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RNA G-quadruplexes in biology: principles and molecular mechanisms

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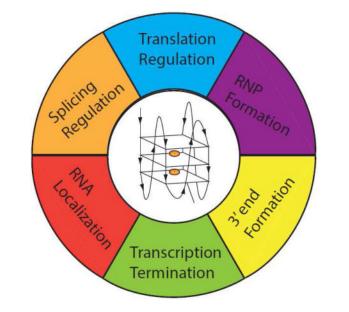
Abstract

G-quadruplexes are extremely stable DNA or RNA secondary structures formed by sequences rich in guanine. These structures are implicated in many essential cellular processes and the number of biological functions attributed to them continues to grow. While DNA G-quadruplexes are well understood on structural and to some extent on functional levels, RNA G-quadruplexes and their functions have received less attention. The presence of *bona fide* RNA G-quadruplexes in cells has long been a matter of debate. The development of G-quadruplex-specific antibodies and ligands hinted on their presence *in vivo* but recent advances in RNA sequencing coupled with chemical footprinting suggested the opposite. In this review, we will critically discuss the biology of RNA G-quadruplexes focusing on the molecular mechanisms underlying their proposed functions.

Graphical abstract

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Introduction

It was first reported in 1910 that high concentrations of guanylic acid form a gel ¹. 50 years later, the G-tetrad (also known as G-quartet) structure, derived from X-ray fiber diffraction studies, was postulated to be the basis for the aggregation of 3'- or 5'-guanosine monophosphate into such gelatinous substance². Similar aggregation was also observed with the first chemically synthesized deoxyguanosine homopolymers³. In comparison to well-known Watson-Crick base pairing in nucleic acids (Figure 1A), G-tetrads are formed when guanines are organized into planar quartets where each base is connected to two other bases via Hoogsteen base pairing (Figure 1A-B). Hydrogen bonds between each pair of guanines involves four donor/acceptor atoms, the N1, N7, N2 and O6 atoms, such that a Gquartet has eight total hydrogen bonds (four N2-H...N7 and four N1-H...O6 bonds). Four carbonyl oxygen (O6) atoms form a negatively charged core in the center of G-quartet. When three or more G-quartets stack onto one another, they form a stable right-handed helical structure known as a G-quadruplex (G4) (Figure 1B). In such vertical stacking, individual G-quartets are separated by ≈ 3.3 Å ⁴. Metal ions such as monovalent cations can intercalate into the central anionic core of a G-tetrad (Figure 1B) or bind between two Gquartets to coordinate, stabilize hydrogen-bonded tetrads and enhance base-stacking interactions ^{5; 6} (Figure 1B). As the central channel has defined geometry and size, only cations with an adequate charge, size and dehydration energy are expected to coordinate a G4^{7;8}. As a general principle, when the ionic radius fits in the middle of a G-quartet, it stabilizes G4 structures ^{7; 9; 10; 11; 12; 13; 14; 15; 16}. In particular, physiologically relevant monovalent cations such as K^+ , Na⁺ and NH₄⁺ play important roles in G4 stabilization and to different extents (Figure 1C). While relatively small Na⁺ can be embedded into the middle of a single G-tetrad, larger K⁺ and NH₄⁺ cations can fit into the space between two tetrads. As a consequence, larger cations coordinate eight oxygen atoms in G4s while smaller ones coordinate only four, thus contributing differently to G4 stability. In contrast, cations with very small ionic radius such as Li⁺ do not favor G4 formation⁸. Within G4s, G-quartets may

be connected by loops of divergent sequence, structure and length (reviewed in ^{12; 17}). Potential G4 motif is commonly described as $G_X-N_{1-7}-G_X-N_{1-7}-G_XN_{1-7}-G_X$, where *x* is 3–6 and *N* corresponds to any nucleotide (A, G, T, C or U) (Figure 1D).

Similarities and differences between RNA and DNA G-quadruplexes

The central building block of any quadruplex nucleic acids (DNA, RNA) or their analogs (locked nucleic acid, peptide nucleic acid) is the G-quartet (Figure 1B) ¹²; ¹⁷; ¹⁸; ¹⁹; ²⁰; ²¹. However, an assumption that RNA G4s are DNA counterparts is oversimplified. While DNA mostly exists in a double-stranded conformation, RNA is single-stranded or adopts various secondary structures (hairpins, loops, bulges, pseudoknots, etc.). Moreover, at least in eukaryotes, DNA is located in the nucleus bound to histones and auxiliary factors, while RNA is found in both nuclear and cytoplasmic compartments with a great diversity in protein-binding partners.

Of course, the most fundamental differences between RNA and DNA G4s are the same differences as between RNA and DNA, the presence of uracil instead of thymine and of a ribose sugar instead of a deoxyribose sugar (Figure 1E). The presence of a 2'-hydroxyl group in the ribose sugar has several consequences. First, it allows more intramolecular interactions within RNA G4s, leading to enhanced stability. Second, the ordered 2'hydroxyls within RNA G4 grooves are favored to bring water molecules, leading to a more stable structure compared to DNA G4s²². Third, it has additional steric constraints on the G4 topology where 2'-hydroxyl groups prevent orientation of the base in the synconformation, instead strongly favoring the anti-conformation (via restrains on the glycosidic torsion angle), and imposition of additional constraints on sugar puckering (the ribose having a preference for C3'-endo puckering). As a consequence, topology of RNA G4s is limited to the parallel conformation where all four strands are oriented in the same direction (Figure 1B). In contrast, DNA G4s can adapt parallel, antiparallel or mixed conformations (Figure 1B). Although all known naturally occurring RNA G4s adopt a parallel topology, an artificial RNA aptamer Spinach demonstrated an unexpected antiparallel conformation²³, suggesting that the repertoire of G4 topologies may potentially be expanded. The extensive overview of the various RNA G4 structures based on highresolution studies and structural modeling as well as current challenges in their structural investigations is beyond the scope of our manuscript, but is available elsewhere 12.

The impact of uracil versus thymine residues on G4 stability is not well studied. Substitution of thymine with uracil (thus omission/removal of methyl groups) in the loop of G4 oligos makes G4s more stable and less hydrated within the loop around the grooves ^{24; 25}. Indeed, the composition and length of loops strongly affects the stability of both DNA and RNA G4s where shorter loops provide greater stability ²⁶ (Figure 1E). In one study, the importance of flanking versus the central G-quartet on the stability of DNA or RNA G4 derived from telomeric region was addressed ²⁷. Biophysical and mutagenesis studies indicated that each G-quartet contributes equally to RNA G4 stability while the central quartet is of greater importance for DNA G4 stability ²⁷, in agreement with previous observations ^{28; 29}.

Another important parameter is that DNA and RNA G4s show distinct differences in cation binding specificity⁷. Extensive analysis of G4 formation and stability in the presence of various monovalent and divalent cations (Li⁺, K⁺, Na⁺, NH₄⁺, Rb⁺, Cs⁺, Mg²⁺, Ca²⁺, Sr²⁺ and Ba²⁺) was recently performed on two of the most studies model RNA G4s - *TERRA* (telomeric repeat-containing RNA) and *NRAS* oligo (an 18 nt sequence derived from the *NRas* mRNA) and their DNA G4 counterparts ⁷. While K⁺ dramatically stabilizes both DNA and RNA G4s, Na⁺ only had a strong effect on DNA but not RNA G4s. In agreement with loops having a stabilizing role on G4 structures, the *NRAS* G4 oligo with the shorter loops was more stable than the *TERRA* G4 with the longer loops in the presence of K⁺⁷. For divalent ions, only Sr²⁺ increases stability of the RNA G4s, although Sr²⁺ ions have no known biological function. In contrast, the biologically relevant divalent cations Mg²⁺ and Ca²⁺ had no effect on RNA G4 stability. However, the data also may suggest that other divalent cations, such as harmful heavy metals (Pb²⁺, Cd²⁺ or Hg²⁺), may bind and disturb G4 structures contributing to their toxicity; such binding is reported for guanine-rich oligos ^{30; 31}.

Thus despite numerous similarities, RNA G4s are more compact, less hydrated and often more thermodynamically stable than DNA G4s ^{11; 32} (Figure 1E). It is important to keep in mind when discussing the relevance of RNA G4 formation/presence on biology: the majority of studies were done *in vitro* with chemically synthesized oligonucleotides.

How to identify RNA G4: bioinformatic predictions

Despite many years of *in vitro* research, experimental evidences for G4s in cells are limited or even controversial. Biologically relevant G4s were first postulated to be present in eukaryotic chromosomal telomeric DNA, specific DNA sequences at chromosome ends ^{33; 34} and then it was observed that telomeric regions of eukaryotic chromosomes could adapt a compact four-stranded structural arrangement^{35; 36}. In vertebrates, telomeric sequences vary in length (up to 70kb) and are composed of repetitive hexanucleotide repeats (5'-TTAGGG) that largely form canonical DNA duplexes with the complimentary sequence repeats (3'-AATCCC). However, the 3'-terminal region remains single stranded for approximately 15 – 200 nucleotiddes due to the end replication problem. Similar findings were also recorded for guanine-rich sequences in immunoglobulin switch regions³⁷. Authors assumed that these structures are held together by guanines bonded by Hoogsteen pairing; this assumption was experimentally proven *in vitro* using short DNA oligonucleotides derived from these regions ³⁷.

Subsequent to the discovery of the first putative G4 (pG4) motifs in telomeric DNA sequences, several reports noted that the promoter regions of many genes have G-rich sequences that are potentially capable of forming G4s. Such pG4s have since been validated *in vitro* using DNA oligonucleotides derived from these sequences ^{38; 39; 40; 41; 42}. The first validated DNA G4 was found in the promoter of the oncogene *c*-MYC ⁴¹, which was followed by attempts to transcriptionally downregulate *c*-MYC expression using small molecules to modulate the *c*-MYC G4 ⁴³. Subsequent structure/functional analyses have assessed G4 structures in promoters of other oncogenes including *c*-*KIT* and *KRAS*^{44; 45; 46; 47}. Later, multiple rep

Upon sequencing of the human genome, a systematic computational approach using the simple algorithm (*Quadparser*) mined the genome for the potential G4 motif G_XN_{1-7} G_XN_{1-7} G_XN_{1-7} $G_X(Figure 1D)$. This and similar analyses identified pG4s in the human genome (over 375,000 potential G4 sites) as well as other genomes ^{38; 42}. pG4s were found in promoters, coding regions, introns and untranslated regions (UTRs) of genes as well as intergenic regions. Various genome-wide validation strategies including G4-specific probes and sensors (e.g. G4 antibodies or small molecules as pyridostatin (PDS) ⁴⁸) coupled to *in vitro* biophysical analysis have indicated the existence of numerous pG4s structures in the human genome^{49; 50; 51; 52; 53}.

An important finding from the DNA G4 genome-wide studies is the presence of pG4s in the protein-coding (open reading frames (ORFs)) and non-coding (e.g. introns, 5'- and 3'- UTRs) regions of the transcriptome. Given that transcribed mRNAs are single-stranded, and RNA G4s are generally more stable than their DNA counterparts (*vide supra*), it was proposed that mRNAs contain G4s ^{39; 46}. Computational analysis suggested that pG4s are overrepresented in 5'- and 3'-UTRs ³⁹, regions critical for post-transcriptional regulation. Several 5'-UTR- and 3'-UTR-derived G4 sequences have been validated *in vitro*, and multiple potential functions proposed (*vide infra* and reviewed in ^{54; 55}).

While bioinformatics predictions were instrumental in identifying pG4s in cellular RNAs, both false positive and false negative results have been reported. For example, RNAs that included loops greater than 7 nucleotides (up to 15 nucleotides) have been reported to fold into stable G4s *in vitro*²⁶. Similarly, several pG4s in 5'-UTRs matching all algorithm prerequisites were unable to fold into G4s ⁵⁶. In this case, pG4 flanking sequences (within 15 nucleotides either 5'- or 3'- of pG4) such as C-rich tracks impaired G4 folding by interacting with key guanines via Watson-Crick base pairing to promote alternative secondary structures. Substitutions of cytosines for adenines in such non-folding pG4s were able to promote G4 formation ⁵⁶. Thus, caution should be used with G4 computational analysis predictions.

In vitro approaches to identify RNA G4

Assessing synthetic RNA oligonucleotides derived from pG4s by structural and biophysical techniques remains the leading methodology to characterize RNA G4s (discussed in details in ⁵⁷). Circular dichroism (CD) ⁵⁸, ultraviolet (UV) melting curves ⁵⁹ and nuclear magnetic resonance (NMR) spectroscopy ⁶⁰ are most commonly used to compare biophysical signals of a pG4 in the presence of G4-stabilizing and destabilizing ions. RNA G4s adopt parallel G4 topology and display a characteristic CD spectrum of a negative signal at 240 nm and a positive signal at 265 nm ^{58; 61}. Although convenient for the initial characterization, the CD signature of RNA G4s is very similar to that of an A-form helix RNA (often found in stem-loop structures) and may be misleading ⁵⁸. UV measurements rely on a unique G4 hypochromic signature at 295 nm (contrasting to the absorbance peak for nucleic acids at 260 nm) and used to monitor pG4 stability by determination of the G4 melting temperature in G4-stabilizing or destabilizing buffer conditions ⁵⁹. Although a time-consuming technique, one-dimensional NMR is often used for determining G4 structures in short oligonucleotides ^{61; 62}. The NMR spectra of RNA molecules (signature that appears from

the imino protons of guanine and uracil) display typical signals at 10–15 ppm (parts per million). When these protons are engaged in hydrogen bonding (via Watson-Crick or Hoogsteen base pairing), they do not exchange with the solvent, become protected and are visible in the NMR spectra. Since the resonance frequency of the iminos in Watson-Crick base pairing at 12–15 ppm is clearly different from the iminos in G4s (10–12 ppm), NMR is able to distinguish G4s from helix structures, especially by comparing spectra in the presence of G4-stabilizing and destabilizing cations ^{60; 62; 63}. In addition to biophysical approaches, other methods are often used to study G4s. They include functional approaches such as G4-specific ligand binding, site-specific mutagenesis of G4 sequences, usage of RNA reporters and biochemical approaches to determine secondary structure.

Hundreds of small molecules have been described to bind DNA and RNA G4s ⁶⁴. Such ligands are diverse chemically and structurally, and their specificity towards G4s is often low, and should be used with precautions. Nonetheless, several ligands are commonly used in functional assays where their addition may affect a functional outcome via stabilization or destabilization of G4s (such as splicing or translation efficiency of a reporter mRNA). Reporter assays are based on the inclusion of a candidate G4 into a functional cassette that monitors splicing (inclusion of alternatively spliced exons) ^{65; 66; 67; 68} or translation (luciferase production) ^{56; 69; 70; 71} reactions *in vitro* and/or *in vivo*. Such approaches are often paralleled by site-directed mutagenesis of key guanines involved in the G4 and then wild type and mutated forms are compared in functional assays. Although the reporter results may suggest that the G4 is functional, the results should be analyzed with caution since introduction of sequences into a functional cassette may change structure of mRNA, affect binding of protein factors or introduce cryptic regulatory elements.

Biochemical methods of RNA G4 characterization employ chemical molecules or enzymes to determine the secondary structure of RNA in the presence or the absence of G4-permissive or non-permissive buffer conditions. Chemical probing uses small molecules that report RNA structural footprints to accurately map secondary structures. Nucleobase-specific (such as dimethyl sulfate (DMS) and 1-cyclohexyl-(2-morpholinoethyl)carbodiimide metho-p-toluene sulfonate (CMCT)) and ribose-specific (selective 2[']-hydroxyl acylation) probes are commonly used *in vitro* and *in vivo* to characterize RNA secondary structures ^{72; 73; 74; 75; 76; 77; 78}. Chemical footprinting has been used to determine the presence of G4s in both single RNAs and transcriptome-wide studies ^{73; 79; 80}. Enzymatic probing is often used for RNA secondary structure determination; it uses ribonucleases that selectively target single-stranded or double-stranded regions and has been used in RNA G4 studies ^{68; 81}. Unfortunately, ribonucleases do not efficiently cross cell membranes an therefore are not used for *in vivo* studies.

Finally, a new strategy was recently developed to study RNA G4s *in vitro* ^{57; 68}. This method is based on the different hydrogen-bonding patterns of stem-loop structures and G4s, as the former requires only Watson-Crick base pairing and the latter relies on Hoogsteen base pairing. Nitrogen at position 7 of guanine (N7, see Figure 1A–B) is absolutely required for Hoogsteen base pairing, and its substitution to carbon (as in 7-deazaguanine) prevents G4 formation ^{82; 83}. By assessing the differences between native RNA and RNA with guanines substituted with 7-deazaguanines, it is possible to conclude whether a pG4 actually forms a

bona fide G4. One advantage of this method is that 7-deazaguanine-substituted RNAs can be used under functional conditions (e.g., in cellular extracts or in transfection experiments) and does not rely on artificial buffer conditions (e.g., in the presence of non-physiological levels of Li^+)⁶⁸.

A major problem with most of the above-described methods is that they rely on *in silico* analysis and *in vitro* experimental approaches in quasi-physiological conditions. Are there evidences for RNA G4 formation in cells?

What is the evidence for in vivo formation of RNA G-quadruplexes?

RNA G4s are extremely stable with *in vitro* melting temperatures exceeding the physiological range. The typical intracellular concentration of free metal cations is sufficient to support G4 formation as assessed under *in vitro* conditions, 5–15 mM Na⁺, 140 mM K⁺, 0.5–2 mM Mg²⁺, pH 7.2 ⁸⁴. However, the evidence to support RNA G4s *in vivo* are weak. Earlier studies that addressed this question included immunofluorescence using G4-specific antibodies which revealed detectable ribonuclease-sensitive cytoplasmic and nuclear staining ⁴⁹. Upon treatment of cells with G4-stabilizing ligands, the intensity of the G4 signal increased in accordance with the presence of RNA G4s in cells ⁴⁹. While immunobased approaches are useful, they have significant limitations, including fixation and permeabilization, allowing for the possibility that G4 folding could occur during these steps. Additionally, G4-stabilizing ligands and antibodies may shift the balance from the naturally existing RNA populations of mixed forms toward otherwise unstable G4-enriched forms. Moreover, the specificity of some antibodies (e.g. monoclonal antibody 1H6) towards G4 structures is questionable due to cross-reactivity to other sequence motifs ⁸⁵.

With the development of high-throughput RNA sequencing (RNA-seq) and chemical probing methods, experimental approaches to systematically map RNA G4s throughout the entire transcriptome have become available ^{79; 80}. These methods exploit reverse transcriptase stalling or stops (RTS) caused by the presence of strong secondary structures such as G4s. The Balasubramanian lab developed RNA G-quadruplex sequencing or rG4-seq which monitors RTS sites coupled with next generation sequencing in the presence of K⁺ cations or the G4-specific ligand PDS and K⁺ (K⁺/PDS) ⁸⁰. Parallel analysis was done in the presence of G4 destabilizing Li⁺ cations and serves as a control. By applying rG4-seq *in vitro* to profile RNA G4s in the polyadenylated (poly(A)) fraction of RNA (covering over 17,000 transcripts), it was determined that about 3,500 (distributed in approximately 2,500 genes) and 11,500 RTS sites (distributed in approximately 6,000 genes) in K⁺ and K⁺/PDS conditions can be assigned as RNA G4s, respectively. Selected transcript candidates were validated *in vitro* by chemical probing using 2'-hydroxyl acylation ⁷³. Importantly, as this transcriptome-wide analysis used purified cellular RNA, it does not truly convey the structural footprint of transcripts in living cells.

The Bartel lab developed a method that combines next generation sequencing, sensitivity to selected cations (K⁺, Na⁺ versus Li⁺) and chemical probing techniques ⁷⁹ including DMS modification (base modification) ^{86; 87} and 2'-hydroxyl acylation (ribose modification) ^{88; 89}. Poly(A) containing transcripts were analyzed *in vitro* and approximately

6,000–12,000 RTS sites were assigned as intramolecular RNA G4s. Most importantly, as chemical reagents used to probe secondary structures can penetrate living cells, similar analysis was also done *in vivo*. Unexpectedly, such analysis revealed that RNA G4s are overwhelmingly depleted in eukaryotic cells suggesting that machinery prevents G4 folding and/or actively monitors and unfolds existing G4s in eukaryotic cells. In support of this hypothesis, when model RNA G4s were overexpressed in eukaryotic cells, these G4s were also found unfolded. In contrast, when the same model RNA G4s were ectopically expressed in *Escherichia coli*, they were found in the G4 conformation. Further transcriptome analysis in three bacterial species revealed global depletion/absence of pG4s. Moreover, bacteria expressing model G4s demonstrated growth defects compared to wild type bacteria or strains expressing mutant variants of the same model G4s ⁷⁹. Together this study suggests that RNAs with the propensity to adopt a G4 conformation are largely absent in the bacterial transcriptome. In the eukaryotic genome, mRNAs with this propensity exist, but are actively disassembled or prevented from forming by an unidentified machinery.

While instrumental in our understanding of RNA G4s, transcriptome-wide studies also have technical limitations and can be misleading. For example, although high-throughput sequencing should generally be sensitive to detect RNAs that are expressed at extremely low levels, RNA-seq/chemical probing analyses require relatively high expression of transcripts to detect G4s. Further, in a particular mRNA, a G4 may only fold during a fraction of the mRNAs lifetime (e.g. during splicing or transport), yet still be biologically relevant. In these instances, the unfolded G4 mRNA will dilute the signal of the folded G4. Smaller G4-containing transcripts (such as microRNAs), which are shorter than the length of fragments selected for library construction and sequencing, would presumably be lost in such analysis. In addition, these methods were designed to preferentially detect intramolecular G4s thus missing potential intermolecular G4s. Both labs selected polyadenylated RNAs, missing other classes of RNAs. Taking into consideration that only ~2% of the human genome is protein coding ⁹⁰, we expect G4s to be within introns of pre-mRNAs as well as non-protein coding transcripts (both nuclear and cytoplasmic RNAs) and to have biological functions.

Despite limitations, these studies have revealed some important findings. First, they have proven the existence of RNA G4s *in vitro*. Second, in both studies, a large fraction of identified G4s do not follow the predicted motif (Figure 1D) and therefore were missed by *in silico* discovery approaches. The identified RNA G4s demonstrate diverse variations in their structures especially in the loop regions, greatly expanding the possible RNA G4 structures. Analysis of the regions surrounding a RNA G4 suggest that flanking regions such as the presence of proximal C-rich tracks may compete with G4 folding consistent with previous *in vitro* analysis ^{56; 91}. Third, in accordance with bioinformatics predictions, pG4s are enriched within UTRs relative to the ORF regions. This may suggest important regulatory roles for G4s in UTRs and possible selection against their placement in ORFs where they can interfere with mRNA translation. Fourth, although only poly(A) transcripts were analyzed in these studies, the similar approaches could be used for non-poly(A) fraction of cellular RNAs.

Most importantly, it was recognized that in eukaryotic cells RNA G4s are globally unfolded *in vivo*, despite their ability to readily assemble *in vitro*. This is presumably due to cellular

machinery that actively monitors and unwinds G4s ⁷⁹. The identity of the RNA G4-bound proteome remains elusive; although many RNA-binding proteins (RBPs) bind G-rich RNA sequences (see below, and Table 1) and possibly function to prevent G4 folding. A recent paper by Benhalevy *et al.* suggests that CNBP/ZNF9 is one of such proteins that binds G-rich elements in mRNAs, most of which form G4s *in vitro* ⁹². Functionally, CNBP increases target mRNA translation efficiency by resolving G4s and other stable structures on mRNAs. It is also tempting to speculate that other RBPs (such as heterogeneous nuclear ribonucleoproteins (hnRNPs)) may keep G4 unwound by binding single-stranded G-rich sequences co-transcriptionally in the nucleus, prior to the export of mRNA to the cytoplasm.

RNA G-quadruplex binding proteins

In general, many proteins have affinities for G4s, whether these proteins recognize G4 structural determinants rather than G-rich sequences remains largely uncharacterized and requires further experimentation. Additionally, many of these proteins have been characterized *in vitro* with little to no confirmation that these interactions occur in cells (Table 1). Yet an *in vivo* interaction between a RNA G4 and a binding protein is dependent on RNA folding into G4s in cells, and since there is currently no consensus whether, and to what extent, RNA G4s fold in cells this question remains largely unanswered. Thus, as the field progresses we expect to identify two groups of proteins -(1) those that bind and prevent RNA G4s from forming and (2) those that bind and stabilize RNA G4s. Additionally, we expect some degree of specificity between G4s and binding proteins as loops and surrounding sequences will impact binding. We expect that G4 structures can serve as topological marks that act as specific binding sites for regulatory or structural proteins (Figure 2A). G4s as extremely stable structures can act as barriers or kinetic traps for movement of proteins or protein machines (e.g. ribosomes) along RNA (Figure 2B). Finally, G4s can also disrupt adjacent or overlapping alternative secondary structures or sequence determinants thus serving to inhibit binding of other proteins (Figure 2C). Whatever their roles, G4s must either be folded or unfolded, and both processes likely require assistance of proteins, especially in cells (Figure 2D).

Moving forward it is important to employ stringent criteria to define G4 verses G-rich interactions. Transcriptome-wide approaches such as PAR-CLIP that identify the binding sites of cellular RBPs with nucleotide resolution can be used to identify *bona fide* targets of candidate G4-binding factors. Biochemical approaches using 7-deazaguanine-subsituted RNAs to compare with native RNAs can be used as a control for G4 binding specificity. Finally, with a few exceptions, structural information on the protein-RNA G4 interaction is lacking; such studies are required for in depth insight into the biological relevance of the interaction.

Proposed functions of RNA G-quadruplexes

Putative RNA G4s are widely distributed in coding and non-coding regions of pre-mRNAs and mRNAs such as introns, 5'- and 3'-UTRs. Their enrichment in mRNA regions with regulatory functions (5'- and 3'-UTRs) hints that RNA G4s exist to regulate RNA metabolism. Below we discuss proposed biological functions of RNA G4s, and it should be

noted that many of these functions are based on *in vitro* studies and the usage of G4-specific ligands.

Role of G4s in mRNA transcription and processing

The initial finding that up to 50% of human genes may contain pG4s in their promoter regions suggested a role for G4s in regulating gene expression. After the first evidence that promoter-associated G4s in the oncogene *c*-MYC affect transcription *in vivo* ^{41; 43; 119}, genome-wide studies in yeast and human cells identified transcriptional changes in numerous pG4-containing genes upon treatment with the G4-specific ligand TMPyP4 ^{120; 121}.

During transcription, the newly synthesized RNA can base pair with the complimentary template DNA strand to form a RNA:DNA hybrid. Together with displaced single-stranded DNA, this RNA:DNA hybrid forms a structure known as an R-loop (Figure 3A). When sequences involved in R-loop formation contain two or more neighboring guanines, they can potentially fold into an intermolecular RNA:DNA G4 (Figure 3B). Formation of such hybrid G4 requires as few as two tandem G-tracks (guanine-rich sequences) on the nascent RNA transcript and a non-template DNA strand instead of four or more G-tracks required for formation of canonical intramolecular G4s (Figure 3B). Bioinformatic analysis identified that putative hybrid G4s are enriched within regions downstream of transcription start sites and are found in >97% of human genes, with an average of 73 putative hybrid G4s per gene ^{122; 123}. Formation of hybrid G4s was confirmed using T7 RNA polymerase *in vitro* transcription ^{123; 124; 125}, *in vitro* crosslinking, site-specific mutagenesis and transfection studies using luciferase reporters ¹²³. Results from these studies suggest that hybrid G4s inhibit transcription *in vitro* and represent *cis*–elements that are built into a gene and activated co-transcriptionally.

Hybrid G4s have also been implicated in transcription termination (Figure 3C). Several observations have highlighted pG4s as terminator sequences that cause RNA Polymerase II (Pol II) transcription to pause ^{126; 127}. R-loops formed behind elongating Pol II are especially prevalent over G-rich pause sites positioned downstream of poly(A) signals and are capable of G4 formation. This transcriptional termination mechanism relies on the helicase Senataxin (SETX) to resolve R-loops. SETX-mediated R-loop resolution allows the 5'-3' exonuclease Xrn2 access to the 3' cleavage poly(A) sites causing nascent RNA release, 3' cleavage product degradation and finally Pol II termination (Figure 3D). Upon SETX depletion, R-loops are stabilized downstream of poly(A) signals, preventing efficient pause-mediated termination ^{126; 127}.

Transcriptional termination is also coupled with 3'-end polyadenylation (Figure 4). Addition of the poly(A) tail requires recognition of a defined poly(A) signal and downstream signaling motifs on the pre-mRNA by cleavage/polyadenylation machinery (Figure 4A). These include the hexanucleotide AAUAAA signal upstream of a CA dinucleotide where 3'-end cleavage occurs and a G/U rich sequence predicted to fold into G4s ¹²⁸. In mammals, canonical polyadenylation involves binding of multisubunit complexes (CFI, CFII, CstF and CPSF) to these signals (Figure 4B, **Left**) ¹²⁹. In instances where a G4 forms at polyadenylation signals, such as *TP53* mRNA, G4s interact with the splicing/

polyadenylation factor hnRNP H/F to regulate polyadenylation (Figure 4B, **Right**) ^{130; 131}. Under optimal conditions, in the absence of DNA damage, mRNAs lacking G4s at poly(A) signals are efficiently processed whereas efficient 3'-end processing is inhibited for *TP53* mRNA presumably due to the G4 thereby decreasing its expression. In response to DNA damage/genotoxic stress, there is global repression of mRNA 3'-end formation rates which contributes to decreased mRNA synthesis. Mechanistically, the essential polyadenylation factor CstF is sequestered in a non-active protein complex composed of Pol II, PARN, BARD1 and BRCA1 ¹³² (Figure 4C, **Left**). In parallel, 3'-end processing of *TP53* mRNA is up-regulated to increase expression of TP53 (also known as p53) ¹³³. This mechanism requires recognition of the G4 in the *TP53* pre-mRNA by hnRNP H/F causing efficient recruitment of CstF, 3'-end formation, and ultimately increased p53 expression under DNA damage (Figure 4C, **Right**). In turn, p53 regulates expression of downstream target genes encoding multiple stress-related and apoptosis-related proteins.

RNA G4s are implicated in splicing of pre-mRNAs (Figure 5). Genome-wide analysis of alternatively spliced transcripts found over 3 million pG4s that map to approximately 30,000 mammalian genes ^{134; 135}. Alternative splicing is mechanistically regulated by combinatory action of many RBPs with RNA elements that impact spliceosome assembly at neighboring splice sites, therefore G4s assembled in the vicinity of splice sites may directly impact binding of regulatory RBPs. This can be achieved by masking or steric overlap with regulatory elements, or recruitment of specific G4-binding proteins (Figure 2). RNA G4s are found associated with both exon splicing enhancers and intron splicing enhancers and silencers (Figure 5A–B). For example, two G4s are found within the FMRP-binding site (FBS) on its own pre-mRNA (FMR1), which give rise to different FMRP isoforms (major longer and minor shorter isoforms) (Figure 5B) 136 . The FBS was shown to be a potent exonic splicing enhancer in a minigene system and acts as a control element that regulates alternative splicing in response to intracellular levels of FMRP. Binding of the major FMRP isoform to FBS results in decreased synthesis of the major FMRP isoforms (carrying a complete exon 15) concomitant with an increase of minor isoforms. Mutations in the FBS that affect its ability to form a G4 (although maintaining a G-rich sequence) decrease FMRP binding, ablate exonic splicing enhancer activity and change the splicing pattern of FMR1 pre-mRNA ¹³⁶. While G4s in the FMR1 pre-mRNA act as exonic splicing enhancers, RNA G4s found in intron 6 of the human telomerase (hTERT) are proposed to serve as an intronic splicing silencer (Figure 5A). Targeting this structure with a G4-interacting agent (ligand 12459) stabilizes G4s and impairs hTERT splicing ¹³⁷. In TP53 pre-mRNA, a G4 located in intron 3 stimulates splicing of intron 2, working as an intronic splicing enhancer and leading to differential expression of transcripts encoding distinct p53 isoforms (Figure 5A). Sitedirected mutagenesis of residues involved in G4 formation decreased the excision of intron 2 (by $\sim 30\%$) in a reporter splicing assay ⁶⁶.

Although our understanding of how G4s impact pre-mRNA processing and maturation is limited, the above-discussed examples suggest that G4s may have roles both in global and transcript-specific regulation of mRNA synthesis.

Role of G4s in mRNA localization

Transport of mRNAs to subcellular compartments is a key post-transcriptional mechanism that allows localized protein synthesis. This mechanism is especially important in asymmetric cells such as neurons where transcribed mRNAs travel large distances to their sites of translation such as the tip of a growing axon or synapse (Figure 6). G4s belong to a list of emerging *cis*-elements participating in subcellular sorting and are most commonly found in 3'-UTRs (aka "zipcodes"). Analysis of known dendritic mRNAs identified G4s in approximately 30% of such mRNAs (11 out of 34 candidate transcripts). Using RNA structure probing and mutagenesis, Subramanian et al. showed that G4s are present in the 3'-UTRs of two mRNAs encoding important postsynaptic proteins PDS-95 (post-synaptic density protein 95: contains three G4s) and CaMKIIa (Ca²⁺/calmodulin-dependent protein kinase II; contains one G4) ¹³⁸. Transfection of neurons with mRNA reporters containing these 3'-UTRs was sufficient for localization to neuronal processes while mutating the predicted G4 region in these reporters failed to localize. This supports the idea that G4s are neurite-targeting elements that contribute to the transport of CaMKIIa and PDS-95 mRNAs in neurites in vivo 138. Following export from the nucleus (Figure 6A), these mRNAs may bind accessory proteins as previously discussed. It was hypothesized that these G4 binding proteins may link mRNAs to molecular motors such as kinesins (e.g. KIF5, kinesin heavy chain isoform 5) (Figure 6B). A proteomic screen identified a number of RBPs (including selected hnRNPs (e.g. hnRNP U), FUS/TLS and FMRP that binds G4 structures) as KIF5binding partners ¹³⁹. Bound to kinesins, G4-associated mRNPs may be transported along neuronal microtubules to neurites for local mRNA translation (Figure 6C).

Role of G4s in mRNA translation

mRNA translation is a complex process that involves coordination between dozens of translation factors and the ribosome to produce proteins. Translation initiation is the ratelimiting and most regulated step of protein synthesis. It involves 5'-cap recognition by the eIF4F complex that consists of the cap-binding protein eIF4E, the scaffolding protein eIF4G and an RNA helicase eIF4A. Cap-bound eIF4F then associates with the multi-subunit eIF3 translation initiation complex and the 40S ribosomal subunit to form the pre-initiation complex (43S). The eIF2–GTP–tRNA_i^{Met} ternary complex binds the 43S to scan through the 5'-UTR to identify the initiator AUG codon. Upon start codon recognition, the 60S ribosomal subunit joins the 40S to form translationally competent 80S ribosomes that proceed to the elongation step of translation.

The 5'-UTR is the key element for translation initiation and translational control. G4s are overrepresented in the 5'-UTRs of mRNAs implying important regulatory functions. As other thermodynamically stable RNA structures (such as hairpins) are known to compromise translation initiation ¹⁴⁰, it is intuitive that extremely stable G4s would also inhibit translation. Indeed, when various naturally occurring G4s (e.g. found in *FMR1, Zic-1, NRas, MT3-MMP, Bcl-2, TRF2, ESR1* mRNAs) are placed in the 5'-UTRs of mRNA reporters, potent inhibition of translation is observed both *in vitro* and *in vivo* (reviewed in details in ¹⁴¹) (Figure 7A). The efficiency of translation complexes but may also strongly depends on the G4 location relative to the cap structure and/or initiation AUG codon. In two reports,

G4s located closer to these elements caused more robust translation inhibition ^{69; 142}. Whether this is universal for all G4s is unknown but there is a clear positive correlation between G4 stability and translation inhibition *in vitro* ¹⁴³. Moreover, different G4s and elements surrounding G4s may also influence translation, presumably by recruitment of different RNPs and/or by adapting alternative secondary structures. This also emphasizes the importance of studying G4s in their natural mRNA context to truly understand their biological consequences.

In addition to inhibiting translation, selected 5'-UTR-derived G4s have been shown to stimulate translation (Figure 7A). Two studies demonstrated that GC-rich regions within 5'-UTRs of FGF2 (fibroblast growth factor 2) and VEGF (vascular endothelial growth factor) mRNAs contain structures that stimulate translation ^{144; 145}. Chemical and enzymatic footprinting using *in vitro* transcribed RNAs showed that these regions contain G4s. Deletion analysis using plasmid reporters coupled with transfection studies confirmed that translation stimulation required G4 sequences. Based on further site-directed mutagenesis, the authors concluded that these G4s were part of IRESes (Internal Ribosome Entry Site), structures that initiate protein synthesis by a non-canonical 5'-cap-independent manner. While the presence of *bona fide* IRESes in viral genomes is well characterized, whether cellular IRESes exist is a matter of debate ¹⁴⁶. Under oxidative stress, the 5'-UTR of NRF2 mRNA (encoding the transcription factor NRF2, which regulates expression of antioxidant and detoxification genes) stimulates its translation in a G4-dependent manner ¹⁴⁷. Similarly, the G4 in the 5'-UTR of the SNCA (a-synuclein) mRNA enhances SNCA production under conditions when cap-dependent translation is attenuated ¹⁴⁸. The ability of specific RNA G4s to stimulate protein synthesis is not understood (Figure 7A).

Several reports suggest that RNA G4s within 3'-UTRs and ORFs also modulate translation (Figure 7A). In one study, several G4 motifs with loops greater than seven nucleotides located in 3'-UTRs both stimulated or inhibited translation without affecting mRNA transcription ¹⁴⁹. Canonical G4s in the 3'-UTRs of *PIM1* (encoding oncogene PIM1) ¹⁵⁰ and APP (encoding Amyloid Precursor Protein)¹⁵¹ mRNAs negatively regulate translation yet have no effect on mRNA stability. The molecular mechanisms that underlie this inhibition are unknown but may rely on G4-specific protein(s) that act(s) as translational repressors. For example, FMRP binds to a G4 in the APP mRNA ORF to inhibit its translation¹⁵². The relationship/relative contribution of 3'-UTR- and ORF-located G4s in regulating APP translation is unclear. Similarly, G4s within the ORF of the virally encoded EBNA1 transcript (the Epstein-Barr virus-encoded nuclear antigen 1) may hinder translation elongation by either promoting ribosomal pausing or ribosomal dissociation ⁷⁰. ORFsituated G4s are reported to act as roadblock for elongating ribosomes ^{153; 154}. RNA G4s also stimulate ribosomal frameshifting ¹⁵⁵, the recoding event when ribosomes are forced to move backward or forward leading to alternative ORF on the same mRNA ¹⁵⁶. In contrast, poorly studied RGG domain-containing RBP Aven recognizes ORF-situated G4s in its mRNA targets to stimulate translation¹⁵⁷. Aven cooperates with DHX36, a helicase that is required for the optimal translation of Aven-regulated mRNAs and is known to unwind RNA G4s in cells ¹¹⁰. It is tempting to speculate that DHX36 stimulates translation of Aven mRNA targets through its G4 resolvase activities, removing roadblocks for elongating ribosomes ¹⁵⁷ (Figure 7A).

Finally, RNA G4s may be directly involved in RAN translation, a non-canonical and poorly understood translation mechanism observed in some repeat expansion disorders. In this mechanism, expansion of short repetitive sequences (3–6 nucleotides) can provoke expression of proteins in all three reading frames without an initiating AUG codon ¹⁵⁸. RAN translation is reported in amyotrophic lateral sclerosis (ALS)/frontotemporal dementia (FTD) patients with intronic expansions of the hexameric repeats (GGGGCC)_n in the *C9ORF72* gene. RAN translation of these intronic repeats produces di-peptide repeat proteins in all three frames *in vitro* and these same proteins have been detected in tissues and cells of ALS/FTD patients ¹⁵⁹; ¹⁶⁰. RNA (GGGGCC)_n repeats are capable of forming G4s ⁹⁴; ¹¹⁴; ¹⁶¹; ¹⁶² and bioactive small molecules targeting these repeats significantly inhibit RAN translation and the production of dipeptide repeat proteins ¹⁶². As different RNA G4s are able to interact with ribosomal proteins⁹³ and/or bind the 40S ribosomal subunit ¹⁶³, we propose that *C9ORF72* hexameric RNA G4 repeats may directly recruit translationally-competent 48S-like pre-initiation complexes to initiate RAN translation generating dipeptides. We are currently testing this hypothesis (Figure 7B).

Emerging roles of RNA G4s in biology of non-coding RNAs

Recent bioinformatics analysis of human ncRNAs found a significant number of pG4s (approximately 750 unique transcripts) ¹⁶⁴. A subset of these pG4s were analyzed biophysically and found to fold into G4s *in vitro* ¹⁶⁴. While long ncRNAs (lncRNAs) are implicated in different biological processes and found to be dysregulated in many pathological conditions ^{165; 166}, the biological significance of G4s in lncRNAs is still unclear. Recent work from Matsumura *et al.* demonstrated the biological relevance of a G4 in the lncRNA *GSEC* (G-quadruplex-forming sequence containing lncRNA) ¹⁶⁷. This cytoplasmic lncRNA is implicated in the development of colorectal cancer where it stimulates migration of colon cancer cells, and depletion of *GSEC* results in the reduction of cancer cell mobility. Immunoprecipitation and pull-down assays using *GSEC*RNA identified DHX36 as a binding partner. DHX36 recognizes a G4 in *GSEC*, and mutations of key guanines that affect G4 folding also reduce their interaction. Functionally, DHX36 inhibits mobility of colon cancer cells and *GSEC* antagonizes its effect by acting as a molecular decoy to suppress DHX36 function ¹⁶⁷.

MicroRNAs (miRs) are 20–22 nucleotide small ncRNAs with roles in RNA metabolism, cellular homeostasis, development, growth and differentiation. Briefly, mature miRs are formed as a consequence of tightly regulated processing events in both the nucleus and cytoplasm. First, RNA Pol II transcribes primary transcripts (pri-miRs) in the nucleus that are recognized by the endoribonuclease Drosha, which coverts pri-miRs into smaller precursor RNAs (pre-miRs) that assemble into a hairpin structure. Upon nuclear export by the nuclear factor Exportin-5, pre-miR hairpins are further processed by the ribonuclease Dicer into miR duplexes. One of the duplex strands as a mature miR is effectively loaded into the RISC complex (RNA-induced silencing complex) where, in the complex with the protein Argonaute (Ago), regulates mRNA stability and translation. About 130 miR genes (~ 5% of all miR genes) are predicted to contain G4s in their promoter regions ¹⁶⁸ and some G4 are found within miR transcripts ^{169; 170}. Since Dicer effectively recognizes canonical hairpin structures in pre-miRs, alternative structures such as G4s in pre-miRs should inhibit

their maturation into miRs. Indeed, two studies have suggested that this is the case. In the first study, pre-miR-92b was shown to exist in two conformations ¹⁶⁹. Using an *in vitro* Dicer-mediated cleavage assay, pre-miR-92b was processed into mature miR-92b from a canonical hairpin structure under conditions that disfavor G4 formation (e.g. presence of Li⁺ ions). In contrast, conditions that favor G4 formation significantly inhibited pre-miR-92b processing *in vitro*. In another study, authors compared processing of the naturally occurring (pre-let-7e) and artificial synthetic (quad-pre-miR-27a) pre-miRs containing G4 structures into mature miRs ¹⁷⁰. In agreement with the previous work, G4s inhibit Dicer-mediated conversion of pre-miRs into mature forms. Both studies also demonstrated that pre-miRs can exist as G4s in living cells ^{169; 170}, and treatment of cells with a G4-destabilizing ligand promotes production of mature miRs *in vivo* (up to 4-fold) ¹⁷⁰. These studies have uncovered biologically relevant RNA G4s that regulate the biogenesis and functions of miRs.

PERSPECTIVES, KEY QUESTIONS AND CHALLENGES

We have only begun to understand the biological significance and molecular mechanisms that underlie RNA G4 structures. Recent transcriptome-wide studies have demonstrated that RNA G4s are mostly unfolded in vivo. While this is generally unexpected in the light of extensive in vitro data, this may also suggest that RNA G4s can exist under certain conditions such as during specific stages of the the cell cycle, under specific stress stimuli (TP53 and DNA damage), disease conditions (C9ORF72 in ALS/FTD) or in a cell typespecific manner (neurite-specific G4-containing transcripts in neurons). Moreover, the intriguing finding that RNA G4s are disassembled in living cells suggest important and active roles of G4-binding proteins in RNA G4 biology. It re-emphasizes the importance of identifying and structure-function analysis of bona fide G4-interacting proteins. One intriguing aspect of RNA G4/G4-binding protein interaction is their potential functional convergence in many pathological conditions such as cancer and neurodegenerative diseases. For example, amplification of G4 RNA repeats in ALS/FTD (C90RF72 gene) and Fragile × syndrome (FMR1 gene) is evident. In these same diseases, changes in expression levels or mutations can be found in the G4-binding proteins such as FUS/TLS, hnRNP A1/A2 and FMRP. This may indicate that G4-binding RBPs are uniquely important for normal neuron physiology; when mutated or in cells with RNA G4 expansions these proteins cannot exert their normal functions.

As evidence based on *in vitro* studies supports the involvement of G4s in versatile biological functions, understanding the molecular mechanisms of RNA G4-mediated regulation *in vivo* requires special attention. In contrast to DNA G4s that affect nuclear events and act as roadblocks for efficient transcription or inhibit telomere extension, RNA G4s may act in both the nucleus and the cytoplasm, exerting a wide range of affects on RNA metabolism. Thus, *in vivo* structural and functional studies of RNA G4s need to be developed. Recently, an approach called "in cell-NMR" was developed to study proteins and nucleic acids in their native physiological environment ^{171; 172}. This approach was used to study G4s of radiolabeled oligonucleotides injected into *Xenopus laevis* oocytes ¹⁷³. Another promising approach that we are currently developing in our lab is CRISPR/CAS9 genome editing to directly manipulate G4s in their natural genetic context, e.g. to introduce site-specific

mutations that disrupt G4 formation and/or addition of epitopes for detection of G4regulated products. Such an approach has an advantage over the widely used reporter assays because it does not introduce additional perturbations in living cells such as high expression of candidate RNAs or expression in unnatural genomic context (such as plasmids).

The unique chemical properties of RNA G4s suggest it is possible to develop small molecules that select RNA rather than DNA G4s¹⁷⁴. This is exemplified by the recent discovery of a small molecule, carboxypyridostatin, that exhibits high molecular specificity for RNA over DNA G4s¹⁷⁵. Taking into consideration a growing number of diseases that implicate RNA G4s in their pathogenesis, this area is of great therapeutic importance and potential. Selective intervention targeting only RNA G4s may be a strategically important therapeutic approach for specific diseases. As thousands of DNA G4 ligands are reported, the same molecules can be screened for their interactions with RNA G4s to allow rational design of selective RNA G4 ligands. Similarly, therapeutic approaches developed to manipulate activities of *bona fide* G4-binding proteins will be promising.

From our perspective, RNA G4s are particularly interesting structures for future biophysical, chemical and functional investigations. RNA G4 biology will continue to develop as an exciting branch of experimental biology with unique perspectives in molecular medicine, and represents an attractive area for future research.

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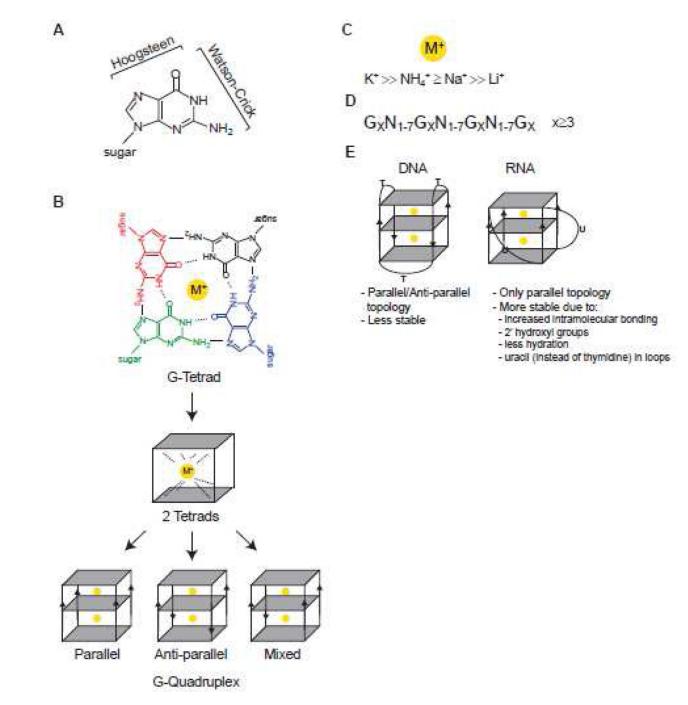


Figure 1. G-quartets and G-quadruplexes

A. Guanine atoms participating in Watson-Crick and Hoogsteen base pairing interactions. **B**. *Upper*: The structure of G-quartet (G-tetrad) showing four-fold symmetrical arrangement of coplanar guanines. Hydrogen bonds between each pair of guanines involves four donor/ acceptor atoms (the N1, N7, N2 and O6 atoms). Overall arrangement has a total of eight hydrogen bonds (four N2-H...N7 and four N1-H...O6 bonds). Four carbonyl oxygen (O6) atoms form a negatively charged core in the center of G-quartet that favors binding of monovalent cations (M⁺). The sugar moieties are removed for clarity. *Middle*: 2 tetrads

coordinated by a monovalent cation are shown. *Lower*: G-quadruplex structures with different topologies are shown. Note that G4 can be inter- and intra-molecular. C. Preferential binding of monovalent cations to G4s. D. Simplified prediction algorithm used to identify potential G4 motif (G_X - N_{1-7} - G_X - N_{1-7} - G_X N_{1-7} - G_X , where *x* is 3–6 nucleotides and *N* corresponds to any nucleotide (A, G, T, C or U)). E. Summary of the main differences between DNA and RNA G4s.

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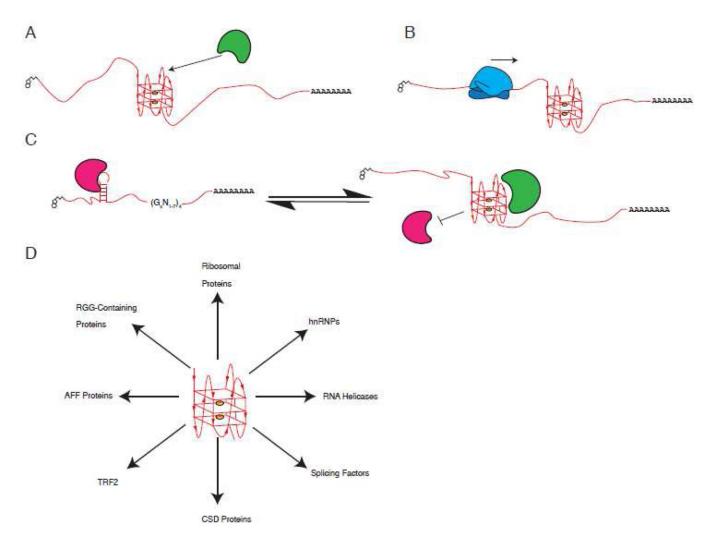


Figure 2. Possible mechanisms of action underlying RNA G4 functions

A. G4s act as specific binding sites for regulatory or structural proteins. **B**. G4s act as barriers or kinetic traps for movement of proteins or protein machines along RNA. **C**. G4s regulate the formation of alternative secondary structures on RNA, recognized by different proteins. Arrows indicate possible bidirectional shift of equilibrium between G4 and hairpin conformation. **D**. Examples of proposed G4-specific binding proteins.

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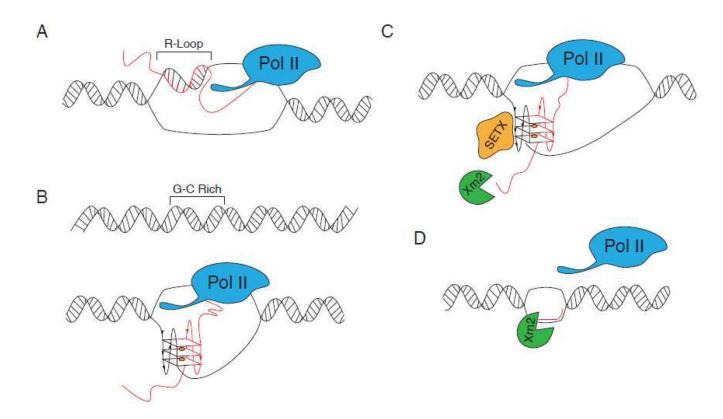
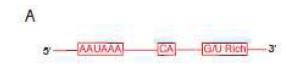


Figure 3. Proposed roles of RNA G4s in transcriptional regulation

A. R-loops, structures that contain an RNA-DNA hybrid and displaced single-stranded DNA, can form during transcription when RNA emerging from the transcription machinery hybridizes with the DNA template (RNA transcript shown in red). **B**. As few as two tandem G-tracks (guanine-rich sequences) on a non-template DNA strand are capable of forming hybrid G4 with guanine-rich transcript. **C–D**. G4s can act as terminator sequences to cause Pol II transcription to pause. Hybrid DNA/RNA G4s are very stable and require assistance of specialized enzymes to unwind G4s and assist with transcription termination. In mammals, helicase senataxin (SETX) cooperates with exoribonuclease Xrn2 to resolve hybrid G4s, promote degradation of 3'-end RNA cleavage product (red) and release of Pol II from DNA.

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Efficient canonical 3' end processing under optimal conditions Inhibited TP53 3' end processing under optimal conditions

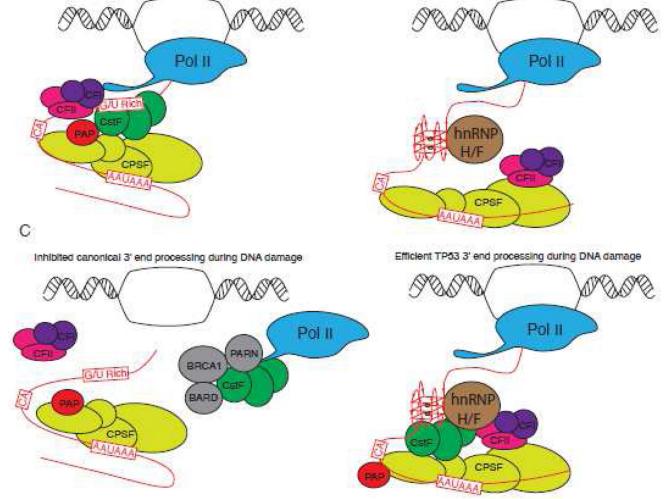


Figure 4. Proposed roles of RNA G4s in 3'-end mRNA processing

A. Three primary sequence elements that define the polyadenylation site. These pre-mRNA *cis*-elements include the hexamer AAUAAA polyadenylation signal, CA cleavage site and G/U-rich downstream element. **B**. Under optimal conditions, multi-subunit cleavage/ polyadenylation machinery (CFI/CFII/CstF/CPSF) assembles on these *cis*-elements to promote efficient 3'-end processing and global transcription (left panel). 3'-end processing of specific stress-responsive mRNAs, such as encoding DNA damage factor p53, is however inhibited. The downstream G/U-rich element of *TP53* pre-mRNA assembles a G4 that is recognized by hnRNP H/F. Binding of hnRNP H/F interferes with efficient recruitment of cleavage/polyadenylation machinery and inhibits 3'-end processing of *TP53* pre-mRNA (right panel). **C**. Under DNA damage, specific factors sequester the essential

polyadenylation factor CSTF into an inactive complex thus inhibiting 3'-end processing (left panel). In contrast, hnRNP H/F bound to G4 of *TP53* pre-mRNA associates with CstF thus protecting it from sequestration and promoting TP53 3'-end processing and expression of p53 (right panel).

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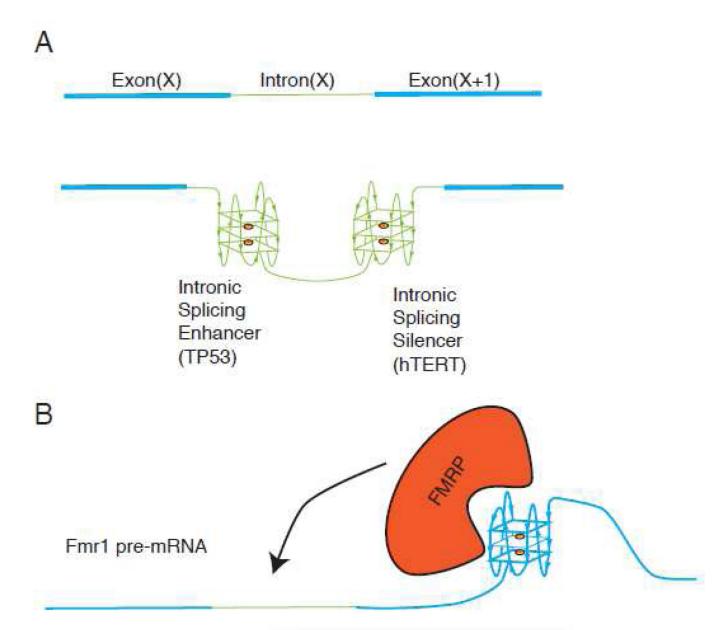


Figure 5. Proposed roles of RNA G4s in splicing regulation

A. Exonic and intronic regions of a putative pre-mRNA are shown as blue (Exon (X) and Exon (X+1)) or green (Intron X), respectively. Intronic G4s can either enhance or silence intron splicing thus acting as intronic splicing enhancer (such as in intron of *TP53* pre-mRNA) or intronic splicing silencer (such as in intron of *hTERT* pre-mRNA). **B**. Exonlocated G4s can act as exonic splicing enhancers. FMRP recognizes G4s in its own pre-mRNA (*FMR1*) to regulate its splicing pattern and production of protein isoforms.

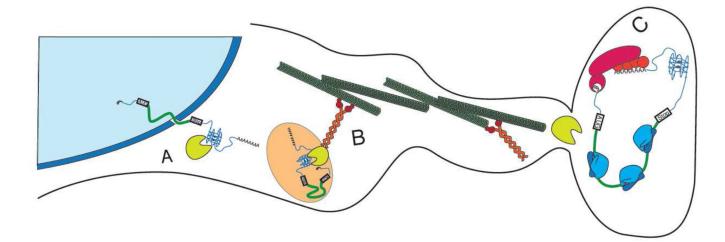


Figure 6. Proposed roles of RNA G4s in mRNA localization

A. In specialized cells such as neurons, processed G4-containing mRNAs are exported from the nucleus to the cytoplasm where specific RBPs (e.g. hnRNP U, FUS/TLS and FMRP) recognize and bind to their G4 structures. **B**. G4-bound RBPs assemble into large mRNPs (such as neuronal granules) that specialize in mRNA transport using molecular motors such as microtubule-associated kinesins. **C**. Upon arrival to the destination site (e.g. dendritic synapses), G4-containing mRNPs remodel allowing local translation.

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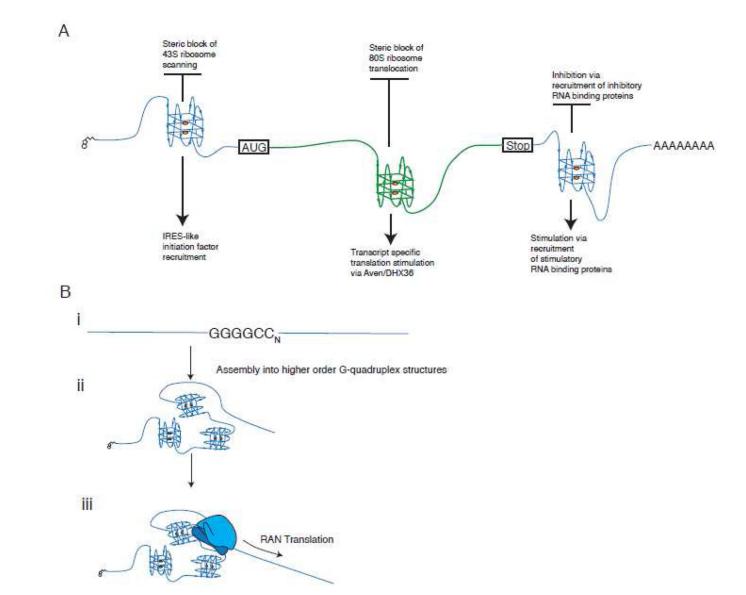


Figure 7. Proposed roles of RNA G4s in protein synthesis

A. Proposed roles of G4s in mRNA translation. G4s can be found in both non-coding (5'and 3'-UTRs) and coding (ORF) regions of mRNA where they can inhibit or stimulate translation. In 5'-UTRs, G4s are commonly found near mRNA 5'-cap structures, where they inhibit translation initiation by mechanisms that may involve interference with cap binding or inhibition of 43S pre-initiation complex scanning (e.g. in *NRas* mRNA). G4-mediated stimulation of translation is proposed in an IRES-like manner (e.g. in 5'-UTRs of *VEGF* or *FGF2* mRNAs), although strong experimental evidences are still lacking. In 3'-UTRs, G4s can both inhibit and stimulate translation by recruitment of translational silencers or stimulators, the identity of which is still unknown. As in non-coding parts, G4s located in the ORF can both block (most likely acting as roadblocks for elongating ribosomes) or promote translation by recruitment of specific protein complexes (such as RBP Aven and RNA helicase DHX36). **B**. Hypothetical role of G4s in repeat-associated non-AUG (RAN) translation, an unconventional translation mechanism. In ALS patients, hexameric

 $r(GGGGCC)_n$ repeats located in intron of *C9ORF72* gene are amplified (i). These repeats are capable of forming higher order G4 structures that make them extremely stable (ii). Some r(GGGGCC)n transcripts undergo RAN translation via direct recruitment of translationally competent ribosomal complexes to produce di-peptide proteins (iii).

Table 1

Protein	Supporting Data	Functional implications
U2AF65	Pulldowns confirmed by SPR spectroscopy show that U2AF65 associates with <i>ARPC2</i> and <i>MMP16</i> RNA G4s, yet not to the mutated control ⁹³ .	Expected to affect splicing, yet no functional data to date.
SRSF1 (ASF/SF2)	Pulldowns confirmed by SPR spectroscopy show SRSF2 associates with <i>ARPC2</i> RNA G4, yet not to the mutated control and did not associate with <i>MMP16</i> RNA G4, suggesting specificity in G4 affinity ⁹³ ; binds GGGGCC repeats in gel shift assay and this is sensitive to disruption by TMPyP4 ⁹⁴ .	Expected to affect splicing, yet no functional data to date.
Nucleolin	Pulldown confirmed by SPR spectroscopy shows Nucleolin binds <i>APRC2</i> RNA G4 but not the mutated control ⁹³ , Nucleolin preferentially binds to GGGGCC RNA in G4 over the hairpin conformation ⁹⁵ .	Expected to affect mRNA stability. Indirect evidences.
FUS/TLS	Electrophoretic mobility shift assays (EMSAs) and Isothermal Titration Calorimetry show FUS/TLS binds telomeric DNA sequences and TERRA RNA via the RGG domain ⁹⁶ . Tyrosines in the FUS/TLS RGG domain recognize the 2'OH groups in the RNA backbone ⁹⁷ .	Regulate histone modifications at telomeres ⁹⁶ .
FMRP	FMRP binds RNA forming G-quartets in the presence of K ⁺ but not Li ⁺ , consensus sequence DWGGN ₀₋₂ DWGGN ₀₋₁ DWGGN ₀₋₁ DWGG ^{98; 99} . NMR of FMRP with a G-quartet forming RNA shows FMRP binds duplex - G-quadruplex junction with the RGG domain ¹⁰⁰ .	Expected to regulate mRNA stability and translation.
FMR2 (AFF2)	Filter binding assay using exonic splicing enhancer shows FMR2 binds G- quartets in the presence of K ⁺ and moderately Na ⁺ but not Li ⁺ , this interaction occurs through the C-terminus of FMR2 ^{101; 102} .	Alternative splicing by recognition of the exonic splicing enhancer ¹⁰¹ .
AFF3, AFF4	Filter binding assays were used to show that the C-terminal domains of AFF3/AFF4 bind the G-quartet forming exonic splicing enhancer in the presence K^+ but not Li ^{+ 102} .	Alternative splicing by recognition of the exonic splicing enhancer ¹⁰² .
TRF2	ELISAs with immobilized TRF2 bind G-quadruplex containing oligos including <i>TERRA</i> , <i>Bcl-2</i> , <i>NRas</i> ¹⁰³ .	Potential regulation of telomeric ends, although no strong evidence to date ¹⁰³ .
Lin28	Analysis of the top 50 RNAs bound by Lin28 indicates an enrichment in potentially forming G-quadruplex RNAs. EMSAs coupled with NMM fluorescence showed that Lin28 binds to and remodels target G-quadruplexes ¹⁰⁴ .	Remodels G-quartets, affects mRNA stability, affects miR metabolism ¹⁰⁴ .
YB1	Using pulldowns, YB1 was shown to use its cold shock domain (CSD) to bind G- quadruplex forming tRNA-derived, stress induced RNAs (tiRNAs) ¹¹⁸ . Pulldowns with the <i>ARPC2</i> G4 RNA and mutated versions, YB1 was shown to bind G-rich sequences ⁹³ .	Stress granule formation and translation inhibition initiated by tiRNAs ^{105; 106; 107; 108; 109}
RHAU (DHX36 or G4 resolvase)	RHAU unwinds various RNA G4s; EMSAs with a synthetic G4 and purified RHAU; RHAU-depleted cell lysates lack G4 unwinding activities ¹¹⁰ , associates and unwinds the G4 containing <i>TERC</i> RNA ¹¹¹ , requires both the helicase domains and the N-term regions for tight bindings to G4s ¹¹² .	RHAU interacts with telomerase holoenzyme, potential role in telomere biology ^{111; 113} .
DHX9 (RNA Helicase A, RHA)	EMSAs showing recombinant DHX9 unwinds G4 forming oligos ¹¹⁹ .	Expected to affect transcript stability. No available data.
eIF4A	eIF4A effects the translation of a reporter construct with G4 containing sequences and <i>hippuristanol</i> , a drug that inhibits eIF4A activity, increases translation of RNAs containing G4s. Ribosomal profiling revealed (CGG) ₄ , capable of forming G4, as the eIF4A consensus motif ⁷¹ .	Alters translation efficiency of mRNAs with G4 in the 5' UTR ⁷¹ .
hnRNP A1	hnRNP A1 binds GGGGCC repeats in EMSA assays and this interaction is disrupted by TMPyP4, which distorts G4 structures ^{94; 114} .	Expected to regulate mRNA splicing. No functional data available
hnRNP A2	EMSAs show that hnRNP A2 destabilizes CGG from folding into a G4 structure ¹¹⁵ . hnRNP A2 disrupts G4 forming sequences (FMR1 5' UTR) to promote the translation of a reporter ¹¹⁶ .	Promotes the translation of FMR by preventing G4 from forming ¹¹⁶ .
hnRNP A3	hnRNP A3 identified in pulldown experiments to bind GGGGCC although not under any conditions that test G4 specificity. hnRNPA3 localizes to GGGGCC foci that have since been identified as G4 containing ^{117; 118} .	Expected to regulate mRNA splicing. No functional data available

Protein	Supporting Data	Functional implications
hnRNP H	Pulldowns from nuclear extract shown hnRNP H interacts with GGGGCC RNA and this is dependent on G4 structure as indicated by 7-deaza derivative variants, and G4 stabilization by porphyrins and K ⁺ ions. Also colocalizes with BG4 (G4-specific antibody) in patient samples with many GGGGCC repeats ¹¹⁷ .	Sequestration of hnRNP H to GGGGCC-G4 foci causes alterations in splicing in patients with ALS where GGGGCC repeats are increased ¹¹⁷ .
CNBP/ZNF9	PAR-CLIP indicated the CNBP consensus binding sites can also fold into G4s and filter binding assays show that CNBP interacts with G-rich sequences <i>in vitro</i> . CNBP promotes the translation of mRNAs with G-rich sequences by preventing G4 formation as assessed by circular dichroism, reporter assays, and ribosome profiling ⁹² .	Promotes the translation of G4 forming by prevent G4 formation ⁹² .