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# Starting Dose of Sorafenib for the Treatment of Hepatocellular Carcinoma: A Retrospective, Multi-Institutional Study

A B S T B A C T

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# Purpose

Sorafenib is currently the only Food and Drug Administration–approved first-line therapy for patients with advanced hepatocellular carcinoma. There are few data examining how sorafenib starting dose may influence patient outcomes and costs.

#### **Patients and Methods**

We retrospectively evaluated 4,903 patients from 128 Veterans Health Administration hospitals who were prescribed sorafenib for hepatocellular carcinoma between January 2006 and April 2015. After 1:1 propensity score matching to account for potential treatment bias, hazard ratios (HRs) were calculated using Cox regression and were tested against a noninferiority margin of HR = 1.1. A matched multivariate logistic regression was performed to adjust for potential confounders. The primary end point was overall survival (OS) of patients who were prescribed standard starting dosage sorafenib (< 800 mg/d per os) versus that of patients who were prescribed reduced starting dose sorafenib (< 800 mg/d per os).

#### Results

There were 3,094 standard dose sorafenib patients (63%) and 1,809 reduced starting dose sorafenib patients (37%). Reduced starting dose sorafenib patients had more Barcelona Clinic Liver Cancer stage D (P<.001), higher Model for End-Stage Liver Disease Sodium scores (P<.001), higher Child-Turcotte-Pugh scores (P<.001), and higher Cirrhosis Comorbidity Index scores (P = .01). Consequently, reduced starting dose sorafenib patients had lower OS (median, 200 v 233 days, HR = 1.10). After propensity score matching and adjusting for potential confounders, there was no longer a significant OS difference (adjusted hazard ratio [HR<sub>adj</sub>], 0.92; 95% CI, 0.83 to 1.01), and this fell significantly below the noninferiority margin (P<.001). Reduced starting dose sorafenib patients experienced significantly lower total cumulative sorafenib cost and were less likely to discontinue sorafenib because of gastrointestinal adverse effects (8.7% v 10.8%; P = .047).

# Conclusion

The initiation of sorafenib therapy at reduced dosages was associated with reduced pill burden, reduced treatment costs, and a trend toward a decreased rate of discontinuing sorafenib because of adverse events. Reduced dosing was not associated with inferior OS relative to standard dosing.

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# INTRODUCTION

Hepatocellular carcinoma (HCC) is the secondleading cause of cancer-related deaths worldwide.<sup>1-3</sup> Although there are several systemic therapies for HCC, sorafenib, a multikinase inhibitor,<sup>4,5</sup> is currently the only Food and Drug Administration–approved first-line therapy for this disease.<sup>6,7</sup>

One of the primary limitations of sorafenib is its toxicity. In a phase 4 noninterventional study,

adverse events (AEs) were reported at a rate of 85.3%, with 31.7% of patients experiencing a grade 3 or 4 AE, and 31.4% discontinuing sorafenib permanently because of an AE.<sup>8</sup>

Sorafenib is prescribed at a starting dosage of 400 mg per os twice daily.<sup>9</sup> A prior study examined an alternative dose-escalation strategy in which a subset of patients was started at a 50% dose of sorafenib and were escalated only if tolerability was maintained.<sup>11</sup> Lower rates of AEs and drug discontinuation were observed in the experimental group. However, this was a small

ASSOCIATED CONTENT



DOI: https://doi.org/10.1200/JCO.2017. 73.8245 study with limited statistical power to assess differences in outcomes between groups. A second, as-yet-unpublished study by Cabrera et al,<sup>12</sup> started patients at a 25% dose and increased the dose by 200 mg once every 2 weeks. No differences in outcomes were found.<sup>12</sup>

Among a large multicenter cohort of Veterans Health Administration (VHA) patients with HCC, we investigated whether initiating sorafenib at a reduced starting dose was associated with a change in overall survival (OS) and whether this was inferior to standard dosing. We also studied the effect of a reduced starting dose on total sorafenib duration, AEs, total sorafenib pill burden, and aggregate drug cost.

# **PATIENTS AND METHODS**

## Identification of Patients

Data were extracted on patients with HCC treated with sorafenib between January 23, 2006, and April 15, 2015, from 128 Veterans Affairs (VA) hospitals via the VHA Corporate Data Warehouse (CDW). The diagnosis of HCC was confirmed by the presence of at least two outpatient encounters or one inpatient encounter with International Classification of Diseases, Ninth Revision, Clinical Modification codes for malignant neoplasm of the liver (155.0 and 155.2), as validated previously.<sup>13</sup> Patients incorrectly classified as having HCC and those who were prescribed sorafenib but never ingested pills were excluded (Fig 1). This study was approved by the institutional review board at the VA Connecticut Healthcare System (West Haven, CT) and the Corporal Michael J. Crescenz VA Medical Center (Philadelphia, PA).



Fig 1. Description of cohort. HCC, hepatocellular carcinoma; RDS, reduced dose sorafenib; SDS, starting dose sorafenib. (\*) Patients who were determined to have miscoding of their HCC ICD9 codes based on manual chart review; (†) Patients who were prescribed sorafenib but either never picked up the prescription or never ingested pills; (<sup>C</sup>)Of the 1,809 RDS patients, 134 patients (7%) had at least one missing variable and therefore could not have propensity score calculated. The remaining 1,675 RDS patients were 1:1 matched to 1,675 SDS patients.

# Data Collection

The VA CDW is an integrated and centralized data repository that captures clinical, laboratory, radiologic, procedural, and prescription data for all VA patients. Data available within the 90 days before the sorafenib start date were used. If multiple data points were available, the one closest to the sorafenib start date was used. Baseline demographic data, including age, sex, race, ethnicity, concurrent comorbidities, and Alcohol Use Disorder Identification Test Consumption scores, were collected from the CDW.<sup>14</sup> The Cirrhosis Comorbidity Index (CirCom) score was calculated on the basis of relevant comorbidities.<sup>15</sup> Active alcohol use was defined as an Alcohol Use Disorder Identification Test Consumption score > 4 in the 1 year before initiation of sorafenib. The presence of active hepatitis B was determined using viral serologies, including a positive hepatitis B surface antigen. The presence of active hepatitis C was defined as the presence of hepatocellular virus (HCV) RNA by standard viral-load polymerase chain reaction assays.

Laboratory data were extracted for all patients. The Model for End-Stage Liver Disease Sodium (MELD-Na) score was calculated on the basis of component laboratory values.<sup>16</sup> The electronic Child-Turcotte-Pugh (CTP) score was determined using a previously validated algorithm.<sup>17</sup> The presence of concurrent liver-directed therapy, including transarterial chemoembolization, radiofrequency ablation, percutaneous ethanol injection, and <sup>90</sup>Y transarterial radioembolization, was determined by querying CDW radiology procedure tables using relevant search phrases.

For any data not available in the CDW, manual chart abstraction was performed by two trained research assistants (R.M. and K.D.). This included the date of HCC diagnosis, tumor characteristics including the number and size(s) of HCC tumors, the presence of macrovascular invasion, local invasion (eg, into gallbladder or diaphragm), lymph node spread and extrahepatic metastatic spread, the presence and severity of ascites and hepatic encephalopathy, Eastern Cooperative Oncology Group (ECOG) performance status, and the specialty of the treating physician. The date of HCC diagnosis was determined using the first date of any of the following: contrast-enhanced computed tomography or magnetic resonance imaging that met diagnostic criteria for HCC,<sup>5</sup> pathology report if biopsies were performed, or tumor board discussion in cases of equivocal imaging results. The Barcelona Clinic Liver Cancer (BCLC) stage was calculated on the basis of collected nonmutually exclusive component variables.<sup>18</sup>

# **Definition of Study Groups**

Pharmacy data were collected for every sorafenib prescription released from a VA pharmacy. The date of first prescription, prescribed dosage (milligrams per day), total number of pills prescribed, total days taking sorafenib, and total treatment-related costs were calculated for each patient.

Patients were classified as receiving standard starting dose sorafenib (SDS) if their first sorafenib dosage was equal to 800 mg/d per os, or as receiving reduced starting dose sorafenib (RDS) if their first sorafenib dosage was < 800 mg/d per os. Our ultimate sample consisted of 3,094 patients classified as standard dose, and 1,809 classified as reduced dose (N = 4,903).

#### **Definition of Outcomes**

Our primary outcome of interest was OS, calculated as the time from initiation of sorafenib to date of death, or date of censoring if death did not occur by the cutoff date of December 31, 2015. The date of death was ascertained using the VHA Vital Status Master File, an aggregate of all the main federal mortality databases, shown previously to have a > 97% agreement with the gold standard state death certificate registries.<sup>19</sup>

Secondary outcomes included total number of days taking sorafenib and the percentage of patients stopping sorafenib because of AEs. The total number of days taking sorafenib was estimated as the total cumulative number of days of therapy per patient, taking treatment breaks into account. This was calculated as the sum of all prescription durations. Total sorafenib cost was calculated by multiplying the total number of sorafenib pills filled per patient by the per-pill cost obtained from the VA pharmacy tables. Reason for sorafenib cessation was obtained via manual chart abstraction.

# Statistical Analysis

Univariate analysis was performed using Fisher's exact test for dichotomous variables and the t test or Wilcoxon rank sum test for normal and non-normal continuous variables, respectively. Hazard ratios (HRs) and 95% CIs were calculated using the Cox proportional hazards model. Separate Cox models were applied to each individual subgroup during subgroup analysis. Groups selected for subgroup analyses were selected a priori, including subgroups that were based on ECOG performance status, CTP class, and BCLC stage. Survival curves for time-to-event variables were estimated using the Kaplan-Meier method.

A propensity score was constructed to account for potential treatment bias. All covariates related to the exposure or treatment variable (P < .10) were included in a logistic regression model. These variables included CirCom score, MELD-Na score, CTP score, BCLC stage D, presence of active alcohol use, local invasion, metastatic spread, and pre-sorafenib radiofrequency ablation. Each RDS patient was matched to one SDS patient using a greedy-match algorithm<sup>20</sup> that minimizes differences in propensity score. RDS patients were not matched if there were no available SDS patients with a < 10% difference in propensity score. During matching, every RDS patient was matched successfully to an SDS patient. To assess the adequacy of the constructed propensity score, covariate balance was tested within quintiles of the propensity score and was found to be well balanced (Appendix Table A1, online only). An HR was calculated subsequently using matched pairs, after stratification by pair number.

A matched multivariate logistic regression was also performed to account for potential confounders. Variables were included in the model if they produced a change-in-estimate in the HR of > 5%. After testing for change-in-estimates, only the CTP score and the MELD-Na score met this threshold.

We hypothesized that RDS would be noninferior to SDS after adjustment for confounding variables, with a non-inferiority hazard ratio (HR<sub>ni</sub>) margin of 1.1. Our sample of 4,903 yielded 88% power to identify noninferiority if present, using a one-sided test with 2.5% type I error. We were able to identify 1,675 matched pairs in our propensity cohort, which provided a 77% power to detect noninferiority (HR<sub>ni</sub> = 1.1) if time to event was uncorrelated within pairs, and an 82% power if times to event were slightly correlated within pairs (r = 0.075, reflecting our matched sample). A noninferiority boundary of HR = 1.1 corresponds to a difference of 3 weeks in median survival.

All analyzed variables were > 99% complete with the exceptions of ethnicity (missing 6%), comorbidities (missing 7%), ECOG performance status (missing 4%), BCLC stage (missing 4%), albumin (missing 3%), international normalized ratio (missing 4%), and  $\alpha$ -fetoprotein (missing 6%). Missing measurements were treated as missing during subsequent analyses. OS tested with a noninferiority approach used a one-sided *z* test (*P* = .025) that was based on the log of the HR, against a null hypothesis that RDS is inferior to SDS, with an HR  $\geq$  1.1. All statistical analyses were performed using SAS 9.2/9.4 (SAS Institute, Cary, NC), PASS (NCSS, Kaysville, Utah), and STATA (StataCorp, College Station, Texas).

# RESULTS

The median age of our cohort was 62 years (range, 26 to 93 years) and consisted of 98.9% men and 61.2% white patients. The majority of patients (58%) had CTP class A disease, 37% had class B, and 4% had class C. In our cohort, 59% of patients had a diagnosis of either active hepatitis B or C, 43% had BCLC stage A or B HCC, 46% had BCLC stage C, and 8% had BCLC stage D.

Of the 4,903 patients, 3,094 (63%) were prescribed SDS and 1,809 (37%) were prescribed RDS (Fig 1). Of VHA sorafenib prescribers, 93.0% were oncologists and 7.0% were gastroenterologists or hepatologists. There were temporal changes in

prescribing behavior over the observation period, with full-dose initial prescriptions accounting for 78% of all new sorafenib prescriptions in 2007 but decreasing to 51% in 2014 (Appendix Fig A1, online only).

In comparing the average sorafenib dosage over time for the SDS and RDS groups, we observed a convergence of dosages (Appendix Fig A2, online only). The average sorafenib starting dosage for RDS patients was 367 mg/d. Overall, approximately 40% of RDS patients underwent dosage escalation within the first 2 months of starting sorafenib, whereas 60% did not. By the end of the first month, the average sorafenib dosage was 791  $\pm$  62 mg/d for SDS and 412  $\pm$  152 mg/d for RDS (P < .001). At 6 months, average dosages remained lower in RDS groups: 678  $\pm$  200 mg/d for SDS patients and 573  $\pm$  227 mg/d for RDS patients (P < .001).

Among SDS patients, 68 (2.2%) underwent dosage reduction within the first month, and 360 (11.6%) within the first 2 months. Among RDS patients, 211 (11.7%) underwent dosage escalation within the first month, and 539 (29.8%) within the first 2 months. At the end of 2 months, 31.7% of RDS and 33.7% of SDS patients had stopped sorafenib for any reason.

# Patient Characteristics Associated With Receipt of RDS

RDS patients were sicker at baseline, BCLC D stage was more common (P < .001), they had higher MELD-Na scores (P < .001), higher electronic CTP scores (P < .001), higher CirCom scores (P = .01), more frequent active alcohol abuse (P = .003), increased severity of ascites (P < .001), and more frequent hepatic encephalopathy (P < .001; Table 1). In addition, there was a trend toward an older age (P = .07) and poorer ECOG performance status (P = .07) in RDS patients. In unadjusted analyses, RDS patients had a reduced OS (median, 200 days) compared with SDS patients (233 days), with an HR of 1.10 (95% CI, 1.04 to 1.18; P = .002; Table 2; Fig 2). This result is also not significantly different from inferiority on the basis of the one-sided noninferiority test (Fig 3).

#### Cost Analysis

RDS patients received a similar number of days of sorafenib therapy (median, 90 days) compared to SDS patients (90 days; P =.20). However, because RDS patients received less sorafenib per day on average, they were prescribed fewer pills (median, 180 pills) than were SDS patients (median, 276 pills; P < .001). Consequently, total pill-related costs were also significantly lower for RDS patients (median, \$5,636) than for SDS patients (median, \$8,661; P < .001).

### AEs

In an unmatched and unadjusted analysis, RDS patients and SDS patients had similar rates of discontinuing sorafenib because of an AE (20% v 21%; P = .18) and were equally likely to take sorafenib until disease progression (27% v 28%; P = .26). Among patients who discontinued sorafenib because of an AE, gastrointestinal toxicity was the most common reason for sorafenib cessation (456 patients), followed by fatigue (265 patients) and hand-foot skin reaction (205 patients). RDS patients were significantly

Demographic and Clinical Characteristic	SDS (n = 3,094)	RDS (n = 1,809)	P
Baseline characteristic			-
Age, years, mean (SD)	63.6 (7.9)	64.1 (8.0)	.070
Male, No. (%)	3,055 (99)	1,792 (99)	.330
White, No. (%)	1,895 (61)	1,108 (61)	.680
Cirrhosis Comorbidity Index score, median (IQR)	1 (1-4)	1 (1-4)	.010
Active alcohol use, No. (%)	243 (8)	187 (10)	.003
ECOG performance status, No. (%)			.070
0-2	2,855 (96)	1,654 (95)	
3-4	115 (4)	87 (5)	
Positive for viral hepatitis, No. (%)			
Hepatitis B	144 (5)	82 (5)	.440
Hepatitis C	1,614 (52)	1,030 (57)	.330
Prescribing specialty, No. (%)			
Oncologist	2,845 (92)	1,686 (93)	.170
General gastroenterologist	51 (2)	22 (1)	.270
Hepatologist	177 (6)	90 (5)	.270
Pre-sorafenib BCLC stage, No. (%)			
BCLC Stage C	1,474 (48)	786 (43)	.005
BCLC Stage D	190 (6)	201 (11)	< .001
Pre-sorafenib liver characteristics			
MELD-Na score, median (IQR)	11 (11-15)	13 (11-18)	< .001
CTP score, median (IQR)	6 (5-7)	7 (6-8)	< .001
CTP class A, No. (%)	1,971 (64)	893 (49)	< .001
CTP class B, No. (%)	1,037 (34)	787 (44)	< .001
CTP class C, No. (%)	86 (3)	129 (7)	< .001
Ascites subscore, median (IQR)	1 (1-1)	1 (1-1)	< .001
HE subscore, median (IQR)	1 (1-1)	1 (1-1)	< .001
Total bilirubin, mg/dL, median (IQR)	1.0 (0.7-1.6)	1.3 (0.8-2.2)	< .001
Albumin, g/dL, median (IQR)	3.3 (2.8-3.7)	3.1 (2.6-3.5)	< .001
INR. median (IQR)	1.1 (1.1-1.3)	1.2 (1.1-1.3)	< .001
α-Fetoprotein, ng/dL, median (IQR)	109 (12-1,418)	138 (15-1,740)	.120
Pre-sorafenib HCC characteristics			
Largest lesion, cm, median (IQR)	5.5 (3.5-8.9)	5.5 (3.2-8.5)	.054
No. HCC lesions, median (IQR)	4 (1-5)	5.5 (3.2-8.5)	.160
Macroscopic vascular invasion, No. (%)	863 (28)	533 (29)	.250
Local invasion, No. (%)	187 (6)	86 (5)	.060
Lymph node spread. No. (%)	507 (16)	255 (14)	.340
Metastatic spread, No. (%)	577 (19)	301 (17)	.080
Pre-sorafenib therapies, No. (%)*	782 (25)	525 (29)	.004
TACE	747 (24)	472 (26)	.130
RFA	124 (4)	116 (6)	< .001
PEI	4 (0)	3 (0)	.710
<sup>90</sup> Y TARE	5 (0)	0 (0)	.170

Abbreviations: BCLC, Barcelona Clinic Liver Cancer; CTP, Child-Turcotte-Pugh; ECOG, Eastern Cooperative Oncology Group; HCC, hepatocellular carcinoma; HE, hepatic encephalopathy; INR, international normalized ratio; IQR, interquartile range; MELD-Na, Model for End-Stage Liver Disease Sodium; PEI, percutaneous ethanol injection; RDS, reduced dose sorafenib; RFA, radiofrequency ablation; SDS, starting dose sorafenib; TACE, transarterial chemoembolization; <sup>90</sup>Y TARE, <sup>90</sup>Y transarterial radioembolization.

\*Summary line indicates the total number of patients who received at least one pre-sorafenib therapy. Of note, pre-sorafenib therapies were not mutually exclusive.

less likely to discontinue sorafenib for a hand-foot skin reaction (5%  $\nu$  3%; P = .05).

# Matched Analyses

Because RDS patients were sicker at baseline, we conducted a matched analysis using propensity scores. The scores were generated on the basis of a generalized linear model of relevant clinical covariates influencing treatment assignment and outcomes, and then they were used to match SDS and RDS patients for assessment of outcomes, simulating a randomized controlled trial. Of the 1,809 RDS patients, 134 patients (7%) had at least one missing variable and therefore could not have a propensity score calculated. However, these patients were not substantially different from the 1,675 patients who were ultimately included in the final propensity score analysis (Appendix Table A2, online only). Ultimately, 1,675 RDS patients were matched to 1,675 SDS patients using this approach, with an excellent balance of relevant clinical covariates (Appendix Tables A1 and A3, online only).

After propensity matching, RDS patients seemed to be more similar to SDS patients, and the HR differed significantly from the noninferiority bound HR of 1.1 (HR, 0.96; 95% CI, 0.87 to 1.06; *P* value for non-inferiority  $[P_{ni}] = .003$ ). After additional adjustment for potential confounders in a multivariate model, the HR was still significantly different from the noninferiority bound (HR<sub>adj</sub>, 0.92; 95% CI, 0.83 to 1.01;  $P_{ni} < .001$ ; Fig 3).

After matching, there was a trend toward fewer patients discontinuing sorafenib because of an AE among RDS patients

	SDS (n = 3,094)	RDS (n = 1,809)	Р
Sorafenib use, median (IQR)			
Total pills prescribed	276 (120-600)	180 (60-452)	< .001
Total days receiving sorafenib	90 (30-162)	90 (30-180)	.200
Total sorafenib cost, \$	8,661 (3,396-18,173)	5,636 (2,170-13,813)	< .001
Reason for sorafenib cessation, No. (%)			
Adverse event	663 (21)	358 (20)	.180
GI adverse effects	300 (10)	156 (8)	.220
Hand-foot skin reaction	143 (5)	62 (3)	.050
Fatigue	156 (5)	109 (6)	.150
Nonadherence	46 (1)	36 (2)	.200
Progression of disease	873 (28)	483 (27)	.260
Functional decline	504 (16)	346 (19)	.012
Death	545 (18)	305 (17)	.510
Other	201 (6)	139 (8)	.120
Outcomes			
Overall survival, days, median (IQR)	233 (98-477)	200 (85-416)	.002

(19.6%) compared with SDS patients (22.4%; P = .056). RDS patients were significantly less likely to discontinue sorafenib because of GI AEs (8.7%  $\nu$  10.8%; P = .047). However, there were no statistically significant differences between RDS and SDS patients in terms of discontinuing drug for other reasons (Table 3).

## Subgroup Analysis

We found no significant OS difference among our preplanned subgroups (Fig 3). After propensity score matching and adjusting for confounders, there was no OS disadvantage to the RDS strategy among patients with good ECOG performance status 0 to 2 (HR<sub>adj</sub>, 0.91; 95% CI, 0.81 to 1.01;  $P_{ni} < .001$ ), but we could not reject inferiority for those with poor ECOG performance status 3 to 4 (HR<sub>adj</sub>, 1.07; 95% CI, 0.33 to 3.53;  $P_{ni} = .48$ ). When studying the effect of RDS across different severities of liver dysfunction, there were also no differences in OS among patients with CTP class A (HR<sub>adj</sub>, 0.95; 95% CI, 0.82 to 1.10;  $P_{ni} = .022$ ) or CTP class B (HR<sub>adj</sub>, 0.93; 95% CI, 0.79 to 1.09;  $P_{ni} = .026$ ). We could not reject

inferiority for CTP class C (HR<sub>adj</sub>, 1.02; 95% CI, 0.55 to 1.88;  $P_{ni}$  = .41). Similarly, although there seemed to be no differences in OS, we could not reject inferiority among patients with BCLC stage C (HR<sub>adj</sub>, 0.96; 95% CI, 0.79 to 1.17;  $P_{ni}$  = .12) or stage D (HR<sub>adj</sub>, 0.88; 95% CI, 0.53 to 1.47;  $P_{ni}$  = .20).

# DISCUSSION

Prior small retrospective studies have suggested that using a sorafenib dosage escalation strategy might be beneficial, or at least not harmful, to patients with HCC.<sup>10-12</sup> Using a large-scale national VHA database, we performed univariate and propensity score analyses on 4,903 patients with HCC who had been prescribed sorafenib therapy either in a traditional dosing pattern or at a reduced starting dose.

RDS patients were sicker at baseline and had decreased OS compared with SDS patients (HR, 1.10; 95% CI, 1.04 to 1.18). After



Fig 2. Kaplan-Meier estimates of overall survival. (A) Comparison between reduced dose sorafenib (RDS) and standard dose sorafenib (SDS) patients, unmatched. (B) Comparison between RDS and SDS patients, propensity score matched.

	Favors Reduced Dose Favors Stand											
Full Cohort ECOG score 0-2 3-4 CTP score A B C BCLC stage C D				-								
			<u>                                  </u>			 10						
	0.1 1 10 Hazard Batio (95% CI)											
				,								
Overall Survival (days)	Standard Dose Sorafenib, median (IQR)	Reduced Dose Sorafenib, median (IQR)	HR (95% CI)*	<i>P</i> †	HR <sub>adj</sub> (95% CI) ‡	Р†						
Full Cohort	233 (98-477)	200 (85-416)	1.10 (1.04 to 1.17)	.55	0.92 (0.83 to 1.01)	.0002						
ECOG Performance S	tatus											
ECOG 0-2	243 (105-481)	205 (90-430)	1.10 (1.03 to 1.17)	.53	0.91 (0.81 to 1.01)	.0003						
ECOG 3-4	53 (23-143)	55 (21-153)	0.99 (0.74 to 1.32)	.26	1.07 (0.33 to 3.53)	.48						
CTP Class												
CTP Class A	304 (147-560)	289 (156-535)	0.99 (0.91 to 1.08)	.007	0.95 (0.82 to 1.10)	.022						
CTP Class B	132 (56-297)	140 (61-302)	1.00 (0.91 to 1.10)	.022	0.93 (0.79 to 1.09)	.026						
CTP Class C	52 (23-179)	51 (21-130)	1.10 (0.83 to 1.45)	.56	1.02 (0.55 to 1.88)	.41						
BCLC Stage												
BCLC Stage C	181 (79-373)	162 (76-301)	1.14 (1.05 to 1.25)	0.79	0.96 (0.79 to 1.17)	.120						
BCLC Stage D	57 (24-175)	59 (22-150)	1.06 (0.86 to 1.29)	0.41	0.88 (0.53 to 1.47)	.20						

**Fig 3.** Overall survival of selected subgroups. (\*) Hazard ratio calculated prior to propensity score matching and without adjustment. (†) *P* values here indicate comparison against non-inferiority bound of HR 1.1 ( $P_{ni}$ ). Figure above based on the adjusted hazard ratio. Dashed reference line indicates non-inferiority boundary at HR = 1.1. (‡) Hazard ratio calculated after propensity score matching and after adjustment for Child-Turcotte-Pugh and Model for End-Stage Liver Disease Sodium scores in a multivariate model. BCLC, Barcelona Clinic Liver Cancer; CTP, Child-Turcotte-Pugh; ECOG, Eastern Cooperative Oncology Group; HR, hazard ratio.

propensity score matching to adjust for potential confounders, RDS and SDS patients were more similar in terms of OS (HR<sub>adj</sub>, 0.92; 95% CI, 0.83 to 1.01), and RDS was significantly noninferior to SDS ( $P_{ni} < .001$ ). Ultimately, we did not find evidence that starting patients with HCC on RDS led to a significant difference in OS, and the 95% CI included an HR of 1.0 across all examined subgroups.

After propensity score matching, RDS patients were less likely to discontinue sorafenib because of gastrointestinal toxicity, and there were trends toward a decreased risk of discontinuing the drug for any AE. This result is consistent with the findings of prior data.<sup>11</sup> RDS patients also experienced significantly reduced total cost of treatment because of the fewer number of pills consumed per patient per day.

Table 3. Reasons for Sorafenib Cessation After Propensity Score Matching									
Reason for Sorafenib Cessation	SDS (n = 1,675)	RDS (n = 1,675)	Ρ						
Any adverse event	375 (22.4)	329 (19.6)	.056						
GI adverse effects	180 (10.75)	145 (8.66)	.047						
Hand-foot skin reaction	75 (4.48)	55 (3.28)	.088						
Fatigue	83 (4.96)	102 (6.09)	.172						
NOTE. Data are presented as No	o. (%).								

Abbreviations: RDS, reduced dose sorafenib; SDS, starting dose sorafenib.

Our study has several strengths, including the large size of our nationally based cohort, which allowed us to power our study for survival and to adequately evaluate several secondary outcomes. Second, these data from 128 VHA centers afford us high external validity. Third, because these patients were not treated in a trial setting, they more accurately reflect the population of patients with HCC that exists in the United States. Finally, we were able to obtain highly accurate and complete sorafenib prescription and cost information because most VA patients either receive their prescription directly from a VA pharmacy or have the drug paid for by the VA system.

As with any observational study, there is the risk of unmeasured confounding variables. Indeed, the validity of propensity score models relies on the assumption that all potential confounders have been measured.<sup>21</sup> Second, VHA patients may not be entirely representative of the population affected by HCC. However, baseline characteristics other than sex are relatively similar in our cohort compared with previous studies, including the phase IV GIDEON study and the SHARP trial.<sup>6,8</sup>

This retrospective analysis of nearly 5,000 patients with HCC who were prescribed sorafenib suggests that starting patients on a reduced starting dose does not reduce OS after propensity score matching for multiple clinical variables. RDS patients experienced decreased treatment cost and a trend toward a decreased incidence of discontinuing sorafenib because of AEs. The

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observed practice patterns suggest that this strategy is becoming more common, although most physicians still start at full dosage therapy. Our data suggest that the initiation of sorafenib at a reduced dosage may be a safe and reasonable strategy for some patients with HCC.

# AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Disclosures provided by the authors are available with this article at jco.org.

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### **AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST**

# Starting Dose of Sorafenib for the Treatment of Hepatocellular Carcinoma: A Retrospective, Multi-Institutional Study

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# Appendix



Fig A1. Percentages indicate number of patients receiving reduced starting dose sorafenib (RDS) and standard starting dose sorafenib (SDS) for each year.



Fig A2. Changes in average daily sorafenib dose over time.

	Ρ	18	.76	.02	.76	.79	06.	00.		96 <sup>.</sup>	/0.		60.	.30	.75		.13	.47	1.00	.86	.56	1.00	.72
PS Quintile .450-0.827)	RDS (n = 478)	63 (7)	473 (99)	314 (66)	2 (1-4)	84 (18)	36 (8)	449 (94)		155 (32) 145 (30)	145 (30)		20 (17-23)	9 (8-10)	230 (16-2,574)		5 (3-8)	5 (2-5)	153 (32)	16 (3)	70 (15)	60 (13)	152 (32)
Fifth (PS 0	SDS (n = 409)	61 (7)	403 (99)	237 (58)	2 (1-4)	69 (17)	33 (8)	360 (88)		134 (33)	(67) 1.01		19 (15-23)	9 (8-9)	255 (18-2,268)		6 (4-9)	4 (1-5)	130 (32)	15 (4)	54 (13)	51 (12)	135 (33)
	Р	.10	.74	.27	94	.46	.57	1.00		.73	φ.		.81	.56	.22		.25	64	.56	.43	10	69.	.02
h PS Quintile 0.380-0.449)	RDS (n = 378)	63 (8)	375 (99)	231 (61)	2 (1-4)	66 (17)	26 (7)	354 (94)		179 (47)	(/) 97		14 (11-17)	7 (7-8)	82 (13-1,367)		6 (3-9)	5 (2-5)	132 (35)	9 (2)	46 (12)	53 (14)	120 (32)
Fourt (PS (	SDS (n = 498)	63 (7)	492 (99)	323 (65)	2 (1-4)	77 (15)	28 (6)	467 (94)		242 (49)	(0) 87		14 (11-17)	7 (6-8)	139 (15-2,241)		6 (4-9)	4 (2-5)	164 (33)	17 (3)	94 (19)	65 (13)	123 (25)
	Ρ	.58	1.00	.16	.70	.13	.35	.42		96. 26	с. С		S	.78	.54		4	98	.82	.68	.92	.52	.34
PS Quintile 0.336-0.379)	RDS (n = 306)	65 (8)	303 (99)	166 (54)	3 (1-4)	20 (7)	14 (5)	286 (93)		152 (50) 14 (5)	(G) +1		12 (10-14)	6 (6-7)	102 (13-1,213)		5 (3-8)	4 (1-5)	90 (29)	10 (3)	49 (16)	60 (20)	91 (30)
Third (PS (	SDS (n = 573)	64 (8)	567 (99)	339 (59)	3 (1-4)	56 (10)	19 (3)	527 (92)		282 (49)	19 (3)		11 (10-14)	6 (6-7)	132 (13-1,935)		5 (3-8)	4 (1-5)	164 (29)	16 (3)	90 (16)	102 (18)	153 (27)
	Ρ	.28	.76	.70	89. 1990	.70	.1	.29		.39	Ξ.		.15	.51	:21		.54	.63	1.00	.29	.24	.70	.33
d PS Quintile 0.303-0.335)	RDS (n = 278)	66 (8)	275 (99)	172 (62)	1 (1-4)	9 (3)	2 (1)	258 (93)		131 (47)	7 (1)		11 (11-12)	6 (5-6)	165 (15-1,378)		5 (4-9)	4 (1-5)	76 (27)	9 (3)	38 (14)	46 (17)	80 (29)
Secon (PS (	SDS (n = 610)	64 (8)	601 (99)	374 (61)	1 (1-4)	24 (4)	15 (2)	553 (91)		308 (50) 15 (2)	(7) 61		11 (11-12)	6 (5-6)	95 (12-1,230)		6 (4-9)	3 (1-5)	167 (27)	31 (5)	103 (17)	109 (18)	156 (26)
	μ	67.	1.00	.10	8 <u>.</u>	.19	.30	.08		88. G	0 <u>5</u> .		.41	.003	.13		.29	.38	.67	.46	.78	.41	.64
PS Quintile ).195-0.302)	RDS (n = 235)	65 (8)	232 (99)	153 (65)	1 (0-1)	3 (1)	4 (2)	211 (90)		141 (60)	4 (2)		11 (11-11)	5 (5-6)	131 (12-1,324)		7 (3-9)	5 (1-5)	66 (28)	39 (17)	45 (19)	65 (28)	53 (23)
First (PS (	SDS (n = 655)	64 (8)	644 (98)	400 (61)	1 (0-1)	3 (0)	6 (1)	609 (93)		397 (61)	(1) 9		11 (11-11)	5 (5-5)	63 (10-1,020)		6 (3-9)	3 (1-5)	174 (27)	95 (15)	133 (20)	201 (31)	137 (21)
	Patient Characteristics	Baseline characteristics Age, years, mean (SD)	Male, No. (%)	White, No. (%)	CirCom score, median (IQR)	Active alcohol use, No. (%)	ECOG performance status 3-4, No. (%)	Prescribing specialty as oncology, No. (%)	Pre-sorafenib BCLC stage, No. (%)	BCLC stage C		Pre-soratenib liver characteristics	MELD-Na score, median (IQR)	CTP score, median (IQR)	α-Fetoprotein, ng/dL median (IQR)	Pre-sorafenib HCC	Largest lesion, cm, median (IOR)	No. HCC lesions, median (IOR)	Macroscopic vascular	Local invasion, No. (%)	Lymph node spread, No. (%)	Metastatic spread, No. (%)	Pre-sorafenib therapies, No. (%)*

Table A2. Propensity Score Distributions in Our Cohor   Propensity Score Matching	t, Before and After
Median Propensity Score (IQR)	
Prematch propensity score, RDS (n = 1,675)	0.381 (0.323-0.465)
Prematch propensity score, SDS (n = 2,745)	0.342 (0.303-0.404)
Postmatch propensity score, RDS ( $n = 1,675$ )	0.381 (0.323-0.465)
Postmatch propensity score, SDS (n = 1,675)	0.381 (0.323-0.447)
Abbreviations: IQR, interquartile range; RDS, reduced standard dose sorafenib.	dose sorafenib; SDS

Table A3. Comparison of RDS Patients Who Were Included and Not Included in the Propensity Score Model								
Patient Characteristics	RDS Patients Not Included in PS Analysis (n = 134)	RDS Patients Included in PS Analysis (n = 1,675)						
Baseline characteristics								
Age, years, mean (SD)	63 (10)	64 (8)	.41					
Male, No. (%)	134 (100)	1658 (99)	.63					
White, No. (%)	72 (54)	1036 (62)	.07					
CirCom score, median (IQR)	1 (0-4)	2 (1-4)	.03					
ECOG performance status 3-4, No. (%)	5 (4)	82 (5)	.83					
Prescribing specialty as oncology, No. (%)	128 (96)	1558 (93)	.27					
Pre-sorafenib BCLC stage, No. (%)								
BCLC stage C	28 (21)	758 (45)	.62					
BCLC stage D	10 (7)	191 (11)	.33					
Pre-sorafenib liver characteristics								
MELD-Na score, median (IQR)	13 (11-18)	13 (11-18)	.33					
CTP score, median (IQR)	6 (6-8)	7 (6-8)	.21					
Pre-sorafenib therapies, No. (%)*	29 (22)	496 (30)	.06					
Overall survival, days, median (IQR)	219 (81-448)	198 (85-412)	.52					

Abbreviations: BCLC, Barcelona Clinic Liver Cancer; CirCom, Cirrhosis Comorbidity Index; CTP, Child-Turcotte-Pugh; ECOG, Eastern Cooperative Oncology Group; IQR, interquartile range; MELD-Na, Model for End-Stage Liver Disease Sodium; PS, propensity score; RDS, reduced dose sorafenib; SDS, standard dose sorafenib. \*Indicates the total number of patients who received at least one pre-sorafenib treatment.