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# The anti-SLAMF7 antibody elotuzumab mediates NK cell activation through both CD16-dependent and –independent mechanisms

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#### ABSTRACT

Elotuzumab is a humanized therapeutic monoclonal antibody directed to the surface glycoprotein SLAMF7 (CS1, CRACC, CD319), which is highly expressed on multiple myeloma (MM) tumor cells. Improved clinical outcomes have been observed following treatment of MM patients with elotuzumab in combination with lenalidomide or bortezomib. Previous work showed that elotuzumab stimulates NK cell-mediated antibody-dependent cellular cytotoxicity (ADCC), via Fc-domain engagement with  $Fc\gamma$ RIIIa (CD16). SLAMF7 is also expressed on NK cells, where it can transmit stimulatory signals. We tested whether elotuzumab can directly activate NK cells via ligation with SLAMF7 on NK cells in addition to targeting ADCC through CD16. We show that elotuzumab strongly promoted degranulation and activation of NK cells in a CD16-dependent manner, and a non-fucosylated form of elotuzumab with higher affinity to CD16 exhibited enhanced potency. Using F(ab')<sub>2</sub> or Fc-mutant forms of the antibody, the direct binding of elotuzumab to SLAMF7 alone could not stimulate measurable CD69 expression or degranulation of NK cells. However, the addition of soluble elotuzumab could costimulate calcium signaling responses triggered by multimeric engagement of NKp46 and NKG2D in a CD16-independent manner. Thus, while elotuzumab primarily stimulates NK cells through CD16, it can also transduce effective "trans"costimulatory signals upon direct engagement with SLAMF7, since these responses did not require direct co-engagement with the activating receptors. Trans-costimulation by elotuzumab has potential to reduce activation thresholds of other NK cell receptors engaging with their ligands on myeloma target cell surfaces, thereby potentially further increasing NK cell responsiveness in patients.

#### Introduction

Multiple myeloma (MM) is a neoplastic disorder characterized by expansion of malignant plasma cells in bone marrow, accumulation of monoclonal Ig protein in blood and urine, and end-organ damage, such as anemia, bone lesions, renal failure and hypercalcemia.<sup>1</sup> About 30,000 cases of MM were predicted to be diagnosed in the US in 2016, with approximately 13,000 fatalities.<sup>2</sup> Over the past decade, new therapeutic regimens have entered clinical practice to treat MM, including immunomodulatory drugs (lenalidomide, pomalidomide) and proteasome inhibitors (bortezomib, carfilzomib). These therapies, in combination with conventional treatments, have significantly improved overall survival of MM patients from a median of approximately 3-4 y to about 5-7 y. However, despite progress in therapeutic options, nearly all MM patients become refractory to treatment and ultimately die of their disease.<sup>3</sup> Therefore, new therapies are needed to prolong remissions and control disease development.

Therapeutic monoclonal antibodies are demonstrating increased efficacy for treatment of human malignancies,

including MM. They can mediate anti-tumor responses through multiple mechanisms, including directly blocking or engaging receptors on tumors and immune cells in the tumor microenvironment, promoting antibody-dependent cell-mediated cytotoxicity (ADCC), supporting antibody-dependent phagocytosis, and initiating complement-dependent cytotoxicity.<sup>4</sup> Well-characterized myeloma surface antigens can be targeted with monoclonal antibodies, including signaling lymphocytic activation molecule F7 (SLAMF7),<sup>5</sup> CD38,<sup>6</sup> CD138,<sup>7</sup> CD56,<sup>8</sup> CD200,<sup>9</sup> CD40,<sup>10</sup> BCMA,<sup>11</sup> and CD74.<sup>12</sup>

The humanized  $IgG_1$  monoclonal antibody elotuzumab (HuLuc63) was developed to target SLAMF7 (CS1, CRACC, CD319), which is highly expressed on myeloma cells and normal plasma cells. SLAMF7 is also found on most NK cells and activated subsets of T cells, monocytes, dendritic cells, and B cells.<sup>13</sup> The receptor is a type I transmembrane glycoprotein with 2 extracellular Ig-like domains and an intracellular immunoreceptor tyrosine-based switch motif (ITSM). SLAMF7 mediates homotypic

Supplemental data for this article can be accessed on the publisher's website.

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#### ARTICLE HISTORY

Received 9 January 2017 Revised 1 June 2017 Accepted 2 June 2017

#### **KEYWORDS**

ADCC; elotuzumab; multiple myeloma; NK cells; SLAMF7

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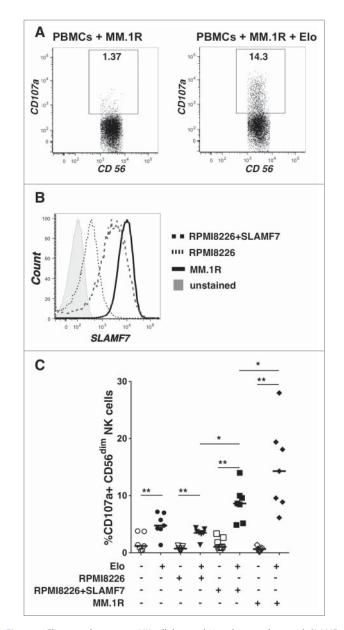
interactions with itself on adjacent cell surfaces. In NK cells, it functions as an activating receptor through ITSM-mediated interaction with the EAT-2 adaptor to trigger activation signaling.<sup>14</sup> Elotuzumab promotes the in vitro cytolysis of myeloma cell lines or patient myeloma tumor cells via NK cell-mediated ADCC, as well as regression of MM xenografts in vivo.<sup>13,15,16</sup> Preclinically, elotuzuenhanced anti-myeloma activity in showed mab combination with bortezomib<sup>16</sup> or lenalidomide,<sup>17</sup> which both also promote NK cell functional responses.<sup>18</sup> A recent study in mice also found enhanced anti-tumor activity when elotuzumab was combined with PD-1 blockade.<sup>19</sup> A phase I clinical trial for relapsed or refractory MM patients showed no objective responses to single-agent elotuzumab, however, it was generally well tolerated, with stable disease in a subset of patients.<sup>20</sup> Improved responses and progression-free survival were observed when elotuzumab was used in combination with bortezomib plus dexamethasone or lenalidomide plus dexamethasone.<sup>21,22</sup> These findings were confirmed when the antibody was administered in combination with dexamethasone and lenalidomide in a recent phase III clinical trial.<sup>23</sup> The FDA has approved elotuzumab to be used in combination with lenalidomide and dexamethasone for treating MM patients with one to 3 prior lines of therapy.

We hypothesized that elotuzumab-mediated engagement with SLAMF7 may directly enhance NK cell activation and cytotoxicity, in addition to promoting ADCC through CD16. We demonstrate that elotuzumab strongly stimulates NK cell degranulation and activation in a CD16dependent manner. Interestingly, we also show that soluble elotuzumab can effectively co-stimulate calcium signaling in NK cells when added in combination with antibodies targeting NKp46 and NKG2D.

#### Results

### Elotuzumab promotes NK cell degranulation that correlates with SLAMF7 expression on myeloma target cell lines

We tested the in vitro impacts of elotuzumab (Elo) on the NK cells within PBMCs in the presence or absence of myeloma target cells to mimic conditions in treated patients. The impact of Elo on degranulation of primary NK cells was first investigated using in vitro CD107aexpression assays, in which healthy donor PBMCs were co-cultured with myeloma target cell lines. It has been shown that CD107a expression on NK cells correlates with target cell lysis.<sup>24,25</sup> Adding  $1\mu$ g/ml of Elo strongly increased the proportion of NK cells degranulating in response to MM.1R target cells from mean values of 0.65  $\pm$  0.4% (targets alone) to 14.9  $\pm$  7.6% for 7 healthy donors (Fig. 1A). To test whether SLAMF7 expression on target cells is important for inducing NK cell degranulation by Elo, we used a panel of myeloma target cell lines expressing a broad range of cell surface SLAMF7 levels. These cell lines were: RPMI8226 cells that express low levels of SLAMF7, RPMI8226 cells that were retrovirally



**Figure 1.** Elotuzumab promotes NK cell degranulation that correlates with SLAMF7 expression on myeloma target cell lines. A) NK cell degranulation (CD107a<sup>+</sup>) from a representative healthy donor after 2 hours incubation with MM.1R targets alone (left; PBMC to target ratio 1:1) or with 1µg/ml Elo. Percentage degranulating CD56<sup>dim</sup> NK cells is indicated in the box gates. B) SLAMF7 expression on myeloma target cell lines using biotinylated Elo and streptavidin-APC. Unstained cells = gray shaded, parental RPMI8226 = dotted (MFI 422), SLAMF7-transduced RPMI8226 = dashed (MFI 2254), and MM.1R = solid (MFI 10,973). C) Degranulation responses by NK cells in PBMCs from healthy donors (n = 7) alone (circles) or exposed to RPMI-8226 (inverted triangles), RPMI-8226+SLAMF7 (squares) or MM.1R target cells (diamonds) in the presence (+; filled) or absence (-; empty) of 1µg/ml Elo. Horizontal lines = medians; each datapoint = a healthy donor. Overhead bars mark statistical comparisons between indicated groups using one-sided or 2-sided Wilcoxon matched-pairs signed rank test, \*\*- P < 0.01, \*-P < 0.05.

transduced to generate intermediate expression of SLAMF7 (RPMI8226+SLAMF7), and MM.1R cells, which express high cell surface SLAMF7 (Fig. 1B). PBMCs from healthy donors were exposed to these myeloma lines in the presence or absence of Elo  $(1\mu g/ml)$ , and NK cell degranulation was measured. Under these conditions, Elo alone or Elo plus RPMI8226 target cells induced similar low-level NK cell degranulation. In contrast, Elo induced more

potent degranulation when added in combination with the RPMI8226+SLAMF7 and MM.1R target cells (Fig. 1C), and the level of degranulation directly correlated with the surface expression of SLAMF7 on the myeloma target cells (Fig. 1B). It should be noted that additional co-stimulatory ligands on MM1.R cells may have contributed to its enhanced capacity to stimulate Elo-mediated degranulation as compared with RPMI8226+SLAMF7 cells, but clearly exogenous expression of SLAMF7 on RPMI8226 cells significantly potentiated stimulatory capacity, as compared with the parent target cell line. Our results are consistent with previous reports of NK cell-mediated ADCC responses triggered by Elo,<sup>13,15-17</sup> and our data demonstrate that the intensity of *in vitro* degranulation correlates with the SLAMF7 expression on myeloma target cells.

# NK cell activation and degranulation correlate with elotuzumab concentration

We next performed a dose response study to test the concentration of Elo (1ng/ml to  $100\mu$ g/ml) promoting NK cell degranulation and activation. This dose response range

reflects therapeutic levels, as clinical trials have observed serum concentrations of consistently greater than  $70\mu$ g/ml in patients treated with therapeutic doses of Elo, and these patients achieved >90% occupancy of SLAMF7 on CD38<sup>+</sup> bone marrow cells at serum concentrations between 30-100µg/ml.<sup>22,26</sup> We measured NK cell degranulation in PBMCs from healthy donors in response to Elo alone or Elo plus MM1.R target cells (Fig. 2A). Elo alone promoted weak degranulation that was first evident at 10ng/ml and reached a maximum response at  $10\mu$ g/ml. In contrast, when co-cultured with MM.1R targets, Elo stimulated robust degranulation over the same concentration range. Interestingly, the degranulation response was significantly reduced at  $100\mu$ g/ml, as compared with  $10\mu$ g/ml. This reduced response at higher antibody concentration is consistent with lower efficacy in patients treated with higher Elo dose in a phase 2 clinical trial, in which the objective response rate was 92% with 10mg/kg dose compared with 76% in patients treated with 20mg/kg in combination with lenalidomide and dexamethasone, although response was not compared with serum concentrations of Elo in that study.<sup>27</sup> We observed only a modest decline in NK cell

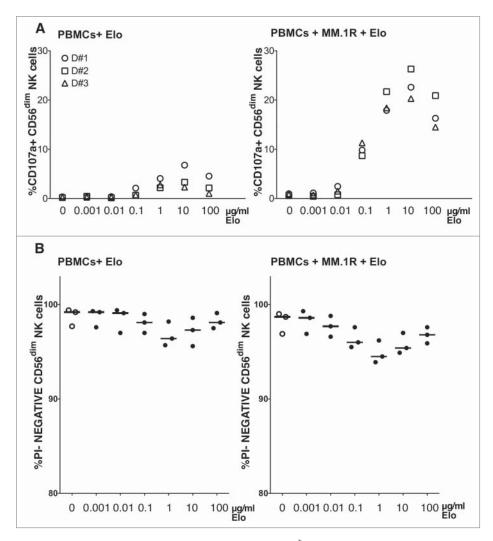


Figure 2. NK cell degranulation correlates with elotuzumab concentration. A) Percentage CD56<sup>dim</sup> NK cells in PBMC from 3 healthy donors degranulating after 2 hours with various concentrations (0.001–100µg/ml) of Elo alone (left) or with MM.1R targets (right; PBMC to target cell ratio 1:1). B) Viability of CD56<sup>dim</sup> NK cells as the percentage of propidium iodide (PI)-negative CD56<sup>dim</sup> NK cells for each condition.

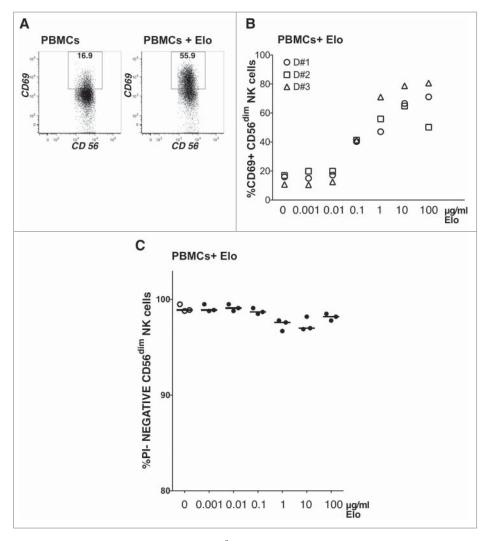


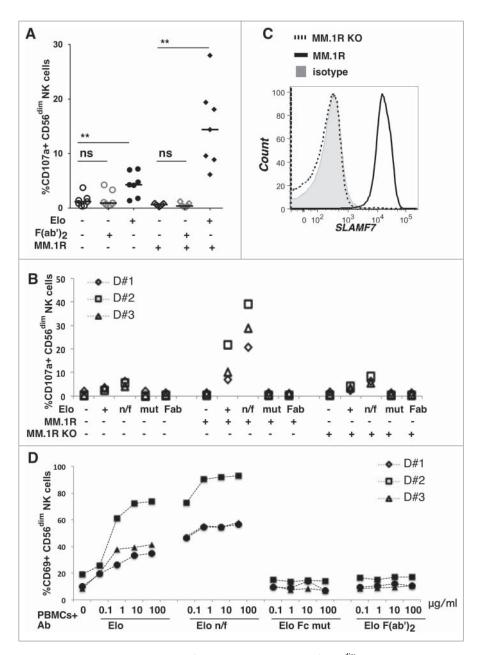
Figure 3. NK cell activation correlates with elotuzumab concentration. A) CD56<sup>dim</sup> NK cell activation from a representative healthy donor was measured as percentage of CD69<sup>+</sup> cells after overnight incubation without (left) or with 1ug/ml Elo (right), as indicated in the box gate. B) Percentage of CD56<sup>dim</sup> NK cells in PBMCs from 3 healthy donors expressing CD69 after overnight exposure to indicated concentrations of Elo alone. C) Viability of CD56<sup>dim</sup> NK cells as the percentage of PI-negative CD56<sup>dim</sup> NK cells for each condition.

viability in response to Elo in these samples (Fig. 2B), suggesting minimal NK cell fratricide. The reduction in viability inversely correlated with degranulation response, consistent with ADCC-induced apoptosis of NK cells.<sup>28-30</sup> Interestingly, Elo was far superior in promoting NK cell degranulation in the presence of MM.1R target cells, as compared with the human IgG1/mouse chimeric anti-SLAMF7 antibody, ChLuc90 (Fig. S1), which binds a different epitope on SLAMF7.<sup>15</sup>

As a further measure of NK cell activation, we quantified the surface expression of the activation marker CD69 on NK cells within PBMCs exposed to Elo for 24 hours. In this assay, Elo alone  $(1\mu g/ml)$  induced strong CD69 expression on NK cells (Fig. 3A). In a dose response experiment, increased CD69 expression was first detected at 100ng/ml Elo, peaked at 10 $\mu$ g/ml, and remained similarly elevated at 100 $\mu$ g/ml (Fig. 3B). Elo caused only a minimal decline in NK cell viability, even in these 24hour assays (Fig. 3C). The addition of MM.1R target cells with Elo also stimulated significantly more robust expression of CD69 on NK cells in a 2-hour assay (Fig. S2).

### NK cell degranulation/activation requires the Fc portion of elotuzumab

Using Elo to stain healthy donor PBMCs, we observed high SLAMF7 expression on CD56<sup>dim</sup> NK cells and non-classical monocytes, whereas moderate expression was seen on CD56<sup>+</sup> T cells and CD56<sup>bright</sup> NK cells (Fig. S3). The expression of SLAMF7 on multiple leukocyte populations in PBMCs suggests that the capacity of Elo alone to induce weak degranulation and CD69 expression of NK cells (Figs. 2 and 3) could be due to: 1) ADCC responses toward other NK cells or monocytes opsonized with Elo, or 2) direct engagement of SLAMF7 by the mAb. Therefore, we asked whether the Fc portion of Elo is required to promote NK cell degranulation and activation by first testing whether F(ab')<sub>2</sub> Elo stimulates degranulation toward MM.1R targets. Elo alone promoted weak degranulation of NK cells from healthy donors, whereas F(ab')<sub>2</sub> Elo was completely ineffective, even in the presence of MM.1R target cells (Fig. 4A). These results indicate that NK cell degranulation in presence of Elo is CD16-dependent.



**Figure 4.** NK cell degranulation and activation requires the Fc portion of elotuzumab. A) Percentage of CD56<sup>dim</sup> NK cells that degranulated (CD107a<sup>+</sup>) in PBMCs from 7 healthy donors after exposure to whole Elo or F(ab')<sub>2</sub> Elo at 1ug/ml with (+) or without (-) MM.1R targets. Horizontal lines are medians. Overhead bars mark statistical comparisons between indicated groups using one-sided Wilcoxon matched-pairs signed rank test, <sup>\*\*</sup>- P < 0.01, ns – not significant. B) NK cell degranulation in PBMCs from 3 healthy donors after exposure to various forms of Elo alone (+ = native mAb, n/f = non-fucosylated Elo, mut = Elo Fc mutant, and Fab = F(ab')<sub>2</sub> Elo; 1ug/ml each) with (+) or without (-) MM.1R or SLAMF7-deficient (KO) MM.1R targets (PBMC to target ratio 1:1). C) SLAMF7 expression on myeloma target cells using biotinylated Elo and streptavidin-APC. Isotype treated = gray shaded histogram, SLAMF7-deficient (KO) MM.1R = dashed line, and MM.1R = solid line. D) Activation of CD56<sup>dim</sup> NK cells expressing CD69.

**Table 1.**  $K_D$  values measured for the interactions between indicated form of Elo and human recombinant forms of the extracellular domain of CD16 (low affinity 158V or higher affinity 158F alleles), CD32a (low affinity 131R or higher affinity 131H alleles), CD32b, and CD64.

	K <sub>D</sub> , μM		
	Elo	Elo n/f	Elo Fc mut
CD16–158F	2–5	≈0.1	>>100
CD16–158V	$0.36\pm0.04$	≈0.014	>100
CD32a-131H	$1.3 \pm 0.1$	$1.6 \pm 0.2$	>>200
CD32a-131R	$1.4 \pm 0.1$	$0.88\pm0.08$	≥10
CD32b	$\geq 10$	$\geq$ 10	>>10
CD64	$0.000073 \pm 0.00001$	$0.000047 \pm 0.00001$	>10

We next studied the effects of 2 Fc-engineered forms of Elo on NK cell degranulation: 1) a variant with a mutation in the Fc region that abrogates interaction with CD16 (Elo Fc mut) and 2) a non-fucosylated version (Elo n/f) that exhibits higher binding affinity to CD16 (Table 1). As expected, both Elo and Elo n/f increase the fraction of CD107a<sup>+</sup> NK cells in the presence or absence of MM.1R target cells, and responses to Elo n/f stimulated more potent responses in all donors (Fig. 4B). Despite more potent degranulation response to Elo n/f, NK cell viability was only minimally reduced in these 2-hour assays (data not shown). In sharp contrast to the native or n/f

antibody, Elo Fc mutant or Elo  $F(ab')_2$  did not induce degranulation in the presence or absence of MM.1R targets (Fig. 4B). We also knocked out SLAMF7 expression in MM.1R cells using zinc finger nucleases to generate MM.1R KO cells (Fig. 4C). The impacts of Elo and Elo n/f on degranulation in the presence of MM.1R KO targets were similar to these antibodies alone, demonstrating that the enhanced degranulation results from ADCC toward SLAMF7<sup>+</sup> myeloma target cells.

CD69 expression was also measured in response to treatment of PBMCs with  $1-100\mu g/ml$  of Elo, Elo n/f, Elo Fc mutant and Elo F(ab')<sub>2</sub>. Consistent with degranulation experiments, Elo n/f induced greater increases in NK cell activation than Elo, while Elo Fc mutant and Elo F(ab')<sub>2</sub> had no effect (Fig. 4D). These results indicate that CD107a and CD69 expression induced by Elo in the absence of myeloma target cells is due to Fc-mediated engagement with CD16 upon Elo binding to the surface of nearby SLAMF7<sup>+</sup> cells within the PBMCs. Taken together, our data indicate that the activation of NK cells by Elo *in vitro* is primarily Fc-mediated and due to engagement with CD16, at least in these assays in which PBMCs are treated with soluble antibody.

# Costimulatory effect of SLAMF7 engagement by elotuzumab

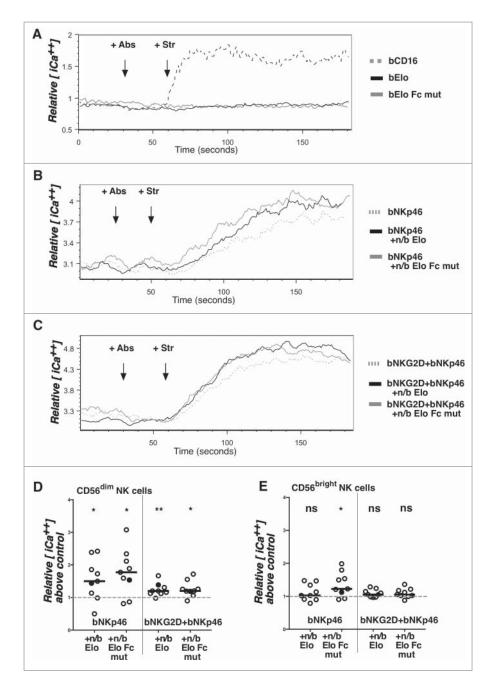
We next used the Fc-mutant form of Elo to test whether direct engagement with SLAMF7 can induce early signaling in NK cells, especially in soluble, unaggregated form. Previous work showed that antibody engagement of SLAMF7 induces activation of the human NK-like cell line, NK-92, through PLC $\gamma$ 1, PLC $\gamma$ 2 and PI3K signaling.<sup>31</sup> Accordingly, antibody engagement of SLAMF7 increased intracellular calcium in wild type primary mouse NK cells.<sup>32</sup> Therefore, we tested whether Elo Fc mutant could induce calcium mobilization alone, or in conjunction with simultaneous antibody crosslinking of other activating receptors in human NK cells. Biotinylated anti-CD16 mAb stimulated a robust calcium response when crosslinked with streptavidin (Fig. 5A). In sharp contrast, Elo alone or Elo Fc mutant alone did not induce calcium mobilization on human NK cells, even when these biotinylated mAbs were aggregated with streptavidin (Fig. 5A). Crosslinking of NKp46 with biotinylated mAb and streptavidin induced a modest calcium response in NK cells (Fig. 5B). Interestingly, streptavidin-mediated crosslinking of this activating receptor in combination with non-biotinylated Elo triggered even stronger calcium mobilization (Fig. 5B). Similar results were observed for combined streptavidin-mediated crosslinking of NKp46 and NKG2D in combination with non-biotinylated Elo (Fig. 5C). Importantly, co-crosslinking these activating receptors with non-biotinylated Elo Fc mutant (mimicking physiologic conditions) induced a similar potentiation of the calcium responses, demonstrating that this response is not due to Fc-mediated engagement with CD16 (Fig. 5B,C). Costimulation of NKp46-initiated calcium signals upon addition of non-biotinylated Elo or Elo Fc mutant was observed in both CD56<sup>dim</sup> and CD56<sup>bright</sup> NK cells from most of 9 healthy donors (Fig. 5D, E), despite significantly lower SLAMF7 expression on CD56<sup>bright</sup> NK cells (Fig. S4). On the other hand, co-stimulation of calcium signals initiated by the combined engagement of NKp46+NKG2D was only observed in CD56<sup>dim</sup> NK cells (Fig. 6E), which are the cytolytic cells that express consistently high levels of SLAMF7 (Fig. S3). These results demonstrate that Elo can costimulate calcium signaling in an Fc-independent manner, which does not require direct co-engagement with streptavidin-aggregated NKp46 and/or NKG2D.

#### Discussion

In this work, we studied the mechanism by which Elo promotes NK cell-mediated cytotoxicity of myeloma cells. Elo is a humanized IgG<sub>1</sub> monoclonal antibody specific for SLAMF7, which is expressed on the surface of most myeloma tumor cells.<sup>13</sup> Our studies also found high expression of SLAMF7, as assessed by Elo binding, on NK cells and CD16<sup>+</sup> monocytes in the PBMCs of healthy donors, as well as expression on minor subsets of T and B cells, most notably CD56<sup>+</sup> T cells (Fig. S3). In light of previous work demonstrating that SLAMF7 is a co-activating receptor on NK cells, we postulated that Elo may be directly stimulating and costimulating NK cells in patients, in addition to mediating ADCC responses through Fc-mediated engagement with CD16.<sup>13,15-17</sup>

Our results demonstrate robust degranulation when NK cells were exposed to SLAMF7<sup>+</sup> myeloma target cells in the presence of Elo, and the degree of degranulation was directly dependent on the amount of SLAMF7 expressed on the myeloma cells. Interestingly, another SLAMF7 antibody, Chluc90, stimulated much weaker degranulation, indicating that Elo has unique activation properties, possibly due to higher affinity or targeting a key epitope on SLAMF7. We also showed that modest degranulation and CD69 induction in NK cells occurs when PBMCs are exposed to Elo alone, which is Fc-dependent and presumably due to CD16 engagement with Elo bound to adjacent SLAMF7<sup>+</sup> cells within the PBMCs. It is important to note that only modest NK cell degranulation was observed when Elo was added to PBMC in the absence of myeloma target cells. This modest activation response to engagement with adjacent SLAMF7<sup>+</sup> cells within the PBMCs may reflect the capacity of NK cell inhibitory receptors (e.g. killer cell Ig-like receptors or NKG2A/CD94) to impede detrimental degranulation responses toward normal MHC class I-bearing haematopoietic cells.

Importantly, we did not observe substantial loss of NK cell viability in Elo-treated cultures, even after overnight CD69 induction assays, demonstrating that fratricide between SLAMF7<sup>+</sup> NK cells is minimal in response to Elo addition to PBMC cultures, even at high concentrations. Perhaps this lack of fratricide is also reflective of the inhibitory receptors engaging with MHC class I on adjacent NK cells. Furthermore, forms of Elo that are unable to engage with CD16 (Elo Fc mutant and Elo F(ab')<sub>2</sub>) could not stimulate degranulation or induce CD69 expression on NK cells in PBMCs. We conclude that the most potent stimulatory signal provided to NK cells by Elo is through CD16-



**Figure 5.** Co-activation of calcium signaling responses by SLAMF7 engagement with elotuzumab. A) PBMCs from healthy donors were loaded with indo-1-AM and stained for CD56 and CD3 before analysis by flow cytometry as in Materials and Methods. Cells were analyzed for 30 seconds, treated with antibodies (+Abs) [biotinylated anti-CD16 (bCD16; dashed gray line), bElo (solid black line), or bElo Fc mutant (solid gray line)], and analyzed for 30 seconds, exposed to streptavidin (+Str) to aggregate antibodies, and analyzed for 2 additional minutes. Relative mean intracellular calcium concentration [iCa<sup>2+</sup>] is indicated from the mean ratio of violet (405 nm) to blue (485 nm) emission over time in gated CD56<sup>dim</sup> NK cells. B) PBMCs were stimulated using biotinylated anti-NKp46 alone (+bNKp46; dashed gray line) or in combination with non-biotinylated (+n/b) Elo (black solid line) or +n/b Elo Fc mutant (gray solid line) at 30 seconds, then streptavidin 30 seconds later. O, PBMCs were stimulated using biotinylated anti-NKG2D + biotinylated anti-NKp46 mAbs (bNKG2D+bNKp46; dashed gray line) or these 2 biotinylated mAbs in combination with non-biotinylated (+n/b) Elo Fc mutant (gray solid line) at 30 seconds, then streptavidin 30 seconds later. O, PBMCs were stimulated using biotinylated anti-NKG2D + biotinylated anti-NKp46 mAbs (bNKG2D+bNKp46; dashed gray line) or these 2 biotinylated mAbs in combination with non-biotinylated (+n/b) Elo Fc mutant (gray solid line) at 30 seconds, then streptavidin 30 seconds later. D,E) Individual icons represent the increase of relative mean intracellular calcium concentration above control (+bNKp46 or bNKG2D+bNKp46 alone normalized to a value of 1) for CD56<sup>dim</sup> NK cells (D) or CD56<sup>birght</sup> NK cells (E) from 9 healthy donors. Relative intracellular calcium values for the gated NK cell populations from each donor were calculated by determining the area under the curve for mean 405nm/485nm emission ratios between 120–180 seconds in Elo-treated samples (+bNKp46 ± bNKG2D + Str alone (right).

mediated engagement with the Fc portion of the antibody, which is consistent with previous publications.<sup>13,15-17</sup> Further studies are necessary to establish whether Elo can also impact immune responses by affecting the functions of SLAMF7-expressing non-classical monocytes (which also express CD16 to mediate ADCC) and CD56<sup>+</sup> T cells. It is also possible that Elo could facilitate physical bridging between SLAMF7 on myeloma cells with SLAMF7 on NK cells to promote adhesion and co-stimulation of NK cellmediated lysis.<sup>33,34</sup> The lack of any enhanced degranulation or CD69 induction by adding Elo Fc mutant or  $F(ab')_2$  in the presence or absence of myeloma target cells, however, indicates that such a mechanism is not adequate to stimulate these specific responses.

In contradiction to our results, Collins et al. previously demonstrated direct stimulation of NK cells with soluble Elo  $F(ab')_2$  and a Fc mutant form of Elo (elo-G2M3).<sup>34</sup> Their elo-G2M3 mutant consisted of an IgG2 backbone with Fc mutations designed to minimize CD16 binding.<sup>35</sup> The basis for discrepancy with our results is unclear, but it is possible that 1) their elo-G2M3 mutant and  $F(ab')_2$ preparations retained some residual capacity to bind to CD16, which was not formally tested in that work, or 2) since their experiments used purified NK cell preparations, the antibodies could potentially have enhanced the "bridging" of SLAMF7 molecules expressed on adjacent NK cells. We consistently used freshly thawed aliquots of -80°C cryopreserved antibody preparations in our experiments and failed to observe any stimulation of degranulation or CD69 induction when using soluble Fc-compromised antibodies to treat PBMCs (in which NK cells are more distributed, making up only 5-20% of lymphocytes). Furthermore, our Elo Fc mutant preparation contained an IgG1 Fc region with 5 mutations, resulting in extremely low to undetectable affinities for CD16, CD32, or CD64 (see Table 1).

In addition, we provide evidence that direct engagement of SLAMF7 with soluble Elo can effectively mediate co-stimulatory signaling. The addition of soluble Elo potentiated intracellular calcium signaling responses triggered by antibody-mediated aggregation of NKp46 alone or in combination with NKG2D. The results illustrate that Elo binding to NK cells can effectively co-stimulate ITAM-mediated signaling through NKp46,<sup>36</sup> even on top of costimulation by NKG2D in CD56<sup>dim</sup> NK cells. This co-stimulation was independent of CD16, as the same potentiation was observed upon addition of Elo Fc mutant. These data indicate that direct binding of soluble Elo to SLAMF7 on the NK cell surface provides a costimulatory priming signal that can effectively potentiate calcium mobilization responses triggered by multimeric aggregation of other activating receptors at target cell interfaces. Costimulation of calcium signaling by Elo is consistent with previous evidence that SLAMF7 associates with the cytosolic adaptor protein, EAT-2,31,32 which recruits PLC $\gamma$  to augment calcium signaling.<sup>37</sup>

Importantly, Elo did not have to be co-aggregated by streptavidin with the activating receptors to mediate costimulation, as non-biotinylated forms of Elo or Elo Fc mutant effectively co-stimulated the calcium response. This observation suggests that SLAMF7 engagement by Elo can trigger co-stimulation from outside the site of activating receptor engagement with ligands on myeloma cells at an immune synapse. In this way, the Elo-mediated costimulation functions in "trans," in contrast to costimulation in "cis," which involves direct coengagement with the activating receptors. Similarly, trans-inhibition of FccRI activation signaling was recently described when the Fc $\gamma$ RIIB inhibitory receptor was independently engaged on the surface of mast cells and basophils.<sup>38</sup> Trans-costimulation by Elo has the potential to enhance NK cell activation toward myeloma tumors that express appropriate ligands to engage NKp46, NKG2D, or potentially other ITAM-signaling receptors. DNAM-1, NKp46, and NKG2D play important roles in NK cell-mediated natural cytotoxicity of myeloma target cell lines,<sup>39,40</sup> and while their known ligands are often expressed on myeloma cells,<sup>41,42</sup> surface expression of these receptors can be reduced on NK cells in patients, especially in bone marrow.<sup>39,43,44</sup> Our results suggest that trans-costimulation by Elo has the potential to enhance signaling by these receptors, even if their surface expression is reduced. Interestingly, lenalido-mide can upregulate the expression of NKG2D ligands on myeloma cells,<sup>42,45</sup> further increasing susceptibility to NK cells and potentially contributing to the improved therapeutic activity when combined with Elo.

In summary, our data demonstrate that Fc-mediated (CD16-dependent) NK cell activation is a dominant mechanism of action for Elo in vitro, while some co-stimulatory activation of NK cells can be induced through direct binding of Elo to SLAMF7 in a CD16-independent manner. Trans-costimulation by Elo has potential to boost activation signals mediated through other receptors engaging with ligands on the surface of myeloma cells, thereby providing an additive benefit to enhance myeloma-targeted cytolytic responses. In this way, Elo would promote NK cell cytolytic responses toward myeloma tumor cells through initiating ADCC and enhancing activation signals initiated through other receptors, without promoting promiscuous degranulation that may be detrimental to normal tissues. Clinical data has shown that Elo is more effective in combination with conventional treatments, such as lenalidomide, which also can potentiate NK cell cytotoxicity responses,<sup>18</sup> further pointing to an important role for NK cells in therapeutic efficacy. Lenalidomide has recently been shown to lower the threshold of NK cell activation through CD16, which corresponds with enhanced cytolytic granule release toward target cells.<sup>46</sup> Our data also demonstrate that non-fucosylated Elo, which has a higher affinity binding to CD16 (Table 1), can stimulate stronger NK cell degranulation and CD69 induction. This suggests that therapeutic use of nonfucosylated Elo could potentially result in improved clinical outcomes, although potential for toxicity must be considered. Furthermore, combination therapeutic strategies incorporating Elo with other drugs that can potentiate NK cell functions should be considered as promising strategies for MM treatment.

#### Methods

#### Blood sample preparation

Blood was collected into heparinized tubes from healthy volunteer donors that were consented using HIPAA-compliant procedures approved by the Institutional Review Board of Fox Chase Cancer Center (FCCC). Fresh blood was mixed with complete RPMI-1640 medium in 1:1 ratio, peripheral blood mononuclear cells (PBMCs) were isolated as a buffy coat on Lymphoprep (Axis-Shield POC AS, Oslo, Norway) after centrifuging at 900 RCF x30 minutes, and PBMC were washed x3 with HBSS. Cells were resuspended in complete RPMI-1640 medium (supplemented with penicillin/streptomycin, L- glutamine, sodium pyruvate, 10mM HEPES, 50 $\mu$ M 2-mercaptoethanol, and 10% heat-inactivated fetal bovine serum) and containing 10% donor plasma.

### **Cells and cell lines**

Myeloma cell lines were cultured in complete RPMI-1640 medium. MM1.R and RPMI-8226 were obtained from ATCC as fresh stocks at the initiation of this project. Human SLAMF7 cDNA was subcloned into pBMN-IRES-EGFP retroviral vector. RPMI-8226 cells were retrovirally transduced to express higher level of cell surface SLAMF7 and sorted (RPMI-8226+SLAMF7) as described.<sup>47</sup> Zinc finger nucleases were purchased to permanently disrupt the SLAMF7 gene (CompoZr Knockout Zinc Finger Nucleases, CKOZFND19392-1KT, Sigma-Aldrich). MM.1R cells were nucleofected with SLAMF7 zinc finger nuclease mRNA using a Lonza Nucleofector Device [program T-020 and Nucleofector Kit V (Lonza, Walkersville, MD)] and SLAMF7-deficient cells were sorted (MM1.R SLAMF7KO) by FACS. Cell lines were thawed fresh every 2 months and tested for mycoplasma at least yearly in the FCCC Cell Culture Facility.

#### Reagents

Elotuzumab (Elo), Elo with a mutation in the Fc region that prevents recognition by CD16 (Elo Fc mut), and non-fucosylated Elo with higher affinity to CD16 (Elo n/f) were provided by Bristol-Myers Squibb (BMS; Princeton, NJ). The anti-SLAMF7 antibody, ChLuc90, which consists of chimerized human IgG<sub>1</sub> Fc fused to mouse CDR variable regions,<sup>15</sup> was provided by AbbVie Inc. (North Chicago, IL). Elo n/f antibody was generated by expression of Elo variable regions cDNA cloned into pUCE (Millipore) in the FUT8<sup>-/-</sup> Ms 704-PF CHO cell line (BioWa). Elo Fc mut antibody was expressed by the CHO-S cell line cotransfected with vectors pICOFSCneoK (encoding Elo variable regions) and pODpurIgG1.1f (encoding IgG1 heavy chain constant region with L234A-L235E-G237A-A330S-P331S mutations). Antibodies were produced and purified using standard cultivation and purification techniques. F(ab')<sub>2</sub> fragments were generated using immobilized pepsin (ThermoFisher Scientific, #20343). Complete digestion of the IgG<sub>1</sub> HC Fc domain by pepsin was confirmed by SDS-PAGE. Isotype control human  $IgG_1\kappa$  was obtained from SouthernBiotech (#0151K-01).

### Antibody staining of cells

PBMCs were stained x20 minutes on ice and rinsed twice with staining buffer (0.1% Na azide and 1% FBS in HBSS) and 100 ng/ml of propidium iodide (Invitrogen) in the final wash. SLAMF7 expression was identified using biotinylated elotuzumab or biotinylated isotype control conjugated antibody [prepared using EZ-Link NHS-Biotin (Thermo Scientific #20217)] and streptavidin-APC (Biolegend, #405207). NK cells were gated in flow cytometry as CD45<sup>+</sup>CD3<sup>-</sup>CD56<sup>+</sup> lymphocytes using anti-CD45-PercP-Cy5.5 (eBioscience, 2D1), anti-CD3-APC-H7 (BD, SK7) and anti-CD56-APC (Biolegend, NCAM 16.2) antibodies. Cell activation was measured with anti-CD69-Pacific Blue (Biolegend, FN50) antibody.

#### NK cell degranulation assay

Freshly isolated PBMCs ( $10^6$  cells) were incubated either alone, with elotuzumab, with targets ( $10^6$  cells), or with targets and elotuzumab for 2 hours at  $37^\circ$ C in 200  $\mu$ l complete RPMI-1640. Samples were centrifuged at 150 RCF for 3 minutes before incubation. Anti-CD107a-PE (BD, H4A3), anti-CD45-PerCP-Cy5.5, anti-CD3-APC-H7, and anti-CD56-APC antibodies were added in the last 30 minutes of culture. Cells were centrifuged and rinsed twice, with propidium iodide in second wash. NK cell degranulation was measured as percent CD107a<sup>+</sup> NK cells.

#### NK cell activation assay

PBMCs were added to a 96-well flat bottom plate ( $10^6$  cells/ well) and soluble antibodies were added to cultures ( $10\mu g/ml$ ). After overnight incubation, cells were stained with anti-CD45-PerCP-Cy5.5, anti-CD56-APC, anti-CD3-APC-H7, and anti-CD69-Pacific Blue antibodies, washed x2 (second wash with 100ng/ml propidium iodide), and analyzed by flow cytometry.

# Measuring intracellular calcium concentrations by flow cytometry

Intracellular calcium signaling was measured in human NK cells using previously described conditions.<sup>48</sup> PBMCs were resuspended at  $5 \times 10^6$  cells/2 ml in biotin- and phenol reddeficient RPMI-1640 (DRPMI), mixed thoroughly with  $4.4\mu$ M Indo-1 AM (Invitrogen, Eugene OR), and incubated for 30 minutes at 37°C.49 Cells were washed with DRPMI and stained with anti-CD3-FITC (Biolegend, clone SK7) and anti-CD56-APC antibodies for 10 minutes at 37°C. PBMCs were rinsed twice with DRPMI and propidium iodide in last wash. Cells  $(3-5 \times 10^6 \text{ cells})$  were resuspended in 500µl of DRPMI medium and maintained in the dark at room temperature before analysis on a BD Aria II flow cytometer with a UV laser at about 1000–1500 events/second. After 30 seconds, 1  $\mu$ g of biotinylated Elo or Elo Fc mutant with or without biotinylated anti-NKG2D+anti-NKp46 antibodies (1 $\mu$ g each) were added to the PBMCs. Thirty seconds later,  $2\mu g$  of streptavidin was added per  $1\mu g$  of biotinylated antibody to aggregate biotinylated mAbs, and PBMCs were analyzed for 2 minutes. Single cells were gated by FSC-height vs. FSC-area and viable NK cells were gated as CD56<sup>+</sup>CD3<sup>-</sup>propidium iodide<sup>-</sup>. Relative intracellular calcium concentration was detected through ratio analysis of violet (405 nm) over blue (485 nm) emission.

### Binding affinity of elotuzumab preparations to human Fc receptors

Antibodies were amine-coupled to a ProteOn GLC sensor chip (BioRad). Affinities were measured by Surface Plasmon Resonance in a ProteOn XPR36 Interaction Array System instrument (BioRad) at 25°C, using a running buffer of PBS (pH 7.4) supplemented with 0.05% Tween 20 (v/v). Recombinant versions of the extracellular domains of human Fc receptors were expressed with a C-terminal His-tag in CHO cells and purified by affinity (Ni<sup>2+</sup>) and size exclusion chromatograpy. Fc receptors were injected over all spots with a flow rate of  $30\mu$ L/min in a 6-membered dilution series. The top concentration and dilution factor, as well as injection times, were receptor-specific. All data were double-referenced using interspots and buffer injections. Where possible, binding was fitted to a 1:1 binding model (steady-state fit for fast interactions and kinetic fit for slow interactions).

#### Flow cytometry and data analysis

Stained cells were analyzed on a BD ARIA II flow cytometer. Data was collected with BD FACS Diva software (v6) and analyzed with FlowJo (v9.7; Tree Star Inc., Ashland, OR), Microsoft Excel (v12), and Graphpad Prism (v.6.0; Graphpad Software, La Jolla, CA) software. Statistical analysis was performed using Wilcoxon matched-pairs signed rank with p < 0.05 considered statistically significant.

#### **Disclosure of potential conflicts of interest**

No potential conflicts of interest were disclosed.

#### Acknowledgments

We thank Drs. Glenn Rall and Hossein Borghaei at FCCC for reviewing the manuscript, Dr. Audie Rice for initiating discussions to develop this project, and FCCC core facilities: Cell Culture, Genomics, Flow Cytometry, and Biostatis. We also thank BMS for valuable reagents and financial support.

#### Funding

This work was supported by grants from Bristol-Myers Squibb (BMS), which markets elotuzumab. NAB, KAH, CB, RFG, and MDR are employees of BMS. KSC and ADC have served on scientific advisory boards for BMS.

#### **Financial support**

Supported by Collaborative Science Center of Excellence grants CA204–013 and CA-204–156 to ADC and KSC from Bristol-Myers Squibb, FCCC Bucks County and Main Line Boards of Associates, NIH Training grant T32 CA9035 (AMJ) and NCI Comprehensive Cancer Center Support Grant CA06927 (FCCC).

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