

Submit a Manuscript: http://www.f6publishing.com

World J Gastroenterol 2017 September 14; 23(34): 6261-6272

DOI: 10.3748/wjg.v23.i34.6261

ISSN 1007-9327 (print) ISSN 2219-2840 (online)

ORIGINAL ARTICLE

#### **Retrospective Cohort Study**

# Systemic immune-inflammation index for predicting prognosis of colorectal cancer

Jian-Hui Chen, Er-Tao Zhai, Yu-Jie Yuan, Kai-Ming Wu, Jian-Bo Xu, Jian-Jun Peng, Chuang-Qi Chen, Yu-Long He, Shi-Rong Cai

Jian-Hui Chen, Er-Tao Zhai, Yu-Jie Yuan, Kai-Ming Wu, Jian-Bo Xu, Jian-Jun Peng, Chuang-Qi Chen, Yu-Long He, Shi-Rong Cai, Gastrointestinal Surgery Center, The First Affiliated Hospital, Sun Yat-sen University, Guangzhou 510080, Guangdong Province, China

Jian-Hui Chen, Er-Tao Zhai, Yu-Jie Yuan, Kai-Ming Wu, Jian-Bo Xu, Jian-Jun Peng, Chuang-Qi Chen, Yu-Long He, Shi-Rong Cai, Gastric Cancer Center, Sun Yat-sen University, Guangzhou 510080, Guangdong Province, China

Author contributions: Chen JH and Zhai ET contributed equally to this study, and both conceptualized and designed the study, analyzed and interpreted the data, drafted the manuscript, and critically revised the manuscript for important intellectual content; Yuan YJ, Wu KM, Xu JB, Peng JJ and He YL participated in data acquisition and statistical analysis; Chen CQ and Cai SR supervised the whole study and monitored the standard surgical operations; all the authors took part in the surgical treatment of colorectal cancer.

Supported by National Nature Science Foundation of China, No. 81672343 and No. 81372341; Guangdong Province Natural Science Fund of China, No. 2014A030310111; and Guangdong Science and Technology Plan Project of China, No. 2013B021800131and No. 201604020003.

Institutional review board statement: The present study was approved by the ethics committee of the First Affiliated Hospital of Sun Yat-sen University.

**Informed consent statement:** The requirement for informed consent was waived owing to the retrospective nature of this study.

**Conflict-of-interest statement:** The authors have no conflict of interest related to the manuscript.

Data sharing statement: The original anonymous dataset is available on request from the corresponding author at chenchqi@ mail.sysu.edu.cn.

Open-Access: This article is an open-access article which was

selected by an in-house editor and fully peer-reviewed by external reviewers. It is distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited and the use is non-commercial. See: http://creativecommons.org/ licenses/by-nc/4.0/

Manuscript source: Unsolicited manuscript

Correspondence to: Chuang-Qi Chen, MD, Gastrointestinal Surgery Center, The First Affiliated Hospital of Sun Yat-sen University, Guangzhou 510080, Guangdong Province, China. chenchqi@mail.sysu.edu.cn Telephone: +86-87-755766-6211 Fax: +86-87-755766-6211

Received: March 25, 2017 Peer-review started: March 29, 2017 First decision: March 16, 2017 Revised: June 3, 2017 Accepted: July 4, 2017 Article in press: July 4, 2017 Published online: September 14, 2017

#### Abstract

#### AIM

To investigate the clinical significance of preoperative systemic immune-inflammation index (SII) in patients with colorectal cancer (CRC).

#### **METHODS**

A retrospective analysis of 1383 cases with CRC was performed following radical surgery. SII was calculated with the formula SII =  $(P \times N)/L$ , where P, N, and L refer to peripheral platelet, neutrophil, and lymphocyte counts, respectively. The clinicopathological features



and follow-up data were evaluated to compare SII with other systemic inflammation-based prognostic indices such as the neutrophil-lymphocyte ratio (NLR) and platelet-lymphocyte ratio (PLR) in patients with CRC.

#### RESULTS

The optimal cut-off point for SII was defined as 340. The overall survival (OS) and disease-free survival (DFS) were better in patients with low NLR, PLR, and SII (P < 0.05). The SII was an independent predictor of OS and DFS in multivariate analysis. The area under the receiver-operating characteristics (ROC) curve for SII (0.707) was larger than those for NLR (0.602) and PLR (0.566). In contrast to NLR and PLR, SII could effectively discriminate between the TNM subgroups.

#### **CONCLUSION**

SII is a more powerful tool for predicting survival outcome in patients with CRC. It might assist the identification of high-risk patients among patients with the same TNM stage.

**Key words:** Colorectal cancer; Systemic immune-inflammation index; Neutrophil-lymphocyte ratio; Plateletlymphocyte ratio; Prognosis

© **The Author(s) 2017.** Published by Baishideng Publishing Group Inc. All rights reserved.

**Core tip:** A preoperative systemic immune-inflammation index based on peripheral lymphocyte, neutrophil, and platelet counts was established, and better prognostic predictive abilities for overall survival and recurrence were found when compared with neutrophillymphocyte ratio and platelet-lymphocyte ratio in patients with colorectal cancer. This index might assist the identification of high-risk patients among patients with the same TNM stage in clinical practice.

Chen JH, Zhai ET, Yuan YJ, Wu KM, Xu JB, Peng JJ, Chen CQ, He YL, Cai SR. Systemic immune-inflammation index for predicting prognosis of colorectal cancer. *World J Gastroenterol* 2017; 23(34): 6261-6272 Available from: URL: http://www.wjgnet.com/1007-9327/full/v23/i34/6261.htm DOI: http://dx.doi.org/10.3748/wjg.v23.i34.6261

#### INTRODUCTION

Colorectal cancer (CRC), the third most frequently diagnosed cancer in men, and the second in women, is the third most common cause of cancer-related mortality worldwide<sup>[1]</sup>. The incidence of CRC in the United States has decreased owing to the improvements in cancer screening and the removal of precancerous adenomas<sup>[2]</sup>. However, an increase in the incidence of CRC was observed in many developing countries<sup>[3]</sup>. Owing to the absence of early symptoms and a hesitation in performing colonoscopy, a considerable

number of CRC patients are diagnosed at an advanced stage, with an unfavorable overall survival (OS)<sup>[4]</sup>. Currently, the TNM staging system for CRC is the most commonly used predictor of OS and recurrence. However, prognostic heterogeneity was observed among patients with the same TNM stage<sup>[5]</sup>, which causes confusion among clinicians when making therapeutic choices. Hence, more potential biomarkers should be included in clinical practice to improve prognostic prediction.

The interplay between systemic inflammation and the local immune response was recognized as the seventh hallmark of cancer, and it has been demonstrated to be involved in the initiation, development, and progression of several types of malignancies<sup>[6,7]</sup>. Cancerrelated inflammation encompasses tumor-derived and host-derived cytokines, immune cells, and small inflammatory protein mediators<sup>[8,9]</sup>, and is determined by the levels of serum leukocytes, neutrophils, lymphocytes, platelets, and acute-phase proteins such as C-reactive protein. Recently, the combinations of these systemic inflammation parameters, including neutrophil-lymphocyte ratio (NLR)<sup>[10]</sup> and plateletlymphocyte ratio (PLR)<sup>[11]</sup>, were reported as prognostic factors in some malignant solid tumors, including CRC. However, Hu et al<sup>[12]</sup> reported that systemic immuneinflammation index (SII), an integrated indicator based on peripheral lymphocyte, neutrophil, and platelet counts, was a powerful prognostic marker for patients with hepatocellular carcinoma. However, the SII for CRC has not been reported to date, and little is known about its prognostic value for CRC.

The aim of the present study was to investigate and compare the clinical significance and prognostic value of NLR, PLR, and SII in patients with CRC who underwent radical surgery.

#### MATERIALS AND METHODS

#### Ethics statement

The present study was approved by the ethics committee of the First Affiliated Hospital of Sun Yat-sen University. The requirement for informed consent was waived owing to the retrospective nature of this study.

#### Patients

We retrospectively analyzed the patients with primary CRC who underwent radical surgery at the Department of Gastrointestinal Surgery, the First Affiliated Hospital of Sun Yat-sen University between January 1994 and December 2010. 5-fluorouracil (5-FU)-based adjuvant chemotherapy was administered to stage III/IV patients and high-risk stage II patients. The inclusion criteria for patient enrollment were as follows: (1) primary colorectal adenocarcinoma confirmed by histopathology; (2) patients who underwent radical surgery; and (3) the availability of complete peripheral blood counts and follow-up data. The exclusion criteria were as follows: (1) clinical evidence of infection; (2) the presence of hematological system diseases; (3) previous treatment with neoadjuvant chemotherapy or radiochemotherapy; (4) bowel obstruction or enterobrosis resulting in emergency surgery; (5) concurrent cancers or CRC recurrence; and (6) the use of anti-inflammatory or immunosuppressive medicines. Finally, 1383 cases were enrolled in the present study.

#### Data collection

The following variables were analyzed: demographics (age and sex), clinicopathological features (tumor location, tumor size, histological type, and tumor stage), and treatment with chemotherapy. We defined cecum carcinoma, ascending colon cancer, and righthalf transverse colon as right-sided CRC, whereas the rest were classified as left-sided CRCs. The well and moderately differentiated adenocarcinomas were histologically categorized as the well-differentiated type, and the poorly differentiated adenocarcinomas included poorly differentiated adenocarcinoma, mucinous adenocarcinoma, signet ring cell cancer, and undifferentiated cancer. Tumor staging was performed according to the 7<sup>th</sup> edition of the Union for International Cancer Control-American Joint Committee on cancer classification for CRC.

Preoperative blood sampling was performed to measure the neutrophil, lymphocyte, and platelet levels for the calculation of the NLR, PLR, and SII indices. NLR and PLR were defined as the total number of neutrophils or platelets divided by the total number of lymphocytes. SII was calculated with the formula SII =  $(P \times N)/L$ , where P, N, and L refer to peripheral platelet, neutrophil, and lymphocyte counts, respectively.

#### Follow-up

Patients were followed every 3 mo in the first 2 years following surgery, every 6 mo in years 3-5, and annually thereafter. As previously described<sup>[13]</sup>, clinical history was taken, physical examination was performed, peripheral tumor biomarker levels were measured, and chest radiography, abdominal and pelvic computed tomography or ultrasonography, and colonoscopy were performed in the follow-up period according to the NCCN Clinical Practice Guidelines in Oncology. The OS and disease-free survival (DFS) were defined as the interval between surgery and time of death or the time from the last follow-up to the time of first confirmed recurrence, respectively.

#### Statistical analysis

Data was analyzed using the SPSS statistical software for Windows, version 17 (SPSS Inc., Chicago, United States). Receiver operating characteristic (ROC) curve analysis was performed to analyze the area under the ROC curve (AUC), and the Youden Index was used to identify the optimal cut-off values for NLR, PLR, and SII. Pearson  $\chi^2$  test or Fisher exact test were performed to compare the different categorical

variable groups. Survival analysis was performed using the Kaplan-Meier method and the Log-Rank test was used to compare the survival differences. Univariate and multivariate analyses were performed with the Cox proportional hazards regression model. A P value < 0.05 was considered statistically significant.

#### RESULTS

#### **ROC** analysis

Using cancer-specific death as the end point, ROC analysis was performed to identify the optimal cutoff point with the highest sensitivity and specificity, which was 2.7 for NLR, 210 for PLR, and 340 for SII (sensitivity and specificity: 0.414 and 0.750 for NLR, 0.425 and 0.708 for PLR, and 0.857 and 0.524 for SII, respectively). For each immune-inflammation index, patients were divided into two groups for further analysis [NLR  $\leq$  2.7 (low) and NLR > 2.7 (high); PLR  $\leq$  210 (low) and PLR > 210 (high); SII  $\leq$  340 (low) and SII > 340 (high)].

#### Baseline characteristics of patients

In total, 1383 cases were enrolled in the present study. Patients in the high NLR group were more elderly compared to the low NLR group (> 60 years old: 54.7% vs 46.8%, respectively); however, associations between age and the levels of PLR and SII were not identified. Moreover, there were significant sex distribution differences in the three groups. In addition, cases in the high NLR and PLR groups were more likely to have left-sided CRC; however, the tumor location did not differ significantly between the high and low SII groups. High levels of NLR, PLR, and SII correlated with poor histological differentiation, larger tumor size, advanced T stage, N stage, M stage, TNM stage, and chemotherapy. The associations of NLR, PLR, and SII with clinicopathological parameters are demonstrated in Table 1.

#### Prognostic value of NLR, PLR, and SII

In the present study, the 5-, 10-, 15-, and 20-year OS rates were 61.2%, 45.1%, 35.6%, and 28.1%, respectively. The patients in the high NLR, PLR, and SII groups showed poorer OS compared to patients in the low NLR, PLR, and SII groups, respectively (Figure 1A-C). In order to identify the prognostic parameters for OS, 13 variables were included in the univariate Cox regression analysis, which showed that the NLR, PLR, SII, age, histological type, tumor invasion, lymph node involvement, distant metastasis, TNM stage, and chemotherapy were the variables that had significant impact on OS. After the exclusion of variables that showed no impact on the OS in univariate analysis, Cox multivariate regression analysis was performed, which identified SII (95%CI: 2.616-3.824), PLR (95%CI: 1.123-1.492), age (95%CI: 1.355-1.798), distant metastasis (95%CI: 1.512-2.517), and TNM stage (95%CI: 1.191-1.518) as the independent



Table 1 Baseline patient characteristics based on neutrophil-lymphocyte ratio, platelet-lymphocyte ratio and systemic immune-inflammation index n (%)

	Cases	N	LR	<i>P</i> value	PI	LR	<i>P</i> value	S	T	<i>P</i> value
		≤ 2.7	> 2.7		≤ 210	> 210		≤ 340	> 340	
Age (yr)				0.005			0.904			0.063
≤ 60	698 (50.5)	480 (53.2)	218 (45.3)		437 (50.3)	261 (50.7)		233 (54.2)	465 (48.8)	
> 60	685 (49.5)	422 (46.8)	263 (54.7)		431 (49.7)	254 (49.7)		197 (45.8)	488 (51.2)	
Gender				0.067			0.422			0.927
Male	808 (58.4)	511 (56.7)	297 (61.7)		500 (57.6)	308 (59.8)		252 (58.6)	536 (58.3)	
Female	575 (41.6)	391 (43.3)	184 (38.3)		368 (42.4)	207 (40.2)		178 (41.4)	397 (41.7)	
Tumor location				< 0.001			0.008			0.060
Right-sided	324 (23.4)	185 (20.5)	139 (28.9)		183 (21.1)	141 (27.4)		87 (20.2)	237 (24.9)	
Left-sided	1059 (76.6)	717 (79.5)	342 (71.1)		685 (78.9)	374 (72.3)		343 (79.8)	716 (75.1)	
Histological type				< 0.001			0.020			< 0.001
Well-differentiated	1126 (81.4)	761 (84.4)	365 (75.9)		723 (83.3)	403 (78.3)		376 (87.4)	750 (78.7)	
Poorly differentiated	257 (18.6)	141 (15.6)	116 (24.1)		145 (16.7)	112 (21.7)		54 (12.6)	203 (21.3)	
Tumor size				< 0.001			< 0.001			0.003
$\leq 5 \text{ cm}$	936 (67.7)	660 (73.2)	276 (57.4)		617 (71.1)	319 (61.9)		315 (73.3)	621 (65.2)	
> 5 cm	447 (32.3)	242 (26.8)	205 (42.6)		251 (28.9)	196 (38.1)		115 (26.7)	332 (34.8)	
T stage				< 0.001			< 0.001			< 0.001
T1	67 (4.8)	45 (5.0)	22 (4.6)		54 (4.9)	13 (4.8)		38 (8.8)	29 (3.0)	
T2	256 (18.5)	197 (21.8)	59 (12.3)		170 (19.9)	86 (16.7)		104 (24.2)	152 (15.9)	
T3	748 (54.1)	507 (56.2)	241 (50.1)		475 (54.7)	273 (53.0)		232 (54.0)	516 (54.1)	
T4	312 (22.6)	153 (17.0)	159 (33.1)		169 (19.5)	143 (27.8)		56 (13.0)	256 (26.9)	
N stage				< 0.001			0.021			< 0.001
N0	487 (35.2)	353 (39.1)	134 (27.9)		325 (37.4)	162 (31.5)		196 (45.6)	291 (30.5)	
N1	416 (30.1)	277 (30.7)	139 (28.9)		261 (30.1)	155 (30.1)		121 (28.1)	295 (31.0)	
N2	308 (22.3)	188 (20.8)	120 (24.9)		190 (21.9)	118 (22.9)		77 (17.9)	231 (24.2)	
N3	172 (12.4)	84 (9.3)	88 (18.3)		92 (10.6)	80 (15.5)		36 (8.4)	136 (14.3)	
M stage				< 0.001			< 0.001			< 0.001
M0	1115 (80.6)	774 (85.8)	341 (70.9)		726 (83.6)	389 (75.5)		386 (89.8)	729 (76.5)	
M1	268 (19.4)	128 (14.2)	140 (29.1)		142 (16.4)	126 (24.5)		44 (10.2)	224 (23.5)	
TNM stage				< 0.001			0.001			< 0.001
Ι	187 (13.5)	141 (15.6)	46 (9.6)		133 (15.3)	54 (10.5)		92 (21.4)	95 (10.0)	
II	515 (37.2)	368 (40.8)	147 (30.6)		335 (38.6)	180 (35.0)		171 (39.8)	344 (36.1)	
III	413 (29.9)	265 (29.4)	148 (30.8)		258 (29.7)	155 (30.1)		123 (28.6)	290 (30.4)	
IV	268 (19.4)	128 (14.2)	140 (29.1)		142 (16.4)	126 (24.5)		44 (10.2)	224 (23.5)	
Chemotherapy				< 0.001			< 0.001			< 0.001
No	684 (49.5)	488 (54.1)	196 (40.7)		472 (54.4)	212 (41.2)		243 (56.5)	441 (46.3)	
Yes	699 (50.5)	414 (45.9)	285 (59.3)		396 (45.6)	303 (58.8)		187 (43.5)	512 (53.7)	

NLR: Neutrophil-lymphocyte ratio; PLR: Platelet-lymphocyte ratio; SII: Systemic immune-inflammation index.

#### prognostic factors of OS (Table 2).

Similarly, the 5-, 10-, 15-, and 20-year DFS rates were 56.5%, 39.1%, 31.3%, and 26.5%, respectively. The DFS rates were lower in patients with high NLR, PLR, and SII compared to those of the patients with low NLR, PLR, and SII, respectively (Figure 1D-F). The DFS data for the three patient groups are demonstrated in Table 3. Univariate Cox proportional hazards regression analysis revealed that age, histological type, tumor invasion, lymph node involvement, distant metastasis, TNM stage, chemotherapy, NLR, PLR, and SII had statistically significant associations with DFS. In addition, multivariate analysis indicated that SII was a significant independent prognostic parameter for DFS, whereas PLR and NLR were not (Table 3).

The AUCs of the NLR, PLR, and SII for OS were 0.602, 0.566, and 0.707, respectively (Figure 2A) and the AUCs for DFS were 0.597, 0.558, and 0.701, respectively (Figure 2B). Hence, among the immune-inflammation indices analyzed in the present study, SII was the best predictor of long-term survival and

recurrence in cases with CRC.

## Prognostic value of NLR, PLR, and SII stratified according to TNM stage

Further subgroup analyses were performed to investigate the prognostic value of S II , NLR, and PLR in patients with CRC who were stratified according to the TNM stage. The results of the analyses showed that only S II was able to distinguish the OS and DFS for each TNM stage (Figure 3A-F). On the other hand, NLR could identify the survival differences between TNM stages II-IV, while PLR could only detect the prognostic differences of stage II-III cancers (Figure 4A-F for NLR and Figure 5A-F for PLR). Hence, the results indicated that only SII had prognostic significance for the CRC cases stratified according to TNM stage.

#### DISCUSSION

In the present study, we established an immuneinflammation-based prognostic index (SII) based on





Figure 1 Kaplan-Meier curves of overall survival and disease-free survival of colorectal cancer patients based on neutrophil-lymphocyte ratio (A and D), platelet-lymphocyte ratio (B and E), and systemic immune-inflammation index (C and F).

peripheral neutrophil, platelet, and lymphocyte counts and demonstrated that elevated SII was correlated with poor OS and recurrence in patients with CRC. In addition, SII was a superior prognostic factor for survival outcome compared to NLR and PLR.

It was recognized that inflammatory-based indices were associated with poor tumor behavior and survival outcome in various malignant solid tumors, including CRC. Several combinations such as NLR<sup>[14]</sup>, PLR<sup>[15]</sup>,

prognostic nutritional index<sup>[16]</sup>, Glasgow Prognostic score<sup>[17]</sup>, and lymphocyte monocyte ratio (LMR)<sup>[18]</sup> showed positive correlations between elevated inflammation-based factors and poor survival outcome in patients with CRC. To our knowledge, this was the first report investigating the prognostic value of SII in patients with CRC after radical surgery. Using an integrated index based on peripheral neutrophil, platelet, and lymphocyte counts, Hu *et al*<sup>[12]</sup> found

#### Chen JH et al. SII for predicting CRC prognosis

Table 2 Univariate and multivariate Cox regression analysis of the associations between clinical parameters and overall survival in patients with colorectal cancer

		Univaria	ite analysis		Multivariate analysis					
	$\chi^2$ value	HR	95%CI	P value	$\chi^2$ value	HR	95%CI	P value		
Age	22.965	1.405	1.223-1.614	< 0.001	38.131	1.561	1.355-1.798	< 0.001		
Gender	0.017	-	-	0.896						
Tumor location	0.269	-	-	0.604						
Histological type	22.178	1.493	1.264-1.764	< 0.001						
Tumor size	1.166	-	-	0.280						
T stage	71.702	1.498	1.364-1.645	< 0.001						
N stage	113.905	1.432	1.340-1.529	< 0.001						
M stage	239.937	3.415	2.923-3.989	< 0.001	26.390	1.951	1.512-2.517	< 0.001		
TNM stage	207.252	1.785	1.650-1.932	< 0.001	22.971	1.345	1.191-1.518	< 0.001		
NLR status	40.824	1.582	1.374-1.821	< 0.001						
PLR status	23.604	1.416	1.231-1.649	< 0.001	12.618	1.294	1.123-1.492	< 0.001		
SII status	173.330	3.529	2.925-4.258	< 0.001	141.427	3.163	2.616-3.824	< 0.001		
Chemotherapy	76.931	1.894	1.642-2.184	< 0.001						

NLR: Neutrophil-lymphocyte ratio; PLR: Platelet-lymphocyte ratio.

## Table 3 Univariate and multivariate Cox regression analysis of the associations between clinical parameters and PFS in patients with colorectal cancer

		Univaria	ite analysis		Multivariate analysis				
	$\chi^2$ value	HR	95%CI	P value	$\chi^2$ value	HR	95%CI	P value	
Age	21.774	1.373	1.202-1.569	< 0.001	34.479	1.499	1.310-1.716	< 0.001	
Gender	0.144	-	-	0.705					
Tumor location	0.509	-	-	0.476					
Histological type	20.091	1.447	1.231-1.701	< 0.001					
Tumor size	1.095	-	-	0.295					
T stage	59.847	1.419	1.299-1.550	< 0.001					
N stage	86.718	1.354	1.270-1.443	< 0.001					
M stage	221.926	3.172	2.725-3.692	< 0.001	31.580	2.024	1.583-2.589	< 0.001	
TNM stage	179.589	1.673	1.552-1.804	< 0.001	15.414	1.255	1.121-1.406	< 0.001	
NLR status	36.441	1.518	1.325-1.738	< 0.001					
PLR status	20.826	1.369	1.196-1.567	< 0.001					
SII status	159.123	2.988	2.521-3.542	< 0.001	129.495	2.717	2.287-3.228	< 0.001	
Chemotherapy	61.159	1.716	1.499-1.965	< 0.001					

NLR: Neutrophil-lymphocyte ratio; PLR: Platelet-lymphocyte ratio.



Figure 2 Receiver operating curve analysis of overall survival (A) and disease-free survival (B). NLR: Neutrophil-lymphocyte ratio; PLR: Platelet-lymphocyte ratio; S II : Systemic immune-inflammation index.

that patients having elevated preoperative SII were usually diagnosed with thrombocytosis, neutropenia, or lymphopenia. They believed that a better understanding of the roles of neutrophils, platelets, and

lymphocytes in cancer development and progression would help clarify the association between S  $\rm II\,$  and its clinical impact. Neutrophils do not only alter the tumor microenvironment via the extrinsic pathway, but they

WJG | www.wjgnet.com



Figure 3 Kaplan-Meier curves of overall survival and disease-free survival of colorectal cancer patients based on different levels of systemic immuneinflammation index. A-D: OS for cases with stages I, II, III, and IV CRC, respectively; E and F: DFS for cases with stages I, II, III, and IV CRC, respectively. OS: Overall survival; DFS: Disease-free survival; CRC: Colorectal cancer.

WJG www.wjgnet.com



Figure 4 Kaplan-Meier curves of overall survival and disease-free survival of colorectal cancer patients based on different levels of neutrophil-lymphocyte ratio. A-D: OS for cases with stages I, II, III, and IV CRC, respectively; E and F: DFS for cases with stages I, II, III, and IV CRC, respectively. OS: Overall survival; DFS: Disease-free survival; CRC: Colorectal cancer; NLR: Neutrophil-lymphocyte ratio.

WJG www.wjgnet.com



Figure 5 Kaplan-Meier curves of overall survival and disease-free survival of colorectal cancer patients based on different levels of platelet-lymphocyte ratio. A-D: OS for cases with stages I, II, III, and IV CRC, respectively; E-F: DFS for cases with stages I, II, III, and IV CRC, respectively. OS: Overall survival; DFS: Disease-free survival; CRC: Colorectal cancer; PLR: Platelet-lymphocyte ratio.

WJG www.wjgnet.com

also secrete some inflammatory mediators to promote tumor cell proliferation, invasion, metastasis to lymph nodes or distant organs, and cellular senescence via the intrinsic pathway<sup>[19,20]</sup>. Accumulating experimental and clinical evidence showed that platelet activation could act as chemoattractants for cancer cells, induce the formation of optimized conditions for metastatic foci, promote the epithelial to mesenchymal transition in tumor cells, and increase the level of circulating tumor cells<sup>[21,22]</sup>. Lymphopenia was commonly accompanied by leukocytosis and thrombocytosis, which might help tumor cells to escape immune surveillance and prevent damage from the autoimmune response by cytotoxic T cells<sup>[23]</sup>. There was a good and a bad inflammatory reaction. In other words if the inflammation was based on the production of simply growth factors, the inflammatory reaction has a negative effect. But if the inflammatory reaction consists on neutralizing antibodies produced by activated lymph nodes, this reaction can have a positive effect. Thus, a high SII level reflected alterations in the cancer microenvironment that favor cancer initiation, progression, and metastasis.

The present study revealed interesting associations between inflammation-based indices and clinicopathological features. Consistent with the clinicopathological features associated with NLR and PLR, which are the most common indices, SII was also associated with poor histological differentiation, larger tumor size, more advanced T stage, N stage, M stage, and TNM stage, validating the above hypothesis that the elevated inflammatory response might promote tumor proliferation, progression, and metastasis.

As a simple, convenient, easily obtained, cheap, and non-invasive marker, SII was first described by Hu et al<sup>[12]</sup> in hepatocellular carcinoma. They concluded that preoperative SII might be related to circulating tumor cells and act as a powerful prognostic predictor in patients with hepatocellular carcinoma. Consistent with the results of previous studies, Yang et  $al^{[24]}$ also reported that elevated SII with a cut-off value of 300 was negatively associated with OS in HBVrelated hepatocellular carcinoma<sup>[25]</sup>. Moreover, SII was reported as a predictor of metastatic CRC in patients who received first-line chemotherapy with bevacizumab<sup>[26]</sup>. To our knowledge, the present study was the first to investigate the prognostic value of SII in CRC. Confirmed by the Kaplan-Meier analysis using the log-rank method, all the inflammation-based indices were significantly associated with OS and recurrence. However, SII was identified in Cox multivariate analysis to be a superior predictor of OS and DFS compared to other inflammation-based prognostic indices. The discriminative abilities of these three indices were further evaluated and compared; based on the AUC values obtained from ROC curves, SII was the most effective predictor of long-term survival outcome compared to NLR and PLR. The potential explanation of a better prognostic value might be that SII was more comprehensive in reflecting the status of inflammatory and immune response than the other factors.

Pathological TNM staging is presently the gold standard for predicting survival outcome and the treatment choice. However, because TNM staging was performed postoperatively, survival prediction before surgery and decision of further treatment strategies became difficult. Moreover, TNM stage can only reflect the biological behavior of the tumor. To our knowledge, prognosis was not only associated with the clinicopathological characteristics of the tumor, but also with the host inflammatory response<sup>[27]</sup>. SII is based on peripheral neutrophil, platelet, and lymphocyte counts, and it reflects the status of the tumor microenvironment and the preoperative host inflammatory response, serving as a complementary to the TNM stage for predicting OS. Our findings demonstrated that preoperative SII had powerful prognostic discriminative abilities in terms of each TNM subgroup compared to NLR and PLR. Therefore, using a combination of parameters that reflect both the tumor characteristics and the host systemic inflammatory status might be important for accurately predicting survival outcome in patients with CRC.

The present study had a few limitations. First, it was a retrospective, single-center study. Therefore, a large-scale prospective validation study is required to validate the results of the present study. Second, only the patients who received radical surgery were enrolled and thus, the results of the present study are not applicable in incurable patients or in those for whom the treatment was terminated because of various reasons.

In conclusion, this was the first study to demonstrate that preoperative SII is a simple and powerful prognostic indicator of OS and DFS in patients with CRC. SII might be used along with the TNM staging for individualized treatment in future clinical practice. A larger prospective study is warranted for the validation of the preliminary results obtained in the present study.

#### COMMENTS

#### Background

Recently, there were many papers describing mathematical formulas, such as neutrophil-lymphocyte ratio and platelet-lymphocyte ratio, which were considered important prognostic factors for colorectal cancer (CRC). In this article, the authors want to investigate the clinical value of systemic immune-inflammation index (SII), an integrated indicator based on peripheral lymphocyte, neutrophil, and platelet counts.

#### **Research frontiers**

The interplay between systemic inflammation and the local immune response was recognized as the seventh hallmark of cancer, and it has been demonstrated to be involved in the initiation, development, and progression of several types of malignancies. Inflammation can produce several cytokines and growth factors which can facilitate the occurrence and development of cancer. We established a systemic inflammation parameter, SII and found that SII had better prognostic predictive abilities for overall survival and recurrence of CRC in this study.



#### Innovations and breakthroughs

Preoperative SII based on peripheral lymphocyte, neutrophil, and platelet counts was established, and no study investigated the clinical value of SII in CRC before. We found that SII had better prognostic predicting abilities for overall survival and recurrence when compared with neutrophil-lymphocyte ratio and platelet-lymphocyte ratio in patients with CRC. It might assist the identification of high-risk patients among patients with the same TNM stage in clinical practice.

#### Applications

Patients with high SII showed aggressive tumor biological behavior, poor overall survival and early tumor recurrence. Hence, SII may give help to identify the high-risk patients among patients with the same TNM stage in clinical practice.

#### Terminology

SII is based on the peripheral lymphocyte, neutrophil, and platelet counts, and is calculated using the formula SII =  $(P \times N)/L$ , where P, N, and L refer to the preoperative peripheral platelet, neutrophil, and lymphocyte counts, respectively.

#### Peer-review

This is an interesting study. Obvious conclusions were drawn from a well-known phenomenon: Correlation between inflammation and cancer development and progression. I think the paper should be published to address an important point: To cure or prevent inflammation can prevent cancer formation and progression. The result may give help to clinical doctors.

#### REFERENCES

- Torre LA, Bray F, Siegel RL, Ferlay J, Lortet-Tieulent J, Jemal A. Global cancer statistics, 2012. *CA Cancer J Clin* 2015; 65: 87-108 [PMID: 25651787 DOI: 10.3322/caac.21262]
- 2 Edwards BK, Ward E, Kohler BA, Eheman C, Zauber AG, Anderson RN, Jemal A, Schymura MJ, Lansdorp-Vogelaar I, Seeff LC, van Ballegooijen M, Goede SL, Ries LA. Annual report to the nation on the status of cancer, 1975-2006, featuring colorectal cancer trends and impact of interventions (risk factors, screening, and treatment) to reduce future rates. *Cancer* 2010; 116: 544-573 [PMID: 19998273 DOI: 10.1002/cncr.24760]
- 3 Arnold M, Sierra MS, Laversanne M, Soerjomataram I, Jemal A, Bray F. Global patterns and trends in colorectal cancer incidence and mortality. *Gut* 2017; 66: 683-691 [PMID: 26818619 DOI: 10.1136/gutjnl-2015-310912]
- 4 **Brenner H**, Kloor M, Pox CP. Colorectal cancer. *Lancet* 2014; **383**: 1490-1502 [PMID: 24225001 DOI: 10.1016/S0140-6736(13)61649-9]
- 5 Fan XJ, Wan XB, Fu XH, Wu PH, Chen DK, Wang PN, Jiang L, Wang DH, Chen ZT, Huang Y, Wang JP, Wang L. Phosphorylated p38, a negative prognostic biomarker, complements TNM staging prognostication in colorectal cancer. *Tumour Biol* 2014; 35: 10487-10495 [PMID: 25056534 DOI: 10.1007/s13277-014-2320-3]
- 6 Diakos CI, Charles KA, McMillan DC, Clarke SJ. Cancer-related inflammation and treatment effectiveness. *Lancet Oncol* 2014; 15: e493-e503 [PMID: 25281468 DOI: 10.1016/S1470-2045(14)70263-3]
- 7 Crusz SM, Balkwill FR. Inflammation and cancer: advances and new agents. *Nat Rev Clin Oncol* 2015; 12: 584-596 [PMID: 26122183 DOI: 10.1038/nrclinonc.2015.105]
- 8 West NR, McCuaig S, Franchini F, Powrie F. Emerging cytokine networks in colorectal cancer. *Nat Rev Immunol* 2015; **15**: 615-629 [PMID: 26358393 DOI: 10.1038/nri3896]
- 9 Shalapour S, Karin M. Immunity, inflammation, and cancer: an eternal fight between good and evil. J Clin Invest 2015; 125: 3347-3355 [PMID: 26325032 DOI: 10.1172/JCI80007]
- 10 Li Y, Jia H, Yu W, Xu Y, Li X, Li Q, Cai S. Nomograms for predicting prognostic value of inflammatory biomarkers in colorectal cancer patients after radical resection. *Int J Cancer* 2016; 139: 220-231 [PMID: 26933932 DOI: 10.1002/ijc.30071]
- 11 **Zou ZY**, Liu HL, Ning N, Li SY, DU XH, Li R. Clinical significance of pre-operative neutrophil lymphocyte ratio and

platelet lymphocyte ratio as prognostic factors for patients with colorectal cancer. *Oncol Lett* 2016; **11**: 2241-2248 [PMID: 26998156 DOI: 10.3892/ol.2016.4216]

- 12 Hu B, Yang XR, Xu Y, Sun YF, Sun C, Guo W, Zhang X, Wang WM, Qiu SJ, Zhou J, Fan J. Systemic immune-inflammation index predicts prognosis of patients after curative resection for hepatocellular carcinoma. *Clin Cancer Res* 2014; 20: 6212-6222 [PMID: 25271081 DOI: 10.1158/1078-0432.CCR-14-0442]
- 13 Jian-Hui C, Iskandar EA, Cai ShI, Chen CQ, Wu H, Xu JB, He YL. Significance of Onodera's prognostic nutritional index in patients with colorectal cancer: a large cohort study in a single Chinese institution. *Tumour Biol* 2016; **37**: 3277-3283 [PMID: 26438061 DOI: 10.1007/s13277-015-4008-8]
- 14 Pine JK, Morris E, Hutchins GG, West NP, Jayne DG, Quirke P, Prasad KR. Systemic neutrophil-to-lymphocyte ratio in colorectal cancer: the relationship to patient survival, tumour biology and local lymphocytic response to tumour. *Br J Cancer* 2015; **113**: 204-211 [PMID: 26125452 DOI: 10.1038/bjc.2015.87]
- 15 Ying HQ, Deng QW, He BS, Pan YQ, Wang F, Sun HL, Chen J, Liu X, Wang SK. The prognostic value of preoperative NLR, d-NLR, PLR and LMR for predicting clinical outcome in surgical colorectal cancer patients. *Med Oncol* 2014; **31**: 305 [PMID: 25355641 DOI: 10.1007/s12032-014-0305-0]
- 16 Tokunaga R, Sakamoto Y, Nakagawa S, Miyamoto Y, Yoshida N, Oki E, Watanabe M, Baba H. Prognostic Nutritional Index Predicts Severe Complications, Recurrence, and Poor Prognosis in Patients With Colorectal Cancer Undergoing Primary Tumor Resection. *Dis Colon Rectum* 2015; 58: 1048-1057 [PMID: 26445177 DOI: 10.1097/DCR.00000000000458]
- 17 Lin MS, Huang JX, Yu H. Prognostic significance of Glasgow prognostic score in patients with stage II colorectal cancer. *Int J Clin Exp Med* 2015; 8: 19138-19143 [PMID: 26770545]
- 18 Shibutani M, Maeda K, Nagahara H, Ohtani H, Sakurai K, Yamazoe S, Kimura K, Toyokawa T, Amano R, Tanaka H, Muguruma K, Hirakawa K. Prognostic significance of the lymphocyte-to-monocyte ratio in patients with metastatic colorectal cancer. *World J Gastroenterol* 2015; 21: 9966-9973 [PMID: 26379401 DOI: 10.3748/wjg.v21.i34.9966]
- Moses K, Brandau S. Human neutrophils: Their role in cancer and relation to myeloid-derived suppressor cells. *Semin Immunol* 2016; 28: 187-196 [PMID: 27067179 DOI: 10.1016/j.smim.2016.03.018]
- 20 Felix K, Gaida MM. Neutrophil-Derived Proteases in the Microenvironment of Pancreatic Cancer -Active Players in Tumor Progression. *Int J Biol Sci* 2016; 12: 302-313 [PMID: 26929737 DOI: 10.7150/ijbs.14996]
- 21 Orellana R, Kato S, Erices R, Bravo ML, Gonzalez P, Oliva B, Cubillos S, Valdivia A, Ibañez C, Brañes J, Barriga MI, Bravo E, Alonso C, Bustamente E, Castellon E, Hidalgo P, Trigo C, Panes O, Pereira J, Mezzano D, Cuello MA, Owen GI. Platelets enhance tissue factor protein and metastasis initiating cell markers, and act as chemoattractants increasing the migration of ovarian cancer cells. *BMC Cancer* 2015; **15**: 290 [PMID: 25886038 DOI: 10.1186/ s12885-015-1304-z]
- 22 Coupland LA, Parish CR. Platelets, selectins, and the control of tumor metastasis. *Semin Oncol* 2014; 41: 422-434 [PMID: 25023359 DOI: 10.1053/j.seminoncol.2014.04.003]
- 23 Quigley DA, Kristensen V. Predicting prognosis and therapeutic response from interactions between lymphocytes and tumor cells. *Mol Oncol* 2015; 9: 2054-2062 [PMID: 26607741 DOI: 10.1016/ j.molonc.2015.10.003]
- 24 Yang Z, Zhang J, Lu Y, Xu Q, Tang B, Wang Q, Zhang W, Chen S, Lu L, Chen X. Aspartate aminotransferase-lymphocyte ratio index and systemic immune-inflammation index predict overall survival in HBV-related hepatocellular carcinoma patients after transcatheter arterial chemoembolization. *Oncotarget* 2015; 6: 43090-43098 [PMID: 26506519 DOI: 10.18632/oncotarget.5719]
- 25 Hong X, Cui B, Wang M, Yang Z, Wang L, Xu Q. Systemic Immune-inflammation Index, Based on Platelet Counts and Neutrophil-Lymphocyte Ratio, Is Useful for Predicting Prognosis in Small Cell Lung Cancer. *Tohoku J Exp Med* 2015; 236: 297-304

#### Chen JH et al. SII for predicting CRC prognosis

[PMID: 26250537 DOI: 10.1620/tjem.236.297]

26 Passardi A, Scarpi E, Cavanna L, Dall'Agata M, Tassinari D, Leo S, Bernardini I, Gelsomino F, Tamberi S, Brandes AA, Tenti E, Vespignani R, Frassineti GL, Amadori D, De Giorgi U. Inflammatory indexes as predictors of prognosis and bevacizumab efficacy in patients with metastatic colorectal cancer. *Oncotarget*  2016; 7: 33210-33219 [PMID: 27120807 DOI: 10.18632/oncotarget.8901]

27 Karn T, Pusztai L, Rody A, Holtrich U, Becker S. The Influence of Host Factors on the Prognosis of Breast Cancer: Stroma and Immune Cell Components as Cancer Biomarkers. *Curr Cancer Drug Targets* 2015; 15: 652-664 [PMID: 26452382]

> P- Reviewer: Negoi I, Sterpetti AV S- Editor: Ma YJ L- Editor: Wang TQ E- Editor: Xu XR







### Published by Baishideng Publishing Group Inc

7901 Stoneridge Drive, Suite 501, Pleasanton, CA 94588, USA Telephone: +1-925-223-8242 Fax: +1-925-223-8243 E-mail: bpgoffice@wjgnet.com Help Desk: http://www.f6publishing.com/helpdesk http://www.wjgnet.com





© 2017 Baishideng Publishing Group Inc. All rights reserved.