



# Cross-generational effects of alcohol dependence in humans on *HRAS* and *TP53* methylation in offspring

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**Aim:** We hypothesized that cross-generational effects of alcohol exposure could alter DNA methylation and expression of the *HRAS* oncogene and *TP53* tumor suppressor gene that drive cancer development.

**Methods:** DNA methylation of the *HRAS* and *TP53* genes was tested in samples from young participants (Mean age of 13.4 years). **Results:** Controlling for both personal use and maternal use of substances during pregnancy, familial alcohol dependence was associated with hypomethylation of CpG sites in the *HRAS* promoter region and hypermethylation of the *TP53* gene. **Conclusion:** The results suggest that ancestral exposure to alcohol can have enduring effects that impact epigenetic processes such as DNA methylation that controls expression of genes that drive cancer development such as *HRAS* and *TP53*.

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Alcohol use is responsible for about 4% of all deaths worldwide [1]. A portion of this increased incidence of all-cause mortality is due to head and neck cancers, particularly of the pharynx, larynx, oral cavity and esophagus as well as the liver [2]. Alcohol abuse and dependence are frequently seen in individuals diagnosed with Head and Neck Squamous Cell Carcinoma [3]. Moreover, prospective epidemiological data show that alcohol use has a significant dose–response relationship with increased hazard ratios for developing cancer starting at three drinks per day [4]. Consumption of alcohol appears to have a direct effect on gastrointestinal tissue promoting the development of malignancy. The incidence of the head and neck cancers is in direct proportion to the dilution of alcohol in the gut so that mouth cancers are most common followed by esophageal cancers with the least incidence occurring in stomach and intestinal cancers [5].

Integrated approaches to understanding cancer risk appear to require analysis of genetic and epigenetic factors along with gene expression profiles to address the complexity of cancer etiology [6]. In addition to the direct effect of alcohol on tissues exposed to alcohol, the potential exists for alcohol to change the methylation of oncogenes and tumor suppressor genes involved in tumor development. Defining the factors that contribute to this increased risk for these cancers is critical to the ultimate goal of reducing cancer development.

A diverse set of environmental conditions affect DNA methylation [7–9] with the potential for altering gene expression [10]. Alcohol use and smoking can produce altered methylation in humans [11,12]. Cross generational effects have been reported with famine experienced by parents affecting medical conditions and longevity in offspring [13,14]. Although not previously reported in humans, animal studies have shown that ethanol administration can influence DNA methylation through the germline in offspring exposed either through fetal exposure [15] or as a result of paternal exposure [16,17]; changes with the potential to alter every somatic cell in the body across generations. Determining if alcohol consumption in the preconception period in parents influences genes involved in cancer risk in offspring is critical to improvement in prevention initiatives.

Table 1. First- and second-generation participant characteristics and recruitment source (n = 62).

Characteristic	Alcohol dependence yes (n = 33)	Alcohol dependence no (n = 29)	Total
Age at DNA collection			
– Mean	47.25	46.68	46.95
– SD	11.29	13.49	12.41
Gender (n)			
– Male	33	18	51
– Female	0	11	11
Socioeconomic status (SES) <sup>†</sup>			
– Mean	38.59	46.85	42.37
– SD	12.48	11.93	12.82
Recruited risk status (n)			
– High risk	33	10	43
– Low risk	0	19	19

<sup>†</sup>SES information was available for 59 participants.

DNA methylation of several genes involved in cell cycle control has been associated with the risk for developing head and neck squamous cell cancer [18], in breast cancer where changes in peripheral blood have been reported years before breast cancer is diagnosed [19], in urocytic tumorigenesis [20], in non-polyposis colorectal cancer [21] and in germline epimutation of *MLH1* in individuals with multiple cancers [22].

In addition to personal exposure to alcohol, parental or even grandparental behaviors may influence the methylation of genes which can influence the expression of genes involved in tumor promotion (oncogenes) or suppression. Establishing a connection between DNA methylation and its potential for development of a malignancy would ideally require an in depth history of alcohol and tobacco exposure in those in whom methylation effects are studied, and knowledge of alcohol and tobacco use in their first and second degree relatives in order to examine potential germ line effects. Obtaining histories for their mothers' use of alcohol and tobacco during pregnancy is also necessary to rule out direct effects on the fetus and to establish that cross-generation methylation effects occur.

Two of the most important genes in the development of cancer are the Ras family of oncogenes and the *TP53* tumor suppressor gene. *HRAS* is one of the oncogenes belonging to the Ras family that is involved in cell proliferation and survival which can lead to neoplastic formation. Abnormal function of *HRAS* has been shown to cause tumor growth [23]. Moreover, mutated *HRAS* genes are found in a wide variety of cancers [24]. Because *HRAS* has been an important marker for diagnosis and prognosis in human cancers, it was chosen as a prototypic oncogene for study of the potential relationship between alcohol dependence diagnoses in parents and grandparents and methylation effects in offspring. *TP53* is a transcription factor that responds to stress signals leading to multiple cellular responses including cell cycle arrest, apoptosis and DNA repair [25]. Through signal transduction the *HRAS* protein relays signals to the nucleus of the cell providing instruction to divide giving the protein the potential to turn normal cells into cancerous ones. Because alteration in the CpG methylation of the oncogene promoter region can induce cancers, the factors promoting hypomethylation of this oncogene is of considerable importance. Additionally, DNA hypermethylation at CpG islands in or near gene promoter regions of the *TP53* tumor suppressor gene is associated with reduced expression and gene silencing [25].

## Materials & methods

### Participants

Participants were from pedigrees acquired through ongoing family studies in which two types of families were recruited, those with a high density of alcohol dependence cases (High-Risk) and those with minimal alcohol dependence within the extended pedigree, as previously described [26]. Third generation members of these families were seen multiple times as part of a longitudinal follow-up that extended from childhood to young-adulthood. Extensive alcohol and other drug use histories were obtained at approximately yearly intervals in childhood/adolescence enabling the determination of lifetime exposure up to the time of the DNA collection for the third generation participants. A total of 503 participants were included; 62 first and second generation individuals (Table 1) and 441 third generation offspring (Tables 1–6) for whom DNA samples had been banked at the time of their first clinical

Table 2. Alcohol dependence and smoking status of second-generation parents of third generation.

	Mothers (n = 441)			Fathers (n = 441)		
	Yes	No	Total	Yes	No	Total
Alcohol dependence <sup>†</sup>	113	260	373	151	210	361
Smoker <sup>‡</sup>	141	221	362	116	144	260

<sup>†</sup>The AD status of 68 mothers and 80 fathers is unknown.  
<sup>‡</sup>The smoking status of 79 mothers and 181 fathers is unknown.  
AD: Alcohol dependence.

Table 3. Number of relatives with alcohol dependence across generations.

	None	One	2 or >	Range	Mean (± SD)	Total
1st degree relatives with AD <sup>†</sup>	224	162	51	0–2	0.60 (0.69)	437
2nd degree relatives with AD <sup>†</sup>	159	66	207	0–12	1.81 (2.01)	432
Weighted relatives with AD <sup>‡</sup>				0–8	1.49 (1.45)	441

<sup>†</sup>First degree relatives are the offspring's parents. Second degree includes grandparents, aunts and uncles.  
<sup>‡</sup>The weighted index counted each 1st degree relative as 1 with each 2nd degree relative counting as 0.5.  
AD: Alcohol dependence.

Table 4. Number of parents and grandparents with alcohol dependence.

	None	Parent only	Parent and grandparent	Total
Male parent with AD <sup>†</sup>	47	32	36	115
Female parent with AD <sup>‡</sup>	124	73	29	226
Totals	171	105	65	341

<sup>†</sup>Parent and grandparent with AD indicate father and paternal grandfather with AD.  
<sup>‡</sup>Parent and grandparent with AD indicate mother and maternal grandmother with AD.  
AD: Alcohol dependence.

Table 5. Third generation participant characteristics and recruitment source (n = 441).

Age at DNA collection	
– MEAN	13.4
– SD	5.6
Gender	
– Male	221
– Female	220
Socioeconomic status <sup>†</sup>	
– Mean	41.0
– SD	11.8
Recruited risk status	
– High risk	246
– Low risk	195
Study offspring from:	
– Male adult AD proband pair	227
– Female adult AD proband pair	214

<sup>†</sup>SES for the parents at the time of first evaluation was available for n = 440.  
AD: Alcohol dependence.

visit. All adult participants signed consent forms indicating their willingness to participate in interviews concerning their lifetime history of alcohol and drug use and to provide a blood sample for DNA extraction. Children were asked to give their assent with their parents providing written consent for the child's participation.

**Table 6. Personal use of substances prior to DNA collection for third generation participants (n = 441).**

	Any use	No use	Percent without exposure	Median lifetime use for users
Alcohol <sup>†</sup>	139	288	65.3	449.1 <sup>†</sup>
Cigarettes <sup>‡</sup>	65	354	80.3	365 <sup>#</sup>
Marihuana <sup>†</sup>	60	367	83.2	106.4 <sup>††</sup>
Amphetamine <sup>§</sup>	8	422	95.7	6.5 <sup>††</sup>
Cocaine <sup>§</sup>	6	424	96.1	33.7 <sup>††</sup>
Opioids <sup>§</sup>	7	423	95.9	63.7 <sup>††</sup>

<sup>†</sup>Lifetime data not available for 14 participants who came into the study as young adults.

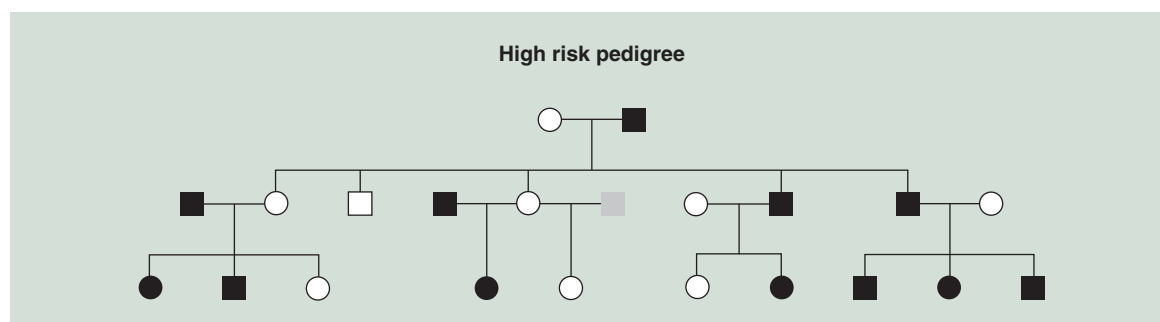
<sup>‡</sup>Lifetime data not available for 12 participants.

<sup>§</sup>Lifetime data not available for 11 participants.

<sup>#</sup>Number of drinks. A drink is one 6 oz glass of wine, a mixed drink with 1.5 ounces of hard liquor (e.g., gin, bourbon); or one 12 oz beer.

<sup>#</sup>Number of packs (20 cigarettes to pack).

<sup>††</sup>Number of separate occasions when drug was used.



**Figure 1. A typical high risk pedigree is illustrated.** Black squares or circles indicate the presence of an alcohol dependence diagnosis. Gray indicates phenotype unknown. Squares indicate males; circles females.

The focus of the present report is on the third generation high and low-risk (control) offspring first identified in childhood for whom a blood sample for DNA extraction was obtained at an average age of 13.4 years and banked. First and second generation DNA samples were obtained in adulthood and analyzed to determine the effects of long-term use of alcohol in older participants some of whom were alcohol dependent. A typical High-Risk family is illustrated in Figure 1.

#### *High-risk multiplex families*

The high-risk families had been identified through a proband pair of same sex alcohol dependent siblings while one member of the pair was in a substance abuse treatment facility in the Pittsburgh area at the time of recruitment. Proband and all willing first-degree relatives (first generation parents of the probands) and (second generation siblings of the probands), were screened for the presence or absence of alcohol dependence (AD) as previously described [26].

#### *Low-risk control families*

Low-risk community control families consisting of either two adult brothers or two adult sisters and their parents were identified through an index case who responded to a newspaper advertisement requesting participants who were interested in a study of heritable aspects of personality and lifestyle [26]. Low-risk families were included if all first- and second-degree relatives of the index case were free of alcohol and other drug dependence. The third generation offspring from these control families along with third generation offspring from multiplex alcohol dependence families were included in the current analyses.

#### **DNA isolation & genotyping**

Genomic DNA was utilized from a resource extracted from whole blood or from EBV transformation and cryopreservation.

*HRAS & TP53 methylation studies*

Using EpiTect Bisulfite kits, DNA was converted and cleaned. *HRAS* PCR amplification included 45 cycles of thermal cycling following Qiagen guidelines for predesigned primers. For *TP53* PCR amplification, 50 cycles of thermal cycling was completed at 95°C for 60 min, 57°C for 60 min, and 72°C for 60 min. The methylation assays were completed using a Biotage PSQ 96MA Pyrosequencer (Biotage AB, Uppsala, Sweden) using PyroMark CpG software (1.0.11) with the assay-specific assay set up file from Qiagen.

*SNP genotyping*

Genotyping was completed on the Biotage PSQ 96MA Pyrosequencer. Each polymorphism was analyzed by PCR amplification incorporating a biotinylated primer. Thermal cycling included 45 cycles at an annealing temperature of 60°C. The Biotage workstation was used to isolate the biotinylated single strand from the double strand PCR products. The isolated product was then sequenced using the complementary sequencing primer.

*HRAS*

Amplification was performed on the converted DNA using PyroMark PCR kits and the predesigned HRAS-05\_PM PyroMark CpG assay for the *HRAS* sequence located on Chromosome 11 (BP 534,850–534,831) in the promoter region/transcriptional start site. This assay utilizes the following forward sequence (CpG sites are highlighted in bold): 5' – TCGGCGGCGCCTAGTACGCA – 3'.

*TP53*

For the *TP53* analysis, a custom primer assay based on published sequences [27,28] was generated by Qiagen. The assay utilized the following forward sequence 5'-CGGGGACACTTTGCGTTTCGGGCTGGGAGCGTGCTTTCCA-3'. The biotinylated PCR product was captured on Streptavidin-coated Sepharose beads and the bound single-strand product prepared on a Qiagen workstation. The prepared biotinylated PCR product was released to plates containing the predesigned sequenced primer provided with the Qiagen Pyromark CpG assay. The software provides three suggested levels of confidence in the resulting percent methylation using a color coded system to allow for rejection of samples not passing quality control. All samples tested met the top level of control. In addition, pyrograms of the data were visually inspected to insure that data was of high quality.

*RNA isolation & reverse transcriptase-quantitative polymerase chain reaction (RT-qPCR)*

Random selection from among lymphocyte samples with methylation data was used to determine the levels of *HRAS* expression. Extraction was completed using 1 ml Trizol (Ambion, NY, USA) added to lymphocyte pellets and cells which were lysed with a dounce homogenizer prior to phenol-chloroform separation. Samples were further processed using RNA Clean and Concentrator with DNase I treatment (Zymo Research, CA, USA) and elution into 14 µl nuclease free water. Reverse transcription of RNA was performed with the iScript cDNA Synthesis Kit (BioRad Laboratories, CA, USA) according to manufacturer's protocol. The cDNA product was diluted 1:10 before qPCR using BioRad SYBR Green Fluorescent Master Mix and a BioRad iCycler. Oligo sequences were: *HRAS* Forward (F): 5'-TTTGAGGACATCCACCAGTACA-3' and reverse (R): 5'-GCCGAGATTCCACAGTGC,  $\beta$ -actin (F): 5'-GAGCACAGAGCCTCGCCTTT-3' and (R): 5'-TCATCATCCATGGTGAGCTGG-3', and *GAPDH* (F): 5'-AGGGCTGCTTTTAACTCTGGT-3' and (R): 5'-ACATGTAAACCATGTAGTTGAGGT. Threshold cycle values for *HRAS* were normalized within sample to the average of housekeeping genes  $\beta$ -actin and *GAPDH* (*HRAS* cycle value – housekeeping gene average cycle value).

Leukocyte *HRAS* expression was obtained along with two housekeeping genes, Actin and *GAPDH*. *HRAS* expression was determined by averaging the count values of the two housekeeping genes, subtracting this value from the counts obtained from the *HRAS* gene so that lower count values signify increased expression of the *HRAS* gene.

**Quality control**

Quality control of the methylation assays included ongoing monitoring provided by Qiagen software. The software provides sequence data for the assay of interest along with a pyrogram showing the peak height of each nucleotide. Signals are flagged by the software as problematic if the signal to noise ratio is too low, dispensation errors occur, or inadequate DNA is present for analysis. Data analysis was performed for only those signals meeting our 'pass' criterion determined by the PyroMark CpG software (1.0.11) algorithm and by visual inspection of the pyrograms.

In addition, a reliability check for the *HRAS* primers was performed using samples in duplicate run on the same plate. This analysis of 87 pairs of samples showed a Pearson correlation of 0.77,  $p < 0.0001$ .

#### *SNP variation*

Two SNPs were genotyped rs11246176 and rs12628. The SNPs were selected on the basis of their proximity to the *HRAS* methylation sequence tested. The *HRAS* Qiagen primer set is located on Chromosome 11 (534,795–535,020). Within this interval are four CpG islands between 534,831 and 534,850. SNP rs12628 is located at 534,242 with rs112461 at 534,916.

#### *Statistical analyses*

The percent methylation observed for each subject was transformed using the formula:  $\log(\% \text{ methylation} + 1)$ . Mixed model analyses of variance (SPSS 20) was the primary method of analysis. Where the distribution of methylation values indicated a need to do so, STATA (version 14) tobit regression analysis with censoring was used. All analyses included covariates that could potentially affect DNA methylation including socioeconomic status, age at blood draw, prenatal exposures, and familial relatedness. Each family was assigned a family identification code to identify related individuals. If the effect of each of these variables proved to be non-significant, they were dropped from further analyses. The rationale for including SES was to control for possible effects that lower SES might have on methylation such as poorer nutrition. Familial relatedness was statistically controlled because of its expected influence on allelic variation that may be related to DNA methylation.

## Results

### Effect of alcohol dependence on *HRAS* methylation

A total of 62 individuals from generations 1 and 2 (Table 1) were tested to determine the effect of alcohol dependence diagnosis on *HRAS* methylation controlling for age at blood draw, sex, familial alcohol dependence risk, and family relatedness. The effect of having an alcohol dependence diagnosis was significant ( $F = 9.3$ ,  $df = 1, 58$ ,  $p = 0.003$ ), as was familial risk variable (high risk vs low risk) ( $F = 5.90$ ,  $df = 1, 58$ ,  $p = 0.018$ ). The effect of sex and age at DNA collection was not significant.

### Parental effects on *HRAS* methylation

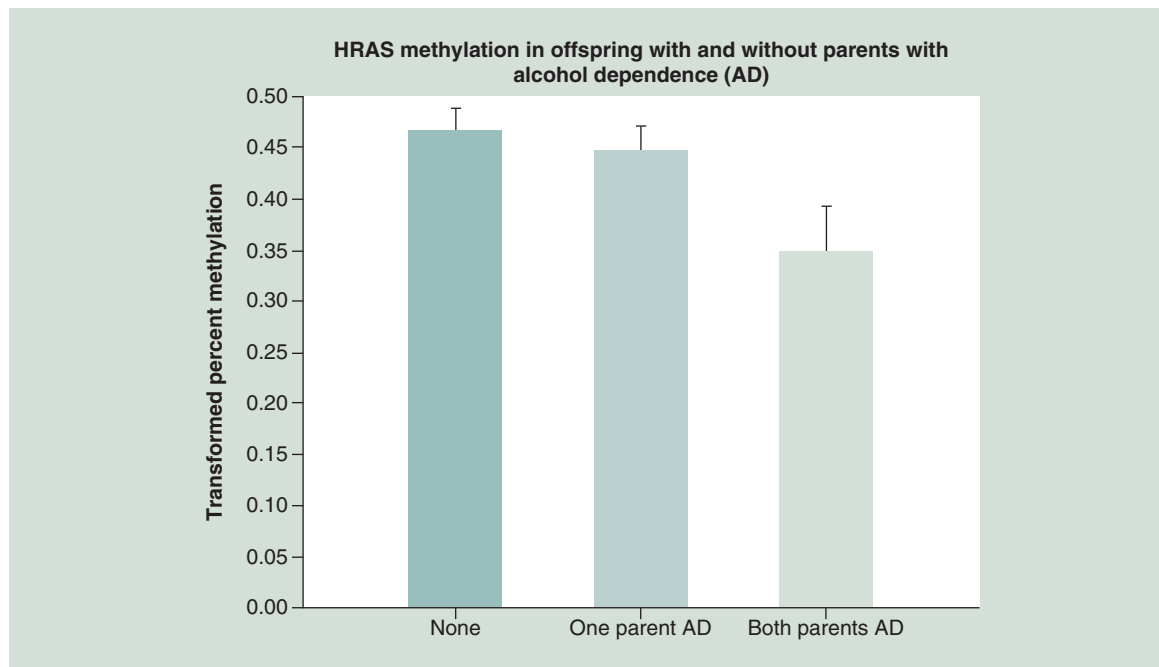
Using methylation data from the third generation subjects, a regression model was constructed that included the number of parents with alcohol dependence (none, one or both), with covariates that included age at blood draw, total days during pregnancy on which the mother used any street drugs, the amount of alcohol consumed during pregnancy and the total number of cigarettes smoked during pregnancy. The model also incorporated the cumulative amount of alcohol, cigarettes and marijuana used by the third generation offspring up to the time of the blood draw at a mean age of 13.4 years.

With 309 observations that included the covariates of interest, the statistical model provided an overall  $\chi^2$  of 25.21,  $p = 0.0027$  (Figure 2). In comparison with having no alcohol dependent parent, those with two affected parents were significantly more likely to show a reduction in mean *HRAS* methylation ( $t = 2.30$ ,  $df = 275$ ,  $p = 0.022$ ), with a significant difference also seen for those having a single parent with alcohol dependence (father or mother) in comparison to none ( $t = 1.98$ ,  $df = 386$ ,  $p = 0.048$ ).

The sex of the parent (mother or father) with alcohol dependence was tested. In a regression model that included only mothers (294 observations), covarying the mothers prenatal use of substances and the offspring's personal use up to the time of DNA collection, having a mother with AD in comparison with not having one resulted in a significant effect on methylation ( $t = 2.43$ ,  $df = 294$ ,  $p = 0.016$ ). The mothers' prenatal use of cigarettes ( $t = 2.00$ ,  $df = 294$ ,  $p = 0.046$ ) and the number of days on which drugs were used during her pregnancy were significant ( $t = 1.93$ ,  $df = 294$ ,  $p = 0.054$ ). Presence of an AD father alone did not influence the offspring's level of *HRAS* methylation.

### *HRAS* across two generations effect

A regression model was constructed that included whether the mother was alcohol dependent and whether her mother was also alcohol dependent. The model included prenatal covariates (total alcohol, cigarettes and drug days) and personal use covariates (cumulative alcohol, cigarettes and marijuana prior to DNA collection). This analysis with a total of 188 observations was highly significant ( $X^2 = 27.69$ ,  $p = 0.0003$ ). In comparison with



**Figure 2.** The *HRAS* oncogene methylation in offspring without parents with alcohol dependence was compared with those with one or both parents with alcohol dependence. Statistical analysis controlled for the age that DNA was obtained from offspring, prenatal use of substances by mothers (alcohol, drugs and cigarettes), and the personal use by the offspring up to the time that DNA was obtained. Hypomethylation of *HRAS* in offspring is seen in association with increased loading of parental AD ( $p = 0.022$ ). This hypomethylation suggests increased expression of the oncogene.

AD: Alcohol dependence.

having neither a mother nor maternal grandmother with alcohol dependence (AD), having both with AD showed a highly significant effect on the offspring's *HRAS* mean methylation ( $t = 4.15$ ,  $df = 153$ ,  $p < 0.0001$ ).

Additionally, a graded effect was seen such that having both a mother and grandmother with AD was significantly different than having one AD relative (mother or grandmother) ( $t = 3.05$ ,  $df = 102$ ,  $p = 0.003$ ) (Figure 3). A similar analysis of 87 fathers and grandfathers found that having both was significantly different from having only a father or grandfather ( $X^2 = 15.93$ ,  $p = 0.01$ ). The mean methylation values observed for those having no relative (0.462) versus those with either one or both relatives with AD were 0.489 and 0.486, respectively.

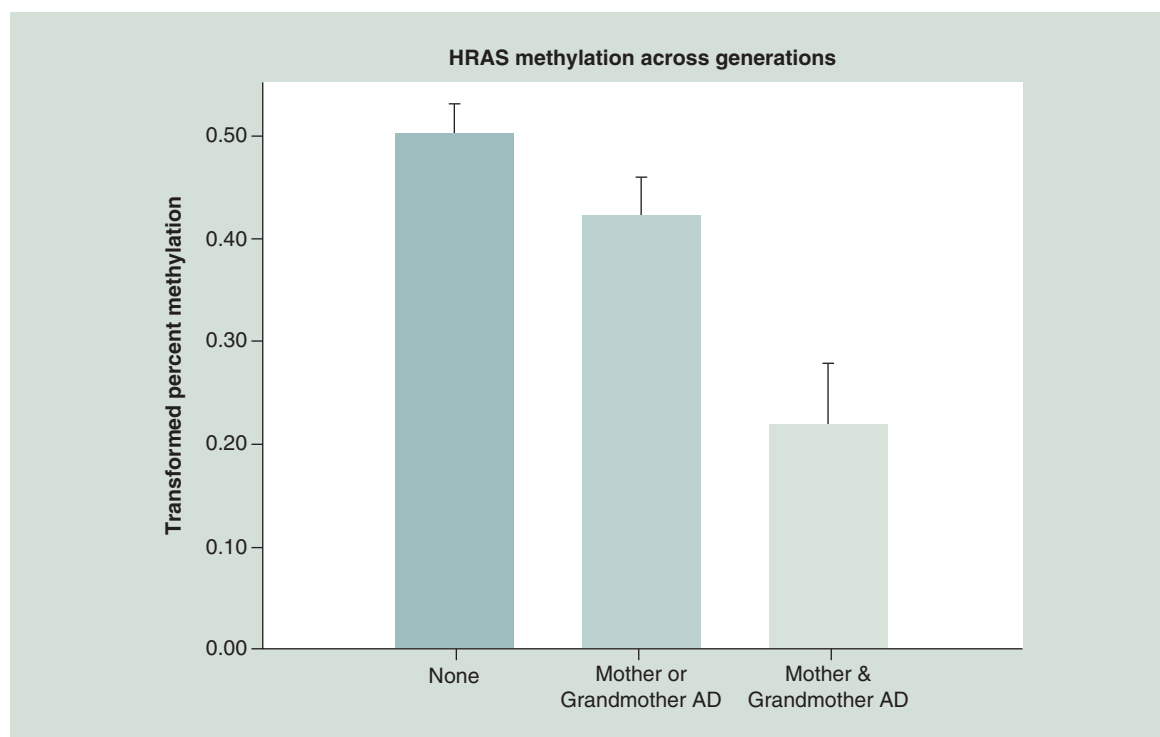
#### *HRAS* expression & methylation

A parametric analysis was completed to test the relationship between mean methylation and mRNA levels, a measure of *HRAS* expression. A trend was seen with a Pearson  $r$  of 0.380 ( $p = 0.109$ ) based on 19 cases (Figure 4). (A positive correlation is seen because *HRAS* count values are the inverse of *HRAS* expression.) A nonparametric Sign test relating to the ordinal values of methylation and expression showed an exact significance of 0.0001.

#### Parental effects on *TP53* methylation

A regression model was tested that contrasted the number of parents with alcohol dependence (none, one or both), which included all relevant covariates (age at DNA collection, mothers' use of street drugs, alcohol and cigarettes during pregnancy). The model also incorporated the cumulative amount of alcohol, cigarettes and marijuana used by the offspring up to the time of the DNA collection. The percent methylation observed for each subject was transformed using  $\log(\% \text{ methylation} + 1)$ . Examination of the distribution of the transformed scores indicated that left censoring of the data for statistical analysis was not required.

Analysis of the full model indicated that all of the tested covariates did not significantly influence *TP53* methylation. Based on 416 observations, the overall effect of having 0, 1 or 2 parents was significant ( $F = 6.95$ ,  $df = 1, 414$ ,  $p = 0.0087$ ). Additionally, comparison of having one parent with AD versus having no parent with AD was significant ( $t = 3.15$ ,  $df = 369$ ,  $p = 0.002$ ). Based on this result, further analysis was done to determine



**Figure 3.** *HRAS* methylation in offspring was compared with those without a mother or grandmother with alcohol dependence, those with either a mother or grandmother and those having both. Statistical analysis controlled for age at DNA collection, the mothers' prenatal use of substances and the offspring's personal use up to the time of DNA collection. Hypomethylation of *HRAS* CpG sites is seen in association with increased familial AD across generations ( $p = 0.003$ ). AD: Alcohol dependence.

if both the father and the mother's AD diagnosis was significantly associated with hypermethylation of the CpG sites tested within the *TP53* gene.

#### Mother versus father

A model was tested in which AD mothers versus mothers without AD were contrasted. A significant effect of the mothers' AD was seen ( $F = 6.85$ ,  $df = 1, 352$ ,  $p = 0.0092$ ). A significant effect was also found in a model that tested the effect of having an AD father versus the absence of an AD father ( $F = 6.62$ ,  $df = 1, 342$ ,  $p = 0.0105$ ).

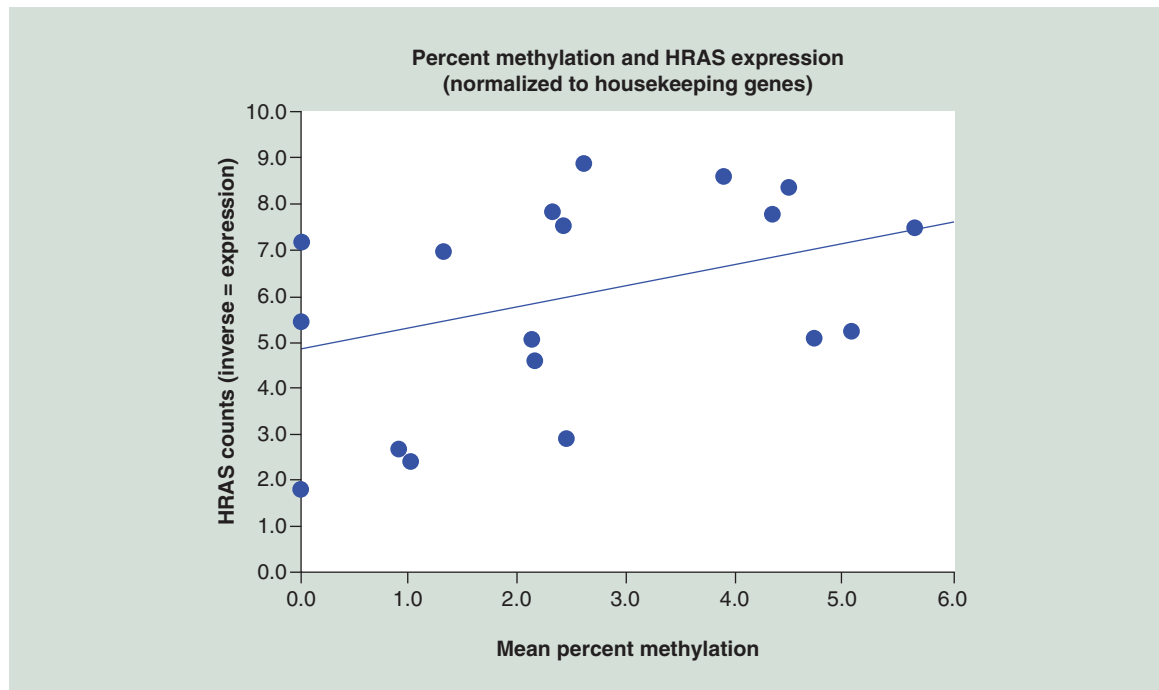
#### *TP53* cross-generational effects

The effect of having a mother, grandmother or both on *TP53* methylation of the third generation was tested using a regression model that included the same prenatal and personal use covariates used in the analysis completed to determine the effect of having 0, 1 or 2 parents with alcohol dependence. This multigenerational model with 213 observations was highly significant ( $F = 4.80$ ,  $df = 2, 210$ ,  $p = 0.0091$ ). In comparison with having either a mother or maternal grandmother with AD, versus none we find a highly significant effect on the offspring's *TP53* mean methylation of the CpG sites tested ( $t = 2.65$ ,  $df = 197$ ,  $p = 0.009$ ). A similar model with 110 observations tested whether *TP53* methylation of third generation offspring differed between those having either a father or grandfather with AD, having both with AD, or neither with AD. This analysis also revealed a highly significant difference ( $F = 5.23$ ,  $df = 2, 107$ ,  $p = 0.0068$ ) (Figure 5).

#### Allele specific methylation (ASM) & *HRAS* methylation

Allele specific methylation (ASM) is present in varying degrees throughout the genome with complete association between methylation and genotype in some cases or intermediate with either allele associated with the methylation mark in others [29]. In order to test for ASM in this dataset, a mixed model analysis was first performed for all 509 subjects from the three generations to test for the association between rs12628 genotype and percent methylation,





**Figure 4.** The scatterplot illustrates the relationship between *HRAS* methylation and *HRAS* expression using RNA isolation and reverse transcriptase-quantitative polymerase chain reaction (RT-qPCR). The parametric relationship showed an  $r$  of 0.38 with a marginally significant value, with the ordinal relationship showing good significance ( $p = 0.001$ ).

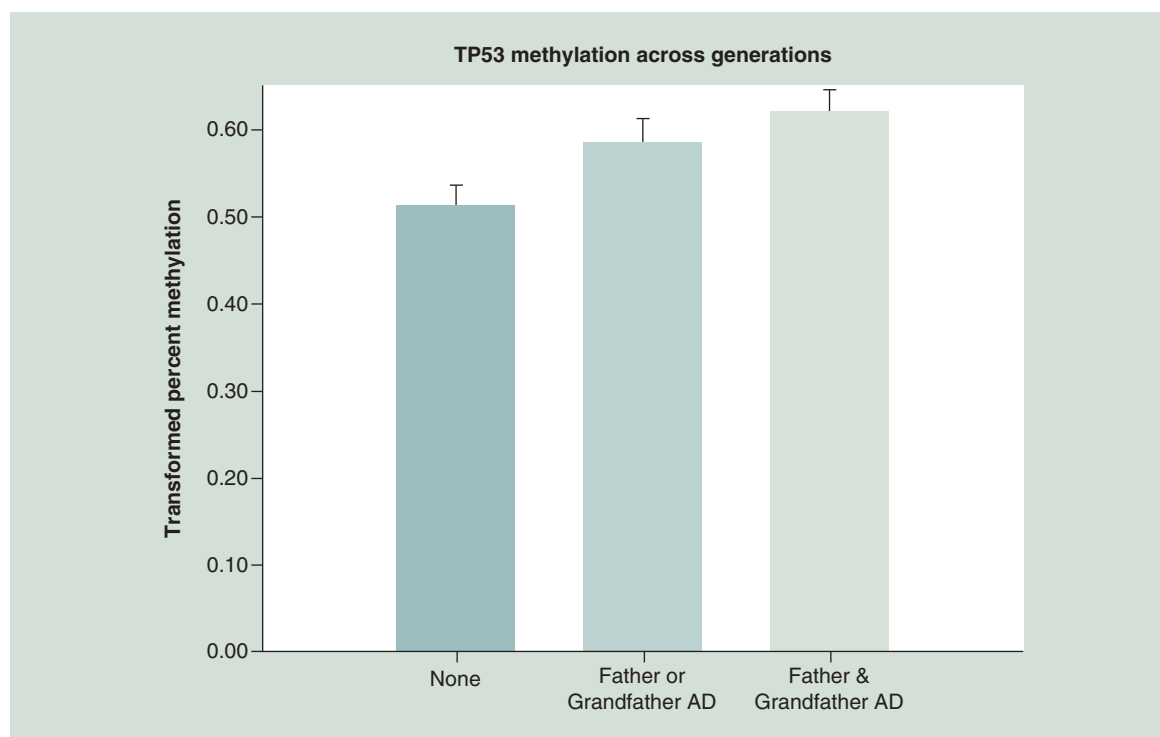
covarying personal diagnosis of alcohol dependence, the age of the participant when DNA was obtained, and a family identification variable to correct for within-family relatedness. The same analysis was done using rs11246176. The results showed a significant association for rs12628 with *HRAS* methylation ( $F = 3.88$ ,  $df = 253$ ,  $p = 0.027$ ). Mean methylation effects were 0.431 for the 11 genotype, 0.600 for the heterozygote 12 group, and 0.438 for those with 22. Results for rs11246176 were not significant. Importantly, analysis of parental AD effects on offspring methylation remained significant when rs12628 was entered into a statistical model that included all relevant covariates ( $t = 2.17$ ,  $df = 306$ ,  $p = 0.30$ ). Similarly, the methylation effect seen in offspring in which those without AD mothers were compared with those with either an AD mother or grandmother versus those with both remained significant when rs12628 was included as a covariate ( $t = 4.26$ ,  $df = 185$ ,  $p < 0.001$ ).

## Discussion

Tumorigenesis involves accumulation of mutations in both proto-oncogenes and in tumor-suppressor genes. Human cancers are most frequently impacted by the proto-oncogene Ras [30,31] and the *TP53* tumor-suppressor gene (*TP53*). DNA methylation in the promoter region of the *HRAS* gene provides one mechanism for gene expression. In general, lower methylation translates into increased gene expression and greater risk for tumor development. Similarly, increased methylation of the *TP53* tumor suppressor gene is associated with reduced expression and greater risk of tumor development.

Mutated *HRAS* genes are seen in a wide variety of cancers [24,32] and are a factor in the expression of cell cycle regulatory proteins that affect disease prognosis in oral cancers [33]. Methylation levels of the *HRAS* gene have been shown to have clinical relevance in urocytic cancers with lesser methylation associated with presence of tumor in many of the CpG sites tested [20]. The *TP53* tumor suppressor protein functions as a transcription factor coordinating key cellular responses to stress signals resulting in DNA damage and hyperproliferation through cell cycle arrest, apoptosis and senescence. *TP53* has demonstrated clinical relevance to development of breast tumors [34,35].

In the present study, hypomethylation was seen for CpG sites within the *HRAS* oncogene in association with increasing numbers of affected parents. The cross-generational parental effect was cumulative; having two parents



**Figure 5. TP53 methylation across generations.** *TP53* methylation in offspring was compared with those without a father or grandfather with alcohol dependence, with those with either a father or grandfather and those having both. Statistical analysis controlled for age at DNA collection, the mothers' prenatal use of substances and the offspring's personal use up to the time of DNA collection. Hypermethylation of CpG sites is seen in association with increased familial AD across generations ( $p = 0.0068$ ). This hypermethylation suggests lesser expression of the tumor suppressor gene. AD: Alcohol dependence.

with AD resulted in greater reduction of methylation than did having one or none. Considering two generations, we find that when both the mother and the maternal grandmother were alcohol dependent greater hypomethylation was seen than with one or none. Similarly, increased hypomethylation was seen when both fathers and grandfathers were alcohol dependent in comparison with either one having AD. These effects were seen even when prenatal exposure to alcohol, cigarettes and drugs used by the mothers and personal use of substances by the third generation offspring were statistically controlled. Our results suggest that cross-generational effects can occur that may render offspring more vulnerable to developing a variety of cancers for which the *HRAS* is involved. Hypermethylation of the *TP53* CpG sites though not enhanced by having two parents versus one, importantly, exhibited cross-generational effects extending to two generations.

Parental behaviors have now been shown to affect methylation of genes with wide-spread effects on the offspring's health and well-being [7]. Evidence that alcohol and other substance use in parents can affect lifetime risk for cancer development in offspring is currently quite limited. However, the effects of parental alcohol consumption and cigarette smoking have been studied in children with pediatric cancers. In a review of 33 studies of parental behaviors among offspring diagnosed with some form of childhood cancer, ten studies found a statistically significant effect. In seven of these the association was with maternal consumption, either preconceptual or prenatal, and in three it was related to paternal use [36]. Results obtained for the effects of maternal use of alcohol during pregnancy and pediatric cancers have been mixed [37–40]. A meta-analysis of childhood acute myeloid leukemia (AML) and acute lymphocytic leukemia (ALL) reported significantly increased odds for AML (odds ratio = 1.56) but not for ALL in association with maternal use of alcohol during pregnancy [41]. Two large-scale studies [37,38] assessed the effects of maternal drinking during pregnancy on ALL risk finding that alcohol consumption greater than one drink per day was related to incidence of ALL in offspring [38], though the other study did not find a relationship [37]. An

association between mothers' use of alcohol during either the preconception or prenatal period was not seen in another study [40].

All of the studies were observational and did not include the study of epigenetic effects or gene expression. Moreover, all of the studies linking parental behaviors and offspring outcome have assessed pediatric cancers. Due to the length of follow-up needed to make the association with adult onset cancers, there are currently no studies that can shed light on this question. The first step in evaluating such a connection would be to determine if familial alcohol dependence or prenatal use of alcohol or tobacco is associated with changes in DNA methylation of oncogenes or tumor suppressor genes.

Differential methylation of the *TP53* has now become a topic of considerable interest [42]. Because *TP53* is the most frequently inactivated gene in human cancers, the potential for environmental factors to inactivate this gene is of considerable importance. Current evidence suggests that diet, alcohol consumption and cigarette smoking are associated with *TP53* mutations and in turn the development of breast tumors [34,35]. Although personal use of alcohol and cigarettes has been studied with respect to *TP53* mutations, methylation studies examining cross-generational effects have not previously been done.

The present results showing hypermethylation of the *TP53* gene have implications for risk for developing cancers due to the potential for hypermethylation to decrease expression of this gene. The *TP53* gene has been shown to influence the development of cancers through its role in tumor suppression. DNA hypermethylation of the *TP53* gene in peripheral blood is a significant independent predictor of worse survival [27]. Germline sequence mutations in tumor suppressor genes are now recognized to cause cancer predisposition syndromes including hereditary nonpolyposis colorectal cancer [43]. The influence of environmental carcinogens has been demonstrated to occur through hypermethylation of the *TP53* gene [28].

Two positive features of the present report suggest the importance of our findings. First, the present study utilized a wide variety of covariates in an attempt to control for factors that might explain differences in methylation other than the hypothesized role of parental behaviors on *HRAS* and *TP53* methylation. These included assessment of methylation in young offspring who had very limited exposure to alcohol and drugs so that the effects of these substances on methylation could be largely ruled out. Additionally, use of a family study data in which personal interviews of first and second degree relatives had been conducted to reliably determine presence or absence of alcohol dependence is an important aspect of the study.

Second, the DNA was analyzed using a bisulfite reaction that is a known dependable and sensitive method for studying CpG methylation that can detect the methylation status of a single molecule [44]. Sodium bisulfite treatment of samples allows the unmethylated cytosines to be converted to uracil, leaving the methylated cytosines intact. The percent methylation can then be assessed for the targeted CpG sites of interest.

Although there are many positive aspects of our analysis, there are limitations. These include the fact that only a single *HRAS* region was analyzed for epigenetic change. Other studies have taken a more comprehensive approach to epigenetic analysis of the *HRAS* gene with respect to specific cancers. Analysis of 28 CpG sites revealed particular sites to be statistically related to the presence of bladder cancer [20]. Two sites (3 and 28) were significant though sites 7–10 were not [20]. Sites 7 through 10 overlap the sequence tested in the present analysis. However, the present sample was not chosen based on presence of any particular cancer but rather considered to be at higher risk for cancers due to their familial background.

A second potential concern is that the percent methylation obtained was within a narrow range of values with methylation of the *HRAS* CpG sites showing a range of 0 to 20.97% (90th percentile = 4.71%). Similarly, the *TP53* methylation data showed a rather restricted range of 0 to 8.44% (90th percentile = 4.93%). As a result, the values obtained for comparisons were based on small percent methylation. The multigenerational effect of mothers and grandmothers with AD varied from 2.11 to 1.74 to 0.76%. This may be viewed as rather small differences of questionable impact. Although methylation of large change is seen in the case of imprinted genes and in malignant tissue, more subtle processes involving changes of <10% are now being recognized as typical in complex disorders [45]. Several studies now illustrate this point with environmental effects having significant health-related consequences being seen that are accompanied by methylation changes that are subtle, in the range of 1–5% [46–49]. Methylation of the *NPSRI* gene, a gene that is associated with severe adult asthma, has shown hypomethylation in the range of 1.4 to 3.29% [46]. Similarly, the Dutch famine of 1944–45 has been shown to effect DNA methylation of the *IGF2* gene six decades later at about 5% methylation change [47]. Health consequences of this famine have been documented to include impaired insulin secretion, changes in glucose tolerance, obesity

and blood pressure [50–53]. In short, small changes in percent methylation appear to be related to significant clinical effects.

Another possible limitation is the use of peripheral blood derived DNA as a source for determining DNA methylation. Most of the literature that associates methylation changes with development of specific cancers has been based on analysis of tumor samples where a clear relationship to disease can be established. Use of peripheral blood sources for determining active disease is less common. Recent studies suggest that DNA methylation of peripheral blood can be reliably associated with head and neck squamous cell carcinoma [54]. Using receiver operator curves for analysis of the data, these investigators established that after controlling for age, gender, alcohol consumption, smoking and HPV16 serostatus, cases and controls could be distinguished based on methylation of six CpG sites with 85% of samples captured in the area under the curve.

Assessment of a greater number of SNPs within the *HRAS* gene could provide additional information concerning those who might be at highest risk for developing cancers based on the observation that CpG methylation is highly correlated with DNA sequences [55]. However, we did genotype two SNPs that bracketed the methylation site studied, finding that one of these SNPs showed a significant association with *HRAS* methylation and suggesting an ASM effect. Importantly, the relationship between number of parents and number of generations with AD on methylation seen in the third generation remained significant when the rs12628 SNP was statistically controlled.

Finally, we recognize that the third generation subject sample that is currently still in their 20's and 30's has not entered the period of highest risk for developing cancers and was not assessed for the presence of cancers. Therefore, the link between methylation effects and increased cancer risk could not be demonstrated within this study. Nevertheless, the present results demonstrate that preconception parental behaviors can have significant effects on methylation of genes involved in the development of cancers with significant decreases in methylation of CpG sites within the *HRAS* oncogene along with significant increases in methylation of CpG sites within the *TP53* tumor suppressor gene being observed in offspring of parents and grandparents with alcohol dependence. Identification of cross-generational effects of alcohol dependence on methylation of these genes in offspring is particularly noteworthy.

The present results suggest that individuals with a family history of alcohol dependence may be at higher risk of developing cancers involving the *HRAS* and *TP53* genes. This is especially important in view of the tendency for individuals with a family history of alcohol dependence to develop alcohol dependence at higher rates than those without such a history [26]. With heavier alcohol use having an association with increased risk for developing head and neck cancers, the cross generational effects on *HRAS* and *TP53* methylation may put these individuals at a compounded risk due to their familial background. Future follow-up will be required to determine if these offsprings with hypomethylation of *HRAS* and or hypermethylation of *TP53* will experience a greater incidence of cancers.

The significance of the present work is also underscored by the demonstrated relationship between *TP53* mutant proteins and the Ras gene in inducing cancers, first established in early cancer research [56,57] with continuing reports in contemporary research [58]. The importance of the present findings for public health initiatives designed to provide early screening for cancers, particularly those associated with *HRAS* and *TP53*, is clear.

### Future perspective

Parental behaviors before conception appear to have cross-generational effects on the methylation of genes involved in the development of a variety of cancers. The present study found significant decreases in methylation of CpG sites within the *HRAS* oncogene along with significant increases in methylation of CpG sites within the *TP53* tumor suppressor gene in offspring of parents with alcohol dependence. Public health awareness of the influence that parental preconception behaviors have on offspring needs to be added to those targeting prenatal behaviors of the mother.

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### Ethical conduct of research

The University of Pittsburgh Institutional Review Board approved this protocol.

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### Summary points

- Having an alcohol dependence diagnosis is associated with hypomethylation of the *HRAS* gene, suggesting increased expression of this oncogene.
- Prenatal use of cigarettes and drugs by mothers was associated with hypomethylation of the *HRAS* oncogene.
- Cross-generational effects were observed; mothers who were alcohol dependent by lifetime history had offspring who showed hypomethylation of the *HRAS* oncogene controlling for prenatal exposures.
- Having a mother and maternal grandmother with a lifetime diagnosis of alcohol dependence was associated with greater *HRAS* hypomethylation than having neither or having one only.
- Having a father and paternal grandfather with a lifetime diagnosis of alcohol dependence was associated with greater *HRAS* hypomethylation than having neither or having one only.
- The observed effects on *HRAS* methylation were significantly associated with mRNA levels, a measure of *HRAS* expression.
- Having either no alcohol dependent parent, one parent or both with alcohol dependence was significantly associated with *TP53* methylation; with alcohol dependence was associated with hypermethylation of the tumor suppressor gene suggesting reduced expression of *TP53* and impaired functioning of tumor suppression.
- The number of generations with alcohol dependence by lifetime history was associated with the degree of hypermethylation of the *TP53* gene.

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