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Author manuscript Endocr Relat Cancer. Author manuscript; available in PMC 2018 December 01.

Published in final edited form as:

Endocr Relat Cancer. 2017 December ; 24(12): T297–T310. doi:10.1530/ERC-17-0145.

## **Androgen deprivation and immunotherapy for the treatment of prostate cancer**

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## **Abstract**

Prostate cancer is the most common newly diagnosed malignancy in men, and the second most common cause of cancer-related death in the United States. The primary treatment for recurrent prostate cancer is androgen deprivation, and this therapy is typically continued life-long for patients with metastatic prostate cancer. Androgens and androgen deprivation have profound effects on the immune system, a finding that has become more appreciated in an era where immune-based treatments for cancer are being increasingly explored. Preclinical studies suggest that androgen deprivation could potentially positively or negatively affect the use of approved immunotherapies, or those that are being developed for the treatment of prostate cancer. In this review, we provide a brief overview of the different types of androgen deprivation treatments used in the management of prostate cancer, discuss their effects on prostate tumors and the immune system, and how they are being explored in combination with immunotherapy. Finally, we address some of the critical questions in the field that must be answered to identify the best approaches to combine androgen deprivation with immunotherapy for the treatment of prostate cancer.

#### **Keywords**

Androgen deprivation; immunotherapy; vaccine; prostate cancer

## **Introduction**

Prostate cancer is the most common cancer in men, and the second most common cause of cancer death in men in the United States (Siegel, et al. 2016). Androgen deprivation therapy (ADT), using surgical or chemical castration, is a standard treatment used in all stages of recurrent prostate cancer. Eventually patients will develop castration-resistant prostate cancer (CRPC) prompting additional therapies, many of which further block the androgen axis. This underscores the importance of androgens and the androgen signaling pathway in disease progression. Although ADT affects prostate tumor cells directly, there is growing evidence that androgens and androgen deprivation also have profound effects on the immune system. Immunotherapy (which involves mobilizing the immune system to attack tumor cells) has been gaining momentum as an exciting new treatment option. The first FDA

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Conflicts of Interest: DGM has ownership interest, has received research support, and serves as consultant to Madison Vaccines, Inc. MG has no relevant potential conflicts of interest.

approved immunotherapy for prostate cancer, sipuleucel-T (sip-T), has highlighted the potential use of immunotherapy to improve survival for patients with advanced, metastatic prostate cancer. Other types of immune therapy, notably checkpoint inhibitor therapies targeting CTLA-4, PD-1 and PD-L1, have been approved for the treatment of many other types of cancer and are being explored as treatments for prostate cancer. It has been evident that ADT in and of itself has immunomodulatory effects, and given the prevalent usage of ADT as a treatment for progressing and/or metastatic prostate cancer, it is timely to examine how ADT can affect the immune system and potentially be used with these immunotherapy approaches. In this review, we will explore the immunomodulatory effects of ADT and the rational combinations with immune therapies for prostate cancer.

## **Role of androgens in prostate cancer**

Prostate cancer disease progression is initiated by the formation of prostatic intraepithelial neoplasia (PIN), which can progress to high grade PIN (HG-PIN), followed by adenocarcinoma (as characterized by invasion of the epithelium into the stroma) and metastasis. The prostate is heavily reliant on androgen for growth and function, and treatments targeting production of androgen or the AR itself are used in every stage of prostate cancer disease progression. Metastatic prostate cancer is the lethal phenotype of the disease and is typically treated with chronic androgen deprivation, usually by pharmacological means. Castration induces involution of the prostate and decreases the size of prostatic carcinoma (Huggins, et al. 1941), which forms the rationale behind androgen deprivation as a therapy. In rodent models, castration induced rapid apoptosis in the rat ventral prostate (Banerjee, et al. 1995). Androgen deprivation also induces cellular senescence, a stable cell cycle arrest in response to sub-lethal stress.

Whilst initially highly responsive to this therapy, these cancers almost invariably become resistant and grow in a castration-resistant fashion. Historically castration-resistant prostate cancer (CRPC) was called hormone-insensitive, or androgen-independent prostate cancer. However, treatment with ADT can induce changes in tumor cells that allow them to grow in androgen-depleted conditions. These changes can include tumors acquiring gene amplifications of the AR (Visakorpi, et al. 1995), mutations arising in the AR (Eisermann, et al. 2013), activation of the AR occurring independently of androgen (Lyons, et al. 2008), tumors upregulation of steroidogenic enzymes (Pfeiffer, et al. 2011) including de novo intratumoral androgen synthesis from androstenedione and DHEA (Cai, et al. 2011), and persistent occupation of AR on DNA binding sites independent of ligand (Decker, et al. 2012).

## **Types of androgen deprivation therapies used in the treatment of prostate cancer**

For patients with localized prostate cancer, definitive extirpative therapy includes radical prostatectomy and/or radiation therapy (either external beam or brachytherapy), delivered with or without adjuvant androgen deprivation. Despite initial success with these treatments, up to a third of patients will have a biochemical recurrence that is characterized by rising serum PSA (Djavan, et al. 2003). Patients with biochemical recurrence can then undergo

androgen deprivation therapy, or remain in surveillance. With evidence of metastatic prostate cancer, patients are treated with androgen deprivation with or without docetaxel chemotherapy (Gravis, et al. 2016; Teo and Scher 2015), or with or without abiraterone (Fizazi, et al. 2017; James, et al. 2017), with the androgen deprivation continued indefinitely. At this stage, androgen deprivation therapy can include approaches that decrease serum androgen levels by orchiectomy or by targeting gonadotrophin releasing hormone (e.g. leuprolide, goserelin, degarelix), with or without blockade of the androgen receptor with nonsteroidal anti-androgens (e.g. bicalutamide, flutamide, nilutamide).

Two FDA-approved LHRH agonists include leuprolide and goserelin, both of which are equally effective at shutting down testosterone production (Dias Silva, et al. 2012). An approved LHRH antagonist that is used in the treatment of prostate cancer is degarelix. These agents work to decrease luteinizing hormone production leading to decreased gonadal production of testosterone. LHRH agonists and antagonists are considered as pharmacological alternatives to surgical castration. Despite substantially lowering serum testosterone, adrenal production of androgens can persist, and it has become clear that prostate tumors can also synthesize androgens. Hence other therapies are typically added to further suppress androgen levels or signaling. Nonsteroidal anti-androgens include flutamide, bicalutamide, nilutamide, enzalutamide, and apalutamide. These agents bind directly to the AR with the goal of blocking AR-directing signaling. Abiraterone is a potent Cyp17A1 inhibitor that results in further decreased testosterone levels by blocking androgen production in non-gonadal tissues. 5α-reductase converts testosterone to the more potent androgen dihydrotestosterone (DHT), which binds to the AR with greater affinity compared to testosterone. Due to remaining androgenic activity from increased testosterone, 5αreductase inhibitors (e.g. finasteride and dutasteride) are not considered as a monotherapy in androgen deprivation treatment for prostate cancer, however are sometimes used in combination with other therapies.

Many other AR-targeted agents are in development for the treatment of advanced, metastatic CRPC and include androgen receptor degrading agents such as galeterone, and agents that target the N-terminal domain of the AR such as EPI-506. These androgen pathway targeted therapies are summarized in Table 1. One newer class includes agents (e.g. galeterone and ASC-J9) that lead to degradation of the AR. Galeterone targets testosterone production by inhibiting Cyp17A1, competitively inhibiting the AR, preventing AR binding to DNA, and enhancing degradation of both wild type AR and mutant AR (Njar and Brodie 2015; Yu, et al. 2014). However, phase 3 trials of galeterone were recently halted due to the data monitoring committee determining that galeterone would not show an improvement in patient survival compared to enzalutamide. Another AR degrading compound is ASC-J9, a curcumin analog, which induces AR degradation by disrupting AR association with ARA70, a co-regulator of AR transcription (Yamashita, et al. 2012) and is able to induce degradation of both wild type AR and mutant AR encoding F877L, a mutation conferring resistance to enzalutamide. This agent, while evaluated as a treatment for acne, has not yet been evaluated as a treatment for prostate cancer. Most AR-targeted agents to date have targeted the ligandbinding domain of the AR. However, another class of AR inhibitors targets the N-terminal domain, the domain which interacts with other transcriptional regulatory proteins (e.g. EPI-001) (Myung, et al. 2013). EPI-001 binds to the AF1 domain of the AR, preventing AR

transactivation (Andersen, et al. 2010; De Mol, et al. 2016; Myung et al. 2013). Furthermore, it induced regression of CRPC xenografts in nude mice with little toxicity effects (Andersen et al. 2010). A related N-terminal AR inhibitor called EPI-506 is currently being evaluated in phase 1/2 clinical trials (NCT02606123) (Andersen 2017; Antonarakis, et al. 2016a).

In summary, ADT results in tumor regression, through the induction of tumor cell apoptosis and senescence. There are several ways to decrease circulating androgen levels, using either LHRH agonists or antagonists, Cyp17A1 inhibitors, or compounds to target the AR itself, such as anti-androgens, AR degrading agents, or compounds that use several strategies at once. Invariably, prostate tumors develop mechanisms of resistance to these various methods of ADT.

#### **Effects of androgen deprivation on immune function**

Much of the exploration of androgens on immune function came from observed sex differences in immune responses. For example, early studies demonstrated that women produce more IgM and produce more inflammatory cytokines including leptin, IL-1RA, CRP, GM-CSF and IL-5 compared to men (Butterworth, et al. 1967; Furman, et al. 2014). When immunized with polyvinylpyrrolidone (PVP), female mice produced significantly more antibodies as measured by antibody titer compared to males, and when male mice were castrated, they produced significantly more antibodies compared to females (Eidinger and Garrett 1972). Lymphocytes from female mice or castrated male mice were more reactive to alloantigens compared to male lymphocytes (Weinstein, et al. 1984). In addition, autoimmune diseases are more prevalent in women compared to men (Whitacre 2001). In patients with Kleinfelters syndrome, who carry the XXY sex complement and who are subsequently treated with androgen replacement therapy, there was a significant decrease in antibody production, lymphocyte numbers and CD3+, CD4+ T cell numbers (Kocar, et al. 2000). Given the sex differences in immunity, it is likely that sex steroids such as testosterone and estrogen can affect immune system function.

Sex steroids have profound effects on the immune system, as summarized in Figure 1. Testosterone can be converted to DHT by 5α-reductase or alternatively aromatized to estrogen, and all of which have immunomodulatory effects. The AR is expressed in the thymus in both the epithelium and in the thymocytes (that will differentiate into mature T cells), however it is AR expression in the thymic epithelium that regulates thymus size (Olsen, et al. 2001). Administering DHT causes involution of the thymus (Olsen and Kovacs 2001), and conversely castration causes an increase in thymus wet weight (Sutherland, et al. 2005). The testosterone-induced decrease in thymus wet weight is mediated by the Notch ligand Delta-like 4 (Dll4) (Velardi, et al. 2014).

Androgens have also been implicated in having direct negative effects on T-cell function. Treating CD4+ T cells with either testosterone or DHT increased the immunosuppressive cytokine IL-10 (Liva and Voskuhl 2001). Cytolytic T cell activity can be suppressed by regulatory CD4+ T cells (Treg) characterized by the expression of CD25+ Foxp3+. Culturing splenic CD4+ T cells in serial dilutions of Leydig cell conditioned media (which produce testosterone), or testosterone directly, resulted in a dose-dependent increase in the

number of CD4+ CD25+Foxp3+ Tregs (Fijak, et al. 2015; Fijak, et al. 2011). Furthermore, treating CD4+ T cells with Leydig cell conditioned media significantly increased the production of regulatory T cell cytokine IL-10 whereas concurrent treatment with the antiandrogen flutamide abolished the production of IL-10, demonstrating that CD4+ CD25+ Foxp3+ Treg function is androgen dependent (Fijak et al. 2015). These results were confirmed by another investigator group, and they further showed that an androgen responsive element is located within an intron in Foxp3, suggesting that Foxp3 is regulated by androgen (Walecki, et al. 2015). Interestingly, increased Tregs in the prostate is associated with reduced PSA recurrence-free survival, and significantly associated with higher tumor grade and higher Ki67 labelling in tumors (Flammiger, et al. 2013). In summary, the immunosuppressive effects of testosterone may result from several factors, including thymic involution with androgen treatment, decreasing lymphocyte proliferative ability, and increased Treg cell expansion and IL-10 expression.

In animal studies, supplementation of androgens may have immunosuppressive activities. Female mice infected with lymphcytic choriomeningitis virus (LCMV) and treated with DHT resulted in a decrease of LCMV-specific T cells and IFN $\gamma$  production (Lin, et al. 2010). In an induced mouse model of Grave's disease (an autoimmune disease), mice that were treated with DHT before induction of Grave's disease had significantly lower thyroid hormones compared to controls and significantly lower IFN $\gamma$  and IL-2 production, suggesting that DHT had an immunosuppressive effect on CD4+  $T_H1$  T cells (Liu, et al. 2016).

The observations above suggest that androgens have immunosuppressive effects on CD4+  $T_H1$  T cells, which further suggests that the removal of androgens by castration should enhance immune function. Castration of aged mice caused an increase in thymus size, and this was due to increased proliferation and decreased apoptosis of thymocytes in castrated aged mice compared to intact aged mice (Sutherland et al. 2005). Castration increased absolute levels of T cells in the periphery (Olsen and Kovacs 2011) and also led to increased proliferative response to antigen-specific stimulation compared to sham treatment (Roden, et al. 2004; Tang, et al. 2012). Mice treated with the chemotherapy agent cyclophosphamide had significantly fewer lymphocytes, but when they were castrated, they recovered lymphocytes faster compared to intact mice (Roden et al. 2004). Male mice infected with lymphcytic choriomeningitis virus (LCMV) have decreased numbers of LCMV-specific T cells and IFN $\gamma$  production compared to female mice (Lin et al. 2010). However, when male mice were orchidectomized, they had increased numbers of LCMV-specific T cells and increased IFN $\gamma$  production compared to intact male mice, suggesting that testosterone decreases antigen-specific T cells and that ablating androgens can result in increased LCMV-specific T cells numbers (Lin et al. 2010). Treating orchidectomized mice with DHT caused a decrease in LCMV-specific T cells as well as decreased IFNγ production. In other rodent studies, castration caused an infiltration of T cells into the prostate, which were initially  $T_H1$  biased, but later  $T_H17$  biased, and persisted until at least 90 days post castration (Kissick, et al. 2014; Morse and McNeel 2012). In studies of prostate tumor-bearing mice, castration with immunization similarly elicited a greater number of antigen-specific CD8+ T cells, however with prolonged treatment an increase in Tregs was observed (Tang et al. 2012). When IL-2 was blocked, the increase in regulatory T cells was inhibited, suggesting

that IL-2 mediates Treg expansion after castration (Tang et al. 2012). Together, these studies all demonstrate that androgen deprivation can enhance T cell function.

Similar findings have been found in human studies. Androgen deprivation led to an increase in the numbers of circulating naïve  $T$  cells and  $T_H1$ -biased phenotype shortly after beginning androgen deprivation (Morse and McNeel 2010), and decreased numbers of circulating CD4+ Treg (Page, et al. 2006). In studies using short-term androgen deprivation prior to prostatectomy, an increase in oligoclonal T-cell infiltration into prostate tissue was observed (Mercader, et al. 2001).

Manipulating sex hormone levels can also cause testosterone to be aromatized to estrogen, which may also have effects on the immune system. Using steroid binding assays, human CD8+ T cells were determined to express estrogen receptors (Stimson 1988), and subsequent studies using flow cytometry and immunofluorescence identified both ERα and ERβ present in CD4+ and CD8+ T cells (Pierdominici, et al. 2010). In pregnant women, where there is a high estrogen environment, Tregs were significantly increased compared to non-pregnant women (Prieto and Rosenstein 2006; Valor, et al. 2011). Contrarily, female patients with estrogen deficiencies have been observed to have higher absolute CD8+ T cell counts and lower CD4+ T cell counts, together suggesting that estrogen may be involved in T cell homeostasis (Ho, et al. 1991). Moreover, treatment of prostate cancer cell lines with estrogen has been demonstrated to result in gene changes with upregulation of many genes associated with T cell recognition, including β2 microglobulin and MHC molecules (Coleman, et al. 2006).

In summary, androgens play a role in modulating the immune response. The sexual dimorphism in the immune response is apparent by the heightened production of antibodies and cytolytic T cell activity in females when compared to males. Furthermore, by manipulating hormone levels through either androgen treatment or by castration, there is evidence that androgens have an immunosuppressive effect. Although castration can increase effector T cell numbers, there can also be a concomitant increase in the number and function of Tregs. Finally, in the course of treatment with AR antagonists, patients may undergo an increase in estrogen levels due to the aromatization of testosterone, which may also have effects on T cell homeostasis and recognition of prostate tumor cells by T cells.

## **Androgen deprivation combined with immune-based treatments – preclinical studies**

Several preclinical studies have examined immune based treatments in combination with androgen deprivation therapies. In a study conducted by Drake and colleagues, adoptively transferred prostate-specific CD4 T cells largely ignored the native prostate (Drake, et al. 2005). However, when a tumor was present in the prostate, these transferred CD4+ T cells underwent proliferation but later were deleted. However, castration mitigated this tolerance, permitting these cells to expand with effector function following vaccination (Drake et al. 2005). They suggested that prostate cancer immunotherapies might be most effective delivered after androgen deprivation (Drake et al. 2005). In contrast to these findings, when mice were vaccinated with DNA encoding PSCA (prostate stem cell antigen), delivered by

gene gun and treated with castration either before or after vaccination, mice that were treated with vaccine first followed by castration produced significantly more PSCA-specific interferon gamma secreting T cells compared to castration followed by vaccine (Koh, et al. 2009). Furthermore, they also observed that androgen ablation caused an increase in dendritic cell maturation and increased expression of costimulatory molecules, and when cocultured with antigen-experienced T cells, induced higher expression of cytokines such as IL2, IFNγ and TNFα compared to naïve T cells (Koh et al. 2009).

Using a Pten knockout prostate cancer mouse model, Akins and colleagues examined the effect of androgen ablation by surgical castration on infiltrating immune cells in the prostate tumor. Similar to the previous study, there was a transient increase in CD8+ cytolytic T cells and CD4+ helper T cells in the prostate 2 weeks after castration, but by 5 weeks postcastration, the numbers of CD4+ or CD8+ T cells did not differ from pre-castration levels (Akins, et al. 2010). Furthermore, granzyme B, an effector cytokine of CD8+ T cells, was significantly upregulated at 2.5 weeks after castration but decreased again by 10 weeks post castration, indicating that this cytolytic-type T cell infiltrate was not sustained. The localization of the CD8+ T cells were clustered in the stroma, rather than in the neoplastic epithelium. In addition, CD4+ CD25+ Foxp3+ Tregs were significantly increased in the tumor stroma at 2.5 weeks castration, and then throughout both the stroma and epithelium by ten weeks post-castration. Depleting Tregs, and injecting tumor cells expressing the Tcell activating TNF receptor superfamily member LIGHT (CD258) into prostate tumors as an in situ vaccination, augmented CD8+ T cell cytolytic activity and significantly reduced prostate tumor weight, suggesting that the combination of androgen ablation, regulatory T cell depletion and in situ vaccination were able to induce an effective anti-tumor response (Akins et al. 2010).

Ardiani and colleagues investigated a vaccine employing the antigen Twist in combination with the anti-androgen enzalutamide (Ardiani, et al. 2013). Twist is a basic helix-loop-helix transcription factor that is involved in epithelial-mesenchymal transition and frequently upregulated in metastatic cancer (Ansieau, et al. 2010; Shiota, et al. 2015). Twist expression increased as tumors progressed in the TRAMP mouse model, indicating that it is a valid tumor antigen (Ardiani et al. 2013). When enzalutamide was administered to male C57/Bl6 mice, there was no change in T-cell function such as proliferation or CD4+ effector function, but it did induce a significant decrease in genitourinary tract weight and a significant increase in thymus wet weight (Ardiani et al. 2013). Vaccinating male mice with a yeastbased vaccine encoding Twist elicited Twist-specific CD4+ T-cell proliferation, and treating male mice with enzalutamide alone also significantly increased Twist-specific CD4+ T cell proliferation compared to control mice (Ardiani et al. 2013). However, combining Twist vaccination with enzalutamide significantly increased CD4+ T cell proliferation compared to control or enzalutamide-treated mice. Finally, in the TRAMP model, mice treated with the combination of Twist vaccine + enzalutamide had increased overall survival compared to control mice or mice treated with monotherapy vaccine or enzalutamide alone (Ardiani et al. 2013). When Twist was incorporated into a poxviral-based vaccine and administered to agematched TRAMP mice as a monotherapy, median survival of Twist vaccinated mice was better than control mice (19 weeks vs 4 weeks, p=0.048), similar to treatment with enzalutamide versus control  $(24$  weeks vs 4 weeks, p=0.001). When the Twist poxvirus

vaccine was administered together with enzalutamide, overall survival was significantly better compared to either control mice or mice treated with either vaccine or enzalutamide alone (Kwilas, et al. 2015). These studies indicate that combining immunotherapy with an anti-androgen can improve the anti-tumor efficacy compared to monotherapy alone. Adriani and colleagues further demonstrated that enzalutamide and abiraterone together sensitized AR-expressing prostate cancer cell lines to cytotoxic T cell lysis (Ardiani, et al. 2014). This sensitivity of CTL lysis was likely mediated by NAIP, an anti-apoptotic gene that was downregulated in LNCaP cells after treatment with either abiraterone or enzalutamide (Ardiani et al. 2014).

However, another investigator group found different results, suggesting that AR antagonists may have different effects compared with testosterone depletion when used in combination with immunotherapy. A preclinical study examined the use of a TLR9 agonist (CpG) as an immunotherapy and the effect of tumor growth in combination with either surgical castration or leuprolide + flutamide (Pu, et al. 2016). Using a subcutaneous Myc-CaP cell line as a model tumor, when CpG was administered in combination with surgical orchiectomy, there was a synergistic suppression of tumor growth compared to either therapy alone. However, when CpG was administered together with androgen deprivation therapy using leuprolide with flutamide, tumor growth was not repressed, suggesting that the immune response was repressed, likely mediated by the AR antagonist flutamide. When OVA-B16 cells were introduced to mice and treated with flutamide, there was a significant decrease in OVA antibody production and OVA-induced IFNγ production, which was not observed in surgically orchidectomized mice. When wild type splenocytes were stimulated with anti-CD3 and anti-CD28 antibody and simultaneously treated with AR antagonists flutamide or enzalutamide, or Cyp17A1 inhibitor abiraterone, or LHRH agonist leuprolide, the AR antagonists significantly decreased IFNγ production of both CD4+ and CD8+ T cells (Pu et al. 2016). When CpG was administered before flutamide, the repression of tumor growth was restored, suggesting that AR antagonists inhibit initial T-cell activation. Furthermore, they found that AR antagonist-induced repression of T cells was mediated by an off-target effect of GABA-A receptor inhibition. When CpG treatment was combined with androgen biosynthesis inhibitors such as abiraterone, the combined therapies synergized to induce near complete tumor regression. In summary, these studies demonstrate that androgen deprivation therapy can affect the immune response generated during immunotherapy treatment. Furthermore, that the type of androgen deprivation therapy can either positively or negatively affect the immune system, although this needs to be further investigated in a systematic fashion.

## **Androgen deprivation combined with immune-based treatments – clinical trials for prostate cancer**

Cancer immunotherapy was deemed the scientific breakthrough of the year in 2013, predominantly due to the success of T-cell checkpoint inhibitors for the treatment of many different types of cancer (Couzin-Frankel 2013). Despite the limited activity of these agents as monotherapies for prostate cancer, the anti-tumor vaccine Sipuleucel-T (Provenge®, Dendreon Corporation) was approved for the treatment of advanced, metastatic CRPC in

2010. Another anti-tumor vaccine, rilimogene galvacirepvec/rilimogene glafolivec (Prostvac, Bavarian Nordic) is currently in phase 3 clinical trial evaluation. Many immunotherapy agents are also being evaluated, alone or in combination, for patients with prostate cancer. Given the immunomodulatory effects of androgen deprivation therapy, it would be rational to combine immunotherapy with ADT to augment the antitumor effect. While many immune based treatments have been evaluated in patients with advanced prostate cancer, many of whom were concurrently treated with androgen deprivation, fewer studies have specifically explored the combination of ADT with immunotherapy. In this section, we review the clinical studies that have specifically used ADT in combination with prostate cancer immunotherapies (summarized in Table 2).

As described above, the only current FDA approved immunotherapy for prostate cancer is sipuleucel T (sip-T), developed by Dendreon Corporation. Sip-T is an autologous cell vaccine in which peripheral blood is collected from patients, and antigen-presenting cells are activated with PA2024, a fusion protein of PAP antigen fused to GM-CSF. The activated cells are infused back into the patient where it is believed antigen-specific activated T cells can expand to recognize and lyse tumor cells. Sip-T was approved on the basis of a randomized phase III trial demonstrating improved survival of patients with metastatic, CRPC treated compared to control treatment (Kantoff, et al. 2010). Antigen-specific T cell proliferation and IFNγ secretion was significantly higher in patients treated with sip-T compared to control patients (Kantoff et al. 2010; Sheikh, et al. 2013). While sip-T was approved for patients already receiving ADT, there have been two studies examining the administration of timing of ADT with sip-T. One study compared the administration of the LHRH agonist leuprolide before sip-T (ADT→sip-T) or after sip-T (sip-T→ADT) in patients with non-castrate, PSA-recurrent prostate cancer (Antonarakis, et al. 2016b). PA2024-specific humoral responses were significantly elevated following sip-T treatment, although there was no difference between the two arms. T-cell responses were assessed by IFN $\gamma$  ELISPOT and were similar between the groups at all time points except week 6, where there was significantly higher IFN $\gamma$  production in sip-T $\rightarrow$ ADT compared to ADT $\rightarrow$ sip-T (p=0.013). Furthermore, T cell proliferation was 2-fold higher in sip-T $\rightarrow$ ADT compared to ADT→sip-T (Antonarakis et al. 2016b). The authors concluded that the timing of androgen deprivation therapy might affect the efficacy of immunotherapy, and that a preferred sequence would be to use sip-T prior to ADT in this patient population.

Another Phase 2 trial examined concurrent abiraterone + prednisone with sip-T treatment versus sequential sip-T→abiraterone + prednisone treatment in patients with metastatic CRPC (notably in patients already being treated with ADT), and assessed the effect on cumulative antigen presenting cell activation (Small, et al. 2015). In 69 patients treated, there was no statistically significant difference between the concurrent and sequential arm in terms of antigen-presenting cell activation, or antigen-specific T-cell proliferation and memory T-cell responses (Small et al. 2015). The study was not powered to examine whether sequential or concurrent administration of sip-T and abiraterone had any effect on clinical parameters such as PSA doubling time or overall survival, however the study showed that using abiraterone did not affect the quality of sip-T manufacture.

Another vaccine that is currently undergoing phase 3 clinical trial evaluation for prostate cancer is Prostvac (Bavarian Nordic). Results from a multi-national phase 3 trial (NCT01322490) of Prostvac are expected to be released by the end of 2017. Prostvac-V/ TRICOM consists of a vaccinia virus transduced to express PSA and three co-stimulatory molecules B7.1, ICAM-1 and LFA-3 (TRICOM) which is administered as the initial dose, followed by up to 4 boosts with Prostvac-F/TRICOM, which is a fowlpox virus transduced to express PSA and TRICOM. Preclinical studies with Prostvac in a prostate-specific PSA transgenic mouse demonstrated that the combination of Prostvac with androgen deprivation by means of castration elicited increased T-cell responses, as indicated by increased IFNγ production and PSA-specific T cells (Arredouani, et al. 2010). A phase 2 clinical study assessed the efficacy of Prostvac, and compared it to the anti-androgen nilutamide (Arlen, et al. 2005). When PSA progression occurred, patients were offered the combination therapy, adding either nilutamide or vaccine to their treatment regimen. There was no significant difference in PSA decreases between patients treated with vaccine or nilutamide, and the median time to treatment failure in the vaccine arm was 9.9 months vs 7.6 months in the nilutamide arm. In patients that had nilutamide followed by vaccine, the median time to treatment failure was 5.2 months from the start of combination therapy, whereas in patients that had vaccine followed by nilutamide, the median time to treatment failure was 13.9 months from the start of combination therapy (Arlen et al. 2005). A follow up study 6.5 years after the initiation of therapy showed a trend for increased survival in patients randomized to the vaccine arm with a median time of 5.1 years compared to 3.4 years in the nilutamide arm, although this was not significant  $(p=0.13)$  (Madan, et al. 2008). When focused on the subset of patients that went from monotherapy to combination therapy, there was significantly greater survival with the vaccine first followed by nilutamide compared to nilutamide followed by vaccine  $(p=0.045)$ , suggesting again that vaccine followed by an androgen receptor antagonist may be a preferred sequence of administration.

Two trials have evaluated androgen deprivation as a means to reduce prostate tumor volume prior to the use of an anti-tumor vaccine. In a small phase I trial of 6 patients, Sanda and colleagues evaluated Prostvac in patients with early PSA-recurrent prostate cancer after a short course of androgen deprivation (Sanda, et al. 1999). More recently, we initiated a phase 1 clinical trial evaluating a DNA vaccine encoding the ligand-binding domain of the AR in patients who had started ADT within 6 months for newly metastatic disease (NCT02411786). This was based on preclinical information demonstrating that vaccination alone, targeting the AR protein itself, could prolong the survival of prostate tumor-bearing TRAMP mice, and that combining vaccination targeting the AR with ADT, could lead to a delay in castration resistant tumor growth (Olson, et al. 2013).

ADT can also potentially impact the anti-tumor efficacy of non-vaccine-based immunotherapies, notably immune checkpoint inhibitors. Several immune checkpoints that are currently approved for other cancers include ipilimumab (anti-CTLA4), tremelimumab (anti-CTLA4), pembrolizumab (anti-PD1) and nivolumamb (anti-PD1). Clinical trials using immune checkpoint inhibitors alone in patients with metastatic prostate cancer have been disappointing to date (Beer, et al. 2017; Kwon, et al. 2014; Topalian, et al. 2012). A phase 1 clinical trial evaluated the combination of tremelimumab with androgen deprivation therapy delivered in pulsatile fashion in patients with biochemically recurrent disease. No favorable

changes in PSA doubling time were observed shortly after treatment, however three patients experienced an increase in PSA doubling time several months after completing treatment (McNeel, et al. 2012). A randomized phase 2 clinical study specifically evaluated the combination of another CTLA4 inhibitor, ipilimumab, with androgen deprivation therapy for patients with recurrent prostate cancer. In that trial, patients were randomized to receive LHRH agonist with an antiandrogen alone or with ipilimumab. None of the 112 patients were progression-free at 18 months, the primary endpoint of the trial (NCT00170157). However, in another phase 2 trial combining pembrolizumab with enzalutamide in patients with enzalutamide-resistant disease, 4 of 20 patients achieved a PSA reduction > 50%, (Graff, et al. 2016a; Graff, et al. 2016b). Thus, combining ADT with specific checkpoint inhibitors remains an area of interest to potentially increase the anti-tumor effectiveness of these immunotherapies.

## **Future directions and critical questions to address over the next 5 to 10**

#### **years**

The fact that androgen deprivation is the primary treatment for recurrent prostate cancer, combined with its known effects on immune function, make it ideally suited to be used in combination with existing and emerging immunotherapy treatments for prostate cancer. Notwithstanding, several critical questions remain which should guide the next five to ten years of research and clinical trials. First, the optimal ADTs to be used in combination are not really known, as there has been no comprehensive comparison of the various agents described above for their effects on immune function. For example, treatment with LHRH agonists results in a decrease in serum testosterone, with less effect on serum estrogen levels. Monotherapy treatment with androgen receptor agonists such as bicalutamide, however, results in increased serum testosterone and estrogen levels (Di Lorenzo, et al. 2005). Treatment of prostate cancer cell lines with estrogen has been demonstrated to increase expression of MHC molecules and other molecules associated with immune recognition (Coleman et al. 2006). Hence, unopposed action of estrogen from an androgen receptor antagonist treatment, relative to LHRH agonists or agents such as abiraterone that inhibit synthesis of multiple steroid hormones, could have substantially different effects on immune function and recognition. Moreover, results by Pu and colleagues described above suggest that androgen receptor antagonists (flutamide and enzalutamide) can have negative effects on T-cell signaling relative to an LHRH agonist or abiraterone (Pu et al. 2016). It is not known whether this is common to all androgen receptor antagonists, but again this is clearly an area of importance to understand the impact of all of these agents on T-cell function, antigen-presenting cell function, and immune recognition of prostate tumor cells.

A second critical question is the appropriate sequence of androgen deprivation with immune based therapies, and whether this differs depending on the type of immunotherapy approach used. Early clinical trials used androgen deprivation prior to anti-tumor vaccination in an attempt to minimize prostate tumor burden prior to vaccination (Sanda et al. 1999). In addition to minimizing tumor burden, androgen deprivation prior to anti-tumor vaccination is rational given its ability to elicit naïve T cells following thymic regrowth that might be primed and activated with vaccination (Morse and McNeel 2010). Animal studies also

suggested that androgen deprivation reduced tolerance to prostate-expressed antigens, further suggesting that it might best be employed prior to immunotherapy (Drake et al. 2005). However, experimental evidence from other human trials has suggested that antitumor vaccination may best be employed prior to androgen deprivation, using androgen deprivation to modulate the response to vaccination, rather than the reverse (Antonarakis, et al. 2017; Madan et al. 2008). The mechanism for this is not entirely understood, but may be related to changes that occur with persistent androgen deprivation. Studies in mice and rats have demonstrated that initial Th1 biased responses elicited with androgen deprivation diminish over time, and increases in Treg are observed in prostate tissue with longer term androgen deprivation (Akins et al. 2010; Morse and McNeel 2012). Hence immune therapies other than vaccines, perhaps those specifically targeting Treg or other tumor-regulated T cells, might best be used following the start of androgen deprivation. In support of this concept, a recent clinical trial has suggested that patients with advanced prostate cancer may respond to PD-1 blockade therapy when delivered with concurrent enzalutamide, despite having enzalutamide-resistant disease (Graff et al. 2016b).

Related to this question of optimal sequence of androgen deprivation with immunotherapies is whether androgen deprivation might be better used as an intermittent therapy with immune-based treatments. Given concerns that continuous androgen deprivation might "select" for castration-resistant disease, many clinical trials have been conducted to compare continuous androgen deprivation versus its use on an intermittent basis for patients with advanced prostate cancer (Alva and Hussain 2014). The largest study powered to evaluate changes in overall survival did not demonstrate a benefit to intermittent therapy, and hence the standard of care has been to continue androgen deprivation lifelong in the setting of metastatic prostate cancer (Sciarra, et al. 2013). Notwithstanding, early studies in rats demonstrated that intermittent use of androgen deprivation elicited waves of apoptosis in prostate tissue (Sandford, et al. 1984). Recent clinical trials have explored the use of intermittent androgen supplementation with androgen deprivation to more rigorously test this approach (Schweizer, et al. 2016). Other rodent studies have demonstrated that the Tcell infiltrate into prostate tissue observed following short-term androgen deprivation changes over time with prolonged androgen deprivation, notably with a decrease in CD8+ T cells and an increase in Treg (Akins et al. 2010; Morse and McNeel 2012). It remains unknown whether intermittent use of androgen deprivation might then strategically be used to maintain a CD8+ T-cell prostate tumor infiltrate, an approach that may be synergistic with immune-based treatments. One clinical trial has tested this approach using two short courses of bicalutamide and specifically sequenced with CTLA-4 blockade (McNeel et al. 2012). While the study was too small to evaluate efficacy, the approach was found to be feasible.

Finally, a question of major importance is whether the use of androgen deprivation with immunotherapies need be confined to evaluation in prostate cancer or could be explored for other cancers and even other immune conditions. Of note, short-term androgen deprivation has been explored in the setting of stem cell transplantation to help T-cell immune reconstitution (Sutherland, et al. 2008). Hence, androgen deprivation might be employed in other contexts and treatment combinations requiring an increase in naïve T cells. Drake and colleagues have demonstrated in mice that androgen deprivation could reduce tolerance specifically to antigens expressed by prostate tissue, enabling their recognition by

subsequent immune-targeted therapy (Drake et al. 2005). Certainly the expression of prostate-expressed proteins is affected by androgen deprivation, and this may contribute to changes in the immunogenicity of prostate-expressed genes. Studies using non-prostate tumor models have similarly suggested a benefit combining androgen deprivation with tumor vaccination. However, at present it remains unknown whether the anti-tumor efficacy may be greater to antigens expressed by tissues affected by androgens or androgen deprivation.

In summary, ADT is already a standard treatment for recurrent prostate cancer. Give the systemic immunomodulatory effects of androgen deprivation with increases in thymopoiesis, and the specific effects of ADT on prostate tissue leading to cell death and infiltration by lymphocytes, combining ADT with immunotherapy is a rational direction for improving the efficacy of prostate cancer immunotherapy. While several clinical trials have explored combination approaches, there remain critical questions related to the best androgen-targeted agents to use for combination approaches, the best sequence of these therapies, and whether androgen deprivation might be better used intermittently with immunotherapy approaches. We expect these questions will guide the design of critical murine studies and clinical trials over the next five to ten years.

## **Acknowledgments**

Grant Support: This work is supported by the Department of Defense Prostate Cancer Research Program W81XWH-15-0492 and NIH P30 CA014520.

We wish to thank Dr Glenn Liu, Dr Laura Johnson and Ms Ellen Wargowski for helpful comments on this manuscript.

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#### **Figure 1.**

Sex steroids have effects on both the prostate and the thymus. Androgens such as testosterone and DHT induces a decrease in thymus weight and an increase in prostate weight. Conversely, androgen deprivation causes an increase in thymus weight and a decrease in prostate weight. Androgens also affect T cells, with testosterone causing an increase in immunosuppressive Tregs and IL-10 production and DHT causing a decrease in IFNγ-secretion by T cells. In addition, estrogen causes an increase in Tregs and increased β2-macroglobulin expression and MHC molecules. Androgen deprivation causes an increase in naïve T cell numbers, increase in antigen-specific T cells and increased IFNγ production as well as causing T-cell infiltration into the prostate.

## **Table 1**

Summary of the current approved and investigational androgen deprivation therapies for prostate cancer treatment



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# **Table 2**

Prostate cancer clinical trials specifically using androgen deprivation with immunotherapy Prostate cancer clinical trials specifically using androgen deprivation with immunotherapy

