strategies that target the innate immune system are promising for substantially improving the biodistribution of drugs.

#### **3.2. Strategies for overcoming the endothelial barrier**

Both passive and active targeting strategies have been used to overcome the endothelial barrier. While passive targeting involves optimizing the physical properties of nanoparticles to leverage differences in blood flow, physiology, and morphology between healthy and diseased tissues [26], active targeting relies on the use of targeting ligands [61]. The enhanced permeability and retention (EPR) effect is the most common example of passive targeting [62, 63] and also serves as an exemplar phenomenon in transport oncophysics. The EPR effect is based on the phenomenon that tumor blood vessels have increased permeability due to fenestrations, consequently enabling nanoparticles to preferentially accumulate at the tumor site. Fenestrations arise as a result of angiogenesis, which leads to the formation of immature vasculature, characterized by the lack of pericyte coverage. Although most tumors have vascular fenestrations that allow nanoparticles of various sizes to enter, certain cancer types display smaller fenestrations. For instance, it was demonstrated that the cutoff size for entry into the interstitium of pancreatic tumors is less than 50 nm [64]. However, it is important to remember that particle dimensions do not solely dictate the size of nanoparticles in biological fluids. In fact, plasma proteins can cause nanoparticles to increase or decrease in size. While most nanoparticles undergo a slight increase in size due to the formation of a protein corona [30], liposomes may shrink as a result of osmotic forces [65, 66].

Although all clinically approved nanoparticles and most nanoparticles in preclinical use are spherical, it has been demonstrated that a discoidal shape is the most convenient particle geometry for vascular margination and adhesion [67, 68]. In fact, disc-shaped microparticles (1–2 µm) exhibit a tumbling and rolling flow, facilitating lateral drift [69]. This nanoparticle shape was inspired from the discoid shape of platelets, which marginalize along inflamed blood vessels [70]. The design of discoidal nanoparticles for drug delivery is another example of utilizing the principles of transport oncophysics. Indeed, tumors display abnormal blood flow patterns [71] that result in lower shear rates than in normal vasculature [72, 73]. This difference in shear rate makes it possible for discoidal particles to adhere to the tumor vasculature, while they are rapidly dislodged from the endothelial walls of normal vessels. One example of a disk-shaped particle is the multistage vector (MSV), which consists of three different stages (Figure 2) [26]. The first stage vector is a porous silicon microparticle that preferentially binds to tumor vasculature [74, 75]. Upon adhering to the tumor endothelium, the MSVs form vascular depots that undergo gradual degradation, thereby releasing second stage nanoparticles. The main purpose of the silicon microparticle is to transport nanoparticles to tumor vasculature. The nanoparticles can then enter the tumor interstitium by utilizing the EPR effect. The second stage nanoparticles facilitate the cellular internalization of the third stage vector, which consists of therapeutic or diagnostic agents. In essence, the MSV utilizes both abnormal blood flow patterns and abnormal features of the blood vessel wall to overcome the endothelial barrier in tumors. The MSV has been used for the delivery of siRNA, chemotherapeutic agents, antibiotics, and contrast agents [5]. The most recent development in regards to the MSV involves the delivery of a polymeric nanoparticle that is generated *in vivo* upon degradation of the silicon material [76]. Notably, as a result of high tumor accumulation, this injectable nanoparticle generator displayed superior therapeutic efficacy in mouse models of metastatic breast cancer [76].

In addition to passive targeting, active targeting approaches can be used to overcome the endothelial barrier. For example, the MSV has been conjugated with surface moeities that are specific to  $\alpha_v \beta_3$  receptors, which are overexpressed on tumor blood vessels [75]. Moreover, an E-selectin thioaptamer on the surface of MSVs was used to achieve enhanced localization of the therapeutic agents in bone marrow vasculature. There are also other examples of active targeting with multistage platforms. For instance, one drug delivery system exploited the coagulation cascade, a naturally occurring process in the circulatory system [77]. The drug delivery process was initiated by injecting first stage components, which consisted of heated gold nanorods or tumor-targeted tissue factors. These first stage components triggered the coagulation cascade in tumor blood vessels, a process that could then be exploited for the delivery of second stage therapeutic liposomes or diagnostic iron oxide particles, which were conjugated with targeting ligands against blood clots. This is an example of a priming process, where the characteristics of tumor vasculature are modified to enable enhanced nanoparticle binding. Ultimately, this approach of amplified drug delivery resulted in a 40-fold increase in drug accumulation at the tumor site compared to a nonamplified approach. However, in the context of active targeting, it should be noted that the formation of a protein corona might hinder recognition and binding of molecular surface moieties, thus affecting the specificity of molecular targeting [78]. Furthermore, ligand binding to the nanoparticle surface also increases nanoparticle size, which could impede

diffusion or extravasation. Additionally, surface moieties could make nanoparticles more susceptible to the immune system. An alternative approach for addressing the endothelial barrier is the utilization of endogenous blood components that have increased interactions with tumor vasculature. One example is albumin [79], which binds to the glycoprotein 60 (gp60) receptor typically found on the surface of tumor-associated endothelial cells [80]. Receptor binding initiates endothelial cell transcytosis of albumin, thus facilitating accumulation of this protein in the tumor microenvironment [80]. Albumin-bound paclitaxel nanoparticles can utilize this same transport pathway for increased deposition in tumors [81].

In addition to activation of the coagulation cascade, several other studies have utilized tumor-priming strategies for improved penetration of nanoparticles across the vascular wall. For instance, studies have shown that preheating the tumor environment in can increase the permeability of tumor blood vessels [82, 83]. Other approaches have focused on using angiogenic and anti-angiogenic agents to normalize the tumor vasculature in order to allow sufficient diffusion of nanoparticles into the tumor interstitium [84, 85]. Additionally, metronomic chemotherapy has proven useful for modulating tumor vasculature and improving drug perfusion [86, 87]. Indeed, vascular normalization can restore pressure differences across the vascular wall, since interstitial fluid pressure frequently builds up in the tumor due to poor lymphatic drainage, disrupted blood flow, and fibrosis. In fact, unfavorable pressure gradients represent a major biobarrier that can impede the EPR effect and hinder macromolecules and nanoparticles from entering the tumor interstitium. It is worth noting that the timing and dosing of vascular normalization agents plays a critical role in achieving optimal improvements in nanoparticle transport [88]. Another approach to improve nanoparticle transport across the vasculature wall is to target pericytes, which serve a supporting function for endothelial cells [89]. Strategies that reduce pericyte abundance have been developed, since pericyte coverage is thought to correlate with decreased nanoparticle extravasation [90]. For instance, pH-activated mesoporous silica nanoparticles containing transforming growth factor beta (TGF-β) inhibitors were used to reduce pericyte coverage in tumor vasculature, as this signaling pathway plays an important role in the maintenance and recruitment of these cells [91]. Pretreatment with the silica nanoparticles substantially improved the intratumoral distribution of consecutively administered gemcitabine liposomes [91]. This study is an example of a nanoparticle design strategy with an incorporated priming function.

#### **3.3. Strategies for overcoming the tumor microenvironment**

Besides the MPS and the endothelium, the composition of the tumor microenvironment also plays an important role in determining nanoparticle transport. For example, the ratio of tumor-derived matrix metalloproteinase-9 (MMP-9) to tissue inhibitor of metalloproteinase 1 (TIMP-1) [92] was shown to correlate with increased levels of nanoparticle accumulation in tumor tissue. These parameters are also potential candidates for identifying cancer patients that would be particularly amenable to nanoparticle therapy. It is notable that tumors typically have a dense ECM, which can shield cancer cells from therapeutic agents. Accordingly, the distribution of nanoparticles in the intratumoral environment is generally heterogeneous [93]. In addition to hindering the effective transport of drugs throughout the

tumor microenvironment, ECM components can also compress the vasculature [94], thereby further interfering with drug delivery.

The majority of approaches designed to overcome the ECM barrier involve the use of priming agents. For instance, injection of proteases in the tumor microenvironment has been shown to improve diffusion of molecules in the tumor interstitium [95]. However, intratumoral injection of priming agents is generally an unsuitable approach for treating metastatic disease. Intravenous injection of hyaluronidase has been shown to degrade the ECM component hyaluronan, resulting in enhanced tumor accumulation of chemotherapeutic agents in a mouse model of pancreatic ductal adenocarcinoma (PDA) [96]. A characteristic feature of PDA is a dense stromal matrix, which makes this disease a suitable candidate for tumor priming strategies. A recent clinical trial demonstrated that administration of pegylated recombinant human hyaluronidase in combination with gemcitabine was well tolerated in patients with advanced pancreatic cancer [97].

Another approach for reducing the density of the ECM involves modulating the activity of cells that are responsible for producing the fibrotic matrix. Recently, it was shown that pretreatment with a systemically administered vitamin D receptor ligand induced stromal remodeling in PDA, leading to increased intratumoral delivery of drugs [98]. This receptor ligand caused pancreatic stellate cells to enter into a quiescent state, leading to reduced production of ECM components. Other studies have devised strategies for inactivating growth factors and peptide hormones that stimulate cancer-associated fibroblasts (CAFs). For instance, anti-TGF-β antibodies [99] and angiotensin inhibitors [94] have been shown to reduce stromal content and improve drug delivery to tumors. Although angiotensin primarily affects the blood pressure by causing vasoconstriction, this peptide hormone has also been shown to downregulate TGF-β signaling, leading to decreased production of ECM proteins [100]. Consequently, inhibition of angiotensin can improve drug delivery by affecting both the vasculature and CAFs [101]. However, clinical effects of angiotensin inhibitors are somewhat contradicting, as retrospective studies in metastatic renal cell carcinoma [102] and advanced non-small-cell lung cancer [103] have shown improved progression free survival (PFS) and/or overall survival, while a recent clinical trial in advanced pancreatic cancer reported adverse effects and failed to show an improvement in PFS [104]. Other strategies to overcome the ECM have focused on eliminating CAFs from the tumor microenvironment. For instance, a recent study utilized peptide-based cytotoxic nanoparticles conjugated to antibodies against human fibroblast activation protein-α (FAP-α), which is selectively expressed on CAFs [105]. Another study designed peptide nanoparticles that disassembled in the presence of FAP-α, thereby exposing CAFs to chemotherapeutic drugs [106]. In this example, drug release was triggered through the cleavage of a peptide substrate against FAPα.

An alternative approach to address the tumor microenvironment involves pretreatment with small molecule cytotoxic agents followed by injection of therapeutic nanoparticles. The idea behind this strategy is to expand the interstitial space by inducing apoptosis in a subset of cancer cells. Specifically, it was shown that tumor-priming with paclitaxel increased the dispersion of nanoparticles in the tumor microenvironment [107]. Although restructuring of the tumor microenvironment presents an effective way to modulate intratumoral drug

transport, this approach is likely to have direct effects on tumor progression, due to the proximity of cancer cells to ECM proteins and stromal cells. In this regard, it is worth emphasizing that ECM remodeling could potentially lead to increased cancer cell migration and invasion [108]. Therefore, it is also important to evaluate the impact of priming strategies on cancer cells, a task that can be problematic owing to the complex and dynamic interactions that occur in the tumor microenvironment. A summary of priming strategies in drug delivery is shown in figure 3. These strategies include previously mentioned methods for modulating the innate immune system as well as approaches for modifying the tumor microenvironment, which have been discussed in this section.

#### **3.4. Strategies for overcoming membrane compartments**

The final set of transport obstacles that will be discussed in this review is membrane compartments. The majority of drugs are required to cross the cellular membrane in order to exert therapeutic activity. One approach to obtain enhanced levels of nanoparticle internalization in cells is through ligand-receptor binding. For example, many tumor cells overexpress transferrin membrane receptors [109], which can be harnessed by coating nanoparticles with transferrin [110]. Moreover, a frequently used strategy in molecular targeting involves the use of surface moieties against prostate membrane antigen, which is highly expressed in prostate cancer and breast cancer [111]. However, in the context of active targeting, the binding-site-barrier poses a challenge. This term refers to a phenomenon in which targeting ligands bind too tightly to target receptors, thereby preventing widespread distribution of nanoparticles throughout the tissue [112]. Computational studies have proposed that the binding-site-barrier could be overcome by the use nanoparticles with targeting ligands that are unveiled after nanoparticles have diffused in the entire tissue [113]. For example, pH and enzymatic gradients in the tissue environment could serve as triggers for gradually unveiling targeting moieties, which would serve to increase cellular internalization of nanoparticles at different penetration depths. A practical example of this concept was illustrated by Harris *et al*, who devised an iron oxide-based nanoparticle with a sheddable polymeric coating [114]. Upon exposure to proteases in the tumor microenvironment, the polymeric coating was removed, revealing targeting ligands on the nanoparticle surface. In addition to the use of conjugated ligands to obtain increased intracellular uptake, nanoparticles have been designed to bind specific plasma proteins that can interact with receptors on pathological cells. For instance, one study demonstrated that DOTAP/DNA lipoplexes acquire a coating of vitronectin, which facilitates nanoparticle uptake by cancer cells that express  $\alpha_{\nu}\beta_3$  integrin receptors on the cell surface [115].

Besides the cell membrane, intracellular membrane compartments also pose a challenge for successful drug delivery. One of the main mechanisms of nanoparticle entry in tumor cells is clathrin-mediated endocytosis [116]. This uptake pathway can be detrimental as it eventually delivers nanoparticles to the acidic environment of lysosomes, which contains pH-sensitive enzymes that rapidly breakdown organic components [117, 118]. In particular, such enzymes should be avoided in the case of RNA and DNA delivery, since nucleic acids are sensitive to degradation [22]. On the contrary to clathrin-mediated pathways of uptake, caveolaedependent endocytosis typically delivers nanocarriers to the neutral pH environment of caveosomes, thereby bypassing the lysosome. A potential way to avoid lysosomal

degradation is to harness caveolae-dependent endocytosis. Since albumin and folic acid are predominantly internalized through the caveolae pathway, these biomolecules can be utilized for avoiding nanoparticle deposition in lysosomes [119, 120].

A different approach to the predicament of endocytosis and lysosomes is endosomal escape. It has been shown that cationic nanoparticles facilitate breakdown of endosomal membranes, thus releasing nanocarriers into the cytoplasm [4, 121]. One possible explanation for this phenomenon is the proton sponge effect [122]. The proton sponge effect is thought to arise due to proton sequestering by amine groups, consequently causing water to enter the endosome and eventual rupture of this compartment [123]. However, evidence suggests that proton sequestering and osmotic swelling are not sufficient to cause lysosomal escape. In fact, the maximum protein absorbing capacity of polycations would result in a 2.3% expansion of the membrane area, which would not be enough to affect the integrity of the lipid bilayer [124]. Therefore, it is possible that other polycation-mediated mechanisms facilitate endosomal escape. For instance, it has been suggested that polyethylenimine chains become embedded inside the lipid membrane, where they cause destabilization of the endosome [125]. Other strategies to promote endosomal escape include the use of various membrane-penetrating peptides. For example, the cationic peptide melittin, which forms pores in the endosomal membrane [126] has been utilized for effective DNA transfection [127].

An obstacle for retaining drugs in the intracellular environment is efflux pumps such a Pglycoprotein [128], which is overexpressed in multidrug resistant cancer cells [129]. Efflux pumps efficiently expel foreign compounds from the cell interior, causing drug doses to drop below the threshold for therapeutic efficacy. In general, efflux pumps do not represent a problem for nanoparticle delivery. On the contrary, nanocarriers are frequently able to overcome multidrug resistance, since the pathways of cellular internalization differ from those used by small molecule drugs [76, 130].

## **4. Conclusion**

In this review, we have discussed drug delivery strategies for overcoming transport obstacles. Particular emphasis has been placed on improving nanoparticle transport through addressing the MPS, the endothelium, the tumor microenvironment, and membrane compartments. Although most drug delivery approaches described to date involve the design of nanocarriers, strategies for priming the biological environment are beginning to emerge. In regards to the MPS, nanoparticle design strategies that have been utilized to enhance drug transport include pegylation and biomimetic nanoparticles. Additionally, proposed approaches for priming the biological environment involve modulating the innate immune system through e.g. agents that reduce macrophage activity. In regards to the vascular wall, nanoparticle transport can be improved by optimizing nanoparticle properties (size, shape, charge) and by utilizing targeting ligands. Furthermore, priming strategies for overcoming the endothelial barrier have focused on mild hyperthermia and vascular normalization. Moreover, the ECM is a transport obstacle that has largely been tackled with priming agents. For example, pretreatment with enzymes that degrade ECM components can improve drug and nanoparticle diffusion. Additionally, agents that remodel the interstitial space or the

stromal environment can improve drug penetration. Finally, approaches for addressing membrane compartments, such as the cell membrane and the lysosomal membrane, involve the use of targeting ligands, nanoparticle design strategies that modulate the composition of the protein corona, and agents for disrupting the lysosome.

In conclusion, although targeted therapy is generally viewed from a molecular standpoint, specific cell populations can be targeted through the manipulation of drug transport. In fact, the field of transport oncophysics is driven by the idea that tumors and healthy tissue display different characteristics that affect the movement and location of atoms, molecules, macromolecules, and cells. Since healthy and pathological tissues display differences in transport properties, it is possible to design drug delivery strategies that make use of diseasespecific transport phenomena. Specifically, such transport phenomena are usually expressed in the form of biological barriers. Both nanocarriers and priming strategies represent effective approaches for improving drug distribution. It is likely that a combination of strategies that target multiple transport obstacles will prove most beneficial for drug delivery.

## **5. Expert Opinion**

An important notion in the field of drug delivery is that control over drug distribution leads to control over therapeutic efficacy. Thus, the ultimate goal in this field is to exclusively direct and confine drugs to pathological tissues. However, even with the use of nanodelivery vehicles, the bulk of the injected dose ends up in healthy tissue. Therefore, a promising approach to achieve high therapeutic efficacy with minimal side effects is to combine drugs that have disease-specific molecular targets with nanoparticles and priming strategies that achieve improved accumulation of drugs in pathological tissues.

In the context of nanoparticle design strategies, biomimetic approaches have proven especially useful in improving drug delivery. In this review, we have discussed three different approaches to biomimicry. Firstly, the geometry of particles can be modeled after endogenous blood components that have unique transport properties in the circulation. For example, the MSV mimics the size and shape of platelets, which leads to increased adhesion of this delivery system to inflamed vasculature [5]. Secondly, interactions between biological components can be harnessed for improved drug delivery. For instance, liposomes with leukocyte proteins exploit biological surface moieties on leukocyte membranes in order to reduce MPS uptake and increase accumulation in inflamed vasculature [57]. Thirdly, innate biological cascades can be taken advantage of in order to amplify nanoparticle transport. In particular, one drug delivery strategy relied on tumor-specific activation of the coagulation cascade, which was then exploited for accumulation of targeted nanoparticles [77]. Although these approaches represent important advances in biomimetic drug delivery, there is a multitude of biological phenomena that have not yet been harnessed for drug delivery purposes. It is likely that biomimicry will become an increasingly important component in the design of drug delivery vehicles.

In addition to the design of nanocarriers, priming strategies represent a promising approach for improving drug delivery. The vast majority of priming strategies used for localized delivery have focused on modulating the tumor microenvironment. Such strategies provide

ways to increase the tumor accumulation of small molecule drugs, antibodies, and nanoparticles. However, an important consideration is whether priming strategies designed to temporarily change the biological environment of healthy tissues would be equally or more beneficial. Conceivably, such strategies would involve using priming agents to reduce nanoparticle interactions with normal cells. Less than 5% of the injected nanoparticle dose is usually found in tumors [23, 24], while up to 95% accumulates in the MPS [34]. In a hypothetical example, a priming agent has the ability to cause a 10% change in tissue accumulation. If this priming agent is directed at the tumor environment, the tumor deposition of nanoparticles would increase from 5% to 5.5%. On the contrary, if this priming agent is directed at reducing liver and spleen uptake, nanoparticle accumulation in the MPS would decrease from 95% to 85.5%. This 9.5% reduction in MPS deposition is likely to have a greater impact on nanoparticle biodistribution than the 0.5% increase in tumor accumulation. In fact, nanoparticles that are prevented from going to MPS organs are likely to end up in the tumor due to the EPR effect. In the best-case scenario, this reduction in MPS uptake would cause tumor accumulation to increase from 5% to  $14.5\%$  (5% + 9.5%). Ultimately, this argument comes down to the fact that the MPS usually has a larger mass of biological components that can be manipulated compared to the tumor. Therefore, the priming of healthy tissues has the potential to cause a greater overall change in nanoparticle biodistribution.

In addition, it may also be easier for priming agents to initially reach the liver and spleen as opposed to the tumor microenvironment, which usually has an inadequate and/or abnormal vascular network. Although localized injection of priming agents in tumors represents an efficient approach to change the tumor microenvironment, this method cannot be utilized for the treatment of metastatic disease. Notably, it is probable that the concurrent use of tumorpriming strategies with agents that modulate the innate immune system could result in superior drug delivery. However, it is worth emphasizing that priming agents directed at healthy tissue could pose a concern for normal physiological functions. Therefore, it is important that such strategies have temporary effects, which can easily be reversed in the absence of priming agents. Notably, since most nanodrugs are administered on a monthly basis, exposure to priming agents would follow a similar schedule, thereby enabling the body to recover between priming treatments. A temporary suppression of MPS function would most likely entail a weakened response to foreign pathogens. Moreover, prevention of nanoparticle accumulation in the MPS does not necessarily imply that nanoparticles would deposit in diseased tissues. It is possible that the majority of the nanoparticles would remain in circulation until the function of the MPS is restored. Alternatively, healthy organs that would normally be spared from exposure to large numbers of nanoparticles may be subjected to toxic doses. In conclusion, it will be important for future studies to determine the biodistribution of nanoparticles under conditions in which the MPS is compromised. Furthermore, it would be interesting to assess the specific contribution of macrophages to the deposition of nanoparticles in the liver and spleen, since other factors such as the presence of specialized endothelial cells and the organization of the vasculature network in these organs may play a key role in nanoparticle sequestration.

Ultimately, it is only recently that we are beginning to understand and utilize transport phenomena for treatment of disease. Since the study of molecular and macromolecular

transport in the body extends far beyond the field of biology, the design of nanocarriers and priming strategies is likely to greatly benefit from interdisciplinary approaches. Specifically, the fields of physics and mathematics can be applied for tracking and optimizing the movement of drugs in the body. Taken together, the scientific community should embrace and implement the mantra of "location, location, location" for treatment of disease.

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#### **Article highlights box**

- **•** Localized drug delivery is an active area of research that aims to increase therapeutic efficacy and reduce side effects.
- Lesion-specific drug delivery is hampered by biological transport barriers.
- **•** Solutions to improve drug delivery take advantage of differences between normal and pathological tissues.
- **•** Localized drug delivery has been attempted with nanocarriers designed to overcome biological barriers.
- **•** A less common strategy to improve drug delivery involves the use of priming agents that modulate the host environment in ways that favor lesion-specific drug accumulation.

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#### **Figure 1.**

Biological barriers in drug delivery. The protein corona (1), the mononuclear phagocyte system (MPS) (2), fluid dynamics (3), the endothelial surface of blood vessels (4), the extracellular matrix (5), the cellular membrane (6), lysosomal degradation (7), and efflux pumps (8).

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#### **Figure 2.**

The multistage vector (MSV) platform. A) The MSV is composed of three different components. The first stage vector is a biodegradable porous silicon microparticle loaded with nanoparticles (second stage vectors). The nanoparticles, in turn, can be loaded with therapeutic or imaging agents. B) Each component of the MSV is designed to overcome a specific set of transport obstacles. The first stage vector preferentially adheres to tumor vasculature, forming vascular depots. As these depots gradually degrade, nanoparticles are released that can enter the tumor intersititum through endothelial fenestrations. The nanoparticles then facilitate cellular internalization of the third stage vectors.

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#### **Figure 3.**

Priming strategies for drug delivery. A) Suppression of resident macrophages in the liver and spleen reduces nanoparticle uptake in these organs. B) Inhibition of the complement system may reduce nanoparticle recognition and clearance by the mononuclear phagocyte system (MPS). C) Vascular normalization agents improve nanoparticle extravasation. D) Mild hypothermia increases vascular permeability. E) Suppression of fibroblasts reduces the production of extracellular matrix (ECM) components. F) Tumor cell apoptosis expands the interstitial space, leading to improved intratumoral distribution of nanoparticles. G) Degradation of ECM components improves the diffusion of nanoparticles in the interstitium.