

ORIGINAL RESEARCH



## Response to first line chemotherapy regimen is associated with efficacy of nivolumab in non-small-cell lung cancer

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### ABSTRACT

Nivolumab, an anti PD-1 checkpoint inhibitor has demonstrated efficacy in metastatic non-small-cell lung cancer (NSCLC) patients after failure to standard chemotherapy. Standard chemotherapy agents could promote antitumor immune response. We thus examined whether the response to first line chemotherapy could impact on nivolumab benefit. One hundred and 15 patients with NSCLC were included in this retrospective study from 4 different French centers. Forty-three squamous cell carcinomas (SCC), and 72 non-SCC received nivolumab between 2015 and 2016 (3 mg/kg IV Q2W). Response to first-line chemotherapy and to nivolumab was retrospectively assessed on CT-scan by central review. The association between RECIST response to first-line chemotherapy and nivolumab efficacy were determined using Fisher's exact test and Cox proportional hazard model. Respectively 46 (40%), 44 (38%) and 25 (22%) patients experienced partial response (PR), stable disease (SD), or progressive disease (PD) in response to first-line platinum- based chemotherapy. Twenty 5 (21%), 34 (30%), 56 (49%) respectively experienced PR, SD and PD in response to nivolumab. 60% (54/90) of patients who experienced clinical benefit (PR + SD) after first-line chemotherapy also had clinical benefit after nivolumab, while only 20% (5/25) of patients with initial PD subsequently experienced clinical benefit with nivolumab (Fisher's exact test,  $P = 0.001$ ). The type of first-line doublet chemotherapy did not influence the response rate to nivolumab. Univariate and multivariate analyses showed that patients with clinical benefit from first-line chemotherapy had higher second-line PFS ( $P = 0.003$ ) (median PFS on nivolumab of 5, 3.3 and 1.9 months for patients with PR, SD and PD in response to first-line therapy, respectively). Similar results were obtained for OS. Thus this study suggests that the efficacy of first-line chemotherapy may be a valuable surrogate marker of the benefit of nivolumab in terms of PFS and OS.

### ARTICLE HISTORY

Received 8 May 2017  
Revised 31 May 2017  
Accepted 1 June 2017

### KEYWORDS

First-line chemotherapy;  
nivolumab; Non-small-cell  
lung cancer

## Introduction

Lung cancer is a major public health issue and the leading cause of cancer-related death worldwide. In 2012, 1.8 million of new cases were diagnosed worldwide, representing around 13% of all detected cancers.<sup>1</sup> Platinum-based doublet chemotherapy is the standard first-line treatment of patients with metastatic non-small-cell lung cancer (NSCLC) with no targetable oncogenic drivers, while tyrosine kinase inhibitors are the standard of care in first-line therapy for those harboring a genomic alteration of ALK, ROS1 or EGFR.<sup>2-4</sup>

Currently, it is well established that the immune system plays a key role in both the control of tumor induction, and tumor progression.<sup>5,6</sup> Consequently, evasion of this immune surveillance mechanism by the tumor is a hallmark of cancer, and is a pre-requisite for tumor progression,<sup>7</sup> especially in

NSCLC. Overexpression of inhibitory receptors of the immune response, for example Programmed cell Death-Ligand 1 (PD-L1), is one of the mechanisms involved in tumor immunosubversion.<sup>6,8</sup> Interaction between PD-L1 and its receptor PD-1 (on CD8 T cells) blunts T-cell antitumor functions, thus leading to immunoescape. In this context, the management of cancer and particularly of NSCLC, has taken a major step forward with the emergence of new therapies targeting immune checkpoints.<sup>9</sup> Nivolumab, a highly selective, fully human IgG4 antibody directed against PD-1, can disrupt the engagement of PD-1 with its ligands (PD-L1 and 2) and prevent inhibitory signals in T cells, thus promoting the function of cytotoxic T cell effectors against tumor cells. Two randomized phase 3 trials have demonstrated the superiority of nivolumab over docetaxel

as second-line treatment in advanced NSCLC patients,<sup>10,11</sup> thus redefining the management of NSCLC patients. Anti-PD1 agents induce durable clinical responses regardless of the histological.<sup>12</sup> However, only 20 to 25% of NSCLC patients experience a sustainable response to immune-checkpoint inhibitors.<sup>13-15</sup> Therefore, finding biologic or clinical biomarkers that could help to select patients who respond to immune checkpoint blockade remains a key challenge.<sup>16</sup>

In addition to their capacity to directly kill tumor cells, cytotoxic agents may also affect the immune response. Notably, by killing tumor cells, cytotoxic agents could induce an antitumor immune response.<sup>17</sup> These data provide a rationale to consider that effective standard chemotherapy inducing immunogenic cell death may improve antitumor immune response, whereas chemotherapy that is unable to kill a sufficient amount of cancer cells may not impact anticancer immune response. A previous meta-analysis has shown that response to the first-line regimen impacted modestly, but significantly, the response to second-line therapy.<sup>18</sup> However, to the best of our knowledge, there are no data in the literature assessing the potential association between the response to the first-line chemotherapy doublet to the benefit of immunotherapy. Here, we examined in a cohort of 115 patients treated with nivolumab as second-line or third-line, whether response to first line platinum-based chemotherapy is associated with the benefit, in terms of response, Progression-Free Survival (PFS) and Overall survival (OS) of nivolumab.

## Material and methods

### Patients

This retrospective cohort included 115 patients with locally advanced (stage IIIB) or metastatic (stage IV) NSCLC experiencing disease progression during or after platinum-based doublet in 4 French thoracic oncology centers (Dijon University Hospital, Dijon Cancer Center, Center Léon Bérard and Nice University Hospital Biobank – BB-0033-00025). All consecutive patients who received nivolumab at the recommended dose (3mg/kg q2 weeks), regardless of whether patients received nivolumab in a clinical trial or after its EMA approval, were retrospectively included. We excluded from the analysis the patients who received nivolumab as first-line, or who did not receive platinum-based doublets as first-line treatment, as well as the patients for whom response to first-line chemotherapy could not be determined with RECIST criteria (see flowchart in Fig. S1). The following data were collected from each patients' medical records: age, sex, smoking status, *EGFR* and *KRAS* mutational status, ECOG performance status at the time of nivolumab initiation, nature of first-line platinum-based doublet, best RECIST response to first-line chemotherapy, date of initiation of first-line chemotherapy, date of disease progression during or after first-line chemotherapy, number of treatment lines before nivolumab initiation, date of nivolumab initiation, best RECIST 1.1 response to nivolumab, date of progression during or after nivolumab and death from any cause or last follow-up. The database was closed on 1 February 2017. Three physicians (CK, JDF and AA) reviewed all CT-scans to validate response to first-line chemotherapy and to nivolumab.

Approval from the local ethics committees was not necessary in accordance with French legislation governing strictly observational studies. The clinical database was declared and approved by the French authorities for data protection (CNIL, CCTIRS). PD-L1 expression was assessed by immunohistochemistry using SP142 mAb

### Statistical analysis

All patients were followed up until death or the end of data recording (1 February 2017). Progression-free survival (PFS) was calculated (for first-line chemotherapy and for nivolumab treatment) as the time from the date of the treatment start to the date of disease progression by the RECIST criteria or death. A maintenance regimen was not considered as a second line. Overall survival (OS) was calculated as the time from the date of the first-line treatment start for metastatic disease, to the date of death. Median follow-up, with a 95% confidence interval (CI), was calculated using the reverse Kaplan-Meier method. Patient and disease characteristics were examined using the Fisher's exact test for qualitative variables, and the Kruskal-Wallis test for continuous variables, as appropriate, to compare groups of patients presenting either partial response (PR), stable disease (SD) or progressive disease (PD) to first line chemotherapy. Response to treatment was determined on CT scans using RECIST version 1.1.<sup>19</sup> Response rates to nivolumab were compared with the response rate to the first-line regimen using the Fisher's exact test. Survival probabilities were estimated using the Kaplan-Meier method and survival curves were compared using the log-rank test. A multivariate Cox proportional hazard model was used to estimate the impact of response to first-line regimen on PFS and OS, after adjusting for clinical and pathologic parameters that were significant in univariate analysis. Statistical analyses were performed using the R software. All tests were 2 sided, and *P* values <0.05 were considered statistically significant.

## Results

### Patient characteristics

In the 4 participating centers, 151 patients were treated with second-line nivolumab monotherapy. When the database was closed, 101 patients were dead. Three patients who did not receive platinum-based chemotherapy as first-line and 3 patients who received nivolumab in first-line, were excluded from the analysis. Thirty patients additional were excluded because the radiological evaluation of response to first-line chemotherapy could not be performed (see flowchart of the study in Fig. S1). The final population included 115 patients, among whom 88 (77%) were men and 101 (87%) were smokers or former smokers. The most common histological type was adenocarcinoma (60%, *n* = 69), followed by squamous-cell carcinoma (36.4%, *n* = 43), large-cell neuroendocrine carcinoma (0.9%, *n* = 1), and large cell carcinomas (1.7%, *n* = 2). First-line regimen comprised carboplatin or cisplatin plus either gemcitabine (20 patients (17%)), docetaxel or paclitaxel [37 patients (32%)] and or pemetrexed [58 patients (50%)]. Only patients with adenocarcinoma received the platin-

**Table 1.** Patient and tumor characteristics (n = 115).

		Response to first line				p-value
		Partial response N=46	Stable disease N=44	Progressive disease N=25	Overall N=115	
Age (year)	median (min;max) mean(sd)	66 [36;85] 66 (11)	63 [43;84] 63 (10)	66 [55;80] 65 (8)	66 [36;85] 65 (10)	<b>0.28</b>
Sex	Male	36 (78%)	33 (75%)	19 (76%)	88 (77%)	<b>0.93</b>
	Female	10 (22%)	11 (25%)	6 (24%)	27 (23%)	
WHO PS	0	18 (39%)	15 (34%)	7 (28%)	40 (35%)	<b>0.64</b>
	1	28 (61%)	29 (66%)	18 (72%)	75 (65%)	
Histology	Adenocarcinoma	26 (57%)	30 (68%)	13 (52%)	69 (60%)	<b>0.23</b>
	Epidermoid	19 (41%)	12 (27%)	12 (48%)	43 (37%)	
	Other	1 (2%)	2 (5%)	0 (0%)	3 (3%)	
EGFR	No	26 (57%)	30 (68%)	13 (52%)	69 (60%)	<b>0.41</b>
	Yes	2 (4%)	2 (5%)	0 (0%)	4 (3%)	
	Unknown	18 (39%)	12 (27%)	12 (48%)	42 (37%)	
KRAS	No	15 (33%)	24 (55%)	10 (40%)	49 (43%)	<b>0.17</b>
	Yes	12 (26%)	8 (18%)	3 (12%)	23 (20%)	
	Unknown	19 (41%)	12 (27%)	12 (48%)	43 (37%)	
PD-L1	> 1%	11 (24%)	14 (32%)	8 (32%)	33 (29%)	<b>0.08</b>
	negative	20 (43%)	9 (20%)	4 (16%)	33 (29%)	
	Unknown	15 (33%)	21 (48%)	13 (52%)	49 (42%)	
Smoking	Yes	42 (91%)	38 (86%)	21 (84%)	101 (88%)	<b>0.62</b>
	No	4 (9%)	6 (14%)	4 (16%)	14 (12%)	
First line doublet	gemcitabine	7 (15%)	9 (20%)	4 (16%)	20 (17%)	<b>0.55</b>
	taxane	17 (37%)	10 (23%)	10 (40%)	37 (32%)	
	pemetrexed	22 (48%)	25 (57%)	11 (44%)	58 (51%)	
Type of platin	Carboplatin	20 (43%)	18 (41%)	12 (48%)	50 (43%)	<b>0.85</b>
	Cisplatin	26 (57%)	26 (59%)	13 (52%)	65 (57%)	
Line of nivolumab	Second	24 (52%)	23 (52%)	15 (60%)	62 (54%)	<b>0.79</b>
	Third	22 (48%)	21 (48%)	10 (40%)	53 (46%)	

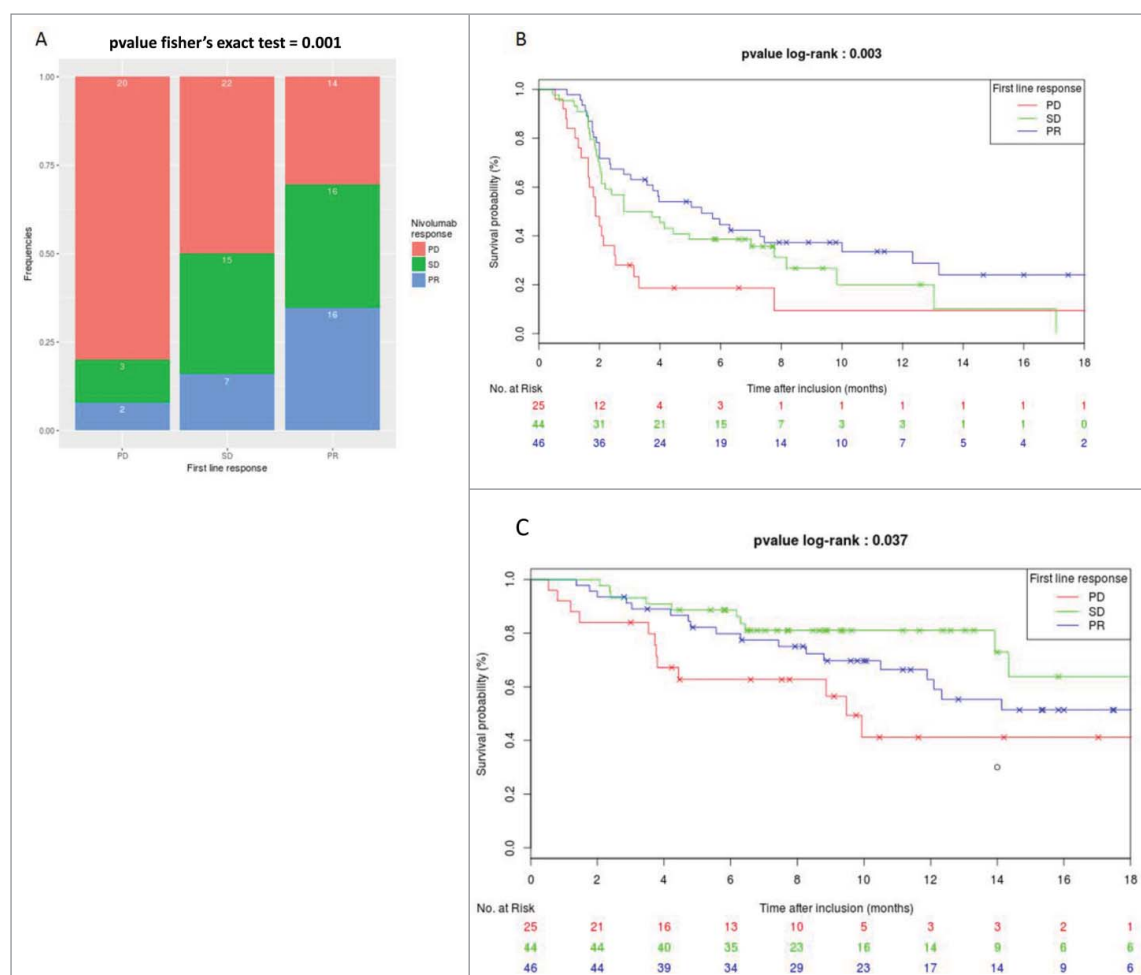
pemetrexed doublet. Only 9 patients received bevacizumab in addition to the platine-based first line doublet. The median age at diagnosis was 66.1 y. The clinical characteristics of the study population are listed in [Table 1](#)

Forty-six (40%) patients experienced radiological objective response, including 2 complete responses (CR) (1.7%); while 44 (38.3%) patients presented stable disease (SD) and 25 (21.7%) presented progressive disease (PD) as their best response during first-line chemotherapy. Sixty-two (54%) patients received nivolumab as second-line therapy and 53 (46%) as third-line. Best response to nivolumab was PR, SD and PD in 25 (21.7%), 34 (29.6%), 56 (48.7%) patients, respectively. Median PFS from start of nivolumab was 3 months (95%CI [2.1; 4.4]). Median OS after nivolumab initiation was 8.8 months (95%CI [7.2; NR]).

### **Association between nivolumab benefit and response to first line chemotherapy**

We first investigated whether the type of chemotherapy received as first-line influenced the RECIST response rate (CR and PR) and PFS with nivolumab. We observed a similar response rate to nivolumab, irrespective of the type of doublet received as first-line (20%, 21.6% and 22.4% for gemcitabine, taxane, pemetrexed doublets, respectively, Fisher's exact test ( $P = 0.52$ )). Similarly, the type of doublet received in first-line did not influence nivolumab PFS (median PFS 4.4, 3.9 and 2.3 for gemcitabine, taxane, pemetrexed doublets, respectively; log-rank test  $P = 0.37$ ) (Fig. S2). Similarly, we observed

comparable response rates and PFS under nivolumab, irrespective of the use of cisplatin or carboplatin (18.5% and 26% of responders, respectively,  $P = 0.56$ ; median PFS 2.4 and 4.1 months respectively, log-rank test  $P = 0.31$ ) (Fig. S3).). The use of nivolumab as second- or third-line therapy did not influence the response rate or PFS (16.1% and 28.3% of responders in 2<sup>nd</sup> or 3<sup>rd</sup> line respectively,  $P = 0.28$ ; median PFS of 2.8 and 3.3 months respectively, log-rank test  $P = 0.55$ ) (Fig. S4). We then examined whether response to first-line therapy was associated with the response rate and PFS under nivolumab. Patients who had PR to first-line chemotherapy had an improved response rate to nivolumab. Interestingly, while 35% IC95% [0.21; 0.49] (16/46) of first line-responding patients (PR) obtained an objective response to nivolumab, the response rate was only 16% IC95% [0.05–0.27] (7/44) for patients with SD, and 8% IC95% [0.02–0.23] (2/25) for patients with PD during first-line chemotherapy, respectively (Fisher's exact test  $P = 0.001$ ) (Fig. 1A). PFS from the initiation of nivolumab treatment was also significantly different according to best response to first-line treatment with a median PFS of 5, 3.3, and 1.9 months for patients with initial PR, SD or PD, respectively ( $P = 0.003$ , Fig. 1B). Response to first-line chemotherapy was significantly associated with the response rate and PFS in patients treated with nivolumab in the second-line setting. However, these results are not significant for patients treated with nivolumab in third-line setting (Fig. S5). No significant differences were observed for other clinico-pathological features between the 3 groups of patients (Table 1).



**Figure 1.** Association between response rate to first line regimen and efficacy of nivolumab. A. Repartition of response rate under nivolumab therapy in function of RECIST response to first line regimen therapy. B. Kaplan-meier curves of PFS under nivolumab therapy in function of RECIST response to first line regimen therapy. C. Kaplan-meier curves of OS under nivolumab therapy in function of RECIST response to first line regimen therapy.

Univariate Cox proportional hazard model indicated that an objective response to first-line therapy was significantly associated with improved PFS. In multivariate analyses, only gender and response to first-line were independently associated with improved PFS (Table 2). Similarly, a OS from the start of nivolumab treatment was significantly different in the 3 groups defined by best response to first-line treatment ( $P = 0.04$ ), with median OS of 9.9, 8.6 and 6.6 months for patients with PR, SD and PD after first-line chemotherapy, respectively (Fig. 1C). Univariate and multivariate analyses also indicated that objective response to first-line therapy was significantly associated with an improvement of OS (Table 3).

## Discussion

Monoclonal antibodies targeting PD-1 and PD-L1 have opened up a new field in thoracic oncology. Nivolumab, pembrolizumab and atezolizumab have demonstrated their superiority compared with chemotherapy in second-line setting, with median PFS of about 3 months, and median OS of approximately 12 months.<sup>10,11,20,21</sup> The extent and durability of responses have revolutionized the conceptual approach to advanced lung cancer treatment. Pembrolizumab has demonstrated in first-line its superiority over platinum-based

chemotherapy in patients with NSCLC with a high level of PD-L1 expression.<sup>22</sup> However, less than a quarter of patients experience a clinical benefit from anti-PD-1 or anti-PD-L1 monoclonal antibodies. Therefore, biomarkers that can reliably predict the efficacy of immune checkpoint blockade are still lacking.

Here, we provide evidence supporting the fact that the quality of the tumor response obtained on first-line platinum-based chemotherapy may be a surrogate marker of subsequent benefit to nivolumab as second- or third-line therapy. In particular, in patients with PD after first-line chemotherapy, only 2 patients (8%) experienced PR to nivolumab (3 and 6.6 months of PFS for this 2 patients), with a median PFS of less than 2 months for this group of patients, raising questions about the clinical relevance of such treatment in this subset of patients.

Tumor labeling with anti PD-L1 antibody has been associated with better response to immune checkpoint inhibitors.<sup>23,24</sup> However, among patients whose tumor was negative for PD-L1 expression by immunohistochemistry, about 10% exhibit a PR to anti PD-1/PD-L1 mAb, with a median PFS of 4 months.<sup>20</sup> In addition, since PD-L1 expression is heterogeneous and variable of over time, PD-L1 is not considered a definitive biomarker.<sup>25,26</sup> Thus, the identification of other markers remains a very active area of translational research.

**Table 2.** Univariate and multivariate analysis (Cox regression) for factors associated with PFS.

	Univariate analysis			Multivariate analysis		
	HR	95%CI	p-value	HR	95%CI	p-value
Age*	0.98	[0.96; 1.01]	<b>0.13</b>	0.98	[0.95; 1.01]	<b>0.18</b>
Sex						
Female	1			1		
Male	1.26	[0.76; 2.1]	<b>0.36</b>	1.81	[1.02; 3.20]	<b>0.04</b>
WHO PS						
0	1			1		
1	1.02	[0.66; 1.59]	<b>0.92</b>	1.05	[0.62; 1.79]	<b>0.85</b>
Histology						
Adenocarcinoma	1			1		
Epidermoid	0.87	[0.56; 1.34]	<b>0.52</b>	1.03	[0.46; 2.31]	<b>0.94</b>
Smoking						
No	1			1		
Yes	0.88	[0.48; 1.63]	<b>0.70</b>	1.06	[0.55; 2.03]	<b>0.87</b>
Stage						
IIIB	1			1		
IV	0.87	[0.53; 1.79]	<b>0.93</b>	0.63	[0.28; 1.40]	<b>0.25</b>
Line Nivolumab						
2	1			1		
3	0.88	[0.57; 1.34]	<b>0.55</b>	0.89	[0.55; 1.44]	<b>0.63</b>
Type of doublet						
Pemetrexed	1			1		
Gemcitabine	0.66	[0.36; 1.22]	<b>0.18</b>	0.58	[0.24; 1.46]	<b>0.25</b>
Taxane	0.81	[0.50; 1.30]	<b>0.38</b>	0.70	[0.0.29; 1.71]	<b>0.44</b>
Type of platin						
carboplatin	1			1		
cisplatin	1.25	[0.81; 1.92]	<b>0.31</b>	1.13	[0.64; 1.99]	<b>0.67</b>
Response to first line						
PD	1			1		
SD	0.55	[0.32; 0.95]	<b>0.03</b>	0.43	[0.23; 0.80]	<b>0.008</b>
PR	0.39	[0.26; 0.69]	<b>0.001</b>	0.32	[0.17; 0.59]	<b>2.6e-4</b>
KRAS						
No	1			1		
Yes	0.65	[0.35; 1.21]	<b>0.18</b>			
PD-L1						
Negative	1			1		
>1%	0.97	[0.55; 1.69]	<b>0.90</b>			

\*hazard ratio for continuous variable was calculated for one unit.

First-line chemotherapy may affect patient's immune system. Recent evidence underlines that cell death induced by some cytotoxic agents could induce a process called "immunogenic cell death." In mice and humans, some drugs, such as anthracyclines, cyclophosphamide and oxaliplatin induce this particular type of cell death, which induces the translocation of calreticulin to the cell surface, and release of HMGB1, ATP and annexin 1.<sup>27-29</sup> These molecules promote phagocytosis of dying cells by dendritic cells, as well as optimal presentation of tumor antigen, and recruitment and optimal polarization of CD8+ T cells. This activation in turn promotes stimulation of patient's anti-tumor immune response, and a durable adaptive T cells response that can then be amplified by checkpoint inhibitors.<sup>30</sup> Preclinical data in the context of spontaneous lung cancer reveals that the combination of cyclophosphamide and oxaliplatin is able to induce both immune response and tumor shrinkage, and may be synergic with checkpoint inhibitors.<sup>31</sup>

While carboplatin and cisplatin are usually considered to be non-immunogenic,<sup>32,33</sup> it is currently unknown whether cytotoxics used in combination with cisplatin or carboplatin may be immunogenic. Indeed, while gemcitabine has been reported to induce immunogenic cell death,<sup>34</sup> the immune properties of pemetrexed and taxane are not fully described. In addition to its capacity to induce immune cell death, chemotherapy may

have other positive effects on the immune system. For example, gemcitabine can eliminate myeloid derived suppressor cells (MDSCs), a population of immune cells that blunt antitumor immune response.<sup>35,36</sup> Furthermore, there is a strong rationale to consider that elimination of immunosuppressive cells may be a powerful tool to improve the efficacy of checkpoint inhibitors.<sup>37</sup> Additional studies are required to determine the effects of pemetrexed and taxanes on immunosuppressive cells, such as regulatory T cells and myeloid derived suppressor cells.

Previous data suggest that tumors with a high mutational load have high neoantigen levels, and are thus more prone to induce T-cell immune response.<sup>38-40</sup> These tumors are also considered to be the best candidates for immunotherapy.<sup>41,42</sup> Such high levels of genetic instability could also lead to better response to cytotoxic agents, because high tumor genetic instability negatively impacts on the capacity of cancer cells to support additional damage induced by cytotoxic chemotherapies. This hypothesis should be tested in biomarker studies, using tumor exome sequencing.

Our data raise the hypothesis that clinical response to platinum-based doublet may trigger response and prime the efficacy of subsequent immune checkpoint blockers. However, previous data also suggest that response to the first-line regimen is also associated with better outcome of second line classical cytotoxic

**Table 3.** Univariate and multivariate analysis (Cox regression) for factors associated with OS.

	Univariate analysis			Multivariate analysis		
	HR	95%CI	p-value	HR	95%CI	p-value
Age*	1	[0.97; 1.04]	<b>0.91</b>	1	[0.96; 1.04]	<b>0.80</b>
Sex						
Female	1			1		
Male	0.97	[0.48; 2.00]	<b>0.94</b>	1.18	[0.55; 2.53]	<b>0.67</b>
WHO PS						
0	1			1		
1	1.15	[0.60; 2.22]	<b>0.66</b>	1.23	[0.57; 2.64]	<b>0.60</b>
Histology						
ADK	1			1		
CE	0.87	[0.46; 1.63]	<b>0.66</b>	0.51	[0.17; 1.59]	<b>0.25</b>
Smoking						
No	1			1		
Yes	0.92	[0.38; 2.19]	<b>0.84</b>	0.82	[0.32; 2.08]	<b>0.67</b>
Stage						
IIIB	1			1		
IV	0.92	[0.39; 2.20]	<b>0.85</b>	0.63	[0.57; 2.64]	<b>0.44</b>
Line Nivolumab						
2	1			1		
3	1.32	[0.71; 2.46]	<b>0.38</b>	1.43	[0.72; 2.83]	<b>0.30</b>
Type of doublet						
Pemetrexed	1			1		
Gemcitabine	0.64	[0.25; 1.62]	<b>0.35</b>	0.91	[0.27; 3.01]	<b>0.88</b>
Taxane	1.15	[0.58; 2.27]	<b>0.68</b>	1.59	[0.48; 5.26]	<b>0.45</b>
Type of platin						
carboplatin	1			1		
cisplatin	1.12	[0.59; 2.09]	<b>0.73</b>	1.12	[0.51; 2.47]	<b>0.78</b>
Response to first line						
PD	1			1		
SD	0.34	[0.15; 0.80]	<b>0.01</b>	0.30	[0.12; 0.76]	<b>0.01</b>
PR	0.56	[0.27; 1.17]	<b>0.13</b>	0.48	[0.21; 1.08]	<b>0.08</b>
KRAS						
No	1			1		
Yes	0.71	[0.28; 1.80]	<b>0.47</b>			
PD-L1						
Negative	1			1		
>1%	1.40	[0.63; 3.09]	<b>0.40</b>			

\*hazard ratio for continuous variable was calculated for one unit.

drugs.<sup>18</sup> Thus, we cannot exclude the possibility that response to first-line chemotherapy is an intrinsic factor of better prognosis, regardless of the type of treatment used in second-line.

Our study has several limitations. First, the retrospective design implies that results must be interpreted with caution and must be validated in larger prospective trials and/or other retrospective cohorts of lung cancer patients. Similar results could also be easily validated in other cancers, where checkpoint blockers are currently used in second-line therapy, such as bladder or head and neck cancer. In addition, mixing patients treated by nivolumab as second- or third-line may have biased our results. However, similar results were obtained in both cohorts, suggesting that second-line therapy with cytotoxic agents did not impact on immunotherapy outcomes.

In conclusion, our study shows that objective response to first-line chemotherapy may be a valuable surrogate marker of benefit to the subsequent use of nivolumab as second or third line treatment. It also raises the hypothesis that cell death-induced by chemotherapy may promote the efficacy of nivolumab. Further biologic studies are required to determine whether clinically effective chemotherapy could positively affect *in situ* immune response. Our data may be rapidly validated by independent retrospective exploration of clinical trials using checkpoint inhibitors, such as pembrolizumab, atezolizumab

and nivolumab in second-line therapy. Finally, our data also provide a rationale for the use of checkpoint inhibitor as maintenance therapy in case of good response to front-line chemotherapy.

### Disclosure of potential conflicts of interest

No potential conflicts of interest were disclosed.

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