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Informed consent for HIV testing via tablet kiosk: An assessment of patient acceptability and comprehension

Mitra Khaksari Lewis¹, Yu-Hsiang Hsieh¹, Stephen Christopher Peterson¹, Ama Avornu¹, Charlotte Ann Gaydos^{1,2}, and Richard Eric Rothman^{1,2}

¹Department of Emergency Medicine, Johns Hopkins University School of Medicine, Baltimore, Maryland, USA

²Division of Infectious Diseases, Johns Hopkins University School of Medicine, Baltimore, Maryland, USA

Abstract

Objectives—The nationwide effort to make HIV testing part of routine care in the emergency department (ED) has met with some success; however, one commonly-cited challenge to implementation is the consent process. Tablet kiosks offer one potential strategy to overcome this barrier. This pilot study aims to examine patient acceptability of using a tablet kiosk to provide and comprehend HIV testing consent.

Methods—This cross-sectional survey was conducted in an academic ED that serves a socioeconomically disadvantaged inner-city population. Coordinators recruited a convenience sample of ED patients who were interested in HIV testing, but had not yet completed informed consent. Subjects were guided through a mock pre-test counseling and consent process using a tablet kiosk. Subjects then completed a survey of HIV consent comprehension and acceptability of tablet kiosk use for STD and HIV testing.

Results—Subjects were 50.3% female, Black (74.4%), had an education level of high school degree or less (61.3%), and reported previous experience using a kiosk or tablet (68.8%). Subjects found the tablet kiosk very easy or easy to use (83.9%) and reported they were very or mostly comfortable using the tablet kiosk to consent to HIV testing (89.4%). Overall, subjects understood the aspects of consent required by Maryland law and our institution; they stated that HIV testing was voluntary (Q1: 93.0%, n = 185) and that refusal of HIV testing would not impact their clinical care (Q2: 98.5%, n = 196; Q3: 99.0%, n = 197).

Conclusions—Following a mock HIV consent process using a tablet kiosk, subjects reported they were comfortable using the tablet kiosk, found the tablet kiosk easy to use, and enjoyed using the tablet kiosk to learn about and provide consent for HIV testing. Subjects also demonstrated a remarkably high rate of comprehension of vital components of the HIV testing consent.

Declaration of Conflicting Interests

The authors declare that there is no conflict of interest.

Corresponding author and info: Mitra Khaksari Lewis, Department of Emergency Medicine, 5801 Smith Avenue, Suite 3220 Davis Building, Johns Hopkins University, Baltimore, MD 21209, USA. mkhaksa1@jhmi.edu, Telephone: 410-735-6400.

Keywords

HIV consent; HIV testing; tablet kiosk; patient acceptability; comprehension

Emergency Departments (ED) have been increasingly called on to take part in the public health strategy of routine HIV screening. (CDC) The availability of electronic medical records, updated testing technology including CLIA-waived point of care tests, updated CDC guidelines for consent language including opt-out language have allowed many EDs to implement routinized HIV screening programs. The rationale for these programs has been extensively described and widely accepted ¹, but practical aspects of the HIV testing process have been reported in the literature that prevent streamlining and integrating HIV testing into ED clinical operations². From a clinical perspective, the testing process involves many steps including: patient engagement, offering HIV testing, obtaining consent, requisitioning the test, and finally performing and resulting the test. Numerous barriers have been reported in the literature time for testing³, financial burdens⁴, lack of necessary resources, the pretest counseling/consent process^{5, 6}, and concerns regarding follow up for results/linkage to care.

Numerous studies specifically cite the lack of time needed to obtain consent for HIV testing, inadequate training of clinical staff ⁷, and patient confusion of opt-out versus opt-in testing⁸. Despite these barriers, several approaches are being evaluated to increase patient comprehension of consent. Notably, the removal of written consent per CDC recommendation has correlated with the increase in San Francisco city-wide testing rate⁹. Furthermore, researchers have begun investigating the effectiveness of new formats and technologies for obtaining consent and increasing patient understanding of consent for HIV testing including audiotape and booklet educational materials¹⁰, video counseling¹¹, kiosks¹², and computer-based tools¹³.

Novel technology systems (specifically touch screen kiosks) have been previously investigated by our group in a series of pilot studies as a strategy for engaging interested patients and gathering essential information associated with HIV testing ^{14, 15}.

This pilot study evaluated subjects' perceived acceptability regarding the use of touch-screen tablet kiosks to assist in the HIV testing process in the ED as well as patient comprehension of the HIV consent process. Touch-screen tablet kiosks were used to provide subjects with a mock experience of the consent process for HIV testing.

Methods

This study was a cross-sectional survey conducted from March 2014 to July 2014 in an urban academic ED that serves a socioeconomically disadvantaged inner-city population with roughly 70,000 visits per year. Approximately 75% of ED patients are African American, 15% are currently or previously injection drug users, and approximately 6–8% of patients are HIV positive.

The ED currently has a hybrid, rapid, blood-based and point of care (POC) HIV testing program that was in place at the time of the study. Trained non-clinical staff (HIV testing facilitators) work in the ED and provide rapid POC HIV testing to eligible patients who meet the following criteria: aged 18–65 not tested within the last three months, not critically ill, able to provide informed consent, and have no known HIV diagnosis. Rapid blood-based testing is fully implemented into the clinical workflow and does not involve supplementary non-clinical staff.

Materials

The main outcome variable of consent comprehension was assessed via a verballyadministered survey, which consisted of six primary true/false questions that measured patient understanding of various aspects of HIV consent. Three questions were designed to reflect information required by Maryland state law, including the voluntary nature of HIV testing (question 1) and that refusal of HIV testing would not impact a patient's clinical care (questions 2 and 3). The remaining three questions assessed supplementary information regarding the HIV testing process at our institution, specifically that following a reactive oral test, a confirmatory blood test will be performed (questions 4 and 5) and that positive results will be shared with the local Health Department (question 6). Moreover, some questions (e.g. questions 2 and 3, questions 4 and 5) were originally developed as paired items to assess knowledge of the same concept. When subjects answered a non-paired question incorrectly, additional follow-up questions were asked by the study coordinator in a yes/no format.

Additional outcome variables related to patient acceptability of kiosk use were assessed via survey that was also verbally administered by the study coordinator. Subjects were asked about their comfort with the tablet kiosk, if they liked using the tablet kiosk, its ease of use, their preference between the tablet kiosk and a healthcare worker, and their opinion of the language and length of the consent materials presented.

Procedure

This tablet kiosk consent study was approved by the Johns Hopkins Medicine Institutional Review Board, and all subjects provided verbal consent prior to any study-related activities.

Study coordinators recruited a convenience sample of ED patients who were interested in having an HIV test, but had not yet completed informed consent for testing. Consent for the study was obtained via verbal consent and subjects were then given a touch-screen tablet kiosk that guided them through a mock pre-test counseling and HIV testing consent process developed using Microsoft PowerPoint, Microsoft Access, and Visual Basic. Material was presented visually through text on screen paired with audio recordings that were HHS 508 compliant. The mock pre-test counseling was designed to mimic in-person pre-test counseling that is standard of care and included all necessary components of HIV consent and testing processes at our institution. Subjects swiped or clicked the touch-screen tablet kiosk to progress through a collection of seven screens, as seen in figure 1. Screens one through four presented key material for the HIV consent and testing process, while screens

five through seven asked subjects to "checkmark" boxes to indicate their understanding of the material.

After check marking the final item on the last screen, the subjects were finished with the tablet kiosk portion of study procedures and handed the tablet kiosk to the study coordinator. Subjects then completed a structured, Likert-type questionnaire including a demographics, a survey of HIV consent comprehension, and a survey of patient acceptability of tablet kiosk use for STD and HIV testing. Study coordinators verbally administered the questionnaire and manually recorded patient responses. Table 1.

Upon completion of all study-related procedures, subjects were consented and tested by our department's HIV testing facilitators. Descriptive analyses were conducted to summarize demographic characteristics of subjects, acceptability of tablet or kiosk use, and comprehension of consent.

Results

During the study period, 202 subjects were enrolled. Data from three subjects were excluded if they were earlier consented at triage for an HIV test, previously enrolled in the study, or did not provide complete survey data. Overall, data from 199 subjects were included in analyses.

Subjects were approximately evenly distributed between males (49.7%) and females (50.3%) with an average age of approximately 43 years old. The majority of subjects were Black or African American (74.4%), had obtained an education level of high school degree or less (61.3%), and reported using a computer or tablet 6–7 days a week (43.2%) (Table 2). Most subjects stated they had previous experience using a kiosk or tablet-like device (68.8%).

Generally, subjects reported a positive experience using the tablet kiosk as a means to give consent for HIV testing. A vast majority of subjects (83.9%) found the tablet kiosk very easy or easy to use, 89.4% reported they were very or mostly comfortable using the tablet kiosk to consent to HIV testing, and 77.9% of subjects liked using the kiosk to learn about the HIV testing and consent processes (Table 3a). Almost all subjects found the length of the informational program to be just right (95.0%) and agreed that the language and questions used in the program were very easy or easy to understand (94.9%). When asked their preference between using the tablet kiosk and speaking with a healthcare professional about HIV testing, 21.1% of subjects preferred using a tablet kiosk, 29.6% preferred a healthcare professional, and 48.7% of subjects reported they would prefer both or had no preference (Table 3b).

Overall, subjects understood the aspects of consent required by Maryland law and our institution. An overwhelming majority of subjects correctly acknowledged that HIV testing was voluntary (Q1: 93.0%, n = 185) and that refusal of HIV testing would not impact their clinical care (Q2: 98.5%, n = 196; Q3: 99.0%, n = 197). Of the 14 subjects who answered question 1 incorrectly, 11 then answered a follow-up question correctly, 2 answered incorrectly, and 1 was not asked a follow up question. This reduced the error rate from 7.0%

to 1.5% of all subjects. Upon further analysis, a greater portion of these 14 subjects had an education level of high school or less (71.4% compared to 61.3% in the larger sample) and reported daily computer use at a lower rate (21.4% compared to 43.2% in the larger sample). This could potentially explain a misunderstanding of this questionnaire item, especially due to its verbal administration. Of the 3 subjects who answered question 2 incorrectly, 0 then answered the follow-up question correctly, 2 answered incorrectly, and 1 participant was not asked a follow up question. However, 2 of these same subjects answered question 3 correctly. Since these questions assess the same concept, that their care would not change if the patient declined an HIV test, we could perhaps attribute this discrepancy to the wording differences between the two items.

Limitations

Data from this study should be interpreted with the following limitations in mind. Firstly, the study design did not include a control group to which comparisons of consent comprehension rates could be made. To our knowledge, there is no published data of patient comprehension of HIV consent to which findings from this study could be compared. A study with somewhat comparable outcomes¹², demonstrated that 97% of subjects reported they had been informed about HIV testing when an opt-in kiosk consent process was used, compared to 46% of subjects when an opt-out kiosk consent process was used. Researchers operationalized comprehension as a subjects' understanding of whether or not they had been *informed* about HIV testing. Patient self-report that they have been informed about HIV testing and HIV consent comprehension are associated; however, they are not analogous concepts.

A second limitation of this study is the impact of potential selection bias, due to the use of a convenience sample. During the recruitment period of this study, a triage RN-based HIV screening process was implemented at our ED. As part of this process, triage RNs offer HIV testing, consent willing patients, and place an order for an HIV test. HIV testing facilitators receive an EMR-generated page for the patient and proceed through the testing process as outlined in the methods section. This restricted our sample to those who had been missed by triage or declined an HIV test at triage, so as to avoid the potential confound of having already consented to an HIV test during the current visit.

Discussion and Conclusions

In the last decade, EDs have increasingly implemented HIV testing programs to meet the CDC's 2006 recommendation for routine HIV screening for all persons 13 and older. Despite successes, many EDs encounter barriers to implementing a program streamlined with clinical care. An oft-cited barrier is the lengthy and elaborate consent process, for which touch-screen tablet kiosks provide a novel solution.

Findings from our study demonstrate high rates of patient acceptability for a tablet-based kiosk-facilitated consent process, the first study to demonstrate the acceptability of kiosks for HIV testing consent. Subjects were comfortable using the tablet kiosk during the mock HIV consent process, found the tablet kiosk easy to use, and enjoyed using the tablet kiosk

to learn about and provide consent for HIV testing. Previous studies from our ED and elsewhere have demonstrated the acceptability and feasibility of using kiosks for patient registration and screening ¹⁶, offering HIV testing¹⁵, improving patient flow¹⁷, providing patients with information regarding public health interventions ^{18, 19}, and providing patient education ^{20–22}.

Several pilot proof of principle studies for using kiosks in the context of HIV have been performed in non-ED settings. In a study of kiosk-based HIV education in a primary care setting, authors concluded that kiosks are effective tools for delivering targeted HIV screening information ²³. Other non-HIV related studies are also relevant to our project. One food safety education study, conducted in a primarily African American population, found that individuals with no college education preferred learning through kiosks over pamphlets and found that patients expressed significant interest in learning about other subject areas through kiosks ²⁴.

Additionally, we found an extraordinary rate of comprehension of vital components of the HIV testing consent, including the voluntary nature of HIV testing (Q1: 93.0%, n = 185) and that refusal of HIV testing would not impact patient care (Q2: 98.5%, n = 196; Q3: 99.0%, n = 197). Although these findings are significant in their own right, it is of note that this study assessed patient acceptability and comprehension of HIV consent in a highly structured experiment without the complications of clinical and patient flow in a high volume episodic care center.

Further studies could explore the optimization of tablets/kiosks by fully integrating them into clinical flow and the electronic medical record. Jones ¹⁷ recommends that kiosks be integrated with services in order for kiosks to succeed in healthcare settings. Ideally, a tablet-kiosk could be used up front for patient registration (including providing insurance and billing information) ²⁵, offer HIV screening to eligible patients, provide pre-test counseling information, obtain consent for an HIV test, and automatically trigger a test order when a patient consents. Preferably, this process would occur before a patient is even triaged up front by a triage RN. The face-to-face triage interaction would give the patient an opportunity to ask any questions regarding the HIV testing process and the potential to self-collect an oral swab for POC testing with supervision by an RN.

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Welcome You expressed interest in getting an HIV test today. Click next to continue.	Consent to Test for HIV HIV testing is completely voluntary. This means that you do not have to agree to be tested. Whether or not you decide to be tested for HIV, your care in the Johns Hopkins ED will <u>NOT</u> be affected (that is, it will stay the same).
	Back
Consent to Test for HIV	Consent to Test for HIV
□ understand the HIV test is voluntary and that my care in the Johns Hopkins Emergency Department will <u>NOT</u> be affected by my decision.	I understand that a positive blood HIV test result will be shared with the Baltimore City Health Department.
Back Net	Back Next

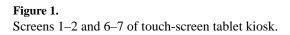


Table 1

Consent Comprehension Questions with Follow up Questions.

1. You do not have to be tested for HIV today. (T/F)

1.2You are required to be tested for HIV today. (T/F)

1.3Are you required to have an HIV test today? (Y/N)

You will receive the same level of care in the Johns Hopkins Emergency Department even if you do not agree to an HIV test. (T/F)
 2.2Will declining the test change your treatment from Johns Hopkins Emergency Department? (Y/N)

3. You can decide not to be tested for HIV and your decision will not affect the services and treatment you receive in the Johns Hopkins Emergency Department. (T/F)

4. If your oral HIV test result is positive, you do not need to have an additional blood test to find out if you really have HIV. (T/F)

4.2f your oral test is positive, will you need to have a blood test for HIV? (Y/N)

5. If your oral HIV test result is negative, you will need to have an additional blood test to find out if you really have HIV. (T/F)

5.2 f your oral test is negative, will you need to have a blood test for HIV? (Y/N)

6. If your blood HIV test result is positive, the Johns Hopkins Hospital is required to share your result with the Baltimore City Health Department. (T/F)

6.2f your blood HIV test result is negative, the Johns Hopkins Hospital is required to share your result with the Baltimore City Health Department. (T/F)

 $\textbf{6.3}\ensuremath{\mathsf{Will}}$ we share positive results with the Health Department? (Y/N)

6.4Will we share negative results with the Health Department? (Y/N)

Table 2

Demographic Characteristics of Subjects.

N=199	n (SD)	%
Age	42.69 (13.00)	
Gender		
Male	99	49.7
Female	100	50.3
Race		
Black/African American	148	74.4
White	36	18.1
Two or More	8	4.0
Asian	4	2.0
Decline	3	1.5
Education		
Middle school/Some high school	44	22.1
High School degree/GED	78	39.2
Trade School	4	2.0
Some college/associate's degree	45	22.6
College degree or higher	28	14.1
Computer use days/week		
0	60	30.1
1–2	31	15.6
3–5	22	11.1
6–7	86	43.2

Table 3a

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Subject Acceptability of Kiosk Tablet.

N=199	n (%) very much	n (%) mostly	n (%) somewhat	n (%) not at all		n (%) missing
Comfort using kiosk 128 (64.3) 50 (25.1)	128 (64.3)	50 (25.1)	15 (7.5)	5 (2.5)		1 (0.5)
Like using kiosk	111 (55.8) 44 (22.1)	44 (22.1)	39 (19.6)	4(2.0)		1 (0.5)
	n (%) very easy	n (%) easy	n (%) somewhat easy	n (%) difficult	n (%) very difficult	n (%) missing
Easy to understand	133 (66.8) 56 (28.1)	56 (28.1)	9 (4.5)			1 (0.5)
Easy to use	108 (54.3) 59 (29.6)	59 (29.6)	17 (8.5)	4 (2.0)		11 (5.5)

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Table 3b

Responses to question "Do you prefer using the kiosk or talking to a healthcare professional about HIV testing?" with associated comments.

	n (%)	Associated comments
Kiosk	42 (21.1)	Kiosk is more private Kiosks are a good idea Great, but audio could be louder
нсw	59 (29.6)	Tablet was repetitive, need to get the hang of it HCW is better because you can ask questions and understand better Prefer HCW because they can answer more questions
Both/either	97 (48.7)	Prefer to ask questions after using kiosk Kiosk is more discreet Headphones would be nice for privacy Would like to ask follow up questions of HCW after using kiosk
Missing	1 (0.5)	

Table 4

Subject Comprehension of HIV Consent and Testing.

Consent Comprehension Questions N=199	True n (%)	False n (%)	
1. You do not have to be tested for HIV today.	185 (93.0)		
2. You will receive the same level of care in the Johns Hopkins Emergency Department even if you do not agree to an HIV test.	196 (98.5)	3 (1.5)	
3. You can decide not to be tested for HIV and your decision will not affect the services and treatment you receive in the Johns Hopkins Emergency Department.	197 (99.0)	2 (1.0)	
4. If your oral HIV test result is <i>positive</i> , you do not need to have an additional blood test to find out if you really have HIV.	38 (19.1)	160 (80.4)	
5. If your oral HIV test result is <i>negative</i> , you will need to have an additional blood test to find out if you really have HIV.	51 (25.6)	148 (74.3)	
6. If your blood HIV test result is <i>positive</i> , the Johns Hopkins Hospital is required to share your result with the Baltimore City Health Department.	191 (96.0)	8 (4.0)	

Correct responses are bolded.