

# Risk factors for postoperative recurrence of Crohn's disease with emphasis on surgical predictors

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## Abstract

Intestinal resection for Crohn's disease is not curative and postoperative recurrence rates remain high. Early detection of indices associated with recurrence and risk stratification are fundamental for the postoperative management of patients. Early endoscopy at 6-12 months is the "gold standard" procedure, whereas other modalities such as fecal calprotectin and imaging techniques can contribute to the diagnosis of recurrence. The purpose of this review is to summarize current data regarding risk factors correlated with postoperative relapse. Smoking is a well-established, modifiable risk factor. There are sufficient data that correlate penetrating disease, perianal involvement, extensive resections, prior surgery, histological features (plexitis and granulomas), and improper management after resection with high rates for recurrence. The literature provides conflicting data for other possible predictors, such as age, sex, family history of inflammatory bowel disease, location of disease, strictureplasties, blood transfusions, and postoperative complications, necessitating further evidence. On the other hand, surgical factors such as anastomotic configuration, open or laparoscopic approach, and microscopic disease at specimen margins when macroscopic disease is resected, seem not to be related with an increased risk of recurrence. Further recognition of histological features as well as gene-related factors are promising fields for research.

**Keywords** Crohn's disease, recurrence risk factors, postoperative recurrence

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## Introduction

Crohn's disease (CD) is a chronic inflammatory process that can affect any part of the gastrointestinal tract, while 25-40% of patients will experience extraintestinal manifestations [1]. Natural history data suggest that the course of the disease is characterized by relapses and remissions, while the majority of patients will present complications such as bleeding, strictures, fistulas and abscesses that demonstrate a disabling progression [2].

Surgical intervention will be required in about 80% of patients during their lifetime and, even in the biologic agent treatment era,

the requirement for intestinal resection remains high [3]. However, a recent meta-analysis of population-based studies demonstrated that, over the last 6 decades, there has been a decrease in the number of patients with CD who will require intestinal surgery at 1, 5 and 10 years after diagnosis [4]. Factors such as earlier diagnosis, implementation of practice guidelines, continuing medical education for inflammatory bowel disease (IBD) and early use of immunomodulators/anti-tumor necrosis factor (TNF) therapies seem to have contributed to this reduction [4-6].

Given the nature of the disease, surgical treatment is not curative and the rates of postoperative recurrence (POR) are high: endoscopic recurrence in the first postoperative year is reported in 35-85% of cases, with 10-38% of patients being symptomatic. By the third year, the rates are 85-100% and 34-86% respectively [7]. Froklis *et al*, in a recent meta-analysis of population-based studies, found that the overall risk for a second surgical intervention reaches a total of 28.7%: the risk in the 5<sup>th</sup> and 10<sup>th</sup> year was 24.2% and 35%, respectively. The same study demonstrated a significant difference between studies conducted before 1980 compared to those conducted after 1980, which could be attributed to better postoperative care and treatment options for CD patients [8]. The purpose of this article is to review the risk factors associated with POR after intestinal resection.

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## POR

POR is defined in several ways: endoscopic, biochemical, radiographic, histological, clinical, and surgical. The pattern is well-described: endoscopic recurrence precedes clinical relapse of symptoms, whereas the need for a second surgical intervention is referred to as surgical recurrence [9]. Thus, the diagnosis of POR may be based on endoscopic findings, serum or fecal markers, imaging findings, or clinical symptoms.

## Endoscopy

Endoscopy is considered to be the “gold standard” for the early detection of POR. Rutgeerts *et al* created a 5-level scale, based on the severity of the endoscopy findings at the anastomotic site and neoterminal ileum (Table 1). Patients with scores i0-i1 had a better prognosis, exhibiting <10% recurrence 10 years after surgery, demonstrating that the severity of the lesions is related to the course of the disease [10]. Endoscopic recurrence is defined as a Rutgeerts score  $\geq$ i2. A number of studies have tried to demonstrate the utility of early ileocolonoscopy, 6-12 months after index surgery, in terms of offering the patients an endoscopy-based management [11]. The landmark POCER trial concluded that the early detection of POR, followed by a step-up treatment approach according to the severity of endoscopic findings, is better than medication alone for preventing clinical relapse [12].

However, a number of issues regarding the Rutgeerts score should be taken under consideration. Firstly, it has not been validated prospectively, although it was used by several studies evaluating POR [13]. Secondly, according to a Spanish study, patients with score i2 having lesions confined to the anastomosis (i2a) were at lower risk for developing endoscopic progression or clinical recurrence compared to patients with non-anastomotic (ileal) lesions (i2b). According to the authors, anastomotic ulceration (i2a) could be attributed to surgery-related factors, such as sutures or ischemia, rather than a “true” endoscopic POR [14]. In contrast, a recent study by Bayart did not demonstrate a difference in clinical POR rates between the two subgroups [15]. Thus, further data relating to this issue are necessary. Lastly, the level of interobserver agreement might have been overestimated in the past [16]. A recent study found that interobserver agreement was moderate, especially for distinguishing scores <i2 from those  $\geq$ i2, which could lead to inappropriate therapeutic management in about 13% of patients [17]. At present, wireless capsule endoscopy is a good option for POR detection in areas inaccessible via colonoscopy or when colonoscopy is contraindicated or unsuccessful [18,19].

## Fecal calprotectin

Fecal calprotectin is a useful biomarker for POR detection. Evidence suggests that it correlates closely with the severity of

endoscopic findings, and could be used for monitoring the response to treatment after POR [20,21]. It has been proposed by some authors as a useful screening test postoperatively (in conjunction with serum C-reactive protein [CRP] or not), for selecting patients to undergo endoscopy [22,23]. This evaluation could reduce the number of endoscopies needed for patients' follow up, without this currently being established in clinical practice. Nevertheless, a clear cutoff point has not so far been determined [24].

## CD activity index (CDAI)

In contrast, CDAI score does not have a satisfactory correlation with endoscopic recurrence according to Regueiro *et al* [25]. Therefore, given that the symptoms of patients after ileocecal resection may vary (from episodes of partial obstruction due to adhesions to diarrhea due to malabsorption), the term “clinical relapse” should refer to patients with confirmed endoscopic lesions and recurrence of symptoms [18,26]. Likewise, CRP, erythrocyte sedimentation rate, as well as other serological markers (leukocytes, platelet cells, fibrinogen, etc.) have poor negative predictive values, rendering them ineffective for the exclusion of POR [27]. Data have shown their unreliability for discriminating Rutgeerts score <i2 from  $\geq$ i2 [28], whereas Papamichael *et al* found that elevated CRP could identify only severe endoscopic POR (Rutgeerts score i3/i4) [29].

## Imaging

Imaging techniques such as ultrasonography, computed tomography (CT), magnetic resonance imaging (MRI) have been used for POR detection. Transabdominal ultrasound is a noninvasive, inexpensive diagnostic tool, free of ionizing radiation. The bowel wall thickness near the site of anastomosis is associated with the endoscopic findings [30,31]. CT enterography and MR enterography show similar sensitivity and diagnostic accuracy for POR, both demonstrating high agreement with endoscopic findings [18,32,33]. Currently, imaging modalities are only complementary to endoscopy.

## Risk factors for POR

For the purposes of this review, the risk factors for POR will be divided into five groups (Table 2):

### Patient-related factors

#### Smoking

Tobacco smoking is, for many reasons, aggravating for CD patients: it is a factor that could trigger the disease in

**Table 1** Severity of postoperative endoscopic findings in ileocolonic anastomosis (Rutgeerts' score) [10]

Score	Endoscopic lesions
i0	Absence of lesions
i1	≤5 aphthous ulcers
i2	>5 aphthous ulcers with normal intervening mucosa, skip areas of larger lesions, or lesions confined to ileocolonic anastomosis
i3	Diffuse aphthous ileitis with diffusely inflamed mucosa
i4	Diffuse inflammation with larger ulcers, nodules and/or narrowing

Rutgeerts' score ≥i2 defines endoscopic recurrence

**Table 2** Potential risk factors for postoperative recurrence

Patient-related	Smoking Sex IBD family history
Disease-related	Age Duration before first surgery Location of disease Behavior of disease Perianal disease
Surgery-related	Type of anastomosis Extent/margins of resection Laparoscopic/open surgery Strictureplasty Postoperative complications Blood transfusions Prior resections
Histology-related	Pleuritis Granulomas Lymphatic vessel density
Gene-related	<i>NOD2/CARD15</i> IL-10 promoter <i>IRGFM</i> <i>CARD18</i> <i>SMAD3</i>

IBD, inflammatory bowel disease; *NOD2/CARD15*, Nucleotide-binding oligomerization domain 2 / caspase activation and recruitment domain 15; *IL10*, interleukin-10; *IRGFM*, immunity-related GTPase family M; *CARD8*, caspase recruitment domain-containing protein 8; *SMAD3*, mothers against decapentaplegic homolog 3

genetically predisposed individuals, accelerate the progression of the disease (shortening the interval between diagnosis and need for treatment with biological/immunomodulators/steroids or surgery), as well as increasing the risk of complications and causing frequent relapses and extraintestinal manifestations [34-36].

Cigarette smoking is a well-recognized risk factor for POR and the only modifiable one; several studies have assessed the effect of smoking on recurrence [37-40]. Cottone *et al*, evaluating several variables as potential risk factors for POR in a study of 182 patients, demonstrated smoking (Odds Ratio [OR] 2.2, 95% Confidence Interval [CI] 1.2-3.8) along with extent of disease (OR 2.6, 95%CI 1.0-6.7) as the only predictive factors for endoscopic POR. As for clinical recurrence, smoking

(Hazard Ratio [HR] 1.46, 95%CI 1.1-1.8), extraintestinal manifestations (HR 1.61, 95%CI 1.0-2.5) and extent of disease (HR 1.57, 95%CI 1.0-2.4) were independent predictive factors, whereas surgical recurrence was associated with smoking only (HR 2.0, 95%CI 1.2-2.3) [41]. Unkart *et al*, in a retrospective observational study of 176 patients who underwent ileocolonic resection, demonstrated an increased risk for a second ileocolectomy (HR 2.08, 95%CI 1.11-3.91, P=0.023) for smokers at the time of first operation [42].

A recent multicenter observational study by de Barcelos, as well as a Swiss IBD cohort study, failed to recognize smoking as a risk factor for early postoperative endoscopic recurrence and repetitive ileocolonic resections. According to the authors of the former study, this could be partially explained by the small number of patients recruited (n=127), including only 15% smokers. As for the latter, this result could be explained by the lack of 23% and 16% of smoking data at diagnosis and follow up respectively [43,44].

The relation between cigarette cessation and postoperative course has been evaluated by several studies. Ryan *et al*, recruiting 267 patients, demonstrated that smoking cessation in patients with ileocecal disease could reduce the incidence of surgical recurrence. Ex-smokers when compared to non-smokers had no significant difference in the risk for reoperation and disease-free intervals after the first resection [45]. A meta-analysis of 16 observational studies including 2692 patients between 1990 and 2007 demonstrated that, when compared to non-smokers, ex-smokers had no significant difference in terms of clinical and surgical recurrence at 10 years. Moreover, the latter study confirmed that smokers face a 2-fold increased risk for clinical and a 2.5-fold increased risk for surgical recurrence at 10 years [46]. Timmer *et al* reached the same conclusions, as did a recent meta-analysis [35,47]. These results provide convincing evidence that smoking cessation has a beneficial effect over the postoperative course of CD, reducing the risk of POR to the levels of non-smokers.

Another issue that has been assessed in the literature is the dose-response effect of cigarette smoking. According to Yamamoto's study of 141 patients who underwent ileocolonic resection, heavy smokers (defined as smoking >15 cigarettes per day) had significantly higher overall recurrence rates compared to mild smokers (77% vs. 45%, P=0.02) [39]. Likewise, Lindberg *et al*, defining arbitrarily high exposure to tobacco smoking (>150 cigarette years) and heavy smoking (>10 cigarettes per day), demonstrated a greater probability for reoperation in heavy smokers [48]. On the other hand, Ryan could not demonstrate a definite effect, either for dose-response or for years of smoking, on surgical recurrence rates [45].

## Sex

Sex has not been established as a risk factor. It has been evaluated in several studies, but outcomes are conflicting. Searching the literature, we found studies demonstrating either male [49] or female [50] sex as a predictive factor for POR, whereas the majority of authors found no differences in recurrence rates [43,44,51,52].

## Family history of IBD

Regarding the impact of IBD history on POR rate, the evidence from a search of the literature is also quite unclear. For example, Unkart's study demonstrated a higher risk for patients with a positive family history (HR 2.24, 95%CI 1.16-4.30, P=0.016) [42], as did a study by Ryan *et al* [45]. In contrast, Kurer *et al* [52] found no additional risk according to IBD history. Thus, further data are required to clarify the relation of positive family history to recurrence rates.

## Disease-related factors

The Montreal classification (Table 3) separates CD patients according to the age at onset (A), disease location (L), and disease behavior (B) [53]. This classification reflects the wide spectrum of the CD phenotype, where each different subtype could be associated with different pathophysiological mechanisms, response to medical treatment, type of complications or disease aggressiveness, need for surgical intervention and POR [54].

## Age at diagnosis and duration of disease before first surgery

Younger age at diagnosis is considered to be a major factor for a more aggressive and disabling course, according to a review by Blonski *et al* [55]. However, the effect on POR is less clear, and data extracted from the literature do not consistently indicate age as a predictive factor [10,43,45,56-58].

Duration of disease before first surgery has also been evaluated by several studies. Yamamoto *et al*, after dividing the duration into arbitrary intervals of less than 1 year, 1-10 years, and more than 10 years, came to the conclusion that short duration was related to high POR rates [59]. Many studies have supported this observation [38,60-62], whereas others have not [58,63].

## Location of disease

A recent study by Manser *et al*, collecting data from 305 patients, demonstrated that an ileal location of CD (Montreal-L1) is a predictive factor (OR 2.42, 95%CI 1.02-

5.78, P=0.05) for a second ileocolonic resection [44]. A study of 280 patients in the John Radcliffe Hospital, Oxford, UK, concluded that patients with ileal disease had significantly higher POR rates compared to patients with ileocolonic (Montreal-L2) or colonic disease (Montreal-L3) [63]. Likewise, in a population-based study in The Netherlands, small bowel location of the disease was a predictive factor for POR [64]. Some authors correlate ileocolonic disease with high recurrence rates, as did Morar *et al* from St Mark's Hospital, UK, in a retrospective analysis of 142 patients [65, 66]. Keh *et al* demonstrated that patients with jejunal CD had higher surgical recurrence rates at years 3 and 5, whereas the 10-year difference did not reach statistical significance [67].

Two studies reported an upper gastrointestinal location as a significant risk factor for surgical recurrence [64,68], whereas a recent population-based Danish cohort could not confirm this correlation [69]. To our knowledge, only one study has shown that colonic location (Montreal-L3) is related with higher recurrence rates compared to small bowel and ileocolonic disease [70]. Finally, a number of studies have shown no correlation between disease location and POR [43,60,71]. Thus, the data from the literature remain quite conflicting, although disease of the small bowel and ileo-colon seems to increase the risk for POR.

## Behavior of disease

A penetrating phenotype (Montreal-B3), is associated with early POR, according to data extracted from many studies [71-74]. Sachar *et al*'s cohort of 34 patients who underwent ileocolonic resection demonstrated that those with B3 disease had a significantly increased risk for early POR (defined by the authors as clinical recurrence <3 years after index operation). In fact, none of the stricturing phenotype patients (Montreal-B2) in that study had symptoms of POR 3 years after ileocelectomy [72]. Likewise, Avidan's retrospective study of 86 patients, defining POR as the need for reoperation (surgical POR), concluded that penetrating disease was a significant risk factor for POR, correlated with a shorter interval to recurrence compared to smoking [71]. Other studies did not demonstrate significant differences in perforating versus non-perforating behavior [60,75]. A retrospective study by Yamamoto *et al* of 165 patients with ileocecal disease suggested that penetrating disease usually reappears with the same behavior, but no additional risk for POR could be documented [75].

This issue has been assessed by two meta-analyses. Similis *et al*, evaluating 13 studies with 3044 patients, despite the remarkable heterogeneity between studies, concluded that a perforating phenotype was associated with an increased risk of POR (HR 1.50, 95%CI 1.16-1.93, P=0.002). The authors also confirmed the finding of Yamamoto *et al*, demonstrating that penetrating disease reappears as penetrating, whereas non-penetrating recurs as non-penetrating disease [76]. A second meta-analysis of 12 studies by Pascua *et al* correlated perforating behavior as a risk factor for endoscopic POR (OR 1.59, 95%CI 1.37-1.84, P<0.05). As in the former study,

**Table 3** Montreal classification for Crohn's disease phenotype [53]

Age at diagnosis (A)	Location of disease (L)	Behavior of disease (B)
A1: ≤16 years	L1: ileal	B1: non-stricturing/non-penetrating
A2: 17-40 years	L2: colonic	B2: stricturing
A3: >40 years	L3: ileocolonic	B3: penetrating
	L4: modifier for upper gastrointestinal tract	p: modifier for perianal disease

significant heterogeneity was found between the studies included [77]. Therefore, the European Crohn and Colitis Organization (ECCO) includes fistulizing phenotype among the risk factors for POR in the recently published consensus on diagnosis and management of CD [78].

### Perianal disease

Perianal involvement is widely accepted as a risk factor by many authors [73,79,80], as well as the ECCO consensus published in 2016. In a recent Korean study, 132 patients were recruited and divided according to the presence or absence of perianal lesions. It was found that perianal disease was an independent risk factor for abdominal reoperation (HR 1.98, 95%CI 1.03-3.78,  $P=0.039$ ) [79]. In concordance of this correlation was an earlier population-based cohort study of 907 patients, according to which patients with perianal disease had a relative risk of 1.6 (95%CI 1.2-2.3,  $P=0.003$ ) for POR [80].

### Surgery-related factors

#### Type of anastomosis

According to Vantrappen and Rutgeerts, 90% of lesions that define endoscopic recurrence are located in the neoterminal ileum or the anastomotic site [81]. Furthermore, Rutgeerts evaluated the association of fecal stream with POR: he followed 5 patients who underwent ileocollectomy with a diverting ileostomy. Six months later, there was no sign of either endoscopic or histological recurrence. In contrast, 6 months after ileostomy reversal, all patients had endoscopic lesions and histological findings showing POR. Moreover, 53 of 75 patients whose ileocolonic anastomosis was not diverted developed endoscopic lesions within the same time frame [82]. As a result, many studies have focused on the effect of anastomotic configuration on POR rates. These data have raised concerns among surgeons as to what type of anastomosis could restore intestinal continuity in a more "anatomical" way, minimizing fecal stasis, ileocolonic reflux, ischemia and bacterial overgrowth in the non-terminal ileum [83,84].

Many studies have proposed a wide lumen side-to-side anastomosis (SSA) as the configuration which is less correlated with POR than other techniques [85-88]. A retrospective study of 141 patients by Scarpa *et al* has shown that stapled SSA is related to lower reoperation rates, in comparison with end-to-side anastomosis, but the risk for POR when compared to hand-sewn SSA is not significantly different [85]. Another study that included 138 patients in two IBD centers demonstrated that stapled SSA is associated with lower clinical and surgical recurrence rates compared to hand-sewn end-to-end anastomosis (EEA) [88]. On the other hand, a randomized controlled trial by McLeod *et al* showed no significant difference for recurrence rates between SSA and EEA. Of the 170 patients recruited, data could be extracted for 139 who underwent the

proper follow up. Endoscopic recurrence at 12 months was 42.5% for EEA and 37.9% for SSA ( $P=0.55$ ), whereas clinical recurrence was 21.9% and 22.7%, respectively ( $P=0.92$ ) [89]. Likewise, a meta-analysis by Simillis *et al* of 8 studies including 661 patients demonstrated a higher rate of perioperative morbidity for EEA, but in terms of perioperative recurrence and reoperation no significant difference was documented [90]. A recent multicenter observational study by de Barcelos *et al* found no correlation between the type of anastomosis and early postoperative endoscopic recurrence [43].

A novel technique for restoring intestinal continuity has been proposed by Kono *et al*. The Kono-S anastomosis is an antimesenteric, functional EEA. The principals of constructing the Kono-S configuration consist of dividing the mesentery near the bowel wall in order to have minimal devascularization and denervation, dividing the bowel with linear staplers, then suturing the stapled lines of proximal and distal stump to form a supporting column, performing a longitudinal enterotomy at the antimesenteric site in the proximal and distal segments (1 cm from supporting column) and creating the anastomosis with transverse suturing of the two compartments [91]. Preliminary data from 187 patients in USA and Japan, with a median follow up of 32 and 65 months, respectively, indicate that this wide-lumen type of anastomosis has low recurrence rates [92].

To date, none of the anastomotic configurations is considered a risk factor for POR. Nevertheless, new data are needed, especially from randomized studies evaluating all kinds of anastomotic configuration, including the novel Kono-S technique [93].

#### Extent and margins of the resection

It was considered for many decades that aggressive surgery, trying to resect not only macroscopically, but also microscopically involved bowel, was fundamental for reducing POR rates [94,95]. This trend had an obvious impact on the risk of short-bowel syndrome related to CD [96]. The randomized controlled trial by Fazio *et al* published in 1996 was a landmark that changed the surgical practice for CD patients. Surgical recurrence was the primary endpoint for patients divided randomly into two groups: 75 patients had limited resection margins (2 cm from macroscopically diseased bowel), whereas 56 patients had wide margins (12 cm). The patients with limited resection margins did not have a significantly greater POR rate, even if histological findings demonstrated microscopic disease [97]. At present, it is widely accepted that a clear macroscopic margin of 2 cm is adequate for patients who undergo intestinal resection [78].

The length of resected bowel is not consistently correlated with POR rate. The literature provides conflicting data, since some authors consider that wide resections (when necessary according to preoperative disease extent) are related with higher POR rates, whereas others do not [80,83,98]. In spite of this inconsistency, the ECCO has declared extensive bowel resection of >50 cm to be a predictive factor for POR [78].

### Laparoscopic versus open surgery

Laparoscopic surgery provides the well-established advantages of better cosmesis, and shorter durations of recovery and hospitalization of patients who undergo abdominal surgery. Furthermore, it seems to be as safe as a conventional open procedure for CD patients [99]. Therefore, laparoscopic surgery is the procedure of choice for surgeons who have acquired the proper experience and technical skills. In terms of POR, strong evidence suggests that neither the open nor the laparoscopic technique is associated with high recurrence rates. Stocchi *et al*, in 2008, analyzed the long-term outcomes of 56 patients who were included in Milsom's (n=60) randomized controlled trial back in 2001. It was demonstrated that recurrence rate was not affected by the surgical procedure (laparoscopic versus open) [100,101]. Likewise, Eshuis *et al*, in 2010, published the long-term follow up from 55 patients who were randomized for laparoscopic or open resection in Maartense's trial (n=60) in 2006. Once again, neither of the two procedures was associated with a higher recurrence rate [102,103]. A recent meta-analysis of 34 studies by Patel *et al* confirmed the results of Stocchi and Eshuis [104].

### Strictureplasty

Through the last decades, surgeons have tended to follow a more conservative approach regarding the management of stricturing CD. Strictureplasties, historically divided into "conventional" (Heineke-Mikulicz [HM], Finney) for relatively short strictures (<10 cm for HM, 10-25 cm for Finney) and "non-conventional" techniques (Michelassi, several modifications of "conventionals") for longer strictures, are increasingly used by surgeons. If there is no contraindication (severe inflammation, septic complications at the stricture site, suspicion of cancer, hypoalbuminemia, possibility for suturing under tension, resection of an adjacent bowel segment), strictureplasties are safe and valuable bowel-sparing procedures [105-107]. Mainly performed for small bowel strictures, a number of studies of ileocecal or ileocolonic strictureplasties are being published with limited data for long-term outcomes [108,109].

Dietz *et al*, in 2002, published a retrospective study of 123 patients who underwent strictureplasty with a median follow up of 6.7 years, finding a 29% rate of surgical recurrence, whereas diffuse jejunoileitis was not related with a higher risk compared with limited small bowel disease [110]. In contrast, another study evaluating 88 patients (339 strictureplasties), found that the number of strictures, and the number of strictureplasties performed, were correlated with higher rates of surgical recurrence [111]. Sampietro *et al* followed 102 patients who underwent non-conventional procedures, finding clinical and surgical recurrence at 10 years of 43% and 27%, respectively. Remarkably, in only 0.8% was the POR at the strictureplasty site [112]. Recently, in another Italian study (83 patients) assessing side-to-side isoperistaltic strictureplasty, the rate of strictureplasty-site POR was 28.9% [113].

A meta-analysis by Tichansky *et al* (506 patients, 1825 strictureplasties), demonstrated that HM strictureplasty was associated with higher recurrence rates compared to the Finney technique, whereas another study suggested the non-inferiority of conventional versus non-conventional techniques in terms of POR [114,115]. In 2007, Reese *et al*, in a meta-analysis of seven studies (688 patients) comparing strictureplasty alone (n=311) with resection (n=377), found higher surgical recurrence rates for the strictureplasty-alone group (38% vs. 31%; OR 1.36, 95%CI 0.96-1.93), but the difference was not statistically significant (P=0.09). The clinical recurrence rates were 17.6% and 11.5%, respectively (P=0.84). Moreover, the same study associated strictureplasty alone with lower postoperative morbidity, although statistical significance was again not reached (P=0.13) [116]. According to Yamamoto's meta-analysis of 1112 patients, overall POR was 23%, of which only 10% occurred at the strictureplasty site [117]. Since there are no data from randomized trials comparing either resection with strictureplasty, or the different techniques of strictureplasty, current evidence suggests that strictureplasty, in properly selected patients, is a safe procedure with acceptable POR rates.

### Postoperative complications/blood transfusion

Data do not support a constant correlation between postoperative complications and POR [43,60,85]. A recent multicenter observational study of early endoscopic recurrence does not suggest an increased risk [43], whereas Scarpa's retrospective study concluded that postoperative complications are associated with significant risk for POR [85].

On the other hand, the immunosuppressive effect of blood transfusion has been assessed by several studies as a possible protective factor against POR. Early studies have demonstrated conflicting results. Some authors found a definite protective effect [118,119], whereas others did not [120,121]. A pooled analysis of 4 studies in 1995 by Hollaar *et al*, including 622 patients with a mean follow up of 72.8 months, concluded that the protective effect of blood transfusion in POR could not be documented [122]. Recent data also fail to demonstrate a clear benefit [43]. A recent cohort of 318 patients from the Cleveland Clinic, USA, demonstrated that blood transfusions were associated with an increased risk for endoscopic (HR 2.08, 95%CI 1.38-3.14, P<0.001) and surgical (HR 3.43, 95%CI 1.92-6.13, P<0.001) recurrence [123].

### Prior resections

Previous intestinal resections are considered a risk factor for POR according to the recent European guidelines [78]. Ng *et al*, from St. Mark's Hospital, UK, retrospectively studied 99 patients and demonstrated that previous surgery was a borderline statistically significant risk factor for

POR (P=0.06) [124]. In 2009, McLeod's randomized trial (139 patients) reported an OR 1.78 (95%CI 1.06-2.90, P=0.028) for endoscopic and an OR 2 (95%CI 1.14-3.60, P=0.0016) for surgical recurrence in patients with previous resections [89]. Key studies assessing surgery-related risk factors are presented in Table 4.

## Histology-related factors

### Pleuritis

Many studies have assessed the relationship between the inflammatory infiltrates to the submucosal and myenteric

**Table 4** Key studies evaluating surgery-related factors for POR

Study	Year	Study design	No of patients	Mean follow up (years)	Potential risk factors evaluated	Results
Li <i>et al</i> [123]	2015	Single-center retrospective	318	6.1	Blood transfusion as a protective factor against POR Others: sex, age, disease duration, disease phenotype, smoking status, perianal disease, family history of CD, EIM, postoperative use of anti-TNF, use of corticosteroids or immunosuppressive agents before or after surgery, indication for surgery, open vs. laparoscopic approach, anastomotic configuration, intraoperative finding of fistula/abscess	Blood transfusions did not protect against endoscopic/surgical POR rates; contrariwise an increased risk for endoscopic and surgical POR rates was demonstrated Family history of CD, younger age, perianal disease were predictors for endoscopic POR Family history of CD, EIM, intraoperative finding of abscess were predictors for surgical POR
Eshuis <i>et al</i> [103]	2010	Multicenter RCT*	55	6.7	Surgical procedure: Patients were randomized to laparoscopic-assisted or open ileocolic resection	No significant difference in clinical and surgical POR rates between two groups
McLeod <i>et al</i> [89]	2009	Multicenter RCT	139	1	Anastomotic configuration: Patients were randomized to undergo stapled SSA or hand-sewn EEA Others: Duration of disease, number of previous resections, laparoscopic vs. open procedure, smoking, fistula or abscess present at surgery, length of small bowel affected, postoperative azathioprine therapy, compliance with postoperative azathioprine therapy, CDAI at six weeks	Rates for endoscopic and symptomatic recurrence were similar for SSA and EEA Predictors for POR Previous resections for both endoscopic and symptomatic POR. Non-compliance with postoperative maintenance therapy
Greenstein <i>et al</i> [111]	2009	Single-center retrospective	88	6.9	Number of strictures / strictureplasties performed in each patient Others: age, smoking, preoperative use of steroid or total parenteral nutrition, prior intestinal resection, concomitant intestinal resection	Number of strictures / strictureplasties is associated with surgical POR rates: increase in POR for each additional stricture / strictureplasty is 7% and 23% respectively No other predictor for POR was found

(Contd...)

Table 4 (Continued)

Study	Year	Study design	No of patients	Mean follow up (years)	Potential risk factors evaluated	Results
Stocchi <i>et al</i> [100]	2008	Single-center RCT**	56	10.5	Surgical procedure: Patients were randomized to laparoscopic or open ileocolic resection	No significant difference in endoscopic, radiological and surgical POR rates between two groups
Scarpa <i>et al</i> [85]	2007	Single-center Retrospective	141	3.2	Surgical: Laparoscopy vs. laparotomy, anastomosis type (stapled-SSA vs. hand-sewn-SSA vs. stapled-ESA), duration of the operation, duration of the post-operative hospital stay, day of first bowel movement, post-operative surgical and intestinal complications Non-surgical: sex, age at operation, CD duration, age at CD onset, recurrent CD, CD phenotype, medical therapy	Predictors for POR Surgical: Anastomosis type; ESA was associated with increased risk for surgical POR compared to SSA (hand-sewn or stapled) Postoperative complications for symptomatic and surgical POR Non-surgical: Young age at onset of CD for surgical POR
Muñoz-Juárez <i>et al</i> [88]	2001	Multicenter retrospective	138	5.8(EEA)/3.8 (SSA)	Anastomotic configuration: EEA vs. SSA	EEA was associated with higher risk for surgical POR
Fazio <i>et al</i> [97]	1996	Single-center RCT	131	4.6	Patients were randomized to undergo resection with limited or extended (2 cm or 12 cm respectively) resection margin from macroscopically diseased bowel Others: The presence of microscopic findings of CD at resection margins, age at the time of surgery, sex, duration of CD, extent of disease, indication for surgery, location of CD, prior resections	Extended resection margins were not associated with decreased risk for clinical/surgical POR Microscopic involvement of margins did not increase the risk for POR No other predictor for POR was found
D'Haens <i>et al</i> [98]	1995	Single-center retrospective	23	2.5	Extent of resection	The length of intestinal resection is correlated with a shorter interval to POR as well as the extent of recurrent CD
Scott <i>et al</i> [121]	1991	Single-center retrospective	197	7.7	Blood transfusion as a protective factor against POR	No protective effect for perioperative blood transfusion was documented

\*Long-term outcomes of Maastense's controlled randomized trial [102]

\*\*Long-term outcomes of Milsom's controlled randomized trial [101]

CD, Crohn's disease; POR, postoperative recurrence; EIM, extraintestinal manifestation; RCT, randomized controlled trial; CDAI, Crohn's disease activity index; SSA, side-to-side anastomosis; EEA, end-to-end anastomosis; ESA, end-to-side anastomosis

plexuses of bowel wall and POR. In 2006, Ferrante *et al* examined 59 ileocolonic specimens from CD patients. They found that myenteric plexitis (defined as the presence of at least one inflammatory cell in an enteric ganglion or nerve

bundle) at the proximal resection margin was a predictive factor for early endoscopic recurrence at 3 (OR 4.36, 95%CI 1.44-13.23, P=0.008) and 12 (OR 9.80, 95%CI 1.04-92.70, P=0.041) months. Moreover, the severity of inflammation (the



authors created a 3-level scale of severity, according to the number of inflammatory cells involved in the most severely inflamed enteric ganglion or nerve bundle) was associated with the severity of recurrence according to Rutgeerts' scale. Interestingly, no correlation with POR rate was documented for submucosal plexitis, the type of inflammatory cells involved, or the presence of plexitis in the body of the specimen or the distal margin [125]. Ng *et al* evaluated the impact of clinical features on the rate of myenteric plexitis in 99 patients, finding that previous resections and shorter disease duration were predictors of proximal margin myenteric plexitis. Clinical recurrence 1 year after resection was 30% for the plexitis group and 16% for patients without this feature ( $P=0.14$ ) [126].

A subsequent study by Sokol ( $n=171$ ) found that submucosal plexitis (with  $\geq 3$  mastocytes) was a risk factor for early clinical recurrence (HR 1.87, 95%CI 1.00-3.46,  $P=0.048$ ), whereas Bressenot ( $n=67$ ) reported an HR 8.02 (95%CI 1.87-34.47,  $P=0.0054$ ) if submucosal plexitis involved  $\geq 1$  eosinophil and 5.84 (95%CI 1.23-27.65,  $P=0.0269$ ) if  $\geq 6$  lymphocytes were involved [127,128]. Recently, a study of 86 CD ileocecal specimens showed that severe myenteric plexitis was a risk factor for surgical recurrence ( $P=0.035$ ), whereas mild and moderate grade did not reach statistical significance [129]. A French cohort study in 2016 (75 patients) confirmed previous studies, finding that myenteric plexitis is an independent risk factor for endoscopic and surgical recurrence. Nevertheless, no impact of submucosal plexitis was found [130]. Recent data from a prospective study in Belgium (74 patients) correlated lymphocyte-induced submucosal plexitis with endoscopic recurrence ( $P=0.02$ ) [131].

A constant finding in all studies was that plexitis is more frequent in the proximal than in the distal margin, leading authors to point out that this finding is in accordance with the fact that CD recurs proximally to the anastomosis, in the neoterminal ileum. Another remarkable finding is that the lymphocyte is the predominant cell in the inflammatory process of plexitis. The literature provides sufficient data about the correlation of enteric nervous system inflammation, especially myenteric plexitis, with POR as a risk factor.

### Granulomas

The presence of granulomas in the bowel specimen is a frequent finding, but the significance of this feature in relation to POR rates remains unclear. Two early studies suggested that granulomas were associated with a lower risk of POR: Glass *et al* demonstrated that granulomatous disease was associated with half the risk for POR compared to non-granulomatous CD [132], and Champers reported a better prognosis only when granulomas were located in the large bowel and anus [133]. In contrast, subsequent studies have correlated granulomas with higher POR rates [134-136]. A retrospective study in the Mayo Clinic, USA, with 89 patients, found that granulomas were associated with an HR 2.89 (95%CI 1.26-6.64,  $P=0.01$ ) for POR [136].

Two recent studies found no impact on POR [43,137], whereas Li *et al* demonstrated higher endoscopic and surgical recurrence rates only when granulomas were located in the mesenteric lymph nodes [138]. In 2014, VanDussen correlated the proportion of abnormal Paneth cells in the specimen inversely with the presence of granulomas, but with a shorter interval for POR [139]. A meta-analysis by Similis, including 22 studies, concluded that granulomatous CD increases the risk of recurrence (OR 1.37, 95%CI 1.02-1.84,  $P=0.04$ ) and reoperation (OR 2.38, 95%CI 1.43-3.95,  $P<0.001$ ), although the heterogeneity of the studies included necessitates further confirmation [140]. Thus, the ECCO guidelines include the presence of granulomas as a risk factor for POR [78].

### Lymphatic vessel density

Rahier *et al*, after examining the ileal and colonic specimens of 22 CD patients, found that lymphatic vessel density was increased in all layers of ileal wall (although statistical significance was reached only for mucosa), as well as the mucosa and *muscularis propria* of colonic wall, including both inflamed and uninfamed areas [141]. In a subsequent study, the same authors evaluated the relationship between this feature and POR in 28 patients. It was shown that the decreased lymphatic density in mucosa and submucosa at the proximal margin of an ileocolonic specimen is associated with a high risk for early endoscopic recurrence. It was also demonstrated that lymphatic density  $>7\%$  in the mucosa was a predictor of non-recurrence, with a sensitivity and specificity of 81% and 75%, respectively [142]. Further studies are needed in order to establish this feature as a predictive factor for POR.

### Gene-related factors

#### ***Nucleotide-binding oligomerization domain 2 (NOD2)/caspase activation and recruitment domain 15 (CARD15)***

*NOD2* gene, also known as *CARD15*, is one of the most studied genes in IBD patients. Its mutations have been associated with CD development, as well as CD phenotype: it is a predictor of ileal stenotic disease, aggressive behavior and early ileocecal resection [83,143]. Its value as a prognostic factor for POR has been evaluated in the literature. In 2001, Alvarez-Lobos *et al* presented the results of 170 patients, correlating *NOD2* variants with a higher risk of surgical POR and a shorter interval to reoperation [144]. Subsequent studies came to similar conclusions [145]. Another study from Germany demonstrated that homozygous patients for frameshift mutation 1007fs had a higher risk of POR [146]. Renda *et al* confirmed that only the 1007fs mutation had a predictive value for surgical POR (HR 2.9, 95%CI 1.1-7.3,  $P=0.03$ ) [147]. Interestingly, a study by VanDussen correlated *NOD2* mutations with a greater proportion of abnormal Paneth cells, a lower presence of granulomas in specimen and a higher risk for POR [139].

On the other hand, Manconi *et al* did not document an increased risk for reoperation for *NOD2* variants; nor did a recent study by Fowler *et al* [148,149]. A meta-analysis by Solon *et al* concluded that a higher portion of *NOD2* mutation carriers, compared to non-carriers, had surgical recurrence, but the finding was not statistically significant — this could be attributable to the heterogeneity of the six studies included [150]. Obviously, further data will be required to clarify the predictive value of *NOD2* mutations for POR.

### Other genes

Several other genetic loci have been assessed in the literature as potential risk factors, but the number of studies is limited, requiring additional data. For example, Meresse *et al* demonstrated that patients with low production of the anti-inflammatory cytokine interleukin (IL)-10 had a higher risk for endoscopic POR, although none of the IL-10 promoter polymorphisms that control IL-10 production were associated [151]. Sehgal *et al*, in a study of 66 patients, reported that a specific polymorphism at *immunity-related GTPase family M gene (IRGFM)* increased the risk for frequent intestinal resections [152]. Recently, a French study (137 patients) found that *caspase recruitment domain-containing protein 8* gene mutations (*CARD8*) were predictors of higher rates for surgical recurrence in homozygous patients (OR 7.56, 95%CI 1.13-50.37, P=0.036), whereas Fowler *et al* (194 patients) reported an increased risk for surgical recurrence in *SMAD3* gene mutation carriers (HR 4.04, 95%CI 1.77-9.21, P=0.001) [149,153].

### Postoperative management

The ECCO-IBD guidelines published in 2016 for the diagnosis and treatment of CD recognize the following prognostic factors for early recurrence after ileocolonic resection: smoking, prior intestinal resection, absence of prophylactic treatment, perforating phenotype, extensive small bowel resection (>50 cm), perianal disease, granulomas, and myenteric plexitis at the proximal margin of the specimen [78] (Table 5). Therefore, the proper management after resection is fundamental for minimizing the recurrence rates.

Current literature data demonstrate that postoperative treatment with anti-TNF agents or thiopurines (azathioprine, 6-mercaptopurine) can reduce the risk of POR [154]. According to a subanalysis of the POCER study, adalimumab was superior to thiopurines in terms of decreasing the risk of POR [155]. Likewise, two meta-analyses have documented superiority of anti-TNF treatment over other therapeutic strategies, including thiopurines [156,157]. On the other hand, in a recent multicenter randomized trial (91 patients) comparing adalimumab to azathioprine, non-superiority of one strategy over the other was documented, although adalimumab was associated with better tolerance. In this study, patients were not recruited according to their risk for POR [158].

Nitroimidazole antibiotics (metronidazole, ornidazole) are effective in preventing short-term endoscopic and clinical POR when administered in the early postoperative period according to two randomized trials [159,160]. Bad tolerance, which led a statistically significant portion of patients to therapy discontinuation, as well as the fact that the protective effect lasts only for the period of drug administration, were documented in both studies. To date, administration for a short period postoperatively, in combination with other medication or not, is an option [161].

**Table 5** Predictors of POR and their importance

Predictor	Importance
Smoking	Well-recognized and modifiable risk factor for POR Smoking cessation reduces the risk to the levels of non-smokers
Perforating phenotype	Well-established predictor for POR Penetrating disease usually reappears with the same behavior
Perianal disease	A consistent risk factor for POR
Extensive small bowel resection (>50 cm)	Studies do not consistently correlate the length of resected bowel with POR rates
Prior intestinal resection	Limited data define prior resections as a predictor for POR
Myenteric plexitis	Well-recognized risk factor for POR More frequent in proximal margin; association with CD recurrence proximally to the anastomosis Lymphocytes are the predominant inflammatory cells Further data for submucosal plexitis are necessary
Granulomas	Heterogeneity of studies necessitate further confirmation of granulomas as a predictor for POR
Absence of prophylactic treatment	Appropriate management after resection depending on: a. Risk stratification b. Colonoscopy at 6-12 months reduces the risk for POR

POR, postoperative recurrence; CD, Crohn's disease

Evidence relating to 5-aminosalicylates demonstrate that mesalamine is a well-tolerated medication, offering only a marginal protective effect for POR. Other medical treatments, such as corticoids or probiotics, have shown no benefit in reducing the risk for POR [154].

The landmark POCER trial demonstrated that estimation of the risk of recurrence, according to established risk factors, in combination with the findings at first postoperative colonoscopy (at 6 months), is mandatory for therapeutic decisions, and is associated with better outcomes than conventional treatment [12]. High-risk patients ( $\geq 1$  known risk factor) received thiopurines after surgery, escalating to anti-TNF only if index colonoscopy at six months revealed recurrence (Rutgeerts score  $\geq 2$ ). According to the authors, anti-TNF therapy for all high-risk patients increases the cost and is related with side effects, possibly overtreating a proportion of patients [12]. Another multicenter randomized control trial, although prematurely terminated because of slow recruitment, compared standard treatment with azathioprine in all high-risk patients versus endoscopy-tailored therapy. The findings demonstrated non-superiority of one strategy over the other [162].

According to the evidence above, postoperative management consists of two significant variables: POR risk stratification and early endoscopic assessment of the anastomosis (6-12 months postoperatively) for all patients. The POR risk indicates which patients should start medical treatment immediately after surgery, whereas early colonoscopy can find indices of early recurrence, leading to the appropriate adjustment of medical management. Anti-TNF agents, as well as thiopurines, seem to have an important protective value, currently being the drugs of choice for high-risk patients. Further data about the cost-effectiveness, as well as the potential of new biologics are expected. For low-risk patients, no medical treatment until colonoscopy at 6 months after surgery is the proposed approach [154].

### Concluding remarks

The natural history of CD teaches us that surgical intervention cannot cure the disease. The proper management postoperatively is defined by risk stratification. Smoking, prior resection, penetrating behavior, perianal disease, extensive resections, granulomas and myenteric plexitis are well-established risk factors. Patients should be strongly encouraged to quit smoking at the onset of the disease, while a multidisciplinary approach (gastroenterologists, surgeons, pathologists, radiologists) is mandatory. Early endoscopic diagnosis of POR is another key point; therefore, patients should be aware of the intense postoperative follow up that is always necessary. In the future, further details from the genotype-phenotype correlation are expected to clarify the pathophysiology of recurrence, as well as allowing the development of new, more efficient therapeutic protocols.

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