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***Bdnf* mRNA splice variants differentially impact CA1 and CA3 dendrite complexity and spine morphology in the hippocampus**

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Abstract

Brain-derived neurotrophic factor (BDNF) is an activity-dependent neurotrophin critical for neuronal plasticity in the hippocampus. BDNF is encoded by multiple transcripts with alternative 5' untranslated regions (5' UTRS) that display activity-induced targeting to distinct subcellular compartments. While individual *Bdnf* 5' UTR transcripts influence dendrite morphology in cultured hippocampal neurons, it is unknown whether *Bdnf* splice variants impact dendrite arborization in functional classes of neurons in the intact hippocampus. Moreover, the contribution of *Bdnf* 5' UTR splice variants to dendritic spine density and shape has not been explored. We analyzed the structure of CA1 and CA3 dendrite arbors in transgenic mice lacking BDNF production from exon (Ex) 1, 2, 4, or 6 splice variants (*Bdnf*-e1, -e2, -e4, and -e6 $-/-$ mice) and found that loss of BDNF from individual *Bdnf* mRNA variants differentially impacts the complexity of apical and basal arbors *in vivo*. Consistent with subcellular localization studies, *Bdnf*Ex2 and Ex6 transcripts significantly contributed to dendrite morphology in both CA1 and CA3 neurons. While *Bdnf*-e2 $-/-$ mice showed increased branching proximal to the soma in CA1 and CA3 apical arbors, *Bdnf*-e6 $-/-$ mice showed decreased apical and basal dendrite complexity. Analysis of spine morphology on *Bdnf*-e6 $-/-$ CA1 dendrites revealed changes in the percentage of differently sized spines on apical, but not basal, branches. These results provide further evidence that *Bdnf* splice variants generate a spatial code that mediates the local actions of BDNF in distinct dendritic compartments on structural and functional plasticity.

Keywords

BDNF transcripts; hippocampus; dendrite branching; spine morphology; spatial code

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Conflict of interest

The authors declare that they have no conflict of interest.

Ethical Approval

All applicable international, national, and/or institutional guidelines for the care and use of animals were followed.

Introduction

Brain-derived neurotrophic factor (BDNF) is an activity-dependent neurotrophin that mediates diverse brain functions including neuronal survival, neurite morphology, and synaptic plasticity (Cohen-Cory et al. 2010; Huang and Reichardt 2001; McAllister et al. 1999). In the cortex and hippocampus, BDNF regulates local dendritic growth and branching in pyramidal neurons (Horch and Katz 2002; Ji et al. 2005; McAllister et al. 1997; McAllister et al. 1995) as well as granule cells in the dentate gyrus (Gao et al. 2009; Tolwani et al. 2002). The role of BDNF in dendrite morphology is complex as it can enhance branch length and number (Horch and Katz 2002; McAllister et al. 1995; Wirth et al. 2003), but can also induce dendritic instability and limit branch arborization (Horch et al. 1999; Lom and Cohen-Cory 1999; McAllister et al. 1997). Furthermore, BDNF can have opposing effects on apical and basal dendrite arbors (McAllister et al. 1997; McAllister et al. 1995). The molecular mechanisms mediating these diverse and sometimes opposite effects of BDNF on dendrite morphology have been relatively unexplored.

In addition to regulating dendrite formation and maintenance, BDNF signaling plays a pivotal role in modifying the shape and number of dendritic spines in many cell types, including pyramidal neurons (Chakravarthy et al. 2006; Matsutani and Yamamoto 2004; Murphy et al. 1998; Shimada et al. 1998). While disrupting BDNF expression in adult mice decreases spine density on cortical pyramidal neurons (Vigers et al. 2012), exogenous application of BDNF increases spine density on CA1 apical branches in an ERK1/2 dependent manner (Alonso et al. 2004). Interestingly, alternative polyadenylation of *Bdnf* mRNA transcripts differentially impacts spine morphology and synaptic plasticity in CA1 hippocampal neurons (An et al. 2008). While it is established that BDNF is expressed in hippocampal dendrites and undergoes activity-induced local translation (Baj et al. 2011; Tongiorgi et al. 2004), it has only recently been demonstrated that BDNF engages in autocrine signaling in dendritic spines to locally alter structural and functional plasticity of CA1 neurons (Harward et al. 2016; Hedrick et al. 2016). It is hypothesized that tight regulation of BDNF expression in distinct compartments accounts for local effects of BDNF on dendrite and spine structure.

Production of multiple transcripts is one mechanism by which BDNF expression is tightly controlled. The *Bdnf* gene has 9 unique promoters that drive transcription of at least 20 different *Bdnf* transcripts that encode an identical BDNF protein (Aid et al. 2007; Liu et al. 2006; Pruunsild et al. 2007; Timmusk et al. 1993). Each splice variant consists of a 5' untranslated region (UTR) exon that is alternatively spliced to a downstream common coding exon with a 3' UTR containing 2 different polyadenylation signals (Fig. 1a). The existence of multiple *Bdnf* splice variants has led to the spatial code hypothesis, which posits that differential expression of 5' UTR transcripts enables local spatial, temporal, and stimulus-specific BDNF production (Tongiorgi 2008). Indeed, *Bdnf* mRNA variants are differentially expressed across brain regions and show activity-dependent targeting to dendrites, especially in the hippocampus. (Sathanoori et al. 2004; Timmusk et al. 1993; Tongiorgi et al. 2004; Tongiorgi et al. 1997). Upon activation of both cortical and hippocampal neurons, *Bdnf* Ex1 and Ex4 transcripts are localized to the cell body and proximal dendrites, while Ex2 and Ex6 transcripts are found in distal dendrites (Baj et al.

2011; Chiaruttini et al. 2008; Pattabiraman et al. 2005). Stimuli that enhance *Bdnf* expression, including antidepressants and physical exercise, also result in targeting of specific 5'UTR transcripts (Baj et al. 2011). In line with these findings, decreasing Ex1 and Ex4 transcripts in cultured hippocampal neurons reduces proximal dendrite number, while decreasing Ex2 and Ex6 transcripts alters distal dendrites (Baj et al 2011). *In vivo* localization studies and *in vitro* functional studies provide evidence supporting the notion of unique roles for *Bdnf* 5'UTR splice variants, but how loss of individual variants impacts dendrite arborization and spine formation in distinct populations of pyramidal neurons in the intact hippocampus has not been examined.

To assess the role of individual *Bdnf* 5'UTR transcripts on cell morphology, we reconstructed CA1 and CA3 apical and basal dendrite arbors from the brains of mice with selective disruption of BDNF production from promoters I, II, IV, or VI [Bdnf-e1, Bdnf-e2, Bdnf-e4, or Bdnf-e6 mice (Maynard et al. 2016)]. We focused on transcripts derived from these promoters as they represent the majority of *Bdnf* mRNAs in the brain (Aid et al. 2007; Pruunsild et al. 2007) and disruption of BDNF from these promoters leads to distinct changes in hippocampal BDNF protein expression and unique neurobehavioral deficits (Hill et al. 2016; Maynard et al. 2016). We also analyzed dendritic spine density and morphology on CA1 apical and basal dendrite branches in Bdnf-e6 mutants. We demonstrate that BDNF derived from Ex2 and Ex6 transcripts have opposing effects on both CA1 and CA3 dendrite arbors and provide evidence that *Bdnf*Ex6 transcripts influence the shape of dendritic spines in distinct dendritic compartments. Our results support the BDNF spatial code hypothesis by demonstrating that individual *Bdnf* splice variants contribute to structural plasticity in different populations of pyramidal neurons in the intact hippocampus.

Methods

Mouse Generation

Mice with disruption of BDNF production from promoters I, II, IV or VI were generated as previously described [Bdnf-e1, -e2, -e4, and -e6 mice; (Maynard et al. 2016)]. Briefly, an enhanced green fluorescent protein (eGFP)-STOP cassette was inserted upstream of the respective 5'UTR splice donor site of the targeted exon. In Bdnf-e1, -e2, -e4 and -e6 $-/-$ mice, transcription is initiated from promoter I, II, IV, or VI, producing a 5'UTR-eGFP-STOP-*Bdnf*IX transcript, which leads to GFP production in lieu of BDNF from the targeted promoter (Fig. 1b). While GFP is detected by quantitative PCR and Western blot in all lines, it cannot be visualized by immunofluorescence (Maynard et al. 2016). To facilitate morphological analyses of CA1 and CA3 neurons, a Thy1-M/GFP reporter mouse (Jackson Laboratory Stock #007788, Bar Harbor, Maine, USA), which expresses GFP in a subset of CA1 and CA3 hippocampal neurons under control of the Thy1 promoter (Feng et al. 2000), was backcrossed to C57BL/6J at least 12x and subsequently crossed to each *Bdnf* mutant line creating Bdnf-e1/ Thy1M, Bdnf-e2/ Thy1M, Bdnf-e4/ Thy1M, and Bdnf-e6/ Thy1M lines. Male WT and *Bdnf* $-/-$ mice between the ages of 13–15 weeks were used for all experiments. The WT group was mixed and consisted of littermates from Bdnf-e1, -e2, -e4, and -e6 $-/-$ crosses. For each line, WT littermates were housed in the same conditions as corresponding *Bdnf* mutants (Maynard et al. 2016) with a 12-hour light/ dark cycle and free

access to food and water. All animal experiments were approved by the Johns Hopkins University Institutional Animal Care and Use Committee.

Histology

Mice were anesthetized with isoflurane and then transcardially perfused with 4% paraformaldehyde (PFA) in phosphate buffered saline (PBS) pH 7.4. Brains were removed from the skull, post-fixed overnight at 4°C in 4% PFA, cryoprotected in 30% sucrose for at least 48 hours, and coronally sectioned at 150µm on a microtome equipped with a freezing stage. Free-floating sections of hippocampus were stained with 0.05% Sudan Black (Sigma, St. Louis, MO, USA) in 70% ethanol to decrease autofluorescence for optimal imaging of GFP.

Confocal Imaging

GFP-positive CA1 and CA3 apical and basal dendrite arbors were imaged in *z*-series at 20x magnification using a Zeiss Axioplan Apotome or a Zeiss LSM 700 microscope (Carl Zeiss, Oberkochen, Germany) with the experimenter blinded to genotype. CA1 and CA3 neurons were differentiated by location of cell body within hippocampal anatomy. CA1 neurons were imaged dorsal to the dentate gyrus and CA3 neurons were imaged ventral to the dentate gyrus (Supplementary Figure 1a). Images were montaged in Neurolucida (MicroBright Field Biosciences, Williston, VT, USA) using the Image Montage module. CA1 and CA3 neurons were selected for imaging based on the following criteria: (1) adequate brightness and isolation to allow for unambiguous tracing of dendrite arbors; (2) apical and basal branches were complete and clearly distinguishable from those of neighboring cells; (3) close proximity to the coverslip to allow for capture of all branches in the *z*-dimension. A representative confocal image of a CA1 neuron selected for reconstruction is depicted in Supplementary Figure 1b. For CA1 dendrites, we imaged 80 neurons from 10 brains for WT, 35 neurons from 8 brains for *Bdnf-e1*^{-/-}, 32 neurons from 8 brains for *Bdnf-e2*^{-/-}, 46 neurons from 7 brains for *Bdnf-e4*^{-/-}, and 43 neurons from 7 brains for *Bdnf-e6*^{-/-}. For CA3 dendrites, we imaged 44 neurons from 11 brains for WT, 35 neurons from 11 brains for *Bdnf-e1*^{-/-}, 36 neurons from 12 brains for *Bdnf-e2*^{-/-}, 31 neurons from 6 brains for *Bdnf-e4*^{-/-}, and 35 neurons from 8 brains for *Bdnf-e6*^{-/-}.

For *Bdnf-e6*^{-/-} dendritic spine studies, isolated oblique apical dendrites or tertiary basal dendrites on CA1 neurons were imaged at 100x magnification on a Zeiss LSM 510 confocal microscope with the experimenter blinded to genotype. Individual branches were selected based on the following criteria: (1) adequate brightness and isolation to allow for clear marking of spine density and morphology (2) unambiguous identification of branch order (3) one branch per neuron and (3) clear attachment to cell body (i.e. we did not image “floating” branches in which cell bodies were in another plane or different section). For CA1 oblique apical dendrites, we imaged 46 branches from 4 brains for WT and 45 neurons from 5 brains for *Bdnf-e6*^{-/-}. For CA1 basal dendrites, we imaged 43 branches from 4 brains for WT and 44 neurons from 5 brains for *Bdnf-e6*^{-/-}.

Neuronal Reconstruction and Morphometric Analysis

Individual neurons were manually traced from confocal z-stacks using NeuroLucida software with experimenter blinded to genotype. For apical and basal arbors, dendritic length and branchpoint number were calculated using NeuroExplorer software (MicroBrightField Biosciences, Williston, VT, USA) and analyzed by one-way analysis of variance (ANOVA) followed by Tukey multiple comparison tests (Supplementary Table 1). For Sholl analysis, concentric three-dimensional shells were centered around the cell body (basal spheres were set every 25 μ m; apical spheres were set every 50 μ m) and the number of intersections between the dendrite arbor and a given shell was plotted over distance from the cell body. Concentric circle sizes were selected based on previous studies describing *in vivo Bdnf* mRNA localization in dendrites (Baj et al. 2012; Chiaruttini et al. 2008; Tongiorgi et al. 2004) as well as pyramidal neuron morphology in the cortex and hippocampus (Chowdhury et al. 2014; Gourley et al. 2013; Maynard and Stein 2012). For CA1 and CA3 apical and basal arbors, four separate repeated measures two-way ANOVAs were used to analyze differences in branching complexity between WT and *Bdnf* mutants at increasing distances from the cell body (Supplementary Tables 2–4). Bonferroni multiple comparison tests were conducted between WT and each *Bdnf* mutant. For quantification of spine density (spines per micrometer) and morphology, NeuroLucida and Neuroexplorer software were used to calculate the number, height, and width of spines on defined segments of dendrite branches. Student's *t*-tests were used to analyze spine density and ordinary two-way ANOVAs followed by Bonferroni posthoc tests were used to examine differences between the percentage of differently sized spines on WT and *Bdnf*-e6 $-/-$ apical and basal branches (Supplementary Table 5).

Statistical Analysis

Quantitative data are presented as means \pm standard error of the mean (SEM). The significance for comparisons was calculated using one-way ANOVAs with Tukey posthoc tests (Figs. 2h–l and 3f–j; Supplementary Table 1) or two-way ANOVAs with Bonferroni posthoc tests where appropriate (Figs. 2e–l, 4e–l, 5d–e, 6d–e; Supplementary Tables 2–5). Statistical analyses were conducted using GraphPad Prism version 7.0a (GraphPad Software, La Jolla, CA, USA). Statistical significance was set at * $p < 0.05$, ** $p < 0.01$, & $p < 0.001$, # $p < 0.0001$.

Results

Bdnf splice variants differentially impact CA1 dendrite arborization

We generated morphological reconstructions of CA1 pyramidal neurons from WT and *Bdnf*-e1, -e2, -e4, and -e6 $-/-$ mice (representative reconstructions in Fig. 1c–g). To determine if there were any significant changes in CA1 dendrite growth, we first quantified dendritic arbor length. There were no significant differences in the total length of CA1 dendritic arbors between WT and any *Bdnf*- $-/-$ line ($F_{4,231} = 2.252$, $p = 0.0643$; Fig. 1h). Given that *Bdnf* 5' UTR variants localize to distinct dendritic compartments, we also analyzed the dendritic length of basal (Fig. 1i) and apical (Fig. 1j) dendritic arbors separately. There were also no significant differences in the total length of basal or apical arbors between WT and any *Bdnf*- $-/-$ line ($F_{4,231} = 2.039$, $p = 0.0897$ and $F_{4,230} = 1.531$, $p = 0.1940$, respectively).

However, there was a strong trend for reduced length of basal arbors in *Bdnf-e6* $-/-$ mice, which was not observed in *Bdnf-e1*, *-e2*, and *-e4* mutants. In addition to examining the length of apical and basal arbors, we also analyzed the number of basal and apical branchpoints in CA1 neurons (Figs. 1k and 1l, respectively). There were significant differences in branchpoint number for both basal and apical arbors ($F_{4,231} = 3.502$, $p = 0.0085$ and $F_{4,230} = 2.724$, $p = 0.0303$, respectively; Supplementary Table 1). Posthoc multiple comparison tests revealed that *Bdnf-e2* $-/-$ show a 21% increase in basal branchpoints compared to WT and a 35% increase in basal branchpoints compared to *Bdnf-e6* $-/-$. *Bdnf-e2* $-/-$ also show a 24% increase in apical branchpoint number compared to *Bdnf-e6* $-/-$ and strong trend for increased apical branchpoint number compared to WT. Taken together, morphometric analyses of hippocampal CA1 pyramidal neurons in *Bdnf-e1*, *-e2*, *-e4*, and *-e6* $-/-$ mice suggest that *BdnfEx2* and *Ex6* transcripts play an important, and potentially opposing, role in dendrite branching.

To explore how individual *Bdnf* variants influence apical and basal dendrite complexity in hippocampal CA1 pyramidal neurons, we conducted Sholl analysis, which gives a measure of dendrite arborization by calculating the number of dendritic intersections at increasing distances from the soma. Repeated measures two-way ANOVA revealed a significant interaction between genotype and distance from the soma for apical dendrite intersections ($F_{52, 3003} = 2.343$, $p < 0.0001$; Supplementary Table 2). Posthoc comparison tests showed that for *Bdnf-e1* $-/-$, apical dendrite arborization was normal and there were no significant differences in the number of intersections at any distance from the soma compared to WT (Fig. 2a and e). For *Bdnf-e2* $-/-$ CA1 neurons (Fig. 2b), posthoc comparison tests revealed a significant increase in apical dendrite intersections at 50 μ m from the soma compared to WT neurons (Fig. 2f). In contrast, *Bdnf-e4* $-/-$ CA1 neurons (Fig. 2c) showed significantly fewer intersections at both 100 μ m and 150 μ m from the soma compared to WT CA1 neurons (Fig. 2g). Similarly, for *Bdnf-e6* $-/-$ CA1 neurons (Fig. 2d), posthoc comparisons indicated significantly fewer apical intersections at 100 μ m from the soma compared to WT (Fig. 2h).

Sholl analysis of basal dendrite arbors analyzed by repeated measures two-way ANOVA revealed a significant interaction between genotype and distance from the soma for basal dendrite intersections ($F_{36, 2079} = 1.91$, $p = 0.0009$; Supplementary Table 3). While *Bdnf-e1* and *-e4* $-/-$ CA1 neurons showed no significant differences in basal intersections compared to WT (Fig. 2i and k), *Bdnf-e2* $-/-$ showed increased intersections at 25 μ m from the soma (Fig. 2j) and *Bdnf-e6* $-/-$ showed decreased intersections at 50 μ m and 75 μ m from the soma compared to WT (Fig. 2l). In summary, loss of BDNF derived from *Ex1* transcripts has no effect on CA1 dendrite complexity, while loss of BDNF derived from *Ex2*, *Ex4*, and *Ex6* transcripts differentially impacts CA1 apical and basal arborization. Taken together, these data suggest that BDNF produced from *Ex2* transcripts may restrict dendrite complexity in apical dendrites proximal to the soma, while BDNF produced from *Ex4* and *Ex6* transcripts may promote more distal branching in both dendritic arbors.

***Bdnf* splice variants distinctly contribute to CA3 dendrite architecture**

We also generated morphological reconstructions of CA3 neurons from each *Bdnf* $-/-$ line (representative reconstructions in Fig. 3a–e). To quantify the global contribution of *Bdnf*

splice variants to CA3 dendrite morphology, we first measured the total dendritic length of CA3 pyramidal neurons from *Bdnf*-e1, -e2, -4, and -e6 $-/-$ mice (Fig. 3f). Similar to CA1 neurons, CA3 neurons showed no significant differences in total dendrite length between WT and any *Bdnf* $-/-$ line ($F_{4, 176} = 1.633$, $p = 0.1679$). Dendritic lengths of CA3 basal (Fig. 3g) and apical (Fig. 3h) arbors were also not significantly different between WT and mutants ($F_{4, 174} = 2.2$, $p = 0.0710$ and $F_{4, 177} = 1.24$, $p = 0.2955$, respectively). In addition to examining dendritic length, we also analyzed the number of apical and basal branchpoints in CA3 neurons (Figs. 3i and 3j, respectively). One-way ANOVA revealed significant differences in basal, but not apical, branchpoints between groups ($F_{4, 175} = 2.958$, $p = 0.0214$ and $F_{4, 177} = 1.947$, $p = 0.1046$, respectively; Supplementary Table 1). Consistent with increased dendritic complexity in *Bdnf*-e2 $-/-$ and decreased dendritic complexity in *Bdnf*-e6 $-/-$ CA1 neurons, we found that *Bdnf*-e2 $-/-$ CA3 neurons showed a 43% increase in basal branchpoint number compared to *Bdnf*-e6 $-/-$ CA3 neurons. Taken together, morphometric analyses of hippocampal CA3 neurons in *Bdnf*-e1, -e2, -e4, and -e6 $-/-$ mice suggest that no single *Bdnf* 5'UTR variant substantially influences dendritic length of apical or basal arbors; however, BDNF derived from Ex2 transcripts may play a unique role in regulating branchpoint number in basal arbors.

To more closely explore how individual *Bdnf* splice variants influence local apical and basal dendrite arborization in CA3 neurons, we conducted Sholl analysis on reconstructed CA3 neurons from each line. Repeated measures two-way ANOVA revealed a significant interaction between genotype and distance from the soma for apical dendrite intersections ($F_{40, 1770} = 2.646$, $p < 0.0001$; Supplementary Table 4). Posthoc comparison tests showed that for *Bdnf*-e1 $-/-$, apical dendrite arborization was normal and there were no significant differences in the number of intersections at any distance from the soma compared to WT (Fig. 4a and e). For *Bdnf*-e2 $-/-$ CA3 neurons (Fig. 2b), posthoc comparison tests revealed a significant increase in apical dendrite intersections at 100 μ m from the soma, but a significant decrease in intersections at 300 μ m from the soma compared to WT neurons (Fig. 4f). In contrast to *Bdnf*-e4 $-/-$ CA1 neurons, *Bdnf*-e4 $-/-$ CA3 neurons (Fig. 4c) showed no significant differences in dendrite complexity compared to WT (Fig. 4g). Similarly, for *Bdnf*-e6 $-/-$ CA3 neurons (Fig. 4d), posthoc comparisons indicated only strong trends for reduced apical intersections at multiple distances from the soma (Fig. 4h).

For basal dendrite Sholl analysis, repeated measures two-way ANOVA of CA3 basal intersections revealed no significant effect of genotype and no interaction between genotype and distance from the soma (Fig. 4i, j, k, l). However, consistent with morphometric analysis of total basal branchpoints (Fig. 3i), *Bdnf*-e2 $-/-$ CA3 basal arbors showed strong trends for increased basal dendrite complexity (Fig. 4j), while *Bdnf*-e6 $-/-$ CA3 basal dendrites showed a reduction in intersections at multiple distances from the soma compared to WT (Fig. 4l). In summary, loss of BDNF production from Ex1 and Ex4 transcripts has no effect on CA3 dendrite complexity, while loss of BDNF from Ex2 and Ex6 transcripts differentially impacts CA3 apical arborization. Taken together, these data suggest that *Bdnf* Ex2 and Ex6 splice variants may serve similar roles in CA1 and CA3 neurons.

Loss of BDNF from Ex6 transcripts impairs aspects of spine morphology

Given that *Bdnf*-e6 mutants showed the most dramatic changes in CA1 apical and basal dendrite arborization (Figs. 1 and 2), we asked whether loss of BDNF derived from Ex6 transcripts alters the density and morphology of spines on CA1 dendrites in the intact hippocampus. Examination of spine density on CA1 oblique apical dendrites of *Bdnf*-e6 $-/-$ mice revealed no significant differences compared to WT mice (Fig. 5a–c). Spine distribution also appeared normal with uniform localization along the dendritic shaft. To characterize the structure of dendritic spines, we measured both the height and width of spine heads on WT and *Bdnf*-e6 $-/-$ apical dendrite branches. For both parameters, spines were classified by size and binned to generate frequency histograms depicting the percentage of differently sized spine populations along a defined branch (Belichenko et al. 2009). Analysis of spine morphology on oblique apical branches by two-way ANOVA followed by Bonferroni posthoc tests showed that *Bdnf*-e6 $-/-$ branches had a significant 25% increase in the percentage of shorter spines ($<0.5\mu\text{m}$) and strong trends for decreases in the percentage of longer spines (1–2 μm) compared with WT branches ($F_{4, 445} = 5.906$, $p=0.0001$, Fig. 5d; Supplementary Table 5). This reduction in spine height was not accompanied by significant changes in spine head size (Fig. 5e), although *Bdnf*-e6 $-/-$ apical branches showed trends for increased frequency of spine heads between 0.3 μm and 0.4 μm in width and decreased frequency of spines heads between 0.5 μm and 0.6 μm in width compared to WT apical branches. Thus, frequency diagrams of CA1 apical branches suggest an increase in the percentage of short spines and a decrease in the percentage of large spines following loss of BDNF produced from Ex6 transcripts.

To determine if spine changes on *Bdnf*-e6 $-/-$ CA1 dendrites were selective to apical arbors, we also examined spine morphology on basal dendrites. We focused on tertiary basal dendrites as their branch order was more easily identified and segments were isolated enough for clear confocal imaging. Examination of spine density on *Bdnf*-e6 $-/-$ basal dendrites revealed no significant differences compared to WT dendrites (Fig. 6a–c). Unlike apical branches, *Bdnf*-e6 $-/-$ basal branches showed no significant changes in the frequency of differently sized spines (Fig. 6d–e). Thus, structural deficits in *Bdnf*-e6 $-/-$ CA1 basal arbors were specific to dendrites (Fig. 2l) and did not include alterations in dendritic spine shape. Taken together, morphological analysis of CA1 dendritic spines indicates that loss of BDNF from Ex6 transcripts selectively affects spine structure on apical, but not basal dendrites.

Discussion

The existence of multiple *Bdnf* splice variants with distinct subcellular localizations has led to the hypothesis that different *Bdnf* 5'UTR transcripts provide a spatial code for the delivery and/or local production of BDNF at specific dendritic locations to mediate structural and functional plasticity events. Here we provide further evidence in support of this hypothesis by demonstrating that loss of BDNF from individual *Bdnf* 5'UTR splice variants leads to local deficits in CA1 and CA3 apical and basal arbors in the intact hippocampus. Furthermore, we provide new evidence that *Bdnf* Ex6 transcripts influence dendritic spine structure. Our *in vivo* morphological analysis of single neurons in *Bdnf*-e1, -

e2, -e4, and -e6 mutant mice is consistent with a cell-autonomous function for BDNF as recently demonstrated (Harward et al. 2016), and suggests a mechanism by which BDNF may differentially impact the development and maintenance of specific dendritic arbors and spines in response to diverse environmental stimuli and experiences.

***Bdnf* 5'UTR splice variants impact local dendrite complexity of CA1 and CA3 neurons**

A large body of evidence has established that *Bdnf* 5'UTR splice variants are localized to different subcellular compartments in the cortex and hippocampus during both development and adulthood (Pattabiraman et al. 2005; Sathanoori et al. 2004; Tongiorgi 2008). In dissociated hippocampal pyramidal neurons, *Bdnf* Ex1 and Ex4 transcripts are restricted to the cell soma and proximal dendrites, while *Bdnf* Ex2c and Ex6 transcripts are expressed in distal dendrites (Baj et al. 2011). These results are supported by *in situ* hybridization studies in hippocampal sections showing that neuronal activity and epileptogenesis enhance the subcellular localization of *Bdnf* Ex2c and Ex6 transcripts into distinct dendritic laminae (Baj et al. 2012; Chiaruttini et al. 2008; Tongiorgi et al. 2004). While these studies have provided a strong foundation for the role of individual *Bdnf* splice variants in regulating hippocampal dendrite morphology, the present study is the first to directly examine the contribution of BDNF derived from distinct 5'UTR *Bdnf* transcripts to CA1 and CA3 apical and basal dendrite arborization *in vivo*.

Consistent with previous studies (Baj et al. 2011; Chiaruttini et al. 2008), our results show that loss of BDNF from Ex2 and Ex6 transcripts in *Bdnf*-e2 and -e6 $-/-$ mice, respectively, significantly impacts CA1 and CA3 dendrite morphology (Figs. 1 and 3). *Bdnf*-e4 $-/-$ also show mild impairments in distal CA1 dendrite architecture, suggesting that Ex4 transcripts may play an important role in activity-induced dendrite branching despite evidence of restricted localization to the soma and proximal dendrites. While siRNA knockdown of Ex1 and Ex4 transcripts in mixed hippocampal cultures did not significantly alter distal dendrite arborization (Baj et al. 2011), it is possible that subtle branching changes may have been masked *in vitro* as (1) dissociated neurons are removed from their normal synaptic inputs and (2) CA1 and CA3 neurons are not readily distinguishable making it difficult to detect changes that are unique to each pyramidal neuron class. One advantage to our study is that we reconstruct dendritic morphology in the context of the highly organized tri-synaptic circuit in the intact hippocampus. Furthermore, we are able to differentiate between CA1 and CA3 hippocampal pyramidal neurons, which are functionally distinct and receive inputs from different cell populations. Therefore, the present study may have captured novel roles for *Bdnf* Ex4 transcripts in distal dendrite branching that were previously difficult to observe in mixed hippocampal cultures. However, it should be noted that we examined neuronal structure at a baseline condition in which mice were not exposed to specific stimuli that enhance neural activity. Future studies should examine whether *Bdnf*-e1, -e2, -e4, and -e6 $-/-$ mice show impairments in activity-induced remodeling following robust neuronal stimulation, such as kindling or electroconvulsive seizures. As suggested by *in vivo* localization studies (Chiaruttini et al. 2008; Sathanoori et al. 2004), it is likely that differences between *Bdnf* splice variants in shaping dendritic architecture would be more dramatic following induction of widespread activity.

Unique roles for *Bdnf* Ex2 and Ex6 transcripts in dendrite arborization

In addition to implicating *Bdnf* Ex4 transcripts in dendrite arborization, our *in vivo* morphological reconstructions provide evidence that Ex2 and Ex6 transcripts are not entirely redundant. In fact, while Ex2 and Ex6 transcripts both affect dendritic morphology, they appear to exert opposing effects in both pyramidal cell types. Loss of BDNF from Ex6 transcripts impairs both apical and basal dendritic architecture at multiple distances from the soma in CA1 neurons (Fig. 2h,l), and there are strong trends for similar phenotypes in CA3 neurons (Figs. 4h,l). On the other hand, loss of BDNF derived from Ex2 transcripts increases apical dendrite complexity proximal to the soma in the stratum lucidum region of the hippocampus in both CA1 and CA3 neurons (Figs. 2f and 4b). In CA3, the stratum lucidum contains the terminal fields of granule cell mossy fibers (Tongiorgi et al. 2004), suggesting that BDNF from Ex2 transcripts may be playing an important role in pruning these synaptic connections. This would be consistent with observations in cortical layer VI neurons, where BDNF has been shown to restrict basal dendrite arborization (McAllister et al. 1997; McAllister et al. 1995). As distal dendrite branching is reduced in *Bdnf-e2 -/-* CA3 neurons (Fig. 4b), it is possible that BDNF from Ex2 transcripts supports proximal pruning in favor of extending more distal dendrites into other laminae of the hippocampus. Taken together, these results suggest that BDNF synthesized from Ex2 and Ex6 transcripts may have different, possibly opposing, roles in shaping dendritic arbors. Further studies are needed to determine whether these transcripts show differences in activity-induced translational regulation and whether loss of one transcript causes compensatory changes in other *Bdnf* splice variants. Future developmental studies would also provide important insights into the role of *Bdnf* Ex2 and Ex6 transcripts in dendrite formation, maintenance, and pruning during critical periods that are especially sensitive to neuronal activity.

Bdnf Ex6 transcripts influence CA1 dendrite architecture

Our results extend previous studies identifying that *Bdnf* Ex6 transcripts are important for CA3 dendrite morphology by showing that they are also critical for CA1 dendrite morphology. Baj et al. (2012) show that physical exercise and antidepressants induce targeting of *Bdnf* Ex6 transcripts to CA3 apical dendrites *in vivo*, suggesting a key role for promoter VI-derived BDNF at synapses between granule cell mossy fibers and CA3 pyramidal neuron dendrites. We demonstrate that BDNF produced from Ex6 transcripts also promotes dendrite growth and branching in CA1 apical and basal dendrites (Figs. 1 and 2), indicating a key role for promoter VI-derived BDNF at synapses between CA1 and CA3 neurons. Indeed, *Bdnf-e6 -/-* CA1 neurons show significant decreases in apical dendrite complexity at 100µm from the soma in the stratum radiatum region, which is a functionally distinct compartment that receives large numbers of inputs from CA3 via Schaffer collaterals. We also show an important function for BDNF from Ex6 transcripts in CA1 basal dendrite branching, which has been previously unexplored both *in vitro* and *in vivo*. Although *in situ* hybridization data suggest that activity does not induce localization of *Bdnf* mRNA into the stratum oriens region of the hippocampus, a compartment which harbors the basal dendrites of CA1 and CA3 neurons (Tongiorgi et al. 2004), our results demonstrate that *Bdnf* Ex6 transcripts may still have a role in shaping these arbors. Dynamic changes in local translation of *Bdnf* Ex6 transcripts following activity might explain a unique role for this transcript in activity-dependent structural plasticity despite static mRNA localization.

***Bdnf* splice variants and CA1 dendritic spine morphology**

In light of new evidence that BDNF is released from CA1 dendrites and engages in autocrine signaling within individual dendritic spines (Harward et al. 2016; Hedrick et al. 2016), it is timely to consider the contribution of *Bdnf* 5' UTR splice variants to spine formation and maintenance. Previous studies have shown that alternative *Bdnf* 3' UTRs differentially contribute to spine morphology in CA1 pyramidal neurons (An et al. 2008). In particular, long 3' UTR mRNAs are expressed in dendrites and disruption of these transcripts leads to enlargement of spines and impairments in spine pruning. However, the contribution of individual 5' UTR splice variants to spine density and shape has not been previously examined.

Here we provide evidence that loss of BDNF from Ex6 transcripts in *Bdnf*-e6 $-/-$ mice is associated with shifts in the size of differently sized spine populations on CA1 apical dendrites (Fig 5d). These results are consistent with morphological studies in mice with conditional deletion of BDNF in post-mitotic neurons showing a decrease in mature, mushroom-type spines and an increase in immature thin-type spines on CA1 mid-distal apical dendrites (Rauskolb et al. 2010). Decreases in spine length in *Bdnf*-e6 $-/-$ CA1 neurons were specific to apical dendrites and were not observed in basal dendrites (Fig. 6). However, we restricted our spine analysis to apical oblique dendrites in the stratum radiatum and tertiary basal dendrites in the stratum oriens, so it is possible that additional changes in spine density or morphology may occur in other branches of CA1 apical and basal dendrites. As *Bdnf* Ex6 transcripts differentially impact dendrite complexity at various distances from the soma in defined synaptic regions, it will be important to determine whether loss of transcripts in specific dendritic compartments locally impacts structural and functional plasticity of dendritic spines. It is also likely that individual CA1 neurons show differential expression of *Bdnf* Ex6 transcripts; therefore, selectively analyzing neurons that rely heavily on BDNF derived from promoter VI, potentially for autocrine signaling in dendritic spines, may reveal more exaggerated differences in *Bdnf*-e6 mutants.

In conclusion, our results provide *in vivo* evidence that *Bdnf* 5' UTR mRNA splice variants play a key role in structural organization of key cell types in the hippocampus. These data support the hypothesis that differences in the expression and localization of individual *Bdnf* transcripts creates a spatial code that facilitates local structural and functional plasticity in specific synaptic connections between hippocampal neurons.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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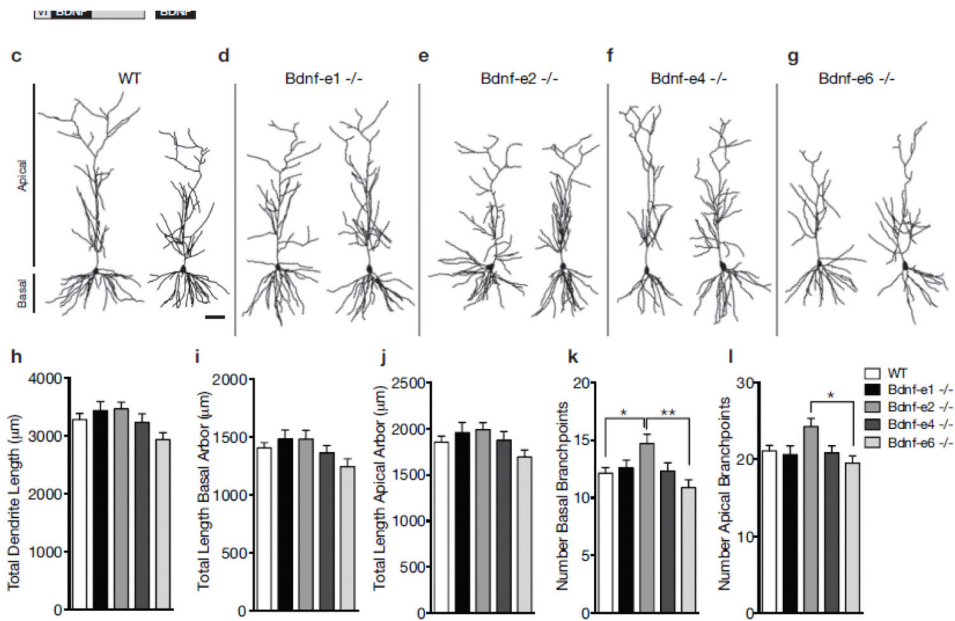


Fig. 1. *Bdnf* splice variants impact branching, but not length, of CA1 dendrite arbors. **a** Schematic of *Bdnf* gene transcription. Transcription is initiated from promoters upstream of unique 5' untranslated regions (UTRs) and spliced to the common coding exon IX. Each transcript produces the same BDNF protein. **b** Design of *Bdnf*^{-/-} mice using *Bdnf-e1*^{-/-} as a representative example. Targeting vectors were designed to insert an enhanced green fluorescent protein (eGFP) upstream of the exon's splice donor site. *Bdnf-e1* mice express a *Bdnf-I-eGFP-STOP-BdnfIX* transcript leading to the production of GFP in lieu of BDNF. **c–g** Representative neuroLucida tracings of CA1 pyramidal neuron apical and basal dendrites for WT (**c**) and *Bdnf-e1* (**d**), *-e2* (**e**), *-e4* (**f**), and *-e6* (**g**) ^{-/-} mice. **h–l** Morphometric analyses of total dendritic length (**h**), total basal arbor length (**i**), total apical arbor length (**j**), number of basal branchpoints (**k**) and number of apical branchpoints (**l**) in WT and *Bdnf-e1*, *-e2*, *-e4*, and *-e6* ^{-/-} CA1 neurons. *Bdnf-e2* ^{-/-} have significantly more basal branchpoints compared to WT and *Bdnf-e6* ^{-/-}. *Bdnf-e2* ^{-/-} also have significantly more apical branchpoints compared to *Bdnf-e6* ^{-/-}. Data are analyzed using one-way ANOVA followed by Tukey posthoc tests and presented as mean ± SEM, *p<0.05, **p<0.01. Scale bar 50µm. Basal and apical arbors are denoted in (**c**).

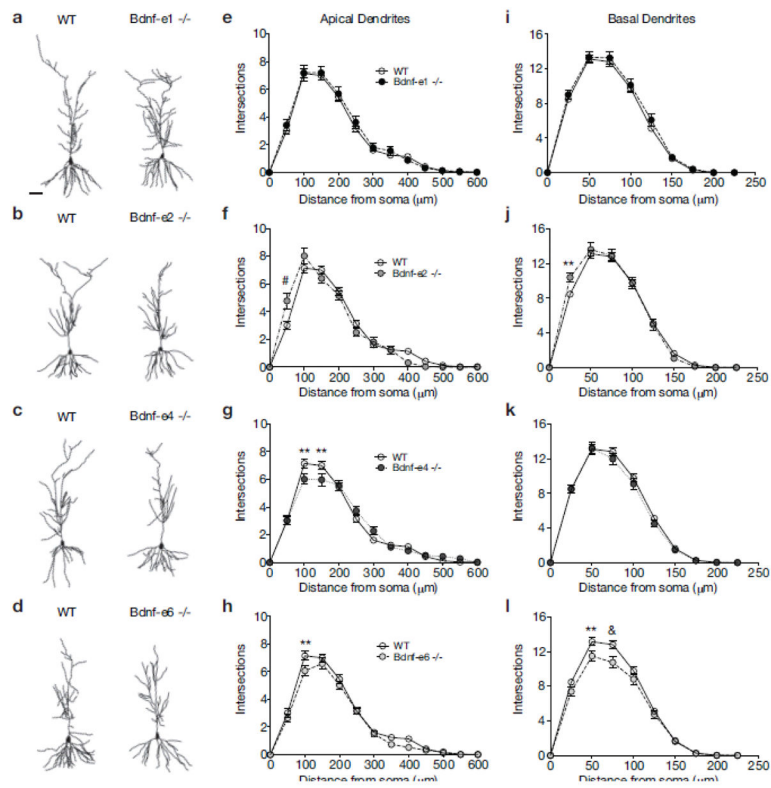


Fig. 2. BDNF derived from Ex2 and Ex6 transcripts has opposing roles in CA1 dendrite complexity. **a–d** Illustrative comparisons of WT and Bdnf -e1 (**a**), -e2 (**b**), -e4 (**c**), and -e6 (**d**) $-/-$ CA1 neuroLucida tracings. **e–h** Sholl analyses of CA1 apical arbors in Bdnf-e1 (**e**), -e2 (**f**), -e4 (**g**) and -e6 (**h**) $-/-$ mice measuring number of dendritic intersections in 50 μ m increments from the soma. **i–l** Sholl analyses of CA1 basal arbors in Bdnf-e1 (**i**), -e2 (**j**), -e4 (**k**) and -e6 (**l**) $-/-$ mice measuring number of dendritic intersections in 25 μ m increments from the soma. Bdnf-e2 and -e4 $-/-$ show increased branching compared to WT at particular distances from the soma, while Bdnf-e6 $-/-$ show decreased branching. Data are analyzed using repeated measures two-way ANOVAs followed by Bonferroni posthoc tests and presented as the mean \pm SEM, * $p < 0.05$, ** $p < 0.01$, & $p < 0.001$, # $p < 0.0001$. Scale bar 50 μ m. WT group is same for **e–h** and **i–l**.

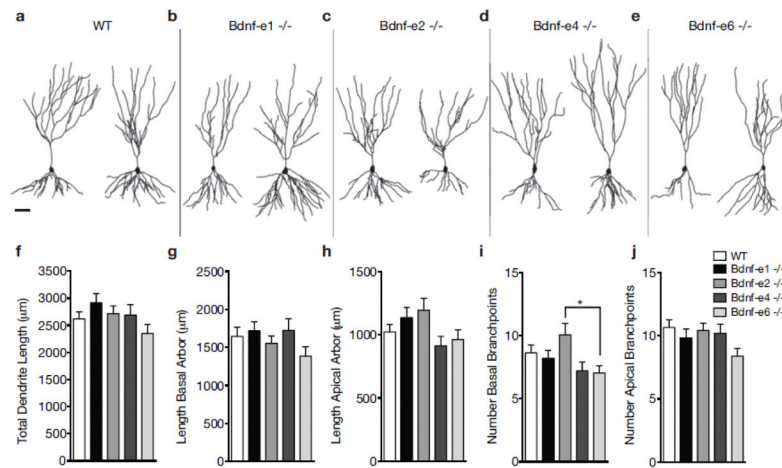


Fig. 3. *Bdnf* splice variants influence branching of CA3 dendrite arbors. **a–e** Representative neuroLucida tracings of CA3 pyramidal neuron apical and basal dendrites for WT (**a**) and *Bdnf* -e1 (**b**), -e2 (**c**), -e4 (**d**), and -e6 (**e**) ^{-/-} mice. **f–j** Morphometric analyses of total dendritic length (**f**), total basal arbor length (**g**), total apical arbor length (**h**), number basal branchpoints (**i**) and number of apical branchpoints (**j**) in WT and *Bdnf*-e1, -e2, -e4, and -e6 ^{-/-} CA3 neurons. Consistent with findings in CA1 neurons, *Bdnf*-e2 ^{-/-} show increased basal branchpoints compared to *Bdnf*-e6 ^{-/-}. Data are analyzed using one-way ANOVA followed by Tukey posthoc tests and presented as mean ± SEM, **p*<0.05. Scale bar 50µm.

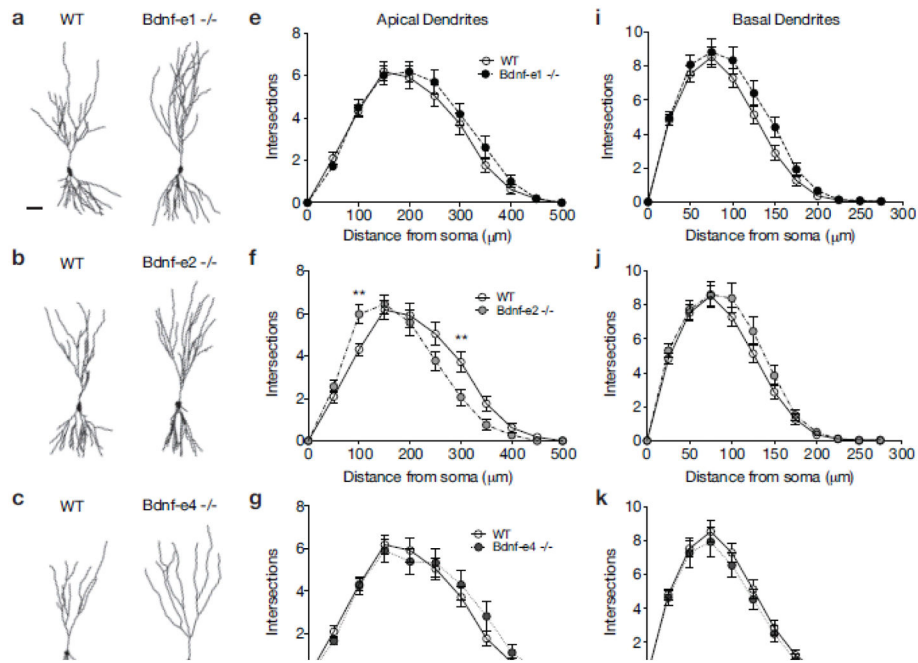


Fig. 4. *BdnfEx2* transcripts also influence dendrite complexity in CA3 neurons. **a–d** Illustrative comparisons of WT and *Bdnf* -e1 (**a**), -e2 (**b**), -e4 (**c**), and -e6 (**d**) -/- CA3 neurolocuda tracings. **eh** Sholl analyses of CA3 apical arbors in *Bdnf*-e1 (**e**), -e2 (**f**), -e4 (**g**) and -e6 (**h**) -/- mice measuring number of dendritic intersections at 50μm increments from the soma. **i–l** Sholl analyses of CA3 basal arbors in *Bdnf*-e1 (**i**), -e2 (**j**), -e4 (**k**) and -e6 (**l**) -/- mice measuring number of dendritic intersections at 25μm increments from the soma. *Bdnf*-e2 -/- show a shifted branching curve compared to WT with increased intersections proximal to the soma and decreased intersections distal from the soma. Data are analyzed using repeated measures two-way ANOVAs followed by Bonferroni posthoc tests and presented as the mean ± SEM, **p<0.01. Scale bar 50μm. WT group is same for **e–h** and **i–l**.

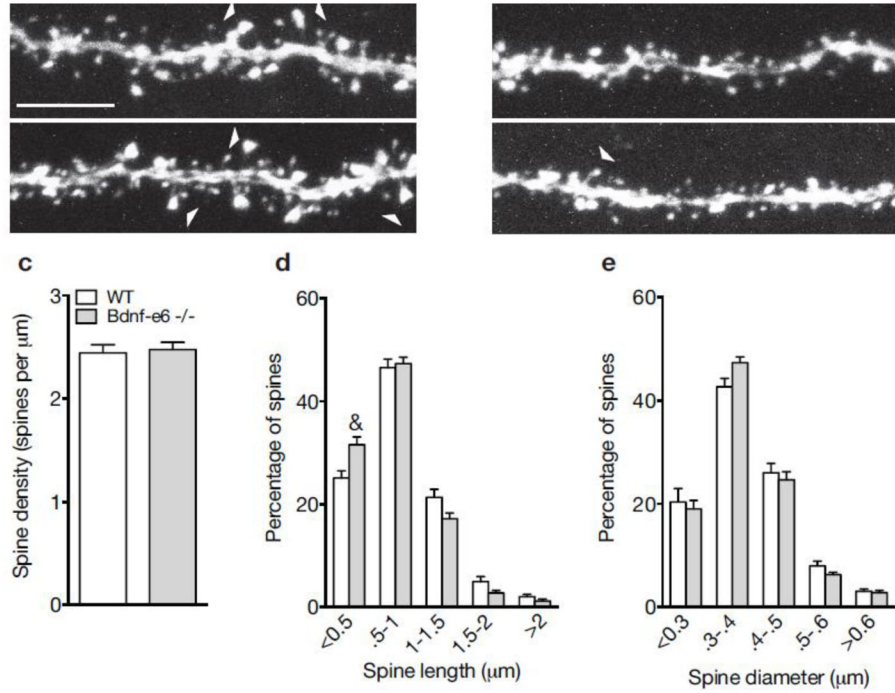
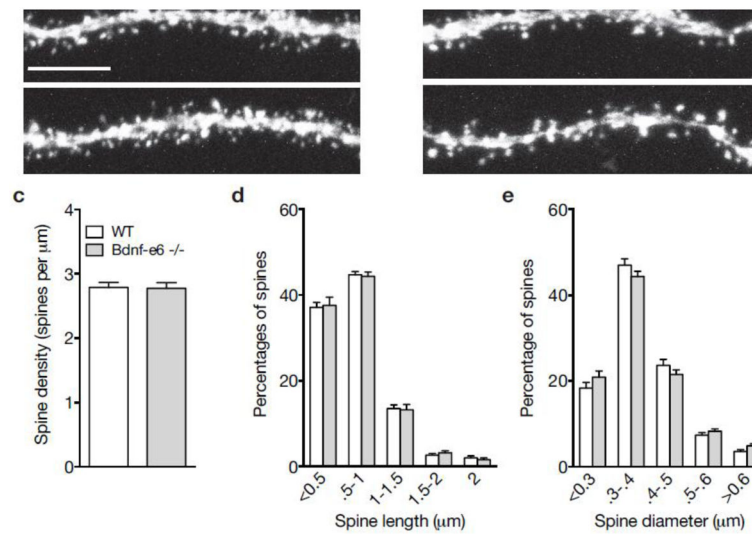


Fig. 5. Loss of BDNF derived from Ex6 transcripts impairs spine morphology on CA1 apical dendrites. **a–b** Confocal z-projections of representative WT (**a**) and Bdnf-e6 -/- (**b**) apical branches on GFP-labeled CA1 neurons. **c** Quantification of spine density (spines per μm) on WT and Bdnf-e6 -/- CA1 apical branches (Student’s *t*-test). **d** Frequency histogram of spine height size on WT and Bdnf-e6 -/- CA1 apical branches. Bdnf-e6 -/- show an increase in the percentage of shorter spines compared to WT. **e** Frequency histogram of spine head width on WT and Bdnf-e6 -/- CA1 apical branches. Bdnf-e6 -/- display strong trends for increases in smaller spine populations and decreases in larger spine populations. Data are analyzed using ordinary two-way ANOVAs followed by Bonferroni posthoc tests and presented as the mean \pm SEM, &p<0.001. Scale bar 5 μm .

**Fig. 6.**

Bdnf-e6 $-/-$ show normal spine density and morphology on CA1 basal branches. **a–b** Confocal z-projections of representative WT (**a**) and Bdnf-e6 $-/-$ (**b**) basal branches on GFP-labeled CA1 neurons. **c** Quantification of spine density (spines per μm) on WT and Bdnf-e6 $-/-$ CA1 basal branches (Student's *t*-test). **d** Frequency histogram of spine height size on WT and Bdnf-e6 $-/-$ CA1 basal branches. **e** Frequency histogram of spine head width on WT and Bdnf-e6 $-/-$ CA1 basal branches. Data are analyzed using ordinary two-way ANOVAs followed by Bonferroni posthoc tests and presented as the mean \pm SEM. Scale bar $5\mu\text{m}$.