

## **HHS Public Access**

Author manuscript *Vitam Horm.* Author manuscript; available in PMC 2017 October 26.

Published in final edited form as:

Vitam Horm. 2016; 101: 257-310. doi:10.1016/bs.vh.2016.02.007.

## aKlotho and Chronic Kidney Disease

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### Abstract

Alpha-Klotho (aKlotho) protein is encoded by the gene, *Klotho*, and functions as a coreceptor for endocrine fibroblast growth factor-23. The extracellular domain of a Klotho is cleaved by secretases and released into the circulation where it is called soluble aKlotho. Soluble aKlotho in the circulation starts to decline in chronic kidney disease (CKD) stage 2 and urinary a Klotho in even earlier CKD stage 1. Therefore soluble a Klotho is an early and sensitive marker of decline in kidney function. Preclinical data from numerous animal experiments support a Klotho deficiency as a pathogenic factor for CKD progression and extrarenal CKD complications including cardiac and vascular disease, hyperparathyroidism, and disturbed mineral metabolism. a Klotho deficiency induces cell senescence and renders cells susceptible to apoptosis induced by a variety of cellular insults including oxidative stress. a Klotho deficiency also leads to defective autophagy and angiogenesis and promotes fibrosis in the kidney and heart. Most importantly, prevention of aKlotho decline, upregulation of endogenous aKlotho production, or direct supplementation of soluble a Klotho are all associated with attenuation of renal fibrosis, retardation of CKD progression, improvement of mineral metabolism, amelioration of cardiac function and morphometry, and alleviation of vascular calcification in CKD. Therefore in rodents, aKlotho is not only a diagnostic and prognostic marker for CKD but the enhancement of endogenous or supplement of exogenous a Klotho are promising therapeutic strategies to prevent, retard, and decrease the comorbidity burden of CKD.

## **1. INTRODUCTION**

The *Klotho* gene was discovered in 1997 when mice with silencing of this gene developed multiple organ dysfunction and failure with shortened life span resembling human premature aging (Kuro-o et al., 1997). The overexpression of the *Klotho* transgene with a ubiquitous promoter (Kurosu et al., 2005), viral-based transfer (Masuda, Chikuda, Suga, Kawaguchi, & Kuro-o, 2005), or direct parenteral administration (Chen, Kuro, et al., 2013) can extend mouse life span compared to normal mouse and rescue the most phenotypes observed in *Klotho*-deficient mouse (Kurosu et al., 2005). Two other paralogs  $\beta$ Klotho (Ito et al., 2000) and  $\gamma$ Klotho (Ito, Fujimori, Hayashizaki, & Nabeshima, 2002) were identified, then *Klotho* 

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gene was designated *aKlotho* to distinguish from the other two paralogs (Hu, Shiizaki, Kuro-o, & Moe, 2013).

aKlotho is highly expressed in the kidney, brain, and in lesser extent in other organs (Kato et al., 2000; Kuro-o et al., 1997). Human a Klotho is a single transmembrane 1012 amino acid 130 kDa protein encoded by human Klotho gene, while rodent a Klotho is a 1014 amino acid protein (Kuro-o et al., 1997; Matsumura et al., 1998; Shiraki-Iida et al., 1998; Tohyama et al., 2004). The extracellular domain of membrane a Klotho consisting of two repeat sequences (kl1 and kl2) can be shed by secretases and released into the circulation (Bloch et al., 2009; Chen, Podvin, Gillespie, Leeman, & Abraham, 2007; Chen, Tung, et al., 2014; Hu, Shi, Zhang, et al., 2015). This released extracellular domain of membrane a Klotho is referred as soluble or cleaved a Klotho. It is a main functional form in the circulation (Hu, Shi, Zhang, et al., 2015; Hu, Shi, Zhang, Pastor, et al., 2010; Hu, Shi, Zhang, Quinones, et al., 2010; Imura et al., 2004; Kurosu et al., 2005). Soluble a Klotho protein is also present in cerebrospinal fluid (Chen et al., 2015; Degaspari et al., 2015; Emami Aleagha et al., 2015; Imura et al., 2004; Semba et al., 2014) and urine of mammals (Akimoto et al., 2012; Hu, Shi, Zhang, Pastor, et al., 2010; Hu et al., 2011; Lau et al., 2012). Soluble a Klotho functions as a circulating substance exerting multiple systemic biological actions on distant organs and directly protects cells against a variety of insults including hypoxia, hyperoxia, oxidative stress, and cytotoxic medication and suppresses apoptosis (Cheng et al., 2015; Hu, Shi, Cho, et al., 2013; Panesso et al., 2014; Ravikumar et al., 2014; Sun et al., 2015; Wang et al., 2013).

Chronic kidney disease (CKD) is characterized by progressive deterioration of renal function with high risk of end-stage renal disease (ESRD) regardless of whether initial kidney insults have regressed or are continuously present (D'Hoore et al., 2015; Ferenbach & Bonventre, 2015; Rimes-Stigare et al., 2015; Venkatachalam, Weinberg, Kriz, & Bidani, 2015). As expected, CKD risk increases with age, and about half of the CKD stage 3 cases occurs in subjects >70 years old. CKD can be viewed as a state of accelerating aging (Kooman et al., 2013; Stenvinkel & Larsson, 2013). The relative risk for cardiovascular (CV) mortality of a 25- to 34-year-old dialysis patient is similar to a non-CKD patient of >75 years of age (Foley, Parfrey, & Sarnak, 1998). The similar phenotypes between  $\alpha$ Klotho-deficient mice and CKD subjects also suggest a potential pathogenic role of  $\alpha$ Klotho deficiency in CKD development and progression (Hu, Kuro-o, & Moe, 2012, 2013a, 2013b; Hu et al., 2011; Hu, Shiizaki, Kuro-o, et al., 2013; Shi et al., 2015).

In this chapter, we aim to summarize the current state of knowledge on  $\alpha$ Klotho biology and pathophysiology in CKD, and provide a possible novel perspective on potential clinical applications of  $\alpha$ Klotho in CKD.

#### 2. CKD IS A STATE OF KLOTHO DEFICIENCY

#### 2.1 The Kidney Is the Main Origin for Systemic a Klotho

Compared to the wide distribution of *aKlotho* mRNA in many organs and tissues, *a*Klotho protein expression is restricted to only a few tissues including the kidney, brain, heart, parathyroid gland, and testis (Kuro-o et al., 1997; Takeshita et al., 2004). *a*Klotho protein

was also found in vascular endothelial cells and smooth muscle cells in humans and rodents (Fang et al., 2014; Jimbo et al., 2014; Lim et al., 2012; Ritter, Zhang, Delmez, Finch, & Slatopolsky, 2015), but this is still in debate because there is equally convincing evidence that do not support the presence of a Klotho protein in the vasculature (Hu, 2016; Lau et al., 2012; Lindberg et al., 2013; Mencke et al., 2015; Scialla et al., 2013). Therefore, whether a Klotho is expressed in the vasculature remains to be resolved. Among tissues expressing a Klotho protein, the kidney has the highest level. In mammalian kidney, a Klotho is prominently expressed in distal convoluted tubules (DCTs; Kato et al., 2000; Kuro-o et al., 1997), but is also unequivocally found in the proximal convoluted tubules although at lower levels compared to DCT (Hu, Shi, Zhang, Pastor, et al., 2010; Lim et al., 2015).

Despite the fact that the kidney is the organ expressing the highest levels of  $\alpha$ . Klotho protein, the confirmation that circulating a Klotho in serum mainly derived from the kidney under physiological conditions was demonstrated by Lindberg et al. (2014). The strongest evidence comes from mouse with renal tubule-specific partial deletion of a Klotho. This mouse line has reduced serum a Klotho levels and systemic features resembling the phenotype of global aKlotho deletion or the aKlotho hypomorphic mice, indicating that the kidney may be the principal organ mediating the systemic a Klotho effects (Lindberg et al., 2014). More direct evidence to support this notion is that  $\alpha$ Klotho protein in the suprarenal vein is higher than that in infrarenal vein in rodents and humans (Hu, Shi, Zhang, et al., 2015) and circulating a Klotho levels were dramatically and quickly dropped in rodents that underwent bilateral nephrectomy (Hu, Shi, Zhang, et al., 2015) which strongly suggest that the kidney is the main source of  $\alpha$ Klotho in the circulation under physiological conditions (Fig. 1). In living human kidney donors (Akimoto et al., 2013; Ponte et al., 2014), aKlotho was shown to drop after nephrectomy but it is difficult to distinguish this from the effect of surgery. However, under pathological renal conditions such as ESRD, circulating a Klotho is low rather absent, suggesting the possibility that  $\alpha$  Klotho may come from extrarenal source(s), although its origin is not clear to date (Lau et al., 2012). Establishing extrarenal sources of  $\alpha$ Klotho and characterizing how this can be upregulated when renal production fails are of paramount importance.

#### 2.2 Renal a Klotho Deficiency in CKD

With the renal source of circulating  $\alpha$ Klotho established (Fig. 1), the next step is to understand why and how  $\alpha$ Klotho is drastically reduced in kidney disease. As a general principle, if the organ of origin of an endocrine substance is diseased, it is logical to suspect that endocrine deficiency of that substance ensues. Therefore, it is not surprising to witness the reduction of  $\alpha$ Klotho protein in the diseased kidney. However, whether the reduction of  $\alpha$ Klotho is due to destruction of kidney, loss ability to produce/secrete  $\alpha$ Klotho, or a maladaptive response, remains to be explored.

It has been shown that there is a significant reduction of renal αKlotho transcript and protein in the diseased kidney resulting from a wide variety of etiologies from glomerular and tubulointerstitial diseases, obstructive nephropathy, diabetic nephropathy, ischemic injury, subtotal nephrectomy, oxidative stress, chronic allograft rejection, and exposure to cisplatin, angiotensin II (Ang II), and calcineurin inhibitors in both humans and rodents (Hu et al.,

2012; Hu et al., 2013a, 2013b; Hu, Shiizaki, Kuro-o, et al., 2013; Panesso et al., 2014; Sastre et al., 2013; Shi et al., 2015; Zhou, Li, Zhou, Tan, & Liu, 2013). The mechanisms underlying the relationship between renal  $\alpha$ Klotho downregulation and kidney diseases therefore need to be further illustrated.

It is proposed that renal aKlotho deficiency in early stages of CKD may be attributed mainly to suppression of aKlotho expression rather than loss of viable renal tubules. Several intermediates are shown to be involved in the reduction of aKlotho expression: high serum phosphate (Hu, Kuro-o, & Moe, 2014), hypermethylation (Azuma et al., 2012; King, Rosene, & Abraham, 2012; Lee, Jeong, et al., 2010; Sun, Chang, & Wu, 2012; Young & Wu, 2012), and hyperdeacetylation (Moreno et al., 2011) in aKlotho gene promoter induced by inflammatory cytokines and the uremic toxin, indoxyl sulfate (Fig. 2). If these observations are correct, they provide an opportunity to reactivate aKlotho expression by modulation of these factors and thereby correcting circulating and renal aKlotho deficiency in early stages of CKD.

#### 2.3 Circulating a Klotho Deficiency in CKD

aKlotho transcript and protein expression in diseased kidneys from humans and animals is clearly decreased. However, the relationship between renal aKlotho expression and serum and/or urinary aKlotho levels remains to be confirmed.

In a rodent model of CKD from uninephrectomy plus contralateral ischemia reperfusion, serum  $\alpha$ Klotho concentration was remarkably decreased, and the degree of its reduction was similar in magnitude to that of decreased  $\alpha$ Klotho protein in the kidneys and in the urine (Hu et al., 2011). Thus in rodents, CKD is a state of endocrine (circulating and urinary)  $\alpha$ Klotho deficiency in addition to renal  $\alpha$ Klotho deficiency (Hu et al., 2011).

In CKD patients, urinary aKlotho levels are significantly decreased at very early stages (stage 1) and sustainably reduced with progression of CKD (Hu et al., 2011), while the reduction of serum aKlotho starts later at stage 2 CKD (Barker et al., 2015). Moreover, human urinary aKlotho excretion is significantly decreased and the amount of urinary aKlotho decrease is directly correlated with estimated glomerular filtration rate (eGFR) decline (Yamazaki et al., 2010). These observations suggest that urinary soluble aKlotho may be a good biomarker for early CKD detection. A growing body of evidence has shown a reduction of circulating aKlotho in CKD and ESRD patients (Devaraj, Syed, Chien, & Jialal, 2012; Fliser, Seiler, Heine, & Ketteler, 2012; Pavik et al., 2013; Scholze et al., 2014; Shimamura et al., 2012; Zhou et al., 2013), therefore identifying the plausible mechanisms and clinical implications of serum and/or urinary aKlotho reduction in CKD/ESRD patients is of paramount importance.

## 3. αKlotho DEFICIENCY CONTRIBUTES TO CKD DEVELOPMENT AND PROGRESSION

aKlotho deficiency is not only an early biomarker of CKD but also a pathogenic intermediate for CKD development and progression, and extrarenal complications. Compared to wild-type mice, aKlotho-deficient mice have more severe kidney damage and

faster progression to CKD with more fibrosis, and  $\alpha$ Klotho overexpressors have milder kidney dysfunction and less fibrosis after exposure to renal insults including ischemic injury (Hu et al., 2011; Hu, Shi, Zhang, Quinones, et al., 2010; Shi et al., 2015), cisplatin (Panesso et al., 2014), Adriamycin (Zhou et al., 2013), and ureteric ligation (Sugiura et al., 2012; Zhou et al., 2013).  $\alpha$ Klotho is a multifaceted protein. Different forms of  $\alpha$ Klotho may be involved in different biological functions. Membrane  $\alpha$ Klotho is confirmed to participate in maintenance of mineral homeostasis, while soluble  $\alpha$ Klotho protein plays a more important and systemic role in cytoprotection, antifibrosis, and angiogenesis.

#### 3.1 Increased Cell Senescence and Reduced Ability of Regeneration

Stem cells in most mammalian tissues participate in maintenance of tissue homeostasis and are involved in tissue repair or regeneration (Li & Clevers, 2010; Weissman, 2000). The dysfunction and depletion of stem cells and progenitor cells contribute to aging and aging-associated diseases including kidney disease. CKD can be a consequence of incomplete or failed tubule recovery after AKI (D'Hoore et al., 2015; Ferenbach & Bonventre, 2015; Kramann, Tanaka, & Humphreys, 2014; Polichnowski et al., 2014; Venkatachalam et al., 2015; Zhang et al., 2013). The repeated administration of bone marrow-derived mesenchymal stem cells improved renal function and histology, reduced blood pressure, and attenuated the infiltration of inflammatory cells on a remnant rat kidney (Lee, Lee, et al., 2010). More recently, evidence has shown human-induced pluripotent stem cells derived from any human somatic cell type after the introduction of reprogramming transcription factors contributing to kidney regeneration and improvement in kidney function (Schmitt, Susnik, & Melk, 2015).

The decrease in stem cell number is associated with an increase in progenitor cell senescence, a complicated process present not only in normal aging but also in pathophysiological states (Dmitrieva & Burg, 2007; Haruna et al., 2007; Jennings et al., 2007; Kailong et al., 2007; Nakano-Kurimoto et al., 2009; Yang et al., 2009; Yang & Fogo, 2010). Excessive senescence and subsequent stem cell deletion may decrease the ability of the kidney to defend against renal insults and impair regeneration (Schmitt et al., 2015).

αKlotho deficiency is associated with stem cell dysfunction and depletion which is part of normal aging (Bian, Neyra, Zhan, & Hu, 2015; Liu et al., 2007). αKlotho deficiency in CKD could enhance renal tubular and vascular cell senescence induced by oxidative stress, uremic toxin, and high phosphate (Carracedo et al., 2013; Clements, Chaber, Ledbetter, & Zuk, 2013; de Oliveira, 2006; Niwa & Shimizu, 2012; Small et al., 2012; Tsirpanlis, 2008; Verbeke, Van Biesen, & Vanholder, 2011; Yamada et al., 2015). Wnt signaling activity is significantly increased in tissues from kl/kl mice, which can be rescued by genetic αKlotho overexpression (Liu et al., 2007). Administration of exogenous Wnt stimulates Wnt signal transduction, and triggers or accelerates cell senescence both in vitro and in vivo. αKlotho appears to be a secreted Wnt antagonist and may utilize this mechanism to retard mammalian aging. Suppression of cell senescence may be one of many novel strategies for promotion of kidney regeneration after AKI and retardation of CKD progression (Camilli et al., 2011; Liu et al., 2007; Satoh et al., 2012; Zhou et al., 2013).

#### 3.2 Defective Endothelial Function and Impaired Vasculogenesis

In CKD patients, there is endothelial dysfunction and impaired bone marrow-derived endothelial progenitor cells-mediated vascular regeneration and kidney repair ( Jie et al., 2010; Mohandas & Segal, 2010), both of which can contribute to progression of CKD and aging of the kidney (Chade et al., 2006; Mu et al., 2009; Reinders, Rabelink, & Briscoe, 2006; Taniyama & Morishita, 2010; Westerweel et al., 2007). Recent studies further indicated a potential causal link between vascular rarefaction and CKD progression. k/klmice do not only have abnormal vasodilatation due to abnormal endothelial function (Nakamura et al., 2002) and low blood flow after hind limb ischemia (Fukino et al., 2002) but also impaired angiogenesis and vasculogenesis (Shimada et al., 2004). The HMG coenzyme A reductase inhibitor, cerivastatin, increases a Klotho levels in cultured kidney cell lines (Narumiya et al., 2004) and mice (Yoon et al., 2012) and also restores impaired neovascularization in k/k mice (Shimada et al., 2004), but the causal relation between increased Klotho and restoration of vasculogenesis remains to be confirmed. The impaired vasculogenesis and angiogenesis might be attributable to downregulation of vascular endothelial growth factor (VEGF) in the aorta (Nakamura et al., 2002). Recent in vivo and in vitro studies showed that a Klotho is associated with VEGF receptor-2 (VEGFR-2) and the transient receptor potential canonical-1 (TRPC-1) Ca<sup>2+</sup> channel to maintain endothelial integrity because in a Klotho-deficient endothelial cells, VEGF-mediated internalization of the VEGFR-2/TRPC-1 complex is impaired, and surface TRPC-1 expression increases which can be reversed by a Klotho protein (Kusaba et al., 2010). In addition, a Klotho mitigates the increased cell senescence and apoptosis triggered by oxidative stress in endothelial cells (Ikushima et al., 2006); and αKlotho also suppresses TNF-β-induced expression of intracellular adhesion molecule-1 and vascular cell adhesion molecule-1. attenuates NF-kappaB activation, and reverses the inhibition of eNOS phosphorylation by TNF-a. Thus a Klotho protein also protects vascular endothelium by inhibition of endothelial inflammation (Maekawa et al., 2009).

#### 3.3 Promotion of Renal Fibrosis

Renal fibrosis is a histological hallmark of CKD and also believed to be a pathogenic intermediate for CKD progression (Ardura, Rayego-Mateos, Ramila, Ruiz-Ortega, & Esbrit, 2010; Iwano et al., 2002; Kalluri & Neilson, 2003; Liu, 2010; Zeisberg & Duffield, 2010; Zeisberg et al., 2003). *kl/kl* mice have more renal tubulointerstitial fibrosis (Sugiura et al., 2012) which is associated with upregulation of TGF- $\beta$  in the kidneys. The renal fibrosis induced by unilateral ureteral obstruction (UUO) is accompanied by upregulation of TGF- $\beta$ and fibronectin, and down-regulation of  $\alpha$ Klotho mRNA and protein. These alterations are exaggerated in  $\alpha$ Klotho-deficient UUO mice compared to *WT* UUO mice. Along the same line, more renal fibrosis was found in  $\alpha$ Klotho-deficient mice injected with Adriamycin (Zhou et al., 2013). Soluble  $\alpha$ Klotho alleviates renal fibrosis induced by UUO and suppresses expression of fibrosis markers and TGF- $\beta$ 1 target genes (eg, Snail, Twist), but does not reduce TGF- $\beta$ 1 expression in UUO kidney (Doi et al., 2011), suggesting that  $\alpha$ Klotho suppresses renal fibrosis primarily through inhibiting TGF- $\beta$ 1 downstream signaling (Doi et al., 2011). As discussed earlier,  $\alpha$ Klotho is an antagonist for Wnt signaling and Wnt is associated with renal fibrosis.  $\alpha$ Klotho's suppression of renal fibrosis is conceivably attributable to inhibition of Wnt signal transduction. Mice with UUO and Adriamycin injection have high Wnt levels and  $\beta$ -catenin activity as well as myofibroblast activation which can be suppressed by administration of expression vector encoding the extracellular domain of  $\alpha$ Klotho (Zhou et al., 2013).

When renal fibrosis and Wnt signaling are compared between  $\alpha$ Klotho overexpression and  $\alpha$ Klotho deficiency in UUO, Wnt signaling and tubulointerstitial fibrosis were attenuated in  $\alpha$ Klotho-overexpressing compared to *WT* mice. In contrast, Wnt signaling and tubulointerstitial fibrosis were dramatically augmented in  $\alpha$ Klotho heterozygous-deficient (*kl*/+) mice after UUO compared with *WT* mice. Interestingly, after transferring plasmid overexpressing  $\alpha$ Klotho into skeletal muscle, *kl*/+ mutant mice had much lower Wnt signaling and extracellular matrix deposition. Therefore,  $\alpha$ Klotho is a critical negative regulator of Wnt signaling and a suppressor of renal fibrosis in the obstructed kidney model (Satoh et al., 2012). In addition,  $\alpha$ Klotho also promotes clearance of collagen I through upregulation of autophagy (Shi et al., 2015). Therefore,  $\alpha$ Klotho suppresses fibrosis and enhances removal of collagen. Exogenous  $\alpha$ Klotho administration may be a novel therapeutic agent for renal fibrosis.

## 4. αKlotho DEFICIENCY EXACERBATES DISORDERS OF MINERAL METABOLISM IN CKD

The fact that mice with complete aKlotho deficiency share similar features such as hyperphosphatemia, hyper-FGF23-temia, and high morbidity and mortality from CV disease than CKD subjects suggests that aKlotho deficiency may participate in CKD development (Hu et al., 2013a, 2013b; Hu, Shiizaki, Kuro-o, et al., 2013). Furthermore, aKlotho-deficient mice with AKI progress to CKD more rapidly and exhibit more severe vascular lesions (Hu et al., 2011; Shi et al., 2015) and uremic cardiac remodeling (Hu, Shi, Cho, et al., 2015), supporting the concept that aKlotho deficiency might be a pathogenic intermediate in CKD (Fig. 3). Given that disturbed mineral metabolism contributes to the high morbidity and mortality of CV disease in CKD (Davidovich, Davidovits, Peretz, Shapira, & Aframian, 2009; Fernandez-Martin et al., 2015; Kaisar, Isbel, & Johnson, 2007; Kestenbaum & Belozeroff, 2007; London, Marchais, Guerin, & Metivier, 2005; Obi, Hamano, & Isaka, 2015; Siomou & Stefanidis, 2012; van Ballegooijen, Rhee, Elmariah, de Boer, & Kestenbaum, 2016; Wesseling-Perry, 2015), a better understanding of the molecular mechanisms of how aKlotho deficiency dysregulates mineral metabolism will aid in the exploration of novel therapeutic strategies in CKD.

#### 4.1 Hyperphosphatemia

The role of  $\alpha$ Klotho in phosphate homeostasis was recognized as soon as  $\alpha$ Klotho was discovered because  $\alpha$ Klotho-deficient mice have severe hyper-phosphatemia (Hu, Shi, Zhang, Pastor, et al., 2010; Kuro-o et al., 1997). This was further confirmed by the fact that there is low serum phosphate in  $\alpha$ Klotho-overexpressing mice (Kurosu et al., 2005). A patient with homozygous missense mutation (H193R) in the *aKLOTHO* gene had severe

calcinosis, dural and carotid artery calcifications, severe hyper-phosphatemia, hypercalcemia, and high serum 1,25-(OH)<sub>2</sub>-vitamin D<sub>3</sub> and fibroblast growth factor (FGF23) (Ichikawa et al., 2007). This mutation conceivably destabilizes kl1 domain of  $\alpha$ Klotho, thereby attenuating production of membrane-bound and soluble  $\alpha$ Klotho protein (Ichikawa et al., 2007). Therefore, in one human, the manifestations are similar to those observed in  $\alpha$ Klotho-deficient mice. Phosphate overload suppresses  $\alpha$ Klotho expression in the kidney (Hu, Shi, Cho, et al., 2015; Shi et al., 2015; Fig. 2). Normal mice fed a high Pi diet have dramatically decreased  $\alpha$ Klotho protein and mRNA in the kidney, while  $\alpha$ Klotho hypomorphic mice fed low Pi diet can regain part of their Klotho expression (Hu, Shi, Cho, et al., 2015; Morishita et al., 2001; Shi et al., 2015).

Accumulating evidence showed that kidney disease is a status of αKlotho deficiency. Although the mechanism of reduced renal and circulating αKlotho is not understood, it is conceivable that αKlotho deficiency might be involved in the development of hyperphosphatemia, one of components of CKD–metabolic bone disease (CKD-MBD). αKlotho deficiency impairs phosphaturia (Hu, Shi, Zhang, Pastor, et al., 2010) and consequently accelerates Pi accumulation in CKD. The higher the level of serum Pi, the greater the degree of soft-tissue calcification, and the greater the risk of mortality (Kestenbaum et al., 2005). Higher serum Pi levels have been shown to be associated with high mortality in incident ESRD patients (Gutiérrez et al., 2008) and control of serum Pi may help decrease vascular calcification and suppress proliferation of parathyroid glands (Cannata-Andia & Rodriguez-Garcia, 2002; Isakova et al., 2009; Kestenbaum et al., 2005). αKlotho administration could be a novel strategy for the correction of hyperphosphatemia in CKD patients.

#### 4.2 Increased FGF23 Levels

FGF23, a phosphatonin, is thought to be implicated in the systemic balance of phosphate maintained by the interaction of intestine, bone, and kidneys (Hu, Shiizaki, Kuro-o, et al., 2013) through interplay with  $\alpha$ Klotho, parathyroid hormone (PTH), and 1,25-(OH)<sub>2</sub>-vitamin D<sub>3</sub> (Bian, Xing, & Hu, 2014). One principal stimulus for FGF23 secretion is currently believed to be high serum phosphate caused by dietary phosphate load (Nishida et al., 2006). In CKD, there is an increase in FGF23 levels in parallel with the deterioration of renal function (Fliser et al., 2007) and the increase of serum phosphate and PTH (Ben-Dov et al., 2007; Nagano et al., 2006; Silver & Naveh-Many, 2010). High serum FGF23 in CKD may not only serve as a diagnostic biomarker of early CKD and predictor of CV disease and mortality in CKD/ESRD patients, but recently, it is proposed to be the necessary and sufficient contributor to uremic cardiomyopathy (Faul et al., 2011; Grabner et al., 2015) through activation of FGFR4 and independently from  $\alpha$ Klotho. In contrast, recent data also showed that the relationship between FGF23 and cardiac remodeling depends on  $\alpha$ Klotho, and the association of FGF23 with cardiac hypertrophy and fibrosis is only evident in the presence of  $\alpha$ Klotho deficiency (Hu, Shi, Cho, et al., 2015).

High serum FGF23 levels antedate high serum levels of phosphate, suggesting a disrupted feedback loop resulting in very high levels of serum FGF23. aKlotho-deficient mice have very high serum levels of FGF23 further supporting that aKlotho might be a negative

regulator of FGF23 regardless of the unknown precise mechanisms of how it suppresses FGF23 synthesis in bone (Fig. 3). Currently, there are no experimental data to show direct suppression of FGF23 by aKlotho in osteoblast or osteocytes in vitro. But other animal experiments have shown that extremely high circulating aKlotho with viral delivery can induce severe hypophosphatemia and increase blood FGF23 through stimulation of FGF23 production in the bone although the molecular mechanisms remain to be clarified (Smith et al., 2012). Because phosphate is a potent stimulus for FGF23 production in the bone, therefore, whether aKlotho directly or indirectly increases FGF23 remains to be explored. On the other hand, aKlotho-deficient mice have high levels of serum phosphate and conceivably high FGF23 results from high phosphate due to defective phosphate excretion induced by aKlotho deficiency (Hu, Shi, Zhang, Pastor, et al., 2010; Fig. 3).

#### 4.3 Hypovitaminosis D

Low 1,25-(OH)<sub>2</sub>-vitamin D<sub>3</sub> is a major component of disorders of mineral metabolism, and is conventionally attributed to cause bone disease and secondary hyperparathyroidism in CKD (Lips, 2001). However, hypervitaminosis D is present in  $\alpha$ Klotho deficiency (Kuro-o et al., 1997) and removal of key components involved in vitamin D metabolism or function can rescue these phenotypes in  $\alpha$ Klotho-deficient mice (Lanske & Razzaque, 2007; Ohnishi, Nakatani, Lanske, & Razzaque, 2009; Razzaque, 2012) suggesting that  $\alpha$ Klotho is a suppressor of vitamin D signaling. If CKD is a state of  $\alpha$ Klotho deficiency, why  $\alpha$ Klotho deficiency does not raise the serum levels of 1,25-(OH)<sub>2</sub>-vitamin D<sub>3</sub> in CKD? The increase in plasma FGF23 in CKD is thought to suppress  $1\alpha$ -hydroxylase in the kidney and initiate or accelerate vitamin D deficiency (Gutiérrez, 2010; Liu et al., 2006). Because 1,25-(OH)<sub>2</sub>vitamin D<sub>3</sub> induces  $\alpha$ Klotho expression in the kidney (Tsujikawa, Kurotaki, Fujimori, Fukuda, & Nabeshima, 2003), it is plausible that low vitamin D levels in CKD may exacerbate renal  $\alpha$ Klotho deficiency (Fig. 3).

#### 4.4 Secondary Hyperparathyroidism

Secondary hyperparathyroidism is a common complication of CKD/ESRD and is induced by retention of phosphate as a result of reduced glomerular filtration. The "trade off" hypothesis formulated by Slatopolsky and Bricker has been used for several decades to explain the role of hyperphosphatemia in secondary hyperparathyroidism (Slatopolsky & Bricker, 1973). It was proposed that in the early stages of CKD, an increase in serum phosphate concentrations can be overcome by an increased rate of PTH release, which may be also a result of hypocalcemia. However, as CKD progresses to advanced stages or ESRD, hyperphosphatemia becomes sustained and PTH chronically elevated, suppressing the synthesis of  $1\alpha$ , 25(OH)D<sub>3</sub> in the kidney. The reduction in the synthesis of  $1\alpha$ , 25(OH)D<sub>3</sub> deficiency also contributes to an increase in PTH synthesis (Delmez & Slatopolsky, 1992).

Currently, secondary hyperparathyroidism is considered as part of the syndrome of CKDmetabolic bone disease (MBD; Galitzer, Ben-Dov, Silver, & Naveh-Many, 2010; Khan, 2007). Even mild increments in PTH levels are associated with an increased CV risk, regardless of the serum levels of calcium and phosphorus and whether vitamin D therapy is given, suggesting that decreasing PTH levels may improve mineral metabolism disorders

(Floege et al., 2011; Panichi et al., 2010; Patel et al., 2011; Pontoriero, Cozzolino, Locatelli, & Brancaccio, 2010).

CKD patients have high blood FGF23 levels (Shimada et al., 2010) and low  $\alpha$ Klotho and FGFR(s) in parathyroid gland (Canalejo et al., 2010; Krajisnik et al., 2010). In subjects with normal kidney function, FGF23 plays a crucial role, both as a phosphaturic factor (Gattineni & Baum, 2010; Goetz et al., 2010; Weber, Liu, Indridason, & Quarles, 2003) and as a calciotropic hormone to suppress 1,25-(OH)<sub>2</sub>-vitamin D production in the kidney (Liu et al., 2006) and PTH production in the parathyroid gland (Ben-Dov et al., 2007), and to increase renal calcium reabsorption through modulation of TRPV5 channel (Andrukhova et al., 2014). In contrast, in a CKD setting, FGF23 fails to inhibit PTH production probably due to downregulation of  $\alpha$ Klotho and FGFR(s) in parathyroid gland (Fig. 3; Canalejo et al., 2010; Krajisnik et al., 2010). The mechanisms of downregulation of  $\alpha$ Klotho and FGFR(s) in uremic parathyroid gland remain to be explored.

Renal and circulating aKlotho deficiency is associated with development and progression of CKD-MBD (Fahrleitner-Pammer et al., 2008; Hruska, Saab, Mathew, & Lund, 2007; Kalantar-Zadeh et al., 2010; Patel et al., 2011). Although whether aKlotho is present in the vasculature is still under debate, it has been shown that even early CKD-MBD may cause a reduction of vascular aKlotho (Fang et al., 2014), stimulate vascular osteoblastic transition, increase osteocytic secreted proteins, and consequently induce vascular calcification. Correction of aKlotho and maintenance of mineral homeostasis do not only benefit bone and mineral metabolism but also may attenuate CV disease and improve the quality of life of CKD/ESRD patients (Fernandez-Martin et al., 2015).

#### 5. aKlotho DEFICIENCY IN CV DISEASE IN CKD

CKD confers significant CV morbidity and mortality (Go, Chertow, Fan, McCulloch, & Hsu, 2004; Gross & Ritz, 2008; Taddei, Nami, Bruno, Quatrini, & Nuti, 2011). A large number of CKD patients die from CV disease even before initiation of dialysis. The main clinical features of CV disease in CKD include uremic cardiomyopathy and vascular calcification.

#### 5.1 Pathological Uremic Cardiomyopathy

Uremic cardiomyopathy or cardiomyopathy of advanced CKD, characterized by cardiac hypertrophy and fibrosis, is a major cause of CV disease, by causing congestive heart failure, cardiac dysrhythmias, and sudden cardiac death (Glassock, Pecoits-Filho, & Barberato, 2009; Go et al., 2004; Gross & Ritz, 2008; Taddei et al., 2011). There are traditional risk factors such as hypertension, coronary disease, atherosclerosis, anemia, and volume overload (Glassock et al., 2009; Gross & Ritz, 2008), and also CKD-specific factors such as hyperphosphatemia (Block, Hulbert-Shearon, Levin, & Port, 1998; Glassock et al., 2009; Gross & Ritz, 2005). Recent data have shown an association between elevated FGF23 levels and uremic cardiac remodeling (Faul et al., 2011; Gutiérrez et al., 2009). Soluble a Klotho deficiency may also be an intermediate mediator of the pathological cardiac remodeling observed in CKD (Hu, Shi, Cho, et al., 2015). Furthermore, a Klotho may protect the heart against stress-induced cardiac hypertrophy by inhibiting

TRPC-6 channel-mediated abnormal  $Ca^{2+}$  signaling in the heart (Xie et al., 2012; Xie, Yoon, An, Kuro-o, & Huang, 2015) or against uremic solute indoxyl sulfate-induced myocardial hypertrophy probably by suppressing NADPH oxidase Nox2/Nox4-derived reactive oxygen species (ROS) production and its downstream signaling (Yang et al., 2015; Fig. 4).

**5.1.1** aKlotho as a Modulator of Pathological Cardiac Remodeling—Uremic cardiomyopathy is a state of pathological cardiac remodeling characterized by left ventricular hypertrophy (LVH) and extensive fibrosis (Foley et al., 1995; Tyralla & Amann, 2003). Both primary genetic  $\alpha$ Klotho deficiency (heterozygous  $\alpha$ Klotho-deficient, k//+ mice) and secondary  $\alpha$ Klotho deficiency (from phosphate loading, aging, and CKD) triggered cardiac hypertrophy and fibrosis in mice, such that higher plasma phosphate and lower plasma  $\alpha$ Klotho levels were associated with more severe cardiac hypertrophy and fibrosis (Hu, Shi, Cho, et al., 2015). Furthermore, higher plasma FGF23 levels were associated with more severe cardiac hypertrophy and fibrosis but only in the presence of moderate or low plasma  $\alpha$ Klotho levels (Hu, Shi, Cho, et al., 2015). This suggests that FGF23 may not be cardiotoxic unless there is simultaneous  $\alpha$ Klotho deficiency.

CKD models of secondary  $\alpha$ Klotho deficiency included: (1) unilateral nephrectomy and contralateral ischemic–reperfusion injury followed by high-phosphate diet (2% phosphate) and (2) 5/6th nephrectomy. Both CKD models showed cardiac hypertrophy and left ventricular fibrosis.  $\alpha$ Klotho levels in kidney tissue, plasma, and urine were decreased by high-phosphate diet starting at 6 months of age. When high-phosphate diet was given to older mice (12 months of age), additional reductions in plasma and kidney  $\alpha$ Klotho were observed (Hu, Shi, Cho, et al., 2015). Cardiac hypertrophy and fibrosis were exaggerated in kl/+ mice and lessened in transgenic  $\alpha$ Klotho-overexpressing mice (Tg-Kl) compared to WT mice and changes were more severe at age 15 months compared with 9 months. Aging exacerbated phosphate or  $\alpha$ Klotho deficiency-induced pathological cardiac remodeling. Notably,  $\alpha$ Klotho suppressed cardiac fibrosis triggered by high dietary phosphate.  $\alpha$ Klotho overexpression (Tg-Kl) suppressed phosphorylation of Smad2/3 and extracellular signal-regulated kinase (Erk; Hu, Shi, Cho, et al., 2015), which are known to be involved in uremic cardiac fibrosis (Olson, Naugle, Zhang, Bomser, & Meszaros, 2005).

In vitro,  $\alpha$ Klotho blocked TGF- $\beta$ 1- and angiotensin II (Ang II)-induced hypertrophy in cardiomyocytes (primary culture of neonatal rat) by inhibiting Smad2/3 phosphorylation.  $\alpha$ Klotho also attenuated TGF- $\beta$ 1-, Ang II-, and high phosphate-induced upregulation of fibrosis markers in cultured cardiac fibroblasts by inhibiting Erk phosphorylation (Hu, Shi, Cho, et al., 2015).

Cardiac hypertrophy and fibrosis scores correlated negatively with plasma  $\alpha$ Klotho levels and positively with plasma phosphate levels. In multivariable analysis, adjusting for plasma creatinine, FGF23, PTH, and 1,25-(OH)<sub>2</sub>-vitamin D<sub>3</sub> levels, only plasma  $\alpha$ Klotho and phosphorus levels were independent factors associated with pathological cardiac remodeling (Hu, Shi, Cho, et al., 2015).

**5.1.2 aKlotho Protection Against Stress-Induced Cardiac Hypertrophy**—Xie and coworkers reported that cardioprotection by **aKlotho in normal mice is mediated by** 

downregulation of TRPC-6 channels in the heart (Xie et al., 2012). In their experiments, deletion of TRPC-6 prevented stress-induced exaggerated cardiac remodeling in  $\alpha$ Klothodeficient mice (kl/+). In contrast, mice with heart-specific overexpression of TRPC-6 developed spontaneous cardiac hypertrophy and remodeling. Furthermore,  $\alpha$ Klotho overexpression (Tg-Kl mice) ameliorated pathological cardiac remodeling and improved long-term survival (Xie et al., 2012). In addition, they proposed that soluble  $\alpha$ Klotho inhibits TRPC-6 currents in cardiomyocytes by blocking phosphoinositide-3-kinase-dependent exocytosis of TRPC-6 channels (Xie et al., 2012).

Subsequently, the same investigators inferred that the decrease in soluble  $\alpha$ Klotho in CKD is not only an important cause of uremic cardiomyopathy but independent of FGF23 and phosphotoxicity (Xie et al., 2015). They reported that aKlotho levels in aKlotho-deficient mice (kl/+) were about one half of those of WT mice, and they further decreased in kl/+CKD mice to barely detectable levels (Xie et al., 2015). Heart weight-to-body weight ratio (a measure of cardiac hypertrophy) was significantly increased in both WT and kl/+ CKD mice, but the increase in k/ + CKD mice was significantly more prominent than that in WT CKD mice. Similarly, the degree of fibrosis in kl + CKD was much more severe than that in WTCKD heart (Xie et al., 2015). WTCKD mice had ventricular hypertrophy, normal chamber size, and preserved contractility (diastolic dysfunction) in contrast to systolic dysfunction with dilated cardiomyopathy and impaired contractility in k/+ CKD mice. Dietary phosphate restriction was successfully utilized to normalize serum phosphate and FGF23 levels in CKD mice (serum phosphate and FGF23 levels were similar in WT and k/ + CKD mice compared with sham controls). Notably, dietary phosphate restriction did not significantly alter the pattern of cardiac hypertrophy in WT or k//+ CKD mice (Xie et al., 2015). Moreover, viral-based deliver of a Klotho transgene significantly ameliorated cardiac hypertrophy and fibrosis in kl/+ CKD mice when compared with empty vector-injected mice. Functional TRPC-6-mediated currents were increased in cardiac myocytes isolated from CKD mice (vs sham), and the increase was more pronounced in kl/+ CKD vs WT CKD mice. Extracellular application of soluble a Klotho decreased these currents, confirming that a Klotho directly affects TRPC-6 functionality (Xie et al., 2015). The mechanisms of how the increase in TRPC-6 induces cardiomyopathy are not currently known.

#### 5.1.3 a Klotho Protection Against Indoxyl Sulfate-Induced Myocardial

**Hypertrophy**—Yang and colleges studied 86 patients with CKD and showed higher levels of indoxyl sulfate (Yang et al., 2015), a uremic solute derived from dietary protein and excreted by the kidney. Indoxyl sulfate accumulates with progressive loss of kidney function and can induce vascular endothelial cell dysfunction by enhancing oxidative stress (Tumur & Niwa, 2009; Tumur, Shimizu, Enomoto, Miyazaki, & Niwa, 2010). They showed a negative correlation between serum levels of indoxyl sulfate and aKlotho (r = -0.59, p < 0.001). Importantly, serum levels of indoxyl sulfate and aKlotho were independently associated with LVH (Yang et al., 2015). This was further confirmed by experiments in normal mice in which intra-peritoneal injection of indoxyl sulfate for 8 weeks induced LVH, accompanied by substantial renal aKlotho downregulation. Notably, indoxyl sulfate-induced LVH was more severe in heterozygous aKlotho-deficient (kl/+) mice relative to WT mice,

indicating that aKlotho deficiency may exacerbate indoxyl sulfate-mediated LVH (Yang et al., 2015) and aKlotho supplementation may be a strategy to counteract indoxyl sulfate-mediated LVH.

In vitro experiments showed that indoxyl sulfate induces cardiomyocyte hypertrophy through activation of Nox/ROS/MAPK (p38 and Erk1/2) signaling pathways and this activation can be attenuated by pretreatment with a Klotho protein, possibly through inhibition of ROS signaling. Indoxyl sulfate-induced cardiomyocyte hypertrophy is not mediated through TRPC-6 signaling pathway (Yang et al., 2015). Interestingly, the in vivo administration of exogenous a Klotho protein significantly alleviated the development of LVH in a mouse model of CKD-associated LVH characterized by high serum indoxyl sulfate levels, which confirmed in vitro findings (Yang et al., 2015). Moreover, indoxyl sulfate has been shown to suppress a Klotho deficiency in mice (Adijiang, Shimizu, Higuchi, Nishijima, & Niwa, 2011) and downregulate a Klotho expression in cultured cells (Shimizu et al., 2011; Sun et al., 2012). Therefore, indoxyl sulfate has a dual effect: induction of cardiomyocyte hypertrophy.

#### 5.2 Vascular Medial Calcification

Apart from traditional risk factors, the high CV morbidity and mortality in CKD have been linked to CKD-specific mechanisms of vascular calcification through modulation of the endothelium–vascular smooth muscle network (Hu et al., 2014; Vervloet, Adema, Larsson, & Massy, 2014). Calcium and phosphate play an important role in the initiation of osteochondrogenic changes of cellular elements in the arterial wall, and also in the final common pathway of alleged ectopic bone formation (Vervloet et al., 2014). Although the expression of aKlotho in the vasculature is highly controversial, there are data associating changes in circulating aKlotho levels with uremic vasculopathy (Hu et al., 2014; Vervloet et al., 2014). Blood vessels are composed of endothelial cells, mural cells (smooth muscle cells and pericytes), their shared basement membrane, and extracellular matrix. Vascular smooth muscle cells (VSMCs) and endothelial cells work synergistically for maintenance of the integrity of the vasculature (Heydarkhan-Hagvall et al., 2003; Fig. 5).

**5.2.1 aKlotho and Endothelium**—Endothelial dysfunction is associated with CV morbidity and mortality in CKD (Ravani et al., 2005). Endothelial cells damaged by high phosphate or uremic solutes show increase in apoptosis, ROS, proinflammatory cytokines, profibrotic and proangiogenic growth factors, and impaired nitric oxide production (Carracedo et al., 2013; Di Marco et al., 2008). Vascular endothelium can be a source of osteoprogenitor cells in vascular calcification (Di Marco et al., 2008). Moreover, the endothelium could also stimulate VSMCs to initiate or participate in vascular calcification (Yao et al., 2013).

A functional vascular tone and low levels of oxidative stress are maintained by releasing nitric oxide, prostacyclin, and endothelin-1, and by controlling local angiotensin II activity. In addition, the endothelium also regulates vascular permeability, platelet and leukocyte adhesion and aggregation, and thrombosis (Sitia et al., 2010). Elevated asymmetric dimethylarginine, a known inhibitor of nitric oxide synthase, and consequent reduced nitric

oxide production and reduced flow-mediated dilatation (FMD) of the vessels have been characterized in CKD (Schwedhelm & Boger, 2011; Yilmaz et al., 2006). High phosphate impairs FMD in experimental CKD and FMD is inversely related to serum phosphate level in humans (Shuto et al., 2009; Van et al., 2012). Similarly, oxidative stress and inflammation are implicated in the development of endothelial dysfunction in CKD (Recio-Mayoral, Banerjee, Streather, & Kaski, 2011).

Interestingly, circulating  $\alpha$ Klotho regulates vasodilation through modulation of nitric oxide production in vascular endothelium (Nagai et al., 2000; Saito et al., 1998; Six et al., 2014; Yamagishi et al., 2001). In addition, treatment of cultured endothelial cells with  $\alpha$ Klotho alleviates tumor necrosis factor  $\alpha$ -mediated ROS activity, cell apoptosis, and induction of adhesion molecules (Carracedo et al., 2012; Maekawa et al., 2009; Yang et al., 2012). Importantly,  $\alpha$ Klotho-deficient mice have increased VEGF-mediated calcium influx, downregulation of cadherin surface expression, increased apoptosis, and increased permeability (Kusaba et al., 2010). It is suggested that the *K12* domain of  $\alpha$ Klotho protein binds directly to VEGFR-2 and endothelial TRPC-1 Ca<sup>2+</sup> channel and promotes their cointernalization and consequent reduction of cellular Ca<sup>2+</sup> influx limiting the activity of Ca<sup>2+</sup>-dependent proteases that disrupt endothelial integrity (Kusaba et al., 2010).  $\alpha$ Klotho protein is also capable of attenuating indoxyl sulfate-induced endothelial dysfunction, partly through inhibition of ROS/p38 mitogen-activated protein kinase and downstream nuclear factor- $\kappa$ B signaling pathways (Yang et al., 2012).

It is possible that  $\alpha$ Klotho may act on the endothelium and induce a secondary effect via endothelial-VSMC crosstalk, or that VSMC-resident  $\alpha$ Klotho regulates VSMC function in an autocrine mode or even endothelium in a paracrine mode (Fig. 5). The role of  $\alpha$ Klotho protein in the disturbed endothelium–vascular smooth muscle network in CKD requires further investigation.

**5.2.2 aKlotho and Vascular Smooth Muscle**—Measurement of aortic aKlotho mRNA expression has not been consistent (Hu et al., 2014; Lim et al., 2012; Mencke et al., 2015; Navarro-Gonzalez et al., 2014; Ritter et al., 2015; Six et al., 2014). However, the association between aKlotho deficiency and medial vascular calcification has been well documented in hypomorphic aKlotho mice (Kuro-o et al., 1997), a phenotype rescued by transgenic overexpression, viral delivery of aKlotho, or recombinant aKlotho protein (Chen, Kuro, et al., 2013; Masuda et al., 2005; Shiraki-Iida et al., 2000). Furthermore, transgenic mice over-expressing aKlotho had significantly less vascular calcification after CKD induction in comparison to aKlotho-haploinsufficient mice with CKD that exhibited more severe vascular calcification (Hu et al., 2011).

The potential mechanisms underpinning the association between high serum phosphate and vascular calcification have been described in experimental models of CKD ( Jono et al., 2000; Lomashvili, Cobbs, Hennigar, Hardcastle, & O'Neill, 2004; Mathew et al., 2008). The beneficial effect of  $\alpha$ Klotho on vascular calcification in CKD is thought to be a result of more than its effect on amelioration of renal dysfunction and hyperphosphatemia. In vitro experiments have shown that  $\alpha$ Klotho suppresses type III Na<sup>+</sup>-dependent uptake of phosphate (Pit-1 and Pit-2 cotransporters) and mineralization induced by high phosphate in

VSMCs (Hu et al., 2011). Runt-related transcription factor-2 (Runx2) expression, an early marker of ectopic osteogenesis, was decreased in the aortas of overexpressing aKlotho mice (Hu et al., 2011). The contractile phenotype of VSMCs was lost with exposure to high phosphate or resident aKlotho knockdown (Lim et al., 2012). Resident aKlotho knockdown in VSMCs accelerated the development of vascular calcification through Runx2 and myocardin-serum response factor-dependent pathway (Lim et al., 2012). Therefore, aKlotho may regulate VSMCs differentiation under CKD procalcific stressors (Hu et al., 2011; Lim et al., 2012). However, other experiments have resulted in conflicting evidence. One study did not show any effect of aKlotho protein on FGF23 and high phosphate-mediated vascular calcification in human or mouse VSMCs (Scialla et al., 2013). Another study performed in uremic rats showed that FGF23 augmented phosphate-induced aortic calcification in aKlotho-overexpressing but not naive VSMCs through Erk1/2 phosphorylation pathway (Jimbo et al., 2014). The conflicting in vitro data may be, besides plausibility, a reflection of differences in VSMCs or aKlotho protein preparations utilized.

Vitamin D receptor agonists (eg, calcitriol or paracalcitol) were shown to increase serum and urine  $\alpha$ Klotho levels and abate aortic calcification in CKD mice likely through modulation of osteopontin, an anticalcification factor in VSMCs (Lau et al., 2012). Importantly, no  $\alpha$ Klotho mRNA expression was found in the aorta in these in vivo experiments (Lau et al., 2012). One independent study further confirmed that there is no membrane  $\alpha$ Klotho expression in either healthy or uremic vessels in humans (Mencke et al., 2015). In contrast, Lim and colleagues showed that calcitriol effectively restored mRNA  $\alpha$ Klotho expression in VSMCs (Lim et al., 2012). In a different experiment,  $\alpha$ Klotho mRNA was detected in mouse aorta but specific deletion of  $\alpha$ Klotho in mouse VSMCs did not induce vascular calcification (Lindberg et al., 2013) challenging the principal role of  $\alpha$ Klotho in VSMCs. Moreover,  $\alpha$ Klotho protein was found to be increased in atherosclerotic arteries (Donate-Correa et al., 2013) contradicting the suggested protective role of resident  $\alpha$ Klotho in vasculature. The existence and role of resident  $\alpha$ Klotho protein in the vasculature need to be clarified and further investigated (Table 1).

In contrast to the inconclusive evidence of vascular αKlotho expression, the administration of exogenous recombinant αKlotho, αKlotho gene delivery, and increased endogenous circulating αKlotho significantly reduced vascular calcification and improved endothelial function, suggesting that soluble αKlotho may play a pivotal role in the protection of vasculature integrity as an endocrine factor (Chen, Kuro, et al., 2013; Lau et al., 2012; Masuda et al., 2005; Saito et al., 2000; Utsugi et al., 2000; Fig. 5).

It was shown that aKlotho protein attenuates endothelial cell damage from high phosphate and oxidative stress, and inhibits osteogenic transformation of VSMCs induced by high phosphate. The administration of exogenous aKlotho or modulators of aKlotho expression may represent novel therapies for the management of vascular calcification in CKD patients. Studies of endothelial cells or VSMCs in isolation may not fully represent the vascular system to dissect out the role of the individual players. Coculture of endothelial cells and VSMCs may be a viable intermittent system to further elucidate the role of aKlotho in the vasculature under normal and pathological conditions (Hu et al., 2014).

#### 6. aKlotho DEFICIENCY AS A BIOMARKER OF CKD

CKD is a global public health problem that affects over 20 million people in the United States (Snyder, Foley, & Collins, 2009). The major complications in this population are progression to ESRD and CV morbidity and mortality (Eckardt et al., 2013; Hemmelgarn et al., 2010; Levey et al., 2011).

There has been intense search for highly sensitive (diagnostic value) or highly specific (treatment effect value) biomarkers of CKD onset and/or prognosis of progression. An ideal prognostic CKD biomarker should be able to predict CKD onset and progression, characterize the severity of CKD stage, display similar reliability across multiple species (particularly humans), and be accessible in readily available body fluids or tissues.

In the following sections, novel functional (detecting primarily loss of kidney function) and injury biomarkers (with or without loss of kidney function) of CKD and the potential role of FGF23 and a Klotho as early diagnostic and prognostic biomarkers of CKD will be discussed.

#### 6.1 Functional Biomarkers in Human CKD

New filtration markers such as  $\beta$ -trace protein (BTP),  $\beta 2$  microglobulin ( $\beta 2M$ ), and cystatin C were associated with mortality risk in a representative sample of 6445 US adults from the Third National Health and Nutrition Examination Survey (Foster et al., 2013). The highest quintile for cystatin C, BTP, and  $\beta 2M$  were associated with increased all-cause mortality risk, whereas the association was weaker for serum creatinine-based eGFR (Foster et al., 2013). A >50% decline in serum creatinine-based eGFR is an established surrogate marker for ESRD in clinical trials but a >30% decline in kidney function assessed using novel filtration markers (cystatin C and  $\beta 2M$ ) has been strongly associated with ESRD (Rebholz, Grams, Matsushita, Selvin, & Coresh, 2015).

#### 6.2 Injury Biomarkers in Human CKD

The assessment of the plasma proteome through mass spectrometry analysis identified three fragments of high-molecular-weight kininogen associated with early progressive renal function decline in microalbuminuric patients with type 1 diabetes (Merchant et al., 2013). The performance of urine neutrophil gelatinase-associated lipocalin (NGAL) was analyzed in a cohort of 3386 patients with CKD in the Chronic Renal Insufficiency Cohort (CRIC) study. Urine NGAL was independently associated with 50% decreased eGFR or incident ESRD development over a mean follow-up of 3.2 years. However, it did not improve prediction models for CKD progression (Liu et al., 2013). More recently, urine NGAL has been independently associated with ischemic atherosclerotic events but not heart failure events or death (Fufaa et al., 2015). In a cohort of 124 patients with type 1 diabetes and proteinuria, serum kidney injury molecule-1 (KIM-1) levels at baseline strongly predicted rate of eGFR loss and risk of ESRD during 5–15 years of follow-up, after adjustment for baseline urinary albumin-to-creatinine ratio, eGFR, and hemoglobin A1C (Sabbisetti et al., 2014). Similarly, urinary NGAL and liver fatty acid-binding protein (L-FABP) were independently associated with incident ESRD and mortality but did not meaningfully

improved clinical prediction models of CKD progression in a cohort of 260 Pima Indians with median follow-up of 14 years (Fufaa et al., 2015).

#### 6.3 FGF23 in Human CKD

Current evidence favors a direct pathogenic role for dysregulated FGF23-a Klotho in CKDrelated adverse outcomes, in particular CV disease. The relationship between baseline serum intact FGF23 and incident ESRD was evaluated in 13,448 Atherosclerosis Risk in Communities (ARIC) study participants during a median follow-up of 19 years. After adjustment for demographics, baseline eGFR, and traditional CKD risk factors, the highest FGF23 quintile (>54.6 pg/mL) compared with the lowest quintile (<32.0 pg/mL) was associated with risk of developing ESRD (Rebholz, Grams, Coresh, et al., 2015). Similarly, elevated FGF23 has been proposed as an early indicator of kidney injury or CKD progression (Fliser et al., 2007; Wolf, 2012). Elevated serum FGF23 has been independently associated with LVH and a causal inference through Klotho-independent activation of FGF receptor-dependent activation of the calcineurin-NFAT signaling pathway in rat cardiomyocytes has been proposed (Faul et al., 2011). Increased FGF23 was independently associated with mortality among incident hemo-dialysis patients (Gutiérrez et al., 2008) and has been linked to mortality and higher risk of ESRD or CV disease in patients with CKD during a median follow-up of 3.5 years (Faul et al., 2011; Ix et al., 2012). In contrast, FGF23 was not associated with arterial calcification in 1501 patients from the CRIC study (Scialla et al., 2013).

#### 6.4 The Role of a Klotho as a Marker of Adverse Outcomes in Human CKD

CKD is a state of a Klotho deficiency in multiple tissues. a Klotho mRNA levels in parathyroid gland declined in parallel with decreasing eGFR over CKD stages (Krajisnik et al., 2010). Similarly, a Klotho mRNA expression in kidney tissue was greatly reduced and positively and significantly correlated with eGFR in CKD patients (Asai et al., 2012,; Koh et al., 2001). In a larger sample of 236 CKD patients with available kidney biopsies, a Klotho mRNA levels were significantly and positively correlated with eGFR (p < 0.001) in multiple regression analysis including CKD-MBD parameters (Sakan et al., 2014). Most importantly, a Klotho mRNA in the kidney was the only independent contributing factor to serum aKlotho across all strata of CKD patients. Renal aKlotho was significantly correlated with serum calcium, serum phosphorus, 1,25-(OH)<sub>2</sub>-vitamin D<sub>3</sub>, FGF23, and intact PTH (Sakan et al., 2014). Correspondingly, circulating serum a Klotho levels were progressively lower with each CKD stage when compared to healthy controls (Pavik et al., 2013). This was also observed in kidney transplant recipients vs healthy controls (Sawires, Essam, Morgan, & Mahmoud, 2015). Furthermore, adjusted mean serum a Klotho decrease was 3.2 pg/mL for each 1 mL/min eGFR decrease in adult CKD patients (Pavik et al., 2013). A positive correlation between a Klotho levels (serum and urine) and eGFR has been further characterized in adult CKD patients (Akimoto et al., 2012; Hu et al., 2011; Kim et al., 2013; Kitagawa et al., 2013; Ozeki et al., 2014), although only 24-h urine a Klotho but not serum aKlotho has been shown to be independently associated with eGFR change (Akimoto et al., 2012). However, questions about the stability of a Klotho in the urine have emerged (Adema, Vervloet, Blankenstein, & Heijboer, 2015). Therefore, a standardized urine protocol is required. A similar positive correlation between plasma a Klotho levels and eGFR was

shown in CKD children without kidney transplant (Wan et al., 2013). Moreover, serum  $\alpha$ Klotho levels in children on chronic peritoneal dialysis were significantly lower (~41%) than healthy controls (Cano et al., 2014). The progressive decline of serum  $\alpha$ Klotho in adults with early stages of CKD (eg, stage 2) has been subsequently demonstrated, suggesting that the decrease in serum  $\alpha$ Klotho may antecede high FGF23, PTH, and hyperphosphatemia (Barker et al., 2015; Kim et al., 2013; Rotondi et al., 2015; Shimamura et al., 2012; Table 2).

**6.4.1 a lotho and CV Disease in CKD**—A cross-sectional study of 114 CKD patients (mean eGFR  $48\pm29$  mL/min/1.73 m<sup>2</sup>) revealed that serum aKlotho was a significant marker of arterial stiffness measured by ankle–brachial pulse waive velocity (Kitagawa et al., 2013). In contrast, a large cohort study of 444 patients with CKD stages 2–4 showed that plasma aKlotho levels (highest vs lowest tertile) did not predict atherosclerotic events or death at 2.6 years follow-up. Serum aKlotho was also significantly reduced in hypertensive (essential and renovascular) patients with mild CKD when compared to healthy controls, even after adjustment by eGFR (Park et al., 2015). The proposed cross talk between the renin–angiotensin–aldosterone system and the vitamin D–FGF23–a Klotho pathways supports the concept that modulation of one system can have positive effects on the other (de Borst, Vervloet, ter Wee, & Navis, 2011). In this context, a post hoc analysis of the ESCAPE trial in children with CKD (all received fixed dose of ramipril 6 mg/m<sup>2</sup> per day) showed that 25(OH)D 50 nmol/L was associated with greater preservation of renal function. Interestingly, ACEI therapy significantly increased serum aKlotho levels without any associated changes in serum calcium or phosphate (Shroff et al., 2016; Table 2).

**6.4.2 aKlotho and Progressive CKD**—The most conclusive evidence thus far about the role of aKlotho as a predictor of adverse outcomes in CKD is based on a post hoc cohort study of 243 adult patients with CKD. In this study, serum aKlotho levels independently predicted the composite outcome of doubling S<sub>Cr</sub>, ESRD, or death after multivariable adjustment. If serum aKlotho was 396.3 pg/mL, 35.2% reached the composite outcome vs 15.7% if >396.3 pg/mL (adjusted HR 2.03, 95% CI 1.07–3.85, p = 0.03). The areas under the curve (a measure of discrimination, that is, the ability of aKlotho to correctly classify those with and without the outcome) for 1/serum aKlotho to predicate the composite outcome (doubling S<sub>Cr</sub>, ESRD, or death) were 0.81, 0.78, and 0.72 at 12, 24, and 36 months (Kim et al., 2013; Table 2). Further studies are needed to corroborate these findings.

Taken together, CKD is a state of  $\alpha$ Klotho deficiency and dysfunctional vitamin D–FGF23– $\alpha$ Klotho pathways. Plasma  $\alpha$ Klotho level positively correlates with eGFR and negatively with S<sub>Cr</sub> and FGF23 and is a promising biomarker for the prediction of adverse outcomes (eg, CKD progression, CV morbidity, and death) in CKD patients. Therefore,  $\alpha$ Klotho may represent a novel therapy for CKD patients that needs to be further investigated.

#### 7. aKlotho AS A PROMISING TREATMENT STRATEGY FOR CKD

The kidney is confirmed as the major site that contributes to circulating aKlotho (Hu, Shi, Zhang, et al., 2015; Lindberg et al., 2014) and dysfunctional or decreased number of aKlotho-producing cells contribute to aKlotho deficiency leading to accelerated aging (Hu,

Shi, Zhang, et al., 2015; Lindberg et al., 2014). Therefore, αKlotho deficiency may not only be a pathogenic intermediate for accelerating CKD progression but also a main promoter of complications such as secondary hyperparathyroidism and CV disease in CKD. Conceivably, any therapy that restores or stimulates endogenous αKlotho or administration of exogenous αKlotho might provide a novel treatment strategy in CKD.

#### 7.1 Epigenetic regulation of a Klotho Expression

aKlotho deficiency in the kidney of hypomorphic aKlotho-deficient mice was thought to result from interruption of the promoter of aKlotho gene by the exogenous transgene (Kuroo et al., 1997). But recent data do not entirely support this notion because there was aberrant aKlotho promoter methylation in kl/kl mice. The in vitro study showed that aKlotho gene promoter methylation reduced promoter activity by 30–40%, whereas DNA demethylating agents increased a Klotho expression 1.5- to 3.0-fold (Azuma et al., 2012). Similarly, uremic toxins—indoxyl sulfate or *p*-cresyl sulfate—induced hypermethylation of the *aKlotho* gene, and decreased a Klotho expression in renal tubules and kidney cell line, which can be reversed by demethylation of the *aKlotho* gene. Therefore, hypermethylation may be one of the mechanisms of *aKlotho* gene expression inhibition in CKD (Chen, Zhang, et al., 2013; Sun et al., 2012; Young & Wu, 2012). In addition, promoter histone acetylation was also proposed as a possible mechanism for a Klotho silencing in many types of cancer cell lines (Rubinek et al., 2012; Xie et al., 2013). Furthermore, TNF and TNF-like weak inducer of apoptosis (TWEAK)-induced downregulation of a Klotho expression in the kidney and kidney cell lines can be blunted by inhibition of histone deacetylase (Moreno et al., 2011). Therefore, demethylating agents and deacetylase inhibitors may be agents to reactivate aKlotho expression in the kidney and consequently increase circulating aKlotho.

#### 7.2 Reactivation of Endogenous a Klotho Expression Independently of Epigenetics

To date, several categories of drugs in the market including peroxisome proliferatoractivated receptors-gamma (PPAR- $\gamma$ ) agonists (Chen, Cheng, Ku, & Lin, 2014; Yang et al., 2009; Zhang et al., 2008; Zhang & Zheng, 2008), angiotensin II type I receptor antagonists (Karalliedde, Maltese, Hill, Viberti, & Gnudi, 2013; Yoon et al., 2011; Zhou et al., 2010), 3hydroxy-3-methylglutaryl CoA (HMG-CoA) reductase inhibitors (statin; Narumiya et al., 2004), and vitamin D active derivatives (de Borst et al., 2011; Forster et al., 2011; Lau et al., 2012; Lim et al., 2012; Ritter et al., 2015) have been shown to upregulate  $\alpha$ Klotho expression in vivo and in vitro. The effect of upregulating  $\alpha$ Klotho is definitely not associated with their well-identified original pharmacological targets, and pharmacological mechanisms remain to be explored.

#### 7.3 Administration of Soluble a Klotho Protein

αKlotho gene delivery is shown to effectively rescue many phenotypes observed in αKlotho-deficient mice (Shiraki-Iida et al., 2000), attenuating the progression of hypertension and kidney damage in spontaneous hypertensive rats (Wang & Sun, 2009, 2014), improving kidney function in acute kidney injury (Sugiura et al., 2005), ameliorating angiotensin II-induced kidney injury (Mitani et al., 2002), improving endothelial function (Saito et al., 2000), and protecting from uremic cardiomyopathy (Xie et al., 2012, 2015). Although gene therapy is effective in animal studies, its safety is still questionable and

human clinical application is not in the proximity. There are only few clinical trials testing gene therapy in specific human diseases including genetic diseases (Williams, 2014) and some types of cancers (Heller & Heller, 2015).

In contrast, administration of exogenous aKlotho protein is more direct, safer, and an easier modality to restore endocrine aKlotho deficiency. Animal studies have already provided prove-of-concept encouraging data that soluble aKlotho protein administration is safe and effective (Hu, Shi, Zhang, Quinones, et al., 2010; Shi et al., 2015). Soluble aKlotho protein attenuates kidney damage and preserves kidney function in an ischemia-reperfusion injury model causing acute kidney injury, which is a state of acute aKlotho deficiency (Hu, Shi, Zhang, Quinones, et al., 2010). Furthermore, aKlotho protein inhibited renal fibrosis in a UUO kidney injury model (Doi et al., 2011), and effectively extended the life span of homozygous aKlotho-deficient mice, ameliorating premature aging-related phenotypes (Chen, Kuro, et al., 2013). Although there are no clinical data showing aKlotho protein administration to CKD patients, the preclinical data clearly support the therapeutic potential of soluble aKlotho protein in CKD patients.

#### 8. CONCLUSION AND FUTURE DIRECTIONS

Although progress has been made in understanding that a Klotho is not only an aging suppressor involved in longevity and aging, but also a renoprotective factor which has a central impact on renal physiology and renal pathophysiology, the utility of a Klotho in clinical practice is still under development (Table 3). First, a Klotho could serve as an early and sensitive biomarker of CKD, although its specificity and its prognostic value require further exploration in humans. Similarly, whether urine or serum a Klotho is a better biomarker remains to be confirmed. Second, exogenous a Klotho supplementation may represent a novel therapy to retard or block progressive CKD, post-AKI transition to CKD, as well as preventing and reversing CV complications associated with renal disease as shown in animal studies. The therapeutic efficacy of a Klotho in kidney disease has been unequivocally demonstrated in animal models. One needs to validate the efficacy of a Klotho therapy in different stages of kidney disease.

Although the effects of  $\alpha$ Klotho protein on the kidney will invariably be pleiotropic, how  $\alpha$ Klotho exerts its renoprotection is largely inconclusive. High levels of FGF23 have been found to be associated with high mortality and morbidity in CKD (Arnlov et al., 2013; Desjardins et al., 2012; Faul et al., 2011; Fliser et al., 2007; Grabner et al., 2015; Greenhill, 2011; Guo & Yuan, 2015; Hanks, Casazza, Judd, Jenny, & Gutierrez, 2015; Hasegawa et al., 2010; Krupp & Madhivanan, 2014; Mencke et al., 2015; Mirza, Larsson, Melhus, Lind, & Larsson, 2009; Razzaque, 2009a, 2009b; Rotondi et al., 2015; Sawires et al., 2015; Silswal et al., 2014; Silver, Rodriguez, & Slatopolsky, 2012; Sinha et al., 2015; Wolf, 2010; Wright et al., 2014; Zhang, Yan, Zhu, & Ni, 2015; Zhang, Yang, et al., 2015). Given that administration of exogenous  $\alpha$ Klotho has a favorable effect on CKD animals in terms of improvement of renal function, better maintenance of phosphate homeostasis, and attenuation of vascular calcification and cardiac hypertrophy, whether synergistic utilization of FGF23 antagonist or inhibitor and  $\alpha$ Klotho can enhance  $\alpha$ Klotho therapeutic efficacy needs to be tested.

Some therapeutic modalities including ACE inhibition, HMG-CoA reductase inhibition, vitamin D derivatives, and antioxidants could sustain or increase endogenous aKlotho expression. It is conceivable that a multi-targeted regimen including aKlotho protein, FGF23 antagonist, and endogenous aKlotho inducers may enhance the beneficial effect of aKlotho on retardation of CKD progression and prevention or reduction of CV morbidity and mortality in CKD. The immediate challenge is to test whether human CKD resembles the rodent counterpart and if so, how to more efficiently increase aKlotho levels in patients with CKD, either by stimulating endogenous aKlotho or by administering recombinant aKlotho. Finally, aKlotho has shown to be effective in experimental CKD models such as renal ablation (Xie et al., 2015), hypertensive kidney damage (Tang et al., 2011; Wang & Sun, 2009), UUO (Doi et al., 2011; Guan et al., 2014; Satoh et al., 2012; Sugiura et al., 2012), but few experimental data showed its effect on CKD secondary to diabetes or other glomerular diseases which account for large part of the CKD population. More animal studies and clinical observational studies are required to consider the administration of exogenous aKlotho protein a potential novel therapeutic strategy for CKD.

#### Acknowledgments

The authors appreciate Dr. Orson Moe for helpful interactions in the editing of this chapter. The research work in MC Hu's research laboratory is in part supported by the NIH (R01-DK091392, R01-DK092461), the George M. O'Brien Kidney Research Center (P30-DK-07938), the Charles and Jane Pak Foundation, and the Pak Center Innovative Research Support. J.A.N. is in part supported by the Ben J. Lipps Research Fellowship Program of American Society of Nephrology Foundation for Kidney Research and the Truelson Fellowship Fund at the Charles and Jane Pak Center of Mineral Metabolism and Clinical Research.

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#### Fig. 1.

Source of circulatory  $\alpha$ Klotho.  $\alpha$ Klotho protein is expressed in a few organs, but the kidney is a main resource of circulating  $\alpha$ Klotho under physiological conditions. The contribution of parathyroid gland and brain is not clear. Both renal proximal (PT) and distal tubules (DT) express membrane  $\alpha$ Klotho protein and may also produce a secreted  $\alpha$ Klotho protein which only contains kl1 domain and is directly secreted into the blood circulation. Extracellular domain of membrane  $\alpha$ Klotho-containing kl1 and kl2 repeats is shed and cleaved by  $\alpha$  and  $\beta$ -secretases, and released into the blood circulation.



#### Fig. 2.

Circulating and local renal factors involved in the reduction of aKlotho expression in the kidney. In acute and chronic kidney disease, a variety of circulating factors including disturbed mineral metabolism, and accumulation of indoxyl sulfate and proinflammatory cytokines (*left panel*), can downregulate renal aKlotho expression. On the other hand, the elevation of reactive oxygen species, Ang II, and inflammatory cytokines in the diseased kidney can also downregulate renal aKlotho expression (*right panel*). Epigenetic modulation of aKlotho promoter via hypermethylation and deacetylation can reduce aKlotho expression and contribute to aKlotho deficiency in chronic kidney disease.



#### Fig. 3.

Proposed physiological role of  $\alpha$ Klotho in mineral metabolism and pathophysiological consequences of aKlotho deficiency in CKD. In the setting of normal kidney function with normal aKlotho levels (left panel), aKlotho may suppress FGF23 production and release from the bone. But there is no direct evidence to prove it. a Klotho functions as coreceptor of FGFR to allow FGF23 to suppress PTH production and release from parathyroid gland. PTH stimulates and increases plasma levels of FGF23 and 1,25-(OH)<sub>2</sub>-vitamin D<sub>3</sub>. Increased 1,25-(OH)<sub>2</sub>-vitamin D<sub>3</sub> further stimulates FGF23, and directly and indirectly suppresses PTH levels. Increased 1,25-(OH)<sub>2</sub>-vitamin D<sub>3</sub> also stimulates a Klotho production in the kidney. Taken together, through several negative- or positive-feedback loops, a Klotho functions as both a phosphate and calcium regulatory hormone to directly or indirectly suppress PTH, 1,25-(OH)<sub>2</sub>-vitamin D<sub>3</sub>, and FGF23 production and release. a.Klotho's action on the kidney is to prevent renal Pi retention and to prevent renal Ca loss. In CKD and ESRD (right panel), the network is deranged (red arrows). Renal a Klotho is decreased followed by decrease in plasma a Klotho. The downregulation of a Klotho increases FGF23 production via unknown mechanism, which in turn suppresses 1.25-(OH)<sub>2</sub>-vitamin D<sub>3</sub> production in the kidney. Whether low plasma a Klotho renders parathyroid gland resistant to the suppressive effect of FGF23 on PTH production is not proven. However, decreased FGFR1/3 and a Klotho expression in the uremic parathyroid gland could make the gland resistant to FGF23, and triggers and/or promotes secondary hyperparathyroidism (SHPT). Low plasma Ca also participates in SHPT development. Hyperphosphatemia amplifies the high FGF23 and PTH levels, and low a Klotho levels in the blood. The high plasma PTH, Pi, and FGF23, and low plasma  $1,25-(OH)_2$ -vitamin D<sub>3</sub> and  $\alpha$ Klotho in concert contribute to the development of complications such as metabolic bone disease, SHPT, cardiomyopathy, and vascular calcification. Dash line: unproven putative roles of aKlotho. Ca, ion calcium; CKD, chronic kidney disease; ESRD, end-stage renal disease; FGFR, FGF receptor; Pi, phosphate; PTH, parathyroid hormone; SHPT, secondary hyperparathyroidism; 1,25-VD3, 1,25-(OH)<sub>2</sub>-vitamin D<sub>3</sub>.

#### Uremic cardiomyopathy



#### Fig. 4.

Risk factors for uremic cardiomyopathy and proposed mechanisms of attenuation of pathological cardiac remodeling by  $\alpha$ Klotho.  $\alpha$ Klotho deficiency is a novel risk factor for uremic cardiomyopathy. Soluble  $\alpha$ Klotho deficiency is an intermediate mediator of the pathological cardiac remodeling observed in CKD. Experimental  $\alpha$ Klotho overexpression (*Tg-Kl*) suppressed phosphorylation of Smad2/3 and Erk, which are known to be involved in uremic cardiac fibrosis. Furthermore,  $\alpha$ Klotho may protect the heart against stress-induced cardiac hypertrophy by inhibiting TRPC-6 channel-mediated abnormal Ca<sup>2+</sup> signaling in the heart or against uremic solute indoxyl sulfate-induced myocardial hypertrophy probably by suppressing NADPH oxidase Nox2/Nox4-derived reactive oxygen species production and its downstream signaling. *CHF*, congestive heart failure; *Erk*, extracellular signal-regulated kinase; *LVH*, left ventricular hypertrophy; *MAPK*, mitogen-activated protein kinases; *Nox*, NADPH oxidase; *SCD*, sudden cardiac death; *TRPC-6*, transient receptor potential canonical-6.



#### Fig. 5.

Proposed model of a Klotho as intermediate of endothelial cells (ECs)-vascular smooth muscle cells (VSMCs) cross talk. Left panel: Normal ECs-VSMCs cross talk. Normal ECs modulate VSMCs growth via release of growth factors (black line in left panel). NO, nitric oxide; PDGF, platelet-derived growth factor; PGI<sub>2</sub>, prostaglandin I<sub>2</sub>; VEGF, vascular endothelial growth factor. VEGF released from pericytes and/or VSMCs could also regulate endothelial cell. Right panel: In CKD, uremic toxins including high plasma Pi, damage ECs and induce release of growth factors, proinflammatory cytokines, and profibrotic factors, which exacerbate ECs injury and also induce VSMCs transition to osteoblast and promote vascular calcification in medial layer (red solid lines in right panel). Impaired ECs also directly contributes to vascular calcification through endo-osteoblast transition (green line in right panel). Whether dedifferentiated or damaged VSMCs could further modulate function of endothelial cells is speculative (red dash line in right panel). Central panel: aKlotho is a vascular protective protein. Whether resident aortic a Klotho protein in VSMCs functions in autocrine and/or paracrine mode to modulate VSMCs and/or ECs remains to be clarified (brown dash line in central panel). The mechanisms of how a Klotho is able to reach the VSMCs from the circulation and function as an endocrine factor remain to be defined (blue dash line in central panel). aKlotho, regardless of source, could increase NO production from ECs and NO consequently modulates VSMCs and ECs function in an autocrine mode (blue solid line in middle panel). a Klotho could protect EC from high phosphate and other uremic toxins and also attenuate oxidative stress and proinflammatory cytokines-induced cell senescence and apoptosis in VSMC (orange line in central panel). aKlotho also directly inhibits osteoblast transition induced by hyperphosphatemia and uremic milieu (orange line in central panel). Current experimental and clinical observations suggest that both ECs and VSMCs endothelium may be potential targets of soluble a Klotho to protect the vasculature from vascular calcification in CKD. ECs, endothelial cells; Pi, phosphorus; VSMCs, vascular smooth muscle cells.

#### Table 1

The Determination of Aortic a Klotho mRNA Expression Has Been Inconsistently Reproduced in Different Studies

Aortic a.Klotho mRNA Expression = Yes	Aortic aKlotho mRNA Expression = No
Klotho mRNA and protein is present in aorta and vascular smooth muscle cells Resident α.Klotho knockdown induced vascular calcification through <i>Runx2</i> and myocardin-serum response factor-dependent pathway Calcitriol effectively restored mRNA α.Klotho expression in VSMCs (Lim et al., 2015, 2012)	No $\alpha$ Klotho mRNA expression was found in the aorta in in vivo experiments of aortic calcification in CKD (Lau et al., 2012)
α.Klotho mRNA was detected in mouse aorta but specific deletion of α.Klotho in mouse VSMCs did not induce vascular dysfunction and vascular calcification (Lindberg et al., 2013)	No membrane α.Klotho expression in either healthy or uremic human vessels was found (Mencke et al., 2015)
aKlotho protein was found to be increased in atherosclerotic arteries (Donate-Correa et al., 2013)	
Human umbilical vein endothelial cells (HUVECs) express aKlotho. The decline in aKlotho preceded the manifestations of cell aging induced by repeated passage and those of senescence by TNF-a. The exogenous aKlotho administration prevented TNF-a-mediated senescence (Carracedo et al., 2012)	

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# Table 2

Clinical Studies Demonstrating the Implication of Kidney and Circulating a Klotho in Different Strata of Chronic Kidney Disease and Complications of Chronic Kidney Disease

Study Koh et al. (2001)	<b>Design</b> Cross sectional	Sample 10 CKD and 15 controls	<b>Exclusion</b> None	Measurement Kidney tissue <i>kl</i> mRNA expression	Observations a Klotho expression was greatly reduced in CKD vs controls	<b>Comments</b> Controls included healthy parts of resected kidneys with renal cell carcinoma or an or morinoma
Asai et al. (2012)	Cross sectional	31 pts with DN, 31 IgAN, and 7 MCD	None	Kidney tissue kl mRNA expression	Kidney $kl$ mRNA expression levels were positively and significantly correlated with eGFR ( $r = 0.35$ , $p =$ 0.003)	Different slopes depending on CKD cause were observed, particularly in patients with eGFR >60
Pavik et al. (2013)	Cross sectional	87 CKD pts (stages 1– 5) and 21 controls	PKD, kidney transplantation	Serum a Klotho	Adjusted mean aKlotho decrease was 3.2 pg/mL for each 1 mL/min eGFR decrease	Age and eGFR were independently associated with α Klotho
Wan et al. (2013)	Cohort	154 children with CKD (1–5, 28 on dialysis, 44 posttransplant)	None	<i>Predictor</i> : Plasma a.Klotho <i>Outcome</i> : e.GFR Follow-up: 1 year	α.Klotho levels decreased with decreasing eGFR in CKD children without kidney transplant	No independent association between plasma a Klotho and eGFR. Decreased a Klotho was associated with increased FGF23 and PTH levels in CKD children without kidney transplant
Kitagawa et al. (2013)	Cross sectional	114 CKD pts	Patients with established atherosclerotic complications (CAD, CHF, PVOD) or those treated with vitamin D or phosphate binders	Serum a Klotho	$\alpha$ Klotho was a significant determinant of arterial stiffness (adjusted OR per 100 pg/mL increase 0.60, 95% CI 0.39–0.98, $p = 0.008$ )	Positive correlation between serum a Klotho and eGFR. Arterial stiffness was determined by ankle– brachial pulse wave velocity
Kim et al. (2013) <sup><i>a</i></sup>	Cohort	243 pts with CKD (stages 1–5), post hoc analysis	RRT, organ transplantation, heart failure, cirrhosis, malignancy, pregnancy, acute coronary syndrome, or ischemic stroke within 3 months prior to the study, progressive CKD within 3 months prior to the study	<i>Predictor.</i> Serum a.Klotho <i>Oucome</i> : composite of doubling SCr, ESRD, or death Follow-up: median 29.7 months	a Klotho level independently predicted the composite outcome after multivariate adjustment (adjusted HR per 10 pg/mL increase 0.96, 95% CI 0.94–0.98, P<0.001). If serum a Klotho was P<0.001). If serum a Klotho was P<0.001, If serum a klotho was P<0.001, If serum a klotho was P<0.001, $P=0.03$ , P=0.03)	α Klotho levels were lower at more advanced CKD stages ( <i>p</i> for trend <0.001) and correlated positively with EGFR and negatively with FGF23 and phosphate level. In multivariate linear regression analysis, α Klotho was independently associated with eGFR ( <i>p</i> <0.001)
Akimoto et al. (2012)	Cross sectional	131 CKD pts (stages 1– 5)	RRT	Serum and urine αKlotho	Positive correlation between serum and urine α.Klotho and eGFR	24-h urine αKlotho was independently associated with eGFR
Sakan et al. (2014)	Cross sectional	236 CKD pts (stages 1– 5), 43 on dialysis	None	Kidney tissue <i>kl</i> mRNA expression and serum α.Klotho	Kidney kI mRNA levels were significantly and positively correlated with eGFR (p<0.001) in multiple regression analysis including CKD-MBD parameters	Kidney kI mRNA was the only independent contributing factor to serum a Klotho across all strata of CKD patients (p<0.001)
Seiler et al. (2014)	Cohort	444 CKD pts (stages 2- 4)	None	<i>Predictor</i> : plasma αKlotho <i>Outcome</i> : (1) composite of	Plasma a.Klotho levels (highest vs lowest tertile) did not predict atherosclerotic events/death (HR	Events were adjudicated by two independent nephrologists

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Study	Design	Sample	Exclusion	Measurement	Observations	Comments
				atherosclerotic event or death or (2) time until ADHF admission or death Follow-up: median of 2.6 years	0.75, 95% CI 0.43–1.30, $p = 0.30$ ) or ADHF/death	
Ozeki et al. (2014)	Cross sectional	185 CKD pts (stages 1– 5)	RRT	Serum aKlotho	eGFR was an independent predictor of serum aKlotho levels in multivariate linear regression analysis, specifically when eGFR was <60	Serum atklotho was not significantly correlated with serum calcium or phosphate
Cano et al. (2014)	Cohort	31 children on chronic PD and 45 healthy controls	None	<i>Predictor.</i> CKD-MBD parameters <i>Outcome:</i> serum αKlotho Follow-up: 1 year	Baseline $\alpha$ Klotho levels were lower than controls (132±58 vs 320±119 pg/mL, $p$ <0.001) and remained virtually unchanged throughout the observation period	α Klotho levels did not correlated with FGF23 and phosphorus levels
Park et al. (2015)	Cross sectional	24 HTN pts (12 with RVH and 12 EH) and 12 HV controls	eGFR<30, uncontrolled BP, diabetes, recent CV event (within 6 months), pregnancy, kidney transplant	Serum aKlotho	Serum a Klotho was significantly reduced in HTN pts vs HV controls after adjustment by eGFR	eGFR correlated directly with serum αKlotho levels
Sawires et al. (2015)	Cross sectional	40 CKD pts (stages 2– 5), 44 pts with ESRD on HD, 40 kidney transplant recipients, and 40 healthy controls	Marked hypocalcemia, dysfunctional HD access, combined organ transplantation, surgical parathyroidectomy, sarcoidosis	Serum aKlotho	Using multivariate regression analysis, only serum calcium was an independent predictor of serum α Klotho in all groups with kidney disease	There was an inverse significant correlation between serum calcium and αKlotho
Shroff et al. (2016)	Cohort	ESCAPE cohort post hoc analysis, 167 children with CKD on ACEI	None	<i>Predictor</i> : ACEI therapy <i>Outcome</i> : serum a.Klotho Follow-up: 8 months	ACEI therapy significantly increased serum a Klotho levels without any associated changes in serum calcium or phosphate	α. Klotho levels did not correlate with eGFR at baseline ( $r = 0.02$ , $p = 0.82$ ) or at 8-month follow-up ( $r = 0.06$ , $p = 0.45$ )
<sup>a</sup> Highlighted as :	an important observ	/ational study.				

#### Table 3

#### Potential Applications of $\alpha$ Klotho in Chronic Kidney Disease

Biomarker		Therapeutic A	Agent	
Diagnostic	Early detection of CKD	Prevention	•	CKD progression
			•	CV comorbidity and complications
Prognostic	Prediction of progression to ESRD	Treatment	•	Anti-Pi toxicity
			•	Attenuation of CV

CKD, chronic kidney disease; CV, cardiovascular event; ESRD, end-stage renal disease.