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## Protein Kinase C as a Tumor Suppressor

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### Abstract

Protein kinase C (PKC) has historically been considered an oncoprotein. This stems in large part from the discovery in the early 1980s that PKC is directly activated by tumor-promoting phorbol esters. Yet three decades of clinical trials using PKC inhibitors in cancer therapies not only failed, but in some cases worsened patient outcome. Why has targeting PKC in cancer eluded successful therapies? Recent studies looking at the disease for insight provide an explanation: cancer-associated mutations in PKC are generally loss-of-function (LOF), supporting an unexpected function as tumor suppressors. And, contrasting with LOF mutations in cancer, germline mutations that enhance the activity of some PKC isozymes are associated with degenerative diseases such as Alzheimer's disease. This review provides a background on the diverse mechanisms that ensure PKC is only active when, where, and for the appropriate duration needed and summarizes recent findings converging on a paradigm reversal: PKC family members generally function by suppressing, rather than promoting, survival signaling.

### Keywords

PKC; phorbol esters; tumor suppressor; diacylglycerol; LOF

### Introduction

Protein kinase C (PKC) is considered the archetypal transducer of signals that result in phospholipid hydrolysis. The discovery in the late 1970s by Nishizuka and colleagues that PKC is directly activated by diacylglycerol [1] provided the long-sought effector of phosphoinositide hydrolysis, which Hokin and Hokin had shown 25 years previously to result from cholinergic stimulation [2]. All but two members of this family of kinases are allosterically activated by diacylglycerol, a simple lipid whose levels are tightly regulated in the cell [3]. As an essential intermediate in lipid metabolism, diacylglycerol is always present at basal levels, yet small increases resulting from receptor-mediated activation of phospholipase C are readily sensed by PKC. This review describes how the activity of PKC

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is exquisitely regulated to ensure homeostasis, and discusses recent findings supporting a general role in suppressing survival signaling.

## 1. PKC Family and Regulation

### The PKC Family

PKC isozymes are positioned at the tip of the AGC (protein kinases A, G, and C) branch of the kinome tree, past the branching off points of protein kinase A (PKA), phosphoinositide dependent kinase-1 (PDK-1), S6 kinase, Akt/protein kinase B (PKB), and protein kinase N (PKN) [4]. There are nine mammalian PKC genes that evolved from the single PKC1 in *Saccharomyces cerevisiae* [5, 6]. At the very tip of the branch lie the conventional isozymes (PKC  $\alpha$ ,  $\beta$ , and  $\gamma$ ), preceded on the dendrogram by the novel (PKC  $\delta$ ,  $\epsilon$ ,  $\theta$ ,  $\eta$ ) PKC isozymes and, in turn, preceded by the atypical isozymes (PKC  $\zeta$  and  $\iota$ ) [7]. Splice variants, including the common PKC $\beta$ I and PKC $\beta$ II, increase the number of isozymes to considerably more than nine. All PKC isozymes share a common architecture of an amino terminal regulatory moiety and carboxyl terminal kinase domain (Figure 1). The regulatory moiety comprises an autoinhibitory pseudosubstrate segment and modules that control whether this segment is engaged in the substrate-binding cavity of the kinase domain, to maintain the kinase in an 'off' conformation, or engaged to binding surfaces, to maintain the kinase in an 'on' and open conformation. The nature of the regulatory modules that dictate how the pseudosubstrate is released from the kinase domain determine the subfamilies of PKC.

Conventional PKC isozymes are regulated by diacylglycerol, which binds to one of two tandem C1 modules, and  $\text{Ca}^{2+}$ , which binds to a C2 domain (Figure 1). Novel PKC isozymes are regulated by diacylglycerol, which also binds one of the tandem C1 domains. Although novel PKC isozymes also have a C2 domain, it is not a  $\text{Ca}^{2+}$  sensor as key Asp residues required to coordinate  $\text{Ca}^{2+}$  are absent. Novel isozymes are able to respond to diacylglycerol alone because a single residue in the C1B domain, present as a Tyr in conventional PKC isozymes and a Trp in novel PKC isozymes, increases the affinity of this module by two orders of magnitude [8]. This enhanced affinity for diacylglycerol allows novel PKC isozymes to respond to elevations in diacylglycerol alone. Atypical PKC isozymes respond to neither diacylglycerol nor  $\text{Ca}^{2+}$ : they lack a second C1 domain and C2 domain, and although they maintain one C1 domain directly following the pseudosubstrate segment, a ring of basic residues surrounding the binding cleft precludes ligand binding [9, 10]. Instead, these PKC isozymes have a Phox and Bem1p (PB1) protein interaction domain which mediates binding to other PB1 domains on protein scaffolds [11]. This binding tethers the pseudosubstrate out of the substrate-binding cavity to allow substrate phosphorylation. Thus, all PKC isozymes have a pseudosubstrate-C1A module but the mechanisms that regulate how it unmask the kinase domain differ.

### Maturation of PKC

Before PKC isozymes adopt an autoinhibited conformation that is sensitive to second messengers, they undergo a series of 'processing' phosphorylations (Figure 2). Studies with the conventional isozyme, PKC $\beta$ II, reveal that newly synthesized enzyme is in an open conformation in which all its membrane targeting modules and pseudosubstrate are exposed

(species i, Figure 2). This species binds the molecular chaperone Hsp90 and its client Cdc37 via a conserved PXXP motif found throughout the AGC family [12], a binding event that is required for PKC to be processed by phosphorylation. By a mechanism that also depends on the kinase complex mTORC2 [13], PKC is first phosphorylated on a segment near the entrance to the active site by the phosphoinositide-dependent kinase-1 (PDK-1) followed by two tightly-coupled phosphorylations on the C-terminal tail, at positions termed the turn motif and hydrophobic motif. Phosphorylation at these latter sites depends on the intrinsic catalytic activity of PKC and, at least *in vitro*, has been shown to occur by intramolecular autophosphorylation on the hydrophobic motif [14]. The phosphorylated PKC adopts an autoinhibited conformation (species ii in Figure 2) that is stable, with a half-life of approximately 2 days, and resistant to dephosphorylation. In particular, phosphorylation of the hydrophobic motif prevents the degradation of PKC. Mechanisms that prevent any of these steps, including inhibition of mTORC2, result in PKC that cannot become phosphorylated, resulting in the degradation of PKC.

Atypical PKC isoforms are also processed by phosphorylation, resulting in constitutive phosphorylation, but the mechanism of this regulation differs in one key aspect from the processing phosphorylations of the diacylglycerol-regulated PKC isoforms: the nascent atypical PKC polypeptide is co-translationally phosphorylated on its turn motif by ribosome-associated mTORC2 [15], similar to the co-translational processing of Akt at this site [16]. In addition, Glu occupies the phospho-acceptor position of the hydrophobic motif phosphorylation site and functions sufficiently like a phosphomimetic that unphosphorylated constructs are resistant to degradation.

### Activation of PKC

PKC is maintained in an autoinhibited conformation by binding of the pseudosubstrate in the substrate-binding cavity; structural studies of PKC  $\beta$ II [17] have revealed that this interaction is locked in place by the C2 domain [18]. This ensures minimal signaling in the absence of agonist stimulation. In the case of conventional PKC isoforms, hydrolysis of phosphatidylinositol-4,5-bisphosphate (PIP<sub>2</sub>) results in the activation of PKC by a two step mechanism: first, Ca<sup>2+</sup> binds the C2 domain, allowing engagement of PKC on the plasma membrane via bridging with anionic phospholipids, at the Ca<sup>2+</sup>-binding site, and via binding to PIP<sub>2</sub> [19, 20], a lipid restricted to the plasma membrane, via a basic PIP<sub>2</sub>-binding surface on the C2 domain. Thus, in the presence of Ca<sup>2+</sup>, engagement of the C2 domain on the plasma membrane tethers the C2 domain away from the kinase domain, a conformational change that results in unmasking of the proteolytically-labile hinge separating the regulatory and catalytic moieties (species iii, Figure 2). Second, membrane-localized PKC binds its membrane-embedded ligand diacylglycerol, primarily via the C1B domain, an event that results in release of the pseudosubstrate from the substrate-binding cavity and accompanying activation (species iv, Figure 2).

As noted above, novel PKC isoforms bind diacylglycerol with two orders of magnitude higher affinity than conventional PKC isoforms and thus do not require pre-targeting to membranes by Ca<sup>2+</sup> in order to effectively bind diacylglycerol. Nor do they have a PIP<sub>2</sub> sensor on their C2 domain, which restricts conventional PKC isoforms to the plasma

membrane. Thus, novel PKC isozymes are primarily recruited to, a signal from, the more abundant and diacylglycerol-rich Golgi membrane.

Atypical PKC isozymes are regulated not by second messengers, but by protein:protein interactions that not only tether the pseudosubstrate out of the substrate-binding cavity, but position atypical PKC isozymes near their substrates. The latter is particularly important for atypical PKC isozymes because their intrinsic catalytic activity is an order of magnitude lower than that of the diacylglycerol-regulated PKC isozymes [15, 21]. Binding to protein scaffolds via their PBI domains results in release of the pseudosubstrate to allow catalysis. For example, binding to Par6 via a PB1:PB1 domain interaction relieves autoinhibition by the pseudosubstrate-C1 module [22]. Similarly, binding to the PB1 domain of the scaffold p62 relieves autoinhibition. In this case the pseudosubstrate is tethered to an acidic surface on the PB1 domain of p62 [23]. The differential affinity of scaffolds for pseudosubstrate fine tunes the activity of atypical PKC isozymes on the relevant scaffold [24]. Additionally, protein interactions can also inhibit atypical PKC isozymes: structural studies have revealed that a segment of Par3 binds the active site of atypical PKC isozymes to block substrate access [25].

### Inactivation of PKC

The inactivation kinetics of conventional and novel PKC isozymes mirror the decay of diacylglycerol [26]. Thus, metabolism of diacylglycerol results in the redistribution of PKC to the cytosol, where it regains the autoinhibited conformation (species ii, Figure 2). The spatiotemporal dynamics of this reversible activation of PKC has been well documented with activity reporters of PKC (reviewed in [27]). However, prolonged activation as occurs with ligands that are not readily metabolized, such as phorbol esters, results in the loss of PKC, a process referred to as down-regulation [28]. In its open, membrane-bound conformation (species iv, Figure 2), the phosphatase sensitivity of PKC is two orders of magnitude greater than that of the autoinhibited PKC [29]. Thus, prolonged residency on the membrane results in its dephosphorylation (species v, Figure 2). The first step in this dephosphorylation is catalyzed by the okadaic acid-insensitive PH domain Leucine-rich repeat Protein Phosphatase (PHLPP) [30], which removes the hydrophobic motif phosphate present on conventional and novel PKC isozymes [31]. Its loss results in the subsequent dephosphorylation of the turn motif and activation loop sites by okadaic acid-sensitive PP2A phosphatases [32]. Dephosphorylation is followed by ubiquitination and proteasome-mediated degradation of PKC, although under some conditions the phosphorylated protein can be ubiquitinated and degraded [33]. Interestingly, the down-regulation of PKC $\epsilon$  has been reported to require the activity of other PKC isozymes [34], suggesting interplay between the steady-state levels of PKC isozymes. Note that conventional isozymes depend on the peptidyl-prolyl isomerase Pin1 to be converted into a species that can be efficiently down-regulated following activation, providing a 'timer' for the lifetime of conventional PKC isozymes [35]: Pin1 catalyzes a post-maturation cis-trans isomerization of the turn motif phospho-Thr-Pro peptidyl bond that then allows the agonist-evoked dephosphorylation, ubiquitination, and degradation of conventional PKC isozymes (Figure 2).

## 2. Phorbol Esters and Tumor Promotion

Phorbol esters are found in the milky sap exuded by plants of the Euphorbiaceae family; the strong irritant property of this sap resulted in its use over the millennia in poison arrows, and croton oil, derived from the shrub *Croton tiglium*, was used medicinally as a cathartic [36]. Classic studies starting in the 1940s established that croton oil is a tumor promoter [37]: painting a sub threshold amount of a carcinogen on the skin of mice did not result in tumor formation, however, papillomas developed if the carcinogen treatment was followed by repetitive application of croton oil (reviewed in [38]). Indeed in humans, the common use of *Croton flavens*, one species of *Euphorbia*, for 'bush tea' in Curacao was proposed to be causally related with the high incidence of esophageal cancer on this Caribbean island [39]. In the late 1960s, the active ingredient was identified as a family of diesters of the tetracyclic diterpene phorbol, with varying acyl chains at the C-12 and C-13 positions [40, 41]. The most potent compound was phorbol 12-myristate 13-acetate (PMA, also referred to as TPA for 12-O-tetradecanoyl phorbol 13-acetate). The highly lipophilic properties of these molecules resulted in their nonspecific intercalation into cell membranes, impeding the identification of their 'receptor'. A breakthrough was made by Blumberg and colleagues, who reasoned that a more water-soluble molecule that still retained the pharmacophore would facilitate identification of the receptor [42, 43]. Their synthesis of phorbol 12,13-dibutyrate (PDBu) not only allowed the identification of PKC as a major receptor for phorbol esters [44], but to this day remains one of the most commonly used tools to modulate signaling pathways in cells.

The identification of PKC as the major receptor for tumor promoting phorbol esters marked the genesis of the concept that PKC would function as an oncoprotein. Yet 30+ years of clinical trials using inhibitors of PKC for cancer not only were ineffective, but in some cases worsened patient outcome. Notably, a meta analysis of 5 clinical trials for non small cell lung carcinomas revealed worsened patient outcome when PKC inhibitors (enzastaurin, an ATP competitive inhibitor, or aprinocarsen, a PKC $\alpha$  antisense oligonucleotide) were combined with chemotherapy compared with chemotherapy alone [45]. Thus, clinical trials unveiled a disconnect between the biology of phorbol esters and the biology of PKC.

Phorbol esters bind the C1 domain of PKC in a competitive manner with respect to the physiological ligand, diacylglycerol. However, unlike diacylglycerol, phorbol esters are not readily metabolized and thus result in constitutive activation of PKC. As noted above, activated PKC is in an open conformation that is sensitive to dephosphorylation and degradation. Thus, while phorbol esters result in acute activation of PKC, this is followed by the chronic loss, or down-regulation, of PKC [28]. As a result, overnight treatment with phorbol esters was a common and effective method to deplete cells of conventional and novel PKC isozymes in the era preceding genetic knockdown. In the paradigm described above for carcinogen-induced tumor promotion, the repetitive application of phorbol esters would be expected to cause a loss of PKC. Indeed, prolonged infusion with bryostatins, marine natural products that, like phorbol esters, also bind the C1 domain with exceptionally high affinity and down-regulate PKC [46], resulted in a significant reduction in the levels of PKC $\alpha$ , PKC $\epsilon$ , and PKC $\eta$  in peripheral blood monocytes of patients with advanced

metastatic cancers [47]. Could the tumor-promoting properties of phorbol esters result from the long-term loss of PKC rather than its acute activation?

### 3. PKC Mutations in Cancer are Loss-of-Function

The first reported cancer-associated mutation in PKC was one in PKC $\alpha$  that was found in human pituitary tumors [48, 49]. Joubert and colleagues reported that this mutation, D294G in the hinge region separating the regulatory and catalytic moieties, abolished the targeting of the enzyme to cell-cell contacts, effectively reducing its function [50]. It took the advent of massive tumor sequencing efforts to provide the resources for a more wide-spread analysis of cancer-associated mutations. There are now over 1,000 cancer-associated somatic mutations in PKC isozymes that are documented in cBioPortal ([51]). They occur in all PKC isozymes and throughout their domain structure. Analysis of approximately 50 of these revealed that two thirds were LOF, with no gain-of function (GOF) mutations identified [52]. Inactivation occurred by diverse mechanisms, from preventing processing phosphorylations (thus decreasing steady-state levels of the mutant PKC), impeding second messenger binding (by mutations in the C1 or C2 domain), or impairing catalysis (by mutations in the kinase domain). Many additional mutations in PKC family members are predicted with high confidence to be LOF as they occur in highly conserved motifs required for catalytic activity. The recently developed software KinView which annotates cancer-associated protein kinase mutations, identifies many additional LOF mutations in PKC, one of which was validated experimentally [53]. Lastly, neomorphic mutations that disrupt normal signaling by redirecting PKC away from physiological substrates, thus potentially engaging novel signaling pathways, may also contribute to cancer. For example, a mutation in PKC $\gamma$  that alters the substrate specificity of the kinase has been identified in lung cancer [54]. There are also numerous truncating mutations that have been identified in all the PKC isozymes. Lastly, a number of fusion proteins in PKC have also been identified in human cancers [55] and analysis of one such fusion in PKC $\epsilon$  in a thyroid cancer cell line reveals that its impaired function protects thyroid cells from apoptosis [56]. Taken together, these results reveal an abundance of cancer-associated mutations in PKC that are LOF and are found in a multitude of cancers.

Cellular analysis of LOF mutations in PKC has revealed that many are dominant negative with respect to the global PKC signaling output of cells. That is, a mutation in one isozyme suppresses the activity of other isozymes. One possible mechanism for this dominant negative effect is that the mutant PKC impairs the processing phosphorylations of other PKC isozymes, thus reducing their steady-state levels. Parker and coworkers showed this to be the case in overexpression studies and suggested that common titratable elements required in the processing of all PKC isozymes may be sequestered by the mutant PKC [57]. In this regard, the concentration of one common regulator of all PKC isozymes, PDK-1, has been estimated to be 10 nM in HeLa cells, considerably below the sum concentration of all the PKC isozymes (>100 nM), not to mention its other kinase substrates [58]. LOF mutations in PKC are generally heterozygous; their ability to function in a dominant negative manner with respect to their wild-type counterpart, and additionally to other PKC isozymes, amplifies their detrimental effects.



Studies in a colon cancer cell line in which a heterozygous cancer-associated mutation in PKC $\beta$  was either corrected to wild-type or deleted provide insight into the functional consequences of a mutant PKC. This mutation, found in a colon tumor and in the DLD1 colon cancer cell line, is in the highly conserved APE motif, a key regulatory segment of protein kinases [59]; this motif is a warm spot for LOF mutations in PKC isozymes and impairs processing phosphorylations and the catalytic activity of PKC [52]. Correction of this mutation (A509T) in the endogenous PKC $\beta$  allele (PRKCB) using genome editing revealed that the mutant protein is dominant negative with respect to other PKC isozymes: the basal signaling output of PKC was considerably higher in clonal cell lines with corrected PKC $\beta$ , and levels of another PKC, PKC $\alpha$ , were elevated more than 2-fold compared to levels in the parental cell line harboring one mutant allele of PKC $\beta$ . Cells in which the mutant allele was corrected had significantly reduced anchorage-independent growth compared to the parental cells. And deletion of the the mutant allele by genome editing resulted in an intermediate reduction in anchorage-independent growth, revealing that PKC $\beta$  is haploinsufficient with respect to suppressing anchorage-independent growth. Importantly, there was a striking decrease in tumor volume when corrected cell lines were subcutaneously injected in the flanks of nude mice compared to the parental cell lines. This cancer cell line harbours an oncogenic mutation in K-Ras (G13D), underscoring the dominance of PKC in suppressing oncogenic signaling: two alleles of functional PKC $\beta$  effectively suppress anchorage-independent growth and tumor growth in a xenograft model, with mutation of one allele unmasking the oncogenic potential of K-Ras.

#### 4. Downstream Substrates of PKC

Considerable evidence supports a role of PKC in serving as ‘brakes’ to oncogenic signaling via its inhibitory phosphorylation of proteins that promote growth and survival signaling, with transmembrane receptors being some of the best characterized substrates of PKC. One of its earliest identified substrates was the EGF receptor: Hunter and colleagues identified an inhibitory phosphorylation on Thr654 [60]. This phosphorylation reduces EGFR tyrosine kinase activity, decreases ligand binding affinity, and promotes internalization of the receptor [61-64]. PKC also phosphorylates and promotes the internalization of the proto-oncogene HER2 [65]. Amplified signaling by growth factor receptors, not only from GOF mutations but also from gene amplification or epigenetic alterations, is associated with a multitude of cancers; functional PKC would keep aberrant signaling in check, whereas LOF mutations in PKC would be predicted to sustain growth factor signaling, even in the context of oncogenic mutations. Inhibitory phosphorylations on the cytoplasmic tails of receptors is a theme in PKC signaling: it phosphorylates and desensitizes not only receptor tyrosine kinases, but also an abundance of G-protein coupled receptors such as the  $\beta$ -adrenergic [66], muscarinic [67], dopamine [68], and histamine [69] receptors, among many others. Thus, one mechanism for the dominant effects of LOF PKC mutations could be through the release of the ‘braking’ function of PKC towards signaling at the level of the cell surface receptor.

PKC also phosphorylates and inactivates non receptor oncogenes. PKC $\alpha$  suppresses signaling downstream of the phosphoinositide 3-kinase (PI3K)/Akt cell survival leg of growth factor signaling by catalyzing an inhibitory phosphorylation of the catalytic subunit of PI3K [70]. It also inactivates the proto-oncogene Akt by inducing its PP2A-mediated

dephosphorylation [71]. K-Ras is also likely to be a major target for the tumor suppressive function of PKC. Its phosphorylation on Ser181 was reported to inhibit K-Ras function by relocating it from the plasma membrane [72]. Although the role of this phosphorylation site in tumors is unclear [73], a recent report by McCormick and colleagues supports a role of PKC in suppressing K-Ras signaling in cancer [74]. These authors showed that the interaction of K-Ras with calmodulin modulates tumor formation in mouse models through inhibition of CaM kinase, an interaction that is prevented by PKC-mediated phosphorylation of K-Ras [74]. Strikingly, oral administration to mice of a weak PKC activator (prostratin [75], see concluding remarks) promoted K-Ras phosphorylation and repressed growth in orthotopic models of human pancreatic cancer. Supporting K-Ras as a target for PKC in cancer, bioinformatics analysis revealed that K-Ras is one of the top ten genes most frequently co-mutated in cancers harbouring LOF mutations in PKC [52]. Taken together, these data point to PKC playing a key role in keeping oncogenes in check, such that LOF mutations in PKC unmask the full signaling potential of the relevant oncogene.

#### 4. PKC Germline Mutations

Whole genome sequencing has resulted in the identification of germline mutations in conventional and novel PKC isozymes that are either causative in, or shown to associate with, disease (Figure 3). For one PKC isozyme, PKC $\delta$ , mutations that result in loss of protein expression or activity are causal in juvenile systemic lupus erythematosus (JSLE) and autoimmune lymphoproliferative syndrome [76-79]. These LOF mutations result in increased proliferation and resistance to apoptosis in immune cells. As such, JSLE patients often develop B cell lymphomas [80]. Four LOF mutations have been identified in the disease [81] (Figure 3): an invariant Gly (G248) on one of the ligand binding loops of the C1B domain is mutated to Ser in one patient with SLE-like disorder, a biallelic splice-site mutation causing the absence of protein product was identified in a patient with severe autoimmunity [78], an invariant Gly (G510) in the highly conserved activation loop of AGC kinases is mutated to Ser in three siblings with JSLE, and an Arg in a segment preceding the conserved PXXP motif of the C-terminal tail is mutated to Trp in a patient with autoimmune lymphoproliferative syndrome [77]. Somatic mutations in the latter residue (including to Trp) have also been identified in 3 different colorectal tumors (cBioPortal; [51]).

The presence of germline mutations that cause human proliferative disorders is a hallmark of a bona fide tumor suppressor [82]. LOF mutations in these tumor suppressors are associated with proliferative phenotypes and increased risk of malignancy. Germline mutations in the classic tumor suppressor, the lipid phosphatase PTEN, are associated with numerous syndromes that predispose to cancer [83, 84]. For example, Cowden Syndrome is caused by germline mutations in PTEN and is associated with increased risk of breast, thyroid, and endometrial cancers. Similarly, LOF mutations in the kinase LKB1 (also known as STK11) lead to the development of Peutz-Jeghers syndrome [85], a disease associated with the development of colonic hamartomas. Thus, the identification of germline mutations in PKC $\delta$  that are causal in a proliferative disorder unequivocally establish at least this PKC isozyme as a bona fide tumor suppressor.



In contrast to the LOF mutations in PKC $\delta$  that are causal in a proliferative disease, GOF mutations in PKC are associated with degenerative disease (Figure 3). These mutations do not cause constitutive activation of PKC, which would have the paradoxical effect of down-regulating the enzyme, rather they facilitate or enhance the activation of the enzyme. Such enhancing mutations in PKC $\gamma$  are causal in spinocerebellar ataxia type 14 (SCA14): over 20 mutations have been identified and most occur in the C1B domain. Analysis of some of the C1B mutations reveals that they loosen autoinhibitory constraints to facilitate the ligand-induced 'open' conformation of PKC $\gamma$ . Similarly, mutations that enhance the activation of PKC $\alpha$  are associated with affected individuals in families with Alzheimer's disease [86]. This isoform of PKC is required for the synaptic depression caused by amyloid- $\beta$  (A $\beta$ ), a cytotoxic peptide associated with Alzheimer's disease. Four Alzheimer's disease-associated mutations have been identified, and they increase the agonist-evoked activity of PKC by a relatively small amount (approximately 10%), too little to promote the down-regulation of the enzyme, however a lifetime of slightly enhanced signaling may sensitize individuals to the detrimental effects of A $\beta$ . A role of enhanced PKC activity in Alzheimer's disease is supported by a recent phosphoproteomics analysis of postmortem brains showing that elevation of PKC signaling is one of the earliest events in Alzheimer's disease [87]. Lastly, a polymorphism in the kinase domain of PKC $\eta$  (V374I) is associated with increased risk for cerebral infarction (stroke) [88], increased risk of arthritis [89], and severe gastric atrophy [90]; this mutation enhances the kinase activity *in vitro* [88, 91] and its position on the upper lobe of the kinase domain suggests it may also reduce autoinhibitory constraints. The association of germline mutations that result in enhanced activity of PKC with degenerative diseases supports a general role of PKC isoforms in suppressing survival signaling.

## 5. Reduced PKC Levels in Human Tumors

Clinical data reveal reduced protein levels of PKC isoforms in tumor tissue compared with cognate normal tissue for a variety of cancers. For example, decreased levels of PKC $\alpha$ ,  $\beta$ ,  $\delta$ ,  $\epsilon$ , and  $\eta$  have been reported in colon cancer [92-94]. In this cancer, low levels of PKC $\beta$  in normal tissue correlate with poor survival outcome for patients [95]. Low levels of PKC $\eta$  in hepatocellular carcinomas have also been shown to correlate with poor survival in liver cancer [96]. Additionally, decreasing PKC $\delta$  expression tracks with increasing tumor grade in endometrial cancer [97] and in malignant glioma versus low-grade astrocytoma [98]. While many PKC isoforms have reduced levels in various cancers, one exception may be PKC $\zeta$ : as noted below, PKC $\zeta$  is part of the 3q26 amplicon, but it is unclear how this affects protein expression. Indeed, for PKC $\alpha$ , DNA copy number levels correlate inversely with protein levels in breast cancer [99], the cancer in which PKC $\alpha$  copy number is most amplified [51]. In summary, clinical data reveal that protein levels of PKC have the potential to serve as diagnostic markers for disease prognosis.

## 6. Could PKC function as an oncoprotein in certain contexts?

Somatic and germline mutations of PKC isoforms are consistent with PKC family members generally suppressing survival signaling: cancer-associated somatic mutations across the PKC family are either inert or LOF, and a germline LOF mutation in PKC $\delta$  causes a proliferative disorder. In contrast, germline mutations that enhance activity in several PKC

isozymes are associated with degenerative diseases. Thus, reduced function of PKC is associated with cancer and enhanced activity with degeneration. Nonetheless, there may be cancer-specific and isozyme-specific contexts where PKC may function as an oncoprotein.

One context in which a PKC isozyme may serve an oncogenic function is in Adult T Cell Leukemia (ATLL). Whole genome and whole exome sequencing has revealed frequent (33% of patients) mutations in PKC $\beta$ , with a hotspot at Asp427 [100]. Overexpression studies indicate that this mutation increases the activity of PKC $\beta$  as assessed by several cellular readouts, including accelerated phorbol ester-dependent membrane translocation and enhanced NF- $\kappa$ B transcription. These activating effects are, however, so great that it begs the question as to whether this enhanced open conformation of PKC may promote the degradation of the mutants. Analysis of the steady-state levels of the mutant PKC $\beta$  in the patients will be important.

Although no GOF mutations in cancer have been identified to date in novel PKC isozymes, numerous reports suggest they function as oncoproteins in certain contexts. PKC $\delta$ , which has roles both in survival and apoptotic pathways [38, 101], has been reported to promote tumor progression in pancreatic cancer [102], and mice deficient in this isozyme have an increased incidence of lung tumors [103]. Similarly, genetic ablation of PKC $\epsilon$  in a transgenic mouse model of prostate adenocarcinoma inhibits prostate cancer development and metastasis [104]. Conversely, Kaznaietz and colleagues have shown that transgenic mice overexpressing PKC $\epsilon$  in the prostate develop preneoplastic lesions [105]. PKC $\epsilon$  expression is frequently elevated not only in prostate tumors, but also those of breast and other cancers [105].

Whether a specific PKC could function as a tumor suppressor in some cancers and an oncoprotein in others is also a possibility. For example, very few mutations in PKC are observed in breast cancer relative to other cancers [52]. Reyland and colleagues have shown that elevated PKC $\delta$  mRNA levels negatively correlate with prognosis in Erb2-positive breast cancer, with mouse models suggesting that it is required for ErbB2-driven mammary gland tumorigenesis [106]. Elevated PKC $\delta$  mRNA has also been reported to correlate with poor survival outcome in estrogen receptor-positive breast cancer [107, 108]. Nonetheless, evaluation of the mutational status of conventional and novel PKC isozymes in breast cancer suggests that PKC isozymes will be tumor suppressors in this cancer as well. Notably, there are several truncation and frameshift mutations observed in the genes of the conventional and novel PKC isozymes, including PKC $\delta$ , in human primary breast tumors. In addition, a previously characterized LOF mutation in PKC $\beta$ , A509V [52], is also observed in an invasive breast carcinoma. Higher expression of PKC $\beta$  mRNA also correlates with improved survival in hormone-insensitive, but not hormone-sensitive, breast cancers [108]. Establishing whether PKC isozymes may play oncogenic roles in specific contexts in specific cancers, such as breast, awaits functional characterization of mutations in PKC isozymes in these cancers.

What about atypical PKC isozymes? LOF mutations have been identified in PKC $\zeta$  and mutations of the highly conserved APE motif have been identified in both PKC $\zeta$  (E421K in a breast cancer) and PKC $\iota$  (E423D in lung cancer) [52, 109]. Additionally, a frequently

observed mutation in PKC $\alpha$  is neomorphic: mutation of R471C in PKC $\alpha$  changes its substrate specificity [110]. Low levels of PKC $\zeta$  correlate with poor patient outcome in colon cancer, and functional studies in intestinal cells reveal that loss of PKC $\zeta$  promotes metabolic reprogramming by two mechanisms – regulating the activity of a key metabolic enzyme, 3-phosphoglycerate dehydrogenase, and regulating the nuclear translocation of the transcription factors YAP and  $\beta$ -catenin [111, 112]. PKC $\alpha$  has also been proposed to have a tumor suppressive function in the intestine: this isozyme is lost in the intestinal epithelium of patients with Crohn's disease, a pathology associated with high risk of cancer, and mice lacking PKC $\alpha$  in their intestinal epithelium have increased inflammation and tumorigenesis [113]. However, the *PRKCI* gene is part of the 3q amplicon and considerable evidence supports a role for PKC $\alpha$  as an oncoprotein [114]. Notably, Fields and coworkers have identified an unambiguous oncogenic role for PKC $\alpha$  in lung cancer: in lung squamous cell carcinomas, PKC $\alpha$  was shown to phosphorylate SOX2, a master transcriptional regulator of stemness, thus allowing the expression of hedgehog acetyl transferase to permit growth in soft agar [115, 116], and in K-Ras-mediated lung adenocarcinomas, PKC $\alpha$  was shown to promote a tumor initiating phenotype by phosphorylating ELF-3 to control Notch expression [115]. Glioblastoma may also be a cancer in which atypical PKC isozymes function as oncoproteins: Ghosh and coworkers showed that high atypical PKC immunoreactivity, primarily PKC $\alpha$ , correlated with poor disease prognosis in patients with glioblastoma and that an atypical PKC inhibitor reduced tumor growth in a mouse model of glioblastoma [117]. This suggests that atypical PKC isozymes have oncogenic functions in certain contexts.

Hints that PKC isozymes function generally as tumor suppressors populate the literature. As early as the 1990s, Black and workers established a role for PKC $\alpha$  in suppressing cell growth [118, 119]. Furthermore, mice deleted in PKC $\alpha$  spontaneously develop colon tumors and, on an *Apc*<sup>Min/+</sup> background, loss of PKC $\alpha$  facilitates the formation of more aggressive tumors and decreases survival [120]. PKC $\alpha$  deletion also increases lung tumor formation in mouse models with an activated K-Ras background [121]. Mouse models also supported a tumor suppressive role for atypical PKC isozymes: deletion of PKC $\zeta$  in mice that are phosphatase and tensin homolog (PTEN) haploinsufficient resulted in larger and more invasive prostate tumors [122]. Similarly, deletion of PKC $\zeta$  in *Apc*<sup>Min/-</sup> mice, while PKC function is generally lost in cancer, there are clearly specific contexts in which PKC isozymes, particularly atypical ones, have oncogenic functions.

## 8. Concluding Remarks

The finding that PKC function is often lost in cancer sheds new light on the two stage carcinogen paradigm that established phorbol esters as potent tumor promoters. In the first step, the sub-threshold treatment with a carcinogen causes an activating mutation in an oncogene, such as K-Ras. This single hit is insufficient for carcinogenesis because PKC is suppressing the function of the oncogene. In the second step, the repeated treatments with phorbol esters would cause the loss of PKC, allowing unchecked signaling of the oncogene. It should be noted that phorbol esters have many other targets in addition to PKC [123] and also induce local inflammatory responses, functions that likely also contribute to their tumor-promoting properties. Nonetheless, it is their ability to cause the chronic loss of PKC,

rather than their ability to cause its short-term activation, that likely contributes to their tumor-promoting properties vis à vis PKC.

The unexpected finding that PKC isozymes generally function as tumor suppressors suggests that cancer therapies should henceforth focus on restoring, rather than inhibiting, activity. Furthermore, inhibitors that failed in clinical trials for cancer may have potential for degenerative diseases in which PKC activity is enhanced. Developing novel therapies to restore the function of PKC presents its own challenges. As discussed above, forcing the activation of PKC locks the protein in an open conformation that eventually results in its degradation, epitomized by the effects of phorbol esters in inducing down-regulation. Thus, strategies to enhance PKC activity would need to be accompanied by strategies to prevent the down-regulation of the PKC. One possibility would be through the use of molecules that only slightly enhance the activity of the relevant PKC isozyme, an approach that would recapitulate the PKC mutations in Alzheimer's disease that only subtly enhance activity and thus do not significantly affect PKC stability. Prostratin, a very weakly-activating phorbol ester (12-deoxyphorbol 13-acetate; [75]), may hold promise: its oral administration has been reported to reduce tumor volume in mouse xenograft studies of pancreatic cancer [74]. Another possibility is to reduce the ability of faulty PKC to act in a dominant-negative manner towards other PKC isozymes, for example by targeting the mutant PKC for degradation or masking binding surfaces that may sequester elements required for the processing of other PKC isozymes. Modulating the activity of enzymes that control the steady-state levels of PKC in the cell might be another mechanism to promote PKC signaling. In terms of repurposing inhibitors for degenerative disease, it is noteworthy that bryostatin, which failed in cancer trials and which down-regulate PKC, is showing promise in mouse models for Alzheimer's disease and is currently in clinical trials for the disease [124].

The dominant role of PKC isozymes in suppressing survival signaling lends caution to targeting proteins that control the steady-state levels of PKC. Notably, mTOR inhibitors [125] and Hsp90 inhibitors [126], which are currently in use in the clinic, prevent processing of PKC [12, 13]. As a result, these drugs will have the unintended and detrimental consequence of suppressing PKC levels, removing its protective and tumor suppressive function. If this is the case, one might predict that rapamycin, which targets mTORC1, might be more effective in cancer treatment than general mTOR kinase inhibitors, which target both mTORC1 and mTORC2.

The paradigm of kinases as oncoproteins has been challenged by recent findings that many kinases, like PKC, are tumor suppressive. These findings suggest that, in cases where PKC function is impaired, innovative approaches to restore PKC activity should be coupled to chemotherapies targeting primary oncogenic drivers. Patients with low levels of PKC expression or with LOF mutations would benefit from such combined therapies.

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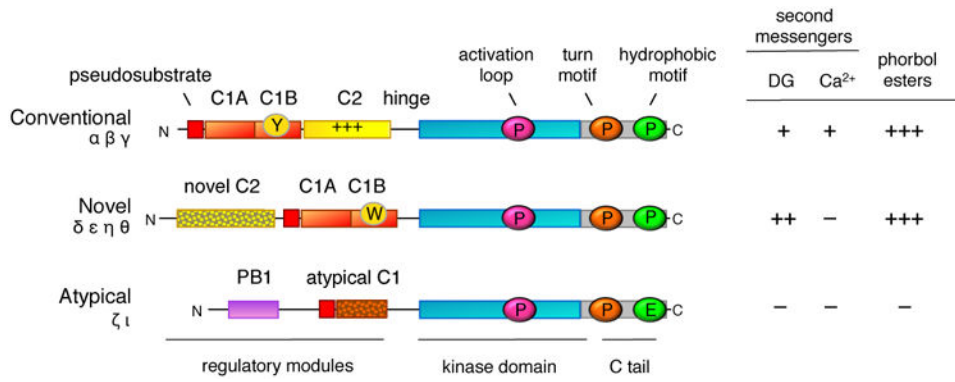
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## Abbreviations

<b>A<math>\beta</math></b>	amyloid- $\beta$
<b>GOF</b>	gain-of-function
<b>JSLE</b>	juvenile systemic lupus erythematosus
<b>LOF</b>	loss-of-function
<b>mTORC</b>	mammalian target of rapamycin complex
<b>PB1</b>	Phox and Bem1p
<b>PDBu</b>	phorbol 12,13-dibutyrate
<b>PKD-1</b>	phosphoinositide-dependent kinase-1
<b>PIP<sub>2</sub></b>	phosphatidylinositol-4,5-bisphosphate

<b>PKA</b>	protein kinase A
<b>PKB</b>	protein kinase B
<b>PKC</b>	protein kinase C
<b>PKN</b>	protein kinase N
<b>PMA</b>	phorbol 12-myristate 13-acetate



**Figure 1. Domain composition of PKC isozymes grouped by subfamily**

All PKC isozymes comprise an N-terminal regulatory moiety that contains an autoinhibitory pseudosubstrate segment (red) that is immediately followed by a C1A domain (orange) and a C-terminal catalytic moiety. Conventional and novel PKC isozymes have a second C1 domain, the C1B domain (orange), which is the predominant diacylglycerol sensor in the full-length protein; its affinity for diacylglycerol is two orders of magnitude higher in the novel C1B domain compared to the conventional C1B domain because of a Trp (vs Tyr in conventional isozymes) at a site that toggles the affinity of the C1B domain for diacylglycerol (W vs Y indicated on domain). Conventional PKC isozymes have a Ca<sup>2+</sup>-binding C2 domain (yellow) that contains a basic surface (indicated by +++) that serves as a plasma membrane sensor via its recognition of PIP<sub>2</sub>. Novel PKC isozymes have a novel C2 domain that does not bind Ca<sup>2+</sup> or lipids (mottled). Atypical PKC isozymes have a PB1 domain (purple) that mediates binding to protein scaffolds. The C-terminal kinase moiety contains the catalytic domain that has a priming phosphorylation site by PDK-1 (pink circle) and a C-terminal tail (C tail; grey) that is phosphorylated at the turn motif (orange circle) and hydrophobic motif (green circle); atypical PKC isozymes have a Glu at the phosphoacceptor site of the hydrophobic motif. The sensitivity to second messengers, diacylglycerol (DG) and Ca<sup>2+</sup>, and to phorbol esters is shown on the right (+, ++, and +++ indicate relative affinity for C1 domain ligands).

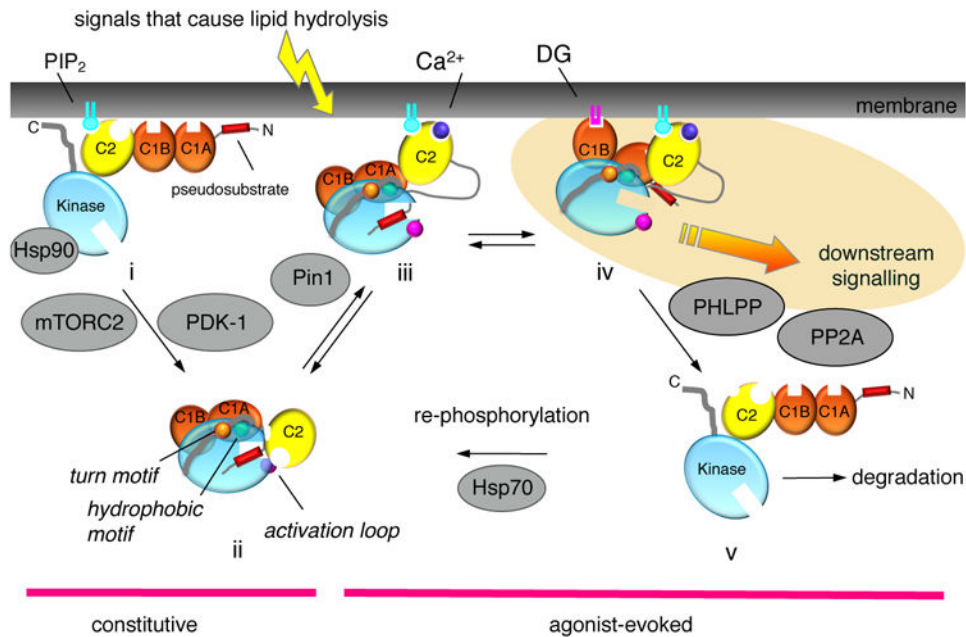
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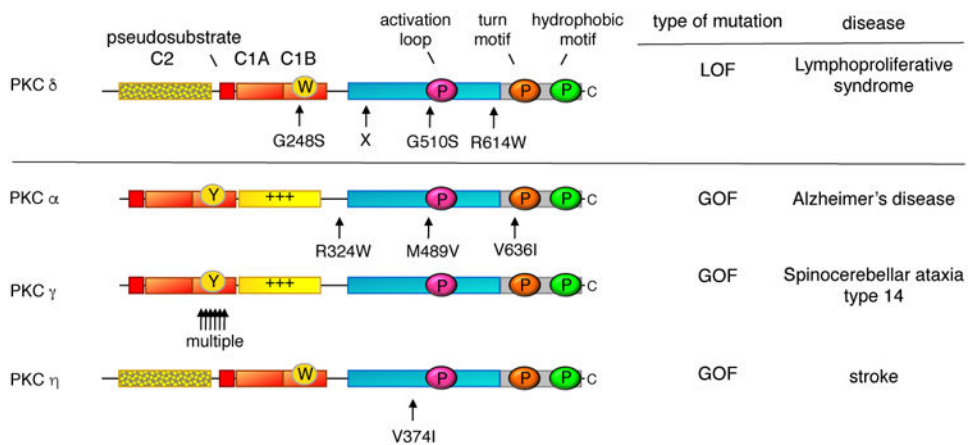




**Figure 2. Cartoon showing the multiple inputs that regulate the signaling lifetime of a conventional PKC**

Following its biosynthesis, PKC is in an open, and degradation-sensitive, conformation in which all its regulatory modules are unmasked (species i). It is processed by a series of ordered phosphorylations that depend on the binding of Hsp90, with Cdc37, to a conserved PXXP motif in the kinase domain, the kinase complex mTORC2, and PDK-1. Phosphorylation at three priming sites, the activation loop, and two sites on the C-terminal tail, the turn motif and the hydrophobic motif, promote PKC to adopt an autoinhibited conformation in which the  $\text{Ca}^{2+}$ -sensing C2 domain (yellow) clamps the autoinhibitory pseudosubstrate segment (red) in the substrate-binding cavity of the kinase domain (cyan), and the diacylglycerol-sensing C1 domains (orange) become masked (species ii). Hydrolysis of  $\text{PIP}_2$  results in  $\text{Ca}^{2+}$ -dependent recruitment of PKC to the plasma membrane via engagement of the C2 domain (species iii), where PKC binds its membrane-embedded ligand, diacylglycerol, via primarily the C1B domain (species iv). This active PKC phosphorylates downstream substrates, such as Ras, to suppress oncogenic signaling. The membrane-bound conformation of PKC is sensitive to dephosphorylation, with the first event being dephosphorylation of the hydrophobic motif catalyzed by PHLPP; subsequent dephosphorylation by PP2A produces a fully dephosphorylated PKC that is shunted for degradation by a proteosomal pathway (species v). However, binding of Hsp70 to the dephosphorylated turn motif allows PKC to become rephosphorylated to sustain the signaling lifetime of the enzyme. Phorbol esters (not shown) bind the C1B domain with two-orders of magnitude higher affinity than diacylglycerol (highlighted in yellow) and are not readily metabolized, trapping PKC in the open, phosphatase-sensitive conformation and resulting in chronic loss, or down-regulation, of PKC. Novel PKC isozymes are regulated by similar mechanisms except their C2 domain does not function as a  $\text{Ca}^{2+}$  or plasma membrane sensor, resulting in the localization of novel PKC isozymes primarily to the more abundant and diacylglycerol-rich Golgi membranes. Atypical PKC isozymes are activated upon binding to specific protein scaffolds that tether the pseudosubstrate out of the substrate-

binding cavity. Proteins indicated in grey are key regulators of the steady-state levels of PKC: Hsp70, Hsp90, mTORC2, and PDK-1 function to increase the steady-state levels of PKC by permitting/catalyzing processing phosphorylations; Pin1 and the phosphatases PHLPP and PP2A function to decrease the steady-state levels of PKC by permitting/catalyzing the dephosphorylation of PKC. Targeting any of these proteins will disrupt the balance of PKC signaling.



**Figure 3. Germline mutations in PKC associated with disease**

LOF mutations that are causative in a proliferative disease are a hallmark of a bonafide tumor suppressor: indicated are the positions of such germline mutations that have been identified in several families with lymphoproliferative syndrome; X indicates position of biallelic splice mutation that results in no expression of protein. In contrast to LOF mutations, germline mutations that enhance the activity of PKC are associated with degenerative diseases: indicated are rare variants in PKC $\alpha$  that segregated with affected family members with late onset Alzheimer's Disease, the multiple mutations in PKC $\gamma$  that are causative in spinocerebellar ataxia type 14, and a variant in PKC $\eta$  that is associated with increased risk to stroke.