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# **Simultaneous Enhancement of Photoluminescence, MRI Relaxivity, and CT Contrast by Tuning the Interfacial Layer of Lanthanide Heteroepitaxial Nanoparticles**

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# **Abstract**

Nanoparticle (NP) based exogenous contrast agents assist biomedical imaging by enhancing the target visibility against the background. However, it is challenging to design a single type of contrast agents that are simultaneously suitable for various imaging modalities. The simple integration of different components into a single NP contrast agent does not guarantee the optimized properties of each individual components. Herein, we describe lanthanide-based core– shell–shell (CSS) NPs as triple-modal contrast agents that have concurrently enhanced performance compared to their individual components in photoluminescence (PL) imaging, magnetic resonance imaging (MRI), and computed tomography (CT). The key to simultaneous enhancement of PL intensity, MRI  $r_1$  relaxivity, and X-ray attenuation capability in CT is tuning the interfacial layer in the CSS NP architecture. By increasing the thickness of the interfacial layer, we show that (i) PL intensity is enhanced from completely quenched/dark state to brightly emissive state of both upconversion and downshifting luminescence at different excitation

**ORCID**

**Notes**

The authors declare no competing financial interest.

Supporting Information

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wavelengths (980 and 808 nm), (ii) MRI  $r_1$  relaxivity is enhanced by 5-fold from 11.4 to 52.9  $mM^{-1}$  s<sup>-1</sup> (per Gd<sup>3+</sup>) at clinically relevant field strength 1.5 T, and (iii) the CT Hounsfield Unit gain is 70% higher than the conventional iodine-based agents at the same mass concentration. Our results demonstrate that judiciously designed contrast agents for multimodal imaging can achieve simultaneously enhanced performance compared to their individual stand-alone structures and highlight that multimodality can be achieved without compromising on individual modality performance.

# **Graphical Abstract**



#### **Keywords**

Contrast agent; lanthanide nanoparticles; epitaxy; photoluminescence imaging; magnetic resonance imaging; computed tomography

> Multimodal imaging is the next frontier in precise medical diagnosis of various diseases because it integrates the advantages of different imaging modalities while offsetting their individual limitations.<sup>1–6</sup> In particular, photoluminescence (PL) imaging offers high detection sensitivity and multiplexing but suffers from limited penetration depth of light into tissues.<sup>1</sup> Both magnetic resonance imaging (MRI) and computed tomography (CT) have unlimited detection depth and render three-dimensional images but suffer from moderate detection sensitivity and lack of multiplexing.<sup>3</sup> MRI and CT are complementary because MRI lends high resolution on soft tissues while CT excels at hard tissues. Therefore, the combination of PL, MRI, and CT gives rise to medical images with high resolution, high sensitivity, and more information for medical diagnosis without limit in the detection depth of the target site.<sup>7–11</sup>

Contrast agents (CAs) are commonly used in these imaging modalities to enhance the visibility of the target site against the background.<sup>3,6,12–19</sup> They can be engineered to accumulate specifically at the disease site, delineate the contour of it with imaging signals, and provide more accurate diagnostic information.<sup>20</sup> In multimodal imaging, a multifunctional CA that works for different modes is preferred over the combination of singly functional ones because the separate administration of different types of CAs for various modalities increases the risk of toxicity and other side effects. Despite the potential advantages of such concepts, it remains challenging to design multimodal CAs that simultaneously facilitate PL imaging, MRI, and CT with high performance.<sup>21,22</sup>

Lanthanide (Ln) doped nanoparticles (NPs) have shown potential as multimodal imaging CAs because of their diverse yet tunable optical and magnetic properties.<sup>23–25</sup> With the unique 4f manifold of Ln trivalent cations  $(Ln^{3+})$ , Ln-doped NPs upon excitation emit

luminescence at different wave-lengths ranging from the ultraviolet (UV) to near-infrared (NIR) regime. $26-28$  Along with large anti-Stokes shift, low background interference, and excellent photostability, Ln-doped NPs are superior CAs for PL imaging.<sup>29–31</sup> Ln<sup>3+</sup> such as  $Gd^{3+}$ ,  $Dy^{3+}$ , and  $Ho^{3+}$  are potent agents to relax the water protons for MRI because they have either large number of unpaired electrons in the 4f orbitals and/or a large magnetic moment.<sup>24,32</sup> With atomic numbers ranging from 57 to 71, Ln-doped NPs attenuate X-ray more strongly than most commercially available X-ray CT CAs (iodine-based, atomic number 53).<sup>33,34</sup> Although the physical properties are diverse and suitable for different imaging applications, respectively, the chemical properties of all Ln ions are quite similar making it easy to incorporate several types of Ln ions into a single architecture for use as multimodal CAs.<sup>24</sup> This offers better stability and simpler synthesis than the postsynthetic modifications typical of other multimodal CAs, i.e., coating a PL NP with gadolinium chelates or decorating fluorescent dyes on iron oxide NPs.<sup>8–11,23,24,35,36</sup> For these reasons, multimodal imaging platforms using Ln-doped NPs as CAs—especially for combined PL, MRI, and CT imaging—have received considerable research interest.<sup>24</sup>

Despite the promise of Ln-doped NPs in multimodal imaging, it has been challenging to integrate these imaging functions rationally and optimize every modality concurrently.<sup>22</sup> The overall performance of multimodal CAs in multimodal imaging does not equal to the simple addition of imaging functions from every single component. The Ln-based PL/CT/MRI triple-modal CAs could have weaker optical emission than the PL single-modal CAs and weaker magnetic resonance relaxivity than the MRI single-modal CAs.<sup>37-45</sup> For example, the high-efficiency PL emission in Ln-doped NPs usually relies on the doping and sparse distribution (doping level <20%) of relatively heavy ions  $(Yb^{3+}$  and  $Er^{3+}$  or  $Tm^{3+}$  or  $Ho^{3+}$ ) in the host matrix composed of relatively lighter ions  $(NaYF<sub>4</sub>)$ <sup>46,47</sup> Therefore, NPs optimized for strong PL emission would perform poorly in CT because CT requires heavier ions. In contrast, enhancing CT contrast with high concentrations of heavy Ln ions would inevitably quench the PL in most cases.

This dilemma persists in Ln-based core–shell (CS) NPs. For instance, luminescent Ln-doped core NPs are usually coated with NaGdF<sub>4</sub> epitaxial shell making the CS NPs function as PL-MRI dual-mode CAs.<sup>48</sup> High-efficiency PL requires thick epitaxial shells on the core NPs regardless of the core composition. When the thick shell is comprised of NaGdF4, many of the  $Gd^{3+}$  ions are not exposed to the NP surface, and thus not all  $Gd^{3+}$  ions contribute to the relaxation of water protons limiting their MRI performance.<sup>49,50</sup> In short, it is challenging to design Ln-NP-based multimodal CAs that have improved performance than their single mode performance, without compromising on one or more modalities.

Herein, we report the design and synthesis of  $\beta$ -NaYb<sub>0.2</sub>/Er<sub>0.8</sub>F<sub>4</sub>@NaLuF<sub>4</sub>@NaGdF<sub>4</sub> (Yb/ Er@Lu@Gd) heteroepitaxial triple-layer core–shell–shell (CSS) NPs as triple-modal imaging CAs (Scheme 1, upper panel) to address the limitations discussed above. The key to overcoming the conundrum of simultaneously optimizing and enhancing all three modalities is to modulate the interfacial NaLuF<sub>4</sub> layer thickness in the CSS-NP architecture (Scheme 1, lower panel). While thicker interfacial NaLuF<sub>4</sub> layer allows heavy atoms  $(Lu^{3+})$  in the NP to attenuate more X-rays for enhanced CT contrast, it also acts as a thick epitaxial shell that shields all  $Yb^{3+}/Er^{3+}$  luminescent centers in the core from surface quenching, therefore

enhancing the PL. The thicker  $NaLuF_4$  interfacial layer is also a larger substrate to decelerate the tumbling of all paramagnetic  $Gd^{3+}$  centers in the outermost NaGdF<sub>4</sub> thin layer, therefore enhancing the MRI.<sup>51–54</sup> Importantly, we can enhance the PL further by growing thicker NaLuF4 interfacial layer without tuning the thin shell of NaGdF4. Therefore, all paramagnetic  $Gd^{3+}$  centers in the thin Na $GdF_4$  layer are always fully exposed to surrounding water protons to maximize MRI relaxivity. Also, these CSS-NP structures are possible because the crystal lattice size of the  $NaLuF_4$  in the interfacial layer is smaller than that of NaYb/ErF<sub>4</sub> in the core (Table S1) allowing favorable tensile-strained shell growth.<sup>55</sup> Thus, thick (ca. 10 nm) and uniform (roundness close to 1) epitaxial shells can be successfully grown onto the cores, resulting in monodispersed NP architectures without structural deformation. To highlight, we show below that the structural uniformity is a critical factor to realize simultaneous enhancement of the individual modalities within a composite trimodal structure.

Highly uniform Yb/Er@Lu@Gd heteroepitaxial CSS-NPs were synthesized following a previously reported self-focusing procedure.<sup>56,57</sup> Kinetically stable  $\alpha$ -NaLuF<sub>4</sub> and  $\alpha$ -NaGdF<sub>4</sub> NPs were synthesized and used as sacrificial NPs to grow shells on the  $\beta$ -NaYb/ ErF<sub>4</sub> core NPs. The  $a$ -NaLuF<sub>4</sub> NPs had an average diameter of 8.27 nm (Figure S1), while  $\alpha$ -NaGdF<sub>4</sub> NPs were 6.18 nm (Figure S2). After thermodynamically stable  $\beta$ -NaYb/ErF<sub>4</sub> core NPs were formed,  $a$ -NaLuF<sub>4</sub> and  $a$ -NaGdF<sub>4</sub> NPs were injected into the  $\beta$ -NaYb/ErF<sub>4</sub> core solution to form CSS-NPs (Figure S3) via Ostwald ripening (see Supporting Information for details).

To obtain the CSS-NPs shown in Figure 1a–c, ca. 9.4 mmol of  $\alpha$ -NaLuF<sub>4</sub> NPs and 0.96 mmol of  $\alpha$ -NaGdF<sub>4</sub> NPs were injected successively and ripened against 1 mmol  $\beta$ -NaYb/ErF4 core NPs (see Table S2 for details). The size of the NPs grew from 17.2 nm (Yb/Er core) to 36.6 nm after NaLuF4 shell growth (Yb/Er@Lu CS) and finally to 37.8 nm after NaGdF<sub>4</sub> shell growth (Yb/Er@Lu@Gd CSS) (Figure 1d), while perfectly retaining their quasi-spherical shapes (Figures S4 and S5). Measured sizes from representative transmission electron microscopy (TEM) images (Table S3) and element analyses from inductively coupled plasma atomic emission spectroscopy (ICP-AES) (Table S4) confirmed the molar ratio of each  $Ln^{3+}$  in the CSS-NPs. Energy-dispersive X-ray spectroscopy (EDS) confirmed the elemental composition of the core, CS, and CSS structures (Figure S6). The very minimal shift of the peaks of CS and CSS NPs in the X-ray diffraction (XRD) pattern as compared to the core NPs confirmed that both shell layer perfectly adapted the crystal lattice of the core NPs (Figure 1e). Two critical morphological parameters—circularity and roundness—were calculated by characterizing the Feret diameters of the core, CS, and CSS-NPs, respectively. Neither circularity nor roundness changed significantly during NP growth from 17.2 to 37.8 nm, further validating the shape uniformity across all three structures (Figure S7).

To assess the functional capabilities of these CSS-NP-based CAs, we first characterized the PL properties to validate that the epitaxial NaLuF<sub>4</sub> shell enhances the PL of the  $\beta$ -NaYb/ ErF<sub>4</sub> core. For the  $\beta$ -NaYb/ErF<sub>4</sub> core NPs without the shell, the high concentration of both the sensitizer and activator ions ( $Yb^{3+}$  20%, and  $Er^{3+}$  80% respectively) enhances the excitation energy migration to the surface<sup>57</sup> and quenches all the possible emission pathways

(Figure 2a). No detectable emission peaks for the core NPs were observed across either the visible (450–750 nm) or the NIR (750–900 nm) regime (Figure 2b) with excitation by a continuous wave diode laser at 980 nm. Our attempts to increase the excitation power density of laser from 2.5 W/cm<sup>2</sup> to 40 W/cm<sup>2</sup> did not result in any detectable emission from the core NPs either (Figure S8). However, after NaLuF<sub>4</sub> epitaxial shells were grown onto the cores to form  $\beta$ -Yb/Er@Lu CS-NPs, the excitation energy in the cores was sequestered from surface quenching (Figure 2a), and all three major emission peaks, 540 nm  $({}^{4}S_{3/2} \rightarrow {}^{4}I_{15/2})$ , 654 nm ( ${}^{4}F_{9/2}$   $\rightarrow$   ${}^{4}I_{15/2}$ ), and 808 nm ( ${}^{4}I_{9/2}$   $\rightarrow$   ${}^{4}I_{15/2}$ ) were immediately recovered (Figure 2b). Unlike the Yb/Er core NPs, the PL of the CS-NPs increased 2 orders of magnitude when the 980 nm laser excitation power density was elevated from 2.5 to 40 W/cm<sup>2</sup> (Figure S8). While we denoted the emission intensity of the completely quenched cores at 2.5 W/cm<sup>2</sup> excitation as 1, the integrated emission intensity of the CS-NPs at the same excitation power density demonstrated an enhancement factor of PL as high as  $2 \times 10^{7}$ (Figure 2c).

The absorbance at 980 nm of both  $\beta$ -NaYb/ErF<sub>4</sub> core and  $\beta$ -NaYb/ErF<sub>4</sub>@NaLuF<sub>4</sub> CS NPs remains almost the same (0.088 for core and 0.089 for CS) (Figure 2c), highlighting the critical contribution of the optically inert NaLuF4 shell in enhancing the PL by shielding the luminescent centers (both sensitizers  $Yb^{3+}$  and activators  $Er^{3+}$ ) in the core from surface quenching. There are several other advantages in the  $\beta$ -NaYb/ErF<sub>4</sub>@NaLuF<sub>4</sub> CS structures over other PL CAs. With the NaLuF<sub>4</sub> shells, the major emission peaks are at 654 and 808 nm when excited at 980 nm. Both emission peaks are within the biological imaging window,  $26,58$  and they function better than the dominant green emission at 540 nm seen in  $Yb^{3+}(18\%)Er^{3+}(2\%)$  codoped Na $YF_4$  NPs (Figure S9). Compared to the singly doped NaEr $F_4$ @NaLu $F_4$ ,<sup>57</sup> the NaYb/Er $F_4$ @NaLu $F_4$  CS NPs here have enhanced absorbance of 980 nm excitation owing to larger absorption cross-section of the sensitizer  $Yb^{3+}$ —this results in brighter PL emission (Figure S10). With the high doping concentration of  $Er^{3+}$  (80%) in the core, the Yb/Er@Lu CS-NPs can also be excited at 808 nm (Figure S11) and Table S5), another biobenign wavelength in the biological imaging window. Upon 808 nm excitation, they emit at visible regime (654 nm) via upconversion and at the NIR regime (980 nm) via downshifting simultaneously (Figure S12) which cannot be easily realized in conventional systems. In short, the NaLuF<sub>4</sub> epitaxial shell enhances the PL of the NaYb/ ErF4 cores at various excitation and emission wavelengths.

Next, we explored whether MRI modality is enhanced when incorporated into the same NP structure without affecting the enhanced PL properties (Figure 3a). On depositing  $NaGdF_4$ shell the size of the NP grew from 36.6 nm (Yb/Er@Lu CS) to 37.8 nm (Yb/Er@Lu@Gd CSS), while the MRI  $r_1$  relaxivity increased from 0.1 mM<sup>-1</sup> s<sup>-1</sup> (Figure S13a) to 52.9 mM<sup>-1</sup>  $s^{-1}$  (per Gd<sup>3+</sup>) (Figure 3b) at 1.5 T. This is the highest MRI contrast at clinical field reported to date for multimodal imaging CAs that includes an MRI modality.<sup>32</sup> Comparing the high relaxivity reported here for the CSS NP, we emphasize that only an ultrasmall  $Gd^{3+}$  NP with controlled surface coating has reported higher relaxivity.<sup>59</sup> For Yb/Er@Lu CS-NPs, an  $r_1$ value of 0.1 mM<sup>-1</sup> s<sup>-1</sup> is well within the detection limit, confirming that the Yb/Er@Lu CS with strong PL is silent in MRI. This is because  $Lu^{3+}$  does not have any unpaired electrons in the 4f orbitals but has extremely short electron spin relaxation time  $(10^{-13}$  s). In contrast,  $Gd^{3+}$  ions have seven unpaired electrons and a 10<sup>5</sup>-fold longer electron spin relaxation time

 $(10^{-8} s)$  than Lu<sup>3+</sup> (Table S6)—both of these factors contribute to the high MRI relaxivity of Yb/Er@Lu@Gd CSS NPs compared to Yb/Er@Lu CS NPs.<sup>60</sup>

To study the advantage of the CSS structure in enhancing the MRI contrast, we compared the relaxivity of the sacrificial  $\alpha$ -NaGdF<sub>4</sub> NPs that were used to grow the NaGdF<sub>4</sub> shell and the final CSS structures (Figure 3b). The relaxivity of the  $a$ -NaGdF<sub>4</sub> NPs was lower than the CSS NPs at about 11.4 mM<sup>-1</sup> s<sup>-1</sup> (per Gd<sup>3+</sup>), while still being higher than the commercially available MRI CAs, Gd-DOTA (3.3 mM<sup>-1</sup> s<sup>-1</sup> per Gd<sup>3+</sup>, Figure S13b). Concentrationdependent MRI phantom images confirmed that the final Yb/Er@Lu@Gd CSS structures with a thin NaGdF<sub>4</sub> shell had a 5-fold enhancement of MRI relaxivity (52.9 mM<sup>-1</sup> s<sup>-1</sup>) than the sacrificial  $\alpha$ -NaGdF<sub>4</sub> NPs (Figure 3c). When normalized to the same Gd<sup>3+</sup> ionic concentration (0.1 mM), the  $\beta$ -Yb/Er@Lu@Gd CSS-NPs with  $r_1$  of 52.9 mM<sup>-1</sup> s<sup>-1</sup> provided a much shorter spin–lattice relaxation time (180 ms) for water protons in the vicinity and a much higher contrast against the background than  $\alpha$ -NaGdF<sub>4</sub> NPs (670 ms). The enhanced MRI relaxivity allows a much lower dosage of the CAs in clinical scans. We also tested these materials in high field MRI ( $>3$  T) as it offers improved signal-to-noise ratio compared to the clinical field (1.5 T).<sup>54,61</sup> The CSS NP CAs exhibited an  $r_1$  value of 14.8 mM<sup>-1</sup> s<sup>-1</sup> at 7.0 T—the highest MRI relaxivity reported to date for contrast agents integrating multiple modalities (Figure S13c).

The relaxivity enhancement of the CSS NPs highlights three important advantages of the CSS structure for MRI as compared to the  $\alpha$ -NaGdF<sub>4</sub> NPs. First, for the  $\alpha$ -NaGdF<sub>4</sub> NPs at ~6 nm in diameter, approximately 80% of the  $Gd^{3+}$  ions are anchored inside the  $\alpha$ -Na $GdF_4$ crystal lattice  $62,63$  without access to the surrounding water protons (water exclusion)—this effectively excludes them from contributing to the MRI relaxivity. However, with a thickness of only 0.6 nm (Figure 1d), the NaGdF<sub>4</sub> thin shell in a CSS-NP is likely a monolayer because the lattice size of the  $\beta$ -NaGdF<sub>4</sub> is almost exactly 0.6 nm (Table S1). This suggests that all of the  $Gd^{3+}$  ions in the CSS NPs are directly exposed to the surrounding water molecules and contribute to water proton relaxation. Second, the surface area increased from 0.12  $\mu$ m<sup>2</sup> to 4.5  $\mu$ m<sup>2</sup> per particle for the CSS-NP relative to the smaller  $\alpha$ -NaGdF<sub>4</sub> NPs (Figure 3d). This facilitates one single NP CA to influence more water protons simultaneously.<sup>49,50</sup> Third, it has been well established that slow tumbling CAs relax water protons more effectively as opposed to faster tumbling CAs.<sup>51–54</sup> Comparing  $a$ -NaGdF<sub>4</sub> NPs to the CSS NPs, the hydrodynamic size increased from 13 to 46 nm (Figure S14). This significantly increased the tumbling time from 0.28 to 12.7  $\mu$ s (Figure 3d, see Supporting Information for calculation) and enhanced the MRI relaxivity. $64$  Thus, the CSS structure enables water access to all paramagnetic  $Gd^{3+}$  centers while simultaneously acting as a slower tumbling substrate to achieve larger relaxivity enhancement than that achievable with a stand-alone NaGdF4-based MRI CA.

Next, we studied whether the CSS structure with both strong PL and high MRI also generates high CT contrast. We expected the  $\beta$ -Yb/Er@Lu@Gd CSS-NP to have better Xray attenuation capabilities than other CT CAs commonly based on iodine because of the higher atomic number of the  $Ln^{3+}$  ions.<sup>34</sup> While the interfacial NaLuF<sub>4</sub> layer in the heteroepitaxial CSS structures enhances PL emission for the NaYb/ErF<sub>4</sub> core and MRI relaxivity for the NaGdF4 outer layer, it accounts for approximately 80% of the total mass of

a single β-Yb/Er@Lu@ Gd CSS-NP. We prepared CSS-NPs in serial dilutions and compared their Hounsfield unit (HU) values to the commercially available iodine-based CT CAs (Hexabrix) at the same mass concentration (Figure 4a). The contrast of water was measured to be 3 HU, close to the defined 0 HU in most studies.<sup>33</sup> Hexabrix (5 mg/mL) increased the contrast to 139 HU, while CSS-NPs at the same mass concentration achieved a contrast of 237 HU—a gain of nearly 70% more than Hexabrix. When the HU values of the CAs were normalized to the mass concentration of CAs (per mg/mL), the  $\beta$ -Yb/Er@Lu@Gd CSS-NP had a contrast of 41.7 HU/(mg/mL). In comparison, the mass concentrationnormalized signal from Hexabrix was only 29.5 HU/(mg/mL) (Figure 4b). This again suggests that the same image contrast can be achieved with much lower concentrations of CAs.

To verify that the interfacial NaLuF<sub>4</sub> layer of the  $\beta$ -Yb/Er@Lu@Gd CSS-NP is critical in achieving simultaneously enhanced PL emission, MRI relaxivity, and CT contrast, we synthesized β-Yb/Er@Lu@Gd CSS-NPs with varying interfacial NaLuF<sub>4</sub> layer thickness (Figure 5a–c). By depositing different amounts of sacrificial  $\alpha$ -NaLuF<sub>4</sub> NPs onto the  $\beta$ -NaYb/ErF<sub>4</sub> core NPs (Table S7), we obtained CSS-NPs with the interfacial NaLuF<sub>4</sub> layer of thicknesses at 1.0, 4.9, and 10.1 nm (Figure 5d–f). All three samples as confirmed from TEM (Figure S15) are uniform, monodispersed, and quasi-spherical (Figure S16). Next, we studied the change of PL emission, MRI relaxivity, and CT contrast of these samples as a function of NaLuF4 interfacial layer thickness. First, when the thickness of interfacial NaLuF<sub>4</sub> increased from 1.0 to 10.1 nm, the upconversion PL was enhanced approximately 13-fold (Figure 5g and Figure S17). This increase is attributed to the improved shielding of  $Yb^{3+}$  and Er<sup>3+</sup> luminescent centers from the surface by thicker NaLuF<sub>4</sub> layers.<sup>57</sup> Second, for the thicker NaLuF<sub>4</sub> layer the MRI relaxivity was enhanced by the  $\beta$ -Yb/Er@Lu CS structures acting as the supporting substrates for outer  $NaGdF<sub>4</sub>$  layers. Increasing the interfacial NaLuF<sub>4</sub> layer thickness slowed the NP tumbling,<sup>52,64</sup> thus enhancing the MRI relaxivity from 36.6 mM<sup>-1</sup> s<sup>-1</sup> to 51.7 mM<sup>-1</sup> s<sup>-1</sup> (Figure 5g and Figure S17). Finally, as the thickness of the interfacial NaLuF<sub>4</sub> layer is increased, the mass fraction of  $Lu^{3+}$  in a single CSS-NP increased from 25% to 84% (Table S8 and Figure S18) enhancing the X-ray attenuation capability for CT (Figure 5g and Figure S17). These data confirm that the interfacial NaLuF<sub>4</sub> layer is a critical component in the imaging performance of CSS-NP and that modulating the interfacial  $NaLuF<sub>4</sub>$  layer promotes the performance of all three imaging modalities simultaneously.

To elucidate the critical role of the interfacial layer further, we deliberately removed the interfacial NaLuF<sub>4</sub> layer in the heteroepitaxial NP structures (Figure 6a–c) and evaluated the performance of β-NaYb/ErF4@NaGdF4 (Yb/Er@Gd) CS-NPs as multimodal CAs. Because the lattice size of NaGdF<sub>4</sub> is larger than that of NaYb/ErF<sub>4</sub> (Table S1), depositing a NaGdF<sub>4</sub> shell on the NaYb/ErF<sub>4</sub> core results in a compressive strain of the crystal lattice<sup>55</sup> and shape deformation in the CS structure as the thickness of the NaGdF4 shell grows (Figure S19). In stark contrast to the  $\beta$ -Yb/Er@Lu@Gd CSS with circularity close to 1, the circularity of  $\beta$ -Yb/Er@Gd CS decreased to 0.57 when approximately 9 mmol NaGdF<sub>4</sub> was deposited on the  $\beta$ -Yb/Er cores resulting in a nonuniform shell (Figure S20). Without the uniform and quasi-spherical CS architecture, the optically active  $Yb^{3+}/Er^{3+}$  luminescent centers were more susceptible to surface quenching because of the shortened distance between them and

the quenchers around the NPs;<sup>57</sup> therefore, the PL emission intensity of  $\beta$ -Yb/Er@Gd CS was less than 10% of that of β-Yb/Er@Lu@Gd CSS-NPs with the same absorbance at 980 nm and excitation at the same power density (Figure 6d). While the thick  $NaGdF<sub>4</sub>$  shell deformed the CS structures and resulted in quenching of PL, it on the other hand reintroduced the limitation on MRI by preventing most of the  $Gd^{3+}$  paramagnetic centers from accessing surrounding water protons. As a result, the  $r_1$  MRI relaxivity of the  $\beta$ -Yb/Er@ Gd CS-NPs drastically dropped from 35.9 mM<sup>-1</sup> s<sup>-1</sup> to 8.3 mM<sup>-1</sup> s<sup>-1</sup> at 1.5 T with increased thickness of the NaGdF4 layer (Figure 6e). This value is even lower than that of the  $\alpha$ -NaGdF<sub>A</sub> sacrificial NPs alone (11.4 mM<sup>-1</sup> s<sup>-1</sup>). More critically, we observed that the evolving trend for the PL emission (increasing from 0.0005 to  $0.28 \times 10^8$  cps) and MRI relaxivity (decreasing from 35.9 mM<sup>-1</sup> s<sup>-1</sup> to 8.3 mM<sup>-1</sup> s<sup>-1</sup>) was opposite when we increased the thickness of NaGdF<sub>4</sub> without the interfacial NaLuF<sub>4</sub> layer (Figure 6d and e). This suggests that the PL and MRI properties cannot be optimized simultaneously without the NaLuF<sub>4</sub> interfacial layer in the CSS structures. Finally, the CT contrast of the  $\beta$ -Yb/ Er@Gd CS was clearly lower than that of β-Yb/Er@Gd@Lu CSS-NPs because Yb, Er, and Gd all had smaller atomic numbers than Lu (Figure 6f). These results conclusively show that the interfacial NaLuF<sub>4</sub> layer in the  $β$ -Yb/Er@Gd@Lu CSS-NPs is a critical component in simultaneously enhancing the PL emission, MRI relaxivity, and CT contrast of the CSS-NP CA.

In conclusion, we report Ln heteroepitaxial CSS-NP-based multimodal imaging CAs that show simultaneously enhanced performances in PL, MRI, and CT modalities, that is higher than each individual contributing modalities alone. By carefully tuning the interfacial NaLuF4 layer in the CSS architecture, we demonstrate enhancement of both upconversion and down-shifting luminescence by a factor of  $10<sup>7</sup>$ , compared to the core only structure. We also show that the increase in interfacial layer thickness drastically reduces the NP tumbling time (0.28–12.7  $\mu$ s) resulting in enhanced MRI relaxivity from 11.4 mM<sup>-1</sup> s<sup>-1</sup> to 52.9 mM<sup>-1</sup>  $s^{-1}$  at 1.5 T. The overall composite CSS structure with the larger atomic number Lu in the interfacial shell show strong CT contrast that is 70% higher than the clinical iodine-based CT agents. The described design successfully demonstrates that the performance of each modality can in fact be enhanced in a composite structure rather than being compromised when integrated together. Such multimodal CAs should have tremendous impact on future medical diagnostics, because it allows for uncompromised integration of various imaging modalities within a single imaging probe.

#### **Supplementary Material**

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# **ABBREVIATIONS**



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### **Figure 1.**

(a–c) TEM images of the synthesized  $\beta$ -NaYb/ErF<sub>4</sub> core,  $\beta$ -NaYb/ErF<sub>4</sub>@NaLuF<sub>4</sub> CS, and β-NaYb/ErF4@NaLuF4@NaGdF4 CSS NPs. Inset: high-resolution TEM images showing their lattice fringes. (d) Size distribution of the three samples measured from TEM images, respectively. (e) XRD pattern of the three samples, respectively. The black arrows show the slight shift of the peaks in the CS and CSS NPs versus core NPs toward the high angle. The vertical solid black lines are standard peak positions referenced from JCPDS file # 27-0689.



## **Figure 2.**

Photoluminescent properties of the  $\beta$ -NaYb/ErF<sub>4</sub>@NaLuF<sub>4</sub> CS NPs. (a) The simplified energy level diagram of  $Yb^{3+}/Er^{3+}$  showing multiple optical transitions migrate to the surface and are quenched. The upconversion PL emissions are recovered after epitaxial deposition of the NaLuF<sub>4</sub> shell. (b) The PL spectra across the visible and NIR range of the  $\beta$ -NaYb/ErF<sub>4</sub> core (orange) and  $\beta$ -NaYb/ErF<sub>4</sub>@NaLuF<sub>4</sub> CS (gray) NPs, showing three major emission peaks at 540, 654, and 808 nm. (c) Comparison of absorbance (orange) at 980 nm and integrated emission intensity (gray) of  $\beta$ -NaYb/ErF<sub>4</sub> core and  $\beta$ -NaYb/ ErF4@NaLuF4 CS NPs.



#### **Figure 3.**

MRI relaxivity of the  $\beta$ -NaYb/ErF<sub>4</sub>@NaLuF<sub>4</sub>@NaGdF<sub>4</sub> CSS NPs. (a) Schematic illustration showing that the MRI-silent  $\beta$ -NaYb/ErF<sub>4</sub>@ NaLuF<sub>4</sub> CS NPs gain MRI relaxivity upon deposition of a thin layer of NaGdF<sub>4</sub>. (b) Relaxivity plot of  $a$ -NaGdF<sub>4</sub> and  $\beta$ -NaYb/ ErF<sub>4</sub>@NaLuF<sub>4</sub>@NaGdF<sub>4</sub> CSS NPs against Gd<sup>3+</sup> concentration at 1.5 T. (c) Concentrationdependent phantom images of  $\alpha$ -NaGdF<sub>4</sub> and  $\beta$ -NaYb/ErF<sub>4</sub>@NaLuF<sub>4</sub>@NaGdF<sub>4</sub> CSS NPs. (d) Comparison of NP surface areas with  $Gd^{3+}$  and NP tumbling time.



#### **Figure 4.**

Comparison of image contrast between β-NaYb/ErF4@NaLuF4@NaGdF4 CSS NPs and Hexarbix in the same CT construct. (a) Concentration-dependent phantom images and (b) HU values against the concentration of the two types of CT contrast agents.



## **Figure 5.**

(a–c) TEM images of the  $\beta$ -NaYb/ErF<sub>4</sub>@NaLuF<sub>4</sub>@NaGdF<sub>4</sub> CSS NPs with increasing thickness of the interfacial NaLuF4 layer (Scale bar 100 nm). Size distribution analysis of the  $\beta$ -NaYb/ErF<sub>4</sub>@NaLuF<sub>4</sub>@NaGdF<sub>4</sub> CSS NPs with the thickness of the interfacial NaLuF<sub>4</sub> layer at (d) 1.0 nm, (e) 4.9 nm, and (f) 10.1 nm. (g) Cuvette images, MRI phantom images, and CT phantom images of the  $\beta$ -NaYb/ErF<sub>4</sub>@NaLuF<sub>4</sub>@NaGdF<sub>4</sub> CSS NPs with the thickness of the interfacial NaLuF<sub>4</sub> layer at 1.0, 4.9, and 10.1 nm.



### **Figure 6.**

(a–c) TEM images of the  $\beta$ -NaYb/ErF<sub>4</sub>@NaGdF<sub>4</sub> CS NPs with increasing thickness of the NaGdF<sub>4</sub> layer at the absence of the interfacial NaLuF<sub>4</sub> layer (Scale bar 100 nm). Comparison of the (d) PL, (e) MRI relaxivity, and (f) CT contrast of  $\beta$ -NaYb/ ErF4@NaGdF4 CS NPs and β-NaYb/ErF4@NaLuF4@NaGdF4 CSS NPs (The absorbance of each sample remained the same because each sample had the same Yb/Er content, while Gd or Lu does not absorb light).



#### **Scheme 1.**

(a) Schematic Illustration for the  $\beta$ -Yb/Er@Lu@ Gd Heteroepitaxial CSS NPs and Their Use as CAs for Photoluminescence (PL) Imaging, Magnetic Resonance Imaging (MRI), and Computed Tomography (CT) and (b) Overall Performance for the CSS NPs, Which Is Poor When the Interfacial NaLuF4 Layer Is Thin Because It Allows Surface Quenching of PL, Fast Tumbling of the NP, and Attenuating Fewer X-rays<sup>a</sup>

<sup>a</sup>The performance in three modalities is simultaneously enhanced with a thick interfacial NaLuF4 layer because it eliminates surface quenching of PL, decelerates tumbling of magnetic centers, and attenuates more X-rays.