

## ORIGINAL ARTICLE

# Twelve-month trajectories of depressive and anxiety symptoms and associations with traumatic exposure and ongoing adversities: a latent trajectory analysis of a community cohort exposed to severe conflict in Sri Lanka

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We conducted a 12-month follow-up of a population sample of adults from districts (Mannar, Killinochi, Mullaitivu and Jaffna) exposed to high levels of mass conflict in Sri Lanka, the aim of the present analysis being to identify trajectories of depression and anxiety symptoms and their associations with exposure to psychological trauma and ongoing living adversities. The cohort of 1275 adults (response 86%) followed-up in 2015 was a structured subsample drawn from the baseline nationally representative survey conducted in 2014 across 25 districts in Sri Lanka. Interviews were conducted using electronic tablets by field workers applying contextually adapted indices of trauma exposure, ongoing adversities and symptoms of depression and anxiety. Latent transition analysis revealed a three-class longitudinal model from which four composite trajectories were derived, comprising a persistent symptom trajectory ( $n = 555$ , 43.5%), an incident or new onset trajectory ( $n = 170$ , 13.3%), a recovery trajectory ( $n = 299$ , 23.5%) and a persistently low-symptom trajectory ( $n = 251$ , 19.7%). Factors associated with both the persistent symptom and incident trajectories were female gender, past trauma exposure and lack of access to health services. Loss of a job was uniquely associated with the persisting trajectory at follow-up. The recovery trajectory comprised a higher proportion of men, older persons and those without risk factors. Our findings assist in translating epidemiologic data into public policy and practice by indicating the importance of stable employment and the provision of healthcare as key factors that may act to reduce anxiety and depressive symptoms in the post-conflict phase. The findings also confirm that women are at high risk of mental distress. Brief screening for trauma exposure in populations with high levels of exposure to mass conflict may assist in defining those at risk of ongoing symptoms of anxiety and depression.

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## INTRODUCTION

Population-focused mental health surveys applying screening measures have identified a high prevalence of depression and anxiety symptoms among communities exposed to mass conflict and displacement compared to societies that have remained unaffected by these upheavals.<sup>1,2</sup> In general, these inquiries confirm that survivor populations manifest high levels of anxiety and depressive symptoms, at least in the short- and medium-term following conflict.<sup>3</sup> An important task, however, is to design and analyse epidemiological studies in a manner that ensures their capacity for translation into effective public policy, a key aim being to define subpopulations that follow distinctive symptom trajectories over time. In particular, it is important to identify and characterize two subpopulations: those with persisting symptoms and those at risk of new onset distress. In addition, from a public health and translational perspective, it is vital to identify potentially modifiable factors that shape symptom trajectories, knowledge that will direct social recovery programs toward strategies that are most likely to mitigate or prevent mental distress in the community as a whole.

By far the majority of extant epidemiological studies in the field are incapable of providing definitive evidence relating to the aforementioned issues because of their cross-sectional design,<sup>2</sup> the few existing longitudinal studies in the field being limited by their non-representative designs and modest sample sizes.<sup>4–6</sup> An additional issue is whether it is necessary to measure symptoms of both anxiety and depression, given that these symptom constellations overlap and/or show a pattern of transition from one pattern to the other over time.<sup>7</sup>

An approach to achieve these translational outcomes has been established by researchers in high-income countries among populations exposed to civilian forms of trauma. These studies have defined four broad subpopulations according to their trajectories over time, that is, those showing persisting, delayed or late-onset symptoms, recovery and consistently low or no symptoms.<sup>8–10</sup> It is important to establish whether these trajectories are universally applicable, especially under the specific conditions that pertain to post-conflict countries.

Defining the populations in need of mental health interventions is important in post-conflict countries given the large treatment

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gap in addressing common symptoms of mental distress in the community,<sup>11</sup> a problem arising from an extreme scarcity of skills and resources.<sup>12</sup> One partial solution is to apply targeted social programs to problems demonstrated to be the cause of ongoing distress, for example, insecure employment and access to health care. Access to these social goods may have a more general effect of increasing a sense of security and hope for the future, an ethos that is important to promoting the overall program of recovery and development.<sup>13</sup> It is noteworthy in that respect, that our analysis of the large baseline sample from which the present cohort was drawn,<sup>14</sup> found associations between anxiety and depressive symptoms and a range of potentially modifiable social factors such as ongoing food insecurity, loss of jobs, lack of access to basic services and concerns about safety. Only a longitudinal study, however, is capable of assessing the influence of these factors on the trajectory of anxiety and depressive symptoms over time, thereby providing more definitive guidance in pinpointing social programs and interventions that may mitigate adverse mental health outcomes in these settings.

An important consideration in undertaking epidemiological studies is to ensure that the measures used can be adopted in routine public health services for screening, selection of high-risk groups, and ongoing monitoring. Clearly, the shorter the screening measures, the more readily they are applied in busy service environments and the more likely there will be adherence by both practitioners and patients. In that regard, it remains to be clarified whether instruments that contain lists of both common depressive and anxiety symptoms are necessary to achieve the outcome of defining persons in distress. In cross-sectional studies, symptoms of anxiety and depression commonly overlap,<sup>15</sup> it may also be the case that there is a pattern of transition from one symptom pattern to the other over time.<sup>7,16</sup> If so, it may be sufficient to measure one domain only, for example, symptoms of depression, allowing inferences to be drawn about the likely prevalence and trajectory of anxiety. Our longitudinal study offers the opportunity to examine this issue further.

Debate has persisted as to which factors exert the greatest influence on mental health in post-conflict settings, the chief competing candidates being exposure to past traumas<sup>17,18</sup> and the ongoing stressors in the post-conflict environment.<sup>19</sup> A balanced view apportions a role to both factors in shaping mental health outcomes,<sup>5,20,21</sup> a position that suggests that each needs to be considered in identifying persons at risk of ongoing distress and in designing policies and practices aimed at advancing emotional recovery among survivor populations. In undertaking epidemiological studies in Sri Lanka, it is essential to take into account heterogeneity in the ethnic and cultural backgrounds of the constituent population. The Sinhalese represent the majority (74% of the population), Tamils comprising the largest minority (12%). Smaller groups include Indian Tamils (6%) who are distinct from the long-term resident population and Sri Lankan Muslims/Moors (9%).<sup>22</sup>

The present analysis of a 12-month follow-up study in Sri Lanka aims to test a number of hypotheses: (1) that a readily interpretable pattern of symptom trajectories can be identified, namely, persisting high, persisting low, recovery and new onset; (2) that past trauma exposure and ongoing adversities influence these trajectories in a manner that is consistent with theoretical expectations and the potential to identify groups in greatest need of mental health attention; (3) that predictors of the poorer outcome trajectories may include potentially modifiable factors, such as employment security and access to health care, that are amenable to be addressed by progressive social and public health policies and programs; and (4) that anxiety and depressive symptoms tend to show a heterotypic pattern (that is a high level of comorbidity and transition from one constellation to the other) over time, suggesting the possibility that public health screening for one constellation may be adequate.

## MATERIALS AND METHODS

### Context

Sri Lanka is representative of a country recovering from a long period of conflict and mass trauma. The protracted civil war (1983–2009) led to extensive although uneven exposure to trauma and displacement across the population. Military action involving opposing armed forces of the government (GoSL) and the Liberation Tigers of Tamil Eelam (LTTE) resulted in widespread physical injuries and deaths, mass displacement of whole populations, and associated deprivation of food, water and medical care.<sup>23</sup> In the later period of conflict, the LTTE achieved control of territories in the north-eastern region of the country, forming a *de facto* state with its administrative capital in Kilinochchi. By escalating its military activity, GoSL regained control of the north, the intensity of the warfare resulting in the mass displacement of virtually all civilians of the former LTTE controlled areas (Mullativu, Killinochi).<sup>24</sup> Within 3 years after the cessation of conflict, in which GoSL regained control over the entire disputed territory, over a quarter million of internally displaced persons were returned to their homes.<sup>25</sup>

### Sample

Details of the baseline study have been published elsewhere.<sup>14</sup> In summary, the baseline survey conducted in 2014 recruited a nationally representative sample of the adult population ( $n = 20\,632$ ) of Sri Lanka. The mental health measure (see hereunder) comprised a discrete subcomponent of the study, the broader survey focusing on determining the migration intentions of the population, that is, defining the socio-demographic characteristics of those who wished to remain in the country or emigrate, the aim being to attempt to address the factors that were compelling the latter to leave their homeland. In relation to the present analysis, the important finding in our preliminary analysis was that there was no difference on our primary mental health measure between the population subgroups expressing an intent to emigrate or to remain in Sri Lanka.

The follow-up survey of a structured subsample ( $n = 5136$ ) drawn from the baseline sample was conducted in 2015. All analyses on that subsample reported here were weighted (see details hereunder) to ensure that the follow-up sample was representative of the larger baseline population.

In relation to the details of our sampling approach, we applied a multi-stage sampling design to recruit the baseline group, covering (1) all districts of Sri Lanka that were exposed to mass conflict ( $n = 8$ ); (2) Colombo, the capital; and (3) nine districts randomly selected from the remaining 16 not exposed to conflict. Sampling units were selected at the second lowest administrative level (Grama Sevaka, DS) using the probability proportional to size (PPS) method based on national census data gathered in 2012. Eight DSs were selected for large districts and four for small districts (smaller districts were defined as those with fewer than 4 DSs and less). Next, we selected units of the lowest administrative level (Grama Niladari, GN), using probability proportion to size method. Within large districts, eight DSs were surveyed and five GNs were surveyed within each DS. However, within smaller districts (i.e. those that had less than eight DSs in total), four DSs were surveyed and ten GNs were surveyed in each DS. Houses within each GN were divided into blocks of three, where one house in each block was selected at random until 28 were selected. The baseline response rate from the 26 600 people approached was 81%.

The follow-up survey was structured to include 2500 randomly selected persons from 2982 who expressed an intention to emigrate at baseline and 3500 of 17151 who had no intention to emigrate. Of these 6000 persons approached at follow-up, 5136 were re-interviewed in 2015, a response rate of 86%. Non-participants were almost always those who could not be re-contacted because they had relocated.

From the follow-up sample, we identified 1275 respondents residing in the four severe conflict zones included in the study: Mannar, Killinochi, Mullaitivu and Jaffna. Severe exposure was defined by two criteria: heavily and directly impacted by warfare between January 2008 and May 2009 (the final campaign of the war), and levels of population displacement >75%. In addition, because of its extensive exposure to warfare and displacement over time, Jaffna was included<sup>26</sup> (see Table 1 for the distribution of respondents across each conflict zone).

### Measures

*Symptoms of depression and anxiety.* Anxiety and depressive symptoms were assessed at baseline and follow-up using the Hopkins Symptoms Checklist (HSCL-25),<sup>27</sup> a 25-item cross-culturally validated measure of

**Table 1.** Socio-demographic and mental health characteristics of the follow-up sample ( $n = 1275$ )

	2014 ( $n = 1275$ )	%	2015 ( $n = 1275$ )	%
<b>Sex<sup>a</sup></b>				
Male	344	27	344	27
Female	930	73	930	73
<b>Age group (years)</b>				
≥60	163	12.8	190	14.9
51–60	197	15.5	204	16
41–50	242	19	241	18.9
31–40	359	28.2	364	28.6
18–30	314	24.6	276	21.7
<b>Marital status<sup>b</sup></b>				
Married	1150	90.3	1159	91
Never married (single/ other)	124	9.7	115	9
<b>Highest level of educational attainment<sup>c</sup></b>				
None	14	1.1	19	1.5
Completed primary education	348	27.3	429	33.7
Completed high school	878	68.9	799	62.7
Completed university or tertiary	34	2.7	27	2.1
<b>Mental health outcomes<sup>d</sup></b>				
Depression (≥2.2)	557	43.8	323	25.4
Anxiety (≥2.2)	313	24.6	277	21.7
<b>Ethnicity</b>				
Tamils	1184	92.9	1184	92.9
Moors	90	7.1	90	7.1
<b>No. of respondents in four severe conflict zones in the northern SL</b>				
Mannar	301	23.6	301	23.6
Killinochi	314	24.6	314	24.6
Mullaitivu	307	24.1	307	24.1
Jaffna	353	27.7	353	27.7

<sup>a</sup>One missing. <sup>b</sup>One missing. <sup>c</sup>One missing. <sup>d</sup>One missing (two missing on HSCL in 2014).

depression and anxiety symptoms used extensively among post-conflict and refugee populations worldwide.<sup>28</sup> The HSCL-25 has been translated into Tamil for a study in the north of Sri Lanka<sup>29</sup> and among asylum seekers in Australia.<sup>30,31</sup> We translated the measure into Sinhalese. Translations followed accepted international procedures for translation and back translation.<sup>32</sup> Previous psychometric testing of the HSCL across culturally distinct populations from Sub-Saharan Africa,<sup>33</sup> Eastern Europe<sup>34</sup> and Asia<sup>35–38</sup> yielded sound internal consistency (Cronbach's alpha ≥ 0.90 for the entire scale; ≥ 0.85 for the depression subscale, ≥ 0.76 for the anxiety subscale), inter-rater reliability (intra-class  $r \geq 0.80$ ), and test–retest reliability (≥ 0.80) for the scale as a whole. Respondents rated each symptom according to the conventional four-point frequency scale (1 = not at all, 2 = a little, 3 = quite a lot, 4 = extremely). In the baseline study, the HSCL-25 was tested for its ease of administration in a pilot study of 1000 persons drawn from all relevant ethnic groups. In addition, we found a sound level of test–retest reliability for the HSCL-25 among a random subsample ( $n = 1000$ ) of respondents from the present sample re-interviewed following the full survey (depression subscale: Kappa = 0.80; anxiety subscale: Kappa = 0.85; full measure: 0.89). We defined 'symptomatic depression' and 'symptomatic anxiety' according to the conventional international cut-off scores of > 1.75 for each subscale.

#### Exposure to traumatic events

Constraints in the duration of assessments limited inclusion in the baseline study of the HSCL-25 only as a measure of mental health (depression and anxiety). At follow-up, however, we were able to extend the mental health

component to assess 21 potential traumatic events drawn from the Harvard Trauma Questionnaire and modified to the context of Sri Lanka.<sup>39</sup> Items included political imprisonment, assault, torture, witnessing murder, exposure to atrocities and combat situations, sexual violation, losses of family or close others, and severe deprivation of shelter, food, water and medical care for self or others. A trauma count was generated by assigning a score of 1 for each endorsed potential traumatic event type.

#### Ongoing adversities

Based on knowledge within the team and available sources, DJ devised questions assessing exposure to a wide range of stresses, including potential threatening conditions and ongoing adversities consistent with the contemporary political and historical context of the country. Focus groups involving community participants endorsed the relevance of items assessing threatening conditions and ongoing adversities (including shortage of food, job loss, access to healthcare and safety in the community). In our baseline survey, respondents provided categorical responses (yes/no) to a list of items detailing these events/conditions in the previous 2 years (2012–2014). At follow-up, we inquired whether participants had experienced the listed stressors during the year between surveys (2014–2015). In our analysis, we applied only items related to ongoing adversities. Based on these data, we generated a binary variable for limited access to healthcare. We also derived a total trauma count by adding the endorsed events (each scored 0 or 1) based on the Harvard Trauma Questionnaire list that reflected the major traumas experienced during the extensive civil war.

#### Personnel and training

Members of the research team trained local field workers ( $n = 83$ ) in applying the measures using an electronic platform. Interviews were conducted in the home language (either Sinhala or Tamil) in strict privacy and responses were entered directly into tablet devices. Data were accessed daily by the lead survey manager alone.

#### Ethics

Ethical permission was granted for the present study by the Australian National University Human Ethics Committee (protocol number 2013/677) and by the Ethics Review Committee of the Faculty of Medicine, University of Colombo, Sri Lanka (EC-16-121). Oral consent was obtained from respondents given reluctance by some participants (identified in piloting) to provide written consent.

#### Statistical analysis

We excluded records with extensive missing data ( $n = 650$ ), resulting in a final analytic sample of 4486 persons. From that pool, we identified a subsample ( $n = 1275$ ) of all respondent residents in four severe conflict districts (Mannar, Killinochi, Mullaitivu and Jaffna) for the present analysis. All analyses were based on an adjusted follow-up sample in which sampling weights corrected for stratification and clustering. The sampling weights ensured that the follow-up sample was representative of the baseline sample in relation to fixed and time-variant characteristics (sex, age, marital status, education levels, number of household members and depression/anxiety scores indexed by the Hopkins Symptoms Checklist-25); and that the follow-up sample was adjusted for non-response and clustering.

We applied McNemar's test to assess for differences in prevalence of anxiety and depressive symptoms between baseline and follow-up. We tested two cross-sectional latent class analysis (LCA) models to identify subpopulations with distinctive patterns of anxiety and depressive symptoms (and their combination) at baseline and follow-up. We followed convention by increasing the number of classes serially, examining conventional model-fit indicators using the Bayesian Information Criterion (BIC), sample size-adjusted Bayesian Information Criterion (SS-BIC) and the Akaike's Information Criterion (AIC).<sup>40,41</sup> Lower values of these indicators suggest a better fit in comparing successive latent class models. In addition, we applied the Vuong–Lo–Mendell–Rubin (VLMR) and the Lo–Mendell–Rubin (LMR) adjusted likelihood ratio tests that compare the fit of a latent class model of  $n$  classes to one with  $n+1$  classes.<sup>42</sup> In making an overall judgement concerning the best fitting model, we took into account the principles of parsimony and interpretability (according to the existing data and theory), the degree of class separation, entropy (classification

quality) and the homogeneity of item conditional probabilities within classes.<sup>41</sup>

We then used unconditional latent transition analysis (LTA) to examine the trajectories of symptoms over time. The measurement component of the LTA identifies whether homogeneous classes can be identified based on symptom patterns at each time point, whereas the structural (autoregressive) component identifies the pattern of transitioning of persons from one symptom class to another over time.<sup>43,44</sup>

We used likelihood ratio tests to compare a model in which all parameter estimates (thresholds) were held equal within classes across time with one in which thresholds were allowed to vary. To account for potential classification errors in the longitudinal LTA model, we used log ratios based on the most likely class memberships obtained from each cross-sectional LCA.<sup>45,46</sup> LTA analysis produced 16 longitudinal classes; because of low representation in several longitudinal classes, we combined classes into rational groupings relevant to clinical and public health translational priorities.

In the final step, in multinomial logistic regression analysis, we entered relevant variables to predict the derived trajectories, based on three sequential entry blocks ((1) socio-demographic characteristics; (2) trauma exposure; and (3) ongoing adversities including shortage of food, loss of jobs, limited access to healthcare and safety in the community). In the analysis, the low-symptom trajectory was used as the reference group. The analyses were performed in STATA version 14<sup>[ref. 47]</sup> and Mplus version 7.<sup>48</sup>

## RESULTS

### Socio-demographic characteristics

Table 1 reports the socio-demographic characteristics of the analytic cohort as it changed over time. The mean age increased from 41.8 (s.d.=0.21) to 42.9 (s.d.=0.21). Rates of marriage and education also increased slightly at follow-up. There was an overall reduction in depression and anxiety symptoms from baseline to follow-up. Closer analysis showed that this reduction involved the majority of individual symptoms of depression and anxiety over time (Table 2).

### Cross-sectional LCA

Table 3 documents the findings of the LCA models conducted to identify subpopulations with differential patterns of anxiety and depressive symptoms at each time point. A three-class model provided the best fit at baseline as indicated by lower values of BIC, SS-BIC and AIC for that model compared to the preceding two-class model. Although the VLMR and LMR indicated statistical improvement for the addition of a fourth class, there was no increase in entropy over the three-class solution. At follow-up, a comparable three-class model was identified, supported by lower values of BIC, SS-BIC and AIC. Figure 1 presents item probabilities for depression and anxiety symptoms for the three-class LCA models at baseline and follow-up. As can be seen, the model produced a comorbid class (presence of both anxiety and depressive symptoms), a depression class and a low-symptom class.

### Longitudinal LTA

An unconditional longitudinal LTA comparing a restricted model (in which thresholds were held equal across the baseline and follow-up LCA models) with the unrestricted model (in which all parameter estimates were allowed to vary) indicated no significant difference in fit between the two (log-likelihood difference = 1546, df = 308,  $P = 5.45$ ). Table 4 presents the unconditional transitional probabilities estimated for the three-class longitudinal LTA model.

Given that several of the transitional classes comprised only a small proportion (< 7%) of the full sample, we aggregated relevant classes into a smaller number of meaningful composite trajectories (Table 5). These comprised a persisting trajectory ( $n = 555$ , 43.5%), which included those assigned to one of the two comorbid classes (depression, comorbid) at both baseline and follow-up; a recovery trajectory ( $n = 299$ , 23.5%) (defined by assignment to a morbid class at baseline but to the low-symptom

**Table 2.** Prevalence of symptoms of anxiety and depression at T1 (2014) and T2 (2015) among the Sri Lankan cohort ( $n = 1275$ )

	T1 (2014)		T2 (2015)		P-value <sup>a</sup>
	N	%	N	%	
<i>Anxiety symptoms</i>					
Suddenly scared for no reason	219	17.38	—	—	< 0.001
Feeling fearful	356	28.25	357	28.33	0.040
Faintness, dizziness or weakness	545	43.25	476	37.78	< 0.001
Nervousness or shakiness inside	443	35.16	406	32.22	< 0.001
Heart pounding or racing	380	30.16	363	28.81	0.002
Trembling	433	34.37	414	32.86	< 0.001
Feeling tense or keyed up	404	32.06	378	30	< 0.001
Headaches	767	60.87	706	56.03	< 0.001
Spells of terror or panic	47	3.73	144	11.43	< 0.001
Feeling restless, can not sit still	275	21.83	254	20.16	0.079
<i>Depressive symptoms</i>					
Feeling low in energy—slowed down	521	41.35	505	40.08	< 0.001
Blaming yourself for things	338	26.83	254	20.16	0.198
Crying easily	474	37.62	457	36.27	< 0.001
Loss of sexual interest or pleasure	114	9.05	147	11.67	< 0.001
Poor appetite	421	33.41	465	36.9	< 0.001
Difficulty falling asleep, staying asleep	498	39.52	514	40.79	< 0.001
Feeling hopeless about the future	298	23.65	266	21.11	0.531
Feeling blue	787	62.46	695	55.16	< 0.001
Feeling lonely	499	39.6	410	32.54	< 0.001
Feeling trapped or caught	56	4.44	134	10.63	< 0.001
Worrying too much about things	713	56.59	469	37.22	< 0.001
Feeling no interest in things	246	19.52	273	21.67	0.002
Thoughts of ending your life	89	7.06	150	11.9	< 0.001
Feeling everything is an effort	1225	97.22	1121	88.97	< 0.001
Feelings of worthlessness	1139	90.4	1077	85.48	< 0.001

<sup>a</sup>P-value derived from McNemar's tests. (15 Respondents with missing data on HSCL were deleted.)

class at follow-up), an incident trajectory ( $n = 170$ , 13.3%) (those transitioning from the low-symptom class at baseline to one of the two morbid classes at follow-up); and a low-symptom trajectory ( $n = 251$ , 19.7%) (those remaining in the low-symptom class at both time points). The longitudinal transition patterns from baseline to follow-up are depicted in Figure 2.

### Class stability and transitional probability

Transitional probabilities can be interpreted as the probability of remaining in the same latent class (as indicated by diagonals in Table 4) from baseline to follow-up, and the probability of transitioning from one latent class to another over time, represented by off-diagonal probabilities.

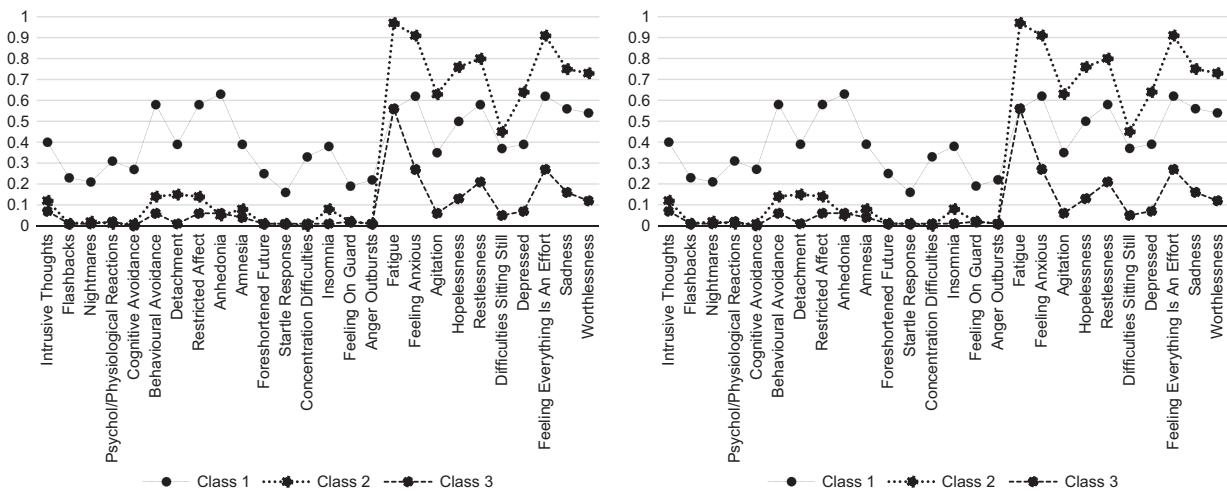
Individuals assigned to the comorbid and depression classes at baseline exhibited moderate probabilities (> 0.30) of remaining in their respective classes at follow-up. The low-symptom class showed the largest class stability indicated by a moderate-to-high probability (> 0.60) of remaining in that class over time.

Off-diagonal probabilities indicate that individuals transitioning from the comorbid class at baseline to a different class at follow-up had similar and mid-range (> 0.2–0.5) probabilities of transitioning into the depression class and the low-symptom class, respectively (Table 4). Individuals in the depression class had

**Table 3.** Goodness-of-fit statistics for cross-sectional latent class analysis of symptoms of anxiety and depression at baseline and 12-month follow-up

Model tested	FP	LR × 2	BIC	SSA-BIC	AIC	Entropy	VLMR	P	LMR	P
<i>Time 1 (2014)</i>										
1 Class	25	-16 718.95	33 616.66	33 537.25	33 487.89	—	—	—	—	—
2 Class	51	-13 957.47	28 279.62	28 117.62	28 016.94	0.93	-16 718.95	< 0.001	5493.41	< 0.001
<b>3 Class</b>	<b>77</b>	<b>-13 251.653</b>	<b>27 053.91</b>	<b>26 809.32</b>	<b>26 657.31</b>	<b>0.90</b>	<b>-13 957.57</b>	<b>&lt; 0.001</b>	<b>1404.08</b>	<b>&lt; 0.001</b>
4 Class	103	-12 740.41	26 217.34	25 890.16	25 686.81	0.90	-13 251.65	< 0.001	1017.02	< 0.001
<i>Time 2 (2015)</i>										
1 Class	25	-17 657.63	35 494.03	35 414.62	35 365.26	—	—	—	—	—
2 Class	51	-13 268.45	26 901.58	26 739.58	26 638.89	0.96	-17 657.63	< 0.001	8731.41	< 0.001
<b>3 Class</b>	<b>77</b>	<b>-12 278.75</b>	<b>25 108.11</b>	<b>24 863.52</b>	<b>24 711.51</b>	<b>0.94</b>	<b>-13 268.45</b>	<b>&lt; 0.001</b>	<b>1968.80</b>	<b>&lt; 0.001</b>
4 Class	103	-12 278.75	25 108.11	24 863.52	24 711.51	0.94	-13 268.45	< 0.001	1968.80	< 0.001

Abbreviations: AIC, Akaike information criterion; BIC, Bayesian information Criterion; FP, free parameters; LMR, Lo-Mendell-Rubin-adjusted likelihood ratio test; LR  $\chi^2$ , likelihood ratio chi square; SSA-BIC, sample size-adjusted BIC; VLMR, Vuong-Lo-Mendell-Rubin likelihood ratio test. The bold values indicate the best-fitting 3-class solution.



**Figure 1.** Posterior probabilities for cross-sectional latent class models at baseline (left) and follow-up (right) among a cohort of 1275 respondents.

**Table 4.** Transitional probabilities based on the identified four-class longitudinal latent class model

Time 1 latent status	Time 2 latent status		
	Comorbid	Low symptom	Depression
<i>From T1 to T2</i>			
Comorbid	0.30	0.22	0.48
Low symptom	0.18	0.60	0.23
Depression	0.25	0.42	0.34

Diagonal transitional probabilities in bold indicate the probability of individuals at T1 remaining in the same class at T2. Off-diagonal probabilities indicate the probability of transitioning from one latent class at T1 to a different class at T2.

a slightly higher probability of transitioning to the low-symptom class, compared to remaining in the same class or transitioning to the comorbid class at follow-up. Those in the baseline low-symptom class were more likely to remain in that class than transitioning into the comorbid class or depression class at

follow-up. Table 5 reports transitional latent class proportions based on the longitudinal LTA model. Specifically, most persons in a symptomatic class at baseline (that is, assigned to the depression or comorbid class) remained in one of those morbid classes at follow-up.

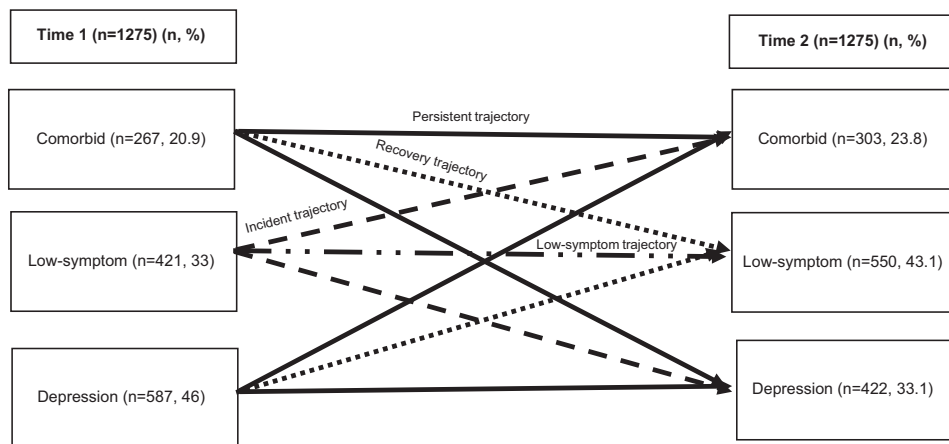
Multivariate associations between socio-demographic characteristics, traumatic exposure and ongoing adversities with longitudinal class membership.

Table 6 details associations found between follow-up socio-demographic factors, trauma exposure, ongoing adversities and the symptom trajectories, the low-symptom (class 4, 19.7%) acting as the reference class in all comparisons. In relation to baseline socio-demographic characteristics, the persisting and incident (new onset) trajectory groups contained a larger portion of women (persisting: OR = 1.79, 95% CI = 1.20–2.69; incident: OR = 1.82, CI = 1.19–2.78). In relation to traumatic exposure, the persisting and incident groups, reported greater exposure to conflict-related traumatic events (persisting: OR = 1.18, CI = 1.13–1.23; incident: OR = 1.24, CI = 1.19–1.29). In relation to ongoing adversities, both the persisting and incident groups were more likely to report lack of access to healthcare (persisting: OR = 2.10, CI = 1.29–3.29; incident: OR = 2.40, CI = 1.41–4.18); and the persisting group was exceptional in the high rate of job loss reported

**Table 5.** Transitional class proportions from time 1 to time 2 based on the estimated longitudinal latent transition analysis

LTA class	Time 1	Time 2	N (n = 1275)	Trajectory
1	Class 1 (comorbid)	Class 1 (comorbid)	79 (0.06)	Persistent (P)
2	Class 1 (comorbid)	Class 2 (low symptom)	53 (0.04)	Recovery (R)
3	Class 1 (comorbid)	Class 3 (depression)	135 (0.11)	Persistent (P)
4	Class 2 (low symptom)	Class 1 (comorbid)	76 (0.06)	Incident (I)
5	Class 2 (low symptom)	Class 2 (low symptom)	251 (0.20)	Low symptom (L)
6	Class 2 (low symptom)	Class 3 (depression)	94 (0.07)	Incident (I)
7	Class 3 (depression)	Class 1 (comorbid)	148 (0.12)	Persistent (P)
8	Class 3 (depression)	Class 2 (low symptom)	246 (0.19)	Recovery (R)
9	Class 3 (depression)	Class 3 (depression)	193 (0.15)	Persistent (P)

Note: derivation of four rational trajectories based on the longitudinal transition classes: ( 1) persistent trajectory = (LTA classes 1+3+7+9 = 555, 43.5); ( 2) recovery trajectory = (LTA classes 2+8 = 299, 23.5); ( 3) incident trajectory = (LTA classes 4+6 = 170, 13.3); ( 4) low-symptom trajectory = (LTA class 5 = 251, 19.7).



**Figure 2.** Longitudinal transitional patterns from time 1 to time 2 and derivation of four core symptom trajectories (n = 1275).

(OR = 1.77, CI = 1.23–2.55). The recovery group was characterized by an absence of risk factors and the inclusion of a greater number of older men (>40 years), compared to the low-symptom trajectory.

## DISCUSSION

Our analysis identified meaningful trajectories of depression and comorbid anxiety and depressive symptoms over a 1-year period among a large cohort exposed to severe mass conflict, concluding 6 years earlier. There are several notable findings from our analysis: that, in general, symptoms of anxiety and depression showed improvement over a short period of time (1 year) of follow-up; that, nevertheless, almost a half of the sample showed a persistence in symptoms; that symptom trajectories derived corresponded broadly with patterns identified in other post-conflict<sup>49</sup> and trauma-affected populations;<sup>10</sup> and that both past trauma exposure and ongoing adversities including lack of access to healthcare as well as loss of jobs were associated with poor outcome symptom trajectories over the course of follow-up.

Before discussing our findings, we consider the strengths and limitations of the study. Our study is unique in some of its key characteristics: the inquiry is the largest follow-up investigation of its kind in the contemporary post-conflict field; the baseline sample was representative of the national population and the follow-up sample was structured and weighted to maintain its representative nature; we applied modern statistical modeling to derive trajectories of anxiety depression; and we examined key psychosocial variables that shaped these outcomes with an

explicit eye on the translational implications of the findings. In relation to sampling, we drew on a nation-wide survey of Sri Lankan populations employing a multi-staged random sampling design across 25 districts, achieving a high response rate at baseline and a good retention rate (86%) at follow-up. We weighted the follow-up sample accordingly to ensure it retained its representativeness of the base population in relation to key socio-demographic characteristics and mental health indices, thereby ensuring the validity of the longitudinal inquiry of follow-up.

Limitations of the study are that the mental health component was an addition to the primary objective of the study which was to characterize persons intending and not intending to emigrate from Sri Lanka. However, as indicated, we adjusted for possible sampling biases taking into consideration potential group differences between individuals who intended to migrate and those who did not. In addition, sub-group analysis indicated no significant differences in mental health outcomes between the two migration intention groups.

In order to establish the generalizability of our findings, replication in other post-conflict contexts and cultures worldwide will be necessary. The HSCL-25 has been used previously in Sri Lanka<sup>29</sup> and in a wide range of transcultural settings.<sup>33,37,50–52</sup> Nevertheless, there remains a risk that the measure does not capture culture-specific idioms of distress. Qualitative research may assist in identifying locally salient terms for distress that should be included in the symptom lists for anxiety and depression. In addition, the HSCL-25 requires full recalibration against a gold standard clinical interview in each ethnic

**Table 6.** Multinomial logistic regression analysis examining predictors of composite trajectories derived from combining longitudinal latent classes (*n* = 1275)

	Odds ratio (95% CI)					
	Persisting morbidity ( <i>n</i> = 555, 43.5)		Incident (new onset) ( <i>n</i> = 170, 13.3)		Recovery ( <i>n</i> = 299, 23.5)	
	OR (95% CI)	P	OR (95% CI)	P	OR (95% CI)	P
<i>Compared with low-symptom trajectory (n = 251, 19.7)</i>						
<i>Follow-up predictors</i>						
<b>Step 1</b>						
Socio-demographic characteristics						
Gender (ref. men)	<b>1.79 (1.20–2.69)</b>	<b>&lt; 0.01</b>	<b>1.82 (1.19–2.78)</b>	<b>&lt; 0.01</b>	<b>0.65 (0.44–0.96)</b>	<b>0.032</b>
Age (ref. 18–30)						
≥60	1.33 (0.70–2.53)	0.389	1.44 (0.73–2.84)	0.289	<b>0.24 (0.12–0.51)</b>	<b>&lt; 0.001</b>
51–60	1.20 (0.63–2.27)	0.576	1.71 (0.89–3.31)	0.109	<b>0.38 (0.19–0.74)</b>	<b>&lt; 0.01</b>
41–50	1.01 (0.56–1.85)	0.964	1.07 (0.57–2.02)	0.827	<b>0.52 (0.28–0.96)</b>	<b>&lt; 0.05</b>
31–40	0.73 (0.42–1.26)	0.264	0.81 (0.45–1.45)	0.481	0.74 (0.44–1.25)	0.261
Never married (single/other)	0.87 (0.42–1.79)	0.701	0.50 (0.21–1.17)	0.109	1.36 (0.71–2.63)	0.356
Educational attainment						
Completed university/college education	0.17 (0.02–1.50)	0.112	0.22 (0.02–2.00)	0.180	3.80 (0.31–46.90)	0.298
Completed high school	0.68 (0.16–2.90)	0.598	0.59 (0.13–2.62)	0.488	3.64 (0.35–38.03)	0.280
Completed primary school	0.78 (0.18–3.37)	0.741	0.81 (0.18–3.61)	0.786	2.75 (0.26–28.98)	0.399
<b>Step 2</b>						
Traumatic exposure	<b>1.18 (1.13–1.23)</b>	<b>&lt; 0.001</b>	<b>1.24 (1.19–1.29)</b>	<b>&lt; 0.001</b>	0.99 (0.95–1.04)	0.818
<b>Step 3</b>						
Ongoing adversities						
Shortage of food	1.28 (0.89–1.86)	0.188	1.29 (0.88–1.91)	0.191	0.81 (0.56–1.19)	0.288
Job loss	<b>1.77 (1.23–2.55)</b>	<b>&lt; 0.01</b>	1.39 (0.95–2.04)	0.086	1.30 (0.89–1.89)	0.176
Limited access to health services	<b>2.10 (1.29–3.29)</b>	<b>&lt; 0.001</b>	<b>2.40 (1.41–4.18)</b>	<b>&lt; 0.001</b>	0.89 (0.59–1.66)	0.973
Improved safety in the community	0.88 (0.57–1.36)	0.563	0.69 (0.44–1.09)	0.112	0.90 (0.56–1.43)	0.657

subpopulations studied if inferences are to be drawn about the relevance of our findings to the presence or absence of probable psychiatric diagnoses. Nevertheless, the brevity of the HSCL provides a strong rationale for including it as a broad screening measure for distress in nation-wide surveys in post-conflict countries. Focusing on general symptoms of anxiety and depression over the past 4 weeks is likely to have improved accuracy of recall but the time restriction prevented us from determining the longer-term course of symptoms. As such, we cannot determine whether the so-named persisting class experienced chronic, fluctuating or a recurrence of symptoms from baseline to follow-up. Reports of trauma may be subject both to anamnestic bias and distortions arising from the suppression of traumatic memories. Notwithstanding, we note that the pattern of traumas documented across the sample as a whole is consistent with the known history of conflict in Sri Lanka.<sup>23,29</sup> Our longitudinal analysis traced the symptom trajectories of depression and anxiety; future studies are needed to examine the course of symptoms of other comorbid disorders such as post-traumatic stress disorder. Finally, we cannot be certain of cause–effect relationships in all instances. For example, it is possible that loss of a job is an outcome rather than a cause of depression, or there may be a complex interaction between the two.

In spite of these caveats, our findings advance knowledge in the field by supporting a rational typology of trajectories of symptoms of anxiety and depression over time. There was a notable similarity in our typology of trajectories and those observed among survivors of mass conflict in a different setting, Timor-Leste,<sup>49</sup> as well as among survivors of natural disasters and accidents in high-income countries.<sup>16</sup> In addition, our findings support the potential influence of a range of experiences and conditions (past trauma, ongoing adversity in the form of deprivation of basic services, particularly health care, and opportunities for gainful employment in the post-conflict environment) in shaping general symptom

trajectories of anxiety and depression following an extensive period of internecine conflict in Sri Lanka.

Given the logistic difficulties of screening for multiple health problems in routine public health care systems in low-resourced post-conflict countries, an important question for mental health is whether it is necessary to include both symptoms of anxiety and depression, as is the custom. As expected, the McNemar’s paired tests we conducted indicated that symptoms of anxiety and depression are closely interrelated, in this instance both at baseline and follow-up. Nevertheless, the transition of individuals across classes over time suggested complex patterns that were partially homotypic (for example, depression at baseline predicting depression at follow-up) and partially heterotypic (for example, individuals in the comorbid class at baseline transitioning to depression at follow-up). In that respect, it is notable that only 15% of the cohort assigned to the depression class at follow-up commenced in the same class at baseline; in addition, 11% who transitioned to the depression class at follow-up were in the comorbid class at baseline. The complexity of these patterns suggests that it may be premature to omit symptoms of anxiety or depression in full in contemporary screening exercises until a better understanding is achieved of the factors that determine the various symptom trajectories involving these two constructs over time.

The finding that both the persisting and incident (new onset) groups included proportionally more women is consistent with the general psychiatric literature on sex differences in anxiety and depression.<sup>53–57</sup> A surprising finding was that although a large proportion of women in these groups were widows relative to the remainder population, and being a widow in Sri Lanka is known to be associated with high levels of mental distress,<sup>25,58,59</sup> marital status did not show a significant association with assignment to trajectory groups. The relationship of adverse mental health outcomes with past trauma and ongoing adversity confirms the

importance of these two components in shaping not only the onset but the persistence of common mental health symptoms. It is possible that the protective aspect of being an older man relates to the ongoing status of this group in Sri Lanka, one that confers advantages (cultural, within the family and the society), that promote the capacity to maintain or restore mental health. The persisting symptom group was the only subpopulation reporting an excess of job losses at follow-up. The pattern observed is consistent with longitudinal data from other countries experiencing extensive persecution.<sup>49,60</sup> The association should be interpreted with caution given questions of causality as indicated.<sup>61,62</sup>

Our findings indicated that in the Sri Lankan context, the new onset group was characterized by past conflict exposure and lack of access to healthcare. There is a growing body of studies among refugees and post-conflict populations indicating that deprivations associated with access to services and opportunities for advancement are strongly associated with mental disorders including post-traumatic stress disorder, anxiety and depression.<sup>63–66</sup> Several cross-sectional studies amongst refugees and conflict-affected populations from the Middle East<sup>67</sup> and Africa<sup>68,69</sup> have shown that lack of access to services to satisfy basic needs in concert with exposure to traumatic events constitute major contributors to adverse mental health outcomes. The new onset group is of particular interest, given the potential to avert a portion of these incident cases by providing strategic services and opportunities that will maintain morale and a sense of security and hope.

In general, our study suggests the value of undertaking a brief screening of common mental symptoms of anxiety and depression as well as the core modifiable risk factors associated with these adverse outcomes in post-conflict settings such as Sri Lanka. From a translational service planning and policy perspective, our findings reinforce the importance of establishing supportive conditions that allow for health-related, social and economic recovery in the aftermath of prolonged conflict. Specifically, access to services (such as health) and employment security are not only important in their own right in maintaining physical health and reducing poverty, but may be instrumental in improving mental health outcomes by creating a sense of security and hope for the future. In that respect, the provision of services has both a direct and tangible effect but also provides reassurance to the population that the fundamental support systems of stable societies are being re-established, a strong symbol of the prospect of achieving future peace, health and advancement.<sup>70</sup>

## CONCLUSIONS

We found four composite trajectories of anxiety and depression emerging over a 1-year period in a large cohort exposed to severe conflict in Sri Lanka. Specifically, we identified a recovery trajectory, a persistent trajectory, an incident trajectory and a low-symptom trajectory. The persistent and incident trajectories were each associated with past conflict-related trauma exposure and lack of access to services such as healthcare. The persistent trajectory was unique in being associated with greater exposure to loss of jobs at follow-up. The recovery trajectory was characterized by an absence of risk factors at follow-up and with a larger portion of older men failing into this category. Our findings support the importance of providing health care services and employment opportunities not only for their substantive value but also to provide a sense of stability and optimism for the future, vital to alleviating pervasive symptoms of anxiety and depression in a post-conflict society such as Sri Lanka.

## CONFLICT OF INTEREST

The authors declare no conflict of interest.

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