RESEARCH ARTICLE

Glutamate Genetics in Obsessive-Compulsive Disorder: A Review

Rageen Rajendram MSc¹; Sefi Kronenberg MD, PhD^{2,3}; Christie L. Burton PhD⁴; Paul D. Arnold MD, PhD^{4,5}

Abstract

Objective: Obsessive-compulsive disorder (OCD) is common and debilitating with patients exhibiting persistent intrusive thoughts (obsessions), repetitive ritualistic behaviours (compulsions) and anxiety. While it is known that OCD is highly heritable, the specific genetic risk factors for OCD are still largely unknown. The etiology of OCD has also not been fully elucidated but there is growing evidence that glutamate signaling dysfunction in the cortico-striatal-thalamo-cortical (CSTC) circuitry plays a role in its pathogenesis. **Methods**: We conducted a focused review of recent literature on the role of glutamate genes in OCD. **Results**: There have been several recent discoveries in the SAPAP (DLGAP) family, SLC1A1, and GRIN/GRIK families of proteins related to OCD. **Conclusion**: There is growing evidence supporting a role for genetic variation leading to dysfunctional glutamate signaling in OCD. Based on this new evidence we hypothesize that sustained glutamatergic neurotransmission in key areas of the brain may be contributing to the etiology of OCD.

Key Words: OCD, glutamate, genetics

Résumé

Objectif: Le trouble obsessionnel-compulsif (TOC) est commun et débilitant chez les patients qui présentent des pensées intrusives (obsessions) persistantes, des comportements rituels répétitifs (compulsions) et de l'anxiété. Bien que l'on sache que le TOC est fortement héréditaire, les facteurs de risque génétique spécifiques du TOC sont encore largement inconnus. L'étiologie du TOC n'a pas non plus été encore pleinement élucidée, mais il apparaît de plus en plus que le glutamate qui signale une dysfonction dans le circuit cortico-striatal-thalamo-cortical (CSTC) joue un rôle dans sa pathogenèse. **Méthodes**: Nous avons mené une revue ciblée de la littérature récente sur le rôle des gènes du glutamate dans le TOC. **Résultats**: Il y a eu plusieurs découvertes récentes dans la famille SAPAP (DLGAP), les familles de protéines SLC1A1, et GRIN/GRIK liées au TOC. **Conclusion**: Les preuves s'accumulent à l'appui du rôle d'une variation génétique menant à un signal dysfonctionnel du glutamate dans le TOC. Selon ces nouvelles preuves, nous émettons l'hypothèse que la neurotransmission glutamatergique soutenue dans les principales régions du cerveau peut contribuer à l'étiologie du TOC.

Mots clés: TOC, glutamate, génétique

¹University of Toronto, Faculty of Medicine, Toronto, Ontario

²Department of Psychiatry, University of Toronto, Toronto, Ontario

³Department of Psychiatry, The Hospital for Sick Children, Toronto, Ontario

⁴Genetics & Genome Biology, The Hospital for Sick Children; Toronto, Ontario

⁵Mathison Centre for Mental Health Research & Education, Cumming School of Medicine, University of Calgary, Calgary, Alberta

Corresponding E-Mail: rageen@gmail.com

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Patients with obsessive-compulsive disorder (OCD) exhibit unwanted repeated thoughts and repetitive behaviours. The World Health Organization placed OCD among the ten most disabling conditions worldwide (Murray & Lopez, 1996), with a lifetime prevalence of 1-3% (Kessler et al., 2005; Valleni-Basile et al., 1994). OCD is highly heritable (Hanna, Himle, Curtis, & Gillespie, 2005; van Grootheest, Cath, Beekman, & Boomsma, 2005), however the specific genetic risk factors for OCD are still largely unknown.

Early research focused on serotonin as the major neurotransmitter involved in the pathogenesis of OCD because of the efficacy of serotonin reuptake inhibitors in treatment of the disorder. In contrast, the glutamate hypothesis of OCD was first proposed based on imaging and other biological studies before glutamate agents were tested (Rosenberg & Keshavan, 1998). While we (K. Wu et al., 2012) and others (Pittenger, Bloch, & Williams, 2011) have previously reviewed the role of glutamate in OCD, this review will focus on new developments in genes associated with OCD supported by multiple levels of analysis (i.e., genetics, animal models, and imaging). This review will focus on new findings in glutamate related synapse (SAPAP/DLGAP family), transporter (SLC1A1) and NMDA receptor genes (GRIN and GRIK family). These findings further implicate glutamate in OCD and suggest a narrative explaining how these glutamate system genes may lead to obsessive-compulsive symptoms.

SAPAP family in Mouse Models

The gene family with the strongest evidence supporting the role of glutamate in OCD through both mouse models and human genetic studies is the SAPAP or DLGAP (in humans) family of proteins. SAPAPs are a family of membrane-associated guanylate kinases that form scaffolding complexes which regulate the trafficking and targeting of neurotransmitters to the post-synaptic membrane during synaptic transmission. SAPAP3 is the only member of this family that is highly expressed in the striatum, a region implicated in OCD etiology (Harrison et al., 2009; Welch et al., 2007). SAPAP3 may alter glutamate signalling via α -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid receptors (AMPAR) and Group 1 metabolic glutamate receptors (mGluRs) (Figure 1).

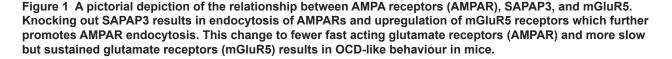
Knocking-out SAPAP3 in mice produces behavioural and neural features relevant to OCD. SAPAP3 knockout-mice excessively groom, show anxiety-like behaviour, and treatment with selective serotonin reuptake inhibitors (SSRIs) reduce these behaviors (Welch et al., 2007). SAPAP3knockout mice also show abnormalities at cortico-striatal synapses. Selective expression of SAPAP3 in the striatum rescued these synaptic and behavioral anomalies. A recent optogenetic study of SAPAP3 knockout-mice implicated the lateral orbito-fronto-striatal pathway in repetitive behavior (Burguière, Monteiro, Feng, & Graybiel, 2013). Selective optogenetic stimulation of this pathway in SA-PAP3 knockout-mice restored inhibitory signaling and prevented overexpression of conditioned and spontaneous repetitive grooming.

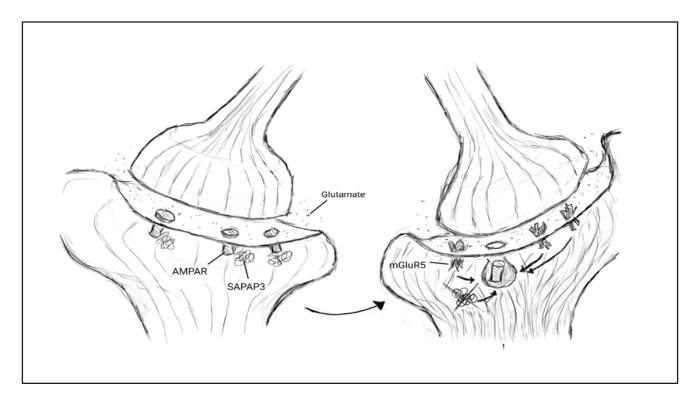
One possible biological mechanism which may mediate the effects of SAPAP3 in OCD-like behavior in mice is AM-PARs. AMPARs are the most ubiquitous glutamate receptors in the nervous system and contribute prominently to excitatory neurotransmission by mediating the fast, rapidly desensitizing excitation of synapses (Zarate & Manji, 2008). SAPAP3 knockout-mice showed less AMPAR mediated transmission in striatal inhibitory medium spiny neurons (MSNs) (Wan, Feng, & Calakos, 2011); the most prevalent neuron-type in the corpus striatum of the basal ganglia. The AMPAR-mediated transmission in MSNs in SAPAP3 knockout-mice was reduced by postsynaptic endocytosis of AMPARs, not structural loss or decrease in presynaptic release probability (Wan et al., 2011).

mGluRs are also altered in SAPAP3 knock-out mice. These receptors were more active and prevalent on the dendrites of striatal MSNs of SAPAP3 knockout-mice than wild-type MSNs (Chen et al., 2011). Antagonism of mGluR5 receptors reversed the reduction in AMPAR synaptic transmission in SAPAP3 knock-out MSNs (Chen et al., 2011). In the MSNs of wild-type mice, post-synaptic AMPAR activity was reduced in response to a mGluR5-selective positive allosteric modulator. Thus, in SAPAP3 knockout-mice high levels of mGluR5 signaling may silence postsynaptic AMPAR synapses through endocytosis (Wan et al., 2011) (Figure 1). These findings provide strong evidence that at least two glutamate receptor types (AMPARs and mGluRs) interact with the SAPAP3 protein. Furthermore, synthesizing what was found in the above articles, it appears that in SAPAP3 knockout mice there is a shift to fewer fast acting glutamate receptors (AMPAR) and more slow acting receptors (mGluR5) resulting in a more sustained response to glutamate (Figure 1). We hypothesize that a similar mechanism may be involved in the etiology of OCD in humans.

Human Genetics Findings in the DLGAP (SAPAP) family

DLGAP3 is the human homolog of SAPAP3, and has been associated with conditions which affect the brain such as schizophrenia and Tourette's syndrome (Crane et al., 2011; Li et al., 2013). Despite consistent evidence linking SAPAP3 to OCD-like phenotypes in mice, findings from candidate gene association studies of *DLGAP3* have been mixed. A family-based association study of 383 families found no single nucleotide polymorphism (SNP) or haplotype within *DLGAP3* to be associated with OCD, although a few SNPs were nominally associated (P<0.05; uncorrected) with trichotillomania (TTM) and similar disorders





(nail biting; excoriation) (Bienvenu et al., 2009). These grooming disorders are phenomenologically and etiologically related to OCD and were included in the category of Obsessive-Compulsive and Related Disorders in the DSM-V (Association, 2013; Monzani, Rijsdijk, Harris, & Mataix-Cols, 2014). Boardman et al. (2011) genotyped 7 polymorphic variants across *DLGAP3* in South African white OCD (n=172), TTM (n=45), and control (n=153) subjects. Single-locus analysis revealed that rs11583978 was significantly associated with *DLGAP3* and TTM, though the significance was lost after correction for multiple testing. In the OCD group, an earlier age of onset was significantly associated with a specific *DLGAP3* haplotype (rs11583978-rs7541937-rs6662980-rs4652867).

While human studies of *DLGAP3* have been mixed, the first published genome-wide association study in OCD (GWASs) has suggested another member of this gene family, *DLGAP1* may be involved in OCD. In this GWAS, which included 1465 cases, 5557 ancestry-matched controls and 400 trios, the two lowest p-values in the case-control sub-analysis were of SNPs in *DLGAP1* (P=2.49x10-6 and P=3.44x10-6). A second published GWAS in a sample of childhood-onset patients did not detect any SNP to be

associated with OCD at the genome-wide significance level (Mattheisen et al., 2014).

GWASs are designed to detect common polymorphisms, not rare variants which are best detected using sequencing methods. Rare variants in DLGAP3 could have deleterious effects, as seen in the mouse knockout models, if the variants are present in conserved coding regions of the gene. Züchner et al. (2009) fully re-sequenced DLGAP3 in patients with OCD and TTM, identifying 7 novel non-synonymous heterozygous variants. A pooled analysis of these rare variants revealed that a significantly greater proportion of OCD/TTM patients compared to controls had at least one rare variant (4.2% vs. 1.1%, respectively). These variants have yet to be screened in a larger OCD cohort. A recent study also re-sequenced exonic regions of DLGAP3 in 215 patients with schizophrenia, a disorder highly comorbid with OCD in which glutamatergic pathways are similarly strongly implicated (Li et al., 2013; Schirmbeck & Zink, 2013). In this study, patient and control groups carried a similar proportion of missense mutations. Functional studies are required to determine the biological significance of the DLGAP3 rare variants and whether their effects are

commensurate to those observed in SAPAP3 knock-out mice.

Human Genetic Findings in a Glutamate Transporter Gene (SLC1A1)

The 9p24 region first showed suggestive linkage in 7 large families with OCD (Hanna et al., 2002). This finding was independently replicated by another linkage study focused solely on the 9p24 region in 50 pedigrees with OCD (Willour et al., 2004). Within this region there are approximately 50 gene families. While several of the genes in the region are expressed in the CNS, only two genes code for proteins that have been shown to interact with glutamate; SLC1A1 and PTPRD. Furthermore, SLC1A1 is a post-synaptic glutamate transporter and the only gene most consistently associated with OCD in human studies. Five independent family based-association studies (Arnold, Sicard, Burroughs, Richter, & Kennedy, 2006; Dickel et al., 2006; Samuels et al., 2011; Shugart et al., 2009; Stewart et al., 2007) and one case-control study (Wendland et al., 2009) have found that alleles within SLC1A1 are associated with OCD. A recent study that analyzed four SLC1A1 SNPs in 244 early-onset OCD cases, 244 late-onset cases, and 244 healthy controls from a Han Chinese population, detected differences in allele and genotype frequencies of one SNP (rs10491734) between early-onset and late-onset OCD patients (H. Wu et al., 2013). Furthermore, a four-locus haplotype was associated with early-onset OCD after Bonferroni correction. While most of the observed associations are towards the 3' region of the gene, specific allele associations have not been consistently replicated across studies. The second OCD GWAS adds to the heterogeneity of the results; the SNP with the lowest p-value was on chromosome 9 near PTPRD (Receptor-type tyrosine-protein phosphatase delta; $P=4.13 \times 10-7$) (Mattheisen et al., 2014). *PTPRD* itself has been linked to increased differentiation of glutamatergic synpases and it lies on the same linkage peak as *SLC1A1*; as identified in previous studies using extended pedigrees with OCD (Hanna et al., 2002; Kwon, Woo, Kim, Kim, & Kim, 2010).

To clarify the association between *SLC1A1* and OCD, our group conducted a meta-analysis of the nine previously associated *SLC1A1* SNPs in 815 trios, 306 cases and 634 ethnicity- and sex-matched controls which were primarily Caucasian (Stewart et al., 2013). One *SLC1A1* SNP was weakly associated with OCD (rs301443, p=0.046 non-significant when corrected), and another SNP was modestly associated with OCD only in males (P=0.012). The lack of clear association with common variants could be due to small effect size, phenotypic and/or genetic heterogeneity, or the presence of untested causal rare variants. Larger samples, analysis of distinct clinical 'subtypes' of OCD in

future association studies, and analysis of a full spectrum of common and rare variants using sequencing methods may help clarify this.

Patients with OCD may also have rare variants in SLC1A1. Rare variants identified in OCD patients include a rare 11 bp deletion located just downstream of SLC1A1 (Dickel et al., 2006) and a single rare SNP (Ala164) identified through mutation screening of over 300 OCD patients (0.14%, 1/738 chromosomes) (Wang et al., 2010). In a study of dicarboxylic aminoaciduria, a rare autosomal recessive renal disorder (Bailey et al., 2011), a proband with obsessive-compulsive symptoms harbored a coding mutation in exon 12 of SLC1A1. This variant is in close proximity to common variants previously identified in earlier studies of OCD (Arnold et al., 2006; Wendland et al., 2009). Our group screened 184 males with OCD for rare variants in SL-C1A1 exons; however no new coding variation was found (Veenstra-VanderWeele et al., 2012). Transfection of the Ala164 missense variant into human embryonic immortalized kidney cells revealed a statistically significant decrease in glutamate transport (Veenstra-VanderWeele et al., 2012). These earlier studies didn't comprehensively sequence SL-C1A1 using next-generation sequencing (NGS) methods, but rather used older mutation screening methods which may have missed variants. NGS may help identify additional rare variants of SLC1A1 in patients with OCD. Our group and others have begun screening select OCD cohorts, including large families with multiple affected individuals, using whole exome and whole genome sequencing in order to identify other rare variants within glutamate genes.

Functional Role of SLC1A1

In addition to rare functional variants in SLC1A1, recent evidence suggests genomic regulation of isoform expression may be responsible for disruption of normal SLC1A1 function. Alternative splicing can generate a variety of mRNA and protein isoforms that have been shown to change the properties of protein function and, in turn, have meaningful physiological effects (Stamm et al., 2005). Recently, an internal promoter was identified upstream of exon 5 which was confirmed to drive the expression of a transcript consisting of exons 5 to 12 (isoform P2) (Porton et al., 2013). Along with P2, two additional isoforms were discovered, one missing exon 2 (ex2skip), the other exon 11 (ex11skip). In cell-lines, these isoforms were shown to reduce glutamate transport in transfection assays. Furthermore, the ex-2skip and ex11skip partially co-localize and interact with the primary transcript. All three isoforms (P2, ex2skip, and ex11skip) are evolutionarily conserved between humans and mice, and were expressed in abundance relative to the primary transcript within the human striatum. Taken together, this strongly suggests that these SLC1A1 isoforms regulate glutamate transport in the striatum which may inform the interpretation of human genetic studies. Investigation into whether SNPs identified previously to be associated with OCD are influencing regulation of these isoforms is warranted.

SLC1A1/EAAT3 is part of a family of excitatory amino acid transporters (EAATs) which help remove glutamate from the neuronal synaptic cleft into neurons. Recently, inducible astrocyte-specific EAAT2 (GLT1) knock-out mice were shown to exhibit pathological repetitive behaviours (Aida et al., 2015). Treatment with memantine, an NMDA (N-methyl-D-aspartate) receptor antagonist, improved the repetitive behaviour in these mice. Prevention of glutamate reuptake in the synaptic cleft and, as a result, sustained glutamatergic neurotransmission would support the etiology proposed through the SAPAP3 studies described above. Studies have also shown that glutamatergic neurotransmission is coupled with neuronal glucose metabolism (Sibson et al., 1998). SLC1A1 was recently reported to be expressed in neuronal and glial mitochondria and participate in glutamate-stimulated ATP production (Magi et al., 2012). Thus, aberrant expression or regulation of this gene may influence neuronal metabolism and may explain the biological mechanism behind hyper-metabolism in the ACC (Anterior Cingulate Cortex) of OCD patients suggested by magnetic resonance spectroscopy (MRS) studies of OCD (Brennan, Rauch, Jensen, & Pope, 2013). Although further study is needed, recent evidence highlights a potential link between glutamate transport, neuronal metabolism, and OCD.

Glutamate Receptors: The *GRIN* and *GRIK* Families of NMDA receptors

While not as extensively studied as SLC1A1 or SAPAP3, a few variants within genes encoding glutamate receptors have also been associated with OCD. The NMDA receptors are responsible for the majority of excitatory synaptic transmission and plasticity in the central nervous system (Ozawa, Kamiya, & Tsuzuki, 1998). The glutamate receptor ionotropic NMDA receptor 2B gene (GRIN2B) is expressed at high levels in the fronto-parieto-temporal cortex, amygdala and the basal ganglia; all regions with reported abnormalities in neuroimaging studies of OCD (Milad & Rauch, 2012; Schito et al., 1997). Variants in GRIN2B have also been associated with other disorders affecting the brain such as ASD, ADHD, epilepsy and schizophrenia (Hu, Chen, Myers, Yuan, & Traynelis, 2016). In rats, expression of GRIN2B changes across development and this regulation is controlled by microRNAs (Corbel, Hernandez, Wu, & Kosik, 2015). This developmental change in expression may be of relevance for development of OCD symptoms in humans.

Results from studies of *GRIN2B* in OCD have been mixed. In a pilot study, Arnold et al. (2004) found a significant association between OCD and variants within the 3'UTR in

GRIN2B, as well as an even stronger association with a haplotype block in the same region. Two more recent studies in two different ethnic populations (combined total of 431 OCD patients and 692 controls) revealed no association between variants in the 3'-UTR of GRIN2B and OCD in Han Chinese (Liu et al., 2012) or Spanish (Alonso et al., 2012) participants. In the latter study, group differences varied as a function of OCD sub-phenotypes. Specifically, one SNP in male patients and a four SNP haplotype in the whole sample were significantly associated with the presence of contamination obsessions and cleaning compulsions. Another more recent study investigated the role of rs1019385 in GRIN2B in a sample of Brazillian OCD patients and healthy controls. They found the T-allele to be significantly associated with ordering (P=0.03) and checking (P=0.03) symptoms (Kohlrausch et al., 2016). Although requiring replication, the association with specific OCD sub-phenotypes is intriguing, and future studies may benefit from a similar approach. OCD has a heterogeneous behavioral phenotype and different symptom clusters may have different genetic underpinnings (Miguel et al., 2005).

Glutamate receptor, ionotropic, kainate (*GRIK*) receptor genes (encoding the other type of ionotropic glutamate receptors) have also been reported to be associated with OCD, although specific allelic associations have not been consistent. A SNP in glutamate receptor, ionotropic, Kainate Receptor 2 (*GRIK2*) gene that was previously associated with autism, was also under-transmitted in OCD trios (Delorme et al., 2004). Following-up, Sampaio et al. studied 47 OCD probands and their parents in a family-based association study (Sampaio et al., 2011). While they failed to replicate the Delorme et al. study, they found a different SNP and a two-marker haplotype to be significantly associated with OCD. These studies are limited by their small sample sizes and so future studies are needed to clarify the association of these genes.

Imaging and Glutamate Genetics

Studying the association between genetic variants and neuroimaging has two advantages: 1) Imaging findings may represent intermediate phenotypes that are more homogeneous and closer to the action of genes compared with more complex behavioural phenotypes and therefore, at least in principle, provide added power for genetic studies; and 2) Imaging may shed light on mechanisms which mediate the influence of genetic variants on behaviour. Neuroimaging studies have identified abnormalities in brain structure, chemistry and function within cortico-striatal-thalamocortical (CSTC) circuits in patients with OCD. Structural magnetic resonance imaging (MRI) studies have revealed volumetric differences between cases and controls in a number of CSTC structures, particularly the orbitofrontal cortex (OFC), anterior cingulate cortex (ACC), thalamus and striatum. Proton magnetic resonance spectroscopy

(1H-MRS) studies in psychotropic-naive children who suffer from OCD reported greater left, but not right, caudate Glx (glutamate+glutamine) concentrations (Rosenberg et al., 2000; Starck et al., 2008). In both pediatric and adult OCD patients lower ACC Glx has been observed regardless of medication status (Rosenberg et al., 2004; Yücel et al., 2008). These findings of increased caudate Glx and decreased ACC Glx have been supported in a systematic review of 14 MRS studies measuring glutamine (Gln) or glutamate (Glu) in OCD (Brennan et al., 2013).

Building upon findings of volumetric and Glx differences in the brains of patients with OCD compared with controls, and the association of glutamatergic genes with this disorder, our group and others have begun to investigate the potential role of glutamate genes in brain volume and glutamate concentration (K. Wu et al., 2012). In a structural magnetic resonance imaging (MRI) study of 20 psychotropic-naive pediatric OCD patients we measured volumes of brain regions selected a priori for their association with OCD (orbitofrontal cortex [OFC], ACC, thalamus, caudate, putamen, globus pallidus and pituitary). A total of 519 SNPs from 9 glutamatergic candidate genes (SAPAP1, SAPAP2, SAPAP3, GRIN2B, SLC1A1, GRIK2, GRIK3, SLITRK1 and SLITRK5) were tested for association with volumes of these regions. While no SNP remained significantly associated with volumetric changes after correction for multiple comparisons, the strongest finding was between two SNPs in DLGAP2 and OFC white matter volume (K. Wu et al., 2012).

In a study of Glx concentration (measured using 1H-MRS) in children with OCD, Arnold et al. (2009b) found a significant association between a GRIN2B SNP and Glx concentration in the ACC (Arnold et al., 2009). The variant associated with higher-risk for OCD in our earlier study (Arnold et al., 2004) was found more commonly in OCD patients correlating with the higher-risk phenotype of decreased ACC glutamatergic concentration (Arnold et al., 2009). These findings were consistent with the previously reported 1H-MRS findings of decreased Glx concentration in the ACC of OCD patients. Larger sample sizes and independent replication are needed to confirm findings from these imaging genetic studies. To date, all imaging genetic studies in OCD have adopted a candidate gene approach. However, other more comprehensive imaging genetics approaches such as a GWAS may also be fruitful in identifying other SNPs or genes associated with OCD. The reader is referred to "Imaging genetics-days of future past" for a more comprehensive review of other imaging-genetics approaches (Bigos & Weinberger, 2010). Currently, a consortium of investigators (including our group) is planning such a study as part of a larger cross-disorder initiative known as Enhancing NeuroImaging Genetics through Meta-Analysis (ENIGMA, http://enigma.ini.usc.edu/ongoing/ enigma-ocd-working-group/).

Looking Forward

In this review, we have explored recent findings from human association studies and animal models in genes involved in excitatory synapse formation (SAPAP family), glutamate transport (SLC1A1), and glutamate receptors (GRIN and GRIK families). We believe that there is increasing evidence strengthening the case for a role for glutamate in OCD. Furthermore, we hypothesize that sustained glutamatergic neurotransmission in key parts of the brain may contribute the etiology of OCD. Future studies forthcoming from large consortia have larger sample sizes and better statistical power which will be helpful for additional gene discovery in OCD. Behaviorally, OCD is a very heterogeneous disorder which may reflect underlying genetic heterogeneity. Identification of intermediate phenotypes could be helpful in reducing this heterogeneity. Studying a more homogenous subset of OC traits across OCD and related disorders (e.g. TTM) may also help improve the significance and specificity of associations. Pathway analysis techniques help reduce complexity in genetic analysis and increase explanatory power (Khatri, Sirota, & Butte, 2012). This type of approach will allow us to interrogate a broader array of glutamate genes as a "system" thus assessing their collective impact on the disorder. For example, the Psychiatric Genomics Consortium recently used pathway analysis to find strong association between histone methylation processes and three adult psychiatric disorders (Network and Pathway Analysis Subgroup of Psychiatric Genomics Consortium, 2015). Associated pathways can then be further studied in animal models and in imaging genetic studies in humans. Glutamatergic agents such as riluzole, and memantine are already being assessed in OCD and are showing some promise (Grados, Atkins, Kovacikova, & McVicar, 2015). Elucidation of the role of glutamate genes in OCD may inform future treatment studies, both in the development of novel compounds as well as the stratification of patients for clinical trials based on genotype and phenotype. These studies may provide further support for the use of glutamate agents as treatments for OCD.

Acknowledgement / Conflicts of Interest

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