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## REVIEW ARTICLE

# A systematic review of outcomes following stereotactic ablative radiotherapy in the treatment of early-stage primary lung cancer

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#### ABSTRACT

Stereotactic ablative body radiotherapy (SABR) describes a radiotherapy (RT) technique where high doses of radiation are precisely delivered to an extracranial target within the body, using either a single fraction of RT or using multiple small numbers of fractions. SABR has now become the standard of care treatment for patients with early-stage non-small-cell lung cancer (NSCLC) for whom surgery is not appropriate. This systematic review considers the evidence supporting the use of SABR in early-stage NSCLC, reported toxicity rates, the use of SABR in centrally located NSCLC, the use of SABR as salvage therapy following surgery or RT, and future potential drug combinations with SABR.

#### INTRODUCTION

Stereotactic ablative body radiotherapy (SABR), also known as stereotactic body radiotherapy (SBRT), has been defined by the American Society of Radiation Oncology and the American Society of Radiology as an external beam radiation therapy method used to very precisely deliver a high dose of radiation to an extracranial target within the body, using either a single dose or a small number of fractions.<sup>[1](#page-14-0)</sup> The UK National Radiotherapy Implementation Group report defines SBRT as the precise irradiation of an image-defined extracranial lesion, using a high total radiation dose de-livered in a small number of fractions (hypofractionation).<sup>[2](#page-14-0)</sup>

Even with the increasing number of patient outcome data being published on SABR in early-stage (ES) non-small-cell lung cancer (NSCLC), phase III evidence comparing the treatment against surgery and conventional radiotherapy (RT) is limited. The most compelling evidence for SABR in extracranial sites is available in early lung cancer where systematic reviews have shown 2-year survival rates and local control as high as 70% and 90%, respectively.<sup>[3](#page-14-0)</sup>

Despite this, SABR is now a recognized standard of care in early peripherally located inoperable lung cancer. Use of SABR treatment can produce local control rates which are similar to surgery, with low reported toxicity and patient convenience due to the reduced number of visits required

for treatment, when compared with conventionally fractionated RT (3–8 compared with 20–33 treatment visits). There is also an increasing evidence base/clinical experience for the use of SABR in other primary cancer sites such as the prostate, pancreas, liver (metastases and primary hepatocellular carcinoma) and spinal metastases, and for oligometastatic disease.<sup>[4](#page-14-0)-[9](#page-14-0)</sup>

In order to deliver ablative doses of RT to tumours, it is necessary to accurately identify both the target and the surrounding organs at risk (OARs), in addition to accommodating inter- and intrafraction movement of both. $10$  The three key components required to achieve this involve patient immobilization, on-treatment image guidance and ontreatment patient motion management.<sup>11</sup> With the use of four-dimensional CT scanning for planning of the tumour motion, and image guidance with cone beam CT during treatment delivery, uncertainties/errors have been reduced significantly, thus increasing the confidence in delivering hypofractionated radiation precisely.<sup>12</sup> In addition, the ability to quantify individual patient's tumour motion can allow patient-specific margins.<sup>13</sup> For tumours with observed significant motion, different methods have been developed to either limit motion (e.g. abdominal compression/breath-hold techniques) or to track the tumour with respiratory gating or real-time tumour localization using extra-anatomical or implanted fiducials.<sup>10</sup>

Further advances in planning such as the use of volumetric arc therapy or numerous non-coplanar beams allow increased conformity of dose to the tumour and hence better sparing of OARs. The introduction of flattening filter-free linear accelerators (linacs) with high dose rate delivery means that SABR treatments can be delivered as quickly as standard conventional RT.<sup>[14](#page-14-0)</sup> This is clearly beneficial and more comfortable for patients and means that there are less concerns regarding patient stability during radiation delivery.

In the UK, SABR doses for NSCLC aim to deliver between 54 and 60 Gy in three to eight fractions delivered on an alternate-day basis, depending on the proximity of the OARs.<sup>[15](#page-14-0)</sup> Where the tumour is close to the chest wall, patients are treated with five fractions to reduce the risk of late rib fractures and chest wall toxicity. Tumours close to the vertebral column, brachial plexus and major vessels are usually treated with eight fractions, with the three-fraction regimen mainly used for tumours surrounded by lung parenchyma only with no concerns of radiation toxicity to other OARs. Tumours that lie within 2 cm in the axial plane of the main airways are presently not routinely treated with SABR in the UK outside clinical trials due to increased toxicity seen in the dose escalation studies by Timmerman et al.<sup>[16](#page-14-0)</sup>

#### Search strategy

PubMed, OVID and Web of Science were searched encompassing the search terms early stage, non-small cell lung cancer and stereotactic RT/SABR/SBRT, resulting in over 2400 articles. The search period was from January 2000 to April 2016. The search parameters were filtered using the terms trials, outcomes, surgery and English. The resulting articles were then selected by assessing the article for relevance, including patient cohorts undergoing SABR, and toxicity of SABR and presenting overall survival (OS) and local control estimates.

#### Treatment options for early-stage non-small-cell lung cancer

ES-NSCLC is defined according to the TNM seventh edition as T1 ( $<$ 3 cm) and T2 ( $<$ 7 cm) lung cancer, with metastatic disease in ipsilateral peribronchial and/or ipsilateral hilar lymph nodes and intrapulmonary lymph nodes, including direct extension  $(N1)$ ,<sup>[17](#page-14-0)</sup> however, this review focuses on N0 disease. When assessing a patient for optimal treatment of NSCLC, it is important to take into account patient fitness, comorbidities and wishes.<sup>[18](#page-14-0)</sup> In the UK, staging is completed with fluorine-18 fludeoxyglucose positron emission tomography CT (PET-CT) to exclude metastatic disease, in addition endoscopic or surgical assessment of equivocal mediastinal nodes is essential.<sup>1</sup>

The radical treatment options include surgical resection and radical RT, including SABR and conventional fractionated external beam RT (EBRT). Other ablative methods such as radi-ofrequency ablation are also used.<sup>[20](#page-14-0),[21](#page-14-0)</sup> Surgical resection is the current treatment of choice for ES-NSCLC.<sup>[20](#page-14-0)</sup> Surgery has the obvious advantage of pathological confirmation and further mediastinal staging; however, the increased morbidity and mortality associated with surgical intervention makes alternative treatments desirable. The use of minimally invasive videoassisted thoracoscopic surgery has the potential to reduce

morbidity/mortality in patients at higher risk of surgical morbidity for both lobectomy and sublobar resections.<sup>2</sup>

For those patients who are too frail for surgical intervention, nonsurgical management is warranted because the survival of untreated Stage I NSCLC is poor and population-based analysis over time has shown a trend for improved survival with increased active treatment rates. $^{23,24}$  $^{23,24}$  $^{23,24}$  $^{23,24}$  $^{23,24}$  In patients who are advised or decide against surgery, the treatment options include non-invasive RT, invasive ablative therapies, systemic therapy or best-supportive/palliative care. There is a paucity of randomized evidence examining these treatments, and most publications are retrospective heterogeneous case series. Despite this, SABR has been adopted widely across the world. In the UK, the delivery of both conventional EBRT and SABR for ES lung cancer has recently been audited by McAleese et al. $25$  They found high levels of conformance with quality standards, including respiratory compensation, and onset verification with cone beam image-guided RT in the UK.

Randomized evidence for SABR vs more conventionally fractionated RT regimes is emerging. The Phase II Stereotactic Precision And Conventional Radiotherapy Evaluation (SPACE) study [\(http://clinicaltrial.gov](http://clinicaltrial.gov) identifier NCT01920789) has been presented in abstract and randomized 102 patients to SABR (66 Gy in 3 fractions and 45 Gy at the periphery) or conventional RT (70 Gy in 35 fractions). No difference in local control was discovered.<sup>2</sup> They found that conventional treatment was associated with a higher risk of grade 1–2 toxicity (oesophagitis and pneumonitis) and with worse treatment compliance; in addition, the SABR group may have had more unfavourable prognosticating factors ( $T_2$  tumours 47% *vs* 25%; male 45% *vs* 36%). The Trans Tasman Radiation Oncology Group (TROG) CHISEL Phase III trial comparing SABR to conventional RT is currently recruiting patients and is close to completing recruitment.<sup>[27](#page-14-0)</sup>

#### What is SABR and how is it delivered?

SABR, a synonym for SBRT, has been an "external beam radiation therapy method used to very precisely deliver a high dose of radiation to an extracranial target within the body, using either a single dose or a small number of fractions".<sup>[28](#page-14-0)</sup> Stereotaxis was initially defined when treating brain lesions, using an external three-dimensional frame directly fixed to the skull and allowing accurate image-guided surgery using Cartesian coordinates. This allowed development of stereotactic radiosurgery, or Gamma Knife®, using multiple beamlets to ablate metastatic deposits within the brain. Radiosurgery is defined as ablative RT dose in a single fraction, whereas fractionated courses were initially defined as SBRT, and now SABR.

For lung SABR, a rigid frame is not practicable therefore for the initial development of SABR in Karolinska Institute, Sweden, a stereotactic body frame was developed.<sup>[29](#page-15-0)–[31](#page-15-0)</sup> With the advent of sophisticated image-guided RT, the use of stereotactic body frame for target localization has waned, although it is still used for immobilization and abdominal compression to restrict breathing motion. With the small margins used in SABR, target identification and delineation is crucial. To confidently plan lung SABR, planning margins should be individualized to each patient. The most common method to achieve this is a four-dimensional CT

planning scan, where the tumour motion is assessed through multiple phases of breathing. A standard free-breathing threedimensional CT alone can produce movement artefact, especially with small mobile lung cancers. Underberg et  $al<sup>32</sup>$  and Bradley et  $al^{33}$  $al^{33}$  $al^{33}$  have compared helical, average-intensity-projection and maximum-intensity-projection four-dimensional CT for use in lung SABR. The authors found maximum-intensity projection to be superior in defining full motion gross tumour volume (GTV). The motion GTV can be checked against the other breathing phases, and a composite GTV delineated. In SABR, there is no margin given for clinical target volume, therefore the composite GTV/motion GTV is equivalent to the internal target volume. A margin is then applied to allow for setup errors (usually 5 mm) to create the planning target volume  $(PTV)^{34}$ 

Multiple treatment systems have been used to treat patients undergoing SABR. These include the robotic linac (CyberKnife®, Accuray, Sunnyvale, CA), conventional gantry-based linac (Elekta®, Stockholm, Sweden. Varian, Salt Lake City, UT), TomoTherapy® (Accuray) and MRI-cobalt linac (ViewRay™, Oakwood Village, OH).[35,36](#page-15-0) The dose delivered is key to the high local control rates seen with SABR in lung cancer. Previous studies have demonstrated that a biologically equivalent dose (BED) for tissue with an alpha/beta ratio of 10 of  $>$ 100 gives the best chance tissue with an alpha octa ratio of 188 and  $\frac{1}{37,38}$  Koshy et al<sup>39</sup> examined the US National Cancer Database investigating whether even higher doses are more effective. Of 498 patients who underwent SABR for NSCLC between 2003 and 2006, the five most common doses were 60 Gy/3# (34%), 48 Gy/4# (16%), 54 Gy/3# (10%), 45 Gy/3# (10%) and 48 Gy/3# (4%) which represent calculated BEDs of 180, 105.6, 151.2, 112.5 and 124.8 Gy, respectively. They found a statistically significant improvement in OS in patients with  $T_2$ tumours and a calculated BED of  $>150$  Gy.

#### Lung SABR: reported outcomes and toxicity

There are an increasing number of studies reporting patient outcomes, dosimetry and toxicity following treatment of ES lung cancer with SABR. Table 1 illustrates the cross section of articles in the current literature. These articles report SABR outcomes including the details of 4570 patients in total. The group is heterogeneous and have had a variable number of different dose-fractionation

treatments especially in the earlier articles. Gross analysis of the combined reported OS which was reported in 15 articles was 38.44 months, with an average follow-up of 29.4 months (although in three articles, the median survival was not reached at the time of analysis). The OS and local control rates are listed in Table 1. The OS rates in the SABR cohort should be viewed in the context that the vast majority of patients were medically inoperable.

Nagata et al $40$  examined the outcomes of patients undergoing SABR for lung cancer in the Japanese Clinical Oncology Group (JCOG) 0403 study. They stratified 104 inoperable patients and 65 operable patients who underwent SABR for T1N0M0 pathologically proven NSCLC. The 3-year OS for inoperable patients was expected at 50% and the actuarial value found was 59.9% [95% confidence interval (CI) 49.6–68.8%]. As expected, the operable patient group was younger and had less comorbidity and a higher 3-year OS of 76.5% (95% CI 64–85.1%). As with other groups, the improvement in OS of patients with ES lung cancer compared with conventional fractionated RT puts forward the case for SABR as the first-line treatment for inoperable ES-NSCLC and as a potential alternative to surgery in this group [\(Table 2](#page-3-0)).

The toxicity of SABR appears to be well documented within the articles below ([Table 3\)](#page-7-0); 30 articles reported toxicity outcomes. Gross grade 5 toxicity appears to be rare, especially in peripheral tumours, with 11 patient deaths reported in these articles, and possibly attributable to SABR, 1 patient was thought to have underlying interstitial lung disease. $43$  The final study patient reported as grade 5 toxicity had no data regarding the cause of death, and the patient died within 30 days of treatment.<sup>[46](#page-15-0)</sup>

Grade 3 and 4 toxicity reports range between 2.7% and 27% of patients. Pneumonitis, dyspnoea, chest pain and pneumonia being the most commonly reported toxicity. These were usually self-limiting, but one patient who died had a grade 4 pneu-monitis initially.<sup>[43](#page-15-0)</sup>

Grade 1 and 2 toxicities were very common and reported in up to 100% of cases, especially grades 1–2 fatigue. Many articles have only reported grade 3 and above toxicity due to the selflimiting nature of grades 1–2 toxicities.

Table 1. Summary of the literature reporting stereotactic ablative body radiotherapy outcomes listed below for early-stage lung cancer reporting overall survival (OS) and local control (LC)



F/u av, follow-up median average.

<span id="page-3-0"></span>Table 2. Outcomes following stereotactic ablative body radiotherapy (SABR) in the primary treatment of early-stage non-small-cell lung cancer



#### Table 2. (Continued)



### Table 2. (Continued)





#### Table 2. (Continued)

AAA, Analytical Anisotropic Algorithm; AH, accelerated hypofractionation; Arc, Arc therapy; BED, biologically equivalent dose; CI, confidence interval; IMRT, intensity-modulated radiotherapy; inop, inoperable; IQR, interquartile range; LRR, local relapse rate; Med, median; op, operable; OS, overall survival; PB, pencil beam algorithm; Pts, patients; PTV, planning target volume; VMAT, volumetric modulated arc therapy; SE, Standard Error.

#### SABR vs surgery in NSCLC

Chang et al $45$  recently published a pooled analysis of two randomized trials in the USA and Netherlands (ROSEL and STARS) comparing SABR with lobectomy. Although small numbers, with 31 patients randomized to SABR and 27 patients to surgery, the results and conclusions drawn raised interest on publication. The authors advocate SABR for ES lung cancer even in the operable group of patients. They report an estimated 3-year OS of 95% (95% CI 85–100) in the SABR group compared with 79% (95% CI 64–97%) in the surgical arm, with a hazard ratio of 0.14 (95% CI 0.017–1.19), statistically significant log rank  $p = 0.037$ . There was no significant difference in recurrence-free survival. This report is the first of randomized data between the two treatment options, but interpretation of the data is severely limited by failure to complete recruitment in either study and by small patient numbers. Furthermore, the apparent outlier of surgical morbidity and perioperative mortality of 1 patient in a small cohort of 27 may be misleading. The surgical arm also had a significant proportion of three (11%) patients who did not undergo a lobectomy, confounding the results further.

The other studies listed in [Table 4](#page-11-0) are attempts at matched pair retrospective analyses. The larger studies have shown improved OS in the surgery groups; however, these are usually confounded by older patients with more comorbidities in the SABR arms due to inoperability.[72](#page-16-0)–[76](#page-16-0)

van den Berg et al<sup>78</sup> report outcomes of 340 patients treated with surgery ( $n = 143$ ) or SABR ( $n = 197$ ) for ES lung cancer. They found no difference in OS, local recurrence or distant recurrence. However, they did find that locoregional recurrence was significantly more frequent with SABR (adjusted sub-hazard ratio 2.51; 95% CI: 1.10–5.70;  $p = 0.028$ ). Verstegen et al<sup>77</sup> matched two groups of patients with ES lung cancer using propensity scores based on patient demographics such as cTNM stage, age, gender, Charlson comorbidity score, lung function and performance score. They retrospectively matched 64 patients who received SABR to the same number of patients who underwent a video-

assisted thoracoscopic surgery lobectomy. They found superior local regional control, but no difference in OS. The difference between the two publications may be the definitions of local and locoregional control, especially regional lymph node recurrence. van den Berg et al defined any mediastinal lymph node recurrence as locoregional failure, whereas Verstegen et al only defined ipsilateral lymph node recurrence as locoregional.

Grills et al $^{60}$  have an earlier report of outcomes between lung SABR or wedge resection. In the non-randomized group comparing SABR and wedge resection at 30 months, they found OS was improved in the surgical group (87% vs 72%,  $p = 0.01$ ), however, cause-specific survival was equal. SABR was superior for local (5% vs 24%,  $p = 0.05$ ), locoregional (5% vs 29%,  $p = 0.03$ ) and regional relapse (0% *vs* 18%,  $p = 0.07$ ).

The data supporting the use of SABR in ES lung cancer appear to be strengthening. Nagata et  $al<sup>40</sup>$  $al<sup>40</sup>$  $al<sup>40</sup>$  reported their outcomes of SABR in operable patients, which were improved compared with inoperable patients.

Further robust, appropriately powered trials are required to accurately compare the two treatment groups. In the UK, the SABRTooth Trial has recently opened.<sup>[79](#page-17-0)</sup> This is a feasibility study to randomize "high-risk" operable patients with ES-NSCLC to surgery or SABR, depending on their perioperative risk. If recruitment is successful, it will extend into a Phase II study. In addition, the "Stablemates" trial (chief investigator Robert Timmerman) in the USA is also directly randomizing high-risk patients to SABR or sublobar resection,<sup>[80](#page-17-0)</sup> and the Veterans Affairs lung cancer surgery or stereotactic RT study (chief investigator D Moghankaki) is due to open in the very near future.

#### SABR for central lesions in lung cancer

Central ES lung cancer tumours are defined by their proximity, usually within 2 cm, to central organs at risk, such as the proximal bronchial tree, oesophagus, great vessels, spinal cord or heart/pericardium. Earlier studies identified the increased risk of

<span id="page-7-0"></span>Table 3. Lung stereotactic ablative body radiotherapy toxicity

Study	Scale	Gd 1	Number	Gd <sub>2</sub>	Number	Gd 3	$Gd$ 4+
Navarro-Martin et al 2016 <sup>43</sup>	CTCAE V3	89% Gd 1 acute 100% chronic Gd 1 Pneumonitis (68%)	34 42 26	16% acute 2 pleuritis 1 dyspnoea 1 cough 1 dermatitis $\mathbf{1}$ pneumonitis 18% chronic Dyspnoea Pneumonitis Cough Dermatitis Anorexia	6 $\overline{\phantom{a}}$	1 Gd 3 pneumonitis $(5\%)$ 1 chronic AF and dyspnoea	1 Gd 5 (developed late from Gd 3 toxicity $-2.65$ )-Pt thought to have subclinical ILD
Heseltine et al 2016 <sup>41</sup>	Not reported					$13$ Gd $3+$ events $(12\%)$ Significantly more $Gd$ 3+ events in those pts with GTV $<$ 1 cm from PBT	4 potential Gd 5 events 2 pneumonia 2 pulmonary haemorrhage (both previous exposure to bevacizumab)
Shaverdian et al 2016 <sup>42</sup>	CTCAE v3	88% reported $no \geq Gd$ 2 toxicity		$\geq$ Gd 2 toxicity in 12% Pneumonitis (9%) Rib# (3%)	8 $\overline{3}$	2 pneumonitis Gd 3	No Gd $3+$ toxicity reported
Chang et al 2015 <sup>45</sup>	NCI-CTC V <sub>3</sub>					SABR 3 pts (10%) 2 cough/dyspnoea 3 Chest wall pain 1 fatigue and rib# Surgery 12 pts $(44\%)4$ Gd 3 dyspnoea 2 Gd 3 pneumonia 4 Gd 3 chest pain	No Gd 4 or above in SABR arm Sx arm-1 Gd 5 death from surgical complications 12 Gd 3-4 Surgery 12 pts (44%) 1 Gd 4 dyspnoea
Murray et al $2015^{46}$	NCI-CTC V <sub>4</sub>					Acute-1 Gd 3 dyspnoea 4 Gd 3 fatigue Delayed (>6 weeks, $<$ 12 weeks) 1 Gd 3 cough 10 Gd 3 dyspnoea 3 Gd 3 fatigue 1 Gd 4 fatigue Late $(>12$ weeks) 8 Gd 3 dyspnoea 1 Gd 3 pneumonitis	No grade 4 toxicity during $\mathsf{tx}$ 1 pt died within 30 days of SABR, but CoD unknown; had been asymptomatic (potential Gd 5) 1 patient died at 12 weeks from COPD
Nagata et al $2015^{\textbf{40}}$	CTCAE V3					Inop-Gd 3 11 $(10.6\%)$ Dyspnoea 10 Hypoxia 8 Pneumonitis 8	No Gd 5 toxicity reported Gd 4 inop-2 pts

### Table 3. (Continued)



#### Table 3. (Continued)



#### Table 3. (Continued)



AF, atrial fibrillation; CoD, cause of death; COPD, Chronic Obstructive Pulmonary Disease; CTC, common toxicity criteria; FEV1, forced expiratory volume in 1 second; Gd, grade; ILD, interstitial lung disease; inop, inoperable; NCI, national cancer institute; op, operable; PBT, proximal bronchial tree; PFTs, pulmonary function tests; Pt, patient; resp, respiratory; rib#, rib fracture; RTOG, Radiation Therapy Oncology Group; Sx, surgical; tx, treatment; WHO, World Health Organisation.

toxicity in treating central tumours, including 6 of 70 patients deemed to have suffered grade 5 toxicity due to SABR and a further early case report of proximal airway necrosis and haemoptysis.<sup>[16,](#page-14-0)[81](#page-17-0)</sup> The fact that these patients are usually medically inoperable may increase the risk of complications following central SABR.

Subsequently, the "no-fly-zone" planning organ-at-risk volume of 2 cm around the proximal airways has been adopted in SABR treatments for lung cancer. Patients with central tumours were ex-cluded from the Radiation Therapy Oncology Group 0236 trial<sup>[58](#page-16-0)</sup> which demonstrated the safety of 54Gy/3# in peripheral ES-NSCLC.

However, the use of a risk-adaptive SABR technique to control the total BED to OARs has been demonstrated to be relatively safe.<sup>[56](#page-16-0),[82](#page-17-0)</sup>

Further studies have reported the use of SABR to treat tumours in ultracentral positions, defined as the GTV in direct contact with the proximal airway.<sup>[56](#page-16-0)[,83](#page-17-0)</sup> Chaudhuri et al<sup>[83](#page-17-0)</sup> compared 68 patients with ES lung cancer suitable for SABR (50 Gy in 4 or 5#), 34 patients with peripheral tumours and 34 with central tumours, and found no significant difference in OS, primary tumour failure or local failure between the two groups (median OS 38.1 months, 2-year OS 73.8%). They reviewed six patients



#### <span id="page-11-0"></span>Table 4. Studies comparing outcomes between surgery and stereotactic ablative body radiotherapy (SABR)



Study	Study type	Patients	Follow-up (median)	<b>Notes</b>	Outcome
					respectively, $p = 0.04$ No significant difference in OS
Solda et al 2013 <sup>3</sup>	Systematic review and historical cohort comparison	3641 pts form 45 reports 2038 stage Ia pts from IASLC database			2-year OS 70% in SABR group (range 35-96%, 95% CI $67 - 72\%$ 67% in surgery (95% CI 66–70%
Grills et al 2010 <sup>60</sup>	Prospective comparison SBRT vs wedge resection	58 SABR 69 Wedge resection	Potential F/U 2.5 years	$N$ +ve patients excluded. 21% SABR $\nu$ s 71% wedge underwent mediastinal LN sampling	Improved LR in SBRT $4\%$ vs 20% for wedge $(p = 0.07)$ at 30 months. OS superior with wedge 87% vs 72% SBRT; $p = 0.01$ . CSS 94% wedge vs 93% SBRT; $p = 0.53$ . No difference in regional recurrence, locoregional recurrence, distant mets, or freedom from failure
Crabtree et al $2010^{62}$	Retrospective analysis	462 surgery <b>76 SBRT</b>	$Sx - 31$ months SBRT-19 months	80% bx proven in SBRT	OS 5 years 55% in Sx group Sx OS 3 years -68% <b>SBRT OS</b> 3 years -- 32% CSS-82% similar LC $(3 \text{ years})$ —94% sx vs 89% SBRT DFS (3 years)-77% $\nu s 86\%$

Table 4. (Continued)

Bx, Biopsy; CI, confidence interval; CSS, cause-specific survival; DC; disease control; DFS, Disease-free survival; HR, hazards ratio; IASLC, International association for the Study of Lung Cancer; IQR, interquartile range; LC, Local control; LR, local relapse; mets, metastases; N1ve, node positive; OR, odds ratio; OS, overall survival; SLR, sublobar resection; Sx, surgical group; VATS, video-assisted thoracoscopic surgery.

with "ultracentral" NSCLC tumours and found that the 2-year OS was 80.0% for patients with ultracentral NSCLC compared with 63.2% for the remaining patients with central NSCLC and 86.6% for patients with peripheral NSCLC ( $p = 0.62$ ). They report that one patient had grade 4 pneumonitis with a central tumour and two patients had grade 3 chest wall pain with peripheral tumours. No grade 5 toxicity was reported, and they found no statistically significant correlation between toxicity and tumour site.

Tekatli et  $al^{84,85}$  report the outcomes of 80 patients with tumour PTV within 2 cm of the proximal bronchial tree and 47 patients with more ultracentral tumours, defined as the PTV in contact with the trachea or main bronchi. The patients were treated with 60 Gy/ 8# (BED for tissue with an alpha/beta ratio of  $10 = 105$  Gy). Of the 80 patients with central tumours, a total of six (7.5%) patients were considered to have possible ( $n = 3$ ) or likely ( $n = 3$ ) treatmentrelated death. They made a contemporaneous comparison with a cohort of patients with peripheral tumours treated with SABR and found no significant difference in OS between the two groups.

Median OS was 38 months (95% CI: 26–50) for central and 44 months (95 CI%: 38–51) for peripheral tumours.

The 47 ultracentral patients were treated with SABR (70 Gy/12#) and reported to have a median OS of 15.9 months and a 3-year OS of 20.1%. Of more concern was the expected increase in toxicity, Grade 3 or higher toxicity was recorded in 38% of patients, with 21% scored as having a "possible"  $(n = 2)$  or "likely"  $(n = 8)$  treatment-related death between 5.2 and 18.2 months after treatment. Fatal pulmonary haemorrhage was observed in 15% of patients.<sup>85</sup>

The promising reported outcomes and toxicity profile, as well as the prognostic sequelae of not adequately treating ES central lung cancer would suggest that SABR will be offered to these patients with increasing frequency.<sup>[86](#page-17-0)</sup> However, those patients with tumours involving the trachea or main bronchus will be at a much higher risk of treatment toxicity, including death. Bezjak et  $al^{87}$  $al^{87}$  $al^{87}$  have recently reported the primary study end point

analysis of NRG Oncology/Radiation Therapy Oncology Group 0813 SBRT for central NSCLC dose escalation trial. They report a dose-limiting toxicity rate of 7.2% with the maximum dose of 12 Gy/# over 5 #. However, they report four deaths, one at 10.5 Gy/#, two at 11.5 Gy/# and one at 12 Gy/#. Efficacy data are awaited.

In Europe, the European Organisation for Research and Treatment of Cancer, LungTech study is currently evaluating 60 Gy in eight fractions for central tumours in a prospective Phase 2 study with robust RT quality assurance and robust patient follow-up data. $88$  This should hopefully provide high-quality data on the efficacy and safety of this regimen for central tumours.

SABR as salvage following surgery or radiotherapy Recurrence rates of lung cancer following radical surgical treatment have been reported between 30% and 75%, increasing with the more advance stages; those patients with the pathological Stage I disease reported 27–38% recurrence, and those patients with Stage IIIa disease having the highest risk. $89,90$  The majority of these are distant recurrences, and usually occur within 2 years of surgery. Post-surgical local recurrence can be managed with surgical resection, EBRT or more recently SABR has been used.<sup>[91](#page-17-0)</sup> However, this cohort of patients, who are usually of poor performance status, are at a significant increased risk of complications due to intra-thoracic re-operation.

The detection on local recurrence post-SABR is also challenging. Fibrotic change is common and can be progressive for a number of months following treatment, in addition to causing local anatomical changes around the radiation field.<sup>[92](#page-17-0)</sup> Current UK guidelines recommend regular post-SABR CT assessment of the thorax,<sup>[15](#page-14-0)</sup> and high risk CT features and PET-CT are being investigated to improve the diagnosis of post-SABR local recurrence.<sup>93,[94](#page-17-0)</sup>

Trovo et al<sup>[95](#page-17-0)</sup> report 17 patients with "in-field" central recurrence of NSCLC using SABR 30 Gy/5–6#, following previous radical RT (50–60 Gy). They reviewed 17 patients, with a local control rate of 86% at 1 year due to 2 patients having local failures. However, Kaplan Meier OS estimates at 1 and 2 years were 59% and 29%, respectively. They also report one fatal occurrence of pneumonitis and one patient who died of fatal haemoptysis 2 months following SABR; in addition, they report 4 patients who developed grade 3 pneumonitis. The treatment of local recurrence following surgical resection is not straightforward. There are increased risks of mortality and toxicity associated with surgical resection as well as SABR.

Trakul et  $al^{96}$  $al^{96}$  $al^{96}$  report their single institution experience of SABR reirradiation of in-field recurrent lung cancer, previously treated with conventional fractionated RT and SABR; 15 patients with 17 lung tumours compared with a standard group undergoing SABR with no previous RT. Local control at 1 year was 65.5% compared with 92.1% of the control group. 1-year actuarial progression-free survival and OS were 58.2% and 80%, respectively. They had no grade  $4+$  toxicities in either group; and

grade  $2+$  pneumonitis was not seen in the reirradiated group but reported in 13 patients (11.6%) in the control group. Hearn et al $^{97}$  identified 22 of 436 patients with local recurrence following treatment with SABR for ES lung cancer. 10 of these were deemed suitable for re-treatment SABR, and 30% are disease free since treatment (follow-up range 11.7–43.5 months). Two patients developed distant disease, with four other patients with local relapse at a median 9.9 months.

As patients initially treated with SABR usually have significant comorbidity that precludes surgery, re-treatment SABR may be a viable treatment option in local recurrent disease.

#### Lung cancer SABR and adjuvant treatments

The use of SABR for ES lung cancer raises the question of benefit of additional treatments. SABR induces local ablation of tumour cells and surrounding normal stroma. Lung cancer is known to have high metastatic potential, and micrometastatic nodal dis-ease is beyond the scope of PET-CT to detect.<sup>[98,99](#page-17-0)</sup> This raises the concern of undetected metastatic deposits which may then affect outcome. Interestingly, there are additional hypotheses postulated around the tumour microenvironmental changes associated with SABR. These include the release of tumour-associated antigens, which can prime the host immune system, thereby potentially encouraging the abscopal effect on distant sites of disease. $10$ 

Conventional treatment such as chemotherapy may be considered, certainly for those tumours with associated increased risk of nodal involvement. There are currently no reported randomized trials comparing SABR for ES lung cancer with or without adjuvant chemotherapy. Chen et  $al^{36}$  $al^{36}$  $al^{36}$  report a small analysis of SABR for early lung cancer with a cohort of 65 patients, of which 17 patients then received adjuvant chemotherapy. Albeit a small sample size, they report a trend in improvement of OS of patients receiving adjuvant chemotherapy with three to four cycles of a cisplatin-containing regimen. Although not statistically significant, the 3- and 5- year survival rates for the patients who received SABR plus adjuvant chemotherapy were 80.5% and 46%, compared with 49.6% and 31.5% receiving SABR alone, respectively.

#### SUMMARY AND OUTLOOK

The use of stereotactic ablative RT for ES lung cancer is currently routine for medically inoperable patients and is well tolerated with high local control rates. There remains equipoise regarding the effectiveness of SABR compared with surgical resection in higher-risk patients and medically operable patients, which is hopefully being addressed by randomized clinical trials in this setting. For patients with ES-NSCLC suitable for surgery, there may be an argument for using SABR upfront and for reserving surgical resection for those patients who relapse locally. Finally, there is growing interest in the potential abscopal effect of SABR and the addition of immunemodulating systemic therapies in combination with SABR, and this may have the potential to eradicate potential micrometastatic deposits within central draining lymph nodes and beyond.

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