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Surgical data science: the new knowledge domain

DOI 10.1515/iss-2017-0004

Received December 30, 2016; accepted March 23, 2017; previously published online April 20, 2017

Abstract: Healthcare in general, and surgery/interventional care in particular, is evolving through rapid advances in technology and increasing complexity of care, with the goal of maximizing the quality and value of care. Whereas innovations in diagnostic and therapeutic technologies have driven past improvements in the quality of surgical care, future transformation in care will be enabled by data. Conventional methodologies, such as registry studies, are limited in their scope for discovery and research, extent and complexity of data, breadth of analytical techniques, and translation or integration of research findings into patient care. We foresee the emergence of surgical/interventional data science (SDS) as a key element to addressing these limitations and creating a sustainable path toward evidence-based improvement of interventional healthcare pathways. SDS will create tools to measure, model, and quantify the pathways or processes within the context of patient health states or outcomes and use information gained to inform healthcare decisions, guidelines, best practices, policy, and training, thereby improving the safety and quality of healthcare and its value. Data are pervasive throughout the surgical care pathway; thus, SDS can impact various aspects of care, including prevention, diagnosis, intervention, or postoperative recovery. The existing literature already provides preliminary results, suggesting how a data science approach to surgical decision-making could more accurately predict severe complications using complex data from preoperative, intraoperative, and postoperative contexts, how it could support intraoperative decisionmaking using both existing knowledge and continuous data streams throughout the surgical care pathway, and how it could enable effective collaboration between human care providers and intelligent technologies. In

addition, SDS is poised to play a central role in surgical education, for example, through objective assessments, automated virtual coaching, and robot-assisted active learning of surgical skill. However, the potential for transforming surgical care and training through SDS may only be realized through a cultural shift that not only institutionalizes technology to seamlessly capture data but also assimilates individuals with expertise in data science into clinical research teams. Furthermore, collaboration with industry partners from the inception of the discovery process promotes optimal design of data products as well as their efficient translation and commercialization. As surgery continues to evolve through advances in technology that enhance delivery of care, SDS represents a new knowledge domain to engineer surgical care of the future.

Keywords: interventional data science; objective surgical skill assessment; robot-assisted active learning; surgical data science; surgical process models; surgical quality improvement.

Introduction

More than ever, surgery is an indispensable part of modern healthcare. More than 312 million major surgical procedures were performed globally in 2012, an estimated increase of 38.2% since 2004 [1]. An additional 288 million humans in low-resource countries suffer from a surgically treatable condition, and studies estimated that, within this population, 5.6 million deaths could be prevented with quality surgical care [2]. At the same time, a considerable fraction of adverse outcomes in healthcare are associated with complications from surgical interventions [3]. Surgical complications are most often due to errors in judgment or technique or other forms of system and communication errors [4–8]. Errors lead to complications in care, adverse outcomes for patients, and a substantial impact on the quality and value of healthcare [3].

The surgical profession has consistently promoted a culture of continuous improvement of performance through evaluation of errors and their consequences and enhancements in the safety and quality of care [9, 10]. Historically, the quality of surgical care was assured through

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training competent surgeons and controlled through systematic monitoring of outcomes of care. More recently, methods such as registry studies have begun to supplement traditional mechanisms such as mortality and morbidity conferences [10]. This reflects a general trend to make surgical care evidence-based and to more carefully monitor the outcomes of surgical care. However, surgical patient registries typically rely on a limited amount of data about patients, which in turn restricts the scope of possible discoveries to improve the quality of care [11]. Similarly, surgical training has evolved through structured residency programs, graduated delegation of responsibility under supervision, and competency assessment before certification for practice. Despite these advances, the acquisition and retention of surgical skill and competency are still largely supported by unstructured, inconsistent feedback and assessed using methods that are subjective, mostly based on manual observation, and thus resource intensive [12, 13].

As these two examples suggest, traditional methodologies to support improvements in the quality and value of surgical care are no longer adequate for several reasons. First, surgery is growing in complexity not only because of multiple comorbidities in typical surgical patients but also due to various technologies and information sources that augment care. In addition, these technologies, together with several other sensors, yield large amounts of complex, unstructured data (e.g. radiographic and video images). Such data describe individuals, instruments, devices, technologies, the environment, and their interactions throughout the patient care pathway. Information from this deluge of data may enable providers to deliver safer and higher-quality care if the data are captured, modeled, and transformed into products that integrate into clinical workflows. However, conventional methods are limited in the nature and scope of questions they address, data that are used to develop analytics, and the extent to which products are integrated into patient care workflows.

The confluence of routine availability of large amounts of complex, unstructured data, the advances in analytical techniques, and the need to maximize the quality and value of surgical care in an increasingly complex system set the stage for data-driven discoveries and insights to further transform surgery through a data science approach. Data science, in general, refers to a "study of generalizable extraction of knowledge from data" [14]. Data science has become an integral part of several scientific disciplines, including clinical medicine. As surgical disciplines continue to improve the quality and value of care through technology and evidence, data science

will likewise enable their evolution through new integrative knowledge. However, surgical/interventional data science (SDS) is still in its nascent stages, with a recently held international workshop marking the first initiative to build a global scientific community focused on this area (http://www.surgical-data-science.org; accessed February 13, 2017). This workshop formed the basis for an initial consensus on the definition and scope for SDS along with key clinical applications and anticipated challenges, all of which are described in [15]. The current article expands on those ideas by articulating some of the opportunities and challenges in bringing data science approaches to the practice of surgery.

Aims and relevance of SDS

SDS aims to enable evidence-based improvement of interventional healthcare pathways by creating tools to measure, model, and quantify the pathways or processes within the context of patient health states or outcomes and using the information gained to inform healthcare delivery, decisions, evidence, best practices, policy, and training, thereby improving the quality and value of healthcare [15, 16]. A data science approach to improving value in healthcare shares commonalities across clinical domains that involve providing care through bodily invasion, through natural orifices or artificially inflicted wounds, for either diagnostic or therapeutic purposes. Examples of such clinical domains include all surgical disciplines, radiotherapy, interventional radiology, and interventional cardiology. SDS aims to leverage scientific principles, research methodology, and techniques from several disciplines related to data capture, curation, and analysis, including various branches of engineering, the physical sciences, computer science, epidemiology, and statistics. Although the methods developed and employed in SDS build on existing in other areas of biomedical data science context, the evaluation of human performance and its impact on patient outcomes is a particularly unique and challenging aspect of SDS.

SDS is distinct from other methodologies such as translational research and implementation science, but it is synergistic with them. Translational research aims to promote effective clinical use, i.e. "bench to bedside" transfer, of new knowledge, techniques, or technologies [17]. On the contrary, implementation science refers to the "methods to promote the systematic uptake of clinical research findings and other evidence-based practices into routine practice [18]." SDS shares with these methodologies a common goal to improve the quality and value of healthcare. However, it diverges from the other methodologies in its scope, research questions, and approach. SDS may address research questions related to processes and technologies that span the entire surgical patient care pathway. SDS emphasizes the identification of appropriate data sources, engineering solutions to seamlessly capture data in a busy healthcare environment, techniques to efficiently curate the data, and effective methodologies to analyze complex data and discover actionable insights.

Research scope for SDS

Figure 1 illustrates our conceptual view of how SDS relates to surgical patient care. Whereas concepts shown in Figure 1 may be applicable to healthcare pathways in

general, our emphasis in this article is on care that involves intervention through bodily invasion. The surgical care pathway is a complex interplay between various care providers, such as surgeons, nurses, and other personnel providing supportive care, and the healthcare system or environment. Numerous techniques and technologies to measure disease and deliver care serve to augment human performance. Data are pervasive throughout these pathways from various sources such as observations by care providers and measurements of human and technological performance, variables that describe the patient, clinical decisions, interventions, or the process of care. SDS is focused on harvesting these data, curating it, and developing analytics and data products, which in turn improve the quality and value of care. Thus, the research scope for SDS may be considered under a few broad conceptual categories: (1) capture and curation of data, (2) analytics to transform existing quality improvement methodologies, (3) analytics to inform and improve

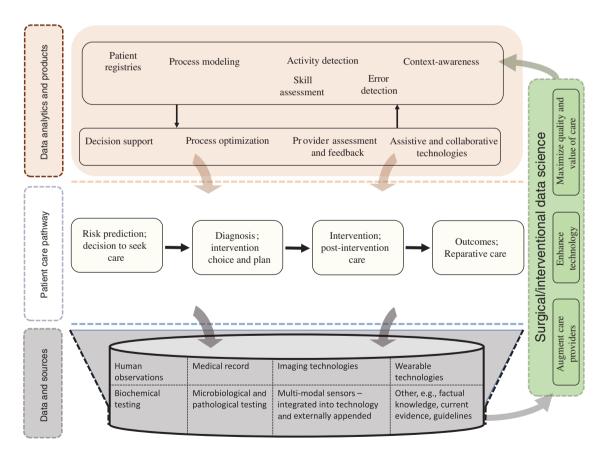


Figure 1: SDS spans the complete patient care pathway with the objective of maximizing the quality and value of care by augmenting care providers and enhancing technology; it involves seamless, efficient capture, secure storage, and efficient curation of data regardless of their complexity as well as analytics to (1) sustain continuous quality improvement, (2) augment the performance of human care providers as well as that of technologies used for diagnosis and therapy, (3) enable intelligent assistance and collaboration between care providers and technology, and (4) support effective and efficient training for care providers.

surgical care processes, (4) analytics to enable intelligent collaboration between care providers and technology, and (5) analytics to augment learning and performance of care providers. Although these conceptual categories may be considered essential areas of focus for SDS, some key clinical applications for SDS are discussed in [15] based on consensus within the scientific community. These categories are further described below in the context of examples from existing research efforts, which serve to illustrate the scope for SDS without attempting to exhaustively catalog existing research in this area.

Examples and potential applications

Data acquisition and curation

Data science relies on data; the systematic capture and curation of data are thus a primary focus for research in SDS. Conventional sources capture only a fraction of data available in the clinical context. Historically, the medical chart served as the primary repository of data on the patient and the care they receive. However, the complexity of surgical care and the data that it generates have rapidly outpaced the evolution of the medical chart and its successor, the electronic medical record (EMR). Consequently, the EMR may serve as an effective data repository to support clinical care, but it remains an incomplete record of data generated by the care process itself. Patient surveys are another conventional data source, but they are severely constrained in the nature and extent of data they can capture. A broader data science approach would leverage all available data and enable a learning system to support surgical care.

The efficient acquisition and curation of available data from diverse sources is vital to facilitate a learning healthcare system [19]. Data in the surgical context, as is the case for any healthcare domain, are pervasive, extremely diverse in its sources, formats, structure, consistency with which it is observed, ease with which it may be accessed, and the extent to which it captures information that can support patient care. For example, patient descriptors that are typically captured during clinical history-taking may be recorded within structured fields in a repository or as free text within a caregiver's narrative. Other data may be as diverse as patients' responses to structured surveys, numeric observations of variables at specific time-points, or time-series values

of hemodynamic variables from intravascular catheters [20]. High-dimensional data may also be obtained from wearable sensors on the behavior of patients, care providers, or instruments and technology used to deliver care, such as tool motion, or images of varying complexity obtained through endoscopic visualization or radiologic investigations. Although these data are readily available, the challenge lies in acquiring it consistently, completely, and without interfering with patient care. This challenge is compounded by heterogeneity in societal and systemic aspects such as availability of resources, cultural perspectives toward research and data in healthcare, and the clinical context. For example, data from the health record may be routinely captured in some countries and clinical contexts but not in others. Thus, solutions to enable systematic data acquisition for SDS should be scalable across diverse settings.

Systems to integrate diverse sensors within and beyond the operating room are needed to facilitate seamless data capture in real time [21]. The heterogeneity in data available within the surgical care context requires a correspondingly diverse array of sensors to capture them. Whereas some sensors are integrated within technology or devices used to provide care, others must be affixed or embedded in the surgical environment to enable data capture. For example, endoscopic video images may be readily captured using routinely available systems, but data on instrument usage require extraneous placement of sensors or tracking systems. Furthermore, existing approaches to capture data in the surgical context target discrete sources within disparate systems as opposed to networks of sensors. Although sensing networks are routinely employed in other scientific disciplines such as environmental studies, agriculture, geology, and oceanography [22], such systems have yet to become a part of surgical care. Although comprehensive systems, including wireless sensor networks and interconnected medical devices, have been prototyped for data capture in the operating room [23, 24] (OR.net; http://www.ornet.org; accessed February 13, 2017), such networks have yet to be developed for deployment at scale.

Finally, curation of heterogeneous data in the surgical context will rely on the adoption of community-wide standards. Such standards are easier to apply within structured research such as clinical trials [25], but a broader consensus is needed to advance SDS. The disparate data in routine surgical care interactions necessitate a broad approach to integration that includes common ontologies [26, 27], industry-wide data standards for technologies that use and emanate data such as imaging [28], consensus on interoperability of data from EMRs and other repositories [29], and a variety of data curation, normalization, and wrangling techniques [30–32].

The following research questions can inform a systematic approach to conception, design, and development of systems for acquisition and methods for curation of data for SDS:

- How may systems be developed to facilitate data capture for SDS that are scalable across settings that may have varying amounts of resources and heterogeneous workflows?
- What technological challenges need to be overcome to develop surgical data capture systems that integrate multimodal data from a variety of sensors and devices?
- What are consensus standards for how different types of surgical data are curated to create shared databases?

Analytics to transform existing quality improvement methodologies

Traditionally, quality improvement in surgery has relied on the systematic measurement of outcomes through patient registries [11, 33]. Such registries have not only allowed the monitoring of temporal trends in patient outcomes but also enabled analytics to predict patients' risk of outcomes after surgery, for example, risk of surgical site infections (SSIs) [34], readmission [35], and other surgical complications [33, 36]. However, registrybased predictive analytics either have poor performance during independent validation [34] or have little generalizability across clinical contexts [37, 38] or across data sources [39]. Furthermore, registry-based analytics rely on cross-sectional observations on a limited subset, for example, preoperative patient descriptors, of all available data, while excluding data on intraoperative and postoperative variables that affect patient outcomes [40, 41]. In the context of surgical and other invasive interventional care, quality of care, and consequently quality improvement, is invariably affected by the interventional care process. Thus, capturing data on intraoperative care processes and modeling it is essential to develop valid analytics to support improvement in the quality of surgical care. Finally, current registry-based predictive analytics are also limited in utility because they do not encompass process-level determinants of patient outcomes. A broadly based data science approach to quality improvement through predictive analytics would have to address all these limitations. Thus, the following research questions inform the development of analytics that transform existing quality improvement methodologies:

- What are key measures of surgical care quality should be targeted through a data science approach to have a substantial impact on value in care?
- 2. How may improvements in surgical care quality be driven using multimodal, complex data, including measures of intraoperative care and performance, and advanced analytics?
- How may data science techniques transform patient registries from simple databases into interactive resources, which accept complex multimodal data and provide accurate and individualized predictions, to support surgical care in real time?

Predicting risk of SSIs illustrates how a data science approach may augment decision-making through accurate information. SSIs are routinely anticipated after surgery, and they have a substantial impact on the value of healthcare. In one study using a national representative sample of in-patient admissions in the United States, SSIs led to an estimated \$1.6 billion in additional costs from nearly 1 million additional in-patient days [42]. Furthermore, none of the existing quality improvement strategies targeting SSIs were found to be clearly effective [43, 44]. Although predicting patients' risk of SSI may facilitate more effective preventive care, conventional analytics only yield a low to moderate accuracy (c-statistics of 0.53 and 0.62) [34, 37]. These accuracies are affected by the heterogeneity in patients' risk of SSI and failure of conventional analytics to leverage intraoperative and postoperative data in addition to preoperative patient descriptors [40, 45]. On the contrary, models that included serial postoperative observations of the wound or laboratory tests, together with corresponding machine learning techniques, achieved up to 90% prediction accuracy [46, 47]. Although these findings have yet to be validated in independent data-sets with sufficient patient heterogeneity, they suggest that the effectiveness of interventions to prevent SSIs could be improved through accurate predictive analytics using new sources of data and approaches to data analytics.

Analytics to inform and improve surgical care processes

Surgical care is a process, so it follows that patient outcomes and value may be improved by optimizing the process and through other process-level interventions [48]. Conventional approaches to improve surgical processes have emphasized individual elements. For example, in the case of SSIs, the Surgical Care Improvement Project (SCIP) evaluated the effectiveness of quantifying and reporting process-level measures of care [49, 50]. Simply capturing and reporting discrete process measures such as the use of prophylactic antibiotics, optimization of blood glucose control, surgical site preparation, or effective management of postoperative fever was not associated with a reduction in the incidence of SSIs [50]. This suggests that data-driven approaches to surgical processes that are overly constrained in their scope, data sources, and analytics may not be effective for improving the quality and value of care.

A data science approach to modeling surgical care processes would take a broad methodological perspective and bring new powerful tools to bear to maximize the quality and value of care. These include analytics to optimize processes and to enable better situational decision-making and context awareness. Although models for surgical care processes will vary in structure and complexity depending on the specific clinical context and target applications, nearly any SDS approach to process improvement will have to address one or more of the following questions:

- How may surgical processes be characterized to be consistent with expert knowledge?
- What data are required to model surgical processes and how may that data be efficiently captured?
- Which aspects of surgical processes are consequential to its outcomes?
- How may analytics be developed to model variations in surgical processes and associate them with the quality and value of care?
- How may scalable methods be developed to optimize surgical processes, their outcomes, their efficiency, and their resource usage?
- How may surgical process models (SPMs) be used to develop data-driven context awareness?

Although the overall surgical care pathway may be considered a process, most existing researches on SPMs have focused on tasks or phases within the intraoperative surgical procedure. SPMs can facilitate process-level analytics to optimize care, enable data-driven context awareness, and support technology to provide intelligent assistance to care providers and decision-makers. Furthermore, process-level variation may be associated with patient outcomes [51]. Thus, modeling variation in processes and contributing factors may facilitate improvements in the quality and value of care. SPMs also will be key to developing cyber-physical systems that seamlessly integrate

the dynamics of intraoperative surgical processes with information and assistance through technology and interactions among care providers as well as human-computer interactions. Such integrated systems are possible with full automation of data capture and curation at scale and SPMs that are generalizable across surgical procedures, platforms, and contexts.

The current literature on the methodologies for SPM is extensively discussed elsewhere [52–56]. Overall, the methodology for SPMs involves specifying an ontology for surgical processes, data acquisition using a variety of sensors and/or observer-based methods including manual annotations about the action, instrument, actor, and anatomical structure being manipulated, modeling of the data using statistical and machine learning methods, and validation of such models [52, 57, 58]. SPMs have been developed for procedures in general surgery, ophthalmology, otolaryngology-head and neck surgery, oral and maxillofacial surgery, neurosurgery, urology, and trauma surgery, among others [54]. However, the broader acceptance and use of SPMs to address the research questions listed above is still lacking.

Analytics to enable intelligent collaboration between care providers and technology

Currently, technology passively enables the provision of surgical care. SDS can transform surgical technologies into an interactive platform that can collaborate with and actively assist providers. Such collaboration may take the form of (1) automated performance of activities during a procedure, (2) guidance in performing activities either by physical interaction with surgical tools or surgeons' hands or by augmentation of information, or (3) timely and accurate decision support. Context awareness is key to enable intelligent collaboration between care providers and technology within patient care pathways [59]. SDS can enable such advances through analytics for context awareness, i.e. recognizing what surgical activity is being performed on what tissue, or in which context, to achieve which objective and with how much skill. Such awareness then facilitates analytics to determine how technology can assist with tool or tissue manipulation; to continuously evaluate the status of the patient, devices, surgical tools, and environment; to determine what information is necessary for effective decision-making; to deploy analytics to extract the information from data; and to display the information in a way that seamlessly integrates with the patient care workflow. In addition, SDS can facilitate the design and development of new

technologies or devices and their integration into patient care workflows.

Several examples illustrate the potential for data analytics to transform surgical technology to support patient care through collaboration with providers. Technology to automate performance of some surgical activities under supervision is in its nascent stages, with the current work focused on automation of simple tasks such as suturing and knot-tying [59, 60]. Enhanced technologies that either guide or inform surgeons include augmented visualization to guide the operating surgeon or robot-assisted navigation [61–64], process or workflow analyses for resource management [65], context-aware medical devices [66], surgical trajectory planning [67], automated control of environment in the operating room [68], simulation of SPMs [55], and other virtual reality and cognitive tools for surgical planning [69, 70]. Data analytics that enable technology for such context-aware assistance to surgical care providers are reviewed in detail elsewhere [71].

SDS can also support the continuous monitoring of the status of the patient, surgical tools, devices, and environment [72]. Analytics that rely on streaming data allow for continuous monitoring of patient status. For example, mapping high-dimensional data from electroencephalograms into a lower-dimensional space using established methods allows for reliable characterization of conscious and unconscious states in patients [73]. Similarly, features extracted from time-series data streams of measures describing heart rate variability and the electrocardiogram may be used to accurately detect hemodynamic instability before decompensation [20]. Tracking patient motion may be necessary in some contexts that demand surgical precision or when surgery results in extensive surface motion, such as in external eve surgery [74]. At a granular level, tracking changes in tissue during surgery is more challenging with deformable soft tissue [75, 76] than with rigid anatomical structures such as the paranasal sinuses [77]. Beyond the patient, several methods to estimate motion or changes in pose of surgical instruments using video images and/or kinematics have been developed [78], for example, in minimally invasive surgery [79, 80], open surgery [81], microsurgery [82], endoscopy [83], bronchoscopy [84], and laser surgery [85]. Relative positions and interaction between surgical instruments may be recognized and tracked using sensors such as radiofrequency identification [86] or using video images [87, 88].

In addition, SDS can facilitate collaboration between technology and care providers through context-aware support for decision-making throughout the surgical care pathway. Examples of a data science approach to support decision support in surgical care include predicting the need for intensive care after major surgery [89], risk of highly consequential postoperative complications such as SSIs [46, 47], readmission after cardiac surgery [90], and reoperation or death after surgery for a ortic aneurysm repair [91]. Predictive analytics in these studies relied on preoperative patient descriptors, intraoperative data, and postoperative variables (e.g. in-hospital step count). There are many opportunities for decision-support analytics using complex data [92]. Examples include machine learning algorithms applied to (1) reflectance spectrometry to predict healing time after burn injury and assist with surgical planning, (2) images to assess tissue flap viability and tissue reconstruction, (3) computed tomographic scans to determine the nature of craniosynostosis, and (4) characteristics of tissue engineering materials to predict success with various tissue grafts. Such analytics represent a distillation of consequential information from otherwise complex data streams to enhance the accuracy of decisions by care providers.

Finally, data-driven context awareness can enhance the timeliness of analytics that support surgical decisionmaking. Throughout the surgical care pathway, and particularly in the operating room, care providers constantly integrate information from an array of technologies, devices and sensors to make timely and accurate decisions. Analytics using a data science approach can greatly minimize information complexity and improve the accuracy of decisions in such contexts using larger amounts of data than what may be processed by humans. For example, März et al. described a holistic system using heterogeneous data from multiple sources, including literature-based evidence, institution-specific variables, and all patientspecific information before, during, and after surgery [93].

Research on analytics to enable intelligent collaboration between surgical care providers and technology may be informed by the following questions:

- What are key target applications where collaboration with context-aware technology can have a significant impact on the safety and quality of surgical care?
- How may the impact of enhanced context-aware 2. technology be evaluated in terms of its effect on patient outcomes, care workflows, provider efficiency, and value in care?

Analytics to augment learning of care providers

Care providers must be competent for surgical/interventional care to be effective. To deliver competent surgical and interventional care, providers must acquire both technical and nontechnical skills, including decision-making,

situation awareness, communication, and teamwork [94]. Poor technical skill is associated with severe adverse outcomes after surgical intervention such as reoperation, readmission, and death [95], and acquisition of nontechnical skill is associated with a lower incidence of technical errors [96]. Historically, surgical training, assessment, certification, and continuous improvement have remained the cornerstones of ensuring the quality of surgical care [12]. Traditional models of teaching surgical skills through supervision and demonstration as well as evaluation by observation and opinion are rapidly becoming untenable. The conventional approach to teach surgical skill in the operating room is severely constrained by opportunities limited due to safety and resource concerns [12, 13]. Furthermore, subjectivity in evaluations and inconsistency in teaching opportunities and feedback impair trainees' ability to learn through deliberate practice. Although data on surgical performance are readily available, its utility in training requires systematic capture, curation, and development of valid analytics.

SDS can yield analytics to equip surgeons with timely, efficient, objective, automated assessments and feedback. Methods for objective assessment rely on data captured using sensors placed on surgical tools, the surgeons, or those integrated within technology used to deliver care. Such data may be captured with greater ease in some surgical platforms such as robotic surgery and in the training laboratory, e.g. virtual reality, than in the operating room [97]. In a simple approach to assess technical skill, kinematic data may be used to compute a variety of metrics describing surgical tool motion that are reviewed in detail elsewhere [98]. Alternatively, data are preprocessed and transformed into an intermediate representation using methods to maximize information pertinent to technical skill. Preprocessed or transformed data are then modeled using appropriate machine learning techniques to derive objective measures of surgical skill. Current methodologies and modeling techniques for such objective computer-aided technical skill evaluation (OCASE-T) in the training laboratory and in the operating room are summarized in detail elsewhere [97]. Although nontechnical skills may also be objectively assessed, capturing and curating the necessary data is challenging and modeling it has been less explored than technical skill.

A data science approach can also enable deliberate practice through an automated surgical coaching framework [99]. Comprehensive coaching by expert surgeons has been shown to be effective for technical skill acquisition [100]. In addition to skill assessment, coaching for technical skill involves the detection of errors and providing targeted feedback [8]. Automating coaching relies on

data analytics that provide context awareness in the form of surgical activity recognition, objective skill assessment within activity segments, detection of errors in performance, and methods to determine and deliver appropriate targeted feedback. At the level of surgical procedures, modeling surgical processes and deviations from expert models or their association with patient outcomes serve as a form of evaluation [51, 52]. At a more granular level, surgical tasks or phases may be further decomposed into activities such as maneuvers and gestures [101]. Thus, targeted feedback based on OCASE-T and error detection relies on the automatic recognition of surgical activities such as maneuvers and gestures. Current methods to detect surgical activities using tool motion or video images are summarized in detail in Ref. [102]. Automated coaching systems that integrate activity recognition, objective skill assessment, error detection, and targeted feedback have thus far been developed only in virtual reality [99]. Finally, SDS can support automated coaching systems developed to include robot-assisted active learning (RAAL) of technical skill. RAAL involves robotic guidance through haptics, visual, and/or auditory feedback [103, 104]. In the context of RAAL, data analytics model expert motion, continuously assess robot-assisted performance or learning, and detect deviation from expert models to inform robotic guidance provided to the learners.

In summary, the potential for SDS to augment learning in care providers can be realized through research addressing the following questions:

- What data are required to objectively assess surgical technical and nontechnical skills?
- How may data analytics be developed to support scalable methods for the objective and accurate assessment of surgical technical and nontechnical skills?
- How may data analytics augment deliberate practice for technical skill acquisition through granular assessments, detection of errors in performance, provision of targeted qualitative feedback, as well as demonstrations?
- To what extent can surgical coaching be automated 4. through data analytics that enable objective assessments, deliberate practice, and context awareness?
- 5. What data and analytics are necessary to support intelligent assistive technologies, including robotics, with which surgical trainees actively interact to efficiently and effectively acquire technical and nontechnical skills?
- How may the impact of data analytics to support acquisition surgical technical and nontechnical skills be evaluated in terms of their impact on outcomes of patient care?

Future directions and research gaps

Advances in surgical care through SDS may be realized through a data-centric cultural shift. This is possible through technology to enable pervasive, seamless capture of data without interfering with patient care and active engagement of care providers, patients, and researchers. In addition, data analytics should aim to incorporate multimodal data to maximize accuracy and timeliness while emphasizing clarity, quality, and accessibility of information derived from the data to ensure their acceptability. The full potential for SDS may be achieved when context-specific key clinical applications are identified and updated through a dynamic process and engagement among stakeholders across a broad spectrum of scientific disciplines, roles within healthcare, and clinical settings.

Active engagement of relevant clinical and technical stakeholders is essential not only to promote the data-centric cultural shift necessary to sustain SDS but also to identify and prioritize clinical problems that must be solved. Such engagement may be operationalized by supporting research communities focused on SDS and by fostering interaction and collaboration between care providers and researchers in the academia and the industry. Although the scope for SDS may be broadly discussed in terms of data capture and curation, quality improvement, surgical care processes, improving technology to intelligently collaborate with providers, and improving care providers, advances in some areas are more challenging than others depending on context and application. For example, developing intelligent robotic surgical assistants or fully automated surgical coaches for complex surgical procedures will require extensive innovation. On the contrary, analytics to support quality improvement, intelligent decision-support, or objective assessment of surgical skill may be achievable in the short term with moderate effort and with significant impact on patient care and provider training. In another example, surgical techniques such as robotic surgery pose lower barriers for advances through SDS because multiple modes of data are readily available that are much harder to capture with other techniques such as open or laparoscopic surgery. Given that complexities in problems and potential solutions span clinical and technical domains, an actionable agenda for SDS along with key applications may only be generated with input from both clinical and technical stakeholders.

As with any approach to improve patient care and training using healthcare data, SDS is associated with risks from the loss of individual privacy and data confidentiality. Although such risks are associated with any methodological approach using healthcare data, SDS may pose greater risk because of the wide scope and diversity of data that are captured and used. Thus, technology to capture data must adequately address concerns related to privacy, confidentiality, and security within systematic protocol-driven investigations subjected to standard ethics review. Similarly, technology that results from a data science approach and validated through systematic investigation should be vetted through existing regulatory processes as indicated before widespread use in the community.

Uniform standards are required to ensure the utility of heterogeneous data accessible throughout the surgical care pathway and scalability of data products. Although previous efforts have focused on the interoperability of EMRs [29], a data science approach requires uniformity on a wider scale, including ontologies to describe and encode human insights into various aspects of surgical care processes. Such uniformity can facilitate integrating human insights into data-driven technologies through crowdsourcing and other techniques. In addition, methodologies to substitute different types of data can greatly facilitate the relevance and scalability of data products developed through SDS. For example, substituting kinematic data, which may not be easy to capture in all contexts, with more readily accessible video data expands the utility of analytics developed using the former [105].

Analytics based on process models should be scoped to model the entire surgical care pathway. As already noted above, surgery or interventional processes are one part of a more extensive pathway that may include substantial diagnostic, recuperative, and rehabilitative elements. Capturing and relating these data to the intervention itself may involve gathering data from nontraditional sources and new technologies. Creating clinically actionable knowledge from this data will require the application of new methods in data analytics.

Clinical translation of data products and analytics developed through SDS involves engineering transparent, trustable data analytics and systems, systematic cross-validation, and evaluation of effects using established methodologies. Analytics developed through SDS typically rely on complex high-dimensional data that are transformed into features and then modeled. Engineering features that reflect the human understanding of processes and mechanisms underlying the clinical problem can facilitate the acceptability of data products, though sometimes at the cost of overall performance. Although it is hard to explain how accurate predictions are obtained with some analytical techniques, such as neural networks, the features used as input to such models may be engineered to reflect known concepts about the clinical problem. For example,

Ahmidi et al. extracted features from unstructured surgical tool motion data that conceptualize expert surgeons' understanding of the critical task of flap elevation during nasal septoplasty [106]. These features may thus be effective for feedback because they explain why surgeons are assessed to be an expert or a novice.

In addition to the validation of analytics developed through a data science approach, the effect of incorporating the data product or analytics into the process of surgical care needs to be empirically evaluated. Several methodologies exist for the purpose of clinically translating technologies such as cohort studies, interrupted time-series studies, or randomized controlled trials. For example, the anticipated average effect of instituting predictive analytics for decision support or data-driven treatment algorithms on patient outcomes may be evaluated through randomized controlled comparisons. Furthermore, a data science approach to validation and translation within cohort studies emphasizes the identification, measurement, and modeling of factors affecting variation in the effect of integrating data products into patient care pathways. Insights into factors affecting variation in effects across clinical contexts through interrupted time-series studies enable locally optimizing care using globally developed data solutions.

Finally, industry-academia collaborations from inception through commercialization can ensure efficient product development and effective clinical translation of data products through SDS. Several nontraditional industry players such as IBM and Alphabet are joining traditional companies that are beginning to investigate dataenabled products that will support some of the healthcare advances outlined above. Startups are also beginning to field systems for capturing process data in the operating room (e.g. Sigma Surgical; http://www.sigmasurgical. com), to teach cognitive skills for surgery through simulation (e.g. Touch Surgery; http://www.touchsurgery.com), and to develop crowd-sourced data analytics for skill assessment (C-SATS, Inc.; http://www.csats.com). Further enabling and establishing a synergistic ecosystem of industry platforms and standards, which both enable and build on academic basic, applied, and clinical research, will greatly accelerate the progress and adoption of data science within interventional healthcare pathways.

Conclusion

Data will play a central and growing role in achieving quality and value in surgical care. Data will also become increasingly complex for conventional study designs and statistical methodologies. Integrating techniques from

multiple scientific disciplines will be necessary to harness data and to discover insights that reshape surgical knowledge. Several examples illustrate the potential for SDS in patient care and surgical training. However, a data-centric cultural shift is necessary to effectively integrate SDS into surgical patient care and training.

Acknowledgments: We acknowledge Dr. Narges Ahmidi for her assistance with editing the figure.

Author Statement

Research funding: Both authors are supported by NIH grant 1R01-DE025265 and internal funds from The Malone Center for Engineering in Healthcare, The Johns Hopkins University. Conflict of interest: Authors state no conflict of interest. Informed consent: Informed consent is not applicable. Ethical approval: The conducted research is not related to either human or animals use.

Author Contributions

S. Swaroop Vedula: Conceptualization; Data curation; Funding acquisition; Methodology; Visualization; Writing (original draft); Writing (review and editing). Gregory D. Hager: Conceptualization; Funding acquisition; Methodology; Supervision; Visualization; Writing (original draft); Writing (review and editing).

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Supplemental Material: The article (DOI: 10.1515/iss-2017-0004) offers reviewer assessments as supplementary material.

Reviewer Assessment Open Access

S. Swaroop Vedula and Gregory D. Hager*

Surgical data science: the new knowledge domain

DOI 10.1515/iss-2017-0004 Received December 30, 2016; accepted March 23, 2017

Reviewers' Comments to Original Submission

Reviewer 1: anonymous

Jan 30, 2017

Reviewer Recommendation Term:	Accept with Minor Revision
Overall Reviewer Manuscript Rating:	80
Custom Review Questions	Response
Is the subject area appropriate for you?	5 - High/Yes
Does the title clearly reflect the paper's content?	4
Does the abstract clearly reflect the paper's content?	4
Do the keywords clearly reflect the paper's content?	4
Does the introduction present the problem clearly?	4
Are the results/conclusions justified?	4
How comprehensive and up-to-date is the subject matter presented?	5 - High/Yes
How adequate is the data presentation?	4
Are units and terminology used correctly?	4
Is the number of cases adequate?	N/A
Are the experimental methods/clinical studies adequate?	N/A
Is the length appropriate in relation to the content?	4
Does the reader get new insights from the article?	4
Please rate the practical significance.	4
Please rate the accuracy of methods.	N/A
Please rate the statistical evaluation and quality control.	N/A
Please rate the appropriateness of the figures and tables.	3
Please rate the appropriateness of the references.	4
Please evaluate the writing style and use of language.	4
Please judge the overall scientific quality of the manuscript.	4
Are you willing to review the revision of this manuscript?	Yes

Comments to Authors:

The manuscript upon Surgical Data Science (SDS) - an emerging hot topic within the surgical and computer scientist society. The manuscript is well written and worthwhile to be considered for publication. Minor aspects are 1. could the authors please give key clinical applications for SDS and 2. what is the importance of SDS as compared to RCTs in terms of creating reliable treatment algorithms.

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Reviewer 2: anonymous

Jan 30, 2017

Reviewer Recommendation Term:	Revise with Major Modifications
Overall Reviewer Manuscript Rating:	N/A
Custom Review Questions	Response
Is the subject area appropriate for you?	5 - High/Yes
, , , , , , , , , , , , , , , , , , , ,	
Does the title clearly reflect the paper's content?	3
Does the abstract clearly reflect the paper's content?	3
Do the keywords clearly reflect the paper's content?	3
Does the introduction present the problem clearly?	4
Are the results/conclusions justified?	4
How comprehensive and up-to-date is the subject matter presented?	4
How adequate is the data presentation?	3
Are units and terminology used correctly?	3
Is the number of cases adequate?	N/A
Are the experimental methods/clinical studies adequate?	N/A
Is the length appropriate in relation to the content?	3
Does the reader get new insights from the article?	4
Please rate the practical significance.	3
Please rate the accuracy of methods.	N/A
Please rate the statistical evaluation and quality control.	N/A
Please rate the appropriateness of the figures and tables.	3
Please rate the appropriateness of the references.	5 - High/Yes
Please evaluate the writing style and use of language.	5 - High/Yes
Please judge the overall scientific quality of the manuscript.	4
Are you willing to review the revision of this manuscript?	Yes

Comments to Authors:

The manuscript is a very well-written position paper.

The current scope of the paper is "Surgical Data Science", which can be considered an emerging field.

My main question concerns the relation of the manuscript to the international Surgical Data Science initiative (www.surgical-data-science. org). According to the website, the initiative has organized an international workshop on the topic, resulting in a short position paper (https://arxiv.org/abs/1701.06482) and the announcement of a longer position paper. In relation to these activities, what is the contribution of the submitted manuscript? How does it differ in its scope from the initiative? Is the paper rather competing or complementary? In light of the two parallel activities, is it possible to fine-tune the scope of this manuscript (e.g. to surgical training), or does the paper present an alternative view? If yes, in what sense?

Further comments.

In the section "What is Surgical/Interventional Data Science (SDS), and what is it not", reference to the consensus definition of SDS should be made https://arxiv.org/abs/1701.06482. Also, the definition includes interventional radiology and other non-surgical disciplines but the entire paper appears to be just on surgery.

Categorization of research scope: I could not fully follow the categorization of the research scope. The last three categories appear to have quite some overlap. Also, they do not reflect the five subsections of that same section. Finally, it would be helpful to write down explicit research questions related to all categories. This would also be important for consistency because currently, only one category has included corresponding research questions.

Overall, the existing literature was reviewed well but I was missing explicit mentioning of the *primary* challenges that need to be overcome - both from a medical and a technical point of view. Also, more concrete statements about the key clinical applications would be helpful.

Data acquisition and curation:

- It would be helpful to make explicit what data is already captured and what data is not.
- Existing initiatives on the topic should be mentioned (e.g. OntoSPM, OR.net)

Analytics to transform existing quality improvement methodologies

- To me, the paragraph sounds like a paragraph on biomedical data science. What makes the methods and applications mentioned different from those in a biomedical data science context?

Analytics to inform and improve surgical care processes

- It should be clarified that there is not generic answer to the research questions stated. Instead, the answer will depend crucially on the application.

Analytics to enable intelligent collaboration between care providers and technology

- From the title, I was expecting different content in this paragraph. The aspect of "collaboration" seems to be neglected. Instead, the majority of the section talks about decision-making

What are potential risks associated with SDS?

Authors' Response to Reviewer Comments

Feb 15, 2017

Reviewer #1:

1. Key clinical applications for SDS

While clinical applications for SDS are encompassed within the five conceptual areas listed in the manuscript, bringing together relevant stakeholders to prioritize and identify key clinical applications and to specify an actionable agenda for SDS are now explicitly discussed in the section on Future directions and research gaps. We believe a conceptual discussion is appropriate in this manuscript and refer the readers to consensus writings by authors of the SDS initiative for particulars.

2. Importance of SDS as compared to RCTs

We addressed this in both the original and revised versions of the manuscript. See fifth paragraph in the section on Future directions and research gaps.

Reviewer #2:

- 1. Relevance to initiative described at www.surgical-data-science.org, and correspondence to short position paper from the workshop. Thank you for this note. This manuscript provides a conceptual overview of surgical data science and thus complements the community consensus described in the short position paper from the workshop, which is now cited here. See first paragraph under What is Surgical/Interventional Data Science (SDS) and what it is not?
- 2. Reference to consensus definition of SDS in the short position paper on Arxiv.

This paper is now cited. In the current manuscript, we do not attempt to define SDS. Instead, we describe our perspective on how it aims to improve healthcare and training.

3. Categorization of research scope

We clarified these categories to match the subsequent narrative. See section on "Research scope for SDS".

4. Primary challenges that need to be overcome and key clinical applications

While clinical applications for SDS are encompassed within the five conceptual areas listed in the manuscript, we now discuss in the section on Future directions and research gaps, bringing together relevant stakeholders to identify and prioritize key clinical applications, and to specify an actionable agenda for SDS. We believe that a conceptual discussion is appropriate in this manuscript and refer the readers to consensus writings by authors of the SDS initiative for particulars.

- 5. Data acquisition and curation making explicit what data is already captured and what is not, and citing existing initiatives.

 While we agree that an exhaustive listing of what data is now captured and what is not can be informative, we have adopted a more conceptual narrative for this paper. However, we have added OR.net to the narrative on data acquisition as suggested by the reviewer. See third paragraph in section on data acquisiton and curation.
- 6. Analytics to transform existing quality improvement methodologies what makes the methods and applications mentioned different from those in a biomedical data science context?

This is now explained in the first paragraph in the section on analytics to transform existing quality improvement methodologies.

7. Analytics to inform and improve surgical care processes - application-specific nature of solutions to research questions.

This is now acknowledged as suggested by the reviewer. See paragraph preceding the list of research questions in the section on analytics to inform and improve surgical care processes.

8. Analytics to enable intelligent collaboration between care providers and technology.

We intended to emphasize that context-aware decision-support is an important aspect of intelligent collaboration between care providers and technology, because such collaboration can not only have a direct impact on quality of care but also because achieving it is realistic in the short-term. We also discuss physical collaboration between technology and care providers later in this section.

9. Potential risks associated with SDS.

While we believe that the risks associated with SDS are not different in magnitude or nature relative to those with any other data-intensive discipline affecting clinical care, these are now briefly discussed in the first paragraph in the section on future directions and research gaps.

Reviewers' Comments to 1st Revision

Reviewer 1: anonymous

Feb 21, 2017

Reviewer Recommendation Term:	Accept
Overall Reviewer Manuscript Rating:	90
Custom Review Questions	Response
Is the subject area appropriate for you?	5 - High/Yes
Does the title clearly reflect the paper's content?	4
Does the abstract clearly reflect the paper's content?	5 - High/Yes
Do the keywords clearly reflect the paper's content?	4
Does the introduction present the problem clearly?	4
Are the results/conclusions justified?	5 - High/Yes
How comprehensive and up-to-date is the subject matter presented?	5 - High/Yes
How adequate is the data presentation?	5 - High/Yes
Are units and terminology used correctly?	4
Is the number of cases adequate?	N/A
Are the experimental methods/clinical studies adequate?	N/A
Is the length appropriate in relation to the content?	4
Does the reader get new insights from the article?	5 - High/Yes
Please rate the practical significance.	5 - High/Yes
Please rate the accuracy of methods.	4
Please rate the statistical evaluation and quality control.	N/A
Please rate the appropriateness of the figures and tables.	4
Please rate the appropriateness of the references.	5 - High/Yes
Please evaluate the writing style and use of language.	5 - High/Yes
Please judge the overall scientific quality of the manuscript.	4
Are you willing to review the revision of this manuscript?	Yes

Thank you for revising the manuscript according to the reviewers recommendations.

Reviewer 2: anonymous

Feb 28, 2017

Reviewer Recommendation Term:	Revise with Major Modifications
Overall Reviewer Manuscript Rating:	N/A
Custom Review Questions	Response
Is the subject area appropriate for you?	1 - Low/No
Does the title clearly reflect the paper's content?	1 - Low/No
Does the abstract clearly reflect the paper's content?	3
Do the keywords clearly reflect the paper's content?	4
Does the introduction present the problem clearly?	1 - Low/No
Are the results/conclusions justified?	3
How comprehensive and up-to-date is the subject matter presented?	1 - Low/No
How adequate is the data presentation?	3
Are units and terminology used correctly?	5 - High/Yes
Is the number of cases adequate?	N/A
Are the experimental methods/clinical studies adequate?	N/A
Is the length appropriate in relation to the content?	5 - High/Yes
Does the reader get new insights from the article?	3
Please rate the practical significance.	N/A
Please rate the accuracy of methods.	N/A
Please rate the statistical evaluation and quality control.	N/A
Please rate the appropriateness of the figures and tables.	5 - High/Yes
Please rate the appropriateness of the references.	5 - High/Yes
Please evaluate the writing style and use of language.	5 - High/Yes
Please judge the overall scientific quality of the manuscript.	2
Are you willing to review the revision of this manuscript?	Yes

Comments to Authors:

The authors have responded to a few of the reviewers' concerns but most of the review comments remain to be addressed. From what I understand from the response, the paper can be seen complementary to the state of the art. However, this is not yet reflected in the manuscript.

Most important comments:

Reviewer #2 (Comment #1) Relevance to initiative described at www.surgical-data-science.org, and correspondence to short position paper from the workshop: This comment has not been adequately addressed. In the introduction of the manuscript, the authors should clearly explain the contribution of the manuscript in the context of related work. Among others, the reader should understand how the paper relates to the international initiative and it is complementary or contradictory to the positional paper [16]. If complementary, this contribution in the context of the state of the art in the field should be reflected also by the title of the paper.

Reviewer #2 (Comment #2) Reference to consensus definition of SDS [16]: A consensus definition of an international consortium should be given high weight in the context of an emerging research field. The authors state in their response that they do not want to define SDS but only provide their perspective on how it can change healthcare and training. The manuscript reads differently, though. The "What is Surgical Data Science" section should address agreement and disagreement, thus citing the consensus definition and adding further information if required (although I have not understood what further information the paragraph provides).

Further major remarks:

Reviewer #2 (Comment #3) Categorization of research scope: This part of my comment "Finally, it would be helpful to write down explicit research questions related to all categories. This would also be important for consistency because currently, only one category has included corresponding research questions." has not been addressed in the revision.

Reviewer #2 (Comment #5) Data acquisition and curation: I would like to come back to my comment in the previous review "It would be helpful to make explicit what data is already captured and what data is not." I believe that such details are essential for making this paper more than a "positional paper".

Reviewer #2 (Comment #6) Analytics to transform existing quality improvement methodologies - what makes the methods and applications mentioned different from

those in a biomedical data science context?: The authors claim to have addressed this aspect but I could not find it. In fact, there is no mention of the term "biomedical data science" in the document.

Reviewer #2 (Comment #8) Analytics to enable intelligent collaboration between care providers and technology: I could not find any changes in the document related to this comment.

Reviewer #1 (Comment #1) Key clinical applications for SDS: The other reviewer asked for an actionable agenda, I did not see this comment addressed but would find it very beneficial for the paper.

Authors' Response to Reviewer Comments

Mar 14, 2017

Reviewer #1: Thank you for revising the manuscript according to the reviewers recommendations.

Thank you for your time in guiding a revision of our manuscript.

Reviewer #2: The authors have responded to a few of the reviewers' concerns but most of the review comments remain to be addressed. From what I understand from the response, the paper can be seen complementary to the state of the art. However, this is not yet reflected in the manuscript.

Authors' response: Thank you. We attempted to re-address your comments in this revision.

Reviewer #2 (Comment #1) Relevance to initiative described at www.surgical-data-science.org, and correspondence to short position paper from the workshop: This comment has not been adequately addressed. In the introduction of the manuscript, the authors should clearly explain the contribution of the manuscript in the context of related work. Among others, the reader should understand how the paper relates to the international initiative and it is complementary or contradictory to the positional paper [16]. If complementary, this contribution in the context of the state of the art in the field should be reflected also by the title of the paper.

Authors' response: We added text in the Introduction to address this comment. See last paragraph of the Introduction section. We did not attempt to change the title because it was the Editor's suggestion included in the original correspondence regarding this manuscript. We brought it to the Editor's notice and are happy to be responsive if the Editor prefers a change to the title.

Reviewer #2 (Comment #2) Reference to consensus definition of SDS [16]: A consensus definition of an international consortium should be given high weight in the context of an emerging research field. The authors state in their response that they do not want to define SDS but only provide their perspective on how it can change healthcare and training. The manuscript reads differently, though. The "What is Surgical Data Science" section should address agreement and disagreement, thus citing the consensus definition and adding further information if required (although I have not understood what further information the paragraph provides).

Further major remarks:

Authors' response: We agree that a consensus statement is authentic. The discordance noted by the reviewer seems to arise from the subheading used to entitle these two paragraphs. As the reviewer observed, we did not attempt to define surgical data science in this manuscript. Instead, we focus on our perspective of how surgical data science aims to improve healthcare through data and evidence and fits with existing alternative approaches to this end.

We edited the subheading for this section to match the narrative. See title for section on Aims and Relevance of SDS.

Reviewer #2 (Comment #3) Categorization of research scope: This part of my comment "Finally, it would be helpful to write down explicit research questions related to all categories. This would also be important for consistency because currently, only one category has included corresponding research questions." has not been addressed in the revision. Authors' response: We added research questions for the remaining categories.

Reviewer #2 (Comment #5) Data acquisition and curation: I would like to come back to my comment in the previous review "It would be helpful to make explicit what data is already captured and what data is not." I believe that such details are essential for making this paper more than a "positional paper".

Authors' response: The data that are being captured is highly dependent on the context. For example, none or minimal data are captured in some settings such as in a developing country. Thus it is not feasible to make a generic statement about what data are already captured and what data are not captured. We added a comment to this effect. See second paragraph in the section on Data acquisiton and curation.

Reviewer #2 (Comment #6) Analytics to transform existing quality improvement methodologies - what makes the methods and applications mentioned different from those in a biomedical data science context?: The authors claim to have addressed this aspect but I could not find it. In fact, there is no mention of the term "biomedical data science" in the document.

Authors' response: The section on quality improvement methods emphasizes a contrast between traditional registry-based approach and a data science approach. We believe that this contrast is important because of the central role that registries currentl play in surgical quality improvement.

Furthermore, we are not attempting to draw hard technical boundaries between data sciences in various biomedical fields. In our search of the literature, we have been unable to identify a consensus definition for biomedical data. However, we note that historically, biomedical data science, for which one definition is available at http://med.stanford.edu/dbds.html, did not include evaluation of human performance in healthcare. This is one of the unique aspects of SDS - studying human performance and its impact on the clinical (and biological) problem

We added a comment to this effect in the first paragraph under the renamed section on Aims and relevance of SDS.

Reviewer #2 (Comment #8) Analytics to enable intelligent collaboration between care providers and technology: I could not find any changes in the document related to this comment.

Authors' response: We revised this section in response to the comment. See section on Analytics to enable intelligent collaboration between care providers and technology.

Reviewer #1 (Comment #1) Key clinical applications for SDS: The other reviewer asked for an actionable agenda. I did not see this comment addressed but would find it very beneficial for the paper.

Authors' response: Below is our earlier response to this comment from the other reviewer.

"While clinical applications for SDS are encompassed within the five conceptual areas listed in the manuscript, bringing together relevant stakeholders to prioritize and identify key clinical applications and to specify an actionable agenda for SDS are now explicitly discussed in the section on Future directions and research gaps. We believe a conceptual discussion is appropriate in this manuscript and refer the readers to consensus writings by authors of the SDS initiative for particulars."

Our objective for the current manuscript is to spur discussion and consequently, engagement amongst stakeholders across a broad spectrum of scientific disciplines, roles within healthcare, and geography/clinical settings. We added a comment to this effect - see first paragraph under Future directions and research gaps.

Reviewers' Comments to 2nd Revision

Reviewer 2: anonymous

Mar 17, 2017

Reviewer Recommendation Term:	Accept with Minor Revision
Overall Reviewer Manuscript Rating:	50
Custom Review Questions	Response
Is the subject area appropriate for you?	3
Does the title clearly reflect the paper's content?	1 - Low/No
Does the abstract clearly reflect the paper's content?	3
Do the keywords clearly reflect the paper's content?	3
Does the introduction present the problem clearly?	3
Are the results/conclusions justified?	3
How comprehensive and up-to-date is the subject matter presented?	3
How adequate is the data presentation?	N/A
Are units and terminology used correctly?	N/A
Is the number of cases adequate?	N/A
Are the experimental methods/clinical studies adequate?	N/A
Is the length appropriate in relation to the content?	3
Does the reader get new insights from the article?	3
Please rate the practical significance.	3
Please rate the accuracy of methods.	N/A
Please rate the statistical evaluation and quality control.	N/A
Please rate the appropriateness of the figures and tables.	3
Please rate the appropriateness of the references.	3
Please evaluate the writing style and use of language.	3
Please judge the overall scientific quality of the manuscript.	3
Are you willing to review the revision of this manuscript?	Yes

Comments to Authors:

Reviewer #2 (Comment #5) Data acquisition and curation: I would like to come back to my comment in the previous review "It would be helpful to make explicit what data is already captured and what data is not." I believe that such details are essential for making this paper more than a "positional paper".

Authors' response: The data that are being captured is highly dependent on the context. For example, none or minimal data are captured in some settings such as in a developing country. Thus it is not feasible to make a generic statement about what this effect. See second paragraph in the section on Data acquisiton and curation.

The reviewer will let the editor decide whether the challenges are satisfactory. It appears to the reviewer that the paper should state the technological Challenges and initiatives (actionable agenda).

"What technological challenges need to be overcome to developing surgical data capture systems that integrate multi-modal data from a variety of sensors and devices? What are consensus standards for how different types of surgical data are curated to create shared databas-

The reviewer will let the editor decide whether the title is appropriate. The reviewer would expect an explanation for not changing title.