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# Roles of antibodies to Influenza A virus hemagglutinin, neuraminidase, and M2e in conferring cross protection

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# Abstract

Although neuraminidase (NA) is the second major viral glycoprotein of influenza virus, its immune mechanism as a vaccine target has been less considered. Here we compared the properties of antibodies and the efficacy of cross protection by N1 and N2 NA proteins, inactivated split influenza vaccines (split), and tandem repeat extracellular domain M2 on virus-like particles (M2e5x VLP). Anti-NA immune sera could confer better cross-protection against multiple heterologous influenza viruses correlating with NA inhibition activity compared to split vaccine immune sera. Whereas split vaccine was superior to NA in conferring homologous protection. NA and M2e immune sera each showed comparable survival protection. Protective efficacy by NA immune sera was lower in Fc receptor common  $\gamma$ -chain deficient mice but comparable in C3 complement deficient mice compared to that in wild type mice, suggesting a role of Fc receptor in NA immunity.

# Keywords

Influenza virus; Neuraminidase; M2e; split vaccines

# Introduction

Influenza virus is an eight-segmented negative-sense RNA virus and belongs to the family Orthomyxoviridae. Influenza A viruses are divided into different subtypes based on two types of major glycoproteins on the surface; hemagglutinin (HA) and neuraminidase (NA) [1]. Currently, 18 subtypes of HA and 11 subtypes of NA have been reported to determine the subtypes of influenza A viruses and these proteins still keep mutating to give influenza A viruses opportunities to create antigenically new strains [2].

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The strategy of current influenza vaccination is to induce neutralizing antibodies targeting highly changeable HA, which does not provide effective protection against antigenically mutated viruses and pandemics [3, 4]. In the effort to overcome this limitation of current vaccines, new vaccine strategies have been investigated, targeting relatively more conserved viral antigens. The extracellular domain (M2e) of influenza virus M2 protein has been utilized in various carrier vehicles and vaccine designs [5, 6]. However, the levels of cross protection by M2e immunity are not sufficient.

Epidemiologic studies indicated that anti-NA immunity and NA inhibitors prevent severe disease or death by influenza viral infection [7]. NA targeting antibodies do not have viral neutralizing activity, providing infection-permissive protection [8]. Studies have demonstrated that NA immunity induces a broad spectrum of cross protection within the same subtype [9, 10]. Nonetheless, contribution of NA antibodies to cross protection is not well understood yet in comparison with other viral surface antigens.

In this study, we investigated how NA immune responses contribute to cross protection by comparing with those induced by tandem repeat M2e virus-like particle (M2e5x VLP) and inactivated split virus (as HA) vaccines. NA antibodies were found to be more effective in conferring heterologous cross-protection than strain-specific HA antibodies. The contribution of NA immunity to protection appeared to be limited when compared to M2e immunity. Also, the roles Fc receptors and complement protein C3 in mediating protection by NA antibodies were investigated in mutant mouse models.

## Materials and methods

#### Viruses and vaccines

A/California/04/2009 (A/Cal) H1N1, A/Puerto Rico/8/1934 (A/PR8) H1N1, A/Philippines/ 2/1982 (A/Phil) H3N2, A/Wisconsin/67/2005 (A/Wis) H3N2, and reassortant A/Vietnam H5N1 (rgH5N1) containing H5 HA with removed polybasic residues and NA from H5N1 A/ Vietnam/1203/2004 and six internal genes from A/PR8 H1N1 were propagated in embryonated hen's eggs as previously described [11]. N1 NA protein derived from A/Cal and N2 NA protein derived from A/Wis were provided from BEI resources. M2e5x VLP containing tandem repeat of heterologous M2e derived from human, swine, and avian influenza virus was prepared as detailed in previous study [5]. Briefly, Sf9 insect cells were co-infected with recombinant baculoviruses expressing influenza M1 matrix core protein and M2e5x. M2e5x VLP vaccine was purified from cell culture supernatants containing released VLP by sucrose gradient ultracentrifugation and characterized as reported [5]. Commercial human influenza split A/Cal vaccine was obtained from a vaccine manufacturing company (Green Flu-S; Green Cross, South Korea). Inactivated virus vaccines (A/PR8, A/Phil) were prepared by treating the virus with formalin at a final concentration of 1:4000 (v/v) as previously described [12].

#### Immunization and challenge

Adult wild type and mutant mice (6–10 weeks old) used in this study include BALB/c, C57BL/6, and C3KO (B6.129S4- $C3^{tm1Crr}$ /J), and were obtained from the Jackson

Laboratory (Sacramento, CA). FcR $\gamma$ -deficient mice (FcR $\gamma^{-/-}$  encoded by *Fcer1g* on the BALB/c genetic background) were purchased from Taconic Farms (Hudson, NY). Groups of each strain of mice (n= 5, males and females) were intramuscularly immunized with 5 µg of N1 or N2 NA protein with adjuvant MF59 (1:1 vol), 1 µg of split vaccines derived from A/Cal H1N1 or A/PR8 H1N1, and 10 µg of M2e5x VLP by prime-boost regimen at a 3-week interval. At 4 weeks after boost immunization, immunized mice were then challenged intranasally with a lethal dose of A/Cal H1N1 (17 X LD<sub>50</sub>) or A/Phil H3N2 (5 X LD<sub>50</sub>). Survival rates and body weight loss were daily monitored for 14 days upon infection. All animal experimental procedures in this study were approved by the Georgia State University Institutional Animal Care and Use Committee review boards.

#### **Determination of antibody responses**

Influenza virus-specific or M2e-specific antibody levels were determined by enzyme-linked immunosorbent assay (ELISA). Immune sera were serially diluted and then applied to the 96 well plate (Corning Incorporated, Tewksbury, MA) that were coated with M2e peptides or inactivated A/Cal H1N1, A/PR8 H1N1, A/Phil H3N2, A/Wis H3N2, or rgH5N1 as previously described [13]. IgG levels were determined by HRP conjugated anti-mouse IgG (SouthernBiotech, Birmingham, AL) and tetramethylbenzidine (eBioscience, San Diego, CA) as a substrate.

#### Neuraminidase inhibition (NI) assay

The optimal concentrations of viruses for the subsequent NI assays were determined based on the NA activity of each virus. NI activity of immune sera was measured using fetuinbased assay procedure as described [9]. Briefly, 96-well plates were coated with fetuin (25  $\mu$ g/ml) and incubated overnight at 4 °C. After washing, plates were blocked with PBS containing 1% BSA for 1 hour. 2-fold serially diluted immune sera were incubated with an equal volume of virus for 1.5 hours and then added to the fetuin-coated plates and incubated for 2 hours at 37 °C. Peroxidase-labeled peanut agglutinin (1  $\mu$ g/ml) was added to each well and incubated for 2 hours. The NA activity levels were determined by using tetramethylbenzidine (eBioscience, San Diego, CA) as a substrate. OD values were read at 490nm.

#### Hemagglutination inhibition (HI) assay

HI assay was performed as previously described [14]. Immune sera were treated with receptor destroying enzymes (Sigma Aldrich, St. Louis, MO) and then incubated at 37 °C. At 16 hours after incubation, samples were heat inactivated at 56 °C for 30 min. Serially 2-fold diluted sera were incubated with 8 HA units of A/Cal H1N1, A/PR8 H1N1, A/Phil H3N2, or rgH5N1 for 30 min, followed by adding 0.5% chicken red blood cells (Lampire Biological Laboratories, Pipersville, PA) to determine HI titers.

#### In vivo protection assay of immune sera

To determine the roles of immune sera in conferring protection, in vivo protection assay was performed as described previously [5]. Briefly, heat inactivated sera at 56 °C for 30 min were diluted and mixed with a lethal dose (10 X LD<sub>50</sub>) of A/Cal H1N1, rgH5N1, or A/Phil

H3N2. Naïve mice were intranasally infected with a mixture (50  $\mu$ l) of virus and sera (x1/4 diluted), and the survival rates and body weight changes were daily monitored for 14 days.

#### Statistical analysis

All results are expressed as the mean  $\pm$  standard error of the mean (SEM). Significant differences among treatments were evaluated by 2-way ANOVA. *P*-values of less than or equal to 0.05 were considered statistically significant.

#### Results

#### NA protein vaccination induces IgG antibodies cross-reactive to heterologous virus

We compared the relative contributions and roles of serum antibodies specific for NA, inactivated split influenza vaccine (HA), and M2e in conferring cross protection. The groups of mice were intramuscularly immunized with N1 NA protein (5 µg, A/Cal H1N1), N2 NA protein (5 µg, A/Wis H3N2), M2e5x VLP (10 µg), inactivated split influenza vaccines (1 µg, A/PR8 H1N1 or A/Cal H1N1). Reactivity of IgG antibodies in immune sera to different strains of influenza virus was compared among the groups at 2 weeks after boost immunization (Fig. 1). N1 NA protein immune sera showed reactivity to the homologous A/Cal H1N1 and heterologous rgH5N1 and A/PR8 H1N1 viruses. A similar pattern was also observed with N2 NA protein immune sera at lower levels of IgG antibodies that bind to homologous A/Wis H3N2 virus and heterologous A/Phil H3N2 virus. However, both N1 and N2 immune sera did not show significant reactivity to different subtypes of influenza virus. M2e5x VLP immune sera exhibited high levels of IgG antibodies reactive to M2e peptide antigens (Fig. 1F). A/Cal split vaccine developed more cross-reactive IgG antibodies than A/PR8 split vaccine (Fig. 1B, C) although both showed high IgG antibodies specific for homologous virus. Overall, IgG antibodies induced by A/Cal split vaccines were crossreactive to heterosubtypic influenza viruses but not to M2e. NA protein-induced IgG antibodies could bind to homologous and heterologous viruses within the same NA subtype.

#### NA protein vaccination develops NA-inhibiting cross-reactive antibodies

As a measure of functional antibodies, NA inhibition (NI) activity of immune sera was determined by a fetuin-based assay (Fig. 2). A/Cal N1 NA protein-induced antibodies exhibited significantly higher levels of NI activity against homologous virus than split vaccine immune sera (Fig. 2A). Also, A/Cal N1 NA immune sera showed low levels of NI activity against heterologous rgH5N1 and heterosubtypic A/Phil H3N2 virus (Fig. 2B & D). N2 NA immune sera displayed significant levels of NI activity against the homologous virus A/Wis H3N2 and heterologous A/Phil H3N2 virus (Fig. 2C & D). Split A/Cal vaccine immune sera show low NI activity against homologous but not heterologous virus. Inactivated split influenza vaccines raise high hemagglutination inhibition titers in a strain specific manner as expected (Fig. 2E). These results indicate that NA protein vaccination induces higher NI activity against both homologous and heterologous viruses than current vaccine platforms of inactivated influenza split virus. NI activity was low or hardly detected in split vaccine immunized mice.

# NA protein vaccination is less effective in homologous protection than inactivated split and in heterologous protection compared to M2e5x VLP

We investigated protective efficacy in actively vaccinated mice after challenge. As described for Figure 1, mice were immunized with N1 (A/Cal) or N2 (A/Wis) NA proteins, split vaccine (A/Cal), or M2e5x VLP. Immune mice with A/Cal split vaccine developed significant levels of virus-specific serum IgG antibodies (Fig. 3A) and they showed high HI activity against the homologous virus strain (Fig. 3B). Mice immunized with A/Cal split vaccine did not show body weight loss after challenge with homologous A/Cal H1N1 virus (Fig. 3C). Mice that were immunized with A/Cal N1 NA protein displayed significant weight loss (~18%), but all mice in this group survived after challenge whereas all naïve mice died of infection (Fig. 3C). Regarding A/Wis N2 NA immunization, a homologous virus challenge mouse model is not available. Thus, we determined efficacy of N2 NA vaccination after a sub-lethal dose challenge with heterologous virus A/Phil H3N2 in comparison with M2e5x VLP vaccination. The A/Wis N2 NA group exhibited lower levels of weight loss (~15%) and a quicker recovery compared to the naïve control (~21%) after A/ Phil virus challenge (Fig. 3D). Interestingly the mice immunized with M2e5x VLP, a representative cross-protective vaccine, showed better cross protection (~5% weight loss) against A/Phil H3N2 virus than N2 NA protein immunized mice (Fig. 3D). Overall, these results suggest that NA protein alone is less effective as a vaccine candidate in homologous protection than HA-based split vaccine and in cross protection compared to M2e5x VLP experimental vaccine.

#### NA protein immune sera confer better cross protection against heterologous strains

We determined the roles of different vaccine immune sera in conferring cross-protection. Naïve mice were infected with a mixture of influenza virus and immune sera collected from each vaccine group, and then daily monitored for weight changes and survival rates for 14 days (Fig. 4 A–C). Naïve sera did not confer protection against A/Cal H1N1, rgH5N1, and A/Phil H3N2 viruses as evidenced by severe weight loss (>20%) or no survival rates (Fig. 4 A-C). In contrast, N1 protein immune sera conferred protection against homologous A/Cal H1N1 virus and heterologous rgH5N1 virus although low to moderate weight loss of 5-10% was observed (Fig. 4 A, B). A/Cal split vaccine immune sera did not provide cross protection against rgH5N1 virus as shown by severe weight loss similar to that in naïve control sera (Fig. 4B). In an additional set of comparing cross protection against A/Phil H3N2 virus, the mice with N2 protein (A/Wis) immune sera showed weight loss of 16% similar to M2e5x VLP immune sera but better protection with a quicker recovery than A/PR8 split vaccine sera exhibiting severe weight loss (~25%) (Fig. 4C). Interestingly, combination of M2e5x VLP and N2 NA immune sera resulted in synergistic effects on improving protection against A/Phil virus in naïve mice (Fig. 4C). Taken together, these results suggest that NA targeting antibodies confer better cross protection compared to split vaccine-induced antibodies.

#### Fc receptor plays a role in NA antibody-mediated protection but C3 is not required

We tested whether Fc receptors are involved in NA antibody-mediated protection. Naïve wild type (BALB/c) and Fc receptor common  $\gamma$ -chain knock-out (FcR KO) mice were

infected with a mixture of A/Cal H1N1 virus and N1 protein-immune sera (Fig. 4D). BALB/c mice showed minimal or no weight loss and were well protected against homologous A/Cal H1N1 virus. However, FcR KO mice that were inoculated with A/Cal H1N1 virus and N1 NA immune sera resulted in substantial weight loss (~12%), but they were still protected compared to the FcR KO mice with naïve serum plus virus inoculation (Fig. 4D). These results suggest that Fc receptor contributes to the protection mediated by NA antibodies.

To test whether the complement system plays a role in NA-mediated protection, naïve wild type (C57BL/6) and C3 knock-out (C3 KO) mice were infected with a mixture of A/Cal H1N1 virus and N1 protein-immune sera (Fig. 4E). No significant difference in protective efficacy was observed between wild type and C3 KO mice. Both wild type and C3 KO mice that received N1 immune sera and virus exhibited a delay and moderate levels in weight loss (12–15%), compared to naïve serum control groups displaying severe (20–25%) weight loss. It is noted that the C3 KO mice with naïve sera and virus could not fully recover weight loss. C57BL/6 mice showed a trend of lower efficacies in conferring protection than those in BALB/c mice.

# Discussion

This study compared the efficacy of three different influenza vaccine antigens by using each representative vaccine: split vaccines for HA immunity, NA proteins for NA immunity, and M2e5x VLP for M2e immunity. We evaluated the protective efficacy of each antigen-specific immune sera by determining: (1) their reactivity to different subtypes of influenza A viruses, (2) enzyme inhibition activities to HA and NA, and (3) protection by active immunization or passively administrated antibodies. We found that antibodies to NA proteins confer a broader range of cross protection than HA antibodies. In addition, protection by NA-specific antibodies appears to be mediated by NA inhibition activity and Fc receptors.

Virus neutralizing activity by HA-targeting antibodies is the most effective in conferring protection against the homologous influenza virus. Immunization with split vaccines (A/Cal H1N1, A/PR8 H1N1, A/Phil H3N2) induced significant levels of antibodies binding to heterologous influenza viruses. Nonetheless, these split vaccine immune sera did not show HI activity against heterosubtypic strains and failed to induce cross protection against viral infection with different subtypes, limiting the protection to homologous virus. Based on these results, split vaccines tend to induce mainly HA immunity to homologous virus. NA protein immunization raised antibodies that are cross reactive to different influenza virus strains within the same NA type. In a similar pattern, NA protein immune sera showed high levels of NI activity to homologous and heterologous virus strains. In contrast to HA immunity by split vaccines, NA antibodies contribute to survival protection against homologous and heterologous influenza virus within the same NA subtype viruses, which is consistent with a previous study [10, 15].

HA targeting vaccines are superior to NA vaccines in homologous protection. This is because HI activity of anti-HA antibodies can lead to sterilizing immunity, which cannot be

comparable to infection permissive cross protection. Active immunization with NA protein vaccines induced survival protection against heterologous virus challenge, but its protective efficacy was lower than that of M2e5x VLP which we previously described as for a cross protective vaccine candidate [16]. It is unclear what differences are in the protective mechanisms between NA protein and M2e5x VLP vaccines. T cells induced by M2e5x VLP vaccination were shown to play a role in conferring cross protection [17]. It is possible that in addition to M2e antibodies, T cells induced by M2e5x VLP vaccination contribute to more effective cross protection than NA protein immunization since we observed that N2 NA protein and M2e5x VLP immune sera conferred a similar level of protection against A/ Phil H3N2 virus. We hypothesized that non-neutralizing antibody-mediated mechanisms would be involved in the NA antibody-mediated protection, which include antibodydependent cellular cytotoxicity (ADCC) and complement-dependent cytolysis (CDC). Fc receptor is known to be required for ADCC via phagocytic cells such as macrophages, natural killer (NK) cells, and neutrophils [18]. C3 component is an essential factor in the complement pathway leading to clearance of antigen-antibody immune complexes via CDC [6]. N1 type NA protein immune sera conferred protection against homologous viral infection in wild type (BALB/c) mice without displaying weight loss whereas a moderate level of body weight loss was observed in FcR KO mice. These results suggest that Fc receptors partially contribute to the protection by NA antibodies. In line with the roles of Fc receptors in mediating protection, HA stalk-specific antibodies were shown to induce phagocytosis of immune complexes in a FcR dependent manner [19, 20]. Similarly, Fc receptors were required for M2e immune mediated protection [13]. In contrast, a similar pattern of weight loss was observed in both wild type (C57BL/6) and C3 KO mice, which indicates that C3 is not required for protection by NA antibodies. Significantly more weight loss in C57BL/6 mice than in BALB/c mice might be due to different genetic backgrounds in these two strains of mice. C57BL/6 mice showed a defect in developing granzyme Bsecreting CD8 T cells after influenza virus infection compared to BALB/c mice (unpublished data). It has been reported that C57BL/6 strain is more susceptible to influenza virus infection than BALB/c strain [21].

To overcome limitations of a single vaccine antigen in conferring cross protection, a multicomponent vaccine strategy was reported. Supplementation of inactivated influenza vaccines with M2e-based antigens resulted in inducing significantly improved cross protection in BALB/c mice [17]. It was reported that addition of NA and M2e vaccines to recombinant HA vaccines confers long-lasting cross protection against primary and secondary influenza virus infections in BALB/c mice [22]. We found that combination of N2 NA and M2e5x VLP immune sera resulted in conferring synergistically improved cross protection compared to each immune serum alone. Our unpublished data support the benefits of multicomponent vaccination that the combination of split, NA protein, and M2e5x VLP vaccines induced antibodies specific to each vaccine antigen, providing significantly improved cross protection in C57BL/6 mice than single component vaccines (data not shown). In addition, we found that the efficacy of cross protection by multicomponent vaccines was significantly lower in either CD4 or CD8 T cell deficient mice compared to that in wild type C57BL/6 mice, suggesting important roles of CD4 and CD8 T cells in cross protection (data not shown). Taken together, findings in this study highlight

different roles of HA, NA, and M2e as vaccine antigens and suggest a new possible strategy for improving cross protection.

# Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Kim et al.

Page 10



**Fig. 1. Reactivity of vaccine-specific antibodies to different strains of influenza viruses** Immune sera were collected after boost immunization of BLAB/c mice (n= 5 per group) with N1 NA protein (A/Cal H1N1), N2 NA protein (A/Wis H3N2), M2e5x VLP, split vaccine (A/PR8 H1N1, A/Cal H1N1), or whole A/Phil H3N2 virus. Whole inactivated virus particles and M2e peptide were used as ELISA coating antigens: (A) A/Cal H1N1, (B) rgH5N1, (C) A/PR8 H1N1, (D) A/Wis H3N2, (E) A/Phil H3N2 or (F) M2e peptide. Sera were pooled and serially diluted.

Kim et al.

Page 11



Fig. 2. NA inhibition activity of vaccine-specific antibodies to different strains of influenza viruses

Immune sera were collected and pooled after immunization (n=5) with N1 NA (A/Cal), N2 NA (A/Wis), M2e5x VLP, split vaccine (A/PR8, A/Cal), or inactivated A/Phil H3N2 virus. NA inhibition assays were performed against different strains of influenza viruses: (A) A/Cal H1N1, (B) rgH5N1, (C) A/Wis H3N2, or (D) A/Phil H3N2. (E) HI titers against A/Cal H1N1, A/PR8 H1N1, rgH5N1, and A/Phil H3N2 were determined from immune sera of split vaccines (A/PR8 H1N1 and A/Cal H1N1).

Kim et al.



#### Fig. 3. Vaccination with NA proteins induces less effective protection

Mice were prime-boost immunized with N1 (A/Cal) or N2 (A/Wis) NA proteins (5µg), M2e5x VLP (10µg), or A/Cal split vaccine (1µg). (A) Prime and boost IgG levels of A/Cal split vaccine immunized mice. (B) HI titers against A/Cal H1N1 with immune sera of N1 NA protein and split vaccine (A/Cal H1N1). At 4 weeks after boost immunization, mice were challenged with a lethal dose of influenza virus and monitored for body weights. (C) N1 NA protein and split vaccine immunized mice were challenged with a homologous A/Cal virus. (D) N2 NA protein (A/Wis H3N2) and M2e5x VLP immunized groups were challenged with a heterologous virus (A/Phil H3N2). Data represent the mean  $\pm$  SEM. Statistical significances were evaluated by 2-way ANOVA. \*\*p<0.01.

Kim et al.



#### Fig. 4. Roles of immune sera in conferring protection in naïve mice

Immune sera were collected and pooled after immunization of BALB/c mice (n=5) with N1 NA (A/Cal), N2 NA (A/Wis), split vaccine (A/Cal or A/PR8 H1N1), M2e5x VLP, or a mixture of N2 NA and M2e5x VLP. Naïve BALB/c mice were intranasally infected with a lethal dose of influenza virus mixed with immune or naïve sera, and monitored for weight changes. Multiple strains of viruses were tested: (A) A/Cal H1N1, (B) rgH5N1 and (C) A/ Phil H3N2. (D) BALB/c and Fc receptor knock-out (FcR KO) or (E) C57BL/6 and C3 knock-out (C3 KO) mice (n=4 per each group) were intranasally infected with a lethal dose of influenza virus (A/ Cal) mixed with immune or naïve sera. Data represent the mean  $\pm$  SEM. Statistical significances were evaluated by 2-way ANOVA. \*\*\*\*p<0.0001.