



Differences in cadmium transfer from tobacco to cigarette smoke, compared to arsenic or lead



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ABSTRACT

Arsenic, cadmium and lead levels in tobacco filler and cigarette smoke were determined in a 568-sample worldwide survey. Median tobacco levels for arsenic, cadmium and lead were 237, 769 and 397 ng/g respectively, comparable to those previously reported albeit somewhat lower for lead and cadmium. Median mainstream smoke yields for arsenic, cadmium and lead were <3.75, 18.2, and <12.8 ng/cig. under ISO, and <8.71, 75.1 and <45.7 ng/cig. under Health Canada Intense (HCl) smoking regime respectively. In the case of cigarettes with activated carbon, a selective retention of cadmium but not lead or arsenic was observed. This effect was more pronounced under ISO than under HCl smoking regimes. Cadmium selective retention by activated carbon was confirmed by testing specially designed prototype cigarettes and the causes for this selective filtration were investigated. The differences between cadmium, arsenic and lead in terms of their specification in tobacco and in cigarette smoke could be related to their distribution in the ash, butt, mainstream (in gas-phase and particulate-phase) and sidestream smoke of a smoked cigarette. The possible formation of organometallic cadmium derivatives in the smoke gas-phase is discussed, the presence of which could adequately explain the observed cadmium selective filtration.

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1. Introduction

The health effects of environmental or workplace exposure to heavy metals and arsenic have been the subject of extensive research [1,2]. Cadmium, in particular, has been linked with overall cancer mortality [3] and, more specifically, with cancers of the lung, pancreas, breast, prostate, endometrium and urinary bladder [4]. It has also been linked with non-cancer morbidity, kidneys and bones being major target organs [5–8].

Heavy metals have been reported to be associated with the toxicity of tobacco products and tobacco smoke [9,10] and a number of elements have been identified as contributors to this toxicity. Canadian regulations require that levels of cadmium, lead, arsenic, nickel, chromium, selenium and mercury be reported in tobacco, mainstream and sidestream smoke [11]. Among these elements, arsenic and cadmium appear in the abbreviated list of harmful and potentially harmful constituents whose level in tobacco should be reported according to a guidance document issued by the U.S. Food and Drug Administration (FDA) [12]. In particular, cadmium was listed by the International Agency for Research on Cancer as a Group 1 human carcinogen [4]. It was also selected as a priority toxicant by the

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World Health Organization for smoke delivery reporting [13] and recommended for regulatory policy in a subsequent report [14]. Cadmium has been included in different prioritization lists of smoke constituents based on risk assessments [15–17].

In the absence of specific occupational exposure, the main sources of cadmium uptake are food and tobacco smoke. The body burden of cadmium was assessed as being approximately two-fold higher in smokers than in non-smokers [18,7,19]. The impact of smoking on the lead body burden is observed through a sequestration in bones [20–22], but not in blood [23,24], while no effect from smoking could be observed in the case of arsenic [25], or mercury [26,27]. Surveys also showed that smoking is not an important source for nickel [28]. Finally, the smoke delivery levels of nickel, chromium and selenium are in most cases below the quantification limits of the protocols commonly used for their determination [29]. Conversely, sizeable amounts of cadmium, lead and arsenic can be found in tobacco smoke [30]. In the light of these observations, the present study focuses on cadmium (Cd), lead (Pb) and arsenic (As).

The cigarette delivery of elements to mainstream smoke can be addressed as a combination of two factors, the amount of these elements present in tobacco and their transfer rate, which is specific to element speciation and is impacted by cigarette design. The transfer of elements during smoking has been the subject of a number of studies over decades. Nevertheless, despite this wealth of information, it is difficult to obtain a clear model of elements transfer to smoke (sidestream or mainstream), or their retention (in ash or butt). Even for the specific subject of the phase-distribution for each element in the smoke aerosol, there is a lack of agreement. This point is central to a discussion on transfer since a compound must be at least partly present in the gas-phase to be selectively removed from mainstream smoke by adsorbents. The uncertainty that prevails about the elements transfer or speciation is likely due to the complexity of the quantification of elements yields at trace levels, despite dramatic improvements in instrumentation and analytical methods over the years. Sample contamination is a constant problem. The small size of the data sets taken into account in many studies is an additional cause for discrepancies among authors' assessments.

Based on data from three worldwide market surveys of commercial cigarettes performed between 2008 and 2012, which included the determination of tobacco and mainstream smoke levels of As, Cd and Pb, we investigated the transfer of each of these elements from tobacco to mainstream smoke generated under both International Organization for Standardization (ISO) and Health Canada Intense (HCI) machine-smoking regimes. Of particular interest is the fact that market surveys data can very effectively evidence selective removal of an element by activated carbon through a comparison of its filtration to that of nicotine. Results, including data from specially designed prototypes, are discussed and the conclusions strengthened by a review of the relevant literature on elements specific filtration.

2. Materials and methods

2.1. Market surveys brands sampling

In order to best observe the impact of cigarette design and tobacco blend, brands were selected to cover as many cigarette design specificities as possible, rather than sampling based on local market share. 568 samples of commercial brands from 27 different manufacturers were bought in 2008 (205 samples), 2009 (63 samples) and 2012 (300 samples) at the point of sale in 23 countries. Because in some cases a same brand could be analyzed in different market surveys, 489 brands were actually investigated by the 568 samples. With the exception of one papirosi cigarette, all were conventional cigarettes, excluding e.g., bidis and herbal products. Different blend types were included in the sampled set, with a large proportion of American and Virginia blends. The dimension of sampled cigarettes covered the whole available range, with diameters between 5.2 mm (superslim) and 8.0 mm (magnum), and rod lengths between 70 mm and 100 mm. Among the sampled brands, filter designs included single and multiple-plug configurations with up to 4 plugs. In some brands, filters contained activated carbon, present either in the tow or in a cavity between two plugs. Some non-filter brands were also sampled. The numbers of samples selected per country are presented in Table 1, including information regarding their filter design.

2.2. Prototype cigarettes investigating a high activated carbon loading

Prototype cigarettes were manufactured to study the impact of adsorbents on cadmium, arsenic and lead filtration. The control cigarette (without activated carbon) was designed to mimic a commercial king-size American blend with a 27-mm cellulose acetate plug, a ventilation set at 35% and a resistance to draw of 100 mm H₂O. The cigarette had a 7.5-mg tar delivery under ISO machine-smoking regime. The test prototype differed only from the control in the filter design. The test prototype filter was a 27-mm composite filter, consisting of a 7-mm plug of cellulose acetate at the mouth end abutted to a 20-mm Dalmatian plug into which 80 mg of activated carbon was embedded. The prototype cigarette was designed to same resistance to draw as the control. The test had a 7.2-mg tar delivery under ISO machine-smoking regime.

2.3. Smoke and tobacco analyses

The analyses of the different components in both tobacco filler and smoke were conducted under contract to Philip Morris International by Labstat International ULC (Kitchener, Ont., Canada), an ISO 17025 accredited laboratory, and were performed according to the official Health Canada methods [31]. Alkaloids in tobacco fillers were analyzed by gas chromatography according to method T-301 [32]; three replicates per sample were conducted. Cadmium, lead and arsenic were analyzed in tobacco fillers according to method T-306 [33]. Three replicates per sample were conducted. After conditioning according to ISO

Table 1

Origin and design of the market brands; number of samples analyzed.

Country	Filter						Number of samples	
	Activated carbon		No carbon		No filter			
	ISO	HCl	ISO	HCl	ISO	HCl		
Argentina				3			3	
Australia			22	32			32	
Belgium				8		1	9	
Brazil			8	12			12	
China	1	1	9	9			10	
Denmark			4	4			4	
France			16	26	2	2	28	
Germany			13	17	1	1	18	
Great Britain			17	30			30	
Greece		2		12			14	
Hungary		10		10			20	
Italy	2	3	17	34			37	
Japan	30	61	10	32		1	94	
Korea	10	22	2	8			30	
Lithuania		2		8			10	
Mexico			14	18	1	1	19	
Romania		10		21			31	
Russia	20	28	53	78	2	2	108	
Spain		1		17			18	
Switzerland		3		7			10	
Taiwan		8		7			15	
Turkey	5	6	5	7			13	
USA			3	3			3	
Total number	68	157	193	403	6	8	568	

[34], cigarettes were smoked under both ISO [35] and HCl [36] machine-smoking regimes. Tar, nicotine and CO in mainstream smoke were analyzed according to method T-115 [36]. Eight replicates per sample were performed. Cadmium, lead and arsenic were analyzed in mainstream smoke according to method T-109 [37], with a rotary smoking machine equipped with an electrostatic precipitator. Three replicates per sample were conducted in the case of the market surveys, and 4 per sample in the case of the assessment of dedicated prototypes. The mainstream smoke yields of samples bought in 2012 were from a set that had only been analyzed using the HCl machine-smoking regime. A 3R4F Kentucky reference cigarette was used as a monitor in all analyses and all determinations were within internally specified target values. Specifically, cadmium, lead and arsenic smoke deliveries for this cigarette under ISO smoking regime were 34.6 ± 3.2 , 12.3 ± 1.1 and 3.05 ± 0.35 ng/cigarette respectively, in line with a recently organized ring trial results [38].

2.4. Data treatment

In one Korean brand, nicotine was reported as below limit of quantification (LOQ) in analyses under the ISO machine-smoking regime. This sample was removed from the data set since the assessment of nicotine transfer was part of the data analysis. Only 267 data points were thus available for the smoke yields obtained under the ISO machine-smoking regime, while 567 data points were considered for the analysis of smoke yields obtained under the HCl machine-smoking regime. Data below the limits of quantification were reported as <LOQ. In the statistical

treatment of the data distribution, the number of data points below the LOQ are given. As a conservative estimate, these samples are attributed the LOQ value in the calculation of medians and quartiles.

3. Results

3.1. Elements and nicotine levels in cigarette fillers

Tobacco levels of arsenic, cadmium and lead were measured in the blend of each sample. All measured values were above LOQ. Descriptive statistics for the results are presented in Table 2, together with a range of typical mean values reported in previously published surveys. Nicotine levels were also measured since nicotine transfer was required for the assessment of the elements transfer.

3.2. Smoke analyses from market samples

Smoke yields of arsenic, cadmium, lead and nicotine were measured for each sample under HCl machine-smoking regime. In addition, the yields under ISO machine smoking regime were also obtained from a subset of the samples (267 retained for the study). Unlike the filler levels, these smoke yields were below the analytical limit of quantification for many samples, especially when the samples were smoked under ISO. The numbers of samples with levels determined below the limit of quantification are highlighted alongside the descriptive statistics in Table 3 (ISO yields) and Table 4 (HCl yields). Because samples with yields below LOQ were attributed the LOQ value in the calculation of medians and quartiles, some of the statistical

Table 2

Tobacco filler analyses, all 568 samples.

Analyte (unit)	Mean	Minimum	1st quartile	Median	3rd quartile	Maximum	Typical published mean values
Nicotine (mg/g)	16.8	6.35	15.6	16.9	18.2	25.5	–
Arsenic (ng/g)	256	60.9	198	237	282	2065	90–780 ^a
Cadmium (ng/g)	898	187	645	769	998	4672	650–3630 ^b
Lead (ng/g)	466	183	336	397	487	4634	440–12,160 ^c

Limit of quantification for the elements: As, 60 ng/g; Cd, 144 ng/g; Pb, 126 ng/g.

All levels calculated on a dry-weight basis.

^a [9,48,50,54,55,60,124,125].^b [9,46–54,56,58,59,124–126].^c [9,46–48,50,52–56,58,59,124–126].**Table 3**

Distribution of the results of mainstream smoke analyses under ISO, 267 samples.

Analyte (unit)	Number of samples below LOQ	Mean	Minimum	1st quartile	Median	3rd quartile	Maximum	Typical published mean values
Nicotine (mg/cig.)	0	0.621	0.072	0.486	0.635	0.787	1.40	–
Arsenic (ng/cig.)	228	<3.81	<3.75	<3.75	<3.75	<3.75	43.8	2.8–5.5 ^a
Cadmium (ng/cig.)	23	25.9	<1.59	8.48	18.2	27.8	299	1.6–260 ^b
Lead (ng/cig.)	147	<19.4	<12.8	<12.8	<12.8	18.2	221	2.0–980 ^c

Limit of quantification for the elements: As, 3.75 ng/cig.; Cd, 1.59 ng/cig.; Pb, 12.8 ng/cig.

^a [30,40,61,62]. In a literature survey published in 1997 [65], values up to 1400 ng/cig. were reported.^b [30,40,46,61–64,127]. Note that values up to 6670 ng/cig. were reported [65] and a mean value of 57.6 ng/cig. was reported for Canadian brands [48].^c [30,40,46,61,62,64,66]. A mean value of 16.7 ng/cig. was reported for Canadian brands [48].**Table 4**

Distribution of the results for mainstream smoke analyses under HCl, all 567 samples.

Analyte (unit)	Number of samples below LOQ	Mean	Minimum	1st quartile	Median	3rd quartile	Maximum	Typical published mean values
Nicotine (mg/cig.)	0	1.68	0.71	1.43	1.67	1.92	3.13	–
Arsenic (ng/cig.)	400	<8.71	<7.5	<7.5	<7.5	8.29	81.9	7.5–14.5 ^a
Cadmium (ng/cig.)	0	75.1	14.1	44.6	62.9	87.6	508	43.5–197.1 ^b
Lead (ng/cig.)	94	<45.7	<25.7	31.2	39.7	50.2	379	25.7–93.2 ^c

Limit of quantification for the elements: As, 7.49 ng/cig.; Cd, 3.18 ng/cig.; and Pb, 25.7 ng/cig.

^a [61,40].^b [61,40]. A mean value of 160.8 ng/cig. was reported for Canadian brands [48].^c [61,40]. A mean value of 37.2 ng/cig. was reported for Canadian brands [48].

data in **Tables 3 and 4** are reported as <LOQ, which then provides an upper limit estimate.

3.2.1. ISO mainstream smoke yields (267 samples)

Descriptive statistics for the results of all smoke analytical determinations for nicotine and all selected elements performed under the ISO machine-smoking regime are given in **Table 3**, together with a range of typical mean values reported in previous published surveys. The limits of quantification for each element and number of samples with yields below these limits are also presented.

3.2.2. HCl mainstream smoke yields (567 samples)

Descriptive statistics for the results of all smoke analytical determinations for nicotine and all selected elements performed under the HCl machine-smoking regime are given in **Table 4**, together with a range of typical mean values reported in previous published surveys. The limits of quantification for each element and number of brands with yields below these limits are also provided.

3.2.3. Smoke yields normalized to nicotine

The distribution of smoke yields for the elements after normalization with corresponding nicotine yields

was addressed, since data normalization has been recommended when dealing with data sets derived from brands with diverse design features, particularly with reference to regulation [39]. Only normalized data for cadmium are reported in **Table 5**. The large number of values below LOQ for lead and arsenic makes any estimate for the distribution of their normalized yields meaningless. For comparison, mean values for nicotine-normalized cadmium yields of samples available in the published literature are also reported in **Table 5**.

3.3. Elements transfer to mainstream smoke; results from market surveys

In general, the transfer rates of elements may be influenced by a broad range of cigarette design parameters, as recently reported [40]. In the present case, we performed an analysis of variance (ANOVA) on the transfer rates of cadmium and lead (insufficient amount of data for arsenic) under ISO and HCl smoking regimes as response variables, taking the presence of activated carbon in the filter, measured filter ventilation, filter length, and cigarette diameter as independent design features. The results for lead transfer rates show a strong residual contribution of more than 85%

Table 5

Distribution of nicotine-normalized mainstream smoke yields for cadmium in all measured samples, expressed as ng/mg nicotine, smoked under ISO and HCl machine-smoking regimes.

Smoking regime	Mean	Minimum	1st quartile	Median	3rd quartile	Maximum	Published mean values
ISO	35.0	5.38	16.3	28.1	38.7	243	57.7; 58.6 ^a
HCl	44.0	9.86	28.5	37.8	51.1	216	55.5; 71.4 ^a

Under ISO, 23 samples have cadmium yields below LOQ; in this case samples were attributed the LOQ (1.59 ng/cig.) divided by the nicotine yield. No cadmium yield was found below LOQ under HCl.

^a Mean values from Canadian and Australian brands analyzed in 2001 [128] and from Canadian brands analyzed in 2004 [48].

to the total variance under the HCl smoking regime, and significant apparent contributions to the total variance from filter ventilation (ISO smoking regime) or cigarette diameter (HCl smoking regime). In the case of cadmium transfer, under both ISO and HCl smoking regimes more than 30% of the total variance can be attributed to the presence of activated carbon in the filter, and 30–40% is a residual contribution.

If one assumes that lead and cadmium reside in the particulate phase, the contribution of the cigarette design features to the variance of yields should cancel out if we instead take the ratio of their transfer rates to nicotine transfer rates as response variables, since nicotine is entirely present in the smoke particle-phase throughout its transfer across the unburnt tobacco and the filter [41–43]. Indeed, the same ANOVA performed on metals transfer rates normalized to nicotine transfer rates showed that for lead 84% and 96% of the total variance is contained in the residuals under ISO and HCl smoking regimes respectively. For cadmium, however, there remains a large contribution from the presence of activated carbon in the filter, which accounts for about 50% of the total variance under both ISO and HCl smoking regimes. The residual contribution is at a level of 40–50%. This last result suggests that a specific filtration of cadmium by activated carbon may be taking place, which would imply that cadmium is partly present in the gas-phase.

These results can also be investigated as graphs, showing in abscissa the mainstream smoke nicotine yield divided by the nicotine level in the tobacco filler, and in ordinate the equivalent for each selected element, both in percent. The amount of burnt tobacco during smoking cancels out in such a ratio. This made it possible to study the elements transfer to mainstream smoke across the diverse set of surveyed samples. A smoke component that would be totally in the particulate-phase is expected to experience a transfer that would remain in a constant ratio to the nicotine transfer. Taking into account the experimental variability, the expected plot from market map data would then show a cloud close to a line going through the origin. Conversely, in case a retention process takes place on top of TPM filtration, the corresponding data points will show up below the other points. This approach can thus provide a sensitive indicator for the existence and extent of any selective retention that would be in addition to particle-phase removal by filtration. A semi-quantitative assessment was obtained by performing a linear regression forced through the origin.

Figs. 1–3 show the patterns obtained from the data sets for Cd, Pb and As respectively when smoke is generated

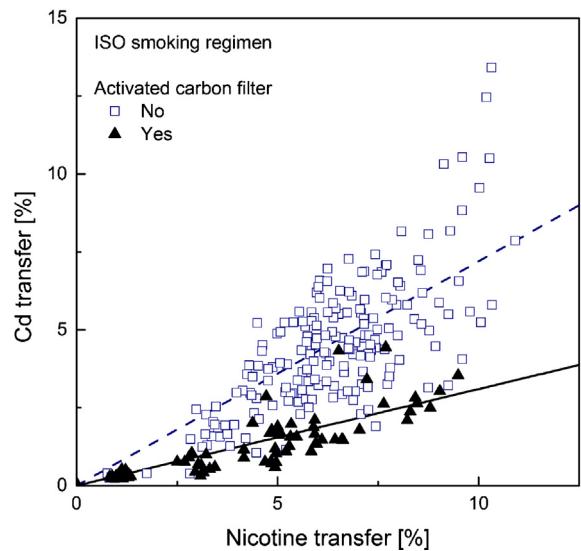


Fig. 1. Linear regression plot (forced through zero) for cadmium transfer rate to mainstream smoke versus that for nicotine, computed separately for samples with (solid regression line) and without (dotted regression line) activated carbon.

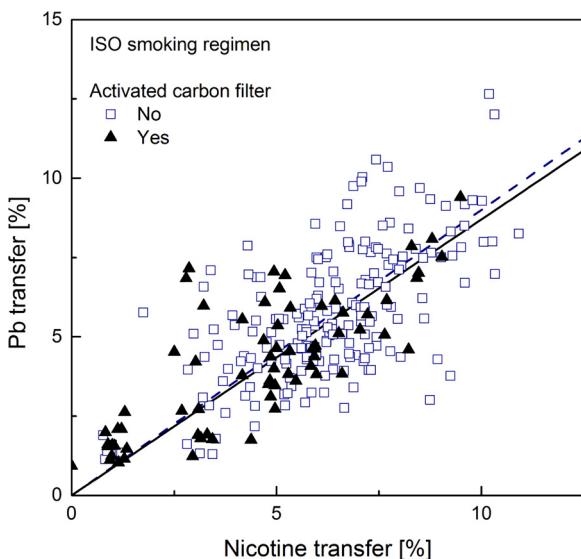


Fig. 2. Linear regression plot (forced through zero) for lead transfer rate to mainstream smoke versus that for nicotine, computed separately for samples with (solid regression line) and without (dotted regression line) activated carbon.

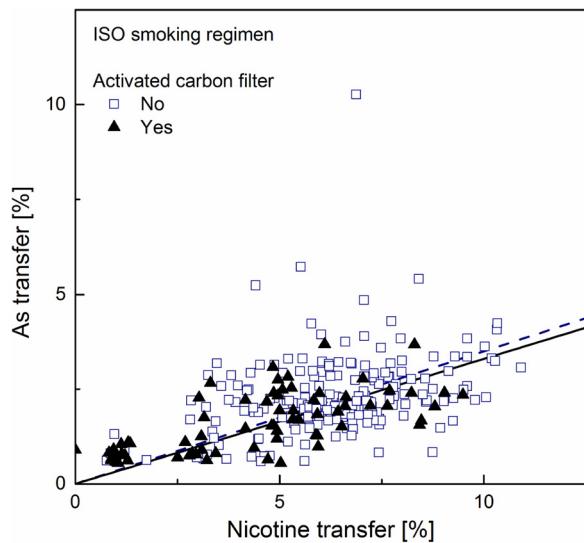


Fig. 3. Linear regression plot (forced through zero) for arsenic transfer rate to mainstream smoke versus that for nicotine, computed separately for samples with (solid regression line) and without (dotted regression line) activated carbon.

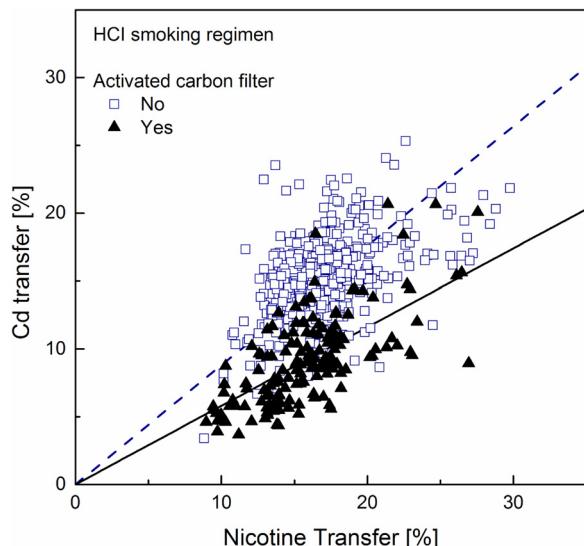


Fig. 4. Linear regression plot (forced through zero) for cadmium transfer rate to mainstream smoke versus that for nicotine, computed separately for samples with (solid regression line) and without (dotted regression line) activated carbon.

under the ISO machine-smoking regime. Figs. 4–6 show the patterns obtained from the data sets for Cd, Pb and As respectively when smoke is generated under the HCl machine-smoking regime. It should be noted that, with a nicotine transfer of about 20% and 47% under ISO and HCl machine-smoking regimes respectively, the data point corresponding to the non-filter papirossi cigarette could not be made visible in Figs. 1–7. The data were nevertheless included in all calculations. The linear regressions (forcing the intercept to zero) calculated for both activated carbon-filtered and non-carbon-filtered cigarettes and visualized

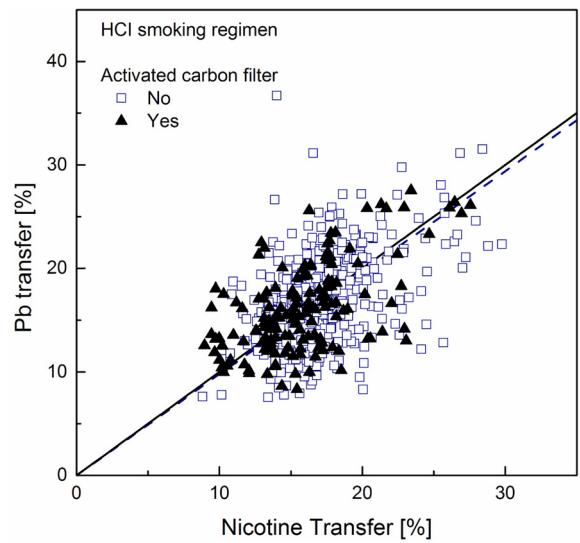


Fig. 5. Linear regression plot (forced through zero) for lead transfer rate to mainstream smoke versus that for nicotine, computed separately for samples with (solid regression line) and without (dotted regression line) activated carbon.

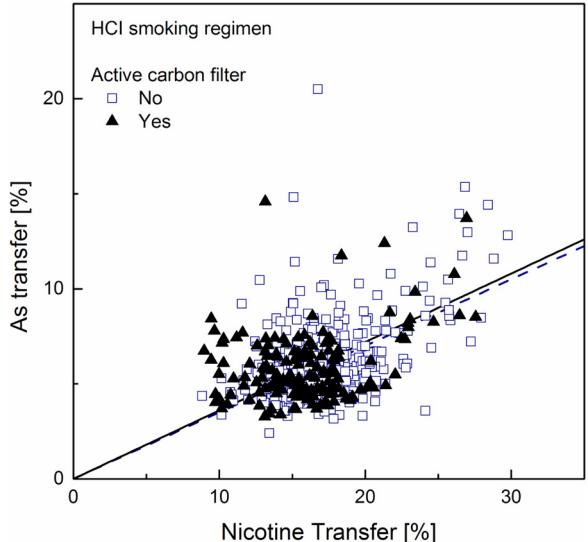


Fig. 6. Linear regression plot (forced through zero) for arsenic transfer rate to mainstream smoke versus that for nicotine, computed separately for samples with (solid regression line) and without (dotted regression line) activated carbon.

in Figs. 1–6, are presented in Table 6 together with the corresponding standard error. In this calculation, the LOQ was entered in place of the smoke element level whenever the analytical determination was below this value. Clearly this provides an upper estimate for the linear regressions that are forced through zero. In the case of arsenic this certainly brings an issue. As an alternative, one might consider for instance removing all data below LOQ from the data set, or input the LOD or a percentage of the LOQ in place of the LOQ. Any of these choices remains arbitrary and would not alter the bases of the conclusions. To gauge the uncertainty

Table 6

Slopes from plots of elements transfer against nicotine transfer \pm standard error samples with and without activated carbon smoked under 2 machine-smoking regimes.

Analyte	Data < LOQ input as LOQ:	ISO smoking regime		HCl smoking regime	
		No carbon	Activated carbon	No carbon	Activated carbon
Cadmium	Included	0.72 \pm 0.02	0.31 \pm 0.01	0.88 \pm 0.01	0.58 \pm 0.01
	Not included	0.73 \pm 0.02	0.31 \pm 0.02	0.88 \pm 0.01	0.58 \pm 0.01
Lead	Included	0.90 \pm 0.02	0.87 \pm 0.03	0.98 \pm 0.01	1.00 \pm 0.02
	Not included	0.86 \pm 0.03	0.81 \pm 0.03	Unchanged	Unchanged
Arsenic	Included	0.35 \pm 0.01	0.33 \pm 0.02	0.35 \pm 0.01	0.36 \pm 0.01
	Not included	0.29 \pm 0.01	0.23 \pm 0.01	0.54 \pm 0.03	0.54 \pm 0.03

See Figs. 1–6 for the plots.

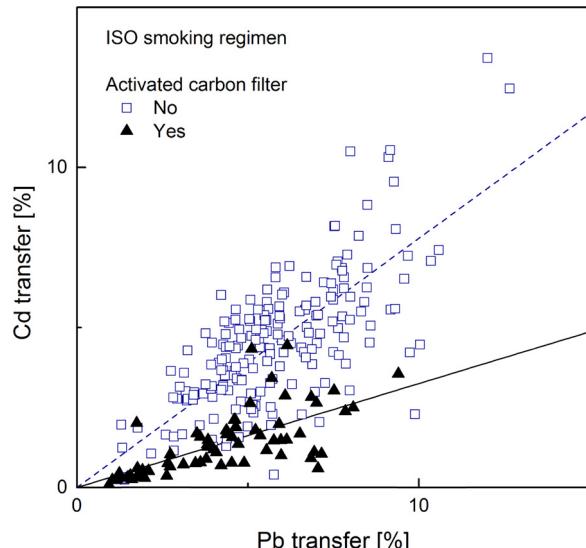


Fig. 7. Linear regression plot (forced through zero) for cadmium transfer rate to mainstream smoke versus that of lead, computed separately for samples with (solid regression line) and without (dotted regression line) activated carbon. Smoke generated under ISO machine smoking regime (267 samples).

brought by the limitations of the analytical determinations, the results of the calculations obtained by removing all data below LOQ from the data sets are also given in Table 6.

Cadmium transfer was plotted against lead transfer for all samples in order to more accurately estimate their relative importance. The plots from smoke data obtained under ISO and HCl machine-smoking regimes are given in Figs. 7 and 8 respectively.

Linear regressions forcing the intercept to zero were calculated from plots of cadmium transfer as a function of lead transfer shown in Figs. 7 (ISO machine-smoking regime) and 8 (HCl machine-smoking regime). Separate regressions were made for samples with activated carbon in the filter and samples without any activated carbon. The calculated slopes and the associated standard error are reported in Table 7.

3.4. Results from dedicated prototype cigarettes

To further ensure that the observed selective filtration of cadmium can really be attributed to the presence of activated carbon in the market survey samples, we prepared a

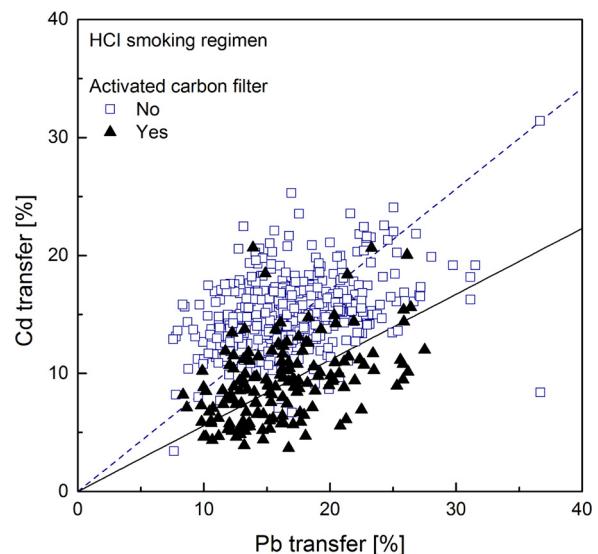


Fig. 8. Linear regression plot (forced through zero) for cadmium transfer rate to mainstream smoke versus that of lead, computed separately for samples with (solid regression line) and without (dotted regression line) activated carbon. Smoke generated under HCl machine smoking regime (567 samples).

Table 7

Slopes from plots of cadmium transfer against lead transfer (Figs. 7 and 8) comparing samples with and without activated carbon smoked under 2 machine-smoking regime.

	ISO smoking regime	HCl smoking regime
No carbon in filter	0.78 \pm 0.02	0.85 \pm 0.01
Activated carbon filter	0.32 \pm 0.02	0.56 \pm 0.01

Results expressed as slope \pm standard error.

specific set of prototypes, as described in Section 2, differing only in the presence or not of activated carbon in the filter. These prototypes were smoked under HCl machine-smoking regime and the 3 selected elements Cd, Pb, and As were measured. The means and standard error of the mainstream smoke yield of each element are presented in Table 8, expressed both on a per-cigarette basis and normalized to the nicotine yields.

4. Discussion

The results obtained for cadmium, arsenic and lead in mainstream smoke demonstrate that a selective retention

Table 8

Elements smoke yields under HCl machine-smoking regime, expressed per cigarette and per nicotine yield, for prototypes with and without activated carbon in the filter.

Analyte	HCl yields per cigarette ^a (ng/cigarette)		HCl yields per mg nicotine (ng/mg nicotine)	
	No carbon in filter	80-mg activated carbon in filter	No carbon in filter	80-mg activated carbon in filter
Cadmium	74 ± 4	28 ± 1	43	16
Lead	18.8 ± 0.4	19.4 ± 0.4	11.0	11.3
Arsenic	3.3 ± 0.2	3.6 ± 0.1	1.9	2.1

^a Results expressed as mean ± standard error.

of cadmium is occurring in activated carbon filters, while it is not the case for arsenic and lead. This phenomenon is possible only if cadmium is present to some degree in the gas-phase of mainstream smoke [44,45]. After reviewing the results obtained for cigarette fillers and mainstream smoke, the discussion focuses on possible explanations for the differences in filtration observed in the case of cadmium.

4.1. Cadmium, lead and arsenic levels in cigarette fillers

The observed cadmium levels obtained from a large set of worldwide commercial samples are consistent with previously reported distributions for smaller, single-country data sets [9,46–50], as well as with the levels reported for 755 samples of Burley, flue-cured and oriental tobacco leaves collected in 13 countries during the period 2001–2003 [51].

The distribution of lead levels measured from 568 samples in the present study is consistent with the distributions of smaller datasets recently reported for single countries [9,46–50,52–57], but substantially lower than the distributions given in older reports [58,59]; this is essentially linked to the appearance of unleaded gasoline in the eighties.

The distribution of arsenic levels is in line with the results obtained from smaller datasets of commercial products from single countries [48,50,55], and the mean of 400 ng/g obtained from 1431 samples of Burley, flue-cured and oriental tobacco leaves collected in 20 countries during the period 2002–2004 [60].

4.2. Cadmium, lead and arsenic levels in cigarette mainstream smoke

The observed cadmium levels in mainstream smoke generated under ISO and HCl machine-smoking regime are consistent with data obtained for smaller datasets [30,46,48,61–64]; the present data are much narrower in range than the historical results provided in an early review [65]. The ranges and median values for cadmium smoke yields expressed per mg nicotine are slightly higher under the more intense smoking regime. This is in line with the results reported for Canadian [48] or American brands [9] as well as in worldwide surveys [61]; it shows that cadmium yield increases more than nicotine yield when the intensity of the smoking regime is increased.

The lead levels in mainstream smoke generated under ISO and HCl machine-smoking regimes are consistent with results obtained from smaller datasets [30,46,48,61,62,64,66] and narrower than the range of historical results provided in an early review [65]. If adjusted

for nicotine yields, the range and median values for lead yields are very similar under both regimes, suggesting that, in contrast to cadmium yields, lead yields behave in the same way as nicotine when machine-smoking conditions are changed.

The arsenic levels in mainstream smoke (for the samples above LOQ) are slightly higher than previously reported for UK brands [62], or international brands from Philip Morris [61], while their distribution is clearly narrower than the span of historical data gathered in an earlier review in which levels up to 1400 ng/cigarette had been reported [65].

Even after nicotine normalization, the range of elements yields was wide, which was a consequence of the spread of the elements levels in tobacco.

4.3. Evidence for cadmium specific filtration from mainstream smoke

4.3.1. Market surveys data

Of particular interest in the market surveys data is the fact that, at equal nicotine transfer, the cadmium transfer in a sample containing activated carbon in the filter is much smaller than that of a sample without carbon in the filter. No such trend could be observed in the data regarding either lead or arsenic. This is readily apparent by visually comparing Figs. 1 and 4 – showing cadmium transfer against nicotine transfer, to the similar plots obtained for lead and arsenic, or by directly comparing cadmium and lead transfers among all samples in Figs. 7 and 8.

This effect can be quantified using the slopes of the regression lines reported in Table 6. Considering the ratio of slopes as the ratio of averaged yields of samples with equal nicotine transfer, the results correspond to cadmium yields reductions in the presence of activated carbon amounting to 57% in smoke generated under the ISO machine-smoking regime and 34% under the HCl machine-smoking regime. Direct comparison of cadmium and lead transfers (Table 7) shows that for smoke generated under the ISO regime cadmium transfer is about 22% lower than that of lead. It is 15% lower under the HCl regime; the more intense puffing and the suppression of the filter ventilation weaken the efficiency of cadmium filtration. As expected, in the presence of activated carbon this difference is substantially increased. The present results are in agreement with those reported in the survey of the Japanese market [63].

4.3.2. High carbon level prototypes data

The mainstream smoke yields of cadmium, lead and arsenic from cigarettes with a very high load of activated

carbon (80 mg) in the filter were compared to those of the matched control. Smoking was done under the HCl regime, which is less favorable to selective filtration by adsorbents than the ISO regime [67,68]. Results clearly show a selective retention of cadmium in the activated carbon-containing prototype, cadmium yield being reduced by 62%. Adjusting for nicotine yields provides exactly the same result. As observed in the market survey, lead and arsenic yields were essentially unchanged (3% and 10% higher for the activated carbon-containing prototype respectively). These results are in agreement with the reported mainstream smoke yields of a series of products from a market test addressing extreme levels of activated carbon [69]. Cadmium yields were uniformly reduced by about 69% in cigarettes containing 60, 80 and even 120 mg of activated carbon, while yields of lead and arsenic were unchanged. The fact that cadmium removal is the same at all filter loadings suggests that all available gas-phase cadmium was already retained by the filter with a 60-mg carbon load.

4.4. Issues regarding the different filtration of cadmium and lead

There is strong evidence showing that cadmium can be partially removed from cigarette mainstream smoke by activated carbon, and is therefore present in notable amounts in the smoke gas-phase. There is no indication that this could be true for lead or arsenic. Although this is the first time that such an observation has been made using a very large and diverse set of cigarettes, different studies have already identified the fact that cadmium retention in the butt was higher than that for nicotine [47,70–72], and the effect of activated carbon was mentioned in a survey of the Japanese market [63].

Of issue is the fact that published literature regarding the presence of metals in gas-phase smoke is not consistent with either the observed selective adsorption of up to 70% of cadmium or the total absence thereof in the case of lead. Indeed, values between <1% and up to 28% were reported for cadmium in the gas-phase, while substantially larger values, between 18% and 71%, were reported for lead [70,72–77]. This cannot be attributable to mere sampling issues, since observations of higher proportions of lead in the gas-phase compared to cadmium were derived in most cases from analysis of the same sample.

The goal of the following investigation was therefore to clarify the physical chemistry behind the transfer and retention of cadmium, and compare it to that of lead. There is a wealth of information that can be used. The heating undergone by metals during cigarette smoldering and puffing is fairly well known in terms of time and temperature. Detailed information is also available regarding elements speciation in the course of thermal processes (biomass combustion or gasification, refuse incineration, or smelting for extractive metallurgy) and the impact of speciation on their volatility is known. Such studies can provide insights on metals volatility and reactivity under the conditions of smoke generation. Conclusions could therefore be postulated regarding elements speciation that would match, when a cigarette is smoked, their distribution among ash, sidestream and

mainstream smoke, and account for the observed filter retention.

4.5. Elements distribution among smoke streams, ash and butt

A number of studies reported the levels of elements in the tobacco filler of a set of cigarettes, together with smoke yields [46,72,75,77–82]. In some studies the results were supplemented with information on the elements levels in ashes or butt after smoking. All studies were performed under the ISO machine-smoking regime. The data were scattered, reflecting differences in the cigarettes design and very different study protocols or methods [83,84]. The following conclusions can nevertheless be drawn.

Cadmium transfer from tobacco to sidestream smoke is well documented, and ranges between 40% and 55%. It is collected with the particulate matter [79]. Lead transfer to sidestream smoke is less precisely established, but indications are that it could be much lower than that for cadmium. Lower values were found whenever sidestream smoke yield was directly measured rather than calculated by difference. Transfers as low as 2–5% were then observed [81,79], the latter team having used a standard sampling method [85]. Ash retention is moderate for cadmium (about 20–30%) but higher for lead and arsenic (at least 50%, up to 75%). Cadmium transfer to ISO mainstream smoke is about 3–10% for a filter cigarette, up to 22% for a non-filter cigarette. From the regressions of market data obtained in the present study, cadmium transfer is only 72% of that for nicotine, i.e., about 20% lower than that for lead. This means for lead a transfer in the range of 3–12% for a filter cigarette, similar to what is cited in recent reviews [9,84]. Of the cadmium that exits a cigarette filter devoid of adsorbing material, two thirds can be removed by activated carbon, while this is not observed for lead or arsenic. When the amount of activated carbon is increased the amount of retained cadmium reaches a plateau at ca. 70%. This suggests that in mainstream smoke some of the cadmium species are partially present in the gas-phase.

4.6. Inferred elements speciation in high-temperature processes

From the information available from studies of other thermal processes, inferences can be made on the elements speciation during their volatilization from tobacco through a thermal process and their transport within a multi-phase system. The following discussion covers the high temperature behavior of elements, their ensuing reactivity at elevated temperature, and the potential transfer of the airborne elements, both to sidestream and mainstream smoke, including deposition and filtration.

4.6.1. Elements volatilization

Speciation in tobacco: Elements speciation has an impact on thermal volatilization, therefore speciation of the investigated elements in tobacco is an important factor. Cadmium is efficiently taken up by tobacco from the soil and transported systemically throughout the whole plant, either bound (e.g., to glutathione) or chelated (e.g., to

peptides) [86]. Cadmium is primarily present as a part of organic molecules and can therefore be released at a low temperature [87]. Conversely, lead was reported to be present as PbS, PbOH or PbCO₃ [88], or bound to inorganic material with P, Mn, Si or Al [89], forms from which lead is difficult to volatilize [90]. In tobacco, arsenic is mainly present as inorganic matter, partly identified as arsenites As_(III)O₃³⁻ and arsenates As_(V)O₄³⁻. The As_(V) species are often predominant and are the least volatile [91–94].

Volatileization: Downstream of the combustion zone the atmosphere is very hot (ca. 900 °C) and reducing (essentially devoid of oxygen and rich in H₂ or CH₄). Under such conditions cadmium can be released in the gas phase as Cd₍₀₎ [95]. Cd₍₀₎ is thermodynamically preferred [96] but e.g., CdCO₃ decomposition would directly yield CdO [90]. Cadmium release from biomass is very effective, higher than 90% above 450 °C [97]. Some lead volatilization from biomass is observed above 500 °C, but the interaction with the matrix in which lead is embedded is a limiting factor [87]. Only about 85% of the lead present in wood could be volatilized by pyrolysis at 850 °C, essentially as Pb and PbO [98,87]. In cigarette smoke generation, such interactions would cause most of the lead to remain in the ash. Arsenic is released as As_(III) in a reducing atmosphere, mostly As₂O₃ [99]. As_(III) derivatives can be released from biological material above 600 °C [100], but arsenic is highly prone to interactions with other elements that cause it to remain in the ash, in particular with sulfur [95] and calcium – e.g., from CaCO₃ present in the cigarette paper [99,101,90] that would yield calcium arsenate [102]. A high retention of arsenic in the ash is therefore expected. In ash As_(III) is further oxidized to As_(V) [92].

4.6.2. Elements high-temperature reactions

The elements' gas-phase reactivity is critical, since elements' speciation has a large impact on their volatility. The major inorganic elements in tobacco that could react are potassium (ca. 4%), calcium (ca. 2–3%), chlorine (0.5–2%) magnesium (ca. 0.6%), sulfur (0.2–0.6%), phosphorous (ca. 0.4%) and sodium (ca. 0.1%) [103–107].

Cadmium in fresh smoke collected at the filter exit has been shown to be in the Cd_(II) oxidation state [108]. This implies that cadmium, emitted as Cd₍₀₎ as detailed above, undergoes oxidative reactions. This may be from reaction with oxygen diffusing from the outside air, forming CdO. Reaction with sulfur is less likely, as biomass sulfates only release sulfur above 850 °C [109]. Furthermore, sulfide formation is hindered by calcium (present in high amount in tobacco and paper) [101]. Both CdO and CdS being non-volatile, they will be either in the ash or in the smoke particle-phase. From thermodynamics, chlorides are favored over sulfides above 300 °C for both cadmium and lead [96]. They were found to be the preferred species up to at least 600 °C [110,111]. The reaction of lead with chloride, even from lead oxide, is spontaneous at elevated temperature [111], and no PbCl₄ is formed [109]. CdCl₂ and PbCl₂ are the most volatile documented species for lead and cadmium. Indeed, chloride formation is used to increase the volatilization of both cadmium and lead in high temperature treatments [95]. Chloride formation is expected to take place in cigarettes. Large amounts of melted KCl crystals

were found in a cigarette extinguished during a puff both in front of the char line [103] and in the ash [112], demonstrating chloride availability. Chlorine content of straw (0.5–2%) is very similar to that of tobacco and large amounts of CdCl₂ are found in fly ash from straw combustion [113]. In theory, a reaction with chlorine is also possible for arsenic. If released as the volatile species As₂O₃, arsenic can react with chlorine to yield AsCl₃, a volatile compound [95]. In both As₂O₃ and AsCl₃ arsenic is in the As_(III) oxidation state, the speciation shown as mostly prevalent in fresh cigarette smoke [92,93].

4.6.3. Elements transfer under puffing conditions

As vapors move away from the burning coal their temperature drops very fast, causing most elemental species to nucleate or deposit. Elements can deposit on aerosol particles, remaining airborne. If they deposit on tobacco, they may be mobilized in a consecutive puff. The temperature at which lead and cadmium will deposit depends on their speciation. In biomass fluidized bed gasification, cadmium in the exit gas is still found mostly in the gas-phase at 380 °C but lead condenses to the particle-phase as soon as the temperature drops to below 500 °C [97]. This is, however, in the absence of chlorine. Pure CdCl₂ starts vaporizing above 400 °C [111]. Chlorides are the most volatile documented species for lead and cadmium, being liberated at 600 °C from most matrices [114]. In a study performed under reduced pressure on pure PbCl₂ and CdCl₂, nanometer scale nucleation was observed below 150 °C [115]. Indeed, PbCl₂ and CdCl₂ were shown to be removed by filtration from an aerosol at 120 °C [114]. In cigarette mainstream smoke, they are therefore part of the TPM when they reach the filter.

4.6.4. Elements transfer under smoldering conditions

Since according to [115] CdCl₂ could be sublimed in substantial amounts at 400 °C, this cadmium species should readily transfer to sidestream smoke since gases escape from a smoldering cigarette at about 350 °C [116]. As CdCl₂ condenses out of the gas-phase below 150 °C, it should be a particle-phase compound immediately after leaving the cigarette. The same conclusions should apply to PbCl₂ except that the lead species may be liberated at higher temperature with a lower yield. These conclusions are fully consistent with observations made from sidestream smoke sampling when using the fishtail method [117]. Only 2 and 4% of the sidestream smoke yield of lead and cadmium were respectively found deposited on the collection flask, showing their presence in the particle-phase. Conversely, 28% of the arsenic was retained on the walls, showing a presence of volatile arsenic, likely in the form As₂O₃ [79].

4.7. Conclusions from a consolidation of published information

The amount retained in ash can be understood from a review of available literature. Cadmium is always reported as being more volatile than lead during thermal treatments. In tobacco it is primarily present bound to organic material and is therefore mobilized at relatively low temperature. Lead and arsenic are present in a large part as

inorganic, non-volatile compounds and can readily form such compounds upon tobacco combustion, notably by reaction with calcium. This explains the observed differences in the amounts found in ashes (Cd 20–30%, Pb and As 50–70%). The transfer to sidestream smoke can also be understood from published information. The fact that approximately 40–55% of cadmium present in a cigarette is exhausted to sidestream smoke and collected with the particulate matter is consistent with the formation of CdCl₂, where cadmium is in the Cd(II) oxidation state, as expected from speciation studies [108]. Lead can also be chlorinated, but a much lower transfer is observed. This is likely because less lead is volatilized, although the extent of the difference in sidestream transfer between lead and cadmium could be associated with the presence of a volatile cadmium derivative. In mainstream smoke, both lead and cadmium are expected to be present as oxides or chlorides, all derivatives in the particulate matter at filter level. Overall, the transfer of lead and cadmium to mainstream smoke should not be very different. The fact that cadmium is selectively retained by activated carbon in a cigarette filter, while lead is not, shows that some reactions remain unaccounted for and suggests that a large part of the cadmium (and not lead) is present as a gas-phase species, even at temperatures approaching ambient. This species is unlikely to be CdCl₂, first because the same retention would be observed with lead (PbCl₂ and CdCl₂ share similar physical properties) [109], but also because both metal di-chlorides have been shown to be only present in the particulate matter below 150 °C [115]. PbCl₄, absent from high temperature chlorine reaction products, is not expected to be found in smoke [109].

4.8. Alternative mechanism for cadmium transfer to cigarette smoke

A remaining possibility is the reaction of cadmium with radicals. Primary radicals, mostly carbon-centered such as alkyl radicals, are formed by tobacco decomposition in the hot zone. These very reactive species can further react to yield secondary radicals, some carbon-centered like acyl or alkylamino radicals, but most oxygen-centered [118]. Primary radicals do not react in totality and, in fact, both methyl and ethyl radicals were observed as important radical species in mainstream smoke at filter exit. The yield of carbon-centered radicals from the reference cigarette 2R4F smoked with the ventilation blocked was estimated at 265 nmole/cig. [119].

Gas-phase reaction of cadmium with short hydrocarbon radicals can yield organometallic derivatives. Indeed a well-studied and documented example is the reaction with methyl radicals. Cd(0) and CH₃• readily react in the gas-phase to yield dimethylcadmium Cd(CH₃)₂ at or below 300 °C [120,121]. Unlike CdCl₂ or CdS, Cd(CH₃)₂ is a volatile compound (bp 105.5 °C), which readily reacts with water to yield cadmium hydroxide but does not oxidize spontaneously in air. In fact, there is a gradation in stability among the Group 12 methyl derivatives, with Cd(CH₃)₂ ranking in an intermediate position between dimethylmercury, quite stable and dimethylzinc, very reactive toward oxygen and water [122]. Indicative of its stability, Cd(CH₃)₂ toxicity

could be assessed, including through animal inhalation studies, and a maximum 8-h work-place exposure has been set at 1 µg/m³ [123].

While CH₃• is the most abundant alkyl radical generated in the high temperature zone, homologue radicals with higher carbon content are also present that could react in the same way. In fact many other radicals present in smoke could be expected to react with Cd(0) but very little information is available on such reactions. Thus, the following discussion is focused on Cd(CH₃)₂, since its reactivity is well documented and it is epitomical when discussing the consequences of the transitory formation of a volatile and reactive cadmium derivative. It should however be understood that Cd(CH₃)₂ may not be the main cadmium volatile intermediate that is actually formed in smoke.

4.9. Expected outcome of cadmium radical reactions

4.9.1. Transfer to mainstream smoke

Cd(CH₃)₂ could certainly move to the filter during a puff, and exit the cigarette with mainstream smoke. Because of its reactivity, Cd(CH₃)₂ will deposit onto the unburnt tobacco downstream with a high efficiency; yet, elements captured on the unburnt tobacco during a puff can be mobilized in subsequent puffs, so that this capture is not incompatible with the observed cadmium transfer to mainstream smoke (only 5–10%). The consequence of this high capture is a yield per puff that increases with puff number, which has indeed been observed [78]. Moreover, in such a case it is expected that a higher smoke flow rate through the tobacco rod would decrease the retention of gas-phase cadmium since it is diffusion-controlled. This was also observed. Compared to the ISO yields, cadmium yield was found to be more increased under HCl than nicotine was, whereas lead yield remains to a constant ratio to nicotine (Tables 6 and 8). Specifically, a high and flow rate-sensitive capture of cadmium by the tobacco filler was evidenced by studies where the deposited cadmium was separately assessed in the unburnt tobacco and in the filter plug after machine-smoking the cigarettes using both ISO conditions and undefined “heavy” puffing conditions [82].

4.9.2. Transfer to sidestream smoke

The fact that elements captured on the unburnt tobacco during a puff can be mobilized by subsequent heating also increases the possibility of transfer to sidestream smoke. Hot gases can diffuse out of a smoldering cigarette as sidestream emission, the temperature of this gas stream is about 350 °C [116]. Cadmium can diffuse out as CdCl₂, which would be gaseous. This is above the decomposition temperature of Cd(CH₃)₂, which is below 300 °C, however, and Cd(CH₃)₂ is unlikely to be present in sidestream smoke.

4.9.3. Mainstream smoke selective filtration

The main consequence of the formation of Cd(CH₃)₂ is of course the fact that it would be efficiently adsorbed on a carbon bed in the filter. Any Cd(CH₃)₂ retained on an adsorbent, or a Cambridge filter, should be readily hydrolyzed by the water present on the surface. This yields the hydroxide, a Cd(II) species that is not volatile. The fact that adsorbed cadmium cannot be re-emitted may in part

explain why cadmium selective filtration remains effective under the HCl machine-smoking regime, in contrast with other volatile compounds for which adsorption processes are strongly hindered under these conditions.

In fact $\text{Cd}(\text{CH}_3)_2$ reactivity with water contributes to making its presence in smoke plausible. Since most $\text{Cd}(\text{CH}_3)_2$ would be trapped by a Cambridge filter, it is counted as particle-phase material, explaining how a low and variable gas-phase percentage could be observed and reported in the literature, while in fact up to 60% of the cadmium can actually be retained by an activated carbon.

4.9.4. Difference between cadmium and lead

No report of the formation of a lead derivative through gas-phase reaction of $\text{Pb}_{(0)}$ with free radicals could be found in the literature. Even if some derivatives could be made, it is likely that, unlike $\text{Cd}_{(0)}$, $\text{Pb}_{(0)}$ would condense out of the gas-phase before the temperature would be low enough for an organo-compound to be stable. These observations may explain why lead is not selectively retained by activated carbon in the filter.

5. Conclusions

The study of arsenic, cadmium and lead levels in tobacco and smoke was performed on a set of surveys gathering a large number of samples (568) with a large diversity of origins, tobacco blend types and cigarette designs. This ensured that the observations accurately reflected the trends and correlations that prevailed among the samples while allowing a greater degree of precision than previously obtained by using smaller data sets.

For comparative purposes, the elements transfer in each sample was estimated from the ratio of their mainstream smoke yield to the elements level in the tobacco rod. Cadmium transfer was clearly lower in the cigarettes with an activated carbon filter compared to the other samples with the same nicotine transfer. This was not observed in the case of lead or arsenic. The effect was also observed under the more intense HCl regime despite being less pronounced than under the ISO regime. Test cigarettes with an 80-mg carbon load smoked under HCl machine-smoking regime showed a 62% retention of cadmium, while arsenic and lead yields were unchanged.

The distribution of the elements levels in the tobacco of the sampled cigarettes was rather wide, but the levels are close to most of the recent results reported either for specific countries or for international datasets. They are lower than those reported in older datasets, possibly due to a reduced contamination from the environment and changes in agronomic practices. The observed variability of the elements smoke yields normalized to nicotine remains quite large in this study. It is essentially due to the variability of the tobacco content of the elements, with the exception of the reduced cadmium yields observed in the cigarettes containing activated carbon in their filter.

From the large body of literature on heavy metals levels and yields, it appears that the specificity of cadmium can be traced to its volatility, such that the amount sequestered in the ash is no more than 20–30% while volatile cadmium chloride can readily transfer to the sidestream smoke,

where about 45% of the cadmium originally present in the tobacco is found. Conversely, 50–75% of lead and arsenic are retained in the ash and the lower volatility of lead results in a lower yield of chloride conversion. Estimates for the levels of lead in sidestream smoke are much less precise than those for cadmium; they are also lower, in some studies accounting for only a few percent of the tobacco content. The reason for the increased removal of cadmium from mainstream smoke when activated carbon is present in the filter is yet to be proven, but a potential explanation is the formation of cadmium organometallic derivatives from free-radical reactions in the smoke gas-phase at intermediate temperature (300 °C and below). Dimethylcadmium, in particular, can be formed under these conditions. Such compounds are not stable in the presence of water, but their transitional occurrence during the smoke transfer through the cigarette could explain the strong experimental evidence made regarding metals selective filtration that is otherwise difficult to reconcile with published data on cadmium transfer and phase distribution in smoke.

Transparency document

The Transparency document associated with this article can be found in the online version.

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