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## **RNA G-quadruplexes in biology: principles and molecular mechanisms**

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## **Abstract**

G-quadruplexes are extremely stable DNA or RNA secondary structures formed by sequences rich in guanine. These structures are implicated in many essential cellular processes and the number of biological functions attributed to them continues to grow. While DNA G-quadruplexes are well understood on structural and to some extent on functional levels, RNA G-quadruplexes and their functions have received less attention. The presence of bona fide RNA G-quadruplexes in cells has long been a matter of debate. The development of G-quadruplex-specific antibodies and ligands hinted on their presence *in vivo* but recent advances in RNA sequencing coupled with chemical footprinting suggested the opposite. In this review, we will critically discuss the biology of RNA G-quadruplexes focusing on the molecular mechanisms underlying their proposed functions.

## **Graphical abstract**

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## **Introduction**

It was first reported in 1910 that high concentrations of guanylic acid form a gel<sup>1</sup>. 50 years later, the G-tetrad (also known as G-quartet) structure, derived from X-ray fiber diffraction studies, was postulated to be the basis for the aggregation of 3′- or 5′-guanosine monophosphate into such gelatinous substance  $2$ . Similar aggregation was also observed with the first chemically synthesized deoxyguanosine homopolymers<sup>3</sup>. In comparison to well-known Watson-Crick base pairing in nucleic acids (Figure 1A), G-tetrads are formed when guanines are organized into planar quartets where each base is connected to two other bases via Hoogsteen base pairing (Figure 1A–B). Hydrogen bonds between each pair of guanines involves four donor/acceptor atoms, the N1, N7, N2 and O6 atoms, such that a Gquartet has eight total hydrogen bonds (four N2-H…N7 and four N1-H…O6 bonds). Four carbonyl oxygen (O6) atoms form a negatively charged core in the center of G-quartet. When three or more G-quartets stack onto one another, they form a stable right-handed helical structure known as a G-quadruplex (G4) (Figure 1B). In such vertical stacking, individual G-quartets are separated by  $\approx$ 3.3 Å <sup>4</sup>. Metal ions such as monovalent cations can intercalate into the central anionic core of a G-tetrad (Figure 1B) or bind between two Gquartets to coordinate, stabilize hydrogen-bonded tetrads and enhance base-stacking interactions <sup>5; 6</sup> (Figure 1B). As the central channel has defined geometry and size, only cations with an adequate charge, size and dehydration energy are expected to coordinate a G4  $^{7,8}$ . As a general principle, when the ionic radius fits in the middle of a G-quartet, it stabilizes G4 structures 7; 9; 10; 11; 12; 13; 14; 15; 16. In particular, physiologically relevant monovalent cations such as  $K^+$ ,  $Na^+$  and  $NH_4^+$  play important roles in G4 stabilization and to different extents (Figure 1C). While relatively small  $Na<sup>+</sup>$  can be embedded into the middle of a single G-tetrad, larger  $K^+$  and  $NH_4^+$  cations can fit into the space between two tetrads. As a consequence, larger cations coordinate eight oxygen atoms in G4s while smaller ones coordinate only four, thus contributing differently to G4 stability. In contrast, cations with very small ionic radius such as  $Li<sup>+</sup>$  do not favor G4 formation  $8$ . Within G4s, G-quartets may

be connected by loops of divergent sequence, structure and length (reviewed in  $12; 17$ ). Potential G4 motif is commonly described as  $G_X \cdot N_{1-7} \cdot G_X \cdot N_{1-7} \cdot G_X N_{1-7} \cdot G_X$ , where x is 3–6 and N corresponds to any nucleotide (A, G, T, C or U) (Figure 1D).

## **Similarities and differences between RNA and DNA G-quadruplexes**

The central building block of any quadruplex nucleic acids (DNA, RNA) or their analogs (locked nucleic acid, peptide nucleic acid) is the G-quartet (Figure 1B)  $12; 17; 18; 19; 20; 21$ . However, an assumption that RNA G4s are DNA counterparts is oversimplified. While DNA mostly exists in a double-stranded conformation, RNA is single-stranded or adopts various secondary structures (hairpins, loops, bulges, pseudoknots, etc.). Moreover, at least in eukaryotes, DNA is located in the nucleus bound to histones and auxiliary factors, while RNA is found in both nuclear and cytoplasmic compartments with a great diversity in protein-binding partners.

Of course, the most fundamental differences between RNA and DNA G4s are the same differences as between RNA and DNA, the presence of uracil instead of thymine and of a ribose sugar instead of a deoxyribose sugar (Figure 1E). The presence of a 2′-hydroxyl group in the ribose sugar has several consequences. First, it allows more intramolecular interactions within RNA G4s, leading to enhanced stability. Second, the ordered 2′ hydroxyls within RNA G4 grooves are favored to bring water molecules, leading to a more stable structure compared to DNA G4s  $^{22}$ . Third, it has additional steric constraints on the G4 topology where  $2'$ -hydroxyl groups prevent orientation of the base in the synconformation, instead strongly favoring the anti-conformation (via restrains on the glycosidic torsion angle), and imposition of additional constraints on sugar puckering (the ribose having a preference for C3′-endo puckering). As a consequence, topology of RNA G4s is limited to the parallel conformation where all four strands are oriented in the same direction (Figure 1B). In contrast, DNA G4s can adapt parallel, antiparallel or mixed conformations (Figure 1B). Although all known naturally occurring RNA G4s adopt a parallel topology, an artificial RNA aptamer Spinach demonstrated an unexpected antiparallel conformation<sup>23</sup>, suggesting that the repertoire of G4 topologies may potentially be expanded. The extensive overview of the various RNA G4 structures based on highresolution studies and structural modeling as well as current challenges in their structural investigations is beyond the scope of our manuscript, but is available elsewhere  $12$ .

The impact of uracil versus thymine residues on G4 stability is not well studied. Substitution of thymine with uracil (thus omission/removal of methyl groups) in the loop of G4 oligos makes G4s more stable and less hydrated within the loop around the grooves  $24$ ;  $25$ . Indeed, the composition and length of loops strongly affects the stability of both DNA and RNA G4s where shorter loops provide greater stability  $^{26}$  (Figure 1E). In one study, the importance of flanking versus the central G-quartet on the stability of DNA or RNA G4 derived from telomeric region was addressed  $27$ . Biophysical and mutagenesis studies indicated that each G-quartet contributes equally to RNA G4 stability while the central quartet is of greater importance for DNA G4 stability  $^{27}$ , in agreement with previous observations  $^{28; 29}$ .

Another important parameter is that DNA and RNA G4s show distinct differences in cation binding specificity<sup>7</sup>. Extensive analysis of G4 formation and stability in the presence of various monovalent and divalent cations  $(L<sup>+</sup>, K<sup>+</sup>, Na<sup>+</sup>, NH<sub>4</sub><sup>+</sup>, Rb<sup>+</sup>, C<sub>8</sub><sup>+</sup>, Mg<sup>2+</sup>, Ca<sup>2+</sup>, Sr<sup>2+</sup>$ and  $Ba^{2+}$ ) was recently performed on two of the most studies model RNA G4s - *TERRA* (telomeric repeat-containing RNA) and NRAS oligo (an 18 nt sequence derived from the  $NRas$  mRNA) and their DNA G4 counterparts <sup>7</sup>. While K<sup>+</sup> dramatically stabilizes both DNA and RNA G4s, Na<sup>+</sup> only had a strong effect on DNA but not RNA G4s. In agreement with loops having a stabilizing role on G4 structures, the NRAS G4 oligo with the shorter loops was more stable than the *TERRA* G4 with the longer loops in the presence of  $K^{+7}$ . For divalent ions, only  $Sr^{2+}$  increases stability of the RNA G4s, although  $Sr^{2+}$  ions have no known biological function. In contrast, the biologically relevant divalent cations  $Mg^{2+}$  and  $Ca<sup>2+</sup>$  had no effect on RNA G4 stability. However, the data also may suggest that other divalent cations, such as harmful heavy metals ( $Pb^{2+}$ ,  $Cd^{2+}$  or  $Hg^{2+}$ ), may bind and disturb G4 structures contributing to their toxicity; such binding is reported for guanine-rich oligos 30; 31 .

Thus despite numerous similarities, RNA G4s are more compact, less hydrated and often more thermodynamically stable than DNA G4s  $^{11;32}$  (Figure 1E). It is important to keep in mind when discussing the relevance of RNA G4 formation/presence on biology: the majority of studies were done in vitro with chemically synthesized oligonucleotides.

#### **How to identify RNA G4: bioinformatic predictions**

Despite many years of in vitro research, experimental evidences for G4s in cells are limited or even controversial. Biologically relevant G4s were first postulated to be present in eukaryotic chromosomal telomeric DNA, specific DNA sequences at chromosome ends 33; 34 and then it was observed that telomeric regions of eukaryotic chromosomes could adapt a compact four-stranded structural arrangement<sup>35; 36</sup>. In vertebrates, telomeric sequences vary in length (up to 70kb) and are composed of repetitive hexanucleotide repeats (5′-TTAGGG) that largely form canonical DNA duplexes with the complimentary sequence repeats (3′-AATCCC). However, the 3'-terminal region remains single stranded for approximately 15 – 200 nucleotiddes due to the end replication problem. Similar findings were also recorded for guanine-rich sequences in immunoglobulin switch regions $37$ . Authors assumed that these structures are held together by guanines bonded by Hoogsteen pairing; this assumption was experimentally proven in vitro using short DNA oligonucleotides derived from these regions <sup>37</sup>.

Subsequent to the discovery of the first putative G4 (pG4) motifs in telomeric DNA sequences, several reports noted that the promoter regions of many genes have G-rich sequences that are potentially capable of forming G4s. Such pG4s have since been validated in vitro using DNA oligonucleotides derived from these sequences  $38; 39; 40; 41; 42$ . The first validated DNA G4 was found in the promoter of the oncogene  $c$ -MYC<sup>41</sup>, which was followed by attempts to transcriptionally downregulate  $c$ -MYC expression using small molecules to modulate the  $c$ -MYC G4<sup>43</sup>. Subsequent structure/functional analyses have assessed G4 structures in promoters of other oncogenes including c-KIT and KRAS<sup>44; 45; 46; 47</sup>. Later, multiple rep

Upon sequencing of the human genome, a systematic computational approach using the simple algorithm (*Quadparser*) mined the genome for the potential G4 motif  $G_XN_{1-7}$  $G_XN_{1-7} G_XN_{1-7} G_X$  (Figure 1D). This and similar analyses identified pG4s in the human genome (over 375,000 potential G4 sites) as well as other genomes  $38; 42$ . pG4s were found in promoters, coding regions, introns and untranslated regions (UTRs) of genes as well as intergenic regions. Various genome-wide validation strategies including G4-specific probes and sensors (e.g. G4 antibodies or small molecules as pyridostatin (PDS)  $^{48}$ ) coupled to in vitro biophysical analysis have indicated the existence of numerous pG4s structures in the human genome<sup>49; 50; 51; 52; 53</sup>.

An important finding from the DNA G4 genome-wide studies is the presence of pG4s in the protein-coding (open reading frames (ORFs)) and non-coding (e.g. introns, 5′- and 3′- UTRs) regions of the transcriptome. Given that transcribed mRNAs are single-stranded, and RNA G4s are generally more stable than their DNA counterparts (*vide supra*), it was proposed that mRNAs contain G4s 39; 46. Computational analysis suggested that pG4s are overrepresented in 5′- and 3′-UTRs 39, regions critical for post-transcriptional regulation. Several 5<sup>'</sup>-UTR- and 3<sup>'</sup>-UTR-derived G4 sequences have been validated *in vitro*, and multiple potential functions proposed (*vide infra* and reviewed in  $54$ ;  $55$ ).

While bioinformatics predictions were instrumental in identifying pG4s in cellular RNAs, both false positive and false negative results have been reported. For example, RNAs that included loops greater than 7 nucleotides (up to 15 nucleotides) have been reported to fold into stable G4s in vitro  $^{26}$ . Similarly, several pG4s in  $5'$ -UTRs matching all algorithm prerequisites were unable to fold into  $G4s$ <sup>56</sup>. In this case, pG4 flanking sequences (within 15 nucleotides either 5′- or 3′- of pG4) such as C-rich tracks impaired G4 folding by interacting with key guanines via Watson-Crick base pairing to promote alternative secondary structures. Substitutions of cytosines for adenines in such non-folding pG4s were able to promote G4 formation 56. Thus, caution should be used with G4 computational analysis predictions.

## **In vitro approaches to identify RNA G4**

Assessing synthetic RNA oligonucleotides derived from pG4s by structural and biophysical techniques remains the leading methodology to characterize RNA G4s (discussed in details in  $57$ ). Circular dichroism (CD)  $58$ , ultraviolet (UV) melting curves  $59$  and nuclear magnetic resonance (NMR) spectroscopy  $^{60}$  are most commonly used to compare biophysical signals of a pG4 in the presence of G4-stabilizing and destabilizing ions. RNA G4s adopt parallel G4 topology and display a characteristic CD spectrum of a negative signal at 240 nm and a positive signal at 265 nm 58; 61. Although convenient for the initial characterization, the CD signature of RNA G4s is very similar to that of an A-form helix RNA (often found in stemloop structures) and may be misleading 58. UV measurements rely on a unique G4 hypochromic signature at 295 nm (contrasting to the absorbance peak for nucleic acids at 260 nm) and used to monitor pG4 stability by determination of the G4 melting temperature in G4-stabilizing or destabilizing buffer conditions  $59$ . Although a time-consuming technique, one-dimensional NMR is often used for determining G4 structures in short oligonucleotides 61; 62. The NMR spectra of RNA molecules (signature that appears from

the imino protons of guanine and uracil) display typical signals at 10–15 ppm (parts per million). When these protons are engaged in hydrogen bonding (via Watson-Crick or Hoogsteen base pairing), they do not exchange with the solvent, become protected and are visible in the NMR spectra. Since the resonance frequency of the iminos in Watson-Crick base pairing at 12–15 ppm is clearly different from the iminos in G4s (10–12 ppm), NMR is able to distinguish G4s from helix structures, especially by comparing spectra in the presence of G4-stabilizing and destabilizing cations  $60$ ;  $62$ ;  $63$ . In addition to biophysical approaches, other methods are often used to study G4s. They include functional approaches such as G4-specific ligand binding, site-specific mutagenesis of G4 sequences, usage of RNA reporters and biochemical approaches to determine secondary structure.

Hundreds of small molecules have been described to bind DNA and RNA G4s <sup>64</sup>. Such ligands are diverse chemically and structurally, and their specificity towards G4s is often low, and should be used with precautions. Nonetheless, several ligands are commonly used in functional assays where their addition may affect a functional outcome via stabilization or destabilization of G4s (such as splicing or translation efficiency of a reporter mRNA). Reporter assays are based on the inclusion of a candidate G4 into a functional cassette that monitors splicing (inclusion of alternatively spliced exons) <sup>65; 66; 67; 68</sup> or translation (luciferase production)  $56$ ;  $69$ ;  $70$ ;  $71$  reactions *in vitro* and/or *in vivo*. Such approaches are often paralleled by site-directed mutagenesis of key guanines involved in the G4 and then wild type and mutated forms are compared in functional assays. Although the reporter results may suggest that the G4 is functional, the results should be analyzed with caution since introduction of sequences into a functional cassette may change structure of mRNA, affect binding of protein factors or introduce cryptic regulatory elements.

Biochemical methods of RNA G4 characterization employ chemical molecules or enzymes to determine the secondary structure of RNA in the presence or the absence of G4 permissive or non-permissive buffer conditions. Chemical probing uses small molecules that report RNA structural footprints to accurately map secondary structures. Nucleobasespecific (such as dimethyl sulfate (DMS) and 1-cyclohexyl-(2 morpholinoethyl)carbodiimide metho-p-toluene sulfonate (CMCT)) and ribose-specific (selective 2′-hydroxyl acylation) probes are commonly used in vitro and in vivo to characterize RNA secondary structures 72; 73; 74; 75; 76; 77; 78. Chemical footprinting has been used to determine the presence of G4s in both single RNAs and transcriptome-wide studies 73; 79; 80. Enzymatic probing is often used for RNA secondary structure determination; it uses ribonucleases that selectively target single-stranded or double-stranded regions and has been used in RNA G4 studies 68; 81. Unfortunately, ribonucleases do not efficiently cross cell membranes an therefore are not used for in vivo studies.

Finally, a new strategy was recently developed to study RNA G4s *in vitro* <sup>57; 68</sup>. This method is based on the different hydrogen-bonding patterns of stem-loop structures and G4s, as the former requires only Watson-Crick base pairing and the latter relies on Hoogsteen base pairing. Nitrogen at position 7 of guanine (N7, see Figure 1A–B) is absolutely required for Hoogsteen base pairing, and its substitution to carbon (as in 7-deazaguanine) prevents G4 formation 82; 83. By assessing the differences between native RNA and RNA with guanines substituted with 7-deazaguanines, it is possible to conclude whether a pG4 actually forms a

bona fide G4. One advantage of this method is that 7-deazaguanine-substituted RNAs can be used under functional conditions (e.g., in cellular extracts or in transfection experiments) and does not rely on artificial buffer conditions (e.g., in the presence of non-physiological levels of  $Li<sup>+</sup>$ ) <sup>68</sup>.

A major problem with most of the above-described methods is that they rely on in silico analysis and *in vitro* experimental approaches in quasi-physiological conditions. Are there evidences for RNA G4 formation in cells?

#### **What is the evidence for in vivo formation of RNA G-quadruplexes?**

RNA G4s are extremely stable with in vitro melting temperatures exceeding the physiological range. The typical intracellular concentration of free metal cations is sufficient to support G4 formation as assessed under in vitro conditions,  $5-15$  mM Na<sup>+</sup>, 140 mM K<sup>+</sup>, 0.5–2 mM  $Mg^{2+}$ , pH 7.2<sup>84</sup>. However, the evidence to support RNA G4s in vivo are weak. Earlier studies that addressed this question included immunofluorescence using G4-specific antibodies which revealed detectable ribonuclease-sensitive cytoplasmic and nuclear staining 49. Upon treatment of cells with G4-stabilizing ligands, the intensity of the G4 signal increased in accordance with the presence of RNA G4s in cells <sup>49</sup>. While immunobased approaches are useful, they have significant limitations, including fixation and permeabilization, allowing for the possibility that G4 folding could occur during these steps. Additionally, G4-stabilizing ligands and antibodies may shift the balance from the naturally existing RNA populations of mixed forms toward otherwise unstable G4-enriched forms. Moreover, the specificity of some antibodies (e.g. monoclonal antibody 1H6) towards G4 structures is questionable due to cross-reactivity to other sequence motifs <sup>85</sup>.

With the development of high-throughput RNA sequencing (RNA-seq) and chemical probing methods, experimental approaches to systematically map RNA G4s throughout the entire transcriptome have become available  $^{79; 80}$ . These methods exploit reverse transcriptase stalling or stops (RTS) caused by the presence of strong secondary structures such as G4s. The Balasubramanian lab developed RNA G-quadruplex sequencing or rG4 seq which monitors RTS sites coupled with next generation sequencing in the presence of  $K^+$  cations or the G4-specific ligand PDS and  $K^+$  (K<sup>+</sup>/PDS) <sup>80</sup>. Parallel analysis was done in the presence of G4 destabilizing  $Li^{+}$  cations and serves as a control. By applying rG4-seq in *vitro* to profile RNA G4s in the polyadenylated ( $poly(A)$ ) fraction of RNA (covering over 17,000 transcripts), it was determined that about 3,500 (distributed in approximately 2,500 genes) and 11,500 RTS sites (distributed in approximately 6,000 genes) in  $K^+$  and  $K^+$ /PDS conditions can be assigned as RNA G4s, respectively. Selected transcript candidates were validated *in vitro* by chemical probing using  $2'$ -hydroxyl acylation <sup>73</sup>. Importantly, as this transcriptome-wide analysis used purified cellular RNA, it does not truly convey the structural footprint of transcripts in living cells.

The Bartel lab developed a method that combines next generation sequencing, sensitivity to selected cations  $(K^+, Na^+$  versus  $Li^+$ ) and chemical probing techniques <sup>79</sup> including DMS modification (base modification)  $86$ ;  $87$  and  $2'$ -hydroxyl acylation (ribose modification)  $88; 89$ . Poly(A) containing transcripts were analyzed *in vitro* and approximately

6,000–12,000 RTS sites were assigned as intramolecular RNA G4s. Most importantly, as chemical reagents used to probe secondary structures can penetrate living cells, similar analysis was also done in vivo. Unexpectedly, such analysis revealed that RNA G4s are overwhelmingly depleted in eukaryotic cells suggesting that machinery prevents G4 folding and/or actively monitors and unfolds existing G4s in eukaryotic cells. In support of this hypothesis, when model RNA G4s were overexpressed in eukaryotic cells, these G4s were also found unfolded. In contrast, when the same model RNA G4s were ectopically expressed in Escherichia coli, they were found in the G4 conformation. Further transcriptome analysis in three bacterial species revealed global depletion/absence of pG4s. Moreover, bacteria expressing model G4s demonstrated growth defects compared to wild type bacteria or strains expressing mutant variants of the same model G4s  $^{79}$ . Together this study suggests that RNAs with the propensity to adopt a G4 conformation are largely absent in the bacterial transcriptome. In the eukaryotic genome, mRNAs with this propensity exist, but are actively disassembled or prevented from forming by an unidentified machinery.

While instrumental in our understanding of RNA G4s, transcriptome-wide studies also have technical limitations and can be misleading. For example, although high-throughput sequencing should generally be sensitive to detect RNAs that are expressed at extremely low levels, RNA-seq/chemical probing analyses require relatively high expression of transcripts to detect G4s. Further, in a particular mRNA, a G4 may only fold during a fraction of the mRNAs lifetime (e.g. during splicing or transport), yet still be biologically relevant. In these instances, the unfolded G4 mRNA will dilute the signal of the folded G4. Smaller G4 containing transcripts (such as microRNAs), which are shorter than the length of fragments selected for library construction and sequencing, would presumably be lost in such analysis. In addition, these methods were designed to preferentially detect intramolecular G4s thus missing potential intermolecular G4s. Both labs selected polyadenylated RNAs, missing other classes of RNAs. Taking into consideration that only ∼2% of the human genome is protein coding  $90$ , we expect G4s to be within introns of pre-mRNAs as well as non-protein coding transcripts (both nuclear and cytoplasmic RNAs) and to have biological functions.

Despite limitations, these studies have revealed some important findings. First, they have proven the existence of RNA G4s in vitro. Second, in both studies, a large fraction of identified G4s do not follow the predicted motif (Figure 1D) and therefore were missed by in silico discovery approaches. The identified RNA G4s demonstrate diverse variations in their structures especially in the loop regions, greatly expanding the possible RNA G4 structures. Analysis of the regions surrounding a RNA G4 suggest that flanking regions such as the presence of proximal C-rich tracks may compete with G4 folding consistent with previous in *vitro* analysis  $56$ ;  $91$ . Third, in accordance with bioinformatics predictions, pG4s are enriched within UTRs relative to the ORF regions. This may suggest important regulatory roles for G4s in UTRs and possible selection against their placement in ORFs where they can interfere with mRNA translation. Fourth, although only poly(A) transcripts were analyzed in these studies, the similar approaches could be used for non-poly $(A)$  fraction of cellular RNAs.

Most importantly, it was recognized that in eukaryotic cells RNA G4s are globally unfolded in vivo, despite their ability to readily assemble in vitro. This is presumably due to cellular

machinery that actively monitors and unwinds G4s <sup>79</sup>. The identity of the RNA G4-bound proteome remains elusive; although many RNA-binding proteins (RBPs) bind G-rich RNA sequences (see below, and Table 1) and possibly function to prevent G4 folding. A recent paper by Benhalevy *et al.* suggests that CNBP/ZNF9 is one of such proteins that binds Grich elements in mRNAs, most of which form G4s in vitro  $92$ . Functionally, CNBP increases target mRNA translation efficiency by resolving G4s and other stable structures on mRNAs. It is also tempting to speculate that other RBPs (such as heterogeneous nuclear ribonucleoproteins (hnRNPs)) may keep G4 unwound by binding single-stranded G-rich sequences co-transcriptionally in the nucleus, prior to the export of mRNA to the cytoplasm.

## **RNA G-quadruplex binding proteins**

In general, many proteins have affinities for G4s, whether these proteins recognize G4 structural determinants rather than G-rich sequences remains largely uncharacterized and requires further experimentation. Additionally, many of these proteins have been characterized in vitro with little to no confirmation that these interactions occur in cells (Table 1). Yet an in vivo interaction between a RNA G4 and a binding protein is dependent on RNA folding into G4s in cells, and since there is currently no consensus whether, and to what extent, RNA G4s fold in cells this question remains largely unanswered. Thus, as the field progresses we expect to identify two groups of proteins  $- (1)$  those that bind and prevent RNA G4s from forming and (2) those that bind and stabilize RNA G4s. Additionally, we expect some degree of specificity between G4s and binding proteins as loops and surrounding sequences will impact binding. We expect that G4 structures can serve as topological marks that act as specific binding sites for regulatory or structural proteins (Figure 2A). G4s as extremely stable structures can act as barriers or kinetic traps for movement of proteins or protein machines (e.g. ribosomes) along RNA (Figure 2B). Finally, G4s can also disrupt adjacent or overlapping alternative secondary structures or sequence determinants thus serving to inhibit binding of other proteins (Figure 2C). Whatever their roles, G4s must either be folded or unfolded, and both processes likely require assistance of proteins, especially in cells (Figure 2D).

Moving forward it is important to employ stringent criteria to define G4 verses G-rich interactions. Transcriptome-wide approaches such as PAR-CLIP that identify the binding sites of cellular RBPs with nucleotide resolution can be used to identify *bona fide* targets of candidate G4-binding factors. Biochemical approaches using 7-deazaguanine-subsituted RNAs to compare with native RNAs can be used as a control for G4 binding specificity. Finally, with a few exceptions, structural information on the protein-RNA G4 interaction is lacking; such studies are required for in depth insight into the biological relevance of the interaction.

## **Proposed functions of RNA G-quadruplexes**

Putative RNA G4s are widely distributed in coding and non-coding regions of pre-mRNAs and mRNAs such as introns, 5'- and 3'-UTRs. Their enrichment in mRNA regions with regulatory functions (5'- and 3'-UTRs) hints that RNA G4s exist to regulate RNA metabolism. Below we discuss proposed biological functions of RNA G4s, and it should be

noted that many of these functions are based on *in vitro* studies and the usage of G4-specific ligands.

#### **Role of G4s in mRNA transcription and processing**

The initial finding that up to 50% of human genes may contain pG4s in their promoter regions suggested a role for G4s in regulating gene expression. After the first evidence that promoter-associated G4s in the oncogene  $c$ -MYC affect transcription *in vivo* <sup>41; 43; 119</sup>, genome-wide studies in yeast and human cells identified transcriptional changes in numerous pG4-containing genes upon treatment with the G4-specific ligand TMPyP4 <sup>120; 121</sup>.

During transcription, the newly synthesized RNA can base pair with the complimentary template DNA strand to form a RNA:DNA hybrid. Together with displaced single-stranded DNA, this RNA:DNA hybrid forms a structure known as an R-loop (Figure 3A). When sequences involved in R-loop formation contain two or more neighboring guanines, they can potentially fold into an intermolecular RNA:DNA G4 (Figure 3B). Formation of such hybrid G4 requires as few as two tandem G-tracks (guanine-rich sequences) on the nascent RNA transcript and a non-template DNA strand instead of four or more G-tracks required for formation of canonical intramolecular G4s (Figure 3B). Bioinformatic analysis identified that putative hybrid G4s are enriched within regions downstream of transcription start sites and are found in >97% of human genes, with an average of 73 putative hybrid G4s per gene 122; 123. Formation of hybrid G4s was confirmed using T7 RNA polymerase in vitro transcription  $^{123}$ ;  $^{124}$ ;  $^{125}$ , *in vitro* crosslinking, site-specific mutagenesis and transfection studies using luciferase reporters 123. Results from these studies suggest that hybrid G4s inhibit transcription *in vitro* and represent *cis*-elements that are built into a gene and activated co-transcriptionally.

Hybrid G4s have also been implicated in transcription termination (Figure 3C). Several observations have highlighted pG4s as terminator sequences that cause RNA Polymerase II (Pol II) transcription to pause  $126$ ;  $127$ , R-loops formed behind elongating Pol II are especially prevalent over G-rich pause sites positioned downstream of poly(A) signals and are capable of G4 formation. This transcriptional termination mechanism relies on the helicase Senataxin (SETX) to resolve R-loops. SETX-mediated R-loop resolution allows the 5'-3' exonuclease Xrn2 access to the 3' cleavage poly(A) sites causing nascent RNA release, 3' cleavage product degradation and finally Pol II termination (Figure 3D). Upon SETX depletion, R-loops are stabilized downstream of poly(A) signals, preventing efficient pausemediated termination <sup>126; 127</sup>.

Transcriptional termination is also coupled with 3'-end polyadenylation (Figure 4). Addition of the poly(A) tail requires recognition of a defined  $poly(A)$  signal and downstream signaling motifs on the pre-mRNA by cleavage/polyadenylation machinery (Figure 4A). These include the hexanucleotide AAUAAA signal upstream of a CA dinucleotide where 3' end cleavage occurs and a G/U rich sequence predicted to fold into G4s 128. In mammals, canonical polyadenylation involves binding of multisubunit complexes (CFI, CFII, CstF and CPSF) to these signals (Figure 4B, **Left**) 129. In instances where a G4 forms at polyadenylation signals, such as TP53 mRNA, G4s interact with the splicing/

polyadenylation factor hnRNP H/F to regulate polyadenylation (Figure 4B, **Right**) 130; 131 . Under optimal conditions, in the absence of DNA damage, mRNAs lacking G4s at poly(A) signals are efficiently processed whereas efficient 3'-end processing is inhibited for TP53 mRNA presumably due to the G4 thereby decreasing its expression. In response to DNA damage/genotoxic stress, there is global repression of mRNA 3'-end formation rates which contributes to decreased mRNA synthesis. Mechanistically, the essential polyadenylation factor CstF is sequestered in a non-active protein complex composed of Pol II, PARN, BARD1 and BRCA1 132 (Figure 4C, **Left**). In parallel, 3'-end processing of TP53 mRNA is up-regulated to increase expression of TP53 (also known as  $p53$ )  $^{133}$ . This mechanism requires recognition of the G4 in the TP53 pre-mRNA by hnRNP H/F causing efficient recruitment of CstF, 3'-end formation, and ultimately increased p53 expression under DNA damage (Figure 4C, **Right**). In turn, p53 regulates expression of downstream target genes encoding multiple stress-related and apoptosis-related proteins.

RNA G4s are implicated in splicing of pre-mRNAs (Figure 5). Genome-wide analysis of alternatively spliced transcripts found over 3 million pG4s that map to approximately 30,000 mammalian genes <sup>134; 135</sup>. Alternative splicing is mechanistically regulated by combinatory action of many RBPs with RNA elements that impact spliceosome assembly at neighboring splice sites, therefore G4s assembled in the vicinity of splice sites may directly impact binding of regulatory RBPs. This can be achieved by masking or steric overlap with regulatory elements, or recruitment of specific G4-binding proteins (Figure 2). RNA G4s are found associated with both exon splicing enhancers and intron splicing enhancers and silencers (Figure 5A–B). For example, two G4s are found within the FMRP-binding site (FBS) on its own pre-mRNA (FMR1), which give rise to different FMRP isoforms (major longer and minor shorter isoforms) (Figure 5B)  $^{136}$ . The FBS was shown to be a potent exonic splicing enhancer in a minigene system and acts as a control element that regulates alternative splicing in response to intracellular levels of FMRP. Binding of the major FMRP isoform to FBS results in decreased synthesis of the major FMRP isoforms (carrying a complete exon 15) concomitant with an increase of minor isoforms. Mutations in the FBS that affect its ability to form a G4 (although maintaining a G-rich sequence) decrease FMRP binding, ablate exonic splicing enhancer activity and change the splicing pattern of FMR1 pre-mRNA <sup>136</sup>. While G4s in the *FMR1* pre-mRNA act as exonic splicing enhancers, RNA G4s found in intron 6 of the human telomerase (hTERT) are proposed to serve as an intronic splicing silencer (Figure 5A). Targeting this structure with a G4-interacting agent (ligand 12459) stabilizes G4s and impairs  $hTERT$  splicing  $^{137}$ . In  $TP53$  pre-mRNA, a G4 located in intron 3 stimulates splicing of intron 2, working as an intronic splicing enhancer and leading to differential expression of transcripts encoding distinct p53 isoforms (Figure 5A). Sitedirected mutagenesis of residues involved in G4 formation decreased the excision of intron 2 (by  $\sim$ 30%) in a reporter splicing assay <sup>66</sup>.

Although our understanding of how G4s impact pre-mRNA processing and maturation is limited, the above-discussed examples suggest that G4s may have roles both in global and transcript-specific regulation of mRNA synthesis.

#### **Role of G4s in mRNA localization**

Transport of mRNAs to subcellular compartments is a key post-transcriptional mechanism that allows localized protein synthesis. This mechanism is especially important in asymmetric cells such as neurons where transcribed mRNAs travel large distances to their sites of translation such as the tip of a growing axon or synapse (Figure 6). G4s belong to a list of emerging *cis*-elements participating in subcellular sorting and are most commonly found in 3'-UTRs (aka "zipcodes"). Analysis of known dendritic mRNAs identified G4s in approximately 30% of such mRNAs (11 out of 34 candidate transcripts). Using RNA structure probing and mutagenesis, Subramanian et al. showed that G4s are present in the 3'- UTRs of two mRNAs encoding important postsynaptic proteins PDS-95 (post-synaptic density protein 95; contains three G4s) and CaMKIIa  $(Ca^{2+}/c$ almodulin-dependent protein kinase II; contains one G4)  $138$ . Transfection of neurons with mRNA reporters containing these 3'-UTRs was sufficient for localization to neuronal processes while mutating the predicted G4 region in these reporters failed to localize. This supports the idea that G4s are neurite-targeting elements that contribute to the transport of *CaMKIIa* and *PDS-95* mRNAs in neurites *in vivo*  $138$ . Following export from the nucleus (Figure 6A), these mRNAs may bind accessory proteins as previously discussed. It was hypothesized that these G4 binding proteins may link mRNAs to molecular motors such as kinesins (e.g. KIF5, kinesin heavy chain isoform 5) (Figure 6B). A proteomic screen identified a number of RBPs (including selected hnRNPs (e.g. hnRNP U), FUS/TLS and FMRP that binds G4 structures) as KIF5 binding partners 139. Bound to kinesins, G4-associated mRNPs may be transported along neuronal microtubules to neurites for local mRNA translation (Figure 6C).

#### **Role of G4s in mRNA translation**

mRNA translation is a complex process that involves coordination between dozens of translation factors and the ribosome to produce proteins. Translation initiation is the ratelimiting and most regulated step of protein synthesis. It involves 5'-cap recognition by the eIF4F complex that consists of the cap-binding protein eIF4E, the scaffolding protein eIF4G and an RNA helicase eIF4A. Cap-bound eIF4F then associates with the multi-subunit eIF3 translation initiation complex and the 40S ribosomal subunit to form the pre-initiation complex (43S). The eIF2–GTP–tRNA $_i^{\text{Met}}$  ternary complex binds the 43S to scan through the 5'-UTR to identify the initiator AUG codon. Upon start codon recognition, the 60S ribosomal subunit joins the 40S to form translationally competent 80S ribosomes that proceed to the elongation step of translation.

The 5'-UTR is the key element for translation initiation and translational control. G4s are overrepresented in the 5'-UTRs of mRNAs implying important regulatory functions. As other thermodynamically stable RNA structures (such as hairpins) are known to compromise translation initiation 140, it is intuitive that extremely stable G4s would also inhibit translation. Indeed, when various naturally occurring G4s (e.g. found in FMR1, Zic-1, NRas, MT3-MMP, Bcl-2, TRF2, ESR1 mRNAs) are placed in the 5'-UTRs of mRNA reporters, potent inhibition of translation is observed both in vitro and in vivo (reviewed in details in  $141$ ) (Figure 7A). The efficiency of translation inhibition by G4s is not simply explained by steric constrains of the scanning pre-initiation complexes but may also strongly depends on the G4 location relative to the cap structure and/or initiation AUG codon. In two reports,

G4s located closer to these elements caused more robust translation inhibition <sup>69; 142</sup>. Whether this is universal for all G4s is unknown but there is a clear positive correlation between G4 stability and translation inhibition *in vitro* <sup>143</sup>. Moreover, different G4s and elements surrounding G4s may also influence translation, presumably by recruitment of different RNPs and/or by adapting alternative secondary structures. This also emphasizes the importance of studying G4s in their natural mRNA context to truly understand their biological consequences.

In addition to inhibiting translation, selected 5'-UTR-derived G4s have been shown to stimulate translation (Figure 7A). Two studies demonstrated that GC-rich regions within 5'- UTRs of FGF2 (fibroblast growth factor 2) and *VEGF* (vascular endothelial growth factor) mRNAs contain structures that stimulate translation 144; 145. Chemical and enzymatic footprinting using in vitro transcribed RNAs showed that these regions contain G4s. Deletion analysis using plasmid reporters coupled with transfection studies confirmed that translation stimulation required G4 sequences. Based on further site-directed mutagenesis, the authors concluded that these G4s were part of IRESes (Internal Ribosome Entry Site), structures that initiate protein synthesis by a non-canonical 5'-cap-independent manner. While the presence of *bona fide* IRESes in viral genomes is well characterized, whether cellular IRESes exist is a matter of debate  $^{146}$ . Under oxidative stress, the 5'-UTR of *NRF2* mRNA (encoding the transcription factor NRF2, which regulates expression of antioxidant and detoxification genes) stimulates its translation in a G4-dependent manner <sup>147</sup>. Similarly, the G4 in the 5'-UTR of the SNCA (α-synuclein) mRNA enhances SNCA production under conditions when cap-dependent translation is attenuated  $^{148}$ . The ability of specific RNA G4s to stimulate protein synthesis is not understood (Figure 7A).

Several reports suggest that RNA G4s within 3'-UTRs and ORFs also modulate translation (Figure 7A). In one study, several G4 motifs with loops greater than seven nucleotides located in 3'-UTRs both stimulated or inhibited translation without affecting mRNA transcription  $^{149}$ . Canonical G4s in the 3'-UTRs of *PIM1* (encoding oncogene PIM1)  $^{150}$  and  $APP$  (encoding Amyloid Precursor Protein)<sup>151</sup> mRNAs negatively regulate translation yet have no effect on mRNA stability. The molecular mechanisms that underlie this inhibition are unknown but may rely on G4-specific protein(s) that act(s) as translational repressors. For example, FMRP binds to a G4 in the  $APP$  mRNA ORF to inhibit its translation<sup>152</sup>. The relationship/relative contribution of 3'-UTR- and ORF-located G4s in regulating APP translation is unclear. Similarly, G4s within the ORF of the virally encoded EBNA1 transcript (the Epstein-Barr virus-encoded nuclear antigen 1) may hinder translation elongation by either promoting ribosomal pausing or ribosomal dissociation  $^{70}$ . ORFsituated G4s are reported to act as roadblock for elongating ribosomes 153; 154. RNA G4s also stimulate ribosomal frameshifting 155, the recoding event when ribosomes are forced to move backward or forward leading to alternative ORF on the same mRNA 156. In contrast, poorly studied RGG domain-containing RBP Aven recognizes ORF-situated G4s in its mRNA targets to stimulate translation<sup>157</sup>. Aven cooperates with DHX36, a helicase that is required for the optimal translation of Aven-regulated mRNAs and is known to unwind RNA G4s in cells <sup>110</sup>. It is tempting to speculate that DHX36 stimulates translation of Aven mRNA targets through its G4 resolvase activities, removing roadblocks for elongating ribosomes 157 (Figure 7A).

Finally, RNA G4s may be directly involved in RAN translation, a non-canonical and poorly understood translation mechanism observed in some repeat expansion disorders. In this mechanism, expansion of short repetitive sequences (3–6 nucleotides) can provoke expression of proteins in all three reading frames without an initiating AUG codon 158. RAN translation is reported in amyotrophic lateral sclerosis (ALS)/frontotemporal dementia (FTD) patients with intronic expansions of the hexameric repeats  $(GGGGCC)_{n}$  in the C9ORF72 gene. RAN translation of these intronic repeats produces di-peptide repeat proteins in all three frames in vitro and these same proteins have been detected in tissues and cells of ALS/FTD patients  $159$ ; 160. RNA (GGGGCC)<sub>n</sub> repeats are capable of forming G4s 94; 114; 161; 162 and bioactive small molecules targeting these repeats significantly inhibit RAN translation and the production of dipeptide repeat proteins <sup>162</sup>. As different RNA G4s are able to interact with ribosomal proteins<sup>93</sup> and/or bind the 40S ribosomal subunit  $163$ , we propose that C9ORF72 hexameric RNA G4 repeats may directly recruit translationallycompetent 48S-like pre-initiation complexes to initiate RAN translation generating dipeptides. We are currently testing this hypothesis (Figure 7B).

## **Emerging roles of RNA G4s in biology of non-coding RNAs**

Recent bioinformatics analysis of human ncRNAs found a significant number of pG4s (approximately 750 unique transcripts) 164. A subset of these pG4s were analyzed biophysically and found to fold into G4s in vitro <sup>164</sup>. While long ncRNAs (lncRNAs) are implicated in different biological processes and found to be dysregulated in many pathological conditions 165; 166, the biological significance of G4s in lncRNAs is still unclear. Recent work from Matsumura et al. demonstrated the biological relevance of a G4 in the lncRNA  $GSEC$  (G-quadruplex-forming sequence containing lncRNA)  $^{167}$ . This cytoplasmic lncRNA is implicated in the development of colorectal cancer where it stimulates migration of colon cancer cells, and depletion of GSEC results in the reduction of cancer cell mobility. Immunoprecipitation and pull-down assays using GSEC RNA identified DHX36 as a binding partner. DHX36 recognizes a G4 in GSEC, and mutations of key guanines that affect G4 folding also reduce their interaction. Functionally, DHX36 inhibits mobility of colon cancer cells and GSEC antagonizes its effect by acting as a molecular decoy to suppress DHX36 function  $167$ .

MicroRNAs (miRs) are 20–22 nucleotide small ncRNAs with roles in RNA metabolism, cellular homeostasis, development, growth and differentiation. Briefly, mature miRs are formed as a consequence of tightly regulated processing events in both the nucleus and cytoplasm. First, RNA Pol II transcribes primary transcripts (pri-miRs) in the nucleus that are recognized by the endoribonuclease Drosha, which coverts pri-miRs into smaller precursor RNAs (pre-miRs) that assemble into a hairpin structure. Upon nuclear export by the nuclear factor Exportin-5, pre-miR hairpins are further processed by the ribonuclease Dicer into miR duplexes. One of the duplex strands as a mature miR is effectively loaded into the RISC complex (RNA-induced silencing complex) where, in the complex with the protein Argonaute (Ago), regulates mRNA stability and translation. About 130 miR genes (∼ 5% of all miR genes) are predicted to contain G4s in their promoter regions 168 and some G4 are found within miR transcripts <sup>169; 170</sup>. Since Dicer effectively recognizes canonical hairpin structures in pre-miRs, alternative structures such as G4s in pre-miRs should inhibit

their maturation into miRs. Indeed, two studies have suggested that this is the case. In the first study, pre-miR-92b was shown to exist in two conformations  $^{169}$ . Using an *in vitro* Dicer-mediated cleavage assay, pre-miR-92b was processed into mature miR-92b from a canonical hairpin structure under conditions that disfavor G4 formation (e.g. presence of Li<sup>+</sup> ions). In contrast, conditions that favor G4 formation significantly inhibited pre-miR-92b processing *in vitro*. In another study, authors compared processing of the naturally occurring (pre-let-7e) and artificial synthetic (quad-pre-miR-27a) pre-miRs containing G4 structures into mature miRs 170. In agreement with the previous work, G4s inhibit Dicer-mediated conversion of pre-miRs into mature forms. Both studies also demonstrated that pre-miRs can exist as G4s in living cells <sup>169; 170</sup>, and treatment of cells with a G4-destabilizing ligand promotes production of mature miRs in vivo (up to 4-fold)  $170$ . These studies have uncovered biologically relevant RNA G4s that regulate the biogenesis and functions of miRs.

## **PERSPECTIVES, KEY QUESTIONS AND CHALLENGES**

We have only begun to understand the biological significance and molecular mechanisms that underlie RNA G4 structures. Recent transcriptome-wide studies have demonstrated that RNA G4s are mostly unfolded *in vivo*. While this is generally unexpected in the light of extensive in vitro data, this may also suggest that RNA G4s can exist under certain conditions such as during specific stages of the the cell cycle, under specific stress stimuli (TP53 and DNA damage), disease conditions (C9ORF72 in ALS/FTD) or in a cell typespecific manner (neurite-specific G4-containing transcripts in neurons). Moreover, the intriguing finding that RNA G4s are disassembled in living cells suggest important and active roles of G4-binding proteins in RNA G4 biology. It re-emphasizes the importance of identifying and structure-function analysis of *bona fide* G4-interacting proteins. One intriguing aspect of RNA G4/G4-binding protein interaction is their potential functional convergence in many pathological conditions such as cancer and neurodegenerative diseases. For example, amplification of G4 RNA repeats in ALS/FTD (C9ORF72 gene) and Fragile  $\times$ syndrome (*FMR1* gene) is evident. In these same diseases, changes in expression levels or mutations can be found in the G4-binding proteins such as FUS/TLS, hnRNP A1/A2 and FMRP. This may indicate that G4-binding RBPs are uniquely important for normal neuron physiology; when mutated or in cells with RNA G4 expansions these proteins cannot exert their normal functions.

As evidence based on *in vitro* studies supports the involvement of G4s in versatile biological functions, understanding the molecular mechanisms of RNA G4-mediated regulation in vivo requires special attention. In contrast to DNA G4s that affect nuclear events and act as roadblocks for efficient transcription or inhibit telomere extension, RNA G4s may act in both the nucleus and the cytoplasm, exerting a wide range of affects on RNA metabolism. Thus, in vivo structural and functional studies of RNA G4s need to be developed. Recently, an approach called "in cell-NMR" was developed to study proteins and nucleic acids in their native physiological environment 171; 172. This approach was used to study G4s of radiolabeled oligonucleotides injected into Xenopus laevis oocytes <sup>173</sup>. Another promising approach that we are currently developing in our lab is CRISPR/CAS9 genome editing to directly manipulate G4s in their natural genetic context, e.g. to introduce site-specific

mutations that disrupt G4 formation and/or addition of epitopes for detection of G4 regulated products. Such an approach has an advantage over the widely used reporter assays because it does not introduce additional perturbations in living cells such as high expression of candidate RNAs or expression in unnatural genomic context (such as plasmids).

The unique chemical properties of RNA G4s suggest it is possible to develop small molecules that select RNA rather than DNA G4s<sup>174</sup>. This is exemplified by the recent discovery of a small molecule, carboxypyridostatin, that exhibits high molecular specificity for RNA over DNA G4s<sup>175</sup>. Taking into consideration a growing number of diseases that implicate RNA G4s in their pathogenesis, this area is of great therapeutic importance and potential. Selective intervention targeting only RNA G4s may be a strategically important therapeutic approach for specific diseases. As thousands of DNA G4 ligands are reported, the same molecules can be screened for their interactions with RNA G4s to allow rational design of selective RNA G4 ligands. Similarly, therapeutic approaches developed to manipulate activities of bona fide G4-binding proteins will be promising.

From our perspective, RNA G4s are particularly interesting structures for future biophysical, chemical and functional investigations. RNA G4 biology will continue to develop as an exciting branch of experimental biology with unique perspectives in molecular medicine, and represents an attractive area for future research.

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#### **Figure 1. G-quartets and G-quadruplexes**

**A**. Guanine atoms participating in Watson-Crick and Hoogsteen base pairing interactions. **B**. Upper: The structure of G-quartet (G-tetrad) showing four-fold symmetrical arrangement of coplanar guanines. Hydrogen bonds between each pair of guanines involves four donor/ acceptor atoms (the N1, N7, N2 and O6 atoms). Overall arrangement has a total of eight hydrogen bonds (four N2-H…N7 and four N1-H…O6 bonds). Four carbonyl oxygen (O6) atoms form a negatively charged core in the center of G-quartet that favors binding of monovalent cations  $(M^+)$ . The sugar moieties are removed for clarity. *Middle*: 2 tetrads

coordinated by a monovalent cation are shown. Lower: G-quadruplex structures with different topologies are shown. Note that G4 can be inter- and intra-molecular. **C**. Preferential binding of monovalent cations to G4s. **D**. Simplified prediction algorithm used to identify potential G4 motif  $(G_X \cdot N_{1-7} \cdot G_X \cdot N_{1-7} \cdot G_X N_{1-7} \cdot G_X$ , where x is 3–6 nucleotides and N corresponds to any nucleotide (A, G, T, C or U)). **E**. Summary of the main differences between DNA and RNA G4s.

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#### **Figure 2. Possible mechanisms of action underlying RNA G4 functions**

**A**. G4s act as specific binding sites for regulatory or structural proteins. **B**. G4s act as barriers or kinetic traps for movement of proteins or protein machines along RNA. **C**. G4s regulate the formation of alternative secondary structures on RNA, recognized by different proteins. Arrows indicate possible bidirectional shift of equilibrium between G4 and hairpin conformation. **D**. Examples of proposed G4-specific binding proteins.

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#### **Figure 3. Proposed roles of RNA G4s in transcriptional regulation**

**A**. R-loops, structures that contain an RNA-DNA hybrid and displaced single-stranded DNA, can form during transcription when RNA emerging from the transcription machinery hybridizes with the DNA template (RNA transcript shown in red). **B**. As few as two tandem G-tracks (guanine-rich sequences) on a non-template DNA strand are capable of forming hybrid G4 with guanine-rich transcript. **C–D**. G4s can act as terminator sequences to cause Pol II transcription to pause. Hybrid DNA/RNA G4s are very stable and require assistance of specialized enzymes to unwind G4s and assist with transcription termination. In mammals, helicase senataxin (SETX) cooperates with exoribonuclease Xrn2 to resolve hybrid G4s, promote degradation of 3'-end RNA cleavage product (red) and release of Pol II from DNA.

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Inhibited TP53 3' end processing under optimal conditions Efficient canonical 3' end processing under optimal conditions



#### **Figure 4. Proposed roles of RNA G4s in 3'-end mRNA processing**

**A**. Three primary sequence elements that define the polyadenylation site. These pre-mRNA cis-elements include the hexamer AAUAAA polyadenylation signal, CA cleavage site and G/U-rich downstream element. **B**. Under optimal conditions, multi-subunit cleavage/ polyadenylation machinery (CFI/CFII/CstF/CPSF) assembles on these cis-elements to promote efficient 3'-end processing and global transcription (left panel). 3'-end processing of specific stress-responsive mRNAs, such as encoding DNA damage factor p53, is however inhibited. The downstream G/U-rich element of TP53 pre-mRNA assembles a G4 that is recognized by hnRNP H/F. Binding of hnRNP H/F interferes with efficient recruitment of cleavage/polyadenylation machinery and inhibits 3'-end processing of TP53 pre-mRNA (right panel). **C**. Under DNA damage, specific factors sequester the essential

polyadenylation factor CSTF into an inactive complex thus inhibiting 3'-end processing (left panel). In contrast, hnRNP H/F bound to G4 of TP53 pre-mRNA associates with CstF thus protecting it from sequestration and promoting TP53 3'-end processing and expression of p53 (right panel).

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#### **Figure 5. Proposed roles of RNA G4s in splicing regulation**

**A**. Exonic and intronic regions of a putative pre-mRNA are shown as blue (Exon (X) and Exon  $(X+1)$ ) or green (Intron X), respectively. Intronic G4s can either enhance or silence intron splicing thus acting as intronic splicing enhancer (such as in intron of TP53 premRNA) or intronic splicing silencer (such as in intron of hTERT pre-mRNA). **B**. Exonlocated G4s can act as exonic splicing enhancers. FMRP recognizes G4s in its own premRNA (*FMR1)* to regulate its splicing pattern and production of protein isoforms.



#### **Figure 6. Proposed roles of RNA G4s in mRNA localization**

**A**. In specialized cells such as neurons, processed G4-containing mRNAs are exported from the nucleus to the cytoplasm where specific RBPs (e.g. hnRNP U, FUS/TLS and FMRP) recognize and bind to their G4 structures. **B**. G4-bound RBPs assemble into large mRNPs (such as neuronal granules) that specialize in mRNA transport using molecular motors such as microtubule-associated kinesins. **C**. Upon arrival to the destination site (e.g. dendritic synapses), G4-containing mRNPs remodel allowing local translation.

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#### **Figure 7. Proposed roles of RNA G4s in protein synthesis**

**A**. Proposed roles of G4s in mRNA translation. G4s can be found in both non-coding (5' and 3'-UTRs) and coding (ORF) regions of mRNA where they can inhibit or stimulate translation. In 5'-UTRs, G4s are commonly found near mRNA 5'-cap structures, where they inhibit translation initiation by mechanisms that may involve interference with cap binding or inhibition of 43S pre-initiation complex scanning (e.g. in NRas mRNA). G4-mediated stimulation of translation is proposed in an IRES-like manner (e.g. in 5'-UTRs of VEGF or FGF2 mRNAs), although strong experimental evidences are still lacking. In 3'-UTRs, G4s can both inhibit and stimulate translation by recruitment of translational silencers or stimulators, the identity of which is still unknown. As in non-coding parts, G4s located in the ORF can both block (most likely acting as roadblocks for elongating ribosomes) or promote translation by recruitment of specific protein complexes (such as RBP Aven and RNA helicase DHX36). **B**. Hypothetical role of G4s in repeat-associated non-AUG (RAN) translation, an unconventional translation mechanism. In ALS patients, hexameric

 $r(GGGGCC)_n$  repeats located in intron of  $C9ORF72$  gene are amplified (i). These repeats are capable of forming higher order G4 structures that make them extremely stable (ii). Some r(GGGGCC)n transcripts undergo RAN translation via direct recruitment of translationally competent ribosomal complexes to produce di-peptide proteins (iii).

## **Table 1**



