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## An anti-programmed death-1 antibody ( $\alpha$ PD-1) fusion protein that self-assembles into a multivalent and functional $\alpha$ PD-1 nanoparticle

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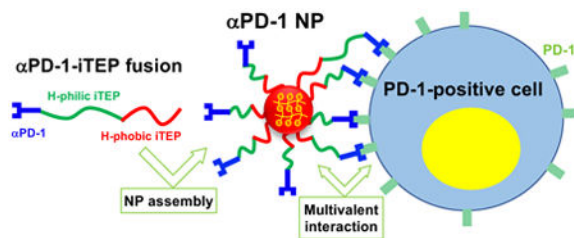
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### Abstract

Cancer immune checkpoint therapy has achieved remarkable clinical successes in various cancers. However, current immune checkpoint inhibitors block the checkpoint of not only the immune cells that are important to cancer therapy but also the immune cells that are irrelevant to the therapy. Such an indiscriminate blockade limits the efficacy and causes the autoimmune toxicity of the therapy. It might be beneficial to use a carrier to target immune checkpoint inhibitors to cancer-reactive immune cells. Here, we explore a method to load the inhibitors into carriers. We used the anti-programmed death-1 antibody ( $\alpha$ PD-1) as a model immune checkpoint inhibitor. First, we generated a recombinant single-chain variable fragment (scFv) of  $\alpha$ PD-1. Then, we designed and generated a fusion protein consisting of the scFv and an amphiphilic immune-tolerant elastin-like polypeptide (iTEP). Because of the amphiphilic iTEP, the fusion was able to self-assemble into a nanoparticle (NP). The NP was proved to block the PD-1 immune checkpoint *in vitro* and *in vivo*. Particularly, the NP exacerbated diabetes development in non-obese diabetic mice as effectively as natural, intact  $\alpha$ PD-1. In summary, we successfully expressed  $\alpha$ PD-1 as a recombinant protein and linked  $\alpha$ PD-1 to a NP, which lays a foundation to develop a delivery system to target  $\alpha$ PD-1 to a subpopulation of immune cells.

### Graphical abstract

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## Keywords

anti-programmed death-1 antibody; nanoparticle; recombinant anti-programmed death-1 antibody fusion; multivalency; diabetes

## Introduction

Immune checkpoint inhibitors such as the anti-cytotoxic T lymphocyte antigen-4 antibody ( $\alpha$ CTLA-4) and the anti-programmed death-1 antibody ( $\alpha$ PD-1) have been approved to treat advanced melanoma, lung cancer, head and neck cancer, among others<sup>1-5</sup>. Some of these inhibitors have been approved by the FDA, such as Pembrolizumab and Nivolumab<sup>6</sup>. Recently,  $\alpha$ CTLA-4 and  $\alpha$ PD-1 were combined to further boost their efficacy<sup>2, 5</sup>. However, the further improvement of the immune checkpoint therapy is hindered by its autoimmune toxicity. For example, in the above combination therapy, 55% of the combination therapy patients suffered from high-grade (grades 3-4) toxicity, and 36% of the patients had to discontinue the therapy due to the toxicity<sup>5</sup>. In contrast to the pressing need to reduce the toxicity, the current toxicity mitigating method, non-specific immune suppression, is apparently not effective enough because one third of the treated patients had to stop the therapy even after using this method, not to mention that the method has its own side effects (e.g. immune deficiency)<sup>7</sup>. Previously, intra-tumor injection of the inhibitors was attempted and proven effective<sup>8</sup>; however, this method is not practical for advanced cancer patients as it is almost impossible to inject inhibitors to metastatic tumors. Therefore, new strategies are needed to reduce the toxicity of immune checkpoint inhibitors.

Intrinsically, immune checkpoints (e.g. PD-1 and CTLA-4) not only protect tumors from immune elimination<sup>9-10</sup>, but also prevent autoimmune toxicity in healthy tissues<sup>11</sup>. The root cause of the toxicity is that the checkpoint inhibitors indiscriminately block the checkpoint in all cells that utilize the checkpoints<sup>11-16</sup>. Thus, to resolve the toxicity of the inhibitors, it would be desirable to target the inhibitors to those cells that are necessary for tumor treatment but suppressed by the checkpoint. The targeting also has potential to boost the efficacy of the inhibitors because it concentrates the inhibitors to those necessary cells for cancer therapy, whereas the current non-specific blockade wastes inhibitors in tumor treatment-unrelated interactions. Recently, a platelet-based carrier was used to target an immune checkpoint inhibitor, anti-PD-L1 antibody, to tumors, which resulted in better prevention of tumor recurrence under a post-surgery setting<sup>17</sup>. However, it is unclear whether the carrier reduced the toxicity of immune checkpoint inhibitors. Thus, more efforts are needed to establish the strategies and drug carriers that can target immune checkpoint inhibitors and reduce their toxicity.

In this work, we generated a NP carrier for one model immune checkpoint inhibitor,  $\alpha$ PD-1. Different from the previously reported carrier of the inhibitors<sup>17</sup>,  $\alpha$ PD-1 and its carrier molecule, iTEP<sup>18-20</sup>, were generated together as a recombinant fusion protein. The fusion is able to self-assemble into a NP. This NP effectively blocks the PD-1 immune checkpoint *in vitro* and *in vivo*. On the basis of these findings, it is feasible to integrate cell targeting moieties to this NP that bind with tumor-reactive immune cells so that the NP is able to target  $\alpha$ PD-1 as well as other inhibitors to the cells. In summary, we provided a simple platform to develop cell-targeting carriers for immune checkpoint inhibitors.

## Methods

### Materials

EL4 (ATCC® TIB-39™) cells were purchased from ATCC. The hybridoma RMP1-14 for  $\alpha$ PD-1 production was provided by Professor Hideo Yagita at the Juntendo University School of Medicine. DH5 $\alpha$  competent E.coli cells were purchased from Thermo Fisher Scientific Inc. (Waltham, MA). SHuffle® T7 Competent E. coli cells were purchased from New England Biolabs (Ipswich, MA). Expression vector pET-25b(+) was purchased from EMD Millipore (Billerica, MA). Restriction endonucleases were purchased from New England Biolabs (Ipswich, MA). LB media were prepared in our lab using the standard formula. Cell culture media and supplements including RPMI-1640, Dulbecco's Modified Eagle Medium (DMEM), and fetal bovine serum (FBS) were purchased from Thermo Fisher Scientific Inc. (Waltham, MA). B6.129S7-Rag1<sup>tm1Mom</sup>/J mice and NOD/ShiLtJ mice were purchased from the Jackson Laboratory. All the animal experiment protocols were approved by the Institutional Animal Care and Use Committee at the University of Utah.

### Design and generation of the expression vectors for $\alpha$ PD-1 scFv and $\alpha$ PD-1-iTEP fusion

The  $\alpha$ PD-1 hybridoma clone was sequenced using the variable domain sequencing service from GenScript. The sample submitted for sequencing was prepared following the protocol from GenScript (<http://www.genscript.com/mAb-sequencing.html>).

To generate the  $\alpha$ PD-1 scFv, the variable regions of the  $\alpha$ PD-1 heavy ( $V_H$ ) chain and the  $\alpha$ PD-1 light chains ( $V_L$ ) were connected by a linker, (GGGSG)<sub>4</sub>. The resultant scFv is NH<sub>2</sub>- $V_H$ -Linker- $V_L$ -COOH. To facilitate the purification, six histidine residues were added to the N-terminus of the scFv. The coding gene of the scFv was synthesized by Thermo Fisher Scientific Inc. The gene was flanked by two BseRI restriction sites at each end to facilitate the ligation of the gene into the pET-25b(+) vector.

The  $\alpha$ PD-1(scFv)-iTEP fusion was designed as illustrated in Figure 1. The amphiphilic iTEP, NH<sub>2</sub>-(GAGVPG)<sub>70</sub>-(GVLPGVG)<sub>56</sub>-(GC)<sub>4</sub>, has a hydrophilic iTEP segment (GAGVPG)<sub>70</sub> and a hydrophobic segment (GVLPGVG)<sub>56</sub><sup>20</sup>. The multiple cysteine residual at the hydrophobic end of the iTEP is to crosslink the PD-1-iTEP fusions through disulfide bonds after the fusions self-assemble in a NP. The crosslinking is to stabilize the NP. The gene encoding the iTEP sequence was generated as previously described and inserted into the pET-25b(+) vector<sup>20</sup>. To generate the expression vector for the  $\alpha$ PD-1-iTEP fusion, the

gene for the  $\alpha$ PD-1 scFv was first digested with BseRI and then ligated into the BseRI-digested iTEP in pET-25b(+).

### Production and purification of $\alpha$ PD-1 scFv and $\alpha$ PD-1-iTEP fusion

The expression vectors of the scFv and the  $\alpha$ PD-1-iTEP fusion were transformed into the SHuffle® T7 Competent *E. coli* cells for protein expression. For protein production, the transformed *E. coli* cells were first cultured in LB medium at 32 °C until the OD<sub>600</sub> of the medium reached 0.6 when. Then, IPTG was added into the culture medium at a final concentration of 0.5 mM. After that, the culture was continued at 16 °C overnight before the the cells were harvested from the culture. To purify the scFv and the  $\alpha$ PD-1-iTEP fusion from the harvested cells, the cells were lysed in PBS by sonication; the PBS contained 1 mM PMSF (Sigma-Aldrich, St. Louis, MO) for an inhibition of proteolysis. After the cell lysate was centrifuged at 20,000 g for 60 min at 4 °C to remove cell debris, the supernatant of the lysate was collected and loaded onto HisPur Ni-NTA spin columns (Thermo Fisher Scientific Inc). The scFv and the  $\alpha$ PD-1-iTEP fusion were purified according to the protocol from Thermo Fisher Scientific Inc. The elute from the columns was dialyzed against PBS at 4°C for 24 hours with three buffer changes. The purity and integrity of the collected proteins were examined by an SDS-PAGE analysis.

### Production and purification of intact $\alpha$ PD-1

The  $\alpha$ PD-1 was generated from ascetic fluid of the B6.129S7-Rag1<sup>tm1Mom</sup>/J mice that were inoculated by RMP-1-14 hybridoma cells<sup>21</sup>. The procedure of the inoculation and the fluid harvest were performed as previously reported<sup>22</sup>. The  $\alpha$ PD-1 was purified from the fluid according to a published protocol<sup>23</sup>. The yield was 30~50 mg  $\alpha$ PD-1 per mouse.

### Assembly of $\alpha$ PD-1 NP

The  $\alpha$ PD-1-iTEP fusion was incubated at a high concentration (100  $\mu$ M) at 37 °C for 20 min to promote the self-assembly of the fusion into the NP. Then, H<sub>2</sub>O<sub>2</sub> was added into the sample to reach a final concentration of 0.3%, which was to oxidize cysteines in the fusion and promote the crosslink between the fusion inside the NP for 1 hr. Last, the fusion sample was dialyzed against PBS to remove H<sub>2</sub>O<sub>2</sub>. The same approach was applied to the amphiphilic iTEP used in the fusion when an iTEP NP was generated.

### Size characterization of protein samples by dynamic light scattering (DLS)

Intact  $\alpha$ PD-1, the  $\alpha$ PD-1-iTEP fusion and the amphiphilic iTEP used in the fusion were measured using the Malvern Zetasizer Nano (Malvern, Chester County, PA) at 37 °C. The fusion and the iTEP samples were treated to assemble NPs before the measurement. All samples were measured at a concentration of 20  $\mu$ M. Each sample was measured in triplicate. The instrument settings for the measurement are: material RI=1.59, material absorption=0.010, water dispersant RI=1.330, and viscosity=0.6864 cP. The default value, 4.65 mm, was used as the measurement position. The count rate, duration, and attenuator was automatically optimized by the program of Malvern Zetasizer Nano. Additionally, the  $\alpha$ PD-1-iTEP fusion was measured at two concentrations (0.25  $\mu$ M and 20  $\mu$ M) at two temperatures (25 °C and 37 °C), and at two different redox status. The oxidation procedure

was same as the described above. To reduce the sample,  $\alpha$ PD-1-iTEP fusion was incubated with 20 mM TCEP overnight.

### The direct binding assay

The assay was used to examine the binding between  $\alpha$ PD-1 samples and PD-1-positive EL4 cells. The  $\alpha$ PD-1 samples include soluble  $\alpha$ PD-1-iTEP fusion,  $\alpha$ PD-1 NP, the  $\alpha$ PD-1 scFv, and intact  $\alpha$ PD-1. First, all  $\alpha$ PD-1 samples were labelled with Alexa Fluor 647. Next, each of these samples was incubated with 1 million of EL4 cells on ice for 30 min. The fraction of EL4 cells in each incubation mixture that were Alexa Fluor 647-positive was quantified by flow cytometry on a BD FACSCANTO II (BD Biosciences, San Jose, CA). The percentages were plotted against concentration for each  $\alpha$ PD-1 sample. EC50 and the 95% confidence interval (95% CI) of the EC50 was generated for each sample by fitting the curve of the sample to a built-in, Sigmoidal dose-response model of GraphPad V5.0.

### The blocking assay of PD-L1 binding

The blocking of the PD-L1 binding to EL4 cells was determined through a competition binding assay. In this assay, soluble  $\alpha$ PD-1-iTEP fusion,  $\alpha$ PD-1 NP, the  $\alpha$ PD-1 scFv, and intact  $\alpha$ PD-1 were paired and competed with a PD-L1 sample (PD-L1-human Fc fusion, R&D Systems Inc. Minneapolis, MN, USA), respectively. Specifically, each of the above  $\alpha$ PD-1 samples was serially diluted and incubated with 1 million EL4 cells in 5 ml test tubes on ice for 30 min. Next, the PD-L1 fusion was added into the incubation mixtures at the final concentration of 10  $\mu$ g/mL; the mixtures were kept on ice for additional 30 min. Then, an Alexa Fluor 488-labeled, goat-anti-human Fc antibody (Thermo Fisher Scientific Inc.) was added into the mixtures to stain the PD-L1 fusion; the mixtures were kept on ice for another 30 min. After the incubation, unbound proteins were washed away with a FACS buffer, PBS with 1% FBS; the EL4 cells in the mixtures were collected. The fractions of EL4 cells that were Alexa Fluor 488-positive were quantified using flow cytometry on a BD FACSCANTO II flow cytometer (BD Biosciences, San Jose, CA). In two separate experiments, EL4 cells were treated with an 100% blocking condition (an incubation with the anti-human Fc antibody) and a 0% blocking condition (an incubation with the anti-human Fc antibody plus the PD-L1 fusion); the fractions of Alexa Fluor 488-positive EL4 cells after these two treatments were quantified using flow cytometry. Lastly, all fractions values of Alexa Fluor 488-positive EL4 cells that resulted from the above  $\alpha$ PD-1 treatments were transformed into blocking efficiencies (%) through normalization of these values against the fraction values of 100% and 0% blocking. The blocking efficiencies were plotted against the concentrations of the corresponding samples. EC50 and its 95% CI was generated for each sample by fitting the curve of the sample to a Sigmoidal dose-response model using GraphPad V5.0.

### Diabetes exacerbation

10-week-old female NOD/ShiLtJ mice were separated into four groups. Each group of the mice were intraperitoneally injected five times with one of the four samples: soluble  $\alpha$ PD-1-iTEP fusion,  $\alpha$ PD-1 NP, intact  $\alpha$ PD-1, or PBS. The first dose was 0.5 mg  $\alpha$ PD-1 equivalent per mouse on day 0 except for the PBS group; the remaining four doses were 0.25 mg  $\alpha$ PD-1 equivalent per mouse on day 2, 4, 6, and 8. Blood was drawn from the tails of these

mice every other day from day 0. Glucose concentrations in these blood samples were measured by a OneTouch UltraMini meter (LifeScan, Inc., Milpitas, CA). The sampling and monitoring were continued for every mouse until that mouse was confirmed for diabetes. Our criterion of diabetes is that blood glucose concentration reached or surpassed 250 mg/deciliter (dL) for three consecutive measurements<sup>24</sup>. The first date that confirmed diabetes was observed was recorded and used to calculate diabetes-free survival days. Diabetes-free survival was analyzed by the Kaplan-Meier method, and the median survival of each treatment group was compared using the Log rank test with GraphPad V5.0.

## Results and Discussion

### The design and generation of $\alpha$ PD-1 scFv and $\alpha$ PD-1-iTEP fusion as recombinant proteins

We sequenced both the heavy chain and light chain cDNA of the  $\alpha$ PD-1 (RMP1-14 clone). According to the sequencing results, the three complementarity-determining regions (CDRs) of the heavy chain are: SSYRWN, YINSAGISNYNPSLKR, and SDNMGTTPFTY; the three CDRs of the light chain are: RSSKSLLYSDGKTYLN, WMSTRAS, and QGGLEFPT. Based on the CDR information, we designed an scFv of the  $\alpha$ PD-1, NH<sub>2</sub>-Histag(H<sub>6</sub>)-(GGGSG)<sub>3</sub>-V<sub>H</sub>-(GGGSG)<sub>4</sub>-V<sub>L</sub>-COOH, and synthesized a coding gene to express the scFv as a recombinant protein. Next, we designed an  $\alpha$ PD-1(scFv)-iTEP fusion as illustrated in Figure 1A. The amphiphilic diblock iTEP, NH<sub>2</sub>-(GAGVPG)<sub>70</sub>-(GVLPGVG)<sub>56</sub>-(GC)<sub>4</sub>-COOH, was included to drive the fusion to self-assemble into a micelle-like NP. We insert the coding genes of the scFv and the fusion into the pET-25b(+) expression vector (Figure S1). We examined sizes of the coding genes using gel electrophoresis after we cleaved the genes from their host pET-25b(+) vector. On the basis of the gel image (Figure 1B), the size of the scFv gene is between 0.5 kb and 1.0 kb; the size of the fusion gene is between 3.0 kb and 4.0 kb. These estimated sizes are consistent with the theoretical sizes of the scFv gene and the fusion gene, 792 bp and 3252 bp, respectively. These two coding genes were also fully sequenced to confirm their accuracy. Amino acid residual numbers, theoretical sizes of their coding genes, and theoretical molecular weight of the scFv and the fusion were listed in Table 1.

We chose the SHuffle T7 *E. coli* strain to express the scFv and the fusion because the scFv has the two disulfide bonds critical to its structure and the strain was engineered to express fully functional, disulfide bond-containing proteins<sup>25</sup>. While we were producing the scFv and the fusion, we also generated intact  $\alpha$ PD-1 from RPM1-14 hybridoma inoculated mice. After we purified these proteins, we used SDS-PAGE to analyze the sizes and the purity of these proteins. On the SDS-PAGE gel, the intact  $\alpha$ PD-1 showed a band migrating slower than the 175 kDa marker, indicating that the MW of the intact  $\alpha$ PD-1 is larger than 175 kDa. The SDS-PAGE results also confirmed the purity of the intact  $\alpha$ PD-1 sample. It is noted that the intact  $\alpha$ PD-1 sample was not reduced before being loaded on the gel so that the two heavy chains and two light chains of the antibody (IgG) migrated together. On the gel, the fusion sample showed a major band migrating slower than the 80 kDa marker; the scFv sample showed a major band migrating slightly faster than the 30 kDa marker (Figure 1C). These migration results are consistent with their theoretical MWs, 91.9 kDa for the fusion and 28.2 kDa for the scFv, respectively (Table 1).



### In vitro characterization of the $\alpha$ PD-1-iTEP fusion

According to the DLS data, the fusion had a hydrodynamic diameter of  $45.02 \pm 12.77$  nm at  $37^\circ\text{C}$ ; The amphiphilic iTEP used in the fusion had a hydrodynamic diameter of  $35.62 \pm 10.16$  nm (Figure 2A). Thus, both the fusion and the iTEP appeared to form NPs. We termed the NP form of the fusion as  $\alpha$ PD-1 NP hereafter.  $\alpha$ PD-1 NP has the capacity to multi-display  $\alpha$ PD-1 on its surface as  $\alpha$ PD-1 was located at the hydrophilic terminus of the fusion. In contrast, intact  $\alpha$ PD-1 had hydrodynamic diameters of  $11.63 \pm 3.76$  nm respectively (Figure 2). The size of intact  $\alpha$ PD-1 is consistent with reported sizes of natural, intact IgGs<sup>26</sup>. We further measured hydrodynamic diameters of the  $\alpha$ PD-1-iTEP fusion at different temperatures, concentrations, and redox conditions. The results of these measurements are summarized in Table 2. The hydrodynamic diameters of the oxidized sample did not change significantly between two tested concentrations,  $25 \mu\text{M}$  and  $0.25 \mu\text{M}$ , suggesting that the NP assembled from the fusion was stabilized by oxidation and cross-linking. The diameters of the reduced sample were very different between the two tested concentrations, suggesting that the NP, without cross-linking through disulfide bonds, dissociated upon dilution. The above two conclusions are valid at the both temperatures used,  $37^\circ\text{C}$  and  $25^\circ\text{C}$ . In addition, diameter values of the fusion did not change between the two temperatures.

We next examined the binding of the  $\alpha$ PD-1-iTEP fusion, in both its soluble form and its NP form, with EL4 cells, a PD-1-positive cell line<sup>27</sup>. According to the results of a direct binding assay (Figure 2B), the soluble fusion and the scFv have comparable binding avidities to EL4 cells ( $\text{EC}_{50}=0.40 \mu\text{M}$ , 95% CI  $0.38\sim 0.41 \mu\text{M}$  vs.  $\text{EC}_{50}=0.32 \mu\text{M}$ , 95% CI  $0.30\sim 0.35 \mu\text{M}$ ). Thus, adding the amphiphilic iTEP to the scFv did not significantly compromise the binding of the scFv to its antigens. However, the avidities of both the soluble fusion and the scFv are clearly weaker than intact  $\alpha$ PD-1 ( $\text{EC}_{50}=0.11 \mu\text{M}$ , 95% CI  $0.10\sim 0.12 \mu\text{M}$ ), suggesting that scFv loses some of its binding avidity as compared to its intact, parental antibody. Such loss is not uncommon for scFvs<sup>28</sup>.  $\alpha$ PD-1 NP, in contrast, possesses a 4-times stronger avidity than intact  $\alpha$ PD-1 ( $\text{EC}_{50}=0.039 \mu\text{M}$  with 95% CI  $0.036\sim 0.043 \mu\text{M}$ ). The stronger avidity may be attributed to a potentially multivalent display of the scFvs by the NP and a synergistic effect between the binding of first scFv on the NP and the binding of another scFv on the NP. This effect was described as a area of influence previously<sup>29</sup>.

We lastly examined how well the soluble  $\alpha$ PD-1-iTEP fusion and  $\alpha$ PD-1 NP inhibit the binding of PD-L1 to the PD-1-positive cells, the working mechanism of PD-1 immune checkpoint therapy<sup>30-31</sup>. To accomplish the examination, we designed and employed a PD-L1 binding inhibition assay. Specifically, we took advantage of the facts that PD-L1-human Fc, a fusion protein of mouse PD-L1 fusion and human IgG Fc, bound with EL4 cells, and that the bound PD-L1-human Fc can be detected by an anti-human Fc antibody. According to results of the binding inhibition assay (Figure 2C), the soluble fusion and the scFv have the same inhibition capacity ( $\text{EC}_{50}=4.59 \mu\text{M}$  with 95% CI  $4.26\sim 4.94 \mu\text{M}$  vs.  $\text{EC}_{50}=4.16 \mu\text{M}$  with 95% CI  $3.87\sim 4.48 \mu\text{M}$ ). However, both the soluble fusion and the scFv have a three times lower inhibition capacity than intact  $\alpha$ PD-1 ( $\text{EC}_{50}=1.32 \mu\text{M}$  with 95% CI  $1.25\sim 1.38 \mu\text{M}$ ), a result consistent with the result of the direct binding assay. On the other hand,  $\alpha$ PD-1 NP possesses a three times higher inhibition capacity than the soluble  $\alpha$ PD-1-iTEP fusion,

(EC<sub>50</sub>=1.19  $\mu$ M with 95% CI 1.15~1.23  $\mu$ M). Indeed, the inhibition capacity of the NP is slightly but significantly higher than intact  $\alpha$ PD-1. Again, these results reinforce the advantage of multi-displaying antibodies by the NP.

### In vivo characterization of $\alpha$ PD-1-iTEP fusion

$\alpha$ PD-1 exacerbates diabetes development in non-obese diabetic (NOD)/ShiLtJ mice because it blocks the PD-1 immune checkpoint and worsens the autoimmune disorders of the mice<sup>24</sup>. We utilize this effect to examine whether the  $\alpha$ PD-1-iTEP fusion is functional *in vivo* (Figure 3A) and use diabetes-free survival as an outcome to evaluate the effect (Figure 3B). According to the survival data, both the soluble  $\alpha$ PD-1-iTEP fusion and  $\alpha$ PD-1 NP significantly accelerated diabetes development in NOD mice as compared to PBS (median survival time, Log rank test,  $p=0.049$  and  $p=0.049$ ). The median diabetes-free survival time of the soluble fusion- and the  $\alpha$ PD-1 NP-treated mice are 16 days and 21 days, respectively. In contrast, none of the PBS-treated mice developed diabetes before all these mice were censored on day 30 after the treatment initiation. Further, the effect of the soluble fusion and  $\alpha$ PD-1 NP on diabetes development are not statistically different from intact  $\alpha$ PD-1 ( $P=0.771$  and  $p=0.900$ ). The median diabetes-free survival time for intact  $\alpha$ PD-1-treated mice is 19 days. Last, the effect of the soluble fusion and  $\alpha$ PD-1 NP are not different ( $p=0.775$ ). Together, these results suggest that the  $\alpha$ PD-1-iTEP fusion, either in its soluble form or in its NP form, is functional *in vivo* and is as effective as intact  $\alpha$ PD-1 in blocking the PD-1 immune checkpoint and promoting diabetes in NOD mice.

## Discussion

In the current study, we successfully generated an scFv of  $\alpha$ PD-1 and a NP that deliver  $\alpha$ PD-1. We further showed that  $\alpha$ PD-1 on the NP carrier is able to block the PD-1 immune checkpoint. This study has two major contributions to immune checkpoint therapy.

We generated the first recombinant  $\alpha$ PD-1, the  $\alpha$ PD-1 scFv. We also generated a fusion protein of  $\alpha$ PD-1 and iTEP as well as an  $\alpha$ PD-1 NP. These deliverables of the project constitute a set of tools that may facilitate the improvement of  $\alpha$ PD-1 immune therapy. For example, these tools could be instrumental when developing delivery systems to realize a cell-specific  $\alpha$ PD-1 therapy, which is required to resolve the root cause of the  $\alpha$ PD-1 toxicity. Additionally, the success of generating these tools offers important insights on how to produce other immune checkpoint inhibitors as recombinant proteins as all reported inhibitors thus far are antibodies. This success also provides a simple yet effective strategy to create carriers to deliver these inhibitors.

The  $\alpha$ PD-1 NP effectively blocks the PD-1 checkpoint, a success that underscores the importance of multivalency in interactions between antibodies and the cells expressing the corresponding antigens. The  $\alpha$ PD-1 scFv has a reduced avidity to PD-1-positive cell and a weaker inhibition on the PD-L1 binding to the cells as compared to intact  $\alpha$ PD-1. A similar deficiency has been reported for scFv previously<sup>29, 32</sup>. The possible reasons of the deficiency include that (1) scFv is monovalent while intact  $\alpha$ PD-1 is divalent; (2) scFv may have a lower thermodynamic stability than  $\alpha$ PD-1, which comprises its binding with its antigens<sup>32</sup>. The deficiency was, nevertheless, resolved by the  $\alpha$ PD-1 NP. The NP indeed



showed stronger binding to PD-1-positive cells and greater PD-L1 binding inhibition than intact  $\alpha$ PD-1, which clearly demonstrated the impact of multivalency as the NP can display multiple scFvs on its surface. It is notable that the EC50 of the NP is about three times smaller than that of intact  $\alpha$ PD-1 according to the direct binding results; however, the EC50 of the NP is only slightly smaller than that of intact  $\alpha$ PD-1 according to the PD-L1 binding inhibition results. This apparent discrepancy may be due to the different methodologies of the two experiments. Another plausible reason for the discrepancy is that not all of the  $\alpha$ PD-1 scFvs on the NP that bound with PD-1-positive cells actually engaged with PD-1 on the cell surface. These unengaged scFv, therefore, were able to inhibit the PD-L1 binding. The existence of these “unengaged” scFvs may be caused by a steric effect between scFvs on the NP and accessibility of adjacent PD-1 on the cells. To ambiguously elucidate avidity difference between the scFv, intact  $\alpha$ PD-1, and  $\alpha$ PD-1 NP, and the mechanism underlying the difference, more studies are needed in the future.

In summary, we generated an  $\alpha$ PD-1 NP that is functional and possess the advantage of multivalency. This NP could serve as a foundation to develop carriers for  $\alpha$ PD-1 and other immune checkpoint inhibitors that target the inhibitors to a specific subpopulation of PD-1-positive cells.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

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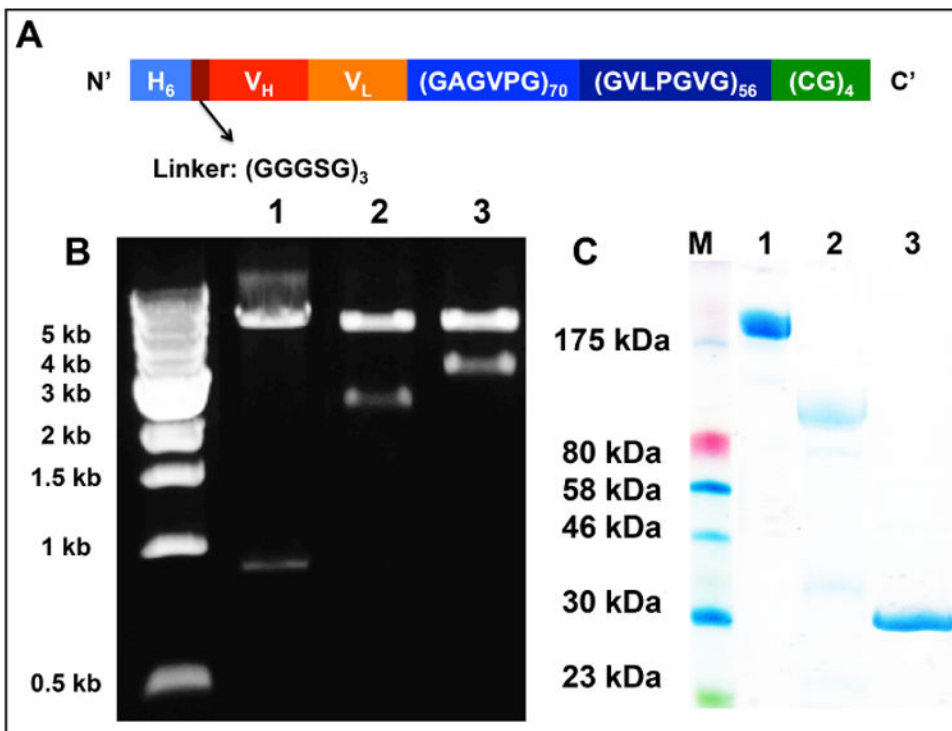
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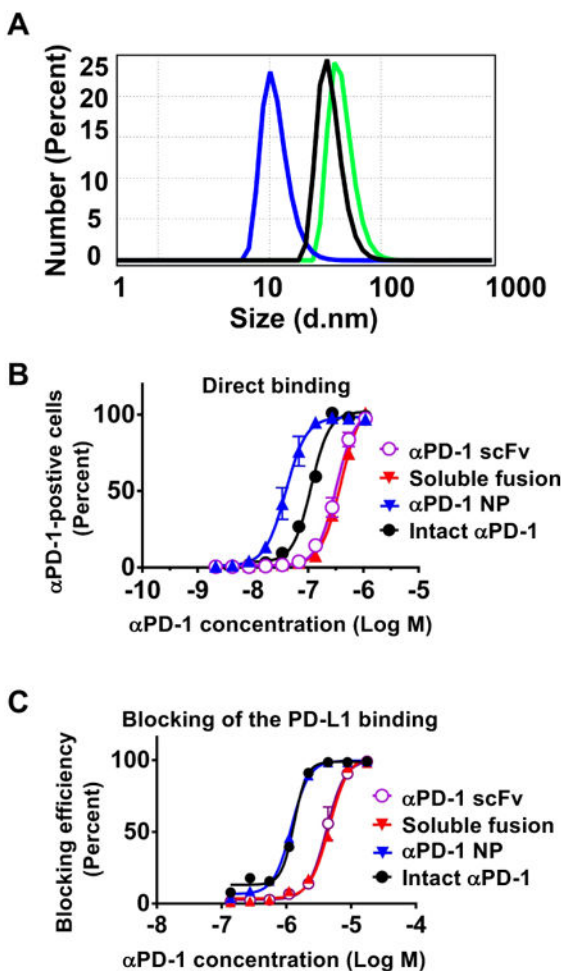
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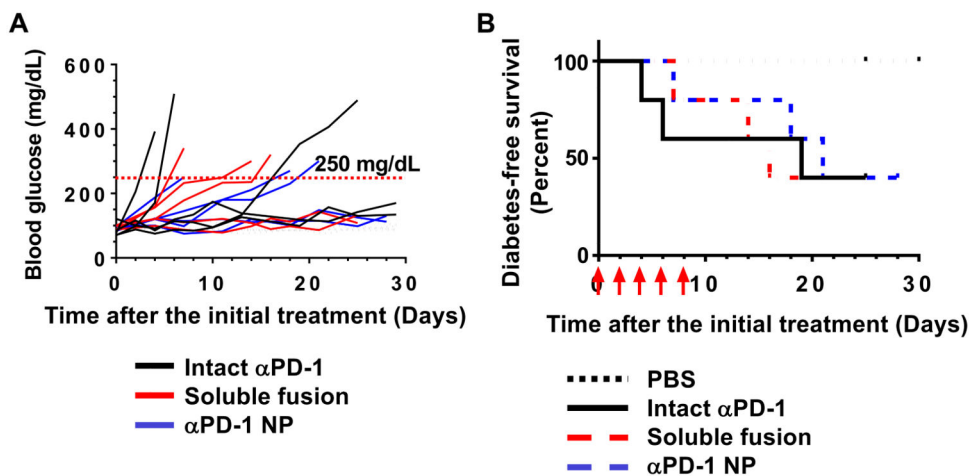


**Figure 1.**  
**A.** The sequence design of  $\alpha$ PD-1-iTEP fusion. **B.** An agarose gel image of DNA digestion products from three plasmids, pET-25b(+) with the scFv coding gene (lane 1), pET-25b(+) with the iTEP coding gene (lane 2), and pET-25b(+) with the fusion coding gene (lane 3). Three plasmids were digested with two restriction enzymes, BamHI and XbaI, which flanked the BseRI sites by which we inserted the genes to the pET-25b(+) vector. The upper bands of each lane represent the pET-25b(+) vectors; the lower bands of each lane represent the coding genes. **C.** A photo of an SDS-PAGE gel that contains intact  $\alpha$ PD-1 (lane 1),  $\alpha$ PD-1-iTEP fusion (lane 2), and  $\alpha$ PD-1 sc-FV (lane 3). 20  $\mu$ g of each protein was loaded onto each lane.



**Figure 2.**

*In vitro* characterization of the  $\alpha$ PD-1-iTEP fusion. (A) The DLS spectra of intact  $\alpha$ PD-1 (blue), the  $\alpha$ PD-1-iTEP fusion after NP assembly (green), and the amphiphilic iTEP after NP assembly (black). 20  $\mu$ M of each protein was used for the DLS analysis at 37  $^{\circ}$ C. The included table lists hydrodynamic diameters of each protein. (B) Direct binding of  $\alpha$ PD-1 scFv, the soluble  $\alpha$ PD-1-iTEP fusion,  $\alpha$ PD-1 NP, and intact  $\alpha$ PD-1 to EL4 cells. The cells were incubated with different concentrations of labeled sample before being analyzed by flow cytometry. (C) Blocking the PD-L1 binding to EL4 cells. The blocking efficiencies were plotted against sample concentrations. Various concentrations of each listed  $\alpha$ PD-1 sample were used to compete with the PD-L1-human Fc fusion (10  $\mu$ g/mL) for binding to EL4 cells. The bound PD-L1 fusion was detected by an Alexa Fluor 488-labeled, anti-human Fc antibody. In both B and C, the x-axis labels are  $\alpha$ PD-1 equivalent concentrations in each sample.



**Figure 3.**

**A.** Blood glucose concentrations of all mice treated with intact αPD-1, the soluble αPD-1-iTEP fusion, and αPD-1 NP. Each line represents glucose concentration changes of one mouse. Blood glucose concentrations were monitored up to 30 days after the initial treatment. Line colors reflect treatments. Data of PBS-treated mice were not included for the simplicity of the figure. In addition, none of PBS-treated mice showed glucose levels higher than 250 mg/dL, a threshold level of diabetes (red dash line), during the observation period. **B** Diabetes-free survival of the mice that received PBS, intact αPD-1, the soluble fusion, and αPD-1 NP. The diabetes-free survival data were analyzed by the Kaplan-Meier method. Red arrows indicate the date of treatments.



**Table 1**

A summary amino acid residual numbers, theoretical sizes of the coding genes, theoretical molecular weight of the  $\alpha$ PD-1 scFv, the  $\alpha$ PD-1-iTEP fusion.

	Number of residues	Sizes of coding genes	Molecular weight/kDa
<b><math>\alpha</math>PD-1 scFv</b>	264	792	28.2
<b><math>\alpha</math>PD-1-iTEP fusion</b>	1084	3252	91.9

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**Table 2**

Hydrodynamic diameters of  $\alpha$ PD-1-iTEP under different redox status, concentrations, and temperatures.

Redox status	Concentration ( $\mu$ M)	Temperature	
		37 °C	25 °C
Oxidized	25	44.1 $\pm$ 12.8	38.3 $\pm$ 11.3
	0.25	43.9 $\pm$ 12.8	35.2 $\pm$ 10.7
Reduced	25	42.9 $\pm$ 12.3	39.2 $\pm$ 11.4
	0.25	8.9 $\pm$ 2.2*	5.7 $\pm$ 1.5*

Note: The values of the hydrodynamic diameters are mean $\pm$ standard deviation.

\* These small hydrodynamic diameter values suggest that sample does not have a NP structure.