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## Bilateral Alterations in Corneal Nerves, Dendritic Cells and Tear Cytokine Levels in Ocular Surface Disease

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### Abstract

This review summarizes recent literature regarding corneal imaging in human subjects using *in vivo* confocal microscopy and corneal immune cells, nerves, and tear cytokine levels in ocular surface diseases as well as corneal immune privilege. The significance of interactions between corneal immune cells and nerves in health, neurotrophic keratopathy, and infectious keratitis are discussed. Furthermore, bilateral alterations of immune cells and nerves in clinically unilateral corneal diseases and the link to changes of tear cytokines or neuropeptide levels in contralateral eyes are described. Recent studies reported increased density and morphologic changes of corneal dendritic cells in ocular surface disease that correlated with a decrease in sub-basal nerve corneal nerves, suggesting potential interactions between the immune and nervous systems in the cornea. Although the relevance of tear cytokines is poorly understood, tear cytokines might have an important role in the pathogenesis of ocular surface diseases. In humans and experimental animal models, alterations in immune cells, cytokines and immunomodulatory neuropeptide levels in contralateral eyes might mediate the incidence of bilateral infectious keratitis and loss of immune privilege of the cornea in bilateral corneal transplantation or neurotrophic keratopathy cases. The discovery of bilateral alterations of immune cells and nerves in ocular surface diseases is considered the missing link between the immune and nervous systems in the cornea, and demonstrates how studies of animal models and human patients aid our understanding of human corneal disease phenomena.

### Keywords

cornea; dendritic cell; nerve; tear cytokine; *in vivo* imaging

### INTRODUCTION

The cornea is the most innervated tissue in the human body with a nerve density of 300 to 600 times that of the skin.<sup>1, 2</sup> The corneal nerves are supplied by ciliary nerves from branches of the ophthalmic division of the trigeminal nerves. Corneal nerves penetrate the

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peripheral corneal stroma and form the sub-basal nerve plexus between Bowman's layer and the basal epithelium in a radial distribution pattern.<sup>2</sup> Corneal innervation regulates corneal sensation, provides protective and trophic functions and promotes epithelial integrity, proliferation and wound healing.<sup>3,4</sup> Intact innervation is necessary for the maintenance of corneal structure and function.<sup>5,6</sup>

Many corneal neurological diseases may result in neurotrophic keratopathy (NTK), albeit with various degrees of severity. These include, but are not limited to ocular infections,<sup>7-9</sup> herpetic eye disease,<sup>10,11</sup> dry eye syndrome,<sup>12,13</sup> corneal transplantation,<sup>14,15</sup> diabetes,<sup>16</sup> and intracranial lesions<sup>17</sup>. NTK caused by these diseases manifests as dry eye, impaired blink reflex, persistent corneal epithelial defects, inflammation, corneal melting and potential corneal perforation, possibly leading to permanent vision loss or blindness.<sup>18,19</sup> Furthermore, although corneal perforation or corneal scarring as a result of NTK may require corneal transplantation, these transplants often have difficulty with epithelial wound healing and have a very high rate of graft rejection.<sup>20</sup> Several recent studies using animal models have shown an association between innervation, corneal inflammation<sup>21</sup> and corneal stem cell homeostasis.<sup>22</sup> Furthermore, recent studies on humans suggest an interaction between inflammation and denervation.<sup>7,23</sup>

Until recently, it has been a widely accepted dogma that immune cells are absent in the central cornea; a putative lack of passenger leukocytes has been cited as a critical facet of the immune privilege of the cornea.<sup>24,25</sup> However, this paradigm was revised when Hamrah and Liu et al demonstrated that the cornea is endowed with immature resident dendritic cells (DCs) that lack the expression of major histocompatibility complex (MHC) class II, and which undergo maturation after inflammation or transplantation and then migrate into draining lymph nodes.<sup>26-28</sup> DCs are potent antigen-presenting cells and mediate both innate and adaptive immune responses by stimulating T cells. In uninflamed conditions, MHC class II-negative DCs are present in the epithelium and anterior stroma of the central cornea, whereas MHC class II-positive DCs infiltrate the whole cornea during inflammation.<sup>28</sup> Given their strategic location in the corneal epithelium and anterior stroma, they may be ready to respond to invading pathogens in the cornea and ocular surface.

The important role of immune cells in the cornea has been well-delineated in human clinical reports under normal and pathological conditions, including graft rejection after penetrating keratoplasty, and infectious and non-infectious keratitis;<sup>29-31</sup> however, immune cells have not been directly observed in patients *in vivo*. Currently, slit-lamp microscopy examination is the gold standard for the detection and evaluation of abnormal findings of the cornea, such as corneal infiltration, edema, scarring, and opacity. Recently, *in vivo* confocal microscopy (IVCM) has greatly advanced the microscopic evaluation of ocular structures. The use of this noninvasive *in vivo* imaging technique provides a resolution of images comparable to that using *ex vivo* histochemical methods. IVCM allows the systematic study of corneal epithelial, stroma and endothelial cells and enables the quantification of nerve morphology and density, as well as the study of immune cells such as corneal epithelial DCs in human subjects.

Alterations of corneal DCs have been reported in patients with dry eye,<sup>32, 33</sup> infectious keratitis,<sup>7</sup> anterior uveitis,<sup>34</sup> peripheral ulcerative keratitis<sup>35</sup> and pterygium.<sup>36</sup> Regarding the corneal nerve alteration in human subjects, specific changes in corneal sub-basal nerve density and morphology after infectious keratitis,<sup>7, 23</sup> keratoconus,<sup>37, 38</sup> corneal surgery,<sup>14, 39, 40</sup> dry eye<sup>41, 42</sup> and diabetes<sup>43</sup> have been increasingly studied. Moreover, investigation of corneal DC and nerves using IVCN has clinical relevance because it provides valuable information to support clinical diagnosis, disease severity and treatment responses.<sup>11, 34, 41</sup> However, despite many reports of corneal immune cells and nerves, there have been few reports using IVCN to study the correlation between the immune and nervous systems in the cornea. Interestingly, some studies reported bilateral findings in corneal DCs and nerves in unilateral corneal disease,<sup>11, 23, 37, 44</sup> although the magnitudes of the alterations were minimal in the contralateral eye.

In this review, we highlight the connection between inflammation and the nervous system in the cornea and bilateral alterations and corneal cellular changes detected by IVCN, focusing on the clinical relevance of these findings. We also focus on the bilateral biochemical changes in the tear cytokines, which might mediate corneal inflammation and nerve reductions in bilateral corneas in unilateral corneal disease.

## CONNECTION BETWEEN THE NERVOUS AND IMMUNE SYSTEMS IN THE CORNEA

Recent studies have revealed that the peripheral nervous system regulates innate immune reactions against pathogens via hormonal and neuronal routes.<sup>45</sup> Furthermore, dysfunction of the peripheral nervous system may result in proinflammatory immunological responses, termed “neurogenic inflammation.”<sup>46–49</sup> In addition, the motility and migration of immune cells were shown to be influenced by innervation of peripheral tissues such as the skin, lung and gut.<sup>50–52</sup> Adrenergic sympathetic nerves regulate the recruitment of leukocyte to and within tissues.<sup>53, 54</sup> Damage to the peripheral nerves can result in inflammatory diseases such as atopic dermatitis, colitis, collagen-induced arthritis and others, which can be suppressed by neuropeptides and neurotransmitters.<sup>55–57</sup> Thus, the peripheral nervous system is thought to regulate the activation, deployment and homeostasis of the immune system and the priming of adaptive immunity.<sup>58</sup>

Regarding corneal nerve damage induced by various pathologies such as herpetic keratitis and diabetes, NTK is sometimes a vision-threatening condition, because there can be refractory inflammation, stromal melting and corneal perforation when NTK is complicated with inflammatory necrotizing stromal keratitis. Although several treatment options are available including transplantation of the amniotic membrane, tarsorrhaphy and various eye drops, the management of NTK is extremely challenging because of the lack of monitoring tools and definitive treatments for advanced stage disease.<sup>20</sup> Although the mechanism of necrotizing stromal keratitis has remained elusive to date, cellular infiltrates and stromal inflammation in NTK consist of macrophages, Langerhans cells (LCs), lymphocytes, polymorphonuclear cells and plasma cells.<sup>20</sup> To clinically manage NTK properly in daily clinics, an understanding of how refractory inflammation develops in severe types of NTK is

crucial. Recently, the bidirectional interaction between the nervous and immune systems in the cornea was proposed (neurogenic inflammation) in other tissues.<sup>46–49</sup> Cruzat et al evaluated the density of DCs and corneal nerves in eyes with infectious keratitis including bacterial, fungal and Acanthamoeba keratitis using IVCN.<sup>7</sup> They found a concomitant increase of DC density and severe decrease of corneal nerve density, and reduced DC infiltration was observed in eyes with moderate corneal nerve loss. They concluded that an increased density of DC correlated with decreased corneal nerve density, suggesting a potential interaction between the immune and nervous systems in infectious keratitis. In humans, the corneal nerve density decreases after herpes simplex keratitis (HSK).<sup>11</sup> Shtein et al reported that 84% of patients with clinically quiet HSK without apparent corneal inflammation for more than 6 months had histopathologically visible inflammation and a high rejection rate of 43.5%.<sup>29</sup> This suggested a loss of immune privilege caused by HSK infection.<sup>59</sup> In an experimental model of HSK, Hu et al reported that HSK leads to decreased nerve density and that corneal DCs play a pivotal role in local corneal defense against viral keratitis.<sup>60</sup>

## **BILATERAL ALTERATIONS OF NERVOUS AND IMMUNE SYSTEMS IN UNILATERAL CORNEAL DISEASES**

Hamrah et al first reported the bilateral alteration of the corneal nerve density in eyes with unilateral corneal disease.<sup>11</sup> They evaluated the corneal sensation and sub-basal nerve alteration in eyes with HSK using IVCN and found a diminishment of the sub-basal nerve plexus in eyes with HSK and a significant decrease in the contralateral unaffected eyes of patients, compared with healthy controls. They also demonstrated that reduced nerve density was correlated with the loss of corneal sensation in eyes with HSK. After their article on HSK,<sup>11</sup> they reported contralateral nerve alterations in other corneal pathologies such as herpes zoster ophthalmicus, bacterial keratitis and in animal models.<sup>44, 61, 62</sup> Cruzat et al evaluated the bilateral corneal nerve and DC density in eyes with unilateral bacterial keratitis and found a bilateral reduction of corneal nerve density and increase of DC density.<sup>44</sup> Furthermore, they demonstrated a strong correlation between the reduction in corneal nerves and increase in DCs in both eyes of patients with unilateral bacterial keratitis, suggesting that a connection between the immune and nervous systems caused the contralateral responses. Recently, Postole et al evaluated DCs in various types of anterior uveitis (herpetic uveitis, juvenile idiopathic uveitis, and Fuchs uveitis syndrome) and reported increased corneal DC density in the contralateral eyes of cases with herpetic uveitis and juvenile idiopathic uveitis.<sup>34</sup>

Contralateral sub-basal nerve reduction might theoretically reflect asymmetric bilateral disease without clinically evident manifestations. Contralateral alterations after unilateral diseases or in experimental models have been previously reported.<sup>11, 23, 34, 61, 63, 64</sup> Keijer et al and Simard-Lebrum et al evaluated bilateral tear production in patients with unilateral HSK and found no difference between the tear secretion of affected and unaffected eyes in these patients, although both eyes demonstrated significantly lower secretion rates than normal subjects.<sup>63, 64</sup> Furthermore, in a capsaicin-induced neurogenic inflammation model,

aqueous humor protein levels were altered at 30 minutes after treatment, but both treated and contralateral eyes showed similar levels at 5 hours after treatment.<sup>65</sup>

The cornea is an immune privileged tissue in the body and Streilein et al reported that the ocular immune privilege was under neural control.<sup>66</sup> Aqueous humor contains immunosuppressive neuropeptides. When corneal nerves are severed, the tissues surrounding the anterior chamber cease secreting these immunosuppressive factors and anterior chamber-associated immune deviation (ACAID) fails until the nerves regrow.<sup>66</sup> In the clinical setting, denervation of grafted cornea is well recognized, and it takes years for sensation to be restored in healthy grafts. In animal experiments, ACAID was not observed until 12 weeks after corneal transplantation, when the corneal nerves regenerate into the graft.<sup>66</sup> Even though the exact mechanisms involved in the contralateral changes of the corneal nerve are unclear, bilateral alterations of nerves are likely to be important because there are many patients who require bilateral corneal transplantation. Paunicka et al reported the presence of bilateral sympathetic effects of unilateral nerve damage on the loss of immune privilege,<sup>67</sup> and further reported that a circular corneal incision in contralateral eyes induced a marked upregulation of neuropeptides such as substance P, calcitonin gene-related peptide and vasoactive intestinal peptide,<sup>67</sup> suggesting the sympathetic regulation of neuropeptides in the cornea. In experimental models, axotomy of the ciliary nerve, a branch of trigeminal nerves, caused an immediate decrease in sub-basal nerve density in the center of the contralateral cornea.<sup>61</sup> Corneal nerve damage in the contralateral eyes abolished the immune privilege of corneal allografts in the ipsilateral eyes via neuropeptides, which might explain the increased incidence of corneal allograft rejection in hosts receiving corneal transplantation in both eyes.<sup>67, 68</sup>

## BILATERAL ALTERATION IN TEAR CYTOKINES

Recent studies have suggested that proinflammatory cytokines in tears may play a key role in the pathogenesis of several corneal diseases, including dry eye disease,<sup>69</sup> keratoconus,<sup>70, 71</sup> graft versus host disease (GVHD)<sup>72</sup> and conjunctivitis,<sup>73</sup> as well as in the development of corneal neovascularization.<sup>74</sup> Although Jun et al reported an imbalance of pro- and anti-inflammatory cytokines in patients with keratoconus,<sup>17</sup> Lema et al reported an increased expression of interleukin (IL)-6, tumor necrosis factor- $\alpha$  and matrix metalloproteinase-9 that were associated with the severity of keratoconus.<sup>71</sup> In addition, Riemens et al reported increased IL-6 and interferon- $\gamma$  in patients with GVHD.<sup>72</sup> Furthermore, Zakaria et al reported elevated IL-6, IL-8, and vascular endothelial growth factor levels in eyes with corneal neovascularization, but no correlation was found between the cytokine levels and the severity of neovascularization.<sup>74</sup> Villani et al evaluated tear cytokine levels and IVCM data in patients with rheumatoid arthritis and reported both a decrease in tear IL-1 and IL-6 levels, as well as DC density after systemic therapy.<sup>75</sup> We hypothesized that the tear cytokine levels would alter bilaterally in eyes with unilateral bacterial keratitis, by assessing the correlation between the tear cytokine levels and microscopic cellular changes of the nervous and immune systems in the cornea detected by IVCM.<sup>23</sup>

Regarding the tear cytokine levels, IL-1 $\beta$ , IL-6, and IL-8 were only significantly elevated in affected eyes, compared with healthy controls, and CCL-2, IL-10 and IL-17A were only elevated in contralateral eyes, compared with controls. Triggering of receptors expressed on myeloid cells (TREM)-1 and the density of DCs were significantly elevated in both affected and contralateral unaffected eyes, compared with controls (Figure 1).<sup>23</sup> IL-1 $\beta$ , IL-6 and IL-8 were significantly correlated with DC density and nerve density. Thus, we demonstrated that increased proinflammatory tear cytokines correlated with increased corneal DC density and size.<sup>23</sup>

The tear concentrations of IL-1 $\beta$ , IL-6, and IL-8 increased only in affected eyes with bacterial keratitis, but not in contralateral clinically unaffected eyes. IL-1 $\beta$  production, which increased in our study, induced the production of additional proinflammatory mediators, such as IL-6, IL-8, fibroblast growth factor-2, prostaglandin E2 and cyclooxygenase-2.<sup>76-78</sup> Furthermore, IL-1 $\beta$  enhanced host defense against infections by upregulating the antimicrobial function of macrophages and initiating T helper (Th) 1 and Th17 adaptive immune responses.<sup>79</sup> IL-6, which is also elevated in the tears of patients with unilateral bacterial keratitis, is a major proinflammatory cytokine in infectious keratitis,<sup>80, 81</sup> epithelial wound healing in the skin and cornea,<sup>82-85</sup> and corneal transplantation models.<sup>86, 87</sup> In response to inflammation, IL-6 stimulates the maturation and trafficking of DCs, promotes IL-2 production by T cells, the differentiation of B cells and mediates immune responses against pathogens.<sup>88, 89</sup> Both IL-1 $\beta$  and IL-6 are important mediators of fever induced by lipopolysaccharide from gram-negative bacteria<sup>90</sup> and are produced by epithelial cells.<sup>91</sup> IL-8 is also an important inflammatory mediator during infection,<sup>92</sup> and is increased in corneal epithelial cells in response to lipopolysaccharide stimulation.<sup>93</sup> Santacruz et al reported that IL-1 $\beta$ , IL-6 and IL-8 were elevated in tears from eyes with infectious keratitis, compared with those in the control contralateral eyes.<sup>94</sup>

While the changes in tear proinflammatory cytokines and corneal DC density can be explained by infection-induced inflammation in affected eyes, alteration of cytokine concentrations in the contralateral clinically unaffected eyes is intriguing. Interestingly, IL-17A was only significantly elevated in the contralateral eyes, but not the affected eyes with bacterial keratitis when compared with controls. IL-17A produced by  $\gamma\delta$  T cells regulates prophylactic host defense against infection via Th17 cell responses, which improves the mucocutaneous barrier function.<sup>51, 95</sup> IL-17 also stimulates the release of antimicrobial peptides and chemokines for neutrophil recruitment.<sup>79</sup> Recently, a population of neutrophils was shown to produce IL-17A in response to IL-6 stimulation in an autocrine manner to activate fibroblasts and epithelial cells to produce chemokines and proinflammatory cytokines, leading to enhanced reactive oxygen species and antifungal activity.<sup>96</sup> Clinically, the incidence of bilateral corneal infection is relatively rare, ranging from 1-3%.<sup>97, 98</sup> It is tempting to speculate that the elevation of IL-17A in contralateral eyes in this study may potentially be due to prophylactic defense mechanisms in these eyes to prevent infection in the fellow eye.

In our previous study on unilateral bacterial keratitis, TREM-1 was elevated in both eyes.<sup>99</sup> TREM-1 is expressed at high levels on macrophages and monocytes, to amplify inflammation in tissues infected by bacteria or fungi. TREM-1 upregulates the production of

proinflammatory cytokines, and stimulates rapid neutrophil degranulation and oxidative burst.<sup>99</sup> In contrast, TREM-2 regulates DCs, microglia and osteoclasts during inflammation in the central nervous system and in rheumatoid arthritis. TREM-1 is not upregulated in noninfectious diseases.<sup>99</sup> In an experimental model of infectious keratitis, TREM-1 was upregulated by *Pseudomonas aeruginosa* or lipopolysaccharides.<sup>100</sup> Although there were no correlations between TREM-1 levels and DC parameters in our previous study,<sup>23</sup> the TREM-1 concentration was inversely correlated with corneal nerve density. The bilateral alteration of bilateral DCs and corneal nerves are mediated by tear cytokine levels.

## CONCLUSIONS

*In vivo* confocal microscopy allows corneal cellular imaging in corneas from patients with various ocular surface diseases, such as NTK, dry eye, infectious keratitis and those that have undergone corneal transplantation. Important clinical studies have reported novel findings regarding the interactions between the nervous and immune systems and a connection between tear cytokines and corneal DCs. These interactions and connections should help increase our understanding of the mechanisms involved in corneal homeostasis and the pathophysiology of corneal diseases.

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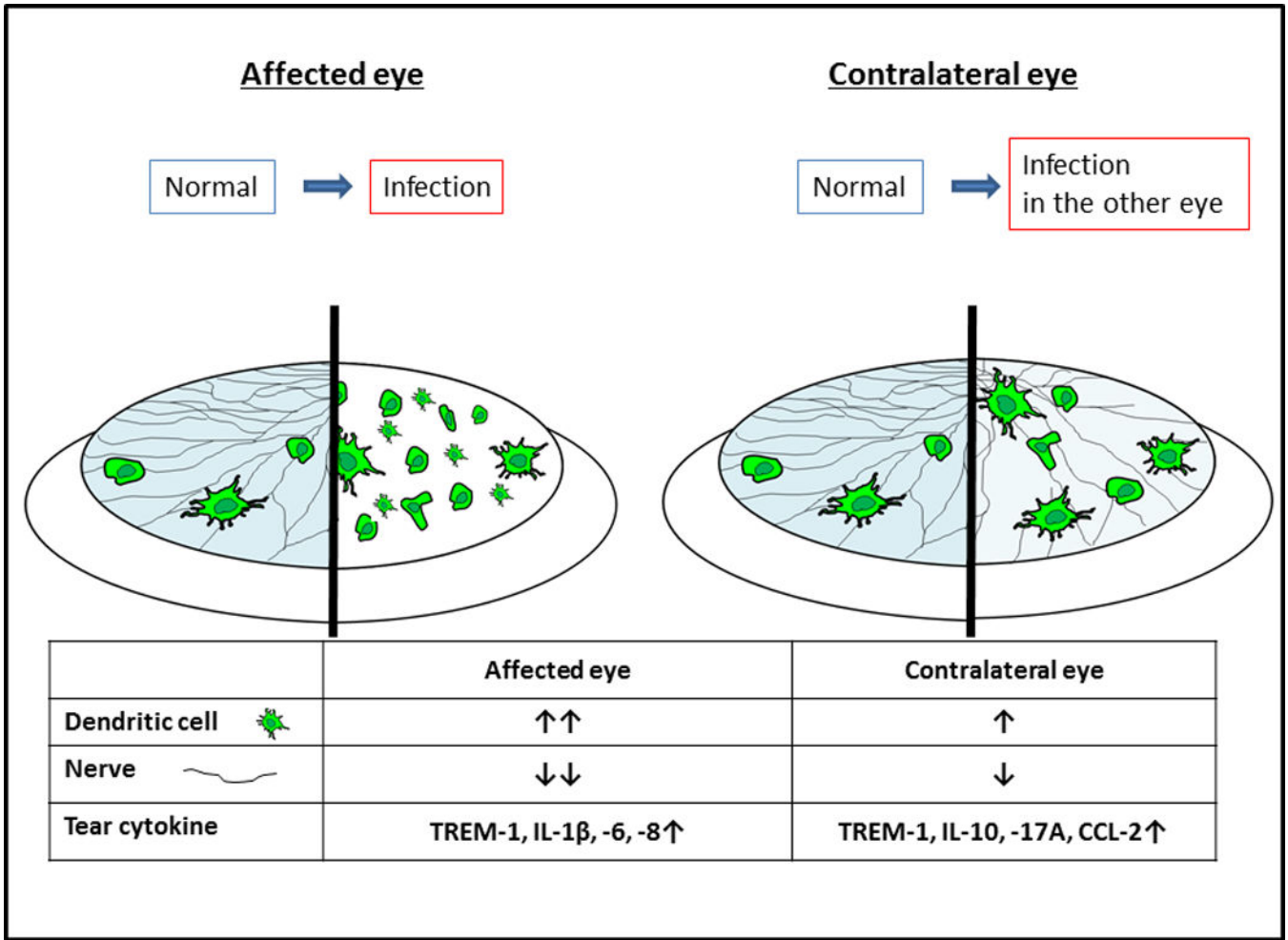


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**FIGURE 1. Bilateral alterations in nervous and immune systems in unilateral corneal disease** (Left) affected eye, (Right) contralateral (unaffected) eye. IL-1 $\beta$ , IL-6, and IL-8 were significantly elevated above healthy controls in affected eyes, but not in contralateral eyes. CCL-2, IL-10 and IL-17A were elevated in contralateral eyes, but not in affected eyes. Significantly elevated TREM-1 levels were observed in both eyes. TREM-1, triggering of receptors expressed on myeloid cells