

## SCIENTIFIC INVESTIGATIONS

# Distinct Patterns of Hyperpnea During Cheyne-Stokes Respiration: Implication for Cardiac Function in Patients With Heart Failure

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**Study Objectives:** In heart failure (HF), we observed two patterns of hyperpnea during Cheyne-Stokes respiration with central sleep apnea (CSR-CSA): a positive pattern where end-expiratory lung volume remains at or above functional residual capacity, and a negative pattern where it falls below functional residual capacity. We hypothesized the negative pattern is associated with worse HF.

**Methods:** Patients with HF underwent polysomnography. During CSR-CSA, hyperpnea, apnea-hyperpnea cycle, and lung to finger circulation times (LFCT) were measured. Plasma N-terminal prohormone of brain natriuretic peptide (NT-proBNP) concentration and left ventricular ejection fraction (LVEF) were assessed.

**Results:** Of 33 patients with CSR-CSA (31 men, mean age 68 years), 9 had a negative hyperpnea pattern. There was no difference in age, body mass index, and apnea-hypopnea index between groups. Patients with a negative pattern had longer hyperpnea time ( $39.5 \pm 6.4$  versus  $25.8 \pm 5.9$  seconds,  $P < .01$ ), longer cycle time ( $67.8 \pm 15.9$  versus  $51.7 \pm 9.9$  seconds,  $P < .01$ ), higher NT-proBNP concentrations (2740 [6769] versus 570 [864] pg/ml,  $P = .01$ ), and worse New York Heart Association class ( $P = .02$ ) than those with a positive pattern. LFCT and LVEF did not differ between groups.

**Conclusions:** Patients with HF and a negative CSR-CSA pattern have evidence of worse cardiac function than those with a positive pattern. Greater positive expiratory pressure during hyperpnea is likely generated during the negative pattern and might support stroke volume in patients with worse cardiac function.

**Commentary:** A commentary on this article appears in this issue on page 1227.

**Clinical Trial Registration:** The trial is registered with Current Controlled Trials ([www.controlled-trials.com](http://www.controlled-trials.com); ISRCTN67500535) and Clinical Trials ([www.clinicaltrials.gov](http://www.clinicaltrials.gov); NCT01128816).

**Keywords:** central sleep apnea, Cheyne-Stokes respiration, heart failure, hyperpnea

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## INTRODUCTION

Cheyne-Stokes respiration with central sleep apnea (CSR-CSA) is a form of periodic breathing commonly observed in patients with heart failure (HF). It is characterized by oscillations of ventilation between central apneas and hyperpneas with a crescendo-decrescendo pattern of hyperpnea. There is controversy on the prognostic significance of CSR-CSA in patients with HF.<sup>1</sup> In addition, it remains unclear if CSR-CSA is simply a manifestation of worsening HF, is a cause of HF progression, or is a compensatory mechanism to maintain stroke volume (SV) in severe HF.<sup>2</sup> However, it is possible that CSR-CSA may not be entirely homogeneous, but might have

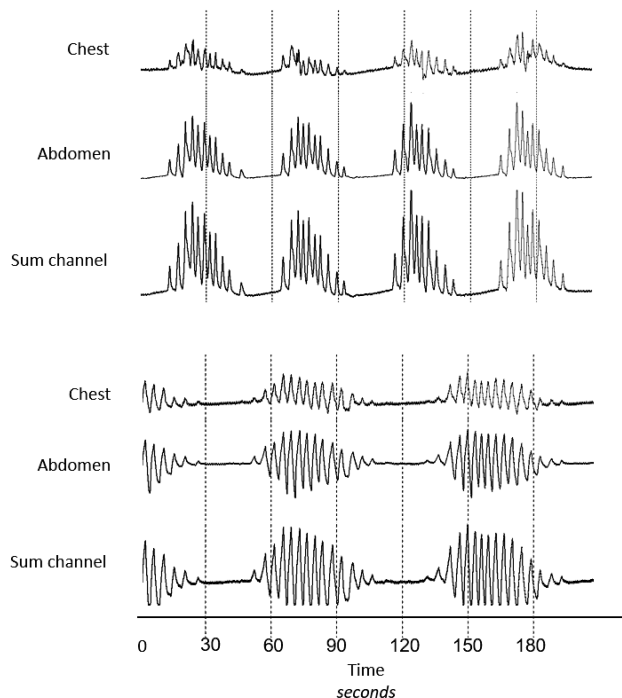
## BRIEF SUMMARY

**Current Knowledge/Study Rationale:** Cheyne-Stokes respiration is common in patients with heart failure, but there are discrepancies between studies regarding its prognostic implications. It is possible that Cheyne-Stokes respiration may not be entirely homogeneous but might have different subtypes.

**Study Impact:** We report for the first time the observation of two distinct patterns of hyperpnea during Cheyne-Stokes respiration in patients with heart failure, that might be related to underlying cardiac function.

different subtypes that might help to explain discrepancies between studies regarding its prognostic significance.

**Figure 1**—Different patterns of hyperpnea during Cheyne-Stokes respiration.



Raw data tracing of chest, abdomen, and sum channels of the respiratory inductance plethysmograph demonstrating two patterns of hyperpnea during Cheyne-Stokes respiration with central sleep apnea. The upper tracings show a positive pattern in which end-expiratory lung volume remains at or above functional residual capacity, and the lower tracings show a negative pattern in which end-expiratory lung volume falls below functional residual capacity.

CSR-CSA is attributed to respiratory control instability in which central apneas occur when partial pressure of carbon dioxide ( $\text{PaCO}_2$ ) is driven below the apnea threshold by periodic hyperventilation. Several mechanisms can contribute to hyperventilation in HF: stimulation of pulmonary juxtacapillary receptors by pulmonary congestion, increased peripheral and central chemoresponsiveness, and arousals from sleep.<sup>3-5</sup> Moreover, the increased lung-to-chemoreceptor circulation delay, typical of HF as a result of decreased cardiac output (CO), may contribute to the ventilatory instability.<sup>3-5</sup>

The duration of the hyperpnea and apnea-hyperpnea cycle are directly proportional to the lung-to-peripheral chemoreceptor circulation time and inversely proportional to SV and CO.<sup>6</sup> Consequently, durations of hyperpnea and apnea-hyperpnea cycles can be used as indices of SV and CO.

Through careful observation of CSR-CSA in HF patients, we have identified two distinct patterns of hyperpnea: a positive pattern, in which end-expiratory lung volume (EELV) is at or above functional residual capacity (FRC) and a negative pattern in which EELV falls below FRC (**Figure 1**). The negative pattern implies marked activation of the expiratory muscles to drive EELV below FRC and a greater increase in expiratory intrathoracic pressure ( $P_{IT}$ ) than during the positive hyperpnea pattern. Such an increase in  $P_{IT}$  in patients with HF during the

hyperpneic phase of CSR-CSA could be a form of cardiac autoteresuscitation similar to the effect of chest compression during cardiopulmonary resuscitation that might augment SV and CO. If that was the case, we would expect that the negative CSR-CSA pattern would be seen in those with worse cardiac function than in those with the positive pattern.

To test this hypothesis in stable patients with HF and reduced ejection fraction (HFrEF), left ventricular ejection fraction (LVEF), plasma N-terminal prohormone of brain natriuretic peptide (NT-proBNP) concentration, CSR-CSA hyperpnea, cycle and lung to finger circulation times (LFCT), and degree of oxyhemoglobin desaturation following apneas were assessed during overnight polysomnography (PSG). These data were compared between the 2 different patterns of hyperpnea.

## METHODS

### Subjects

We analyzed the baseline PSG of patients with HFrEF and CSR-CSA who were enrolled consecutively at sites meeting our PSG technical requirements in the “Effect of Adaptive Servo Ventilation on Survival and Cardiovascular Hospital Admissions in Patients with Heart Failure and Sleep Apnea” (ADVENT-HF) Trial since the beginning of the trial in January 2010 to May 2016.<sup>7</sup> Entry criteria included: (1) men or women 18 years of age or older; (2) American Heart Association stages B, C, and D HF due to ischemic, idiopathic, or hypertensive causes for at least 3 months; (3) reduced LVEF ( $\leq 45\%$ ), as determined by two-dimensional echocardiography; (4) on optimal medical therapy conforming to contemporary national or American Heart Association guidelines, as determined by the patient’s cardiologist; (5) presence of CSR-CSA during the baseline sleep study, defined as apnea-hypopnea index (AHI)  $\geq 15$  with  $\geq 50\%$  of events central in nature and a crescendo-decrescendo pattern of hyperpnea. The exclusion criteria were: (1) HF due to primary valvular heart disease, (2) presence of a left ventricular assistive device, (3) transplanted heart or expected to receive a transplanted heart within the next 6 months, (4) pregnancy, (5) current use of adaptive servoventilation, or continuous or bi-level positive airway pressure. The ADVENT-HF trial protocol has received ethics approval from all study sites and all subjects provided written informed consent prior to enrollment.

### Polysomnography and Clinical Data

Overnight PSG was performed in all patients using standard techniques and scoring criteria for sleep stages.<sup>8,9</sup> Thoracoabdominal motion was measured by respiratory inductance plethysmography (Inductotrace RIP; Ambulatory Monitoring, White Plains, New York, United States), the electronic sum of which was taken as an index of tidal volume ( $V_T$ ).<sup>10</sup> Apneas and hypopneas were defined as a reduction in airflow from intranasal pressure of at least 90%, or between 50% and 90%, respectively, for at least 10 seconds. If there was evidence that the nasal pressure signal was artifactual (eg, if the signal was attenuated due to mouth breathing), then the sum of thoracoabdominal motion was used instead to detect apneas and hypopneas. Apneas were classified as obstructive if thoracoabdominal

motion was present, and central if it was absent.<sup>8,11</sup> Hypopneas were classified as obstructive if thoracoabdominal motion was out of phase or if airflow limitation was observed on the nasal pressure signal, whereas central hypopneas were those in which thoracoabdominal motion was in phase, and there was no evidence of airflow limitation on the nasal pressure signal. Mixed apneas were those that began as central for a minimum of 10 seconds and ended as obstructive, with a minimum of three obstructive efforts. The frequency of apneas and hypopneas per hour of sleep (AHI) was calculated. Arousals were defined according to the American Academy of Sleep Medicine criteria<sup>9</sup> and the arousal index was calculated as the total number of arousals per hour of sleep. Arterial oxyhemoglobin saturation (SaO<sub>2</sub>) was measured continuously with a finger oximeter and the minimum SaO<sub>2</sub> after an apnea (SaO<sub>2</sub> nadir) was determined. The degree of oxygen desaturation was calculated as the difference between the highest SaO<sub>2</sub> before the onset of events and the SaO<sub>2</sub> nadir ( $\Delta$ SaO<sub>2</sub>). Respiratory rate during hyperpnea was also calculated. To avoid the potential confounding influence of sleep stage on respiratory pattern, analysis of CSR-CSA was confined to stage N2 sleep. For consistency of the respiratory signal, we included only the sites participating in the ADVENT-HF trial that used the Inductrace RIP, which allows an accurate analysis of the thoracoabdominal sum channel, because it is not influenced by nonmodifiable low/high frequency filters. Among the sites included in this study, the frequency changes in RIP were converted to a direct current signal that was directly analyzed for the determination of the hyperpnea pattern. Indeed, the signal we analyzed was free from inherent or applied high or low frequency filters.

We analyzed only episodes of CSR-CSA that occurred in the supine position to control for potential influence of body position on the respiratory pattern. LFCT was used as an index of circulation time and was measured from the end of the apnea to the subsequent nadir of SaO<sub>2</sub> from the finger oximeter. CSR-CSA cycle time (CT) was measured as the time from the first breath following a central apnea to the first breath of the following apnea on the same CSR-CSA cycle used to determine LFCT. Hyperpnea time (HT) was calculated as the time between the beginning of inspiration during the ventilatory phase and the onset of the subsequent apnea. Apnea time (AT) was calculated as the difference between CT and HT.<sup>6</sup> Mean CT, HT, AT, LFCT,  $\Delta$ SaO<sub>2</sub>, and SaO<sub>2</sub> nadir were calculated by averaging data from at least five consecutive apnea-hyperpnea cycles. NT-proBNP plasma concentration was assessed.

### CSR-CSA Hyperpnea Pattern Analysis

The differences in the hyperpnea phase were evaluated comparing relative changes in EELV, as the magnitude of EELV changes was not quantifiable in absolute terms. To be sure that the signals were comparable, each trace was evaluated in the same conditions: in the absence of applied low/high filters and only through the use of Inductrace RIP that provides a signal without any intrinsic filter. To take into account potential changes in EELV from one apnea to the next, so that baseline EELV could be determined, a computer algorithm was developed. First, manual scoring of PSG data was used to identify the end and start points of apneas from the sum channel of the

RIP (**Figure 2**). The average of the end and start points was taken as the baseline (zero) for each CSR-CSA cycle. The amplitudes of all end-inspiratory and end-expiratory lung volumes during hyperpnea were measured in volts relative to baseline (**Figure 2**). End-expiratory amplitudes below the baseline were assigned negative values. For a given hyperpnea, the integral of all end-expiratory amplitudes ( $A_{\text{exp}}$ ) was calculated as:

$$A_{\text{exp}} = \sum_{k=0}^n \text{Exp\_ampl}(n)$$

where  $\sum$  denotes summation,  $\text{Exp\_ampl}(n)$  is the relative amplitude of the  $n$ th breath and  $n$  is the number of breaths in the hyperpnea. Similarly, the integral of end-inspiratory amplitudes ( $A_{\text{ins}}$ ) was calculated as:

$$A_{\text{ins}} = \sum_{k=0}^n \text{Insp\_ampl}(n)$$

Finally, for each hyperpnea, the ratio of:

$$\frac{A_{\text{exp}}}{A_{\text{ins}}} \times 100$$

represents the percentage of average fluctuation in expiratory amplitudes relative to the average changes in inspiratory amplitudes of each hyperpnea. This index can be compared among different CSR-CSA cycles during the night and among different patients.

Each hyperpnea was qualitatively classified as being positive if this ratio was  $\geq 0\%$  and negative if it was  $< 0\%$ . A patient was defined as having a predominantly negative pattern if  $> 50\%$  of the hyperpneas analyzed were negative and positive if  $\geq 50\%$  of the cycles were positive.

### Statistical Analysis

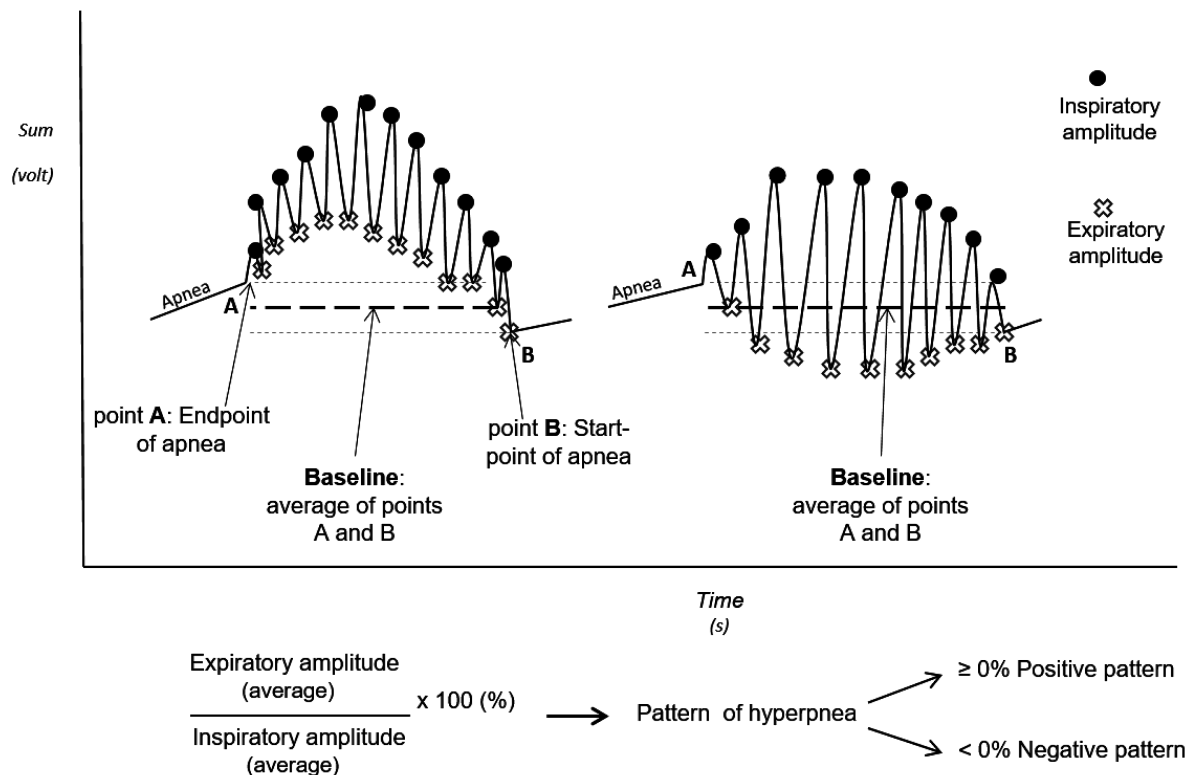
Data were summarized with numbers and percentages, mean  $\pm$  standard deviation, or median [interquartile range], as appropriate. Continuous variables were compared between positive and negative groups using a two-tailed unpaired  $t$  test or, for asymmetrically distributed data, the Mann-Whitney  $U$  test. Fisher exact test was used to compare categorical variables. Relationships among variables were assessed by logistic regression. A value of  $P < .05$  was considered statistically significant. Statistical analyses were performed using SPSS 19.00 (IBM, Armonk, New York, United States) and GraphPad Prism 6.0 (McKiev Software, Boston, Massachusetts, United States).

## RESULTS

### Characteristics of the Subjects

**Table 1** shows the characteristics of the 33 patients meeting entry criteria, 24 of whom had a positive pattern and 9 of whom had a negative pattern. Most of the subjects in both groups were male and were comparable for age, body mass index, AHI, Epworth Sleepiness Scale, and the prevalences of comorbidities. There was a nonsignificant trend for a lower LVEF in the negative than in the positive pattern group ( $P = .053$ ). Among the negative pattern group, the New York Heart Association

**Figure 2**—Analysis of the positive and negative patterns of Cheyne-Stokes respiration.



The end of an apnea was taken as the first reference (point A) and the start of the following apnea as the second reference (point B). The baseline end-expiratory lung volume was calculated as the average between point A and point B. For every hyperpnea all end-inspiratory amplitudes (circles) were averaged and all end-expiratory amplitudes (crosses) were averaged referenced to baseline. The ratio between the averaged end-expiratory amplitudes and the averaged end-inspiratory amplitudes determined the pattern of each apnea-hyperpnea cycle: a ratio  $\geq 0\%$  was defined as positive pattern, a ratio  $< 0\%$  was defined as a negative pattern.

**Table 1**—Baseline characteristics of the subjects.

	Positive Pattern (n = 24)	Negative Pattern (n = 9)	P
Age, years	69.0 [19.3]	68.0 [19.0]	.79
BMI, kg/m <sup>2</sup>	29.3 ± 4.8	27.1 ± 5.9	.27
Male, n (%)	23 (95.5)	8 (88.9)	.45
AHI, events/h	46.7 ± 19.3	49.1 ± 14.8	.75
Arousal index, events/h	44.9 ± 17.7	44.4 ± 11.9	.94
Respiratory rate, breaths/min	18.1 ± 3.2	15.7 ± 2.3	.07
ESS, score	5.5 [7.0]	9.0 [4.5]	.12
LVEF, %	34.7 ± 9.4	27.1 ± 10.4	.053
NYHA class: I / II / III / IV, n	6 / 15 / 3 / 0	0 / 4 / 5 / 0	.02
Hypertension, n (%)	22 (91.7)	8 (88.9)	.80
Coronary artery disease, n (%)	13 (54.2)	4 (44.4)	.71
COPD, n (%)	1 (4.2)	1 (11.1)	.46
Diabetes, n (%)	5 (20.8)	2 (22.9)	.93
NT-proBNP, pg/mL	570 [864]	2740 [6769]	.01

Data are expressed as mean ± standard deviation or number (percentage) or median [interquartile range]. AHI = apnea-hypopnea index, BMI = body mass index, COPD = chronic obstructive pulmonary disease, ESS = Epworth Sleepiness Scale, LVEF = left ventricular ejection fraction, NT-proBNP = N-terminal prohormone of brain natriuretic peptide, NYHA = New York Heart Association.

functional class was worse ( $P = .02$ ), and NT-proBNP concentration was higher than in the positive pattern group ( $P = .01$ ).

### Polysomnographic data

Patients in the negative pattern group had significantly longer HT and CT than those in the positive pattern group ( $P < .01$  for both), but AT did not differ (**Figure 3**). Although the LFCT tended to be greater in the negative compared to the positive pattern group, the difference was not statistically significant ( $P = .12$ , **Figure 3**). Arousal index and respiratory rate did not differ between the 2 groups.  $\Delta\text{SaO}_2$  and  $\text{SaO}_2$  nadir did not differ significantly between the negative and positive pattern groups (6.6 [6.1] versus 7.5 [4.4]%,  $P = .6$  and 91.6 [6.0] versus 90.2 [4.2]%,  $P = .27$ , respectively).

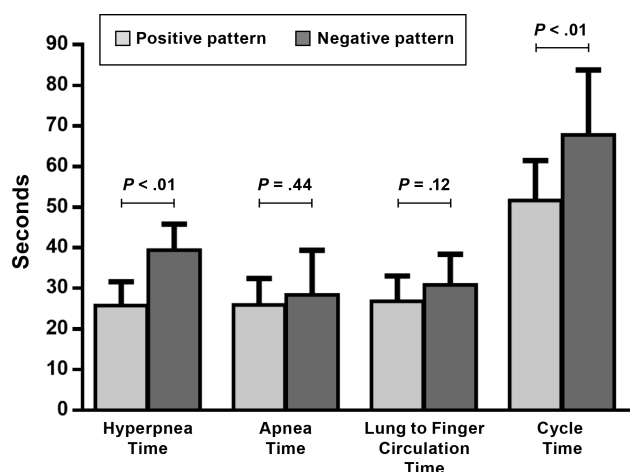
On univariate analysis, the variables associated significantly with the presence of a negative pattern were NT-proBNP concentration, CT, and HT (**Table 2**). LVEF had a nonsignificant tendency to be associated with a negative pattern ( $P = .06$ ).

## DISCUSSION

The current study has given rise to 2 novel observations regarding CSR-CSA in patients with HF. First, we describe 2 different patterns of hyperpnea: a positive pattern during which



**Figure 3**—Comparison of hyperpnea time, apnea time, cycle time, and lung to finger circulation time between the groups.



Hyperpnea and cycle times were significantly longer ( $39.5 \pm 6.5$  versus  $25.8 \pm 5.9$  seconds and  $67.8 \pm 15.9$  versus  $51.7 \pm 9.9$  seconds, respectively), whereas apnea time (negative pattern  $28.4 \pm 11.0$  versus  $25.9 \pm 6.6$  seconds) and lung to finger circulation time (negative pattern  $30.9 \pm 7.5$  versus  $26.8 \pm 6.2$ ) did not differ during the negative compared to the positive pattern of hyperpnea.

EELV remains at or above FRC, and a negative pattern during which EELV falls below FRC. Second, we demonstrated that patients with a negative pattern of hyperpnea have longer hyperpnea and CSR-CSA cycle durations, indicating lower cardiac output,<sup>6</sup> and higher NT-proBNP concentration, implying greater left ventricular wall tension<sup>12</sup> than those with the positive pattern. These observations suggest that patients with CSR-CSA and a negative hyperpnea pattern have worse cardiac function than those with CSR-CSA and a positive hyperpnea pattern.

Adoption of the negative pattern of hyperpnea would produce a greater degree of positive  $P_{IT}$  during expiration than the positive pattern through force generated by the expiratory muscles. Such positive  $P_{IT}$  could support cardiac function during expiration through two distinct mechanisms. First, by impeding venous return and reducing right ventricular preload, there may be a shift of the interventricular septum to the right, allowing more complete filling of the left ventricle through attenuation of adverse ventricular interactions.<sup>12–15</sup> Second, positive  $P_{IT}$  will reduce left ventricular afterload by reducing the difference between intracardiac left ventricular and extracardiac pressure (ie,  $P_{IT}$ ).<sup>16</sup> Because the failing heart is very sensitive to changes in afterload, such an effect could also boost SV and CO by recruiting the respiratory system to assist left ventricular ejection in the face of deteriorating cardiac function.<sup>17,18</sup> This mechanism might be comparable to that from chest compressions during cardio-pulmonary resuscitation for cardiac arrest,<sup>19,20</sup> and to voluntary coughing during conscious cardiac arrest in the cardiac catheterization laboratory where generation of positive  $P_{IT}$  augments SV and CO.<sup>21</sup> By this means, in patients with HFrEF and very compromised cardiac

**Table 2**—Univariate analyses of factors associated with the negative pattern.

	Odds Ratio	95% CI	P
LVEF, %	0.92	0.84–1.00	.06
NT-proBNP, pg/mL	1.01	1.00–1.02	.04
Cycle time, sec	1.12	1.03–1.24	.02
Apnea time, sec	1.04	0.94–1.16	.43
Hyperpnea time, sec	1.51	1.13–2.03	.01
LFCT, sec	1.10	0.97–1.24	.13
$\Delta SaO_2$ , %	0.95	0.78–1.19	.65

Negative pattern of hyperpnea was used as dependent variable. CI = confidence interval, LFCT = lung to finger circulation time, LVEF = left ventricular ejection fraction, NT-proBNP = N-terminal prohormone of brain natriuretic peptide,  $\Delta SaO_2$  = degree of oxyhemoglobin desaturation following apneas

function, the respiratory system may be recruited to assist cardiac pumping activity via active expiration and generation of positive  $P_{IT}$  during the hyperpnea phase of CSR-CSA.

HF patients with CSR-CSA intermittently hyperventilate to the extent that  $PaCO_2$  falls cyclically below the apnea threshold giving rise to central apneas.<sup>22,23</sup> Hyperventilation in patients with HF and CSR-CSA occurs in response to stimulation of pulmonary juxtacapillary receptors by pulmonary venous congestion<sup>24,25</sup> and by increased central and peripheral chemoresponsiveness.<sup>26</sup> In any case, hyperventilation indicates an increased load on and energy expenditure by the inspiratory muscles. However, the inspiratory muscles of patients with HF are weaker than normal, and thus are chronically operating at a greater proportion of their maximum output than normal and might be susceptible to fatigue.<sup>27</sup> Indeed, it has been shown that resting weakened inspiratory muscles by continuous positive airway pressure in patients with HF and CSR-CSA increases their maximum pressure-generating capacity, providing evidence for a state of chronic inspiratory muscle fatigue.<sup>28</sup>

One way to unload inspiratory muscles under such conditions would be to recruit expiratory muscles to maintain ventilation. Reduction in EELV below FRC during the negative hyperpnea pattern means that the initial phase of inspiration is passive due to the outward elastic recoil of the chest wall. In addition, for a given inspiratory volume, a lower proportion will be above FRC so that peak pressure generation by the inspiratory muscles should also decline in the negative compared to the positive hyperpnea. Both these factors should unload the already weakened diaphragm and other inspiratory muscles. Thus, unloading of inspiratory muscles may be another factor responsible for the genesis of a negative pattern of hyperpnea during CSR-CSA. Although it is possible that recruiting expiratory muscles during CSR-CSA will increase the overall work of breathing, it is equally possible that by “spreading the work around” between inspiratory muscles and expiratory muscles that the potential to fatigue the inspiratory muscles might be ameliorated. The persistent activity of the inspiratory muscles throughout expiration can contribute to an increase in EELV. Due to the absence in our study of electromyography evaluations, it is not possible to

analyze differences in postinspiratory activity of the inspiratory muscles between the positive and negative pattern. However, because EELV fell below FRC during the negative pattern it is very likely that there was less postinspiratory activation of the inspiratory muscles during the negative than the positive pattern.

To our knowledge, this is the first time that different patterns of hyperpnea during CSR-CSA have been described. Previous studies analyzing periodic breathing have shown figures with positive,<sup>29–31</sup> negative,<sup>32,33</sup> or both patterns of hyperpnea<sup>34</sup> but there was no mention of these different patterns or their potential physiological significance. Moreover, there was no description of the precise means for monitoring breathing in these studies. As mentioned previously, it is essential to use the Inductotracer RIP for this purpose because it is not influenced by nonmodifiable low-/high-frequency filters that will mask the presence of the two distinct patterns. The bio-calibrated Inductotracer RIP was also appropriate because our analysis was confined to relative changes in lung volume from FRC without the measurements of absolute magnitudes of the volumes.

Brack et al. previously demonstrated a periodic increase in EELV during hyperpnea in 12 patients with HF and periodic breathing.<sup>35</sup> However, in that study periodic breathing was examined during wakefulness both while breathing spontaneously and during hypoxic gas inhalation. For this reason, those results may not be comparable to ours. Nevertheless, most of our patients demonstrated a positive hyperpnea pattern that is not inconsistent with the findings of Brack et al.

There are some limitations of our study. The reason why expiratory muscles are activated sufficiently to reduce EELV in the negative hyperpnea group cannot be determined from our data. Because we did not measure absolute lung volume we cannot determine the absolute differences in inspiratory and expiratory lung volumes between the 2 groups. Moreover, the absence of an electromyographic measurement of the expiratory muscles did not allow us to quantify their activity. Similarly, because we did not measure  $P_{IT}$  with an esophageal balloon, we were not able to determine the magnitude of the positive  $P_{IT}$  generated at EELV or the difference in this variable between the 2 groups. The need to evaluate changes in EELV restricted the analysis to the few ADVENT-HF trial centers that used Inductotracer RIP and this explains the limited number of subjects reported. Finally, because we had no direct measure of SV or CO, especially during the hyperpnea phase, we could not directly assess potential differences in these variables between the 2 groups. Nevertheless, the longer cycle and hyperpnea times in the negative group are consistent with lower SV and CO than in the positive group.<sup>6</sup>

In conclusion, we have made the novel observation of two distinct patterns of hyperpnea during Cheyne-Stokes respiration: one in which EELV remains at or above FRC, and one in which it falls below FRC. When EELV falls below FRC, this pattern is associated with longer CSR-CSA cycle and hyperpnea times, higher NT-proBNP, and worse New York Heart Association functional class, and therefore, probably lower SV and CO, and higher left ventricular wall tension than when EELV remains at or above FRC. Accordingly, there appears to be some heterogeneity of CSR-CSA whose manifestation may be at least partly related to underlying cardiac function in two

respects. First, the negative pattern probably generates greater positive expiratory pressure that might cause an autoresuscitative effect to maintain SV and CO in those with more severely impaired cardiac function. Second, the negative pattern may unload weak inspiratory muscles, at least during the initial part of inspiration. These observations provide some support for a potential compensatory role of CSR-CSA, at least in relation to the negative pattern, in HFrEF.<sup>2</sup> Further studies in which lung volumes,  $P_{IT}$ , SV, and CO are assessed will be required to determine more precisely the respiratory and hemodynamic manifestations of these two variants of CSR-CSA.

## ABBREVIATIONS

- $A_{exp}$ , end-expiratory amplitude  
 $A_{ins}$ , end-inspiratory amplitude  
 ADVENT-HF, Effect of Adaptive Servo Ventilation on Survival and Cardiovascular Hospital Admissions in Patients with Heart Failure and Sleep Apnea  
 AHI, apnea-hypopnea index  
 AT, apnea time  
 BMI, body mass index  
 CO, cardiac output  
 COPD, chronic obstructive pulmonary disease  
 CSR-CSA, Cheyne-Stokes respiration with central sleep apnea  
 CT, cycle time  
 EELV, end-expiratory lung volume  
 ESS, Epworth Sleepiness Scale  
 FRC, functional residual capacity  
 HFrEF, heart failure with reduced ejection fraction  
 HF, heart failure  
 HT, hyperpnea time  
 LFCT, lung-to-finger circulation time  
 LVEF, left ventricular ejection fraction  
 NYHA, New York Heart Association  
 NT-proBNP, N-terminal prohormone of brain natriuretic peptide  
 $PaCO_2$ , partial pressure of carbon dioxide  
 $P_{IT}$ , intrathoracic pressure  
 PSG, polysomnography  
 RIP, respiratory inductance plethysmography  
 $SaO_2$ , arterial oxyhemoglobin saturation  
 $SaO_2$  nadir, minimum arterial oxyhemoglobin saturation after an apnea  
 SV, stroke volume  
 VT, tidal volume  
 $\Delta SaO_2$ , difference between the highest arterial oxyhemoglobin saturation before the onset of apnea and the minimum arterial oxyhemoglobin saturation after an apnea  
 $\Sigma$ , summation

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See Appendix 1 and Appendix 2 in the supplemental material for trial personnel and complete list of author affiliations, trial sites, and investigators. All the investigators of the ADVENT-HF trial are listed in Appendix 2.

## SUBMISSION & CORRESPONDENCE INFORMATION

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