

Research Paper

HES1 Promotes Colorectal Cancer Cell Resistance To 5-Fu by Inducing Of EMT and ABC Transporter Proteins

Lei Sun^{1*}, Jia Ke^{2*}✉, Zhen He^{2*}, Zexian Chen², Qinghua Huang³, Wenjia Ai¹, Guoqiang Wang¹, Yisheng Wei¹, Xiangcai Zou¹, Shi Zhang¹, Ping Lan² and Chuyuan Hong¹✉

1. Department of Gastrointestinal Surgery, The Second Affiliated Hospital of Guangzhou Medical University, Guangzhou City, Guangdong Province, PR China;
2. Department of Colorectal Surgery, The Sixth Affiliated Hospital of Sun Yat-Sen University, Guangzhou City, Guangdong Province, PR China;
3. Department of Breast Surgery, Affiliated Tumor Hospital of Guangxi Medical University, Nanning, Guangxi Province, PR China.

* These authors contributed equally to this work.

✉ Corresponding authors: Jia Ke, M.D. Ph.D. Email Address: 278494686@qq.com. Department of Colorectal Surgery, The Sixth Affiliated Hospital of Sun Yat-Sen University, Guangzhou 510655, Guangdong Province, P.R. China. Tel: +86 020 38254159. Pro.Chuyuan Hong. Email Address: 1750699409@qq.com. Department of Gastrointestinal Surgery, The Second Affiliated Hospital of Guangzhou Medical University, Guangzhou 510260, Guangdong Province, P.R. China. Tel: +86 020 34153215.

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Abstract

Background and Aim: Hairy enhancer of split-1 (HES1) is a downstream transcriptional factor of Notch signaling pathway, which was found to be related to chemoresistance. This study was aimed to investigate the role of HES1 in chemoresistance of colorectal cancer (CRC).

Methods: Tissue microarray was used to analyze the clinical significance of HES1 in radical resected (R0) stage II/III CRC patients that received adjuvant chemotherapy. 5-fluorouracil (5-Fu) chemoresistance was examined in CRC cell lines (RKO and HCT8, LOVO) with stable over-expression and inhibition of HES1 gene by cytotoxicity test. Gene expression microarray was used to investigate the enriched pathways and different expressed of genes in cells with over-expressed HES1. Expression changes of the chemoresistance related genes were confirmed by qPCR and western blot analysis.

Results: Stage II CRC patients with higher HES1 expression showed higher recurrence rate after chemotherapy. Colon cancer cell lines which over-expressed HES1 were more resistant to 5-Fu treatment *in vitro*. Gene expression microarray revealed that HES1 was related to the signaling pathways of epithelial-mesenchymal transition (EMT) and drug metabolism. Immunofluorescence assay showed HES1 over-expression lead to depressed E-cadherin and elevated N-cadherin. QPCR and western blot analysis confirmed that ABCC1, ABCC2 and P-gp1 were induced after HES1 over-expression.

Conclusions: HES1 promotes chemoresistance to 5-Fu by prompting EMT and inducing of several ABC transporter genes. HES1 might be a novel therapeutic target in CRC treatment.

Key words: HES1, colorectal cancer, chemoresistance, EMT, ABC transporter proteins.

Introduction

Colorectal cancer (CRC) remains a major cause of cancer-related morbidity and mortality in the world. 5-Fu based adjuvant chemotherapy after curative surgery is considered as standard therapy for stage II/III CRC. Unfortunately, approximately 40% of stage these patients develop local recurrence or metastatic disease which mainly due to chemoresistance [1]. To date, the mechanisms of chemoresistance in CRC have not been fully

elucidated.

Notch signaling pathway plays an essential role in promoting cell survival [2, 3]. Activation of Notch pathway leads to the release of the Notch intracellular domain (NICD), which translocates to the nucleus and activates transcription of numerous downstream target genes, including HES1 [4, 5]. Aberrant activation of Notch signaling pathway is involved in chemoresistance in multiple cancers including CRC,

and blocking Notch signaling pathway by a γ -secretase inhibitor could enhance chemosensitivity [6-9].

As a downstream target of canonical Notch signaling pathway, HES1 plays a vital role in chemoresistance. In ovarian cancer, inhibiting the Notch pathway by γ -secretase inhibitor could decrease expression of HES1 mRNA and sensitize cells to paclitaxel [6]. HES1 might modulate the therapeutic resistance by mediating Gli1 expression in medulloblastoma and glioblastoma [10]. However, except for Notch signaling pathway, HES1 signaling could be activated by other pathways, including Hedgehog, c-Jun N terminal kinase and TGF- α /Ras/MAPK pathways, which are also involved in chemoresistance [11-13]. In addition, HES1 acts as a marker of colon cancer stem cells (CSCs), which might also contribute to tumor recurrence after 5-Fu based adjuvant chemotherapy [14-16]. Thus, the role of HES1 in CRC chemoresistance is unpredictable upon Notch signaling pathway status.

In this study, we investigated the clinical significance of chemo-response of HES1 in stage II/III CRC patients (n=121) using tissue microarray. Chemosensitivity was examined in colorectal cancer cells with over-expression and inhibition of HES1. Furthermore, the enriched pathways and different expression of genes in cells which over-expressed HES1 were investigated by gene expression microarray. Expression changes of the main genes related to chemoresistance were confirmed by qPCR and western blot analysis.

Methods

Patients and tissues

This retrospective study included 121 staged II/III CRC patients who underwent radical resection (R0) and received 5-Fu based adjuvant chemotherapy. Overall survival (OS) period was defined as the period between diagnosis and death or the last follow-up. Disease free survival (DFS) was defined as the period between diagnosis and the first clinical or pathologic evidence of local or distant recurrent disease. Written informed consent was obtained from each patient before surgery. The study was approved by the Institutional Review Board of Sun Yat-Sen University.

Immunohistochemistry analysis

Immunohistochemistry analysis was carried out according to the Envision System (Dako Cytomation, Glostrup, Denmark) guidance. In brief, each TMA slides was deparaffinized and rehydrated through graded ethanol. Sodium citrate was used for antigen

retrieval. Slides underwent 0.3% hydrogen peroxide solution to block endogenous peroxidase activity. Then samples was incubated with the primary antibody anti-HES1 (1:400; Abcam, ab71559), at 4°C overnight. After incubation with secondary (goat) antibody, slides were developed in diaminobenzine (EnVision, DAKO) and counterstained with haematoxylin.

Cell culture and lentivirus infection

RKO, HCT8 and LOVO were obtained from Chinese Academy of Science Cell Bank (Shanghai, China). All cell lines were cultured in RPMI-1640 or DMEM-F12 medium (Gibco, China) with 10% FBS (Gibco, China), 100U/mL penicillin and 100ug/mL streptomycin (Gibco, China) at 37°C with 5% CO₂. Lentiviral plasmid for over-expressing HES1 and plasmid for negative control (disrupted DNA-binding domain) were purchased from Addgene (No.17624 and No.24982). The plasmids were verified via sequencing. ShRNA lentivirus was constructed by GENECHM CO. (Shanghai, China). GV248 backbone was used, with the shRNA sequences: SCR (scramble): 5'-TTCTCCGAACGTGTCACGT-3'; shRNA-1: 5'-AGATCAAT GCCATGACCTA-3'; shRNA-2: 5'-GGACATTCTGGAAATGACA-3'. In brief, the lentiviruses were produced by transfecting 293FT cells with pGC-LV, pPAX2 and pMD2.G plasmids mix and lipofectamine-2000 (Invitrogen, NY) in Opti-MEM medium (Gibco, USA). Virus was collected and stored at -80°C before using, and titrated with Lenti-X qRT-PCR Titration Kit (Clontech, CA). Cell lines were infected at a MOI (Multiplicity of Infection) of 10 with 8ug/mL polybrene (Millipore, MA). Knockdown efficiency higher than 80% was considered acceptable. For the following experiments, the positive cells population was enriched using puromycin selection or FACS sorting for GFP.

Cell viability assay

Cells were seeded 2000 per well in 96-well plates for 24 hours, then treated with 5-Fu at various concentrations (0, 0.5, 1, 5, 10, 50 μ mol/L). Sixty hours later, 10 μ l of CCK-8 solution (Cell counting kit-8, *Sigma*, St. Louis, MO, USA) was added to each well. Absorbance was determined at 450 nm after 3 hours of incubation. Cell viability was calculated as following: Viability = (OD test group-OD blank group) / (OD control group-OD blank group) \times 100 %, and IC50 (half maximal inhibitory concentration) was calculated from the dose-response curves. All experiments were repeated in triplicate.

Gene expressional profiles and analysis

RNAs were extracted from RKO-HES1 and

RKO-Mutant cells. RNA integrity was assessed by standard denaturing agarose gel electrophoresis. The Human 12x135K Gene Expression Array was manufactured by Roche NimbleGen. 45,033 genes are collected from the authoritative data source including National Center of Biotechnology Information (NCBI). Double-strand cDNA (ds-cDNA) was synthesized from total RNA, which was then cleaned and labeled before hybridization. Differentially expressed genes were identified through Fold change filtering. Genes with fold-change \geq 2.0 expression were enrolled. Realtime RT-PCR was used to confirm the results.

Pathways enrichment analysis was based on KEGG (Kyoto Encyclopedia of Genes and Genomes) database, which identified the biological pathways that had a significant enrichment of differently expressed genes. The *P*-values denote the significance of the pathways, with cut-off at 0.05.

Immunofluorescence and western blot analysis

For immunofluorescence assay, cells were grown on glass slices and fixed in 4 % formaldehyde for 10 min, permeabilized through 0.3 % Triton X-100. Then the slices were blocked in goat serum for 15 min, 37°C and incubated overnight at 4°C with anti-E-cadherin (1:80, Bioworld, MN, USA), anti-N-cadherin (1:80, Bioworld, MN, USA). Samples were washed three times before incubated with goat TRITC labeled secondary antibody (1:70, Bioworld, MN, USA) at 37°C for 1 h. DAPI (Genview Inc, Shanghai, China) was used for counterstaining. Fluorescence was visualized with a microscope under \times 400 magnification.

Total protein was extracted using RIPA Lysis Buffer (Beyotime, China) and PMSF (Sigma-Aldrich). The proteins were transferred to NC membranes (Millipore Corp, MA USA) using the TransBlot System (Bio-Rad, CA, USA). The membranes were blocked in 5% w/v non-fat milk in TBS and incubations were performed overnight at 4°C. The membranes were then washed using TBST and incubated with secondary antibodies (1:10000, IRDye Goat IgG, LI-COR Bioscience, NE USA) for 1h at room temperature. Protein staining was detected using the Odyssey Imaging System (LI-COR Biosciences, NE USA). The following primary antibodies were used: GAPDH (1:10000, Proteintech Group, Chicago USA), ABCC1 (1:100, Abcam, ab24102), ABCC2 (1:100, Abcam, ab3373), P-gp1 (1:2000, Abcam, ab129450).

Statistics

An open source software TMAJ (Johns Hopkins, Baltimore, USA) was applied to measure the HES1 expression index as described elsewhere [17]. The

median of HES1 expression was employed for the cut-point.

Statistical analysis was carried out using SPSS 17.0 (SPSS, Chicago, IL, USA). Correlations between clinicopathologic data and HES1 expression were analyzed using Chi-square test or Fisher's exact test. Kaplan-Meier survival curves were preformed to estimate OS and DFS. A value of *P* < 0.05 was considered statistically significant.

Results

Correlation between HES1 level and clinicopathological variables

To study the clinical significance of HES1 expression, 121 CRC samples in stage II/III (n=121) patients who received 5-Fu based adjuvant chemotherapy were examined by tissue microarray. HES1 protein was mainly located in cancer cell cytoplasm (Fig.1A). Patients were divided into high and low HES1 expression groups, using the median expression index (2.95) as the cutpoint. Clinic-pathological characteristics and correlation with HES1 expression were shown in Table 1. Correlation analysis revealed that HES1 expression was significantly correlated with CEA level (*P*=0.038), not with age, gender, CA199 level, tumor type, location, stage or recurrence. For stage II patients only, HES1 expression was correlated with CA199 level (*P*=0.016) and recurrence rate (*P*=0.022) (Table 2).

Table 1. Correlation between expression of HES1 and clinicopathological variables

Variables	All cases	HES1 expression		<i>P</i> value [†]
		Low	High	
No. of patients	121	60	61	-
Median HES1 expression index	2.95	0.53	9.70	-
Age				
<60/ \geq 60	67/54	37/23	30/31	0.167
Gender				
Male/Female	76/45	40/20	36/25	0.384
CEA, ng/ml				
<5/ \geq 5	74/41	32/26	42/15	0.038*
CA199, U/ml				
<37.5/ \geq 37.5	79/31	39/17	40/14	0.606
Stage				
II/III	58/63	25/35	33/28	0.171
Histological type				
Adenocarcinoma/Mucinous	106/14	55/5	51/9	0.255
Location				
Colon/Rectum	51/69	26/34	25/35	0.853
Recurrence				
Yes/No	28/93	10/50	18/43	0.094

[†]The *P* value was calculated by Chi square test.

* *P* < 0.05.

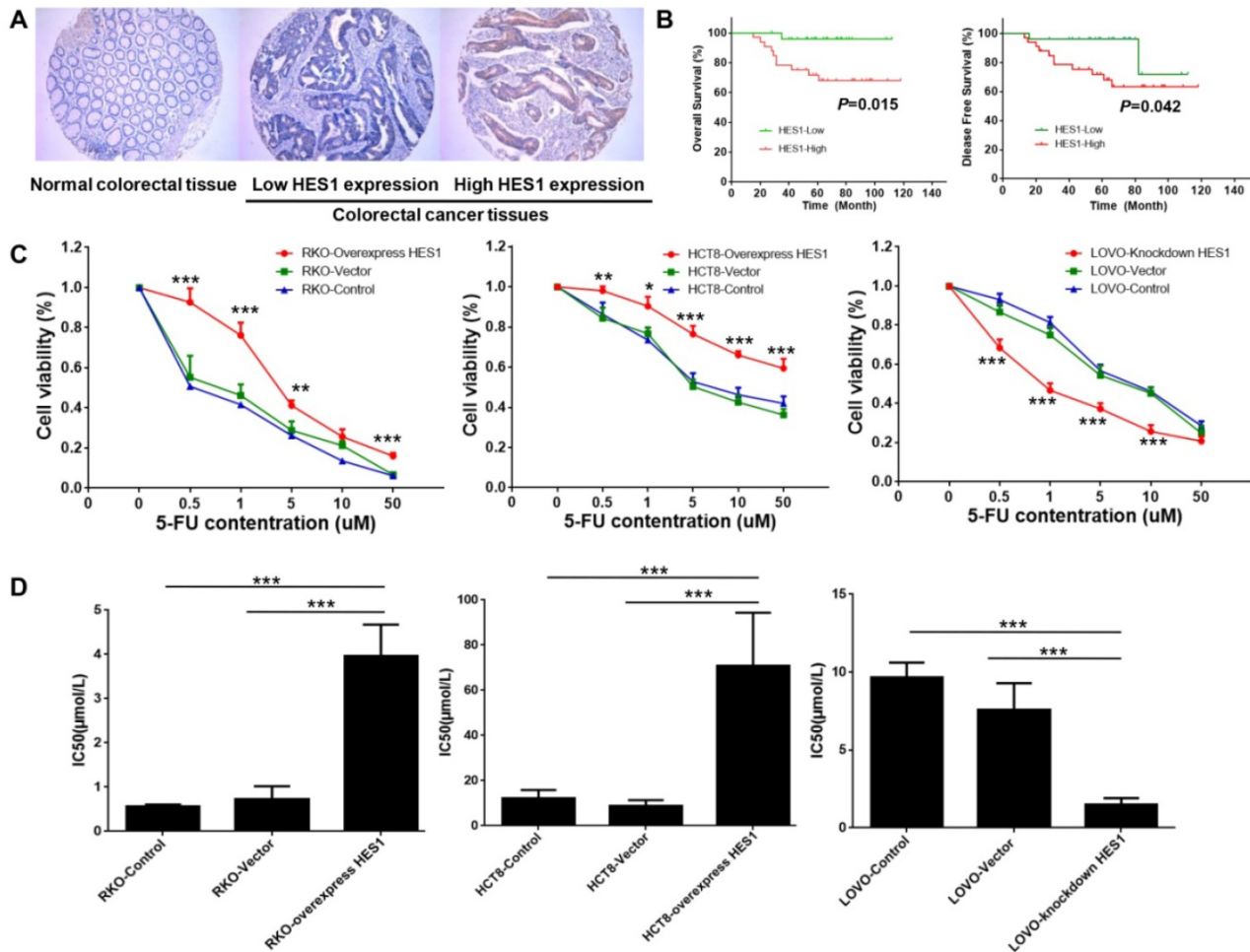


Table 2. Correlation between expression of HES1 and clinicopathological variables in stage II patients

Variables	All cases	HES1 expression		P value [†]
		Low	High	
No. of patients	58	25	33	-
Age				
<60/ ≥ 60	26/32	13/12	13/20	0.339
Gender				
Male/Female	36/22	15/10	21/12	0.777
CEA, ng/ml				
<5/ ≥ 5	35/20	13/9	22/11	0.199
CA199, U/ml				
<37.5/ ≥ 37.5	38/15	17/7	21/8	0.016*
Histological type				
Adenocarcinoma/Mucinous	51/7	23/2	28/5	0.687 [‡]
T stage				
T3/T4	54/4	25/0	29/4	0.126 [‡]
Location				
Colon/Rectum	20/38	8/17	12/21	0.729
Recurrence				
Yes/No	13/45	2/23	11/22	0.022*

[†] The P value was calculated by Chi square test.

[‡] The P value was calculated by Fisher's exact test.

* $P < 0.05$.

Kaplan-Meier analysis revealed that stage II patients with higher HES1 expression level had poor OS ($P=0.015$) and DFS ($P=0.042$) (Fig. 1B).

Over-expression of HES1 induce chemoresistance in CRC cells

Chemoresistance is a key obstacle to the efficacy of CRC treatment and may result in recurrence. To determine the potential role of HES1 in chemoresistance, stable over-expression and inhibition of HES1 gene were established in colon cancer cell lines including RKO, HCT8 and LOVO, which were then exposed to different concentrations of 5-Fu treatment *in vitro*. CCK8 test showed that HES1 over-expression significantly promoted cell viability of RKO and HCT8 cells, whereas HES1 inhibition significantly decreased cell viability of LOVO cells (Fig.1C). The IC₅₀ of RKO ($P=0.016$ vs vehicle, $P=0.001$ vs control) and HCT8 cells ($P < 0.001$ vs vehicle, $P < 0.001$ vs control) were significantly increased by HES1 over-expression. However, HES1

inhibition resulted in a significant decreased IC50 in LOVO cells ($P < 0.001$ vs vehicle, $P < 0.001$ vs control) (Fig.1D).

Over-expression of HES1 induce EMT in CRC cells

To determine the mechanisms of HES1 mediated CRC chemoresistance, RKO cell lines that stable over-expressed wild type HES1 and mutant HES1 gene were established. Changes in two cell lines were determined by whole-genome cDNA microarray. As shown in Fig.2A, several pathways were changed by HES1 over-expression. Briefly, pathways with drug metabolism were up-regulated, and pathways with adhering junction, focal adhesion and actin cytoskeleton were markedly down-regulated. 2668 genes were up-regulated after HES1 over-expression, while 1304 genes were down-regulated. Genes with most significant changes were shown in Table 3.

Considering the changes in adhesion and actin cytoskeleton pathways, we hypothesized HES1 over-expression might induce EMT. To verify this idea, we examined the expression of two critical EMT markers, E-cadherin and N-cadherin, in RKO and

LOVO cell lines. Immunofluorescence assay revealed that HES1 over-expression increased the level of N-cadherin, and decreased E-cadherin in RKO cells. The opposite results were found in LOVO cells after HES1 inhibition (Fig.2B). Thus, HES1 over-expression might induce EMT in CRC cells.

Table 3. Genes with most significant expression changes by overexpression HES1 in cDNA microarray

NCBI Gene ID	Gene name	Fold change [†]	Description
NM 3598	IL13RA2	7.34±0.47	Interleukin 13 receptor, alpha 2
NM 4582	MUC1	6.71±1.02	Mucin 1, cell surface associated
NM1396	CRIP1	5.25±0.73	Cysteine-rich protein 1 (intestinal)
NM 1000	CDH2	5.19±0.24	Cadherin 2, type 1, N-cadherin
NM19900	ABCC1	4.62±0.13	ATP-binding cassette, sub-family C, member 1
AF541977	ABCC4	3.98±0.44	ATP-binding cassette, sub-family C, member 4
NM1606	ABCA2	3.62±0.81	ATP-binding cassette, sub-family A, member 2
NM33	ABCD1	3.25±0.63	ATP-binding cassette, sub-family D (ALD), member 1
NM6624	FSCN1	-17.31±2.99	Fascin homolog 1, actin-bundling protein
NM26012	NELF	-14.45±0.68	Nasal embryonic LHRH factor
NM5829	PXN	-12.88±1.98	Paxillin
NM 4134	MAP4	-8.24±1.67	Microtubule-associated protein 4

[†] Data represent as means ± SEM for duplicate samples.

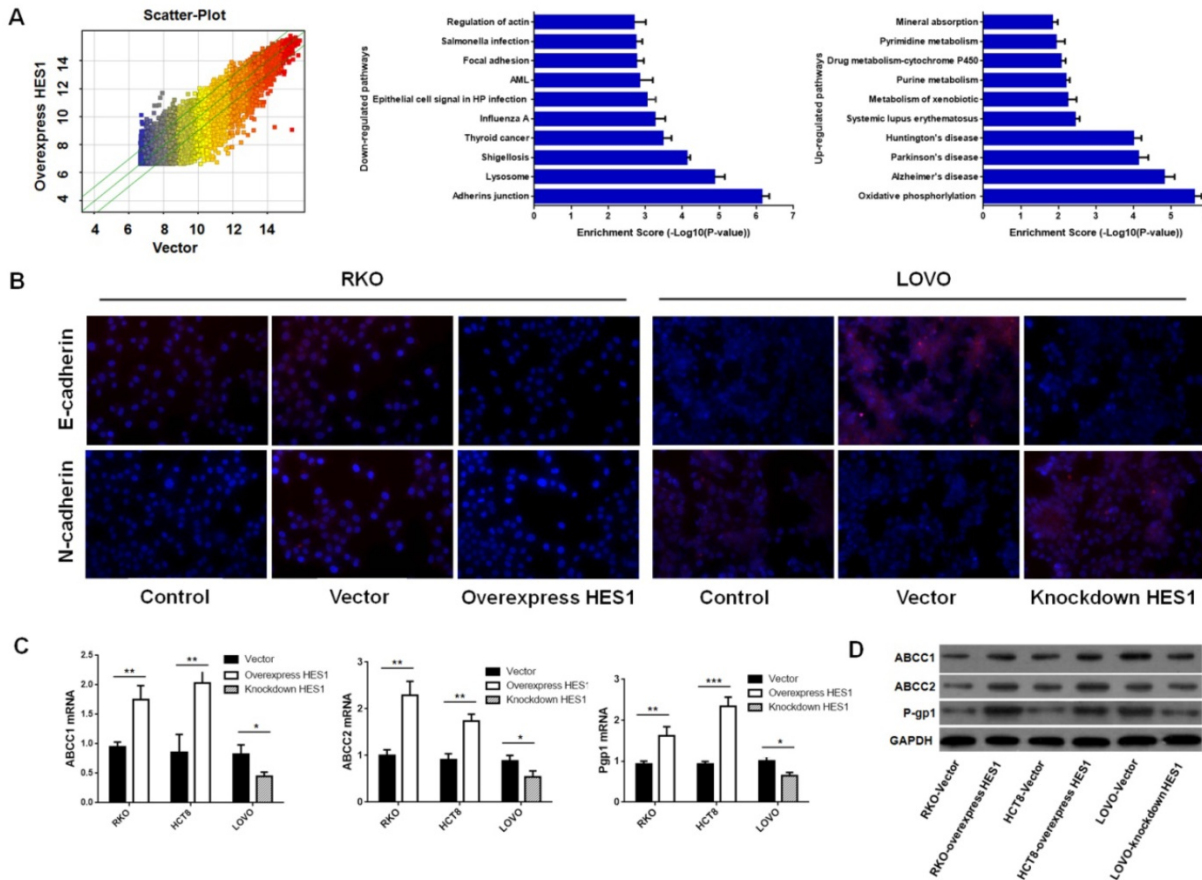


Figure 2. HES1 induced EMT and ABC transporters proteins of CRC cells (A) cDNA microarray of HES1 over-expression in RKO. Pathway enrichment analysis on the distinguished expressed genes. Enrichment score values are shown as -log10 (P value). (B) Immunofluorescence staining for E-cadherin and N-cadherin in RKO and LOVO. (C) qPCR assay of ABC1, ABC2 and P-gp1 in RKO, HCT8 and LOVO. (D) Western blot analysis of ABC1, ABC2 and P-gp1 in RKO, HCT8 and LOVO. The bars represent means ± SEM. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$.

Over-expression of HES1 induce ABC transporter genes in CRC cells

Since several members of ATP-binding cassette transporter family (ABC) are up-regulated by HES1 over-expression in cDNA microarray, we reasoned that ABC transporter proteins could be more commonly induced by HES1, and rendered chemoresistance. To test this hypothesis, we examined expression of ABCC1, ABCC2 and P-gp1 (three critical molecules in drug metabolism) in CRC cell lines mentioned above. QPCR and western-blot analysis showed that HES1 over-expression increased ABCC1, ABCC2 and P-gp1 expression in RKO and HCT8 cells, and HES1 inhibition of in LOVO cells showed opposite results (Fig.2C, 2D). Thus, these data suggested that HES1 might prompt chemoresistance by inducing ABC transporter proteins in CRC cells.

Discussion

Previous studies have revealed that Notch signaling pathway involved in CRC chemoresistance. However, as an important downstream transcriptional factor in Notch signaling pathway, the role of HES1 in CRC chemoresistance is still unclear. In this study, we investigated the clinical significance of HES1 expression in stage II/III CRC patients who received adjuvant chemotherapy, and demonstrated its role of chemoresistance *in vitro*.

Notch signaling pathway plays important role in the differentiation balance of intestinal crypts and carcinogenesis in CRC. Several studies showed HES1 is over-expressed in colorectal cancer [8, 18, 19], and its prognostic value in CRC has also been investigated [18, 20]. However, there is no study showed its role in CRC chemotherapy. In this study, we found stage II CRC patients with high HES1 expression had higher recurrence rate and poor prognosis (OS and DFS) after 5-Fu based adjuvant chemotherapy. This might be the result from the chemoresistance induced by HES1 over-expression. This correlation was not found in stage III patients. To our knowledge, this is the first time establishing a correlation between HES1 expression and CRC recurrence after 5-Fu based adjuvant chemotherapy.

Notch signaling was found to participate in chemoresistance in numerous cancers and inhibiting the Notch pathway could enhance chemosensitivity [9, 21-23]. Whether HES1 is involved in colorectal chemoresistance is unclear. What is more, HES1 could be activated by other upstream pathways besides Notch pathways [11-13], and HES1 is considered as a marker of colon CSCs which also might contribute to chemoresistance [16]. Thus, the role of HES1 in CRC chemoresistance is unpredictable. In this study, we

found HES1 could promote chemoresistance of CRC and targeted inhibited HES1 could enhance chemosensitivity *in vitro*.

Epithelial-mesenchymal transition (EMT) has been shown to play a crucial role in chemoresistance and tumor recurrence [24-26]. Increasing evidences suggest EMT-associated transcription factors are involved in chemoresistance in different cancers [27-29]. In colorectal cancer, EMT could prompt chemoresistance to oxaliplatin by upregulating P-gp expression [30]. Notch signaling could promote chemoresistance via EMT in other type of cancer [31, 32]. In the present study, cDNA microarray profiling and western blot analysis demonstrated that over-expression of HES1 could down-regulate E-cadherin and up-regulate N-cadherin. Thus, HES1 might prompt chemoresistance by the induction of EMT.

ATP-binding cassette transporter (ABC) transporters involved in chemoresistance by decreasing cellular drug uptake and accumulation, and are considered as a major cause for chemotherapy failure. Over-expression of the ABCC1 transporter confers resistance to a wide range of anticancer drugs [33, 34]. In breast cancer, ABCC3 transporter is confirmed involving in drug resistance to chemotherapy [35]. The transfection of human embryonic kidney cells with the ABCC10 gene conferred resistance to various anticancer drugs including paclitaxel, docetaxel, vincristine, gemcitabine [36-39]. In this study, we found expression of several members of ABC transporters was increased after HES1 over-expression by cDNA microarray profiling, and western blot confirmed P-gp1, ABCC1 and ABCC2 are up-regulated by over-expression of HES1. Therefore, up-regulation of ABC transporters might be one of the mechanisms of HES1 induced chemoresistance.

In conclusion, our study showed that HES1 was an unfavorable factor for recurrence in stage II CRC patients who received adjuvant chemotherapy, and HES1 could promote CRC chemoresistance via induction of EMT and ABC transporters. Thus, HES1 might be a novel therapeutic strategy in CRC treatment.

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Ethical approval

All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

All applicable international, national, and institutional guidelines for the care and use of animals were followed.

Competing Interests

The authors have declared that no competing interest exists.

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