


REVIEW ARTICLE

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# A clinician's guide to understanding resistance to thyroid hormone due to receptor mutations in the TR $\alpha$ and TR $\beta$ isoforms

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## Abstract

There are two genes that express the major thyroid hormone receptor isoforms. Mutations in both these genes have given rise to Resistance to Thyroid Hormone (RTH) syndromes (RTH $\beta$ , RTH $\alpha$ ) that can have variable phenotypes for mutations of the same receptor isoform as well as between the two receptor isoforms. In general, the relative tissue-specific distribution of TR $\beta$  and TR $\alpha$  determine RTH in different tissues for each form of RTH. These differences highlight some of the isoform-specific roles of each TR isoform. The diagnosis of RTH is challenging for the clinician but should be considered whenever a patient presents with unexplained elevated serum free T<sub>4</sub> (fT<sub>4</sub>) and unsuppressed TSH levels, as well as decreased serum free T<sub>4</sub>/T<sub>3</sub> ratio. Here we provide a guide for the clinician to diagnose and treat both types of RTH.

**Keywords:** Resistance to thyroid hormone, Thyroid hormone receptors, Dominant negative activity, Thyroid stimulating hormone, Human mutation

## Background

Fuller Albright first showed that pseudohypoparathyroidism represented a form of hormone resistance syndrome 75 years ago [1]. Since then, others have used clinical, biochemical, and molecular studies to identify many examples of hormone resistance with mutations in their corresponding receptors [18]. Indeed, hormone resistance due to mutations in many nuclear hormone receptors (NRs) such as the estrogen, glucocorticoid, peroxisome proliferator activator, and vitamin D receptors have been identified in affected individuals [53]. Similarly, numerous cases of resistance to thyroid hormone (RTH) and the corresponding mutations in the genes encoding human thyroid hormone receptors (TRs) have been reported.

In this current review, we will focus on a brief description of TRs and thyroid hormone (TH) action, as well as new clinical, biochemical, and molecular insights into

RTH obtained from patients harboring mutations in the two TR isoforms, TR $\beta$  and TR $\alpha$ . After the recent identification of RTH in patients with mutations in the *THRA* gene, a new nomenclature was adopted to distinguish between types of RTH due to specific TR isoforms (please see below) [34]. The RTH syndromes due to TR $\beta$  and TR $\alpha$  are now called RTH $\beta$  and RTH $\alpha$ , respectively. Since RTH $\beta$  was identified and studied almost 50 years before the identification of RTH $\alpha$  (even though the precise mechanism for the former was not known at the time) [35], we will discuss RTH $\beta$  first. Mutations in the TH transporter, MCT8, and selenoprotein mutations that affect intracellular TH concentration but do not affect the function of TRs also have been identified. For more details on these syndromes, the reader is referred to several excellent recent reviews [15, 47]. New insights on the two forms of RTH have led to better understanding of the roles of the two TR isoforms on the function of different tissues as well as the regulation of the hypothalamic, pituitary, and thyroid (HPT) axis. Considering RTH $\beta$  and RTH $\alpha$  as potential diagnoses for abnormal thyroid function tests requires a rational approach for

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distinguishing these syndromes from other causes of inappropriate TSH secretion and low serum T<sub>4</sub>/T<sub>3</sub> ratio, respectively, and will be discussed in more detail later in this article.

### Thyroid hormone action

THs are involved in the regulation of metabolism, proliferation, and growth of most tissues [5, 12, 28]. Serum TH levels are tightly controlled by the HPT axis to deliver appropriate amounts of TH to target tissues. The two major THs (T<sub>3</sub> and T<sub>4</sub>) are iodothyrosines synthesized by the thyroid gland under the control of thyrotropin/thyroid stimulating hormone (TSH), a glycoprotein heterodimer that is produced by the pituitary gland. TSH, in turn, is regulated by thyrotropin releasing hormone (TRH), a tripeptide generated by the hypothalamus that is released into its own portal system to reach the pituitary. Both the production of TRH and TSH are under negative feedback control determined by the circulating free TH concentrations. Circulating THs, particularly T<sub>4</sub>, are mostly bound to transport proteins such as thyroxine-binding globulin (TBG), transthyretin (TTR), and albumin (HSA, human serum albumin). TBG binds 75% of serum T<sub>4</sub> whereas TTR and HSA bind approximately 20% and 5%, respectively.

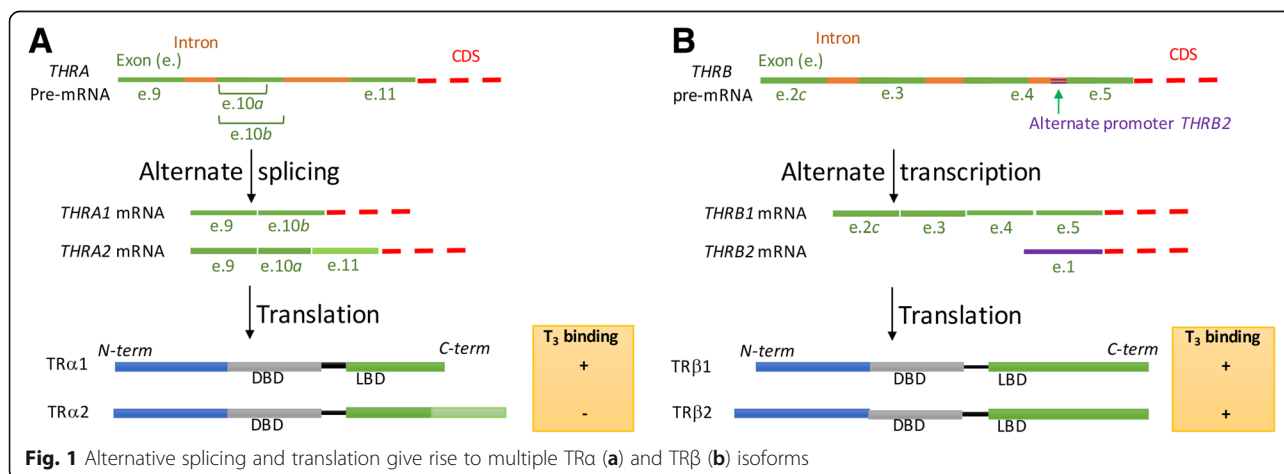
Although T<sub>4</sub> is the major secreted form, T<sub>3</sub> is significantly more potent than T<sub>4</sub> and binds to TRs with 10-fold higher affinity [21, 25]. Thus, T<sub>3</sub> is considered the active form of the hormone whereas T<sub>4</sub> serves primarily as a less active precursor. After delivery to target tissues, THs utilize transporters (e.g., MCT8, MCT10, and OATP1C1) to cross the cell membrane and enter the cell [9]. THs then are metabolized by the iodothyronine deiodinases (Dio1, Dio2, and Dio3), a subfamily of selenoproteins [8]. The deiodinases serve as additional control points for TH action by regulating serum and intracellular TH concentrations. In particular, activation of TH is mediated by Dio1 and Dio2 conversion of T<sub>4</sub> to

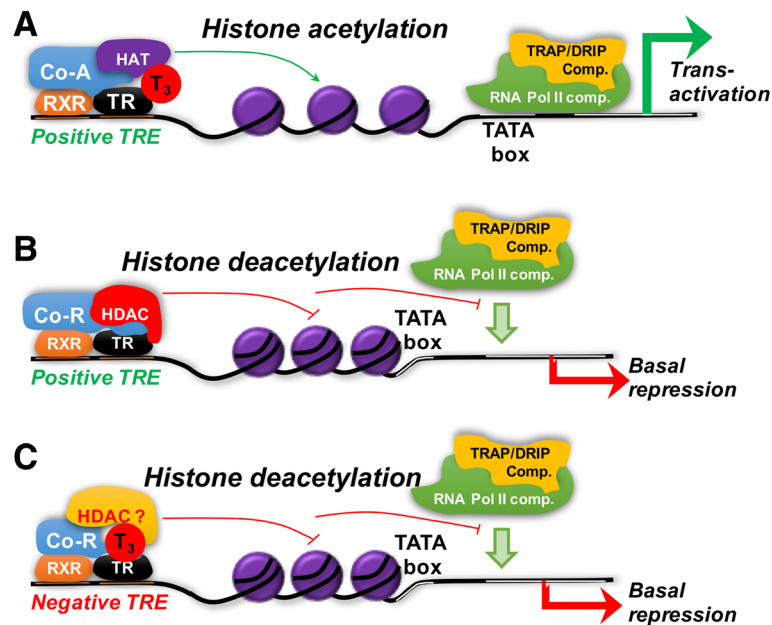
T<sub>3</sub> whereas inactivation of TH is regulated by Dio3 conversion to metabolites such as reverse triiodothyronine (rT<sub>3</sub>) and diiodothyronine (T<sub>2</sub>) [44].

### Thyroid hormone receptors

TRs belong to the nuclear receptor (NR) family that includes the steroid hormone, vitamin D, peroxisome proliferator activator, and retinoic acid receptors. Unlike peptide- or protein-binding receptors that are located on the cellular membrane, NRs are intracellular and bind to their cognate hormones either in the cytoplasm (steroid hormones) or nucleus (TH, vitamin D, retinoic acid) [53]. After binding to hormone, they have the ability to bind to hormone response elements (HREs) located in the promoter regions of target genes. As such, NRs can be considered hormone-inducible transcription factors. There are two major *THR* genes, *THRA* and *THRB*, that are expressed in a tissue-specific manner [12]. Two major *THRA* receptor splice variants (TRα1 and TRα2) are encoded by the *THRA* gene (Fig. 1a) and two major *THRB* isoforms (TRβ1 and TRβ2) are generated by alternate promoter choice on the *THRB* gene (Fig. 1b). TRα1 is highly expressed in the heart, bone, and skeletal muscle whereas TRα2 is widely expressed throughout the whole body. The alternative splicing of the *THRA* mRNA transcript leads to changes in the carboxy-terminus sequence of TRα2 that renders it incapable of binding to TH. It is possible that TRα2 may regulate alternative splicing of the *THRA* gene or may interfere with TRα1 action at the protein level. TRβ1 is predominately expressed in brain, liver and kidney whereas TRβ2 is found in the pituitary, retina, and cochlea. TRα1, TRβ1, and TRβ2 bind T<sub>3</sub> with similar affinity.

TRs have a modular structure, with a central DNA-binding domain and a C-terminal ligand-binding domain [5, 12, 28]. They typically will bind to DNA as heterodimers with another nuclear hormone receptor family member, retinoid X receptors (RXRs) (Fig. 2). These





**Fig. 2** Role of co-activator and co-repressor recruitment in positively-regulated target genes. **a** For positively-regulated target genes, in the presence of T<sub>3</sub>, co-activators (Co-A) and histone acetyl transferases (HAT) are recruited by the T<sub>3</sub>-bound TR/RXR heterodimer sitting on the thyroid hormone response element (TRE). This leads to histone acetylation and chromatin nearby changes to a more open conformation to facilitate recruitment of RNA pol II to the TATA box region. Subsequently another co-activator complex, TH receptor-associated protein/vitamin D receptor interacting protein complex (TRAP/DRIP comp), is recruited by ligand-bound TR/RXR and RNA polymerase II complex to activate transcription. **b** For positively-regulated target genes in the absence of T<sub>3</sub>, TR/RXR has a different conformation than its T<sub>3</sub>-bound state, and has poor affinity for co-activator complexes. Instead, it recruits a co-repressor complex (Co-R) with histone deacetylase activity (HDAC). This leads to histone deacetylation and formation of a more closed chromatin conformation that does not allow RNA pol II binding to the promoter and thus “represses” transcription. **c** In some negatively-regulated target genes, in the presence of ligand, co-repressor and HDAC are recruited by TR/RXR sitting on the TRE. This leads to decreased histone acetylation and a more closed chromatin conformation that prevents RNA pol II binding to the promoter of the target gene, and thus negatively regulates transcription in the presence of T<sub>3</sub>. Please see text for more details

heterodimers can recognize specific DNA sequences, thyroid hormone response elements (TREs), located in the promoter regions of target genes. TREs typically are composed of two-half sites, most often organized as direct repeats, separated by 4 nucleotides (consensus DR4: 5'(A/G)GG(A/T)CANNNN(A/G)GG(A/T)CA 3'). TRs bind in a head to tail orientation with the upstream 5' half site of DR4 bound by RXR and the downstream 3' half site by TR. Interestingly, both unliganded and liganded TRs can bind to TREs; however, ligand binding to TRs induces conformational changes in the receptor that facilitate the recruitment of co-activators with histone acetyltransferase (HAT) and methyltransferase activity to induce conformational changes at specific chromatin sites in the promoters of positively-regulated target genes. These changes generate a permissive local chromatin environment that enables the binding and recruitment of the general transcriptional machinery (Fig. 2a) to the transcriptional start site and initiate transcription. In the absence of TH, TRs also can bind to TREs but they recruit co-repressors with histone deacetylase (HDAC) activity instead of co-activators/ HATs owing to their

different conformation in the unliganded state. The co-repressor complex alters its surrounding chromatin structure by removing acetyl groups from histones to induce a conformational change in the histone structure that inhibits the binding of RNA polymerase II, and results in a decrease in target gene transcription (Fig. 2b). TREs can be located near or far from transcriptional start sites. The co-activator or co-repressor transcriptional complexes bound to them can interact co-operatively with multiple TR/TRE complexes in the promoter region to further regulate transcription. Taken together, this model suggests that TR/RXR heterodimer binding to the TRE and its recruitment of co-activators/corepressors play important roles in TH-mediated gene transcription (see below). Recently, using a method to examine TR binding throughout the whole genome, chromatin immunoprecipitation sequencing (ChIP-Seq), it was found that TRs can bind to DNA with sequences that do not resemble TREs and in non-promoter regions [4, 33]. Thus, it is likely that TRs interact with other transcription factors or chromatin via protein-protein interactions at these sites. There also is evidence that TH also may

bind with low affinity to other non-TR proteins in the cell to mediate novel actions; but so far, these mechanisms are poorly understood [12].

The transcription of approximately half of all target genes is negatively regulated either indirectly (through the activation/increased expression of repressor transcription factors) or directly by TRs (Fig. 1c) [29]. Currently, the mechanism for negative regulation by TRs still is not well understood. *TSH $\beta$*  and the *CGA* are two negatively-regulated target genes that are expressed in pituitary thyrotrophs. They generate two proteins, thyroid stimulating hormone  $\beta$  (TSH $\beta$ ) and the common glycoprotein hormone  $\alpha$ -subunit protein ( $\alpha$ -GSU), that dimerize with each other to form TSH. Studies in pituitary-specific TR knockout mice suggest that the TR $\beta$ 2 is the major isoform that controls the TH-mediated negative regulation of these target genes in the pituitary [51].

## Resistance to thyroid hormone $\beta$

### Clinical features

RTH $\beta$  is a rare disorder characterized by elevated levels of circulating free thyroid hormones, inappropriately normal or elevated TSH secretion, and decreased peripheral tissue responses to iodothyronine action (Fig. 3a) [7, 30, 36]. The incidence of RTH $\beta$  is estimated to be 1

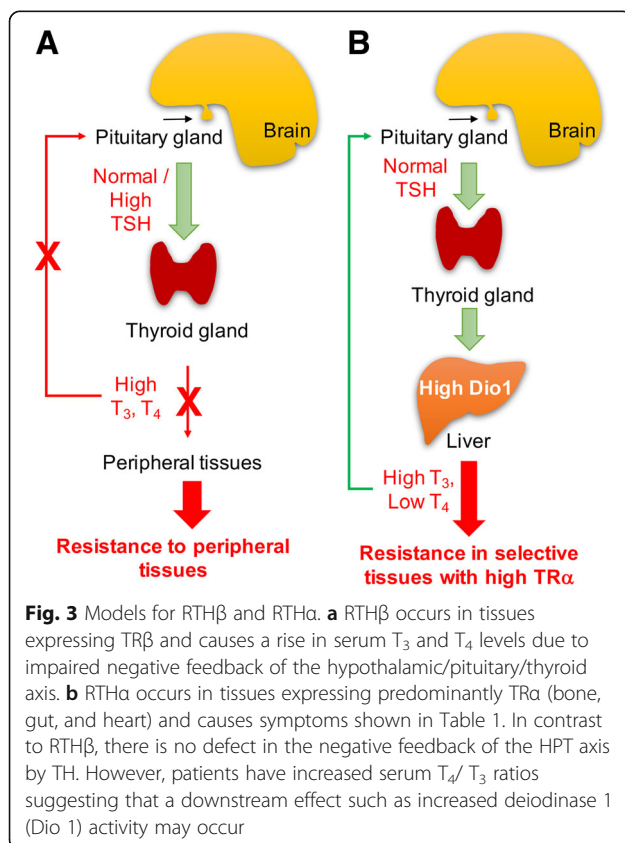
case per 50,000 live births with affected individuals identified in Europe, Asia, and North and South America. So far, over 160 different mutations in TR $\beta$  have been found in RTH $\beta$  patients from more than 350 families. RTH $\beta$  follows an autosomal dominant inheritance pattern in families (80%) but also can be found sporadically in affected individuals with no other family history of RTH $\beta$  (20%) [36]. Patients with RTH $\beta$  typically have a heterozygous mutation in the *THRB* allele leading to the expression of a defective TR $\beta$  that has dominant negative activity on the transcriptional activities of the TRs encoded by the other normal *THRB* allele and the two normal *THRA* alleles [7, 36]. Major exceptions to this pattern were the first reported RTH $\beta$  kindred in which an autosomal recessive pattern of inheritance was observed [35]. The affected patients later were shown to harbor homozygous mutations in both *THRB* alleles that generated a severely truncated, non-functional form of TR $\beta$  [36].

In the clinical setting, RTH $\beta$  often is detected at child-birth during neonatal screening for congenital thyroid dysfunction when abnormal levels of T<sub>4</sub>, TSH, or both are identified, and further diagnostic testing undertaken. However, RTH $\beta$  also can go undetected due to its heterogenous presentation and variable symptoms [7, 36]. Goiter frequently is the main clinical finding that prompts the physician to order thyroid function tests and further investigations. In many cases, the high TH levels can compensate for tissue resistance; thus, affected individuals may appear to be clinically euthyroid. However, upon closer inspection, the compensation may be incomplete, and hypothyroidism is found in tissues that express predominantly TR $\beta$  (see below) such as the liver, kidney, and lung. Additionally, high endogenous TH levels sometimes can produce hyperthyroid effects, particularly in tissues that express predominantly TR $\alpha$  such as the heart and bone [7, 36]. These tissues do not express much mutant TR $\beta$  so it is likely that they are responding to the high circulating concentrations of TH [56].

In addition to goiter, the most common presenting signs and symptoms in patients with RTH $\beta$  are short stature, attention deficit disorder, and resting tachycardia although some patients may be entirely asymptomatic (Table 1). Moreover, the phenotypes and severity of TH dysfunction frequently vary among affected individuals expressing the same *THRB* mutation. Importantly, this variability in clinical phenotype may even occur among different affected family members with the same *THRB* mutation [7, 36]. These observations suggest that other genetic and epigenetic modifiers may affect the expression/penetrance of the RTH $\beta$  phenotype.

### Differential diagnosis

There are other clinical conditions of inappropriate TSH expression with increased serum T<sub>4</sub>, and they should be





**Table 1** Clinical features and diagnostic tests for RTH $\beta$  and RTH $\alpha$ 

RTH $\beta$	RTH $\alpha$
Typical Clinical Features	
-Goiter	-Bradycardia
-Resting tachycardia	-Neurodevelopmental delay
-Osteoporosis	-Anaemia
-Short stature	-Skeletal dysplasia
-Attention deficit disorder	-Dysmorphia
-Family history (80%)	-Constipation
Diagnostic Tests	
-Increased fT <sub>3</sub> , fT <sub>4</sub> (Rule out antibody interference)	-Decreased T <sub>4</sub> /T <sub>3</sub> ratio
-Normal/Elevated TSH	-Normal TSH
-Normal dialyzed free T <sub>4</sub>	-Exon sequencing of TR $\alpha$
-Rule out autoimmune thyroiditis (anti-thyroid peroxidase, thyroglobulin, and TSH receptor antibodies)	
-Check serum markers TH hyperfunction (increased SHBG, ferritin, pro-collagen-1-N-terminal peptide (PINP) and decreased cholesterol in hyperthyroidism but normal in RTH)	
-Check serum $\alpha$ -GSU and compare with TSH ( $\alpha$ -GSU ( $\mu$ g/l)/TSH (mU/l)) $\times 10 > 1.0$ (suggests TSHoma)	
-Consider pituitary MRI (rule out TSHoma)	
-Exon sequencing of TR $\beta$	

considered when attempting to make the diagnosis of RTH $\beta$  in a particular patient [36, 48]. First, there are several conditions or situations that can cause an *apparent* increase in serum T<sub>4</sub> with detectable TSH levels. These include: increased serum binding proteins (e.g., thyroxine binding globulin), abnormal serum binding proteins with altered binding affinity for THs (e.g., familial dysalbuminemic hyperthyroxinemia (FDH) and transthyretin variant), and anti-TSH or T<sub>4</sub> antibodies. Measurement of serum free T<sub>4</sub> levels, particularly by equilibrium dialysis and pre-clearance of anti-TSH/T<sub>4</sub> autoantibodies before hormone measurements usually can distinguish these possibilities from RTH $\beta$ . Serum fT<sub>3</sub> also should be normal in these cases. Additionally, it is important to evaluate family members for symptoms associated with RTH $\beta$ . Uncovering similar abnormalities in thyroid function tests among siblings and parents will provide important clues for the diagnosis of RTH $\beta$  since 80–90% case of RTH $\beta$  are familial.

Next, it is important to consider *transient* causes for elevated serum T<sub>4</sub> and detectable TSH levels such as: systemic illness (sick euthyroid syndrome), acute psychiatric disorders, the neonatal period when there is a sudden burst of T<sub>4</sub> release post-natally before full equilibration of the HPT axis, and early thyroxine replacement therapy in hypothyroid patients. Additionally, certain drugs can cause abnormal thyroid function tests that resemble those seen in RTH $\beta$ . Amiodarone, oral contrast agents, and  $\beta$ -blockers interfere with the conversion of T<sub>4</sub> to T<sub>3</sub> by inhibiting the enzymatic activity of Dio1. Serum TSH may be in the normal range in these patients. Amphetamines stimulate TRH release acutely leading to increased serum TSH and TH levels. Heparin induces lipoprotein lipase activity to increase serum free fatty levels that can interfere with TH binding to serum transport proteins.

The remaining other major cause for « inappropriate » TSH secretion with elevated serum T<sub>4</sub> levels is TSH-secreting pituitary adenoma (TSHoma). Several important diagnostic tests are helpful for distinguishing between RTH $\beta$  and this condition: pituitary MRI (abnormal in TSHoma) and the common glycoprotein  $\alpha$ -subunit hormone subunit ( $\alpha$ -GSU) /TSH ratio. In the latter diagnostic measurement, there can be an inappropriately elevated secretion of common  $\alpha$ -GSU in TSHomas such that the  $\alpha$ -GSU /TSH ratio is elevated relative to TSH ( $\alpha$ -GSU ( $\mu$ g/l)/TSH (mU/l))  $\times 10 > 1.0$  in TSHomas due to dysregulated over-secretion of  $\alpha$ -GSU. However, this ratio may need to be considered with caution when the circulating levels of other pituitary glycoproteins, particularly luteinizing hormone and follicle stimulating hormone, are elevated in post-menopausal women and can give a spuriously high ratio. Although not routinely used in the U.S. outside the academic setting, approximately 90% patients with RTH $\beta$  had normal or increased (similar to hypothyroid) TSH responses to TRH stimulation (200  $\mu$ g bolus intravenously, sampling at 0, 20, 60, 90 and 120 min) whereas patients with TSHomas typically had high basal levels and only 39% responded to TRH [36, 41]. The reason for the occurrence of normal vs. increased TSH responses to TRH in patients with RTH $\beta$  may be that some patients have « compensated » pituitary response to the higher circulating TH levels whereas some patients do not, and thus have relative pituitary hypothyroidism. When TRH stimulation tests were performed after 3 days of T<sub>3</sub> suppression at 50, 100, and 200  $\mu$ g/day, euthyroid patients had suppressed TSH levels at 50  $\mu$ g T<sub>3</sub>/day and almost all RTH $\beta$  patients also had some degree of TSH level suppression at 200  $\mu$ g T<sub>3</sub> /day, albeit to a lesser degree than euthyroid patients since most still had some residual TSH response at that dose of T<sub>3</sub>. In contrast, only 25% patients with TSHomas had

any significant suppression of TSH levels after high dose  $T_3$  treatment [36, 41].

Measurement of metabolic markers of thyroid hormone action such as serum SGOT, SGPT, cholesterol, triglycerides, ferritin, osteocalcin, creatine phosphokinase (CPK), and sex hormone binding globulin (SHBG), also can be helpful in determining peripheral resistance. Serum prolactin can be elevated in patients with hypothyroidism and is increased in some patients with RTH $\beta$ , particularly those who previously were treated with ablative therapy. However, most RTH $\beta$  patients had normal basal prolactin levels (i.e., without TRH stimulation). [36, 40] Among these markers, serum SHBG appears to be the one that is most reliably affected by decreased TH action. Serum SHBG levels in RTH patients are similar to those found in euthyroid patients but is significantly decreased when compared to thyrotoxic patients. Thus, a normal SHBG level in conjunction with elevated TH levels and unsuppressed TSH would be suggestive of RTH. Ferritin and osteocalcin levels are typically elevated in hyperthyroidism; thus, normal levels also would be supportive of RTH. SGOT, SGPT, cholesterol, and triglyceride levels are responsive to TH but are nonspecific for hyperthyroidism, and thus may have limited utility. Additionally, TH effects on the neuromuscular system also can be assessed by measuring serum CPK concentration (elevated in RTH), and performing careful neurological examination looking for signs of hypothyroidism. Finally, if clinical and laboratory evidence support the diagnosis of RTH $\beta$ , a direct sequencing of the *THRB* gene exons, particularly those sequences that encode the LBD should be considered (see below). Identification of the mutation may be useful for future prenatal diagnosis of RTH $\beta$ . Specific TR $\beta$  mutation testing is available commercially from Quest Diagnostics (Madison, NJ) and several companies offer whole exome sequencing (e.g., MacroGen (Rockville, MD), Otogenetics (Atlanta, GA), and GATC (Constance, Germany)). Finally, a letter with a clear explanation of the diagnosis should be provided to the patient and be presented to any physician taking care of the patient in order to prevent inappropriate treatment for elevated serum  $T_3$  or  $T_4$ .

### TR $\beta$ Mutations and mechanism

In both familial and sporadic cases of RTH $\beta$ , TR $\beta$  point mutations cluster in the 3 major “hot spots” of the LBD [13, 17, 38]. In familial RTH $\beta$ , affected members have one normal and one abnormal *THRB* allele, consistent with the autosomal dominant pattern of inheritance seen in these families. In sporadic RTH $\beta$  mutations, similar findings in the *THRB* alleles also are observed. Since TR $\beta$  mutations occur in the LBD, they often lead to decreased  $T_3$ -binding affinity. So far, no germ line

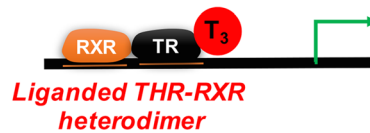
mutations have been identified in the DBD or N-terminal regions of TR $\beta$ . In patients with RTH $\beta$ , most mutations are nucleotide substitutions that result in single amino acid changes. However, nucleotide deletions or insertions that cause single amino acid deletions and frameshift mutations, and premature stop codons also have been reported. Interestingly, the first described case of RTH $\beta$  occurred was inherited in a recessive pattern, and later shown to be due to complete from the exocoding region resulting in absence of TR $\beta$  [42]. Since DNA-binding is required for the autosomal dominant inheritance in RTH, it is possible that mutations in the amino-terminus or DNA-binding may have a recessive phenotype. The inability to find mutations in these regions, suggests that if they exist, they may have little or no distinctive phenotype suggesting TH dysfunction. It is noteworthy that so far, no LBD mutations have been found that increase  $T_3$ -binding affinity or its transcriptional activity.

At the molecular level, mutant TR $\beta$ s have decreased transcriptional activity due to their reduced ligand-binding affinity. They also can competitively block normal TRs from binding to TREs since they generally retain their DNA-binding capability [54]. This interference of normal TR function by the mutant TR $\beta$  (so called “dominant negative effect”) leads to decreased overall transcriptional activity in target genes (Fig. 4) [30, 52]. Further support for this model comes from studies showing loss of dominant negative activity by mutant TR $\beta$ s in which a second mutation was introduced into the DBD to abrogate DNA binding [27]. Moreover, it is likely that unliganded TR/co-repressor complex needs to leave the TREs in the presence of TH before liganded TR/co-activator complex can bind to the TREs and activate transcription. In this connection, constitutive binding of mutant TRs to TREs, combined with decreased corepressor dissociation and coactivator recruitment prevent normal  $T_3$ -bound TRs from binding to TREs and activate transcription of target genes [26, 39]. Together, these effects likely are the main contributors for the dominant negative inhibition on transcription of target genes by mutant TRs. In general, the severity of  $T_3$  binding impairment by mutant TRs correlates with the severity of clinical phenotype, although there are some exceptions [7].

### Treatment

In most patients, RTH $\beta$  appears to be adequately compensated by the increased endogenous supply of TH reflected by the increased serum  $fT_3$  and  $fT_4$  levels and normal or near normal TSH levels. These patients appear clinically euthyroid and eumetabolic [50]. Special care must be made not to misdiagnose and inappropriately treat these RTH $\beta$  patients for “hyperthyroidism”

### A Wild type TR in normal subject or RTH patients



### B Mutant TR in RTH patients



**Fig. 4** Model for resistance to thyroid hormone in RTH $\beta$  patients. **a** In both normal and RTH patients, wild-type TR $\beta$  and TR $\alpha$  isoforms derived from normal *THRB* and *THRA* alleles bind as TR/RXR heterodimers to the TRE and are able to activate transcription. **b** The mutant TR $\beta$  encoded by the abnormal *THRB* allele in RTH patients bind to the TRE constitutively in both the presence and absence of T<sub>3</sub>. Since it has decreased ligand-binding affinity, its ability to recruit co-activators and activate transcription is impaired. The unliganded mutant TR/RXR heterodimer thus competes with T<sub>3</sub>-bound wild type TR/RXR heterodimer for binding to the TRE

because of the high serum TH levels [49]. Unfortunately, some patients with RTH $\beta$  have undergone unnecessary radioactive iodine ablation, thyroid surgery, or anti-thyroidal medical treatment (e.g., propylthiouracil, carbimazole) based upon the presumption of hyperthyroidism. These inappropriate treatments led to worsened symptoms, as patients were rendered more hypothyroid in resistant tissues despite normalization of serum TSH and T<sub>4</sub> levels. Likewise, patients with compensated RTH $\beta$  do not require additional thyroxine treatment despite their RTH $\beta$ . Such treatment should only be considered in uncompensated RTH $\beta$  patients that have undergone thyroid ablation or surgery and have limited or no thyroid reserve or have decreased thyroid function due to autoimmune disease. Previous thyroid function test results when the patient was in the “compensated” baseline state before surgery or thyroid injury can be extremely useful for determining the optimal replacement dose in these RTH $\beta$  patients level, and can be used to follow patients’ responses to treatment.

The possibility of uncompensated RTH $\beta$  in de novo cases should be suspected if patients have TSH levels higher than normal levels, together with elevated serum fT<sub>3</sub> and fT<sub>4</sub> levels. Thus, elevated TSH levels without any signs or symptoms of hypothyroidism should raise the suspicion of possible RTH $\beta$ , and serum fT<sub>3</sub> and fT<sub>4</sub> levels obtained if they were not measured during an initial screening. In patients with previous thyroid surgery or Hashimoto’s thyroiditis, uncompensated RTH $\beta$  might be suspected if unusually high replacement doses of levothyroxine are necessary to reduce the elevated TSH levels. Finally, in some cases of uncompensated RTH $\beta$ , patients may be asymptomatic or have complaints suggestive of hypothyroidism while exhibiting paradoxically high

serum fT<sub>3</sub> and fT<sub>4</sub> levels and a TSH level that is above the normal range.

The assessment of uncompensated RTH $\beta$  also is made clinically, in conjunction with laboratory tests that suggest peripheral resistance (e.g., decreased serum SHBG). However, RTH $\beta$  may manifest itself in children by decreased and/or delayed growth or failure to gain weight. When RTH $\beta$  is not compensated, thyroxine can be given in incremental doses with simultaneous monitoring of parameters linked to TH action such as liver function tests, CPK, SHBG, PRL, and TSH until normal levels are achieved. In children, levothyroxine has been used under close supervision to improve growth and school performance; however, the results, have been variable. The presence of tachycardia should not be a reason to withhold treatment for uncompensated RTH $\beta$  as it can be managed by concomitant administration of a  $\beta$ -adrenergic blocker such as atenolol.

Recently, TR $\beta$ -specific analogs that have higher affinity for TR $\beta$  than TR $\alpha$  have been developed [6]. These drugs primarily are aimed as potential therapies for hypercholesterolemia, obesity, and diabetes. However, it is possible that these drugs may also be useful in patients with RTH $\beta$ . In this connection triiodothyroacetic acid (TRIAC) has been used to treat patients with RTH $\beta$ ; however, there have been no studies thus far comparing the effectiveness of TRIAC vs. levothyroxine for the treatment of uncompensated RTH $\beta$  [32].

## Resistance to thyroid hormone $\alpha$ (RTH $\alpha$ )

### Clinical features

Previous studies in genetic models of RTH $\alpha$  such as TR $\alpha$  knockout mice that do not express TR $\alpha$  and mutant TR $\alpha$  knock-in mice that express a TR $\alpha$  mutation in the *THRA* gene locus, suggested that lack of TR $\alpha$  or

expression of an inactive TR $\alpha$  were not lethal [16]. Surprisingly, these genetic perturbations caused only relatively mild hypothyroid-like symptoms, particularly in the heart and bone. The prevalence of RTH $\alpha$  in man is not known but it is possible that this disorder has not been adequately recognized clinically since it lacks a distinctive phenotype and also may be associated with unusual phenotypes such as autism spectrum disorder. An examination of large databases showed approximately 100 non-synonymous variants in *THRA* in 60,000 exomes; however, only a small number of these variants were mutated at homologous TR $\alpha$  sites and would be expected to give a distinct phenotype [24].

RTH $\alpha$  in man was first described in a 6-year-old girl with skeletal dysplasia, bradycardia, growth retardation, neurodevelopmental delay, and constipation. Interestingly, the patient harbored a TR $\alpha$  mutation (Glu403X) that led to a frameshift mutation as well as loss of helix 12 in the LBD due to the introduction of a premature stop codon. This mutation decreased both its ligand binding affinity for TH and its transcriptional activity similar to the TR $\beta$  mutations found in RTH $\beta$  [10, 24]. This individual had borderline low or normal T $_4$ , borderline high or normal T $_3$ , and normal TSH concentrations in her serum. Shortly afterwards, several adult male and female individuals were identified that harbored frameshift/premature stop mutations within the TR $\alpha$ 1 LBD [14, 45]. These patients also had additional features in their phenotypes such as macrocephaly, anemia, and dysmorphic facies. Based upon the reports of nearly 30 patients with RTH $\alpha$  [14, 23, 24, 43], clinical features that are most commonly found among RTH $\alpha$  patients include bradycardia, constipation, reduced and delayed bone growth, delayed psychomotor development, decreased metabolic rate, as well as skeletal abnormalities manifested by delayed fusion of epiphyses and reduced bone growth (Table 1). Additionally, dysmorphic features have been reported in some affected individuals from several kindreds, and they include: macrocephaly, late fontanelle closure, dysmorphic and broad facies, flattened nose, enlarged tongue, and thickened lips. Of note, many of these features can resemble those found in congenital and primary hypothyroidism. Additionally, the tissues associated with these features contain mostly TR $\alpha$ , and thus would be expected to be “hypothyroid” with respect to TH action due to the dominant negative effect by mutant TR $\alpha$ . Interestingly, several cases of RTH $\alpha$  also were identified after screening for abnormal thyroid function in patients with dysmorphic features [24]. On the other hand, there can be large variation in the severity of the phenotypes in RTH $\alpha$  as some patients can have mild phenotypes with minimal symptoms [14]. When RTH $\alpha$  patients are compared with RTH $\beta$ , it appears that they can present with a wider repertoire of

phenotypes than RTH $\beta$  as well as exhibit phenotypes that are distinct from RTH $\beta$ .

RTH $\alpha$  patients typically have increased/high-normal T $_3$  and decreased/low-normal serum T $_4$  levels, resulting in a markedly reduced T $_4$ /T $_3$  ratio (Fig. 4a). Low serum rT $_3$  levels also have been reported in some cases. Of note, serum TSH levels are usually normal. The reason for the low T $_4$ /T $_3$  ratio in affected individuals is not known; however, it is noteworthy that increased hepatic DIO1 expression was observed in a dominant negative TR $\alpha$  knockin mouse model [55] so it is possible that increased conversion of T $_4$  to T $_3$  may be involved in generating this serum TH profile. Additionally, TR $\alpha$  is highly expressed in the skin so RTH $\alpha$  in that tissue could lead to decreased DIO3 expression and activity, and thus lead to accumulation of serum T $_3$  [11].

#### Differential diagnosis

Although rare, RTH $\alpha$  should be considered in the differential for children with decreased growth rate, dysmorphic features, and delayed psychomotor development. It also should be considered in adults with a similar previous history as well as in patients with unexplained constipation, megacolon, and bradycardia [24, 46]. The low serum T $_4$ /T $_3$  level is a distinctive and consistent feature in RTH $\alpha$  that can help identify potential cases. Of note, this biochemical abnormality also can be seen in disorders involving decreased TH synthesis since T $_4$  is the major form of TH that is synthesized and released by the thyroid gland. Thus, congenital hypothyroidism or environmental causes of hypothyroidism (e.g., iodine deficiency) can exhibit this serum TH profile. Additionally, patients with Allan–Herndon–Dudley syndrome, a condition in which patients harbor a mutation in one of the major TH transporters, MCT8, can present with a similar TH profile [15]. However, these patients have severe mental retardation and progressive spastic paralysis as well as an x-linked inheritance pattern so it is relatively easy to distinguish them from patients with RTH $\alpha$  based upon their clinical features.

#### Mechanism

In patients with RTH $\alpha$ , TR $\alpha$  mutations in the LBD due to nucleotide substitutions that cause missense amino acid changes, deletions, or insertions, as well as frameshift/premature stop mutations have been described [14, 24, 43]. Of note, none of the *THRA* mutations described so far involve the exon regions or the expression of the *REV-ERB $\alpha$* , a gene that is transcribed from the opposite strand of the *THRA* locus. Heterozygous *THRA* mutations are found in both sporadic and familial RTH $\alpha$ . Thus, the molecular mechanism for RTH $\alpha$  is similar to RTH $\alpha$ , by virtue of the expression of the mutant TR $\alpha$  from one *THRA*



allele and a normal TR $\alpha$  from the other *THRA* allele, and normal TR $\beta$ s from the two *THRB* alleles [24]. The mutant TR $\alpha$  has “dominant negative activity” on normal TRs expressed within the cell. The degree of dominant activity depends upon the relative amount of mutant TR $\alpha$  expressed within a particular cell as well as the residual ligand-binding and DNA-binding affinities of the mutant TR $\alpha$ .

Mutant TR $\alpha$ s bind to T<sub>3</sub> with decreased affinity or fail to bind ligand; and thus lead to decreased or no transcriptional activity, respectively. Similar to TR $\beta$  mutations in RTH $\beta$ , TR $\alpha$ 1 mutants inhibit the function of normal TRs in a dominant negative manner when they are co-expressed in transfected cells. In support of this mechanism in affected individuals, expression of TH-responsive target genes are blunted in peripheral blood mononuclear cells of a patient with RTH $\alpha$  [24], suggesting that mutant TR $\alpha$ s can exert dominant negative activity in vivo (Fig. 4). Additionally, studies have shown that many of the naturally occurring TR $\alpha$  mutations have decreased release of NCoR due to lower T<sub>3</sub> binding affinity by mutant TR $\alpha$ s.

#### Treatment

In adults with RTH $\alpha$ , titrating the appropriate levothyroxine dose is difficult. Heart rate and cardiac contractility can remain blunted despite thyroxine therapy. Excessive thyroxine treatment to correct cardiac parameters also may lead to undesirable toxicities in tissues that express predominantly TR $\beta$  such as the liver. Interestingly, thyroxine therapy does not ameliorate the anaemia observed in RTH $\alpha$  patients. In children, the treatment of RTH $\beta$  is challenging [24, 46]. TH induces the expression of insulin-like growth factor 1 (IGF1) and sex hormone binding globulin (SHBG) and decreases the production of cholesterol and triglycerides. Thus, thyroxine therapy can improve overall height and bone growth in RTH $\alpha$  [23, 24]. Of note, growth hormone in combination with thyroxine to increase IGF1 has not led to significant improvement in height and growth [24]. Thyroxine therapy also can improve the constipation symptoms commonly found in children with RTH $\alpha$ .

Thyroxine therapy suppresses serum TSH levels and increases fT<sub>3</sub> above normal levels. Serum SHBG, which is induced by TH in the liver, as well as bone turnover markers also can increase above normal levels, most likely due to increased TH activity in tissues and cell types that express mostly TR $\beta$ . Just as in the case for RTH $\beta$ , development of TR $\alpha$ 1-selective thyromimetics may be helpful to selectively activate normal TR $\alpha$ 1 and/or mutant TR $\alpha$ 1 with weak binding affinity for T<sub>3</sub> to overcome TH resistance in tissues that express predominantly TR $\alpha$ . Another potential therapeutic strategy is to develop drugs that enable nuclear receptor co-repressor

(NCoR) to dissociate from unliganded TR or to abrogate the activity of histone deacetylases recruited by NCoR. In this connection, an inhibitor of histone deacetylase, suberoylanilide hydroxamic acid improved some of the phenotypic abnormalities of RTH $\alpha$  such as delayed and decreased growth and bone development in a mouse model of RTH $\alpha$  [20].

#### RTH in patients without TR $\beta$ mutations

Several patients with RTH have been identified who do not have TR $\beta$  or TR $\alpha$  mutations [31, 37]. Additionally, no mutations in various candidate co-factors involved in TR-mediated transcription were found. It is likely that epigenetic effects that alter the expression of various genes involved in transcription may be involved, although it has not been investigated in these patients so far.

#### Somatic TR mutations

Somatic TR $\alpha$  and TR $\beta$  mutations have been identified in human hepatic, thyroid, and renal cell cancers [19, 22] in addition to TSH-secreting pituitary adenomas [2, 3]. These findings suggest that TR mutations likely contribute to RTH in these tumors; however, they are not sufficient to cause oncogenesis since RTH patients with germline TR $\beta$  mutations do not appear to have an increased risk for cancer.

#### Conclusion

Although RTH $\beta$  and RTH $\alpha$  are rare genetic disorders that cause RTH, they need to be considered when patients present with enigmatic thyroid function tests. In particular, when patients present with high free T<sub>3</sub> and T<sub>4</sub> with non-suppressed TSH levels (RTH $\beta$ ) or reduced free T<sub>4</sub>/ free T<sub>3</sub> ratio with normal TSH level in the serum (RTH $\alpha$ ). Associated with each condition are some characteristic features in their phenotype that also highlight the isoform-specific expression and particular roles of TR $\beta$  and TR $\alpha$ . The clinical spectrum for both RTH $\beta$  and RTH $\alpha$  is wide and heterogenous; moreover, there can be variable phenotypes in patients with the same mutations. These observations suggest that genetic and epigenetic modifiers likely play important roles in the phenotypes of affected individuals. The identification of TR mutations as causes for the two forms RTH, elucidation of their mechanism for causing resistance, correlation of genotype with phenotype, and the development of criteria for clinical diagnosis and treatment of RTH provide elegant examples of the convergence of basic, translational, and clinical research to improve the understanding and management of a genetic endocrine disorder.

#### Abbreviations

ChIP-Seq: chromatin immunoprecipitation sequencing; FDH: familial dysalbuminemic hyperthyroxinemia; fT<sub>3</sub>: serum free T<sub>3</sub> concentration;

fT<sub>4</sub>: serum free T<sub>4</sub> concentration; HAS: human serum albumin; HAT: histone acetyltransferase HREs hormone response elements; HPT: hypothalamic, pituitary, and thyroid; IGF1: insulin-like growth factor 1; NCoR: nuclear receptor co-repressor; NR: nuclear receptor; rT<sub>3</sub>: serum reverse triiodothyronine concentration; RTH: resistance to thyroid hormone; RTH $\alpha$ : resistance to thyroid hormone receptor  $\alpha$ ; RTH $\beta$ : resistance to thyroid hormone  $\beta$ ; RXRs: retinoid X receptors; SHBG: sex hormone binding globulin; TBG: thyroxine-binding globulin; TH: thyroid hormone; *THRA*: thyroid hormone receptor  $\beta$  gene; *THRB*: thyroid hormone receptor  $\beta$  gene; TR: thyroid hormone receptor; TREs: thyroid hormone response elements; TRH: thyrotropin releasing hormone; TRIAC: triiodothyroacetic acid; TR $\alpha$ : thyroid hormone receptor  $\alpha$ ; TR $\beta$ : thyroid hormone receptor  $\beta$ ; TSH: thyrotropin/thyroid stimulating hormone; TSHoma: TSH-secreting pituitary adenoma; TSH $\beta$ : thyroid stimulating hormone  $\beta$  subunit; TTR: transthyretin;  $\alpha$ -GSU: common glycoprotein hormone  $\alpha$ -subunit protein

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