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Immune mediators in the brain and peripheral tissues in autism spectrum disorder

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Abstract

Increasing evidence points to a central role for immune dysregulation in autism spectrum disorder (ASD). Several ASD risk genes encode components of the immune system and many maternal immune system-related risk factors — including autoimmunity, infection and fetal reactive antibodies — are associated with ASD. In addition, there is evidence of ongoing immune dysregulation in individuals with ASD and animal models of this disorder. Recently, several molecular signalling pathways have been identified that link immune activation to ASD phenotypes, including pathways downstream of cytokines, hepatocyte growth factor receptor (MET), MHCI molecules, microglia and complement factors. These findings indicate that the immune system is a point of convergence for various ASD-related genetic and environmental risk factors.

Autism spectrum disorder (ASD) arises during the early years of life with a heterogeneous presentation and is diagnosed based on impairments in social skills and communication, repetitive behaviour and narrow and intense interests¹. The estimated prevalence of ASD has recently skyrocketed: in 1992, it was estimated that 1 in 500 children in the USA had ASD, but by 2007 this figure had been adjusted to 1 in 110, and current estimates have reached the alarming level of 1 in 68 US children and 1 in 42 boys². Although the wider diagnostic criteria for, and enhanced public awareness of, ASD have surely contributed to this increase, these factors cannot account for all, and in some estimates most, of this rise in prevalence³. This implies that one or more factors in our environment have increased the likelihood of children to develop ASD. Consistent with this idea, recent reports have suggested that the environment may have a much larger role in causing ASD than had been initially proposed^{4,5}.

Although there is a long list of diverse environmental factors that contribute to ASD⁶, most of these converge on alterations in immune responses during prenatal or early postnatal development (FIG. 1). The immune system is designed to reflect environmental changes and predict future ones as a defensive strategy. The genetic composition and initial programming of the immune system *in utero* and shortly after birth^{7,8} determines how much environmental insult the immune system can buffer during the lifetime of each individual. This buffering is

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important not only for general health but also for neural processing, owing to the pervasive and dynamic cross-talk that occurs between the immune and nervous systems. Indeed, immune status can have profound effects on brain development and cognition (BOX 1) and alterations in immune signaling can, in different contexts, induce helpful, homeostatic or harmful effects.

Like ASD, childhood disorders of the immune system such as asthma, life-threatening food allergies and autoimmune disorders have reached epidemic levels over the past two decades^{9,10}. As this time frame is too short for genetic changes at a population level to have had an appreciable impact on the prevalence of these conditions, these increases must have an environmental catalyst. It is hypothesized that the rise in childhood immune disorders reflects the exposure to an increasing number of environmental stressors during critical periods of development that results in disease expression in individuals with a vulnerable genetic background¹¹. This multiple hit model for immune disorders is also hypothesized in the aetiology of ASD^{6,12}. Although accumulating evidence indicates that immune dysregulation increases the risk for, and contributes to the pathophysiology of, ASD, this does not mean that immune responses to vaccines cause ASD. In fact, recent studies have debunked the myth of a link between ASD and early childhood vaccines¹³. Despite the unequivocal and compelling research behind the conclusion that vaccines do not cause ASD, society is increasingly at risk of preventable devastating diseases — including whooping cough, measles and tuberculosis — because of the unsubstantiated fear of vaccinations. For these reasons alone, it is critical to disseminate and improve our understanding of immune and environmental contributions to ASD.

In this Review, we provide an overview of the evidence that maternal and postnatal immune dysregulation play a part in the aetiology and pathophysiology of ASD. First, we examine evidence from genetic studies that indicates an association between mutations in immune genes and ASD. Next, we discuss the epidemiological, clinical and animal studies implicating prenatal immune system contributions to ASD, including autoimmunity, fetal reactive antibodies and maternal immune activation (MIA). Then, we review the evidence for chronic immune perturbations in individuals with ASD. Finally, we present the molecular mechanisms that might underlie the link between immune activation and ASD phenotypes, focusing on common molecular pathways that might be targeted by future, novel therapeutic interventions.

Genetic studies

Early estimates of the heritability of ASD of around 90%^{14,15}, and even recently revised estimates of between 38 and 54%, strongly suggest that genetic mutations are a major cause of ASD^{4,16}. However, no single gene has been identified to date that substantially increases the risk of developing ASD¹⁷. Instead, ASD seems to be associated with a large number of genetic mutations, including possibly hundreds of rare causal variants and over one hundred common variants of small effect^{18,19}. Much insight into the genetic pathways that are altered in ASD has come from studies of syndromic disorders with a high incidence of ASD, including fragile X syndrome (FXS), Rett syndrome, tuberous sclerosis (TSC), neurofibromatosis type 1 and PTEN macrocephaly. These syndromes are caused by

mutations in single genes (fragile X mental retardation protein 1 (*FMR1*), methyl-CpG-binding protein 2 (*MECP2*), *TSC1* or *TSC2*, neurofibromin 1 (*NFI*) and *PTEN*, respectively) and account for 5–7% of ASD cases^{20–22}. Recent reports have also highlighted the strong contribution of copy number variants (CNVs) to ASD, accounting for 7–20% of cases of this disorder^{23–25}.

Importantly, several ASD-associated genes encode components of the immune system (TABLE 1). One of the immune genes most associated with ASD is *MET*, which encodes hepatocyte growth factor receptor²⁶. A *MET* variant that includes a common G-to-C single nucleotide polymorphism (SNP) in its promoter (the rs1858830 ‘C’ allele) is associated with decreased *MET* signalling in the temporal lobe and ASD^{27–29}. This variant leads to disrupted expression of multiple downstream targets of the *MET* signalling cascade²⁸. Post-mortem transcriptome analysis of individuals with ASD also shows reduced *MET* expression in the temporal lobe, suggesting that reduced *MET* levels may indeed cause ASD phenotypes^{27,30,31}. Consistent with this idea, individuals with ASD and the *MET* ‘C’ allele exhibit reduced structural and functional connectivity in temporoparietal lobes in comparison with both ASD individuals without the *MET* variant and control individuals³¹. Moreover, structural MRI in ASD individuals with the *MET* ‘C’ allele shows decreased cortical thickness in several brain regions that are associated with socio-communicative function³². Interestingly, *MECP2*, which is implicated in Rett syndrome, regulates *MET* transcription and gene expression of *MET* is notably reduced in the temporal lobe of females with Rett syndrome in the absence of the *MET* ‘C’ allele³³, suggesting that reduced *MET* expression may contribute to the ASD-related pathophysiology in this syndrome.

Many of the ASD-related phenotypes that are associated with decreased *MET* signalling in the brain may result from deficits in the key functions that *MET* has in brain development (described below). However, *MET* could also cause these changes in neural circuitry and function indirectly through its ability to negatively regulate immune responsiveness^{34,35} and gastrointestinal homeostasis^{36,37}. Increased *MET* signalling ameliorates disease pathogenesis in several models of classic systemic inflammatory diseases, including multiple sclerosis and systemic lupus erythematosus (SLE) among others^{38–42}. Moreover, during pregnancy, the *MET* ‘C’ allele is associated with decreased production of the cytokine interleukin (IL)-10⁴³, preventing the important gestational increase in IL-10 that is responsible for maternal immune suppression and tolerance to the developing fetus⁴⁴ (FIG. 1). The *MET* ‘C’ allele is also enriched in mothers with another immune-related risk factor for ASD — maternal antibodies that are reactive to fetal brain proteins⁴³ (discussed below). Finally, the *MET* ‘C’ allele may interact with environmental factors to increase the risk for ASD. Indeed, the *MET* ‘C’ allele and exposure to high levels of air pollution during prenatal development act synergistically to increase risk of this disorder⁴⁵.

In addition to variants in *MET*, variants in the human leukocyte antigen (*HLA*) locus also confer risk for neurodevelopmental disorders^{46,47}. The *HLA* locus encodes the *MHC* genes in humans, which are involved in myriad immune functions and comprise three classes. Although the limited scale of the genetic studies of *MHC* in relation to ASD do not allow any definitive associations to be made, variants in all three classes of *MHC* genes have been reported to enhance ASD risk, namely the *MHC* class I *HLA-A2* haplotype, the *MHC* class

II *DRβ1* alleles and the complement *C4B* null allele in the *MHC* class III region⁴⁷. As with many other ASD-related genes, the *HLA* associations are not specific to ASD; they are also associated with other neurodevelopmental disorders and autoimmune disorders, including rheumatoid arthritis and SLE, which occur at higher rates in the relatives of individuals with ASD⁴⁸. The combination of a specific *DRβ1*11* allele with a family history of autoimmune disorders increases the odds ratio for the association of this allele with ASD⁴⁹. Similarly, ASD-associated deficiencies in a complement gene — *C4* — within the *MHC* class III region^{50,51} are also among the strongest genetic risk factors for SLE⁵² and individuals with idiopathic ASD exhibit a 34% decrease in plasma levels of C4b⁵³. These ASD-linked deficits in complement are thought to activate autoimmune responses directly, owing to the production of autoantibodies against excess cellular debris that is typically removed in a complement-dependent manner, and indirectly, through the chronic immune activation that is caused by recurrent and persistent bacterial infections⁵⁴.

Finally, mutations in genes for several members of the IL-1 cytokine receptor family are also associated with ASD. Two recent exome sequencing studies of individuals with ASD found synonymous SNPs in the gene encoding the IL-1β decoy receptor IL-1R2 (IL-1 receptor type 2)^{55,56}. The functional consequences of these SNPs have so far not been assessed but synonymous SNPs can affect protein folding and messenger RNA splicing, stability and structure^{57,58}. A rare ASD-associated mutation has also been identified in a gene encoding another IL-1 family receptor, IL1RAPL1 (interleukin-1 receptor accessory protein-like 1)^{59,60}. This mutation was originally identified in a screen for genes that are related to X-linked intellectual disability⁶¹. ASD-associated mutations, CNVs and somatic mosaics have subsequently been discovered in this gene, most of which result in a loss of function⁶². Together, these findings indicate that mutations in a wide array of immune genes contribute to ASD.

Maternal immune contributions

Autoimmune disorders

Although genetic mutations appear to contribute a sizeable amount of risk for ASD, recent estimates suggest that between 50 and 60% of the risk for ASD is unaccounted for, which implies that environmental factors or gene–environment interactions contribute substantially to the risk for this disorder^{4,16}. In addition to mutations in immune genes, autoimmune disorders, allergies and asthma strongly associate with the families of children with ASD⁴⁸. Estimates indicate that there is up to a 50% increase in the odds of an ASD diagnosis among children who have a parent who has had an autoimmune disorder^{48,63}. Although maternal immune disorders such as rheumatoid arthritis, SLE and type-1 diabetes account for the largest portion of this increased risk for ASD in offspring, paternal immune disorders and a general familial history of immune disorders in the absence of maternal immune conditions also appear to contribute, suggesting a heritable component for this autoimmune association^{48,64}. Indeed, autoimmune disorders are over-represented in the ASD population⁶⁵. However, such disorders also increase the risk of intellectual disability and several other neurodevelopmental disorders, suggesting that immune disorders generally increase the vulnerability of the developing brain to developmental defects⁶⁶. The strong

contribution of maternal immune status to ASD risk could involve genetic factors and environmental influences on the developing fetus. Similar to the situation caused by the *MET‘C’* allele, mothers with autoimmune disorders fail to develop an anti-inflammatory immune system profile that typically occurs during pregnancy⁶⁷ (FIG. 1). Although the relative contributions of these genetic and environmental factors are yet to be determined, animal models show a causal link between activation of the maternal immune system and altered neurodevelopment (see below).

Fetal-brain-reactive antibodies

The association of maternal autoimmune disorders with ASD in offspring may be mediated by the passive transfer to the fetus of maternal IgG antibodies (mAbs) that exhibit reactivity to self-proteins in the mother or child. In typical development, passive immunity protects the fetus and early neonate from infection until the child’s adaptive immune system has matured. These fetal mAbs could theoretically enter the fetal brain during early gestation because the blood–brain barrier (BBB) is not mature until the postnatal period⁶⁸. Bolstering this hypothesis, women with rheumatoid arthritis or SLE are more than four times as likely as women without these disorders (10.5% versus 2.6%) to harbor peripheral antibodies with reactivity to neurons from the brains of fetal and adult mice⁶⁹. Moreover, 53% of mothers of a child with ASD who test positive for anti-brain antibodies also have anti-nuclear antibodies — an indicator of latent autoimmunity— versus 13.4% of mothers of an ASD child without anti-brain antibodies⁶⁹. Thus, autoimmunity in pregnant women, even at a clinically undetected level, may be associated with the production of maternal antibodies that can reach the fetal brain and potentially perturb fetal brain development.

A functional role for such maternal autoantibodies has recently been demonstrated by several groups. Injecting NMDA receptor (NMDAR)-specific autoantibodies derived from the blood of patients with SLE into a pregnant mouse alters brain development and impairs cognitive behaviour in the offspring⁷⁰. Antibody clones recognizing the NR2A and NR2B NMDAR subunits appear to be a major cause of the behavioural changes. Interestingly, although 30–60% of individuals with SLE possess NMDAR-specific autoantibodies, each antibody clone has unique physiological effects. Some antibodies have potent co-agonist properties, leading to increased calcium influx through NMDARs and eventually neuronal death⁷¹, whereas other clones with slightly different epitopes are not pathogenic and yet others cross-react with C1q, a component of the complement cascade⁷². Remarkably, the effects of these anti-NMDAR antibodies are sex-specific in mice: they cause death through apoptosis of NR2A-expressing neurons, which are enriched in the brainstem of female, but not male, fetuses⁷³. A female-specific vulnerability to these antibodies could result in increased rates of resorption of female fetuses leading to an increase in the proportion of male offspring, similar to the male skewing seen in ASD. Additionally, female fetuses with these antibodies that are carried to term would be expected to show more profound deficits. This prediction may fit with the recurrent observation that a subset of girls with ASD show more social communication impairments and lower cognitive abilities than boys with matched low IQs⁷⁴. Collectively, these results suggest mechanisms whereby maternal autoimmunity can impair brain development in offspring in a sex-specific way.

Fetal-brain-reactive antibodies have also been found in approximately 11% of mothers of children with ASD in the absence of any evidence of autoimmunity. These antibodies recognize fetal brain proteins of 37, 39 and 73kD and may confer specific pathophysiology (such as abnormal brain enlargement, self-injurious behavior and greater language deficits) depending on the antibody clone⁷⁵. Several studies suggest that these mAbs are ASD-specific, since these antibodies did not detect proteins of these sizes in mothers of unaffected children⁷⁵. However, it is currently unknown whether these ASD-specific mAbs are sufficient to cause ASD. Further studies are needed to determine the risk of developing ASD in subsequent children born to mothers harboring ASD-specific antibodies and therefore, whether these banding patterns could be used as an ASD biomarker.

Seven proteins — lactate dehydrogenase A and B (LDH), Y-Box-binding protein 1 (YBX1), guanine deaminase (cypin), stress-induced phosphoprotein 1 (STIP1), collapsin response mediator protein 1 (CRMP1) and CRMP2 — have recently been reported to be targeted by these antibodies. These proteins are immunexpressed in the developing brain, where they have roles in growth cone motility, dendritic morphology, cellular stress and metabolism, and/or transcription regulation⁷⁶. Disrupting the function of any of these proteins could alter brain development, thereby contributing to ASD pathogenesis. Indeed, injecting pregnant non-human primates with mAbs from mothers of ASD children (mAbs-ASD) induces ASD-like behavioural changes in offspring, including reduced reciprocal interactions and inappropriate approach behaviours^{77,78}. Additionally, these offspring have larger brains especially in the frontal lobes, which may be consistent with the aberrant white matter tracts found in a subset of young children with ASD^{78–81}. Similar species-specific aberrant social behaviours in offspring are caused by injecting pregnant mice with human IgG-ASD⁸². Moreover, a single low dose intraventricular injection at mid-gestation of IgG-ASD in mice causes increases in repetitive behaviours and alterations in social approach behaviour⁸³. Thus, the presence of maternally derived brain-directed autoantibodies in early development is associated with a higher incidence of ASD and specific pathophysiology. Ongoing research is aimed at determining if these fetal antibodies exert their functions by acting directly on their protein targets in the brain and which targets are causal for specific ASD phenotypes.

Maternal infection

In addition to maternal antibodies, acute immune activation that is caused by maternal infection during specific periods of gestation also contributes to ASD risk in offspring⁸⁴. Maternal infection was first associated with ASD following the observation that ASD incidence increased from 0.05% to 8–13% in children of mothers that were exposed to the 1964 rubella pandemic during pregnancy^{85–87}. Numerous single-case studies have also associated ASD with a variety of parasitic, bacterial and viral prenatal infections including toxoplasmosis, syphilis, varicella, cytomegalovirus, mumps and herpes simplex virus infection⁸⁴. This diversity of infectious agents suggests that general immune activation during gestation, rather than a specific immune disorder or virus, underlies the link with ASD. Consistent with this idea of heightened immune activity and infection, several recent reports have described elevated levels of pro-inflammatory cytokines in the amniotic fluid of mothers of children with ASD^{88,89}.

Definitive evidence that maternal infection during pregnancy is a risk factor for ASD in offspring was obtained through more recent studies of the Danish health registry⁹⁰. Data from more than a million children born between the years of 1980 and 2005 revealed an almost 3-fold increase in the rate of ASD in children born to mothers who were hospitalized for viral infection in the first trimester and in children of women who experienced an episode of fever lasting a week or more before gestational week 32^{90,91}. These data point to a specific temporal window of immune activation during the first trimester of fetal development and the association with a specific duration of fever suggests that an immune activation threshold must be surpassed to confer risk of developing ASD. It is important to note that most maternal infections that fall within this time-window and are above this threshold do not lead to ASD in offspring, suggesting that the immune status of the mother and the immuno-genetic background of the developing child may both be critical factors in determining outcome. In support of this hypothesis, a recent study using a mouse model of MIA found that the degree of maternal immune response (as measured by weight loss and tumor necrosis factor (TNF) serum levels) to prenatal immune challenge with poly(I:C), a viral mimic, is positively associated with the severity of sensorimotor gating deficits in the offspring⁹². Finally, like maternal autoimmune disorders, maternal infection during pregnancy has been associated with other developmental disorders as well, including schizophrenia and mood disorders⁹³. It has been proposed that the specific combination of gestational week, type of immune activation (viral versus bacterial versus chronic) and the duration or intensity of activation may determine which disorder manifests in offspring¹².

Studies using several animal models of maternal infection during pregnancy support the association of MIA with ASD phenotypes. These models include influenza infection, viral and bacterial mimics (poly I:C and lipopolysaccharide, respectively) and specific cytokines, such as IL-2 and IL-6. These studies support the idea that the timing of MIA and the type of antigen that is used for immune challenge can lead to overlapping yet distinct phenotypes, including behavioural outcomes and transcriptome signatures in the developing brains of offspring⁹⁴. Poly(I:C) injection at mid-gestation in mice and non-human primates generates offspring that display all (in mice) or some (in non-human primates) of the three core behavioural symptoms of ASD⁹⁵⁻⁹⁷. Both mouse and non-human primate MIA offspring also exhibit deficiencies in sensorimotor gating and increased anxiety, co-morbidities observed in a subset of individuals with ASD. In addition, mouse MIA offspring show localized aberrations in Purkinje cells⁹⁸, similar to the localized deficits in cerebellar Purkinje cells that have been reported in many postmortem ASD cases⁹⁹. These MIA-induced ASD-like behaviours and neuropathologies in offspring appear to be caused by altered levels of maternal cytokines, including IL-2, IL-6 and IL-10. Both a single injection of IL-6 at mid-gestation or low-dose IL-2 injections daily between gestational days 12 and 16 in mice are sufficient to induce ASD-like behavioural changes and neuropathologies in offspring, including deficits in sensorimotor gating, increased anxiety and stereotypical behaviour, aberrant social interactions, and increased serum pro-inflammatory cytokines^{95,100}. Maternal cytokines also appear to be necessary for the ASD-like phenotypes in offspring because poly(I:C) injections in mid-gestation on an IL-6 knockout background, co-administration of an IL-6 function-blocking antibody and poly(I:C), or overexpression of the anti-inflammatory cytokine IL-10 by macrophages, prevent the MIA-induced changes in

gene expression and behaviour^{95,101}. Despite several considerations in interpreting findings from animal models of ASD (BOX 2), these results provide strong support for the involvement of MIA with ASD in offspring.

Chronic immune changes in ASD

Peripheral changes

In addition to immune dysregulation in families, and especially mothers, there is ample evidence of ongoing immune dysfunction in the peripheral immune system and the brain of individuals with ASD¹⁰². Like their relatives, individuals with ASD have an increased incidence of autoimmune disorders, allergy and asthma^{65,103}. A subset of individuals with ASD also have autoantibodies that are reactive to CNS self-proteins, including serotonin receptors¹⁰⁴, glial fibrillary acidic protein¹⁰⁴, myelin basic protein^{105–107}, and unidentified targets in the basal ganglia, prefrontal cortex, cingulate gyrus and cerebellum^{104,105,107–112}. Because unaffected siblings of people with ASD harbor similar autoantibody profiles^{107,113}, brain-directed autoantibodies may not be generally predictive of ASD and may instead represent secondary autoimmune processes or evidence of a previous CNS injury. Nevertheless, a recent study associated specific autoantibodies recognizing cerebellar targets of 45 and 65 kDa with ASD diagnosis, impaired behavioural scores, and lower cognitive and adaptive function in comparison to children without the antibodies¹¹⁴. Interestingly, the maternally derived anti-brain autoantibodies discussed above have a much higher degree of association with ASD diagnosis and specific pathophysiology than patients' own autoantibodies. This discrepancy may represent a critical difference in the timing of exposure, with insults during gestation having greater pathogenic impact than the limited potential for exposure after the BBB is formed and brain architecture is more developed.

In addition to the presence of autoantibodies, many reports have identified changes in cytokine levels in the blood of individuals with ASD who are over 2 years of age. These changes include increases in IL-1 β , IL-6, IL-8, IL-12p40 and granulocyte-macrophage colony-stimulating factor (GM-CSF), which are generally considered to be 'pro-inflammatory', and decreases in IL-10 and transforming growth factor- β (TGF- β), which are generally considered to be 'anti-inflammatory'^{115–120}. The lower levels of TGF- β in these children with ASD are associated with less adaptive behaviours and worse behavioural symptoms¹¹⁸. Elevations in IL-1 β , IL-6, IL-8, IL-12p40 are specifically associated with a regressive form of ASD and more impaired stereotypical behaviours, and elevations in the chemokines C-C motif chemokine 2 (CCL2), CCL5 and eotaxin are associated with higher aberrant behaviour scores and more impaired development¹²⁰. These changes in peripheral cytokine levels may be developmentally regulated since measurements at earlier ages differ in both the cytokines altered and the direction of their change in ASD. For example, several cytokines were decreased (GM-CSF, interferon- γ (IFN- γ), IL-2, IL-4 and IL-6) in neonatal blood samples from individuals who were later diagnosed with ASD in a large recent longitudinal study using the Danish Newborn Screening Biobank¹²¹. Importantly, similar to the situation for peripheral autoantibodies and ASD, peripheral cytokine profiles in individuals with ASD and their unaffected siblings are similar¹²², suggesting that these changes alone may not be sufficient to cause ASD.

In addition to altered cytokines, evidence exists for impaired immune cell function and responsiveness to immune challenge in ASD. Natural killer (NK) cells from individuals with ASD are defective in their normal function of lysing infected cells when challenged^{123,124}. Monocytes isolated from individuals with ASD also exhibit impaired responses to challenge: they secrete excess pro-inflammatory cytokines following challenge with ligands for Toll-like receptor 4 (TLR-4; a receptor that is responsive to bacterial pathogens) but show reduced production of these same cytokines when challenged with ligands for TLR-9 (a receptor that is responsive to viral pathogens)^{124,125}. Similarly, circulating levels of CD4⁺ T cell populations are low, biased towards an anti-inflammatory (T_H2) profile and exhibit a dysfunctional response to stimulation in individuals with ASD^{126–130}. Studies trying to associate particular cellular immuno-phenotypes with symptom severity have produced seemingly contradictory results. For example, T-cell skewing to a T_H2 phenotype (which is considered ‘anti-inflammatory’ and found in a subset of the ASD population) has been associated with better cognitive and adaptive behaviour^{126,131}, but in another study, elevated levels of the classic T_H2 cytokine IL-4 have been associated with greater impairments in non-verbal communication¹¹⁹. Despite these ambiguities, sufficient evidence indicates that general abnormalities in peripheral immunity are a common feature in the ASD population.

Studies in mice have provided further support for an association between peripheral immune changes and ASD. The offspring of MIA mice exhibit peripheral immune abnormalities. For example, T-cells from adult MIA offspring secrete excess pro-inflammatory cytokines when challenged and show a bias toward T_H1 and T_H17 phenotypes^{100,132,133}. In addition, myeloid cell populations from these animals are elevated and produce increased levels of IL-12p40 and CCL3, which is consistent with a pro-inflammatory immune profile^{132,134}. Collectively, these findings suggest that MIA induces an irregular immune phenotype that persists into adulthood in rodents, as in ASD, but is distinct in nature from the T-cell response profile in ASD. However, comparing results from animal studies using a single model (MIA) with ASD in humans resulting from a wide range of aetiologies may be misleading. Moreover, cross-species comparisons from the current literature are not informative because of the often limited number of cytokines assayed in human samples, the wide range of ages, co-morbidities, and therapies within the patient population, and the challenge in comparing postnatal ages between rodents and humans. Nevertheless, these studies do clearly show that an environmental risk factor for ASD causes long-lasting immune dysregulation that is associated with ASD-like phenotypes in rodents. Importantly, future studies with larger numbers of individuals with ASD are needed to determine if there is a consistent peripheral cytokine signature that is diagnostic for ASD or even negatively associated with ASD expression in unaffected siblings.

Immune changes in the CNS

It is often assumed that changes in cytokine levels in the blood from individuals with ASD reflect changes in cytokine levels in the brain. The findings of some studies are consistent with this idea, reporting elevations in GM-CSF, IL-6, IL-8, TNF- α and IFN- γ levels in the frontal cortex and in IL-6, TGF- β and MCP-1 levels in the anterior cingulate gyrus and cerebellum^{115,135,136}. As further evidence of potential neuroinflammation, microglia in the dorsolateral prefrontal cortex exhibit increased MHCII expression (a marker of activation),

activated morphology (amoeboid shape), and increased density^{137,138}. However, other results contradict these findings: some studies have shown decreases or no change in sensitive markers of CNS immune activation — including quinolinic acid, neopterin and biopterin — in the cerebrospinal fluid (CSF) of individuals with ASD^{139–141}. Similarly, increases in soluble TNF receptors that blunt the inflammatory response in the CSF and serum from individuals with ASD¹⁴¹. Future studies defining the roles for ASD-associated changes in cytokines as mediators of neuroinflammation, as dynamic adaptive responses to peripheral changes, or simply as growth factors or neuromodulators will be critical to explain these contradictory findings.

In mouse models of MIA, offspring with ASD-like behaviours and neuropathology also exhibit long-lasting changes in cytokine levels in the brain. A broad spectrum of cytokines are elevated in the fetal brain hours after poly(I:C) injection in pregnant mice^{142,143} and many of these cytokines remain chronically altered in the brains of offspring throughout postnatal development and into adulthood¹⁴⁴. Although cytokines are generally increased at birth and in the adult brain, levels of many cytokines are decreased in frontal cortical regions during peak periods of synaptogenesis and plasticity¹⁴⁴. These changes do not correlate with changes in serum cytokine levels and are not accompanied by changes in BBB permeability or immune cell infiltration into the brain parenchyma. Thus, these results do not fit the classic definition of neural inflammation and suggest caution in interpreting changes in cytokines at one time-point as indicative of an inflammatory process (BOX 3)¹⁴⁵. Understanding how a discrete event of immune activation during pregnancy causes ongoing and dynamic dysregulation of immune molecules in the brains of offspring is one of the most important areas for future research in this field.

In addition to altered cytokine levels, the brains of individuals with ASD also show changes in the expression of genes encoding proteins that were initially discovered in the immune system. A weighted gene co-expression network analysis comparing the expression of more than 30,000 genes in post-mortem autistic and control brains revealed two distinct networks — each comprising more than 400 genes — that are disrupted in the brains of individuals with ASD³⁰. One of the networks of genes is associated with synaptic function and is down-regulated, whereas the other module contains immune-related genes and is up-regulated in ASD. Taken together, these results indicate ongoing dysregulation of the immune system and altered expression of immune molecules in the CNS in ASD and in mouse models of MIA. How these changes relate to the pathophysiology observed in these individuals and animal models is still unclear. Since the immune system is primarily tasked with tissue repair and homeostatic processes, these alterations could represent compensatory responses to dysfunctional network activity and cellular stress. Studies addressing the temporal dynamics of these central alterations with age and whether they are linked to the ongoing and dynamic immune changes in the periphery are important areas for future research.

A role for microbiota?

Adding to the complexity of the neural–immune axis is the recent focus on the gut as an important nexus for nervous–immune–endocrine system interactions. The initial colonization of the gut is dominated by maternal microbiota during birth and this formative

colonization assists in priming the developing immune system and directs immune homeostasis^{146–148}. Children with ASD have excessive levels of *Clostridium spp* and *Desulfovibrio spp* in their gut microbiome^{149–151} and this imbalance could influence peripheral immune responses, potentially contributing to the observed abnormalities in immune cell composition and function in these individuals. In fact, recent studies suggest that gut microbiota may act as a ‘tuning fork’ for the immune system. The T-cell repertoire (defined as the various sub-types — each with their own effector properties — and myriad T-cell receptor clones within these sub-types) in particular, is highly influenced by the composition of gut microbiota^{152,153}. Certain microbiota signatures in the gut inhibit the differentiation of brain-supportive T cell populations, whereas administration of *Bacteriodes fragilis* (*B. fragilis*) restores the proper balance of T cell populations in mice¹⁵⁴.

The idea that therapies directed at altering the gut microbiota may regulate immune function and rescue ASD-related phenotypes has received support from animal models of ASD. Offspring from the poly (I:C) mouse model of MIA exhibit gastrointestinal changes that are also found in some humans with ASD^{155,156}, including increased intestinal permeability and abnormal intestinal cytokine profiles¹⁵⁷. These MIA offspring also have abnormal microbiota signatures, most significantly in elevated levels of *Clostridia spp*, paralleling findings in humans with ASD^{150,158}. Remarkably, oral treatment with *B. fragilis* restores intestinal permeability and serum cytokine levels, rectifies microbiota imbalances, ameliorates stereotypic and anxiety-like behaviour, improves sensorimotor gating, and increases the number and duration of ultrasonic vocalizations in these mice¹⁵⁷. Interestingly, *B. fragilis* has no effect on the deficits in sociability in these offspring. Future studies will determine if social behaviors are resistant to probiotic therapy, whether *B. fragilis* also reduces the underlying neuropathology, and whether it does so through normalizing immune dysregulation in offspring.

Potential mechanisms

As our understanding and appreciation of neural-immune crosstalk continues to grow, there is an increasing focus on determining how immune dysregulation might alter brain connectivity and function to contribute to ASD-like phenotypes. In the past 10 years, immune molecules on neurons or glia in the brain have been demonstrated to regulate every stage of brain development and function^{159,160}. The body repurposes immune cells, immune molecules and their receptors for widely divergent tasks in both region- and developmental-stage specific manners. Alterations in the expression of these immune molecules in the brain, by genetic mutations or as a result of environmental risk factors, can lead to transient and/or lasting changes in brain development and function.

Cytokines

Findings from both epidemiological studies and animal models indicate that cytokine imbalances can disturb fetal development and/or chronically impair brain function¹⁶¹. Cytokines and their receptors are expressed by neurons and glia throughout development and regulate a diverse array of physiological processes in brain development, plasticity and function¹⁵⁹. For example, IL-1 β and its receptors have important roles in a wide range of

processes, including neurogenesis and synapse formation and plasticity, from early prenatal CNS development to postnatal development and adulthood^{162–168}. Moreover, a mouse model mimicking the ASD-associated null mutation in *IL1RAPL1* exhibits reduced cortical synapse density, an enhanced ratio of excitation to inhibition in the amygdala, and deficits in associative memory^{168,169}. These findings are similar to those found in *Mecp2*-null mice, a model of Rett syndrome^{169,170}. IL-1 β functions within a tight homeostatic range; deviations above or below physiological levels lead to impairments in long-term potentiation and synaptic plasticity — two key molecular processes thought to underlie learning and memory¹⁷¹. Several other pro-inflammatory cytokines, including TNF, have similar diverse roles in early brain development and postnatal synaptic plasticity^{172,173}. IFN- γ also negatively regulates homeostatic processes during experience-dependent plasticity in the visual system¹⁷⁴. Collectively, these findings suggest that increases or decreases in cytokines owing to genetic mutations or downstream of MIA could have deleterious effects on brain development and function independent of any role in inflammation (BOX 3 and FIG. 2).

What mechanisms downstream from cytokines affect brain development? In the periphery, numerous cytokines signal through the janus kinase–signal transducer and activation of transcription (JAK–STAT) pathway, which comprises three mammalian JAK and seven STAT proteins¹⁷⁵. Receptor binding activates the kinase function of JAK, which recruits and phosphorylates STAT. Upon STAT dimerization, the complex translocates to the nucleus, where it regulates the transcription of genes involved in cell growth, differentiation and function, and immune genes including those encoding MHC I molecules. Although it is unknown whether the JAK–STAT pathway works in similar ways in the brain, recent reports suggest that JAK–STAT signalling is important for normal brain functions and that cytokines regulate this signalling in neurons. For example, STAT1 is upregulated in the visual cortex following long-term monocular deprivation, where it regulates AMPA receptor surface expression and synaptic function^{174,176}. Moreover, IFN- γ enhances STAT1 expression, which reduces visual cortical plasticity¹⁷⁴. STAT1 is also implicated in spatial learning¹⁷⁷ and STAT1 and STAT3 have roles in hippocampal plasticity^{178,179}. Although JAK–STAT signalling in the brain has just begun to be explored, it may represent an important therapeutic target for pathogenic cytokine-mediated alterations in brain development and function, like those described above for ASD.

MET

In addition to regulating immunity, MET has essential roles in the brain throughout development. *MET* is expressed throughout the neocortex, hippocampus¹⁸⁰, cerebellum¹⁸¹ and brainstem¹⁸², where it is enriched in excitatory pre- and post-synaptic compartments¹⁸³. MET signalling induced by hepatocyte growth factor (HGF) increases the levels and clustering of synaptic proteins^{184,185}, increases the number of dendritic spines¹⁸⁶, modulates hippocampal synaptic function^{185,187} and enhances hippocampal long-term potentiation¹⁸⁸. Moreover, decreases in *MET* expression confer local hyperconnectivity, which is a putative hallmark of ASD pathophysiology¹⁸⁹. Consistent with these results, reduced *MET* expression, as seen in ASD, alters key neurodevelopmental processes and is associated with structural and functional alterations in ASD²⁶. Further investigation is needed to determine

if targeting downstream MET signalling modulates circuit activity and ameliorates the impairments in socio-communicative function associated with decreased *MET* expression³¹.

MHCI molecules

MHCI molecules have been implicated in ASD through genetic associations (described above) and as a downstream effector of MIA¹⁹⁰. MHCI molecules are found on all nucleated cells in the body, where they mediate the adaptive and innate immune responses. They are also present throughout the CNS of many mammalian species in neurons and glial cells^{191,192}. In cortical pyramidal neurons, MHCI is present both pre- and post-synaptically at glutamatergic synapses, where its surface expression is tightly modulated by activity, therefore allowing it to regulate synaptic plasticity^{191,193–199}. During CNS development, MHCI controls axonal and dendritic outgrowth, negatively regulates the initial establishment of cortical connections, and promotes synapse elimination during activity-dependent refinement of connections in the developing visual system^{190,192}. Interestingly, poly(I:C)-induced MIA in mice causes a dramatic increase in the expression of MHCI molecules on cortical neurons from newborn offspring. Dissociated neurons from MIA offspring also exhibit a profound deficit in their ability to form glutamatergic synapses. Remarkably, normalizing MHCI levels in cultured MIA neurons prevents the MIA-induced decrease in synapse density¹⁹⁰. Thus, changes in MHCI signalling may be a common mechanism through which genetic mutations in *MHC* genes and exposure to maternal infection could alter the establishment of connectivity in the developing brains of offspring. Whether changes in MHCI act downstream of genetic mutations in other immune or non-immune ASD-linked genes, utilize convergent ASD-linked signaling hubs at the glutamatergic synapse, or contribute to ASD-related behaviours are active areas of investigation.

MEF2 transcription factors

Although myocyte enhancer factor 2 (MEF2) transcription factors are not classic immune molecules, they appear to mediate the effects of immune dysregulation on synaptic connectivity, are also associated with ASD risk through genetic mutations, and act as central molecular hubs downstream of several other ASD risk factors. MEF2 transcription factors regulate gene expression in an activity-dependent manner, affecting the expression of many proteins that regulate synaptic plasticity and function during neural development^{200,201}. Interestingly, *MEF2C* haploinsufficiency syndrome is a recently discovered neurodevelopmental disorder that is characterized by autism-like behaviours, intellectual disability, high rates of epilepsy and abnormal movements²⁰². MEF2 negatively regulates the establishment of hippocampal connections²⁰¹ and mediates the effects of MHCI on cortical connectivity in normal brain development and the MIA-induced deficit in synapse formation in newborn neurons¹⁹⁰. In the genome-wide transcriptional profiling study of ASD that was mentioned previously³⁰, the MEF2 splicing factor ataxin-2-binding protein 1 (A2BP1; also known as RBFOX1) — previously implicated in ASD^{203,204} — was identified as a central hub within the network of downregulated genes associated with synaptic function. Moreover, in an integrative functional genomic analysis of ASD-associated genes, two co-expressed networks upregulated during early fetal development and during late fetal–early postnatal development showed binding site enrichment for two isoforms of MEF2 (MEF2A and MEF2C)²⁰⁵ that are predicted to drive the transcriptional co-regulation of both

processes. In addition, MEF2 interacts with FMRP to regulate glutamatergic synaptic function²⁰⁶ and this interaction controls the expression of protocadherin 10 (*Pcdh10*), which is another ASD-linked gene and is necessary for MEF2-mediated activity-dependent synapse elimination²⁰⁷. Together, these findings suggest that ASD that is associated with immune dysregulation during gestation, as well as idiopathic and syndromic forms of ASD, may converge on a molecular pathway with MEF2 as a hub²⁰⁸. Identifying new therapeutic targets within the signalling cascades upstream and downstream of MEF2 should be a priority for ASD research owing to their potential efficacy in treating a wide range of disorders on the autism spectrum.

Microglia and complement

Microglia and complement may — like cytokines, MHC I and MEF2 — also mediate the effects of both environmental and genetic ASD risk factors. Activated microglia are present in increased numbers and with an altered distribution in postmortem brain tissue, especially the prefrontal cortex, in a subset of ASD cases^{115,137,209,210}. Although microglia are best known for their role in clearing debris following injury, they have recently been shown to have important roles in the normal brain²¹¹. During development, microglia phagocytize debris from naturally-occurring cell death, secrete trophic factors like insulin-like growth factor I (IGF-1) and TGF β , regulate neurogenesis through phagocytosis of neural precursor cells and participate in activity-dependent elimination of synaptic connections^{212–214}. Deficits in microglia, such as those seen in the CX3C chemokine receptor 1 (*Cx3cr1*) knockout mice, lead to increased densities of immature synapses in the cerebral cortex, deficits in functional connectivity across brain regions, and ASD-like behaviours^{215,216}.

Components of the complement cascade may mediate some of these microglial functions. In the peripheral immune system, the complement pathway mediates clearance of cellular debris and increases the degree of antibody binding to circulating bacteria and infected cells, thereby enhancing their destruction. Intriguingly, the complement C4 gene lies within the MHC region and deficiencies in C4 are associated with ASD^{50,128,217}. Complement deficiency is also strongly linked to autoimmune disorders, especially SLE²¹⁸. In the brain, the complement protein C1q is secreted by neurons²¹⁹. Although many of the details of the complement cascade in the brain are unknown, C1q typically complexes with other C1 proteins to activate the C3 convertase, which cleaves C3 into opsonizing fragments in the periphery²²⁰. One of these fragments, C3b, is thought to tag weak synapses in the CNS. These tagged synapses are subsequently pruned through phagocytosis by microglia expressing the complement receptor 3 (CR3)²²¹. Thus, early immune insults have the potential to diminish or enhance microglia-mediated synaptic pruning. Astrocyte-dependent secretion of TGF- β regulates C1q expression and deposition in the lateral geniculate nucleus²²². Because TGF- β is increased in the CSF and postmortem brain tissue from individuals with ASD¹¹⁵, it is possible that these increases of TGF- β in ASD brains could cause and/or reflect compensatory or pathology-inducing alterations in synaptic pruning. Importantly, microglia, like their macrophage relatives in the periphery, can be primed early in development²²³. Depending on the developmental trigger, altered priming can impair or enhance reactions to subsequent immune challenges^{224,225}. Whether, and how, this immune priming contributes to ASD phenotypes remains an important area for future research.

Perspective

A common pathway?

The results reviewed here suggest that many of the diverse immune contributions to ASD — including dysregulated signalling through MET, cytokines, MHCI and microglia–complement — share the downstream effect of regulating synapse formation and elimination, thereby controlling synaptic function and plasticity in the developing and mature brain. Although research into the molecular mechanisms used by these immune ASD risk factors is in its infancy, one common signaling hub — MEF2 — has already been identified (FIG. 3). Importantly, this hub also mediates the effects of mutations in *MEF2* and *FMRI* in contributing to ASD-related phenotypes.

In addition to convergence on MEF2 signalling, it is likely that many immune risk factors will converge on the most common intracellular signaling hub for ASD-risk genes — the mammalian target of rapamycin (mTOR). mTOR has been implicated as a common pathway in ASD from numerous studies of monogenic forms of ASD²²⁶. mTOR is a serine/threonine protein kinase that controls many aspects of neural development as well as the function and plasticity of the mature brain^{227,228}. This pathway integrates signals from growth factors and hormones, neurotransmitters, cytokines, and stress mediators and involves cross-talk between two intracellular signaling cascades — RAS–ERK and phosphoinositide 3-kinase–AKT — that are essential in immune and neuronal function. In the immune system, mTOR integrates signals from the immune microenvironment to direct immune cell metabolism, differentiation and function, and is important for mounting an adaptive immune response²²⁹. Alterations in mTOR signalling seem to underlie several common monogenic forms of ASD, including FXS, TSC, Rett syndrome, PTEN macrocephaly and neurofibromatosis type 1, and syndromes with autistic-like features, such as MEF2C haploinsufficiency and 9q34.3 deletion syndrome. Interestingly, IGF-1, which ameliorates some behavioural and neuropathologies of Rett and 22q13 deletion syndrome, and is secreted by microglia, activates mTOR²³⁰.

Although most of the immune risk factors for ASD discussed above have not yet been directly studied for their effects on mTOR, there is some evidence to date that is consistent with the hypothesis that immune dysregulation may converge on this central signalling pathway. For example, MET translates extracellular signals into mTOR activation; thus, the ASD-related *METC* variant is predicted to lead to hypoactive mTOR signalling²³¹. Moreover, many cytokine receptors found at the synapse typically activate mTOR signaling in the periphery, potentially causing phenotypes similar to monogenic forms of ASD. Although it is unknown whether mTOR is activated in response to cytokines in the brain, the signalling components for mTOR are present in neurons and are activated by a growing list of growth factors, guidance molecules and neurotransmitters²²⁷. mTOR is thus a focal point to integrate immune signalling in the brain, changes in cytokine levels due to MIA, postnatal environmental insults or genetic mutations in immune genes, and ongoing immune dysregulation throughout life. Determining if, and how, the immune contributions discussed in this review converge on this common mTOR pathway will be critical for determining how

pervasive mTOR signalling is across the diverse forms of ASD and for the development of new therapeutics that target mTOR in the future.

Therapeutics

One of the most exciting implications of the discovery that immune dysregulation may contribute to ASD is the possibility that agents targeting immune function could alleviate some of the symptoms associated with this spectrum of disorders. One of the most effective, albeit drastic, therapeutic approaches for restoring immune function is bone marrow transplantation. Remarkably, transplantation to reconstitute the brain with wild-type microglia reverses somatic phenotypes, neuropathological changes, and behavioural abnormalities in two mouse models of ASD — MeCP2^{-y} model of Rett syndrome²³² and the MIA model¹³². Full immune system reconstitution from control animals also rescues peripheral immune abnormalities in MIA offspring, including deficits in regulatory T-cells and a disproportionate increase in CD4⁺ memory T cells with an inflammatory profile previously implicated in learning and memory deficits^{131,132} (BOX 3). On the basis of these animal studies, clinical trials using strategies to reconstitute immune cells in individuals with ASD have been initiated^{233,234}. However, a recent study failed to find any benefit of wild-type microglia in three rodent models of Rett syndrome including the model used in the original study²³⁵. The reason for these divergent findings is currently unclear, but the therapeutic benefits of transplantation must be confirmed before performing such a drastic procedure in individuals with Rett syndrome.

Another class of potential therapies targeting immune function is anti-inflammatory or immunosuppressive agents. To date, therapies in this category have focused on decreasing the assumed ongoing inflammation in the periphery and brain of individuals with ASD. Consistent with this idea, minocycline, a broad-spectrum antibiotic that has immunosuppressive properties, corrects synaptic abnormalities, heightened anxiety and social deficits in *Fmr1* knockout mice^{236,237}. In a randomized double-blind, placebo-controlled trial in children and adolescents with FXS, three months of minocycline treatment improved anxiety and mood-related behaviours²³⁸. Although antibiotic treatment may also improve symptoms in idiopathic forms of ASD^{239–241}, ASD with regressive features may be resistant to minocycline therapy²⁴². The exact immunomodulatory mechanisms of minocycline are unknown; however, it may exert its effects through a combination of suppressing cytokine signalling, enhancing neurotrophic factor secretion and suppressing mTOR signalling²⁴³. Minocycline could relieve ASD-related phenotypes directly through altering neural function or indirectly through ameliorating ongoing immune dysfunction or through regulating the intestinal microbiota²⁴¹.

Despite their potential, treatments focused on preventing or ameliorating neuroinflammation in ASD should be considered with caution because the immune dysregulation associated with ASD may not always be pro-inflammatory. As discussed above, mouse models of MIA exhibit decreased levels and function of many types of immune molecules in the brains of offspring during postnatal development, which is the opposite of the widely assumed inflammatory processes present in ASD¹⁴⁴. Moreover, many of the immune molecules associated with ASD play important parts in brain development, function and plasticity.

Thus, altered levels of these immune molecules in ASD may alter brain development through defects in their normal function in addition to, or even rather than, causing inflammation. Finally, it is important to consider the possibility that limited periods of neuroinflammation could be an adaptive response to allow the brain to cope with ASD-related deficits¹⁴⁵. Studies in humans indicate that fever improves many ASD symptoms, especially deficits in communication and increases in repetitive behaviours^{244–246}. Although these effects have not been directly tested in animal models, several studies suggest that fever temporarily corrects chronic mitochondrial dysfunction — a frequently observed co-morbidity seen in ASD — through alterations in purinergic signalling^{247,248}. The mechanisms underlying these paradoxical effects of fever are important areas of ongoing research since they may well reveal new therapeutic targets for ASD. If inflammation is indeed adaptive, as implied by these reports, then treating individuals with anti-inflammatory agents could have unintended detrimental consequences. Nevertheless, despite the possible complexity in their roles in ASD, immune molecules provide a new and important set of targets for drug discovery in the future.

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Glossary

Maternal immune activation (MIA)

Animal models of prenatal immune challenge by stimulation of the maternal immune system with viral or bacterial mimics, live antigens or inflammatory cytokines.

Fetal-brain-reactive antibodies

Maternally-derived IgG antibodies that can cross the placenta and bind to fetal brain proteins.

Maternal IgG antibodies (mAb)

Immunoglobulin G antibodies that pass through the placenta during the third trimester and enter fetal circulation, where they persist at high titer levels for several months after birth.

Human leukocyte antigen (HLA)

Gene locus that encodes the human versions of three different classes of MHC proteins.

Complement

A system of plasma proteins that attack extracellular pathogens, assist in pathogen and cellular debris clearance by phagocytes, and facilitate synaptic pruning in the brain.

Single-nucleotide polymorphisms (SNPs)

The most common form of genetic variation due to nucleotide substitutions.

Copy number variants (CNVs)

Deletions or duplications of chromosomal segments leading to phenotypic diversity among individuals.

Autoimmune disorders

Disorders where the immune system attacks normal substances and tissues of the body.

Polyinosinic:polycytidylic acid (Poly(I:C))

Mismatched double-stranded RNA that acts as a viral mimic.

The blood-brain barrier (BBB):

A selectively permeable network of endothelial cells, pericytes, and astrocytes separating the circulating blood from the brain extracellular fluid, which begins forming in the first trimester and is fully formed by birth. Infection, disease and certain drugs can increase the permeability of the BBB.

Gut microbiota

A diverse set of microorganisms that inhabit the gut and shape host immune function.

Phagocytosis

The engulfment of extracellular pathogens or cellular debris by certain immune cells including microglia.

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Box 1 | Immune system influences on cognition and behavior

In the past 10 years, it has become increasingly clear that immune status influences cognition and behaviour. Immune cells, especially T-cells, have roles in many aspects of brain development and function, in addition to mediating the response to disease^{249,250}. This is perhaps best illustrated in mice with severe combined immunodeficiency (SCID) and nude mice, which are deprived of all lymphocytes and T-cells, respectively. These animals exhibit impairments in hippocampal neurogenesis and learning and memory, as well as increased repetitive behaviours and anxiety^{251,252}. Remarkably, replenishment of the immune system by adoptive transfer of wild-type splenocytes or by bone marrow reconstitution improves the learning ability of SCID and nude mice in several learning tasks and ameliorates repetitive behaviours^{131,253,254}, suggesting that the defects are not caused by lifelong immune deficiency but rather by ongoing depletion of immune cells. Interestingly, increased anxiety is not rescued by wild-type reconstitution, suggesting it has a developmental aetiology and is a lasting behavioural consequence of impaired immunity²⁵². CD4⁺ T-cells mediate the pro-cognitive effects²⁵⁵ indirectly through effects at meningeal spaces rather than through infiltration into the CNS²⁵⁶. When mice are exposed to learning tasks, T-cells home to the meninges and become activated, acquiring a T_H2-like phenotype (regarded as anti-inflammatory) and expressing high levels of IL-4, which causes myeloid cells in the meninges to become skewed to an M2 (also anti-inflammatory) phenotype²⁵⁶. Preventing this T-cell migration to the meninges, or genetic deletion of IL-4, results in a pro-inflammatory, M1 skewing of meningeal myeloid cells and deficits in learning and memory²⁵⁶. Conversely, reconstituting wild-type mice with T cells from IL-4 knockout mice results in learning and memory deficits¹³¹. Thus, CD4⁺ T cells, which clearly regulate brain immune status, normal cognition and emotional behavior, may have important roles in causing and/or contributing to ASD and clearly represent an important potential therapeutic target for ASD.

Box 2 | Considerations for interpreting results from animal models of ASD

Despite the excitement surrounding the relevance of the MIA model for ASD, there are several caveats that must be considered in interpreting results from this model. First, it is important to note that MIA models a single environmental risk factor amid a sea of implicated genetic and environmental susceptibilities for ASD and therefore should not be expected to capture all of the diverse phenotypes across the autism spectrum. Second, MIA is a shared environmental risk factor for a wide range of neuropsychiatric and degenerative disorders that manifest at distinct time-points during life. Therefore, MIA models also should not be expected to express pathophysiology exclusive to ASD. It is important to note that these caveats are not exclusive to the MIA models, but rather are applicable to, monogenetic animal models of ASD as well. Like some of the MIA models, most of the genetic ASD models fail to recapitulate the full pathophysiology observed in individuals with ASD. In some instances, genetic models either show no overt pathology or demonstrate behaviours that are opposite to those characterizing ASD^{257,258}. Moreover, many of the genes that were initially thought to be exclusively linked to ASD have turned out to be shared risk factors for other neuropsychiatric disorders, particularly schizophrenia. Rather than undermining their relevance to ASD, the caveats to preclinical ASD animal models could be embraced and used experimentally to test hypotheses and develop molecular models for the cause of different forms of ASD and other disorders. These models provide a reductive platform from which we can build more complex models such as pairing MIA with specific genetic backgrounds or later-life immune insults. For example, pairing a low dose of poly(I:C) at gestation day 9 with chronic mild stress at adolescence unmasked schizophrenia-like behaviours and biomarkers in mice²⁵⁹. In the future, similar pairings of MIA with other ASD risk factors may parse the phenotypic heterogeneity of ASD into subtypes of this condition, as well as other disorders, reflecting specific combinations of genetic and environmental insults during particular developmental periods of susceptibility.

Box 3 | Detecting neuroinflammation

Inflammation in the body is a protective, organized and adaptive response to invading pathogens²⁶⁰ that is rigorously defined by four hallmarks: elevations in pro-inflammatory cytokines, activation of macrophages, recruitment of leukocytes to sites of inflammation, and local tissue damage. Inflammation begins with an abrupt rise in pro-inflammatory cytokines, followed by a gradual rise in anti-inflammatory cytokines, which limits damage to secondary tissues. Classically, neuroinflammation occurs when the nervous system is exposed to infection or trauma that is accompanied by breaches in the blood–brain barrier (BBB). Under these conditions, microglia and astrocytes adopt a reactive phenotype (gliosis) and proliferate and perpetuate cellular and molecular responses that are aimed at removing infected or damaged tissue. Prolonged gliosis can recruit peripheral leukocytes, amplify the initial tissue damage, and thereby cause neurodegeneration in the surrounding healthy tissue.

In the past 10 years, since our ability to measure cytokine levels and microglial morphology in the brain has become routine, the definition of neuroinflammation has grown increasingly murky to the point that the presence of any single hallmark of classic inflammation is now sufficient to define a disease as being ‘inflammatory’. Reports of elevated levels of pro-inflammatory cytokines in the post-mortem brains of individuals with ASD, in particular, have led to the hypothesis that chronic neuroinflammation plays a part in ASD pathogenesis. However, numerous studies cited as supporting this hypothesis have assessed only a handful of pro-inflammatory cytokines (typically IL-1 β , IL-6, TNF- α and IFN- γ) without reporting any other hallmark of neuroinflammation^{119,135,141}. Individual cytokines function as part of a larger homeostatic network of both pro- and anti-inflammatory, as well as regulatory, cytokines in which each factor can influence the synthesis and action of the other factors²⁶¹. Thus, the impact of these cytokine networks on immune cells and tissues cannot be inferred from examining the levels of individual cytokines²⁶². Determining whether or not inflammatory conditions predominate requires assessing (at the very least) the levels of a wide range of cytokines as well as the accompanying expected cellular signs of inflammation, such as microglial activation. However, despite the increasing numbers of studies measuring microglial activation, this classification remains subjective and represents a range of morphologies and states that change with developmental age and are only beginning to be understood.

Defining neurological and psychiatric diseases as inflammatory requires even more rigorous assessment within the brain because immune molecules and cells in the CNS are involved in physiological processes that can be mistaken for pathogenesis^{263,264}. Currently, there is no consensus in the ASD field as to which criteria must be met to satisfy the label ‘neuroinflammation’, despite the widespread assumption that any inflammatory process is detrimental and leads to degeneration. Given the abundance of immune signalling that occurs under physiological conditions in the developing and mature brain, some of which is described in this Review, choosing a definitive set of criteria that constitutes a pathological state will prove challenging²⁶⁵. Nevertheless, it is especially important in the case of ASD to define those immune mediators that serve

adaptive roles, and those that are pathological, to better understand the mechanisms underlying this disorder and to tap into the exciting potential of targeting those functions for the development of new neural-immune therapies to treat ASD in the future.

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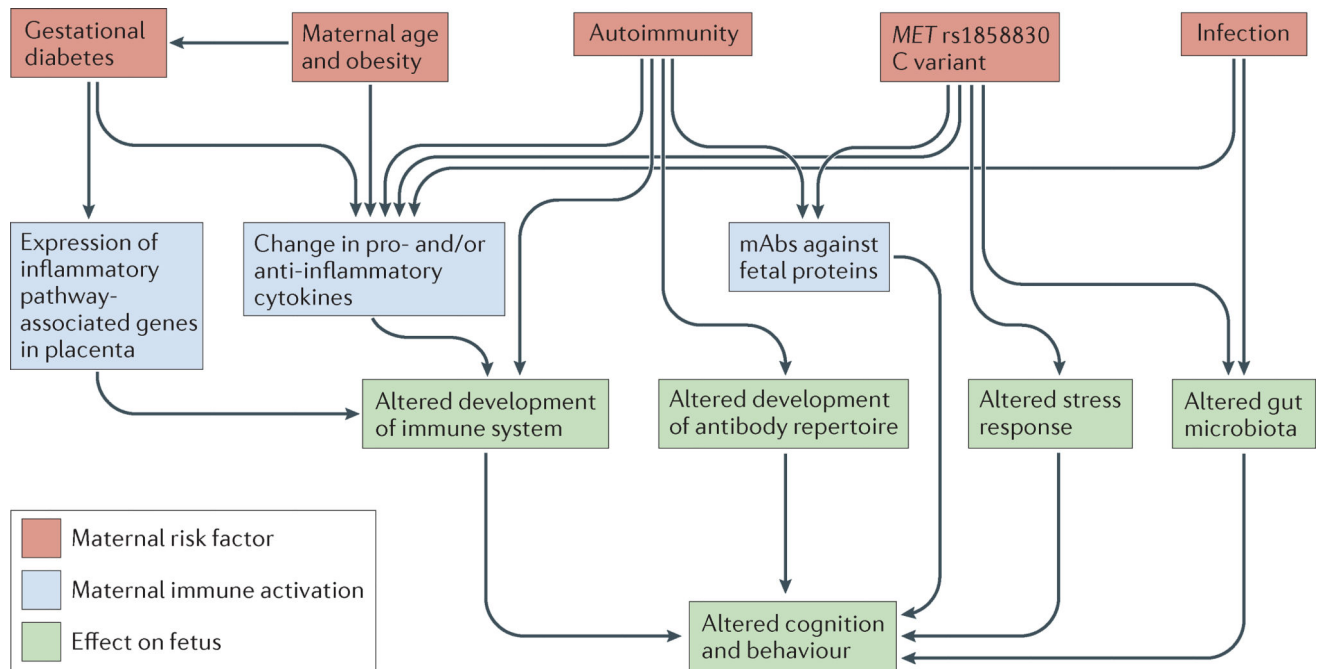
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Key Points

- Genetic and environmental risk factors for ASD suggest that dysfunction of the immune system may contribute to the development of this disorder.
- Maternal immune dysfunction due to autoimmune disease, infection or immunogenetics may alter common molecular signaling pathways in the developing brain, increasing the likelihood of ASD
- Individuals with ASD exhibit chronic changes in immune system function that may represent disease-related pathophysiology, beneficial compensation, or a combination of both
- ASD-related changes in the expression of immune molecules in the brain are not always indicative of neural inflammation, even though they may be detrimental to brain development and function
- Many immune molecules are expressed in the brain at synapses and their signaling may converge on several intracellular signaling hubs, such as MEF2 and mTOR, that also mediate idiopathic and syndromic forms of ASD
- Immune molecules provide a new and important set of targets for development of new therapeutics for ASD



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Figure 1. ASD risk factors during pregnancy converge on maternal immune system activation Maternal autoimmunity, infection during pregnancy, maternal age and obesity, gestational diabetes, and maternal *MET* variant rs1858830 ‘C’ allele are all associated with a higher incidence of ASD. These risk factors (red boxes) cause maternal immune activation (MIA) (blue boxes), which manifests as changes in the maternal peripheral cytokine milieu, generation of IgG maternal autoantibodies (mAbs) that are reactive to fetal proteins and activation of inflammatory pathway genes within the placenta. Based on findings in animal models, MIA is sufficient to induce long-lasting changes in brain development, gut microbiota, immune and endocrine systems of the developing fetus (green boxes).

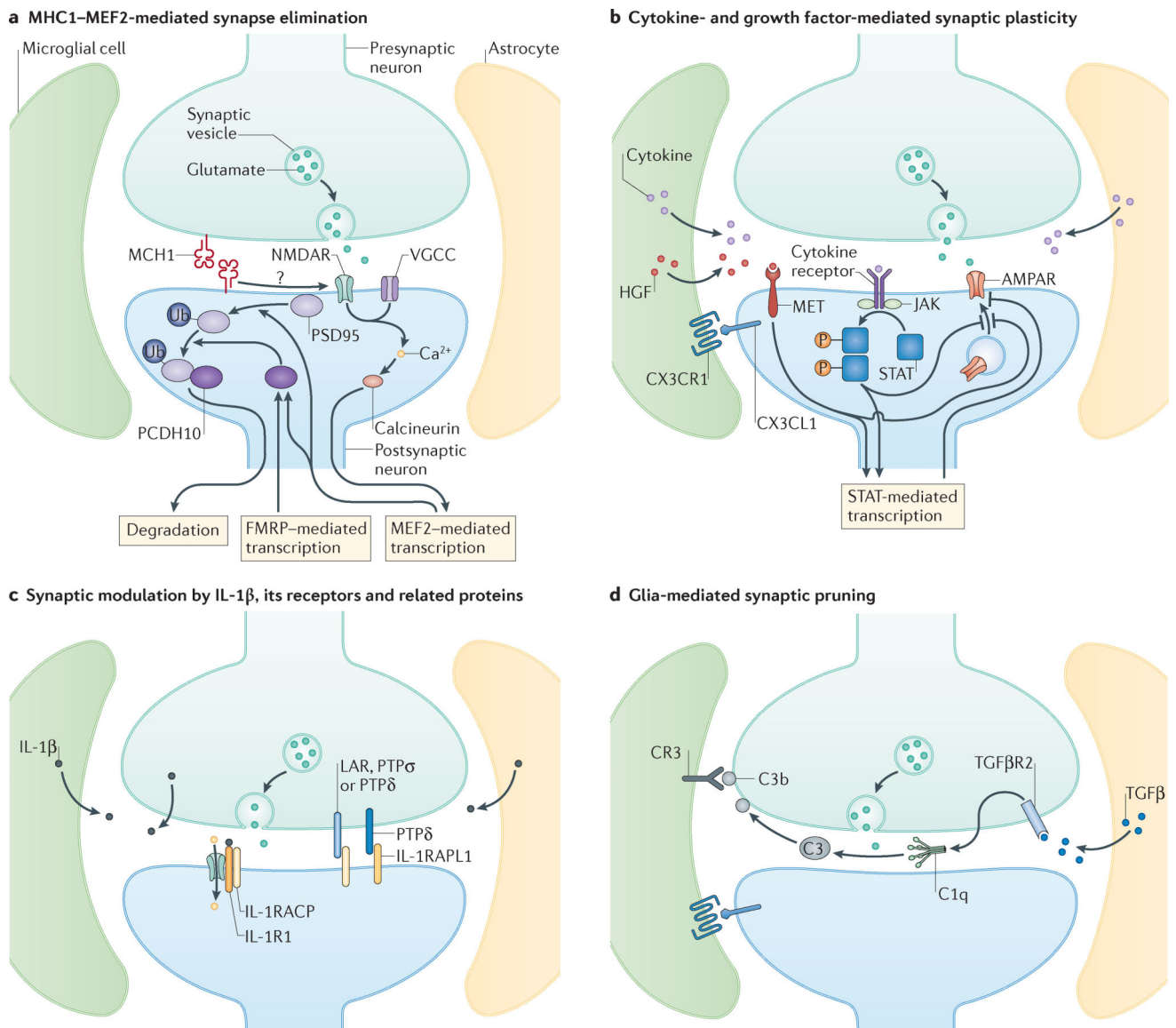
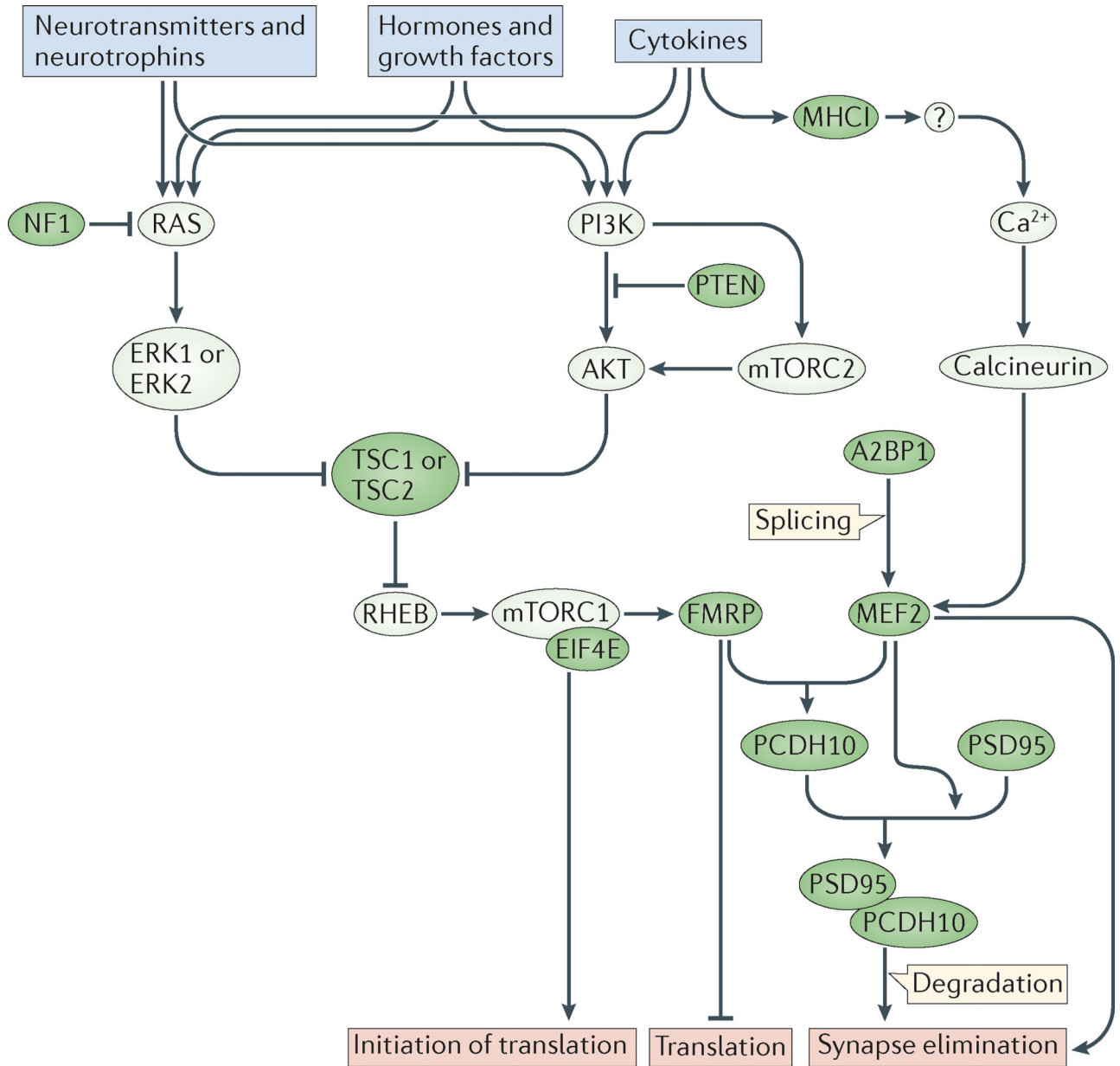


Figure 2. Immune molecules at glutamatergic synapses

At the ‘quad-partite’ synapse²¹⁴, pre- and postsynaptic neurons, astrocytes and microglia communicate using immune mediators, many of which are altered in individuals with ASD. Each panel represents molecular pathways that are used by immune molecules at the synapse to regulate synapse formation and/or plasticity. **a** | MHC1 molecules are located at synapses, where they act through calcineurin to activate MEF2 transcription factors to negatively regulate synapse strength and density. The MHC1-dependent activation of MEF2 requires calcium influx through NMDARs and voltage-gated calcium channels (VGCCs). MEF2 acts in concert with FMRP to stimulate the ubiquitination of PSD-95 and increased association with protocadherin 10, which then chaperons PSD-95 to proteasomes. **b** | In general, cytokines released by astrocytes, microglia, and/or neurons bind to their specific receptors and activate JAK/STAT which regulates signalling at the synapse and alters transcription, leading to negative regulation of AMPA receptor (AMPA) expression either

through inhibiting new insertion or increasing internalization. Growth factors, especially HGF, are also thought to be secreted from glial cells into the synaptic cleft, where they bind and activate the MET receptor, which negatively regulates AMPAR expression possibly through STAT-mediated transcription. Chemokines are also presented by neurons and bind to receptors on glial cells, depicted here for the interaction between CX3C chemokine receptor 1 (CX3CR1) on microglia and its ligand, CX3CL1, secreted by neurons or expressed in a tethered form on the neuronal cell surface. CX3CR1-CX3CL1 signaling is required for the migration of sufficient numbers of microglia into the brain in early development, and synaptic plasticity under physiological conditions. **c** | IL-1 β exerts distinct effects at the synapse. Binding to IL-1R1 recruits the IL-1 accessory receptor (IL-1AcP), which increases NMDAR signaling. Unbound IL-1R1AcP acts as a trans-synaptic adhesion molecule through its interactions with pre-synaptic PTP σ , PTP δ and LAR. The IL-1R accessory-like receptor 1 (IL-1RAPL1) also acts as a synaptic organizer binding to pre-synaptic PTP δ . These trans-synaptic interactions exert multiple effects on synapse formation and plasticity. **d** | Astrocyte-secreted TGF- β binds to neuronal TGF β R2 (placed here presynaptically due to findings at the neuromuscular junction), which induces neuronal secretion of the complement protein C1q. C1q initiates the complement cascade leading to cleavage of C3 into C3b, which binds to synaptic surfaces. Microglial expressed complement receptor 3 recognizes tagged synapses and initiates synaptic pruning at a subset of synapses. CX3CR1-CX3CL1 is also required for microglia-mediated synaptic pruning in early development, and spine elimination and formation in mature circuits. While currently unknown, local neuron-microglia signaling through CX3CR1-CX3CL1 may serve as an instructive signal for complement-mediated synaptic pruning.



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Figure 3. Synaptic immune signaling converges on mTOR

Immune and neuronal receptor signaling activate molecular pathways, which feed into the mTOR pathway and activate MEF2-dependent transcriptional regulation. These central signalling pathways may become dysregulated through genetic mutations or environmental exposures associated with ASD and thereby alter neural development and function. mTOR activity regulates numerous processes including protein synthesis, mitochondrial function, lipid synthesis, cell growth and proliferation, synaptic plasticity, neurogenesis, neuronal cell death, ion channel expression and cytoskeletal dynamics. Importantly, mTORC1 regulates the synthesis of glutamatergic receptors and protein products, including SHANK, SAPAP,

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neuroligins, AMPA and NMDA receptor subunits, many of which are genetically associated with ASD. Mutations in the genes that cause most of the syndromic forms of ASD—*FMR1*, *NF1*, *PTEN*, and *TCS1/2*—disrupt components of the mTOR signaling pathway. The MEF2 transcription factor is also implicated in ASD. MEF2C haploinsufficiency syndrome is characterized by ASD-like behaviors, perhaps through the function of MEF2 in regulating transcription during synapse formation and elimination. MEF2 also likely regulates the expression of cytokine receptors in a positive or negative feedback loop, since the promoters of some cytokine receptors (like *Ilrap11*, for example) contain a MEF2 binding motif. MEF2 is also a target of the splicing regulator *A2BP1*—the central gene in a synaptic module identified in a transcriptome analysis of brain tissue from individuals with ASD. Green fill indicates protein products of genes associated with ASD.

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Table 1

ASD-associated genes with roles in the immune system and CNS

Gene	CNS function of encoded protein	Immune function of encoded protein	Refs*
<i>CD99L2</i> (CD99 molecule-like 2 region)	Unknown	Homophilic adhesion molecule involved in leukocyte extravasation	46
<i>JARID2</i> (Jumonji, AT rich interactive domain 2)	Transcriptional repressor involved in neural tube fusion	Transcriptional repressor regulating hematopoiesis	46
<i>TPO</i> (thyroid peroxidase)	Necessary for proper brain development.	Enzyme producing thyroid hormones.	46
<i>MET</i> (hepatocyte growth factor receptor)	Mediates migration of neuronal precursor and excitatory synapse formation.	Receptor for hepatocyte growth factor that promotes differentiation and proliferation of hematopoietic cells, and exerts broad anti-inflammatory effects.	26
<i>MIF</i> (macrophage migration inhibitory factor)	Unknown	Inflammatory cytokine regulating innate immune response to bacterial pathogens	55
<i>PRKCB1</i> (protein kinase C, Beta 1)	Implicated in circadian rhythms, and learning and memory.	Serine/threonine-specific protein kinase that mediates B-cell activation, T-cell migration, antigen presenting cell function and cytokine release.	55
<i>HLA-A2</i> (human leukocyte antigen A2 allele)	Negatively regulates synapse formation in developing brain.	MHC class I molecule that is expressed on all nucleated cells to identify them as 'self' to patrolling immune cells; regulates cellular immune response to intracellular pathogens.	47
<i>HLA-DRβ1</i> (human leukocyte antigen, DRβ1 allele)	Unknown	MHC class II molecule that is expressed on antigen-presenting cells (dendritic, monocytes, macrophages and microglia); initiates cellular immune response to extracellular pathogens.	47
<i>C4B</i> (complement component 4B)	May be involved in complement-mediated synaptic pruning.	Complement cascade protein that is involved in clearing pathogens and cellular debris.	55
<i>IL1RAPL1</i> (interleukin 1 receptor accessory protein-like 1)	Involved in pre- and post-synaptic formation through trans-synaptic adhesion interactions.	Interleukin 1 family receptor with unknown immune function.	60
<i>EIF4E</i> (eukaryotic translation initiation factor, 4E)	Promotes translation of many ASD-associated genes with synaptic roles.	Part of a multi-subunit complex downstream of mTOR; initiates cap-dependent translation.	20
<i>FMR1</i> (fragile X mental retardation 1)	Regulates translation of many ASD-associated genes with synaptic roles	RNA-binding protein involved in translational control; forms a complex with EIF4E to suppress translation.	20
<i>NF1</i> (neurofibromin 1)	Negatively regulates Ras-mediated neurotransmitter and neurotrophin signaling.	Tumor suppressor; GTPase-activating protein that negatively regulates the Ras oncogene signal transduction pathway (the Ras pathway feeds into the mTOR pathway, and Ras mediates cytokine and growth factor signaling in the immune system).	21
<i>PTEN</i> (phosphate and tensin homologue)	Regulates neuronal metabolic processes and the mTOR pathway.	Tumor suppressor that acts as a phosphatase that inhibits PI3K signaling (the PI3K pathway also integrates cytokine, neurotransmitter and growth factor signalling) and is an upstream regulator of the mTOR pathway.	20
<i>TSC1</i> and <i>TSC2</i> (tuberous sclerosis 1 and 2)	Negatively regulates mTOR pathway. Induces neuroprotective autophagy in response to glucose deprivation and stroke.	Tumor suppressors that, when in complex with each other, have GTPase activity and negatively regulate the mTOR pathway.	20

* Citations are reviews; for an updated list of references for genetic associations, see: <https://gene.sfari.org>. ASD, autism spectrum disorder; EIF4E, eukaryotic translation initiation factor 4E; mTOR, mammalian target of rapamycin; PI3K, phosphoinositide 3 kinase.