



Published in final edited form as:

Science. 2017 September 08; 357(6355): 1037–1041. doi:10.1126/science.aan0365.

Sterilizing immunity in the lung relies on targeting fungal apoptosis-like programmed cell death

Neta Shlezinger¹, Henriette Irmer², Sourabh Dhingra³, Sarah R. Beattie³, Robert A. Cramer³, Gerhard H. Braus², Amir Sharon^{4,*}, and Tobias M. Hohl^{1,5,*}

¹Infectious Disease Service, Department of Medicine, Memorial Sloan Kettering Cancer Center, New York, NY 10065, USA

²Department of Molecular Microbiology and Genetics, Institute for Microbiology and Genetics and Göttingen Center for Molecular Biosciences, University of Göttingen, D-37077 Göttingen, Germany

³Department of Microbiology and Immunology, Geisel School of Medicine at Dartmouth, Hanover, NH 03755, USA

⁴Department of Molecular Biology and Ecology of Plants, Tel Aviv University, Tel Aviv 69978, Israel

⁵Immunology Program, Sloan Kettering Institute, Memorial Sloan Kettering Cancer Center, New York, NY 10065, USA

Abstract

Humans inhale mold conidia daily and typically experience lifelong asymptomatic clearance. Conidial germination into tissue-invasive hyphae can occur in individuals with defects in myeloid function, although the mechanism of myeloid cell-mediated immune surveillance remains unclear. By monitoring fungal physiology in vivo, we demonstrate that lung neutrophils trigger programmed cell death with apoptosis-like features in *Aspergillus fumigatus* conidia, the most prevalent human mold pathogen. An antiapoptotic protein, AFBIR1, opposes this process by inhibiting fungal caspase activation and DNA fragmentation in the murine lung. Genetic and pharmacologic studies indicate that AFBIR1 expression and activity underlie conidial susceptibility to NADPH (reduced form of nicotinamide adenine dinucleotide phosphate) oxidase-dependent killing and, in turn, host susceptibility to invasive aspergillosis. Immune surveillance exploits a fungal apoptosis-like programmed cell death pathway to maintain sterilizing immunity in the lung.

*Corresponding author. hohl@mskcc.org (T.M.H.); amirsh@tauex.tau.ac.il (A.S.).

SUPPLEMENTARY MATERIALS

www.sciencemag.org/content/357/6355/1037/suppl/DC1

Materials and Methods

Figs. S1 to S10

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Programmed cell death (PCD) pathways in vertebrates shape the immune cell repertoire, eliminate cells infected by microbial pathogens, and are targets of microbial immune evasion strategies (1, 2). In contrast, it remains unknown whether vertebrate hosts can exploit conserved PCD pathways in eukaryotic pathogens to affect infectious outcomes and maintain barrier immunity. Humans inhale $\sim 10^3$ to 10^{10} mold conidia (i.e., vegetative spores) daily and, among these, *Aspergillus fumigatus* represents the most common agent of mold pneumonia worldwide (3). Sterilizing immunity depends on rapid conidial clearance by the respiratory immune system, primarily by neutrophils, macrophages, and monocytes (4–6), to prevent the formation of multicellular, tissue-invasive hyphae.

To examine *A. fumigatus* conidial fate in the lung, we generated a histone 2A::monomeric red fluorescent protein reporter strain (*H-RFP*) that exploits loss of nuclear RFP fluorescence during fungal PCD, a strategy that has been harnessed in plant pathogenic fungi (7, 8) and mammalian cells (9). In vitro, 5 mM H₂O₂ induced markers of apoptosis-like PCD (A-PCD) in *H-RFP* conidia; these included nuclear condensation and loss of RFP fluorescence, detection of fungal caspase activity and DNA double-strand breaks, and coincided with loss of fungal cell viability (fig. S1, A to G).

For in vivo studies, we coupled Alexa Fluor 633 (AF633) to *H-RFP* conidia, because AF633-labeled conidia emit fluorescence after loss of viability (fig. S1G) (10), and examined free and leukocyte-engulfed conidia for A-PCD markers in the murine lung (Fig. 1A). Free conidia were RFP⁺AF633⁺ (Fig. 1B, orange gate), did not stain for caspase activity (Fig. 1, C and D) or by terminal deoxynucleotidyl transferase-mediated deoxyuridine triphosphate nick end labeling (TUNEL) for DNA fragmentation (Fig. 1, E and F), and yielded >0.9 colony-forming units (CFU) per sorted event (Fig. 1G), indicative of fungal cell viability and no A-PCD induction.

In contrast, RFP⁺AF633⁺ conidia engulfed by neutrophils (Fig. 1B, R1 gate) consistently stained for fungal caspase activity (Fig. 1, C and D), and $\sim 20\%$ of these were TUNEL positive. This subset remained largely viable ($\sim 80\%$) by CFU analysis of sorted neutrophils (Fig. 1G), consistent with the model that fungal caspase activation precedes loss of nuclear RFP fluorescence, DNA fragmentation, and fungal death. RFP⁻AF633⁺ conidia in neutrophils (Fig. 1B, blue R2 gate) were uniformly positive for caspase activity, >80% TUNEL positive, and yielded <0.008 CFU per sorted event. Thus, neutrophil interactions induce conidial markers of A-PCD in vivo.

To investigate its relevance for pathogenesis, we engineered *A. fumigatus* strains that are modified in their response to A-PCD induction. Using a bioinformatics approach, we identified *AfBIR1* (hereafter referred to as *BIR1*), a homolog of human *survivin* (11) and *Saccharomyces cerevisiae* *BIR1* (12) (Fig. 2A and fig. S2A), both inhibitor-of-apoptosis protein family members (13). *Survivin* encodes a single BIR domain and suppresses apoptosis by inhibiting caspase-3 and -7 (14). Transgenic strains that overexpress full-length *A. fumigatus* *BIR1* (*BIR1*^{OX1,2}) (Fig. 2B and fig. S2, B and C) exhibited a similar radial growth, conidiation, and germination rate as the parental *H-RFP* strain (fig. S2, D to F). In both full-length *BIR1*^{OX} isolates, we observed reduced A-PCD compared with the parental strain under oxidative stress in vitro, as judged by reduced RFP signal loss, reduced fungal

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caspace activity, reduced number of TUNEL-positive nuclei, and enhanced survival (Fig. 2, C to F). Over-expression of the *BIR1* N-terminal region (*N-BIR1^{OX1,2}*) (Fig. 2, A and B, and fig. S2, A to C) that includes two BIR domains was sufficient to recapitulate the phenotypes observed in isolates that overexpress the full-length gene (Fig. 2, C to F). In two independent attempts, we did not succeed in generating a *bir1* null strain. Placement of the *BIR1* gene under control of a tetracycline-inducible promoter (i.e., *bir1_{tetON}* strain) indicated that the gene is essential for growth under laboratory conditions tested (fig. S3). In the absence of a *bir1* null strain, we added S12 (15), a Survivin antagonist that targets the BIR domain, and observed more intense TUNEL staining in *H-RFP* compared with *BIR1^{OX1}* fungal cells (Fig. 2G and fig. S4). Moreover, S12 sensitized fungal cells to subapoptotic H₂O₂ levels, with more pronounced effects on *H-RFP* than on *BIR1^{OX}* and *N-BIR1^{OX}* fungal cells, as judged by reduced RFP fluorescence, increased fungal caspase activity, increased TUNEL signal, and reduced fungal viability. The analysis of four independent isolates with similar phenotypes and the use of a pharmacologic inhibitor preclude the likelihood of off-target effects of the overexpression strategy. Collectively, these data indicate that *BIR1* mediates anti-PCD activity in *A. fumigatus*; the *BIR1* N-terminal region is sufficient for this function.

To determine whether *BIR1* expression levels influence virulence, we challenged C57BL/6 mice with 6 or 12 × 10⁷ conidia and observed significantly higher mortality with *BIR1^{OX1}* compared with *H-RFP* conidia (Fig. 3A). A similar result was observed when mice were challenged with an independent *BIR1^{OX}* isolate generated on the American Type Culture Collection (ATCC) 46645 background that lacks the *H-RFP* transgene (fig. S5). *BIR1^{OX1}*-challenged mice exhibited a higher fungal burden than control mice (Fig. 3, B to E). Lung histopathology revealed many germinating conidia and fungal hyphae (Fig. 3C) within multifocal to coalescing areas of necrosis and inflammation (Fig. 3D), indicative of severe tissue destruction associated with invasive aspergillosis that affected >30% of the parenchyma. In mice infected with *H-RFP* conidia, lung sections contained only rare germinating conidia and few tissue-invasive hyphae (Fig. 3, C to E). Lung sections had moderate multifocal neutrophilic inflammation, and ~10% of the parenchyma was affected by mild necrosis (Fig. 3, C and D).

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Consistent with these findings, there was a transient increase in total lung leukocytes, neutrophils, and inflammatory monocytes in *BIR1^{OX1}*-challenged mice compared with *H-RFP*-challenged mice 24 hours postinfection (hpi) (fig. S6A), although differences resolved by 48 hpi. The number of lung monocyte-derived dendritic cells (Mo-DCs) was higher in the *BIR1^{OX1}*-challenged group at 48 hpi, consistent with the developmental relationship between infiltrating monocytes and Mo-DCs (16). Challenge with *BIR1^{OX1}* conidia resulted in higher lung inflammatory cytokine [tumor necrosis factor, interleukin-1 α (IL-1 α), and IL-1 β] and chemokine (CXCL1, CXCL2, and CXCL5) levels at 24 hpi than challenge with *H-RFP* conidia (fig. S6B). Because irradiated *H-RFP* and *BIR1^{OX1}* germlings induced equivalent macrophage inflammatory responses in vitro (fig. S2G), the higher level of tissue inflammation in *BIR1^{OX1}*-challenged mice reflected a higher fungal burden rather than a strain-specific difference in the induction of host inflammation. Collectively, these data indicate that the *BIR1^{OX1}* strain is more virulent than the *H-RFP* strain and leads to invasive aspergillosis in immune-competent mice.

To determine the mechanism by which *BIR1* expression levels promote invasive aspergillosis, we compared leukocyte conidial uptake and killing in *BIR1^{OX1}*- and *H-RFP*-challenged mice (Fig. 3F). The frequency of lung neutrophil conidial uptake (Fig. 3G) was slightly higher for *BIR1^{OX1}* than for *H-RFP* conidia at 24 hpi, but this finding was not observed for airway neutrophils, lung monocytes, or Mo-DCs, suggesting that differences in conidial uptake are unlikely to account for the difference in fungal virulence. In contrast, the frequency of fungus-engaged lung and airway neutrophils that contain live conidia was higher by a factor of 1.7 ($23.7 \pm 2.0\%$ versus $14.3 \pm 1.7\%$) and 2 ($35.3 \pm 4.5\%$ versus $17.7 \pm 4.3\%$) in *BIR1^{OX1}*-challenged than in *H-RFP*-challenged mice, respectively, at 24 hpi (Fig. 3H). Similarly, the frequency of fungus-engaged lung monocytes and Mo-DCs that contain live *BIR1^{OX1}* conidia was higher by a factor of 1.57 ($51.8 \pm 3.8\%$ versus $33.0 \pm 4.1\%$) and 1.72 ($15.9 \pm 1.0\%$ versus $9.3 \pm 1.0\%$) in *BIR1^{OX1}*-challenged than in *H-RFP*-challenged mice, respectively. These data indicate that *BIR1* overexpression confers protection against myeloid cell-mediated conidial killing in the lung. To exclude the possibility that differences in neutrophil influx contribute to these results, we examined *BIR1^{OX1,2}*, *N-BIR1^{OX1,2}*, and *H-RFP* conidial uptake by and survival in murine (Fig. S7, A and B) and human neutrophils ex vivo (Fig. 3, I to L). *BIR1^{OX1,2}* and *N-BIR1^{OX1,2}* conidial viability in fungus-engaged human and murine neutrophils was higher than that of *H-RFP* conidia (Fig. 3J and Fig. S7C), and the frequency of caspase⁺ and TUNEL⁺ fungal cells was much lower for the *BIR1^{OX1,2}* or *N-BIR1^{OX1,2}* strains compared with the *H-RFP* strain (Fig. 3, K and L; Fig. S7D), even when hyphae were coincubated with human neutrophils (Fig. S8).

To evaluate the effect of pharmacologic Bir1p inhibition on infectious outcomes, mice were challenged with *H-RFP* conidia and treated with S12 via the respiratory route. Although S12 did not affect neutrophil conidial uptake (Fig. 4A), the drug reduced the viability of neutrophil-engulfed (Fig. 4B) and free conidia (Fig. 4C), increased the frequency of caspase⁺ fungal cells in infected airways (Fig. 4D), and accelerated fungal clearance (Fig. 4E). Thus, *BIR1* overexpression and Bir1p pharmacologic inhibition mediate *A. fumigatus* resistance and susceptibility, respectively, to A-PCD induction by murine and human myeloid cells.

To determine host mechanisms that induce *A. fumigatus* A-PCD, we compared *BIR1^{OX1}* and *H-RFP* growth under a variety of stress conditions (Fig. S9). Both strains exhibited similar growth under acidic and basic conditions and in the presence of cell wall-perturbing agents and sodium nitrite. However, the *BIR1^{OX1}* strain displayed a growth advantage under oxidative stress, including menadione and H₂O₂. This finding suggested that resistance of *BIR1^{OX1}* conidia to myeloid cell killing is likely related to induction of A-PCD by phagocyte NADPH (reduced form of nicotinamide adenine dinucleotide phosphate) oxidase (i.e., NOX2). Humans with chronic granulomatous disease have genetic defects in NOX2 and a 40% lifetime risk of invasive aspergillosis (17), highlighting the role of NOX2 in barrier immunity against ubiquitous *A. fumigatus* exposure. To examine this hypothesis, we measured *BIR1^{OX1}* and *H-RFP* conidial killing in mixed bone marrow chimeric mice that contain both NADPH oxidase-sufficient and -deficient neutrophils in the lung (Fig. 4F). *BIR1^{OX1}* conidia survived equally well in p91^{phox-/-} ($28.6 \pm 3.5\%$) and in p91^{phox+/+} neutrophils ($29.3 \pm 2.6\%$). In contrast, *H-RFP* conidia exhibited $32.6 \pm 3.5\%$ viability in

p91^{phox^{-/-}} neutrophils but only 17.8 ± 2.7% viability in p91^{phox^{+/+}} neutrophils (Fig. 4, F and G), indicating that *BIR1* expression levels can counter the fungicidal activity of neutrophil NADPH oxidase by raising the threshold for A-PCD induction.

To investigate the conjecture that the difference in virulence between *BIR1^{OX1}* and *H-RFP* conidia depends on host NADPH oxidase expression, we challenged p91^{phox^{-/-}} mice with 5 × 10⁴ *BIR1^{OX1}* or *H-RFP* conidia. In contrast to p91^{phox^{+/+}} mice (Fig. 3A and fig. S5), the survival of p91^{phox^{-/-}} mice was similar whether *BIR1^{OX1}* or *H-RFP* conidia were administered (Fig. 4H). These data show that the virulence of the *BIR1^{OX1}* strain is comparable to that of the parental strain in the absence of host NADPH oxidase. Our results advance the concept that sterilizing immunity against mold conidia exploits fungal A-PCD to prevent the formation of multicellular, tissue-invasive hyphae in the lung (fig. S10). In this model, pathogen virulence is a product of microbial (i.e., fungal Bir1p) and host factors (i.e., NADPH oxidase) in determining disease development (18). Although fungi exhibit a conserved set of apoptotic markers (19), the fungal A-PCD apparatus is notably different from the mammalian apoptotic apparatus (20). Targeted pharmacologic blockade of key components in the fungal anti-PCD response, exemplified by Bir1p, may augment prophylactic or therapeutic approaches against invasive aspergillosis and highlight the importance of characterizing differences in protein structure between mammalian and fungal BIR domains involved in PCD. Identification of additional host effectors and compounds (21) that induce A-PCD in conidia and hyphae may inform new strategies for therapeutic intervention in vulnerable patient groups.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

We thank E. Pamer, M. Li, S. Kasahara, B. Zhai, and L. Heung for discussions and critical reading of the manuscript; the Memorial Sloan Kettering Cancer Center Cytology Facility, C. Franqui, and I. Leiner for technical assistance; S. Knoblauch (Ohio State University) for histopathology; and D. Askew (University of Cincinnati) for expertise on fungal strains. This research was supported by NIH grants RO1 AI093808 (T.M.H.), R21 AI105617 (T.M.H.), RO1 AI081838 (R.A.C.), T32 GM008704 (S.R.B.), P30 CA008748 (to MSKCC), and P30GM106394 (B. Stanton, principal investigator; R.A.C., Pilot Project); Burroughs Wellcome Fund Investigator in the Pathogenesis of Infectious Disease Awards (T.M.H. and R.A.C.); Israel Science Foundation grant 835/13 (A.S.); and Deutsche Forschungsgemeinschaft grant BR1502/11-2 (G.H.B.). All data and code to understand and address the conclusions of this research are available in the main text and supplementary materials.

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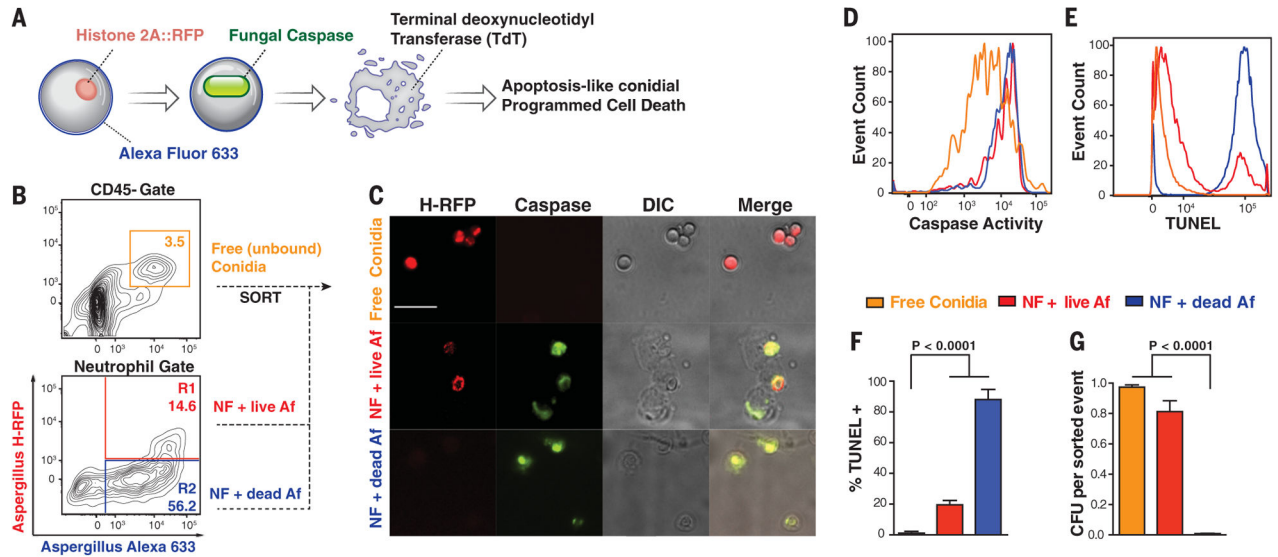


Fig. 1. Lung neutrophils trigger conidial A-PCD

(A) Schematic for analysis of conidial A-PCD markers. NF, neutrophils; AF, *Aspergillus fumigatus*. (B) Murine lung CD45⁻ cells and CD45⁺CD11b⁺Ly6G⁺ neutrophils analyzed for RFP and AF633 fluorescence 24 hpi with 3×10^7 AF633⁺H-RFP conidia. (C to G) Free conidia [orange gate in (B), lines in (D) and (E), and bars in (F) and (G)] and live [red gate in (B), lines in (D) and (E), and bars in (F) and (G)] and nonviable [blue gate in (B), lines in (D) and (E), and bars in (F) and (G)] conidia internalized in neutrophils were analyzed by (C) fluorescence microscopy (scale bar, 20 μ m) and [(D) to (F)] flow cytometry for [(C) and (D)] caspase activity and [(E) and (F)] DNA fragmentation by TUNEL and (G) for fungal viability. [(F) and (G)] The data are presented as mean + SD of three independent experiments, each performed with triplicate samples. Statistical analysis: Kruskal-Wallis rank sum test, followed by a Dunn's test for multiple comparisons.

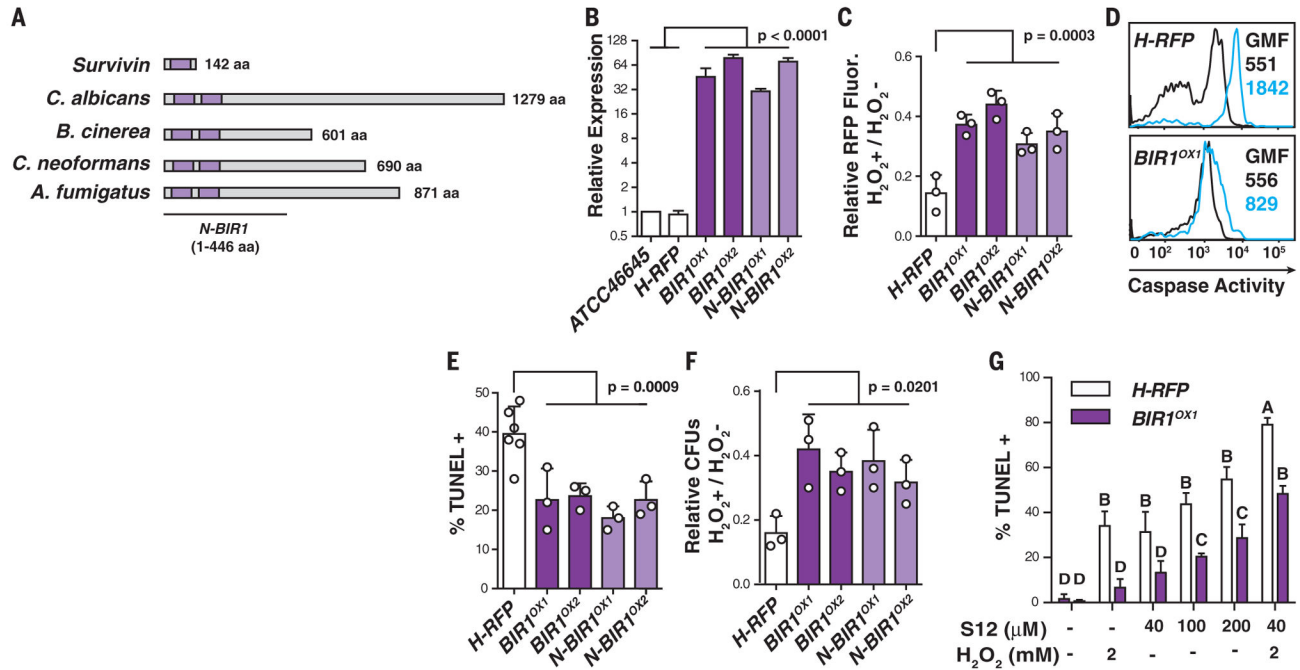


Fig. 2. BIR1 regulates conidial A-PCD

(A) Organization of BIR domains (purple) in human Survivin, *Candida albicans* CaBIR1, *Botrytis cinerea* BcBIR1, *Cryptococcus neoformans* CnBIR1, and *Aspergillus fumigatus* AfBIR1. (B) *BIR1* mRNA expression in the indicated strains (white, control strains; dark purple, full-length *BIR1^{OX}* strains; light purple, N-terminal *BIR1^{OX}* strains) normalized to β -*tubulin* and *gpdH* and expressed as the mean relative change. (C to G) Swollen conidia [(C), (E), and (F)] or germlings (D) were exposed to 0 or 5 mM H_2O_2 and analyzed for (C) RFP intensity, (D) caspase activity (0 mM H_2O_2 , black lines; 5 mM H_2O_2 , blue lines; GMF, geometric mean fluorescence), (E) TUNEL signal, and (F) CFUs. (G) TUNEL signal in *H-RFP* and *BIR1^{OX1}* swollen conidia after S12 and H_2O_2 exposure, as indicated. [(C), (E), and (F)] Each circle represents data pooled from three replicates in one experiment. The bar graphs indicate mean + SD of two (B) or three to six [(C) and (E) to (G)] independent experiments, and [(C) and (F)] data are normalized to the control condition (0 mM H_2O_2 condition). Statistical analysis: One-way [(C), (E), and (F)] or two-way (G) analysis of variance, followed by Dunnett's [(C), (E), and (F)] or Sidak's (G) test for multiple comparisons. (G) Different letters indicate groups that are statistically different ($P < 0.05$).

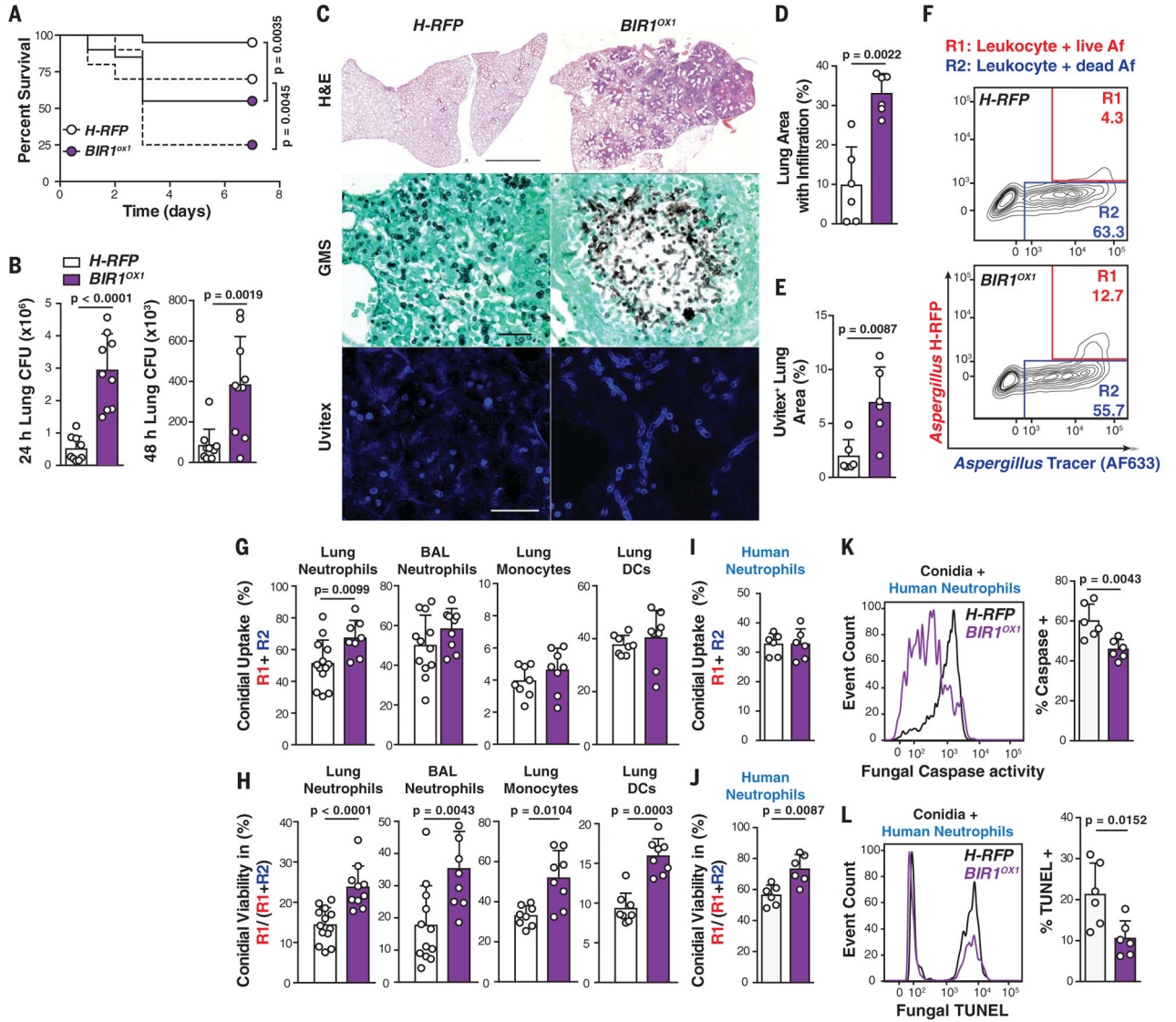


Fig. 3. BIR1 levels regulate *A. fumigatus* virulence

(A) Survival of C57BL/6 mice challenged with 6×10^7 (continuous line) or 12×10^7 (broken line) *H-RFP* (white circles or bars) or *BIR1^{OX1}* (purple circles or bars) conidia ($n = 20$ per group, pooled from two experiments per inoculum). (B) Lung CFUs at 24 and 48 hpi with 3×10^7 conidia. (C) Micrographs of lung sections stained with hematoxylin and eosin (scale bar, 2 mm), Gomori's ammoniacal silver (scale bar, 50 μ m), and Uvitex (scale bar, 50 μ m). (D and E) Morphometric analysis of (D) lung consolidation and (E) Uvitex staining ($n = 6$) at 72 hpi with 6×10^7 *H-RFP* [white bars; (B), (D), and (E)] or *BIR1^{OX1}* conidia [purple bars; (B), (D), and (E)]. (F) Representative lung neutrophil conidial uptake and killing, analyzed on the basis of RFP and AF633 fluorescence, 24 hpi with 3×10^7 AF633⁺ *H-RFP* conidia. (G and H) The scatter plots indicate (G) conidial uptake by and (H) conidial viability (*H-RFP* in purple bars, *BIR1^{OX1}* in white bars) in indicated leukocyte subsets. (I) Conidial uptake, (J) conidial viability, and frequency of (K) caspase⁺ and (L) TUNEL⁺ conidia (*H-RFP*, white bars; *BIR1^{OX1}*, purple bars) in human neutrophils (multiplicity of

infection = 3; 8 hours coincubation). The data are presented as mean + SD and are pooled from three independent experiments, with each circle denoting a [(D) and (E)] murine lung section, [(G) and (H)] mouse, or [(I) to (L)] in vitro human sample. Statistical analysis: (A) Log-rank (Mantel-Cox); [(B), (D), (E), and (G) to (L)] Mann-Whitney tests.

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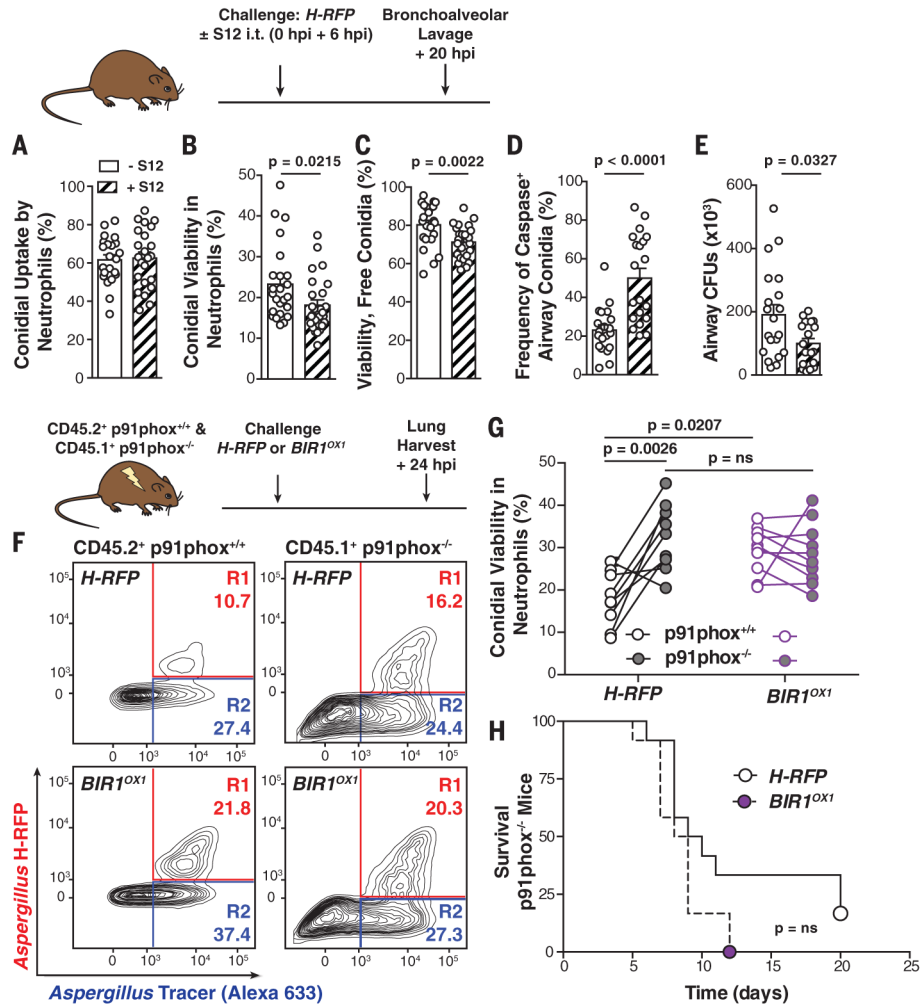


Fig. 4. NADPH oxidase induces conidial A-PCD

(A to E) Mice were challenged with 3×10^7 AF633⁺ *H-RFP* conidia with 7.5 mg per kg of weight of S12 or vehicle control, as indicated. The scatter plots show mean + SEM for (A) conidial uptake, (B) viability in airway neutrophils, (C) free airway conidial viability, (D) fungal caspase activity in free and leukocyte-engulfed airway conidia, and (E) fungal airway CFUs at 20 hpi ($n = 20$ per group). (F) Representative plots of neutrophils isolated from mixed bone marrow chimeric mice, as indicated above, and analyzed for conidial fluorescence. (G) *H-RFP* (black circle outline) and *BIR1^{OX1}* (purple circle) conidial viability in p91phox^{+/+} (white center) and p91phox^{-/-} (gray center) neutrophils. The lines indicate paired data from a single mouse. (H) Survival of p91phox^{-/-} mice challenged with 5×10^4 *H-RFP* or *BIR^{OX1}* conidia ($n = 12$ per group). All data were pooled from two independent experiments, with each circle denoting one mouse. Statistical analysis: [(A) to (G)] Mann-Whitney; (H) Log-rank (Mantel-Cox).