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Chronic Antibody-Mediated Rejection in Nonhuman Primate Renal Allografts: Validation of Human Histological and Molecular Phenotypes

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Abstract

Molecular testing represents a promising adjunct for the diagnosis of antibody-mediated rejection (AMR). Here we apply a novel gene expression platform in sequential formalin-fixed paraffin-embedded (FFPE) samples from nonhuman primate (NHP) renal transplants. We analyzed 34 previously-described gene transcripts related to AMR in humans in 197 archival NHP samples, including 102 from recipients that developed chronic AMR, 80 from recipients without AMR, and 15 normal native nephrectomies. Three endothelial genes (*VWF*, *DARC*, *CAVI*), derived from 10-fold cross-validation ROC curve analysis, demonstrated excellent discrimination between AMR and non-AMR samples (AUC=0.92). This 3-gene set correlated with classic features of AMR, including glomerulitis, capillaritis, glomerulopathy, C4d, and DSA ($r=0.39-0.63$, $p<0.001$). Principal component analysis confirmed the association between 3-gene set expression and AMR and highlighted the ambiguity of v-lesions and ptc-lesions between AMR and T-cell mediated rejection (TCMR). Elevated 3-gene set expression corresponded with the development of immunopathologic evidence of rejection and often preceded it. Many recipients demonstrated mixed AMR and TCMR suggesting that this represents the natural pattern of rejection. These data provide NHP animal model validation of recent updates to the Banff classification including the assessment of molecular markers for diagnosing AMR.

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DISCLOSURE

The authors of this manuscript have conflicts of interest to disclose as described by the *American Journal of Transplantation*. The other authors have no conflicts of interest to disclose.

SUPPORTING INFORMATION

Additional Supporting Information may be found in the online version of this article.

INTRODUCTION

RNA transcript analysis has the potential for precise, objective, and mechanism-based evaluation of allograft biopsies that can supplant routine pathology (1). Over the last decade, numerous microarray studies have begun to define the molecular phenotypes of allograft rejection (2–8). Despite several gene sets being described and validated, none have successfully been translated into routine clinical practice. A major barrier to clinical implementation has been the requirement for dedicated fresh tissue samples, which must be specially processed and procured in addition to the standard-of-care formalin-fixed paraffin-embedded (FFPE) biopsies used for histology. The consequences of this limitation are increased cost, complexity, and patient risk, as well as reduced tissue for routine histology (9).

NanoString® nCounter® (NanoString Technologies, Seattle, WA) is a novel gene expression platform that works reliably with FFPE tissue. It is able to analyze up to 800 customizable transcript targets per sample and is similar in sensitivity to real-time PCR and more sensitive than microarrays (10, 11). The utilization of FFPE material allows for molecular-histological correlation on the same tissue. Cellular populations submitted for molecular testing and potential histological features of significance can therefore be better integrated than with techniques requiring separately processed tissue. Furthermore, this technology represents the first opportunity to perform reliable retrospective gene expression testing on well-annotated archival FFPE tissue, facilitating immediate correlation with long-term clinical follow-up (1).

We have previously demonstrated the feasibility of the NanoString® system with routine FFPE tissue and have used it to assess a 34-gene set as a potential molecular diagnostic tool for antibody-mediated rejection (AMR) in human renal and cardiac allograft biopsies (12, 13). Here we apply this AMR 34-gene set to nonhuman primate (NHP) renal transplants developing chronic AMR off immunosuppression with analogous features to human renal allografts, including donor specific antibodies (DSA), C4d deposition, transplant glomerulopathy, and arteriopathy (14–19). Previous studies of protocol biopsies from these recipients have identified four stages of chronic AMR (16, 17), which have subsequently been confirmed and refined in humans (20). This study aims to use the NanoString® system to validate AMR-related transcripts from humans in a well-studied animal model representing the natural course of rejection and determine if molecular diagnostics can enhance our understanding of the pathogenesis and evolution of AMR.

MATERIALS AND METHODS

Subjects

The recipients were NHPs, *Macaca fascicularis*, given allogeneic bone marrow transplants (BMT) and kidney allografts from the same donor in conjunction with transient immunosuppression. Detailed treatment protocols and outcomes have previously been published (16, 17). In brief, the standard protocol included low-dose total body irradiation on days -6 and -5, thymic irradiation on day -1, pre-transplant anti-thymocyte globulin and BMT on day 0, followed by a one-month course of calcineurin inhibitor. Recipients after

2002 were also treated with co-stimulatory blockade with anti-CD154 monoclonal antibody or belatacept. In the delayed protocol, recipients initially underwent kidney transplantation alone with conventional immunosuppression, including tacrolimus, mycophenolate mofetil, and methylprednisolone, plus anti-CD8 monoclonal antibody or anti-thymocyte globulin. All immunosuppression was permanently discontinued one month after BMT in both protocols. The recipients were not treated for AMR or T-cell mediated rejection (TCMR). All surgical procedures and postoperative care were performed in accordance with National Institutes of Health guidelines for the care and use of primates and were approved by the Massachusetts General Hospital Subcommittee on Animal Research.

Samples

A total of 197 FFPE kidney samples from 81 recipients obtained between 1993 and 2013 were retrieved from the transplant pathology research tissue bank at Massachusetts General Hospital. These included 102 sequential allograft samples, consisting of protocol biopsies (n=78) and post-mortem samples obtained by euthanasia (n=24), from 29 recipients in which the treatment protocol failed and chronic active AMR developed. The only selection criteria were that 1) there were two or more samples per recipient and 2) there was enough tissue for histology and gene expression analysis. Comparison samples included 15 normal native nephrectomies from 15 recipients and a representative collection of 80 allograft biopsy (n=53) and euthanasia (n=27) samples from 37 recipients with pathological diagnoses of TCMR (n=33), borderline changes/suspicious for TCMR (n=13), suspicious for AMR (n=7), no pathological evidence of rejection (n=19), polyomavirus nephropathy (n=3), pyelonephritis (n=2), post-transplant lymphoproliferative disorder (n=2), and obstruction (n=1). None of the comparison samples came from recipients that developed AMR.

Clinical Data and Pathology Review

Treatment protocols, serum creatinine, and DSA results were retrieved. Histology slides (H&E, PAS, and C4d immunohistochemistry) were reviewed by at least one transplant pathologist (RNS, IAR) and diagnoses were assigned according to the 2015 Banff classification (21). The histological techniques have previously been described (16, 17).

RNA Isolation

A previously reported NanoString® workflow was utilized (12). In brief, three consecutive 20-µm sections were obtained from each FFPE block and sent to the University of Alberta. Xylene deparaffinization and RNA extraction were performed with the Ambion™ RecoverAll™ Total Nucleic Acid Isolation Kit for FFPE (Thermo Fisher Scientific, Waltham, MA). RNA concentration and purity were measured with a NanoDrop™ 2000 spectrophotometer (Thermo Fisher Scientific, Waltham, MA).

NanoString® Gene Expression Analysis

Oligonucleotide probes specific to *Macaca fascicularis* were manufactured for the mRNA sequences of 38 genes (Integrated DNA Technologies, Coralville, IA). These included a previously-described AMR 34-gene-set comprised of 18 endothelial, 6 NK cell, and 10 inflammation-related genes, as well as 4 housekeeping genes (12). Probe sequences are

provided in Table S1. Gene expression was then quantified with the NanoString® nCounter® Gene Expression assay (NanoString Technologies, Seattle, WA) as per manufacturer instructions. To assess reproducibility, eight samples were randomly selected for duplicate analysis in separate runs. Quality control assessment and normalization of raw NanoString® gene expression results were performed with nSolver™ Analysis Software Version 3.0 (NanoString Technologies, Seattle, WA) using the manufacturer-recommended default parameters.

Retrospective Analysis of Human Microarray Data Set

A publicly-available human cDNA microarray data set (GSE36059) was retrieved from the NCBI Gene Expression Omnibus (www.ncbi.nlm.nih.gov/geo) to compare gene set performance in human samples. The data set included gene expression results (Affymetrix® Human Genome U133 Plus 2.0 Array) for 403 renal allograft indication biopsies with diagnostic labels of AMR (n=65), TCMR (n=35), mixed rejection (n=22), and non-rejection (n=281), as per Banff 2009 criteria (22). The raw data files were imported and normalized with BRB-ArrayTools Version 4.5.0 (23). Mean values were used for genes with repeat data points.

Data Analysis

Post-normalization statistical analysis and visualization were performed with R version 3.3.2 (R Foundation for Statistical Computing, Vienna, Austria). Normalized transcript counts (NanoString® data) and log intensity values (microarray data) were converted to z-scores (number of standard deviations away from the population mean for each gene) for individual gene analysis. Mean z-scores were used for aggregate gene set analysis. Gene expression and correlation heat map analyses (heatmap.2 function in gplots package) were performed with unsupervised hierarchical clustering by Euclidean distance. Spearman's rank correlation coefficients (cor function in stats package) and unsupervised principal component analysis (PCA; prcomp function in stats package) were used to characterize inter-variable relationships. Mann-Whitney U-tests (wilcox.test function in stats package) were utilized for class comparison analyses. Receiver operating characteristic (ROC) curve analysis (roc function in pROC package) was used for assessment of diagnostic performance. Youden's J-statistic (point on ROC curve farthest from diagonal index line) was utilized for defining diagnostic thresholds (24). Individual gene ranking, gene set construction, and gene set ranking were achieved with repeated 10-fold cross-validation analysis (train function in caret package) using three repeats and Naive Bayes model. P-values less than 0.05 were considered statistically significant.

RESULTS

RNA and Quality Control

The mean RNA yield obtained from three 20- μ m sections per FFPE block was 6138 ng (range: 212–66508 ng) with a mean concentration of 153.5 ng/ μ L (5.3–1662.7 ng/ μ L) and a mean A_{260}/A_{280} RNA purity ratio of 1.85 (1.54–2.20). No quality control or normalization flags were encountered during nSolver™ analysis for any of the 197 NHP samples included.

The eight samples analyzed in duplicate demonstrated excellent reproducibility with a mean correlation coefficient of 0.990 (range: 0.953–0.999).

Individual Gene Expression vs. Diagnosis

Following histological evaluation, the samples were assigned one of the following diagnostic labels according to Banff 2015 criteria: AMR (n=38), suspicious for AMR (n=15), mixed rejection (n=27), TCMR (n=41), borderline (n=21), no rejection (n=32), other (n=8), and normal native nephrectomy (n=15). Heat map analysis revealed general grouping of diagnostic categories based on individual gene expression patterns (Figure 1). Sixty-seven (74%) of the 91 samples diagnosed as normal, no rejection, other, borderline, or suspicious for AMR clustered within the larger ‘No Rejection’ group indicated in Figure 1. Thirty-five (54%) of the 65 samples diagnosed as pure AMR or mixed rejection clustered within the ‘AMR’ group. Five (33%) of the 15 samples diagnosed as suspicious for AMR also clustered within the ‘AMR’ group. Sixteen (39%) of the 41 samples diagnosed as TCMR clustered within the ‘TCMR’ group. Endothelium-associated transcripts generally exhibited higher expression in the ‘AMR’ group. Inflammation-related transcripts showed a tendency for higher expression in the ‘TCMR’ group as well as the ‘No Rejection’ samples with inflammatory diseases.

Individual Gene Expression vs. Immunopathology

Figure 2 represents a correlation heat map demonstrating the correlation coefficients between gene expression and Banff histology scores/DSA status. Endothelium-associated transcripts exhibited strong correlation with DSA and traditional AMR lesions, including C4d deposition, transplant glomerulopathy (cg), glomerulitis (g), and peritubular capillaritis (ptc). Mesangial matrix expansion (mm) also correlated with endothelial gene expression. Histological lesions of chronic allograft injury, including arterial fibrous intimal thickening (cv), interstitial fibrosis (ci), and tubular atrophy (ct), clustered together and also demonstrated greater correlation with endothelial transcripts. Traditional TCMR-related lesions, including intimal arteritis (v), tubulitis (t), interstitial inflammation (i), and total inflammation (ti) exhibited the strongest correlation with inflammatory, NK cell, and interferon gamma-induced transcripts.

Diagnostic Performance of Gene Expression Analysis

The ability of gene expression testing to discriminate AMR from non-AMR cases, as per Banff 2015 criteria, was assessed with repeated 10-fold cross-validation ROC curve analysis. Table S2 lists the mean area under the curve (AUC), mean accuracy, and mean Cohen’s kappa statistic between resampling repeats for each individual gene. Ranking the genes by mean AUC value, *VWF* was the strongest individual performer (AUC=0.917) while *CX3CR1* was the weakest (AUC=0.435). There was general correlation between mean AUC, accuracy, and kappa, particularly for the top performing genes, with *VWF* also demonstrating the highest mean accuracy (0.881) and kappa (0.728) values. Detailed diagnostic performance parameters calculated from the study set as a single cohort are also provided in Table S2 and show generally good agreement with the cross-validation results, particularly for the top performing genes.

Based on the cross-validation AUC rank order, the genes were combined into successively larger gene sets as follows: 1-gene set includes *VWF*, 2-gene set includes *VWF* and *DARC*, 3-gene set includes *VWF*, *DARC*, and *CAVI*, ..., 34-gene set includes all genes. Cross-validation and study set ROC curve analyses were then repeated for each of the 34 gene sets (Table S3). The 3-gene set demonstrated the highest AUC on both analyses (cross-validation AUC = 0.920, study set AUC = 0.916) and was thus used for subsequent analysis. Figure 3A shows the study set ROC curve for the 3-gene set, with the following diagnostic performance parameters: AUC = 0.916, accuracy = 0.838, sensitivity = 0.862, specificity = 0.826, positive predictive value = 0.709, and negative predictive value = 0.924. The 34-gene set demonstrated the weakest diagnostic performance with cross-validation and study set AUCs of 0.679 (Figure 3B). The diagnostic cut-off for the 3-gene set, as defined by Youden's J-statistic, was a mean z-score of -0.092. The range of 3-gene set values in this NHP cohort was -0.948 to 3.831 (mean = 0.000, median = -0.278), with the diagnostic threshold of -0.092 representing the 60th percentile.

A publicly-available human renal allograft microarray data set (n=403) was retrospectively analyzed to compare gene set performance in human versus NHP samples. The NHP-refined 3-gene set demonstrated inferior diagnostic performance in human samples with an AUC of 0.775 (Figure 3C). In contrast, the complete 34-gene set exhibited superior performance in human samples with an AUC of 0.815 (Figure 3D).

AMR 3-Gene Set vs. Diagnosis

Figure 4 demonstrates AMR 3-gene set (*VWF*, *DARC*, *CAVI*) expression versus Banff 2015 diagnoses for all 197 NHP samples. The normal native nephrectomy group showed significantly lower gene set expression than all of the other groups (p 0.001). The AMR and mixed rejection groups exhibited significantly higher gene set expression than all of the other groups (p 0.024). The suspicious for AMR group demonstrated significantly higher expression than the remainder of the non-AMR groups (p 0.004) with the exception of 'other' (p=0.076). The TCMR group showed significantly higher expression than the borderline group (p=0.016) but not the 'other' or no rejection groups. There was no significant difference in gene set expression between the no rejection, borderline, and 'other' groups.

Thirty-four (89%) of the 38 pure AMR samples and 22 (81%) of the 27 mixed rejection samples demonstrated AMR 3-gene set expression above the ROC-derived diagnostic cut-off (dashed line in Figure 4). Only 23/132 (17%) non-AMR samples (eight suspicious for AMR, six no rejection, five TCMR, two borderline, one polyomavirus nephropathy, and one pyelonephritis) exhibited gene set expression above the diagnostic threshold. Twelve (52%) of these 23 samples (five suspicious for AMR, three no rejection, two TCMR, and two borderline), associated with a total of eight recipients, had subsequent biopsies diagnostic for AMR an average of 356 days later (range: 23–749 days). According to Banff 2015 criteria, two of these cases (i.e. those showing isolated g1/ptc1 lesions with DSA but no C4d) would be reclassified as AMR with the inclusion of the 3-gene set in the diagnostic algorithm.

AMR 3-gene set expression was significantly higher in C4d-positive versus C4d-negative cases ($p<0.001$) as well as DSA-positive versus DSA-negative cases ($p<0.001$) (Figure 5). Gene set expression showed significant correlation with serum creatinine at the time of sample procurement ($r=0.152$, $p=0.036$). There was no significant difference in gene set expression between biopsy and euthanasia samples and no significant correlation with the number of days post-transplantation. There was also no significant difference in AMR incidence or gene set expression between standard and delayed protocol samples.

AMR 3-Gene Set vs. Immunopathology

AMR 3-gene set expression exhibited significant correlation with AMR-related immunopathologic features, including C4d deposition ($r=0.634$, $p<0.001$), cg ($r=0.620$, $p<0.001$), DSA positivity ($r=0.593$, $p<0.001$), g ($r=0.560$, $p<0.001$), and ptc ($r=0.392$, $p<0.001$) (Table 1). Correlation with ptc was seen in both mononuclear ($r=0.649$, $p<0.001$) and polymorphonuclear ($r=0.340$, $p<0.001$) subtypes. Gene set expression also correlated with chronic injury lesions, including mm ($r=0.487$, $p<0.001$), ci ($r=0.388$, $p<0.001$), ct ($r=0.336$, $p<0.001$), and cv ($r=0.199$, $p=0.006$). It did not correlate with traditional TCMR lesions including i, ti, t, and v.

PCA was used to further characterize the relationship between Banff 2015 diagnoses and gene set expression, DSA, and histology. Figure 6 demonstrates distinct separation of the diagnostic categories with principal component (PC) 1 and PC2, together accounting for 61.3% of the explained variance. PC1 primarily shows an AMR continuum from 'no rejection' to 'suspicious for AMR' to 'AMR/mixed rejection'. PC2 primarily demonstrates a TCMR continuum from 'no rejection/AMR' to 'borderline' to 'TCMR'. Overlap between mixed rejection and pure AMR is partially discriminated with both PC1 and PC2. Mixed rejection partially overlaps with pure TCMR on PC2 but not PC1. The AMR 3-gene set vector is most closely oriented in the direction of the pure AMR group, indicating that it has the strongest association with this diagnostic category. A tight cluster of traditional AMR-related variables, including cg, C4d, DSA, g, and mm (in order of counterclockwise orientation), are also associated with the pure AMR group. A cluster of traditional TCMR-related lesions, including t, i, and ti, are most closely associated with the pure TCMR group. In between these two sets of more specific AMR and TCMR-related variables lies a group of more ambiguous histologic lesions, including those of chronicity (ci, ct, and cv) as well as ptc (closer to AMR) and v (closer to TCMR).

AMR 3-Gene Set in Sequential Samples

The temporal pattern of AMR 3-gene set expression for the 20 recipients that had at least four sequential samples is demonstrated in Figure S1. These included 13 recipients that developed AMR, five recipients that developed TCMR only, and two recipients that did not exhibit diagnostic evidence of rejection. All of the recipients with AMR demonstrated at least one episode of increased gene set expression above the ROC-derived diagnostic threshold (indicated by the dashed line). Some of these recipients had persistently rising expression levels over time (AMR #1) while others demonstrated a transient rise followed by a decline (AMR #6). Some recipients showed relatively stable expression (AMR #13), while others were more erratic (AMR #5). Elevated gene set expression generally corresponded

with the development of immunopathologic evidence of AMR but it also occasionally preceded it (AMR #12). The single ABO-incompatible recipient included in the study (AMR #8) showed rapid development of AMR within the first two months of transplantation. Nine (69%) of the 13 AMR recipients demonstrated mixed rejection (AMR #2). Some of the euthanasia samples exhibited a sharp decline in expression (AMR #2) while others did not (AMR #7). The no rejection and TCMR only recipients generally showed consistently low levels of expression, although one from each group demonstrated transient elevation (No Rejection #2 and TCMR #2).

DISCUSSION

There is an urgent need for improved methods of detecting and grading clinical and subclinical AMR (25). Growing recognition of the potential diagnostic utility of gene expression testing is evidenced by its inclusion in the 2013 Banff classification (26) and its prioritization as a major focus of discussion at the 2015 Banff meeting (21). However, obstacles to the successful translation of molecular testing into routine clinical practice include: 1) lack of a true diagnostic ‘gold standard’ for validating potential molecular diagnostics, 2) heterogeneity of existing data associated with different Banff classification iterations, 3) absence of robust validation studies, and 4) lack of consensus on platforms to be used, transcripts to be assessed, and criteria for positivity (21).

We aimed to address these challenges by analyzing the expression of AMR-related transcripts in an animal model free from the confounding variables of inconsistent immunosuppression, rejection treatment, and patient compliance. The recipients in this study were also otherwise healthy and thus not complicated by the heterogeneity of medical comorbidities affecting human patients. These factors allowed for the molecular characterization of a relatively pure model for allograft rejection. Our analysis validated the molecular phenotype of AMR previously identified in humans by confirming the correlation between endothelium-associated transcripts (27) and immunopathologic criteria currently used in the Banff classification for diagnosing AMR (21). The inclusion of only AMR-related transcripts allowed for a focused evaluation of molecular testing in a specific diagnostic condition.

This study further demonstrated the technical robustness of the NanoString® platform through successful analysis of very old archival FFPE samples, some of which had been in storage for more than 20 years. This confirms the potential utility of this already clinically-approved platform for analyzing both retrospective and prospective samples in the validation of novel molecular diagnostics. Furthermore, the ability to utilize FFPE tissue with this platform will facilitate enhanced integration of molecular and histological features.

The inclusion of sequential protocol biopsies afforded the opportunity to analyze the natural course of gene expression over time. All of the NHP recipients that developed AMR exhibited at least transiently elevated endothelial gene expression. In 28% of these recipients, molecular evidence of microcirculation injury preceded histological features of AMR. This suggests that gene expression testing is more sensitive than histology for the detection of early AMR. The 11 non-AMR samples that exhibited transient AMR 3-gene set

expression elevation without subsequent evidence of AMR may represent examples of either self-resolving antibody-mediated injury not captured by Banff criteria or alternative causes of transient microcirculation injury.

The PCA results validate recent updates to the 2013 Banff classification, specifically the incorporation of gene expression testing as a potential diagnostic criterion for AMR (26). Using current Banff criteria, two samples in the suspicious for AMR group would be upgraded to a full diagnosis of AMR with incorporation of the AMR 3-gene set. PCA also highlighted the ambiguity of intimal arteritis (v-lesions), peritubular capillaritis (ptc-lesions), vasculopathy (cv-lesions), and IFTA (ci- and ct-lesions) between AMR and TCMR. This confirms recent observations in human patients (28, 29) and provides further evidence for the relative non-specificity of these lesions as well as the complex interplay between antibody and cell-mediated pathways of injury. However, a relatively closer relationship between chronic histologic lesions and AMR is consistent with antibody-mediated injury being associated with a greater degree of cumulative damage later in the post-transplant period in this NHP model. The phenomenon of mixed rejection, with AMR often following TCMR, was identified in more than half of the AMR NHP recipients, suggesting that this represents the true natural pattern of rejection. However, PCA demonstrated closer proximity of the mixed rejection cases to AMR. Borderline and TCMR, on the other hand, appear to represent a molecular-histological continuum as previously described in humans (30).

The AMR 3-gene set derived in this study demonstrated excellent discrimination of AMR and non-AMR pathologies (AUC=0.92). These three genes (*VWF*, *DARC*, *CAVI*) are all endothelium-related transcripts with long-standing associations with AMR in humans (31–33). Their biological functions include endothelial injury, repair, activation, and angiogenesis. Elevated endothelial-associated transcript expression has been reported in non-AMR conditions, including acute tubular injury, TCMR, and viral and bacterial infection, although, in the absence of DSA, this has not been associated with inferior allograft survival (27). The discrepancy in diagnostic performance between NHP and human samples, in which the complete 34-gene set performed better, may be related to differences in gene expression platforms, recipient treatment, comorbidities, and sample selection (i.e. retrospective protocol biopsies versus prospective indication biopsies). In particular, the conditioning protocols used in this NHP model, which included BMT, irradiation, and immunosuppression weaning, differ significantly from standard clinical practice and may have contributed to gene expression discrepancies. However, most biopsies were taken more than 6 months post-transplantation, suggesting that the rejection seen in these animals represents failure of the conditioning protocol and, with that, the natural course of rejection given that they did not receive any specific anti-rejection treatment. Due to the additional confounders in humans, a larger gene set might be more applicable in the clinical setting but should likely include the three top genes identified here, as they appear to have the strongest association with a pure AMR phenotype.

To successfully translate molecular transplant diagnostics into routine clinical practice, consensus must be generated on specific molecules to be assessed, methods and settings in which to assess them, and diagnostic thresholds to use. These elements can be validated in retrospective and prospective multicenter studies including standardized molecular

assessment in comparable clinical contexts. The analytic approach utilized in this study represents a potential model for such validation studies utilizing large archives of well-annotated human FFPE samples with long-term follow-up. This will enable wider application and eventual adoption of molecular transplant diagnostics as standard of care.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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ABBREVIATIONS

AMR	antibody-mediated rejection
AUC	area under the curve
BMT	bone marrow transplant
cg	transplant glomerulopathy
ci	interstitial fibrosis
ct	tubular atrophy
cv	arterial fibrous intimal thickening
DSA	donor specific antibody
FFPE	formalin-fixed paraffin-embedded
g	glomerulitis
i	interstitial inflammation
IFTA	interstitial fibrosis and tubular atrophy
mm	mesangial matrix increase
NHP	nonhuman primate
PC	principal component
PCA	principal component analysis
ptc	peritubular capillary margination
ROC	receiver operating characteristic
t	tubulitis

TCMR	T-cell mediated rejection
ti	total interstitial inflammation
v	intimal arteritis

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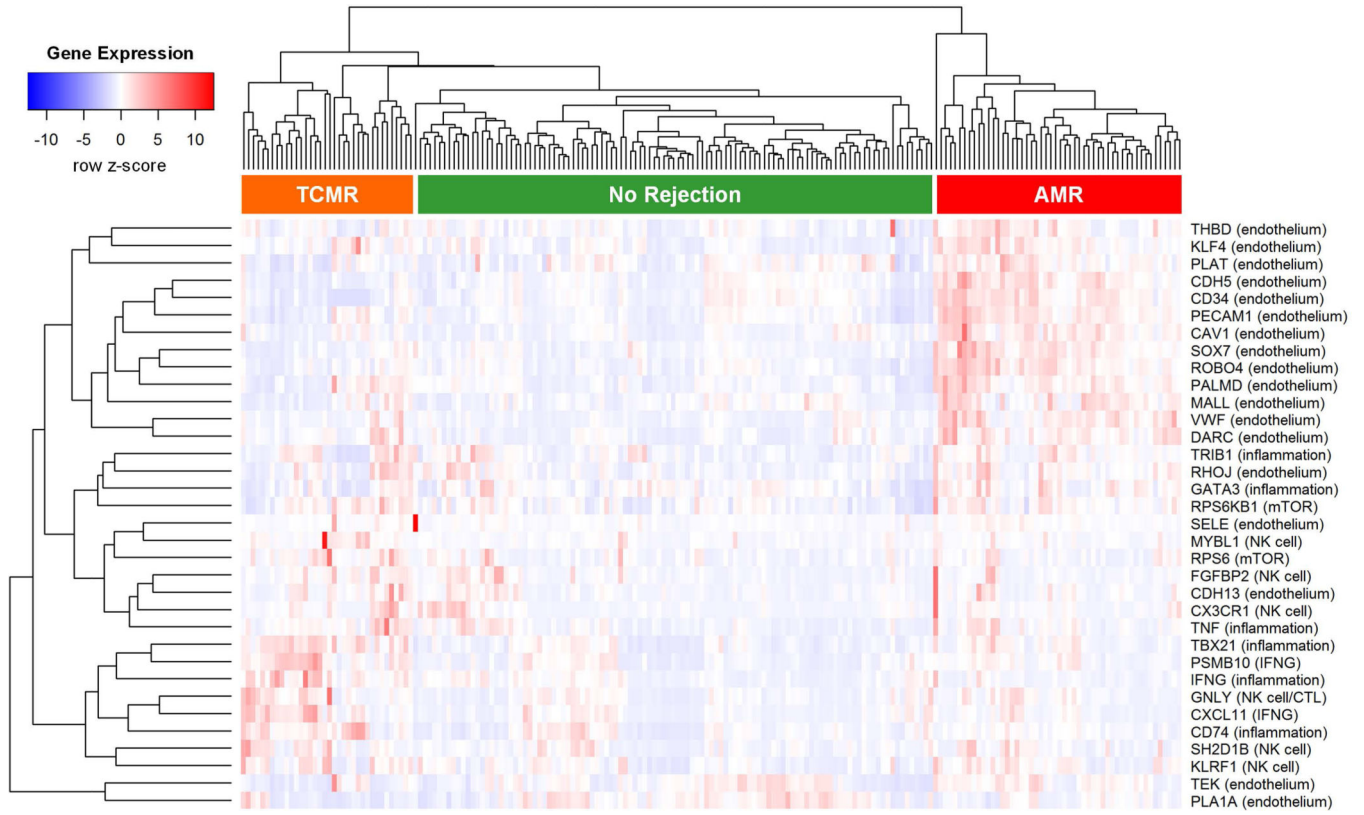


Figure 1. Gene expression heat map for 34 antibody-mediated rejection (AMR) related genes in 197 nonhuman primate samples

There is general clustering of gene expression patterns with diagnostic categories, i.e. higher expression of endothelial genes in AMR cases, higher expression of inflammation-related genes in T-cell mediated rejection (TCMR) cases, and lower expression of all genes in cases lacking immunopathologic evidence of rejection.

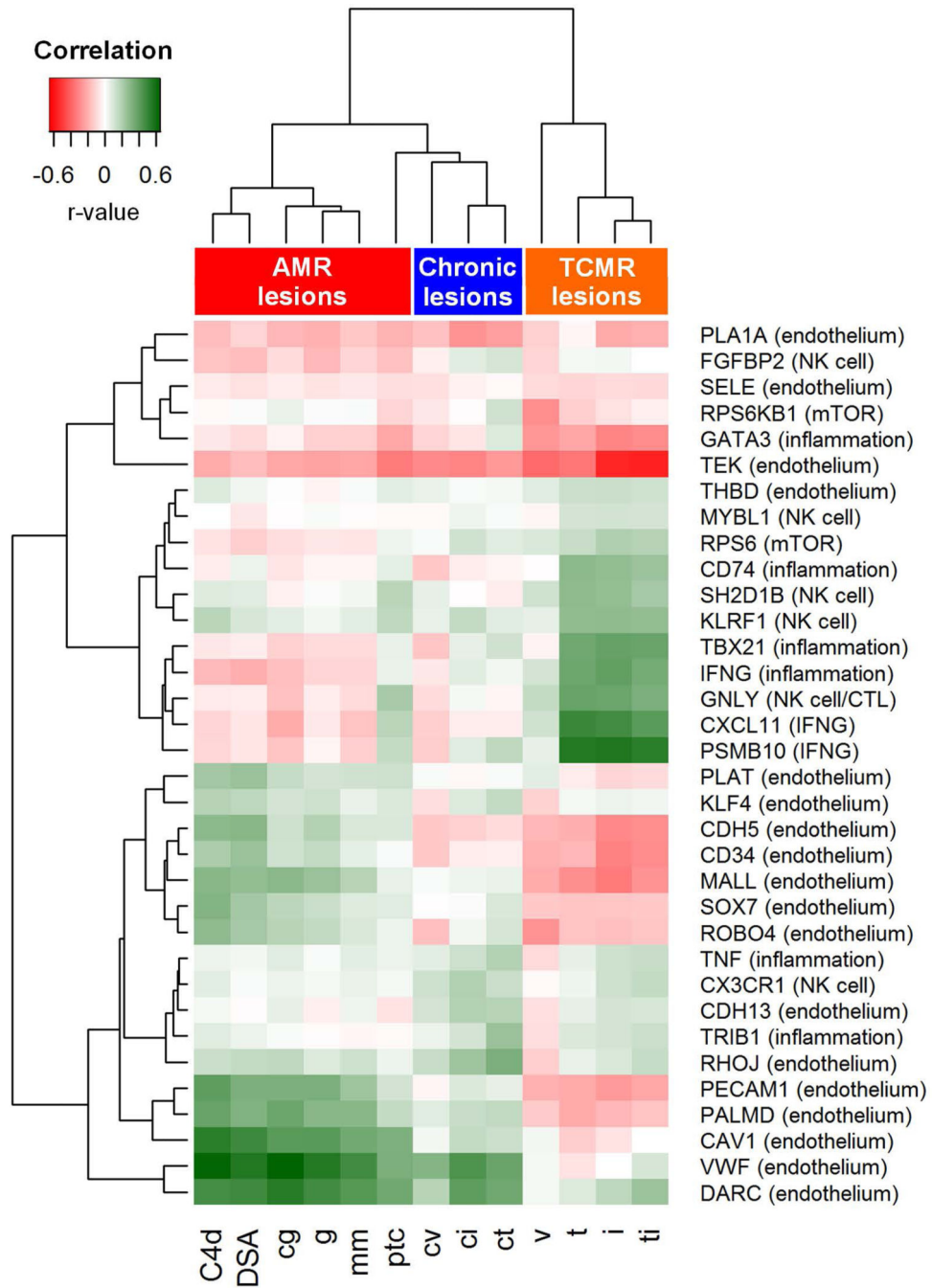


Figure 2. Correlation heat map demonstrating Spearman’s rank correlation coefficients comparing expression of 34 antibody-mediated rejection (AMR) related genes with Banff histology scores and DSA status

Endothelial genes demonstrate the strongest correlation with lesions associated with antibody-mediated rejection (C4d, DSA, cg, g, ptc). Inflammation-related genes generally correlate with T-cell mediated rejection lesions (t, i, ti). Histologic lesions associated with chronic injury (cv, ci, ct) also correlate with endothelial genes. Abbreviations: AMR, antibody-mediated rejection; cg, transplant glomerulopathy; ci, interstitial fibrosis; ct, tubular atrophy; cv, arterial fibrous intimal thickening; DSA, donor specific antibody; g,

glomerulitis; i, interstitial inflammation; mm, mesangial matrix increase; ptc, peritubular capillary margination; t, tubulitis; TCMR, T-cell mediated rejection; ti, total interstitial inflammation; v, intimal arteritis.

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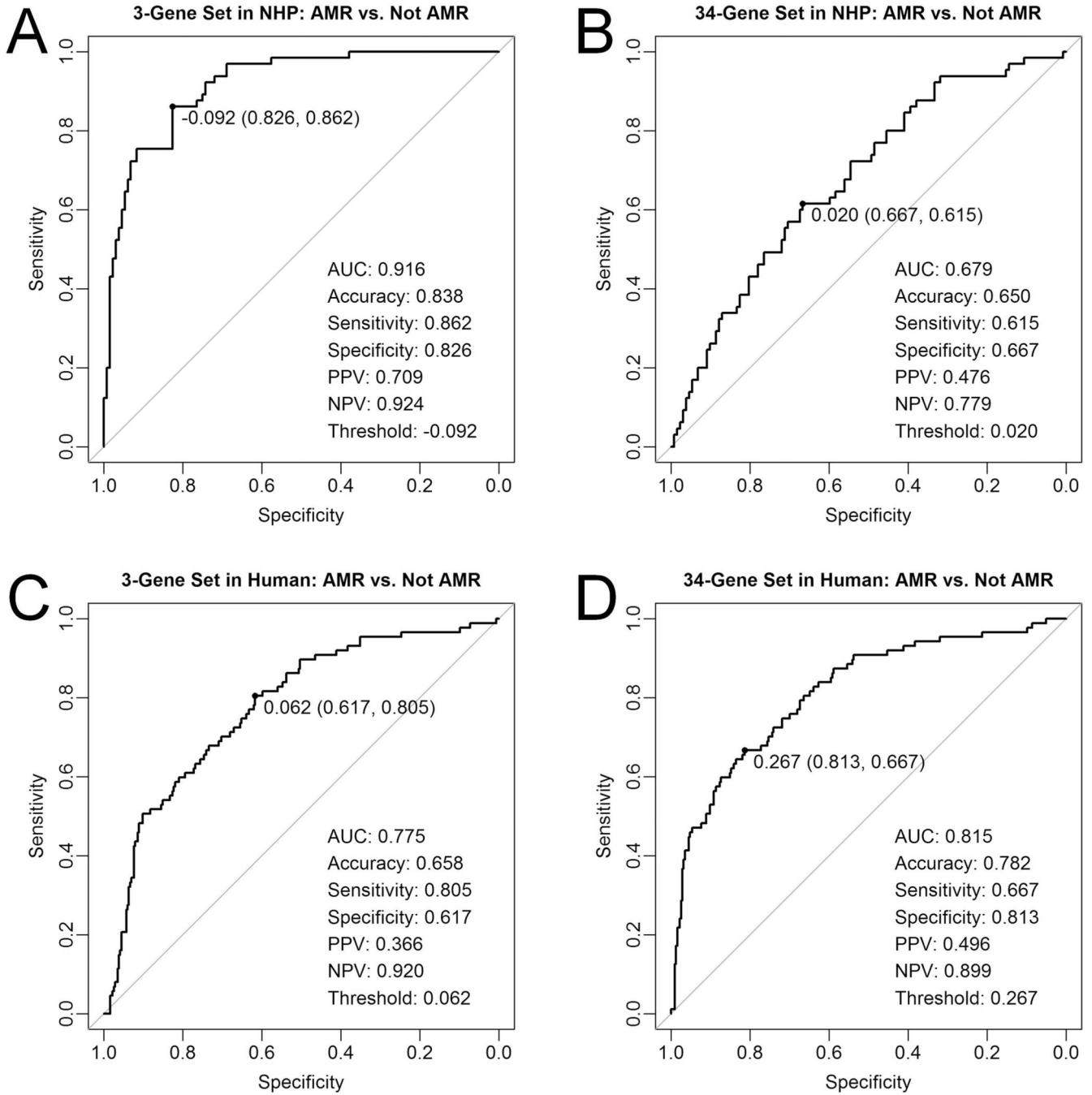


Figure 3. Receiver operating characteristic curves demonstrating diagnostic performance of gene expression testing in discriminating antibody-mediated rejection (AMR) cases from non-AMR cases, as defined by Banff 2015 criteria

(A) Refined 3-gene set and (B) full 34-gene set in 197 nonhuman primate samples (NHP) analyzed with NanoString®. (C) Refined 3-gene set and (D) full 34-gene set in 403 human samples analyzed with microarray. Abbreviations: AUC, area under the curve; NPV, negative predictive value; PPV, positive predictive value.

AMR 3-Gene Set Expression vs. Banff 2015 Diagnostic Groups

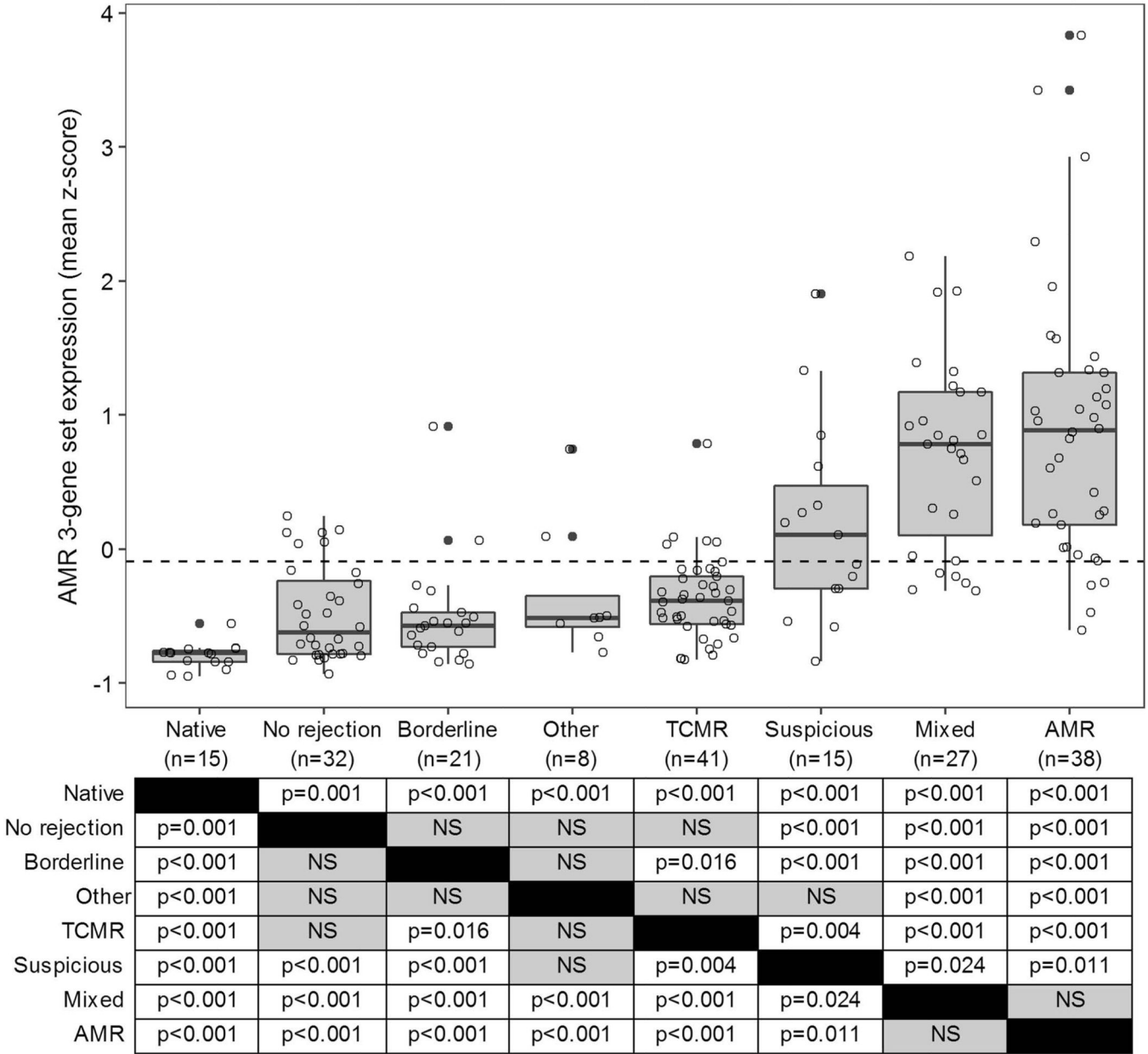


Figure 4. Gene expression box plot demonstrating expression of antibody-mediated rejection (AMR) 3-gene set in 197 nonhuman primate samples according to Banff 2015 diagnostic groups P-values corresponding to Mann-Whitney U-tests between diagnostic groups are provided in the table. The ROC curve-derived diagnostic threshold for AMR (-0.092) is indicated by the dashed line. Abbreviations: AMR, antibody-mediated rejection; Mixed, mixed AMR and TCMR; Native, normal native nephrectomy; Suspicious, suspicious for AMR; TCMR, T-cell mediated rejection.

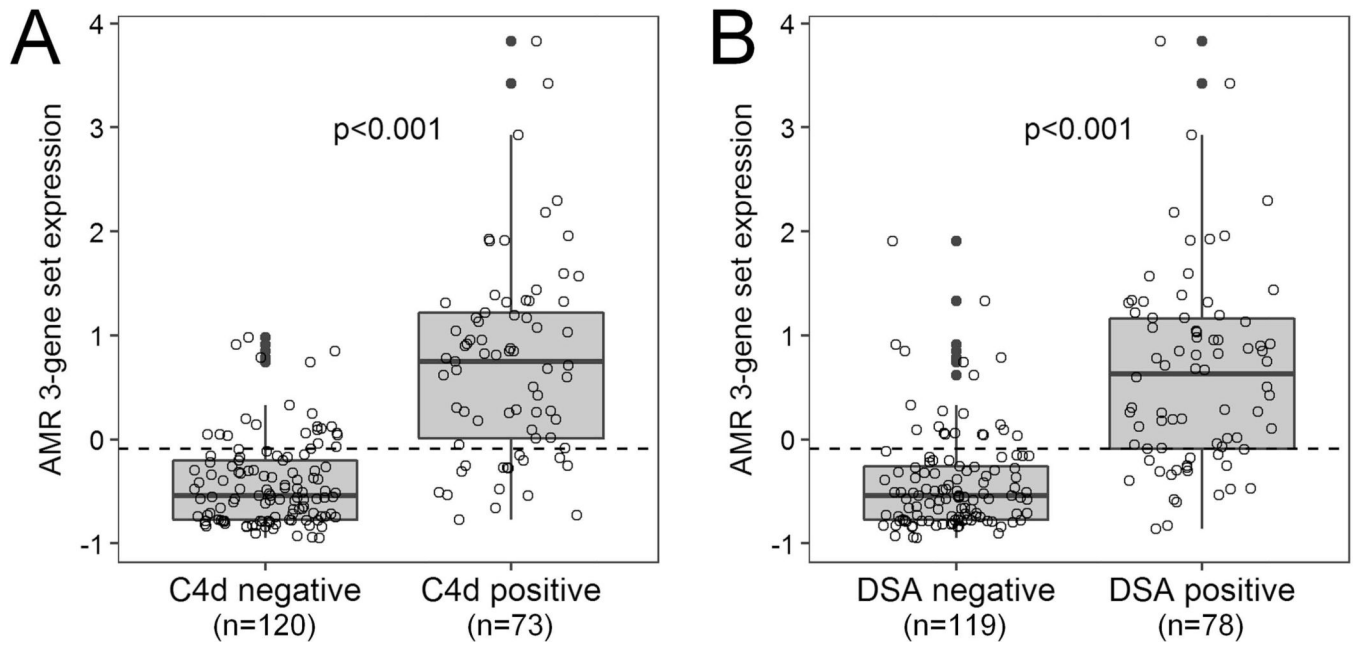


Figure 5. Gene expression box plots showing increased antibody-mediated rejection (AMR) 3-gene set expression in (A) C4d positive vs. negative cases and (B) donor specific antibody (DSA) positive vs. negative cases

The ROC curve-derived diagnostic threshold for AMR (-0.092) is indicated by the dashed line.

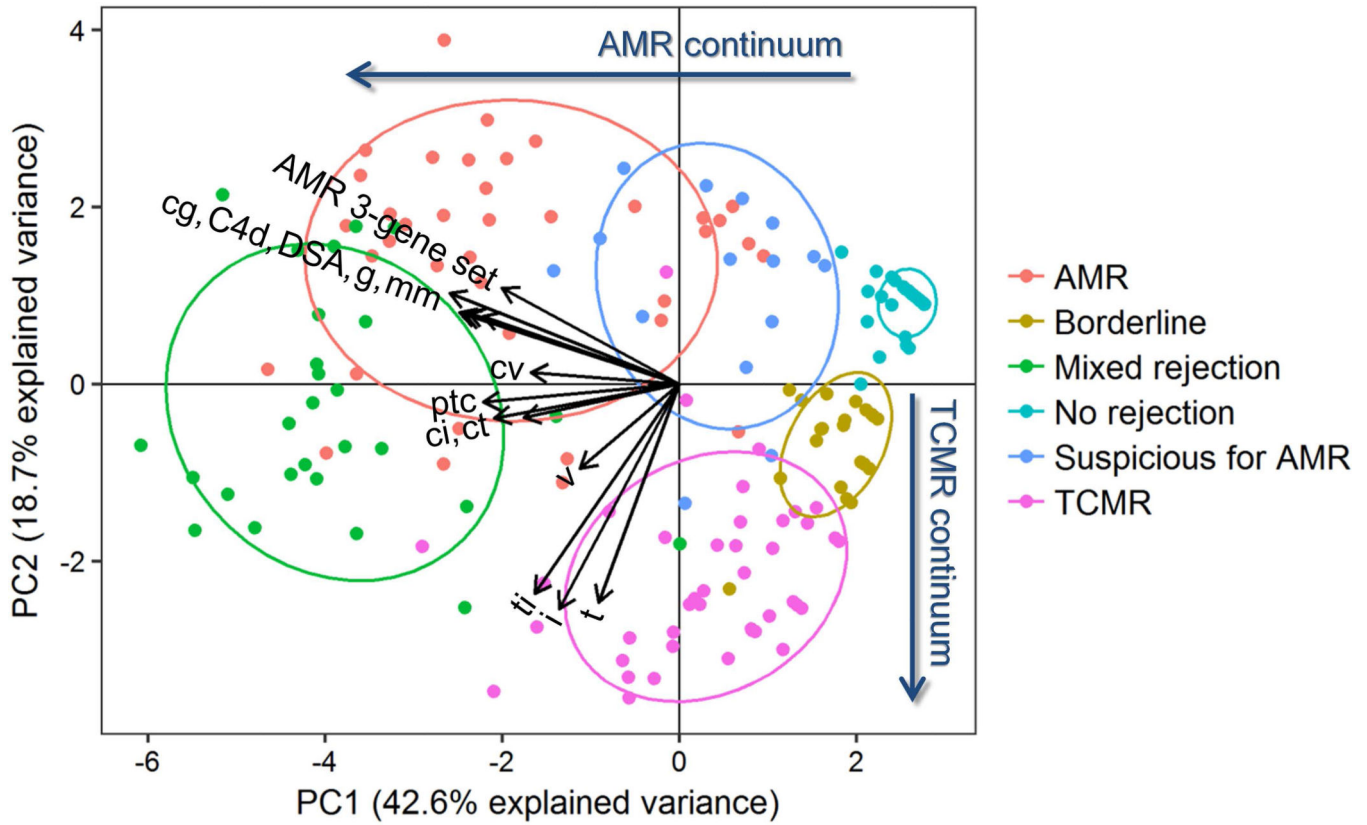


Figure 6. Principal component analysis demonstrating relationships between immunopathologic features, antibody-mediated rejection (AMR) 3-gene set expression, and Banff 2015 diagnostic categories

Principal components (PC) 1 and 2 primarily separate the diagnostic categories along AMR and T-cell mediated rejection (TCMR) continuums, respectively. There is a general association between AMR-associated features (AMR 3-gene set, cg, C4d, DSA, g, mm) and AMR cases as well as between TCMR-associated features (t, i, ti) and TCMR cases. Intermediate between these two clusters are lesions of chronicity (cv, ci, ct) as well as ptc and v-lesions. Abbreviations: cg, transplant glomerulopathy; ci, interstitial fibrosis; ct, tubular atrophy; cv, arterial fibrous intimal thickening; DSA, donor specific antibody; g, glomerulitis; i, interstitial inflammation; mm, mesangial matrix increase; ptc, peritubular capillary margination; t, tubulitis; ti, total interstitial inflammation; v, intimal arteritis.

Table 1

Correlation between antibody-mediated rejection (AMR) 3-gene set expression and histological/serological features.

Histological/serological feature	Correlation coefficient	p-value
<i>Antibody-mediated rejection-related lesions</i>		
C4d-positive	0.634	<0.001
Transplant glomerulopathy (cg)	0.620	<0.001
Donor specific antibodies	0.593	<0.001
Glomerulitis (g)	0.560	<0.001
Peritubular capillary margination (ptc)	0.392	<0.001
<i>T-cell mediated rejection-related lesions</i>		
Total interstitial inflammation (ti)	0.135	0.061
Interstitial inflammation (i)	0.038	0.600
Intimal arteritis (v)	0.032	0.660
Tubulitis (t)	-0.041	0.575
<i>Chronic injury-related lesions</i>		
Mesangial matrix increase (mm)	0.487	<0.001
Interstitial fibrosis (ci)	0.388	<0.001
Tubular atrophy (ct)	0.336	<0.001
Arterial fibrous intimal thickening (cv)	0.199	0.006