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# Mitochondrial dysfunction in cancer: Potential roles of ATF5 and the mitochondrial UPR

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#### Abstract

Mitochondria form a cellular network of organelles, or cellular compartments, that efficiently couple nutrients to energy production in the form of ATP. As cancer cells rely heavily on glycolysis, historically mitochondria and the cellular pathways in place to maintain mitochondrial activities were thought to be more relevant to diseases observed in non-dividing cells such as muscles and neurons. However, more recently it has become clear that cancers rely heavily on mitochondrial activities including lipid, nucleotide and amino acid synthesis, suppression of mitochondria-mediated apoptosis as well as oxidative phosphorylation (OXPHOS) for growth and survival. Considering the variety of conditions and stresses that cancer cell mitochondria may incur such as hypoxia, reactive oxygen species and mitochondrial genome mutagenesis, we examine potential roles for a mitochondrial-protective transcriptional response known as the mitochondrial unfolded protein response (UPR<sup>mt</sup>) in cancer cell biology.

#### Keywords

Mitochondria; UPR<sup>mt</sup>; ATF5; cancer

### I. Introduction

#### Mitochondrial organization and functions

Mitochondria are cellular compartments that form a dynamic network located throughout the cytosol that harbor the tricarboxylic acid (TCA) cycle and oxidative phosphorylation (OXPHOS) machinery. These two processes convert acetyl-CoA generated from carbohydrate, protein and fat catabolism into ATP via the electron transport chain

#### **Conflict of interest**

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(respiratory chain) complexes and the ATP synthase. Mitochondria are also required for many other essential cellular activities, including amino acid, lipid, nucleotide, and iron-sulfur cluster synthesis as well as calcium homeostasis. Beyond metabolism, mitochondria significantly contribute to multiple signal transduction events including the regulation of cell death, cell differentiation, growth and innate immunity [1–5].

Mitochondria are double membrane bound organelles consisting of about 1200 proteins. Nearly 99% of mitochondrial proteins are encoded by nuclear genes and synthesized on cytosolic ribosomes. These proteins harbor mitochondrial targeting sequences (MTS) that direct them to either the mitochondrial outer membrane, intermembrane space, inner membrane or the mitochondrial matrix. At the outer membrane, proteins destined for the mitochondrial matrix interact with the TOM (translocase of the outer membrane) complex and the TIM (translocase of the inner membrane) complex sequentially to traverse both mitochondrial membranes [6]. In addition to the channels, transportation across the inner membrane requires a proton gradient generated by the respiratory chain as well as molecular chaperones located in the mitochondrial matrix. Once in the matrix, the MTS is typically cleaved and the protein folds and/or assembles which is facilitated by molecular chaperones and complex assembly factors.

The remaining components of the mitochondrial proteome are encoded by the mitochondrial genome (mtDNA). Typically, human cells harbor hundreds, or thousands of mtDNA copies, which require extensive cellular machinery to maintain [7, 8]. Human mtDNA encodes 13 essential components of the OXPHOS complexes as well as 2 rRNAs and 22 tRNAs, which are required for the synthesis of mtDNA-encoded proteins within the mitochondrial matrix [9]. As the OXPHOS system is composed of large multi-subunits complexes encoded by separate genomes, transcription, protein expression and complex assembly must be tightly coordinated to prevent the accumulation of toxic protein folding or assembly intermediates in the mitochondria.

#### Mitochondria in cancer

Mitochondrial dysfunction caused by lesions associated with nuclear- or mtDNA-encoded genes contributes to a variety of human diseases, which typically present as neuro-muscular disorders [10–13]. Interestingly, similar mutations or lesions have been found in a variety of cancers, suggesting a relationship between mitochondrial dysregulation and cancer cell biology [14, 15]. Many of these mutations reduce OXPHOS efficiency [16–18] and force cells to rely more heavily on glycolysis for ATP production, potentially providing an underlying mechanism for what Warburg observed in cancer cells nearly 100 years ago; that cancer cells rely heavily on glycolysis even in the presence of oxygen [19].

However, a bevy of recent studies have found that cancer cells rely more heavily on mitochondrial functions than previously thought. In contrast to Warburg's observation that mitochondria are dysfunctional in cancers, mitochondria are likely metabolically altered to support cell proliferation and tumorigenesis, consistent with mitochondrial perturbations impairing tumorigenicity. For example, depletion of mtDNA in glioblastoma and breast carcinoma cells significantly impairs tumor proliferation [20, 21]. Additionally, inhibition of mtDNA replication or mitochondrial biogenesis by suppressing PGC-1a or TFAM also

reduces the invasion and metastasis of mammary epithelial cancer cells and lung cancer cells, respectively [22, 23]. These studies suggest that cancer cells rely on mitochondrial activities as well cellular pathways that evolved to maintain and recover mitochondrial function.

Furthermore, considerable evidence suggests that signals emanating from stressed or dysfunctional mitochondria promote cancer cell growth and survival. For example, mutations in the TCA cycle gene encoding isocitrate dehydrogenase (IDH) cause an over-production of the onco-metabolite 2-hydroxyglutarate, which contributes to glioma formation and leukemogensis [24, 25]. And, succinate dehydrogenase (SDH) or fumarate hydratase (FH) mutations also cause accumulation of the TCA cycle metabolic intermediates, succinate and fumarate, that activate hypoxia-inducible factor 1 alpha (HIF-1a), which promotes cancer cell growth and survival [26–29]. Moreover, mutations in genes encoding complex III components of electron transport chain impair apoptosis, thus contributing to tumor progression [30].

Further suggestive of a contribution of dysfunctional mitochondria to tumor progression, several conserved mtDNA mutations, such as mutations in cytochrome c oxidase subunit 1 (MTCO1) and NADH dehydrogenase (ND5), are found in tumors of patients with diverse mtDNA backgrounds [16, 31]. Although the impact on cancer biology remains controversial, several studies have suggested that mtDNA mutations perturbing the respiratory chain cause increased reactive oxygen species (ROS) which engage components of pro-growth or pro-survival pathways such as KRas, Akt and TLR4 [22, 32–36]. While it is well-documented that mtDNA lesions are relatively common in cancer cells, it should be noted that some studies have found no effect of mtDNA mutations on cancer cell biology [37]. Hopefully, future studies will be able to determine if mtDNA mutations are correlative or causative in tumorigenesis.

While mitochondrial lesions may or may not benefit tumor growth and survival, accumulation of mitochondrial stress in cancer has the potential to perturb mitochondrial and cellular activities, and must be dealt with to maintain cellular integrity. Here, we review a mitochondrial stress responsive pathway, the mitochondrial unfolded protein response (UPR<sup>mt</sup>), that responds to mitochondrial dysfunction by promoting mitochondrial repair, metabolic adaptations as well as survival by inducing anti-apoptotic factors, and its potential role in cancer biology.

#### II. The mitochondrial unfolded protein response (UPR<sup>mt</sup>)

#### Discovery of a mitochondrial stress response: A brief history

Considerable evidence has suggested the existence of adaptive transcriptional responses to mitochondrial dysfunction. Transcript profiling studies comparing affected tissues in patients with mitochondrial diseases demonstrated a variety of potentially adaptive transcriptional alterations [38]. Consistent with the patient studies, mitochondrial dysfunction in cultured cells caused by depletion of mtDNA or the overexpression of a terminally misfolded mitochondrial protein (OTC) causes transcriptional induction of a number of mitochondrial-specific molecular chaperones and proteases [39, 40]. As these findings were

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conceptually similar to a well-studied response that mediates endoplasmic reticulum protein homeostasis known as the unfolded protein response (UPR), it was dubbed a mitochondrial stress response, and later a UPR<sup>mt</sup> [41]. While conceptually similar, regulation of the UPR<sup>mt</sup> is different and completely independent of the UPR<sup>ER</sup>, likely deriving from differences in the two organelles and their respective protein folding compartments. And, the scope of the response is reflective of diverse cellular activities affected by mitochondrial functions.

In line with an organelle-specific response, the UPR<sup>mt</sup> is specifically activated by mitochondrial perturbations [41]. In addition to mtDNA depletion and mitochondrial unfolded protein accumulation, OXPHOS defects, inhibition of mitochondrial protein synthesis, mtDNA mutations, reactive oxygen species, hypoxia, as well as pathogenic bacteria that target mitochondria as part of a virulence response can trigger the UPR<sup>mt</sup> [42–49]. As indicated in the previous section, many of the listed mitochondrial defects can be observed in cancer cells suggesting activation of the UPR<sup>mt</sup>.

#### UPR<sup>mt</sup> signaling in *C. elegans*

Genetic and biochemical studies in C. elegans have indicated that cells evaluate or monitor mitochondrial protein import efficiency to regulate the UPR<sup>mt</sup>. Mitochondrial import likely serves as a useful surrogate for mitochondrial function, as multiple activities including OXPHOS and mitochondrial protein homeostasis, are required for efficient mitochondrial import [50]. Several components that regulate UPR<sup>mt</sup> activation have been discovered via genetic screens. The bZip transcription factor, ATFS-1, directly regulates UPR<sup>mt</sup> gene promoters during mitochondrial dysfunction and is regulated by organellar compartmentalization (Figure 1). ATFS-1 harbors both a mitochondrial targeting sequence as well as a nuclear localization sequence allowing it to respond to mitochondrial import efficiency [43]. In cells with a healthy mitochondrial network, ATFS-1 is synthesized and rapidly imported into mitochondria where it is degraded. However, during mitochondrial stress or dysfunction, reduced mitochondrial protein import efficiency causes a percentage of mitochondrial-targeted proteins to accumulate in the cytosol. As ATFS-1 harbors a nuclear localization sequence, it traffics to the nucleus to regulate a broad transcriptional response [43, 51]. In addition to transcriptional adaptations, UPR<sup>mt</sup> activation also requires chromatin rearrangements for a sustained response [52]. Interestingly, UPR<sup>mt</sup> activation can also be communicated between cells or different tissues presumably to allow for metabolic coordination or to prepare tissues for future conditions that may impact mitochondrial functions, although the signaling mechanism remains to be further defined [49, 53].

#### The transcriptional responses

Once in the nucleus, ATFS-1 regulates transcription of over 500 genes that orchestrate a coherent mitochondrial stress response including genes that promote mitochondrial protein homeostasis (chaperones, proteases and antioxidant genes). ATFS-1 also regulates diverse metabolic adaptations including an increase of all glycolysis genes while simultaneously limiting transcription of TCA cycle and OXPHOS genes, presumably to reduce mitochondrial metabolic loads and maintain cellular ATP levels via glycolysis, which occurs in the cytosol. And, the UPR<sup>mt</sup> coordinates a mitochondrial repair and recovery program that includes a mitochondrial biogenesis pathway [54]. Concomitantly, ATFS-1 regulates the

expression of xenobiotic detoxifying genes and an innate immune response likely to reduce the effects of toxic metabolic intermediates or environmental toxins, and detect those pathogens that perturb mitochondrial function as part of their virulence responses. For example, the pathogen *Pseudomonas aeruginosa*, which produces the OXPHOS inhibitor cyanide as a virulence factor, activates the UPR<sup>mt</sup>, which is required to clear the infection [46, 54].

#### UPR<sup>mt</sup> signaling in mammals

The UPR<sup>mt</sup> was first discovered in cultured mammalian cells expressing a terminally misfolded protein to the mitochondrial matrix, which yielded the increased transcription of multiple proteostasis genes as well as the bZip transcription factor CHOP [40]. Subsequent studies have demonstrated that UPR<sup>mt</sup> activation in mammals relies on similar mechanisms and bZip transcription factors to those in, however signaling in mammals is likely more elaborate as multiple transcription factors including CHOP, ATF4 and ATF5, are involved. The interaction or coordination of these three transcription factors remains to be understood, but all three are induced during mitochondrial dysfunction and required for UPR<sup>mt</sup> induction [55–59]. For example, ATF4 has been shown to respond to mitochondrial dysfunction and induce mitochondrial proteases, components of one carbon metabolism, as well as the hormone FGF21 that coordinates metabolism between cells and tissues [60–62]. And most recently, ATF5 was found to regulate a UPR<sup>mt</sup>, by mediating a transcription response that includes mitochondrial chaperones and proteases similar to ATFS-1 in *C. elegans* [59] (Figure 2). Interestingly, ATF5 is transcriptionally induced in several mitochondrial stress [59].

Accumulating evidence indicates that, like in *C. elegans*, the UPR<sup>mt</sup> in mammals is regulated at least in part by mitochondrial import efficiency. For example, during mitochondrial dysfunction, a subunit of the TIM23 mitochondrial protein import complex is rapidly degraded, resulting in reduced import efficiency and induction of a UPR<sup>mt</sup> [67]. Importantly, ATF5 is regulated by mitochondrial protein import efficiency similar to ATFS-1 [59], and potentially responds to degradation of Tim17a or other forms of mitochondrial stress that perturb protein import. Similar to ATFS-1, the steady-state localization of ATF5 is within mitochondria when expressed in healthy cells. However, during mitochondrial stress it accumulates in nuclei and induces the expression of mitochondrial-protective genes.

#### The UPR<sup>mt</sup> requires the integrated stress response (ISR)

Interactions between CHOP, ATF4 and ATF5 have been documented by multiple studies. For example, ATF4 and CHOP are capable of forming a heterodimer, however ATF5 does not associate with ATF4 or CHOP at least *in vitro* [68]. And, CHOP and ATF4 are both required for transcriptional induction of ATF5 [69–71]. Of note, the relationship between the three transcription factors has not been determined during mitochondrial dysfunction. One potential mechanism consistent with current data is simply that CHOP and/or ATF4 are required for basal transcription of ATF5, which can serve as a mitochondrial stress sensor similar to ATFS-1 in *C. elegans*. This model is consistent with CHOP being activated by multiple stressors, yet contribute specifically to a mitochondrial stress specific transcriptional program via increasing expression of ATF5, a transcription factor capable of

responding to mitochondrial dysfunction. Although, this model remains to be tested during mitochondrial dysfunction.

Interestingly, CHOP, ATF4 and ATF5 are preferentially translated during conditions that activate the integrated stress response (ISR) [69, 72–76]. The ISR is comprised of four kinases that are stimulated by different cellular conditions that phosphorylate the translation initiation factor eIF2a. The kinase PERK is activated by endoplasmic reticulum dysfunction, GCN2 is activated by amino acid depletion, PKR is activated by double stranded RNA in the cytosol typically during viral infection, and HRI is activated by heme depletion [77]. Importantly, all four kinases have been shown to be activated during mitochondrial stress, consistent with the pleiotropic nature of mitochondrial dysfunction. Phosphorylation of eIF2a results in reduced synthesis of most proteins, however proteins such as CHOP, ATF4 and ATF5 that are encoded by mRNAs harboring upstream open reading frames (uORFs) in the 5' untranslated regions are preferentially synthesized [69, 70, 73–75]. The activation or contribution of each eIF2a kinase and CHOP, ATF4 and ATF5 during mitochondrial dysfunction remains to be elucidated. Although, the interactions are potentially cell typespecific as different baseline levels of eIF2a phosphorylation driven by specific kinases exist in different tissues. For example, pancreatic beta cells rely heavily on PERK [78], and liver cells on GCN2 [79]. In tissue culture, it is clear that the ISR responds to mitochondrial stress and is required for UPRmt activation. However, it remains to be determined if the ISR kinases dynamically respond to mitochondrial stress *in vivo* or simply set the baseline level of eIF2a phosphorylation and cell-specific expression of CHOP, ATF4 and ATF5. Alternatively, ATF5 transcription can also be induced by increased growth factor signaling via ERK and PI3K signaling [80].

#### III. The UPR<sup>mt</sup> and cancer

As discussed in the previous sections, mitochondrial dysfunction is well documented in multiple cancers, as is the increased eIF2a phosphorylation that affects CHOP, ATF4 and ATF5 expression, suggesting a role of the UPR<sup>mt</sup> in cancer cell survival and growth. However, to our knowledge, a specific role for the UPR<sup>mt</sup> in cancer biology has not been explicitly examined. In principle, the UPR<sup>mt</sup> could promote cell growth and survival by ensuring mitochondrial function in the presence of mitochondrial stress related to cancer cell physiology or mutation accumulation, or influencing cancer cell metabolism, growth and inflammatory signaling, or responses to therapeutic agents perceived by the cell as xenobiotics [81, 82]. In this section, we review recent findings that suggest functions for UPR<sup>mt</sup> regulatory components as well as transcriptional outputs in cancer cell growth and survival.

#### UPR<sup>mt</sup> signaling components

Many studies indicate that the ISR via PERK, PKR or GCN2 activation is important for tumor progression [83–88], although their relationship with mitochondrial dysfunction remains to be elucidated. However, there is evidence showing that mitochondrial dysfunction enhances chemotherapeutic resistance in tumors via GCN2 [82], demonstrating a

relationship between mitochondrial stress and ISR activation. However, the impact of ATF5 in the context of ISR activation in cancer remains to be addressed.

While CHOP, ATF4 and ATF5 have all been reported to contribute to tumor growth and survival [83–85, 89, 90], we focus on ATF5, as it functions downstream of CHOP and ATF4, and recent studies suggest a role for ATF5 in cancer cell survival and growth. ATF5 knockout mice are viable despite the failure of olfactory neuron differentiation [91, 92]. However, many cancers including epithelial ovarian carcinoma, thyroid follicular lymphoma, chronic lymphocytic leukemia, colorectal adenocarcinoma, breast carcinoma, pancreatic cancer and malignant glioma cells require ATF5 for growth and tumor formation [80, 93–100].

Initially, ATF5 was identified as an anti-apoptotic factor as it regulates the expression of the anti-apoptotic components BCL2 and MCL1 [80, 93, 97], and multiple studies demonstrated that ATF5 inhibition leads to cell death in multiple cancer cells. ATF5 also regulates growth and metabolism coordinating factors such as EGR1, mTOR and FGF21, as well as the mitochondrial-protective genes outlined above [59, 101–103]. In addition to pro-growth and anti-apoptotic phenotypes, ATF5 contributes the resistance to radiotherapy [81] and the invasiveness of tumor cells by inducing integrin- $\alpha$ 2 and integrin- $\beta$ 1 [96].

In general, the relationship between mitochondrial dysfunction or metabolism and the requirement for ATF5 has not been explicitly examined. However, mitochondrial dysfunction is common in gliomas [104, 105], ovarian cancer [106, 107], breast cancer [108] and leukemia [109, 110], where ATF5 is highly expressed. And, our lab recently demonstrated that ATF5 is required to maintain OXPHOS function and promote growth in multiple cancer cell lines including a line derived from a thyroid oncocytoma harboring high quantities of deleterious mtDNAs [59, 111]. Though further investigation is required, the ATF5-mediated UPR<sup>mt</sup> potentially contributes to the survival and growth of these cancers by adapting them to various forms of mitochondrial dysfunction, sustaining mitochondrial proteostasis and preventing mitochondrial-induced cell death.

#### UPR<sup>mt</sup> transcriptional outputs

The UPR<sup>mt</sup>-induced genes such as mitochondrial chaperones and proteases are highly induced in many cancers [112–115], some of which have been shown to be ATF5-dependent [59]. Consistent with promoting protein folding and organelle homeostasis, mitochondrial chaperones contribute to signal transduction, protect against cell death and senescence, and thus are required for cancer cell survival and growth (Figure 3). For example, the mitochondrial chaperone HSP60 protects tumor cells from Bax-dependent cell death and CypD-dependent cell death by regulating mitochondrial permeability transition [116, 117]. HSP60 is also positively associated with tumor progression and hormone resistance in prostate cancer [118], and tumor differentiation in colorectal cancer [119]. mtHSP70, or mortalin, promotes tumor cell survival and epithelial-to-mesenchymal transition (EMT) by regulating the activities of p53 and PI3K–Akt pathways [120, 121], as well as facilitating metastasis of breast carcinoma and hepatocellular carcinoma [122, 123].

Mitochondrial proteases also promote mitochondrial function by degrading proteins that fail to fold or assemble, or that become damaged over time. LONP1 and ClpP are mitochondrial matrix-localized proteases that can be induced by ATF5. Loss of either LONP1 or ClpP impairs tumor proliferation and metastasis due to the dysregulation of mitochondrial activities [124–127]. Additionally, mitochondrial proteases can contribute to the metabolic remodeling observed in cancer. For example, some cancer cells increase OXPHOS capacity to promote survival and proliferation, a phenomenon known as the "reverse Warburg effect" [128]. Breast cancer, pancreatic cancer, and AML cells have elevated expression of respiratory chain components and increased mitochondrial mass and basal oxygen consumption [129–131]. Perhaps not surprisingly, the UPR<sup>mt</sup> is required for the maintenance of mitochondrial function in these cancers. Consistent with this idea, ClpP inhibition impairs the growth and viability of multiple AML cell lines [132].

#### The UPR<sup>mt</sup> and cancer treatment

Multiple studies *in vitro* and *in vivo* have demonstrated that the inhibition of the UPR<sup>mt</sup> can selectively repress the growth and progression of tumor cells. And, because inhibition of UPR<sup>mt</sup> components is often toxic to cancer cells, while having relatively modest impact on normal cells, UPR<sup>mt</sup>-related reagents are being developed as cancer-specific therapies.

Several studies have shown that ATF5 inhibition suppresses the viability of cancer cells. For example, expression of a dominant-negative form of ATF5 specifically increased death in cancer cell lines relative to non-neoplastic cell lines [133]. Interestingly, a cell permeable peptide, CP-d/n-ATF5-S1, has been developed as an ATF5-specific inhibitor. The inhibitory peptide impairs the growth of prostate cancer, glioblastoma, melanoma and triple receptor-negative breast cancer cells in cell culture and xenograft models by inducing apoptosis [134]. Lastly, ATF5 inhibition can selectively kill rat and human glioblastoma cells as well as human pancreatic cancer cells while sparing the neighboring normal cells *in vivo* [98, 99].

The mitochondrial-localized HSP90 paralog, TRAP-1, is expressed in normal cells at low levels, but enriched in mitochondria of pancreatic and breast adenocarcinoma cells [135]. Repression of TRAP-1 by G-TPP, a derivative of the HSP90 inhibitor 17-AAG that localizes to mitochondria, leads to the apoptosis of patient-derived and cultured glioblastoma cells [136, 137]. Similarly, knocking-down of HSP60 by siRNA causes Bax overexpression and Bax-dependent apoptosis in breast and colon adenocarcinoma cells [116], as well as canine osteosarcoma cells [138], but not in normal cells, providing a potential therapeutic approach for breast and colon cancers.

Targeting UPR<sup>mt</sup>-regulated mitochondrial proteases has also been shown effective in impairing cancer cell survival and proliferation. For instance, inhibition of mitochondrial protease LONP1 by obtusilactone A or CDDO selectively kills non-small-cell lung carcinoma and lymphoma cells, respectively [139, 140]. Also, inhibition of ClpP can selectively kill human leukemic cells by disturbing the folding of mitochondrial metabolic proteins [132].

#### V. Conclusion and Perspective

Underlying mechanisms that regulate the UPR<sup>mt</sup> in mammals are emerging with significant similarities to what has been elucidated in *C. elegans*. Cells utilize mitochondrial protein import efficiency to determine the function of the cellular pool of mitochondria. And, if import efficiency declines due to damaged OXPHOS, reduced membrane potential or perturbed mitochondrial proteostasis, ATF5 fails to be imported, which allows it to traffic to the nucleus and activate the UPR<sup>mt</sup>. Studies from worms and cell culture indicate that the UPR<sup>mt</sup> promotes survival and mitochondrial recovery during a number of mitochondrial stresses, and similar data is emerging from in vivo systems.

Considerable evidence suggests that the UPR<sup>mt</sup> is active in multiple cancer types, and thus, may provide viable therapeutic targets. While ATF5 can induce a number of mitochondrial chaperone and protease genes during mitochondrial stress, along with anti-apoptotic components, the full transcriptional scope of the response is unclear. Furthermore, it will be important to understand how UPR<sup>mt</sup> activation affects aspects of cancer cell biology such as metabolic adaptations and xenobiotic detoxification. A number of interesting parameters remain to be elucidated in cancer cells as well. For example, is the UPR<sup>mt</sup> exclusively activated by mitochondrial dysfunction, or are there other pathways to engage the protective effects of the UPR<sup>mt</sup> (gene amplification, *etc.*)? It will also be interesting to determine how the UPR<sup>mt</sup> interacts with known oncogenes and tumor suppressors to impact mitochondrial physiology.

Recent studies using small molecules and cell permeable peptides to impair both UPR<sup>mt</sup> signaling component (ATF5) and UPR<sup>mt</sup> transcriptional outputs (mitochondrial chaperones and proteases) provide optimism that the UPR<sup>mt</sup> pathway can be manipulated to improve cancer treatment. Presumably, inhibition of either will synthetically interact with cancer-specific mitochondrial stress and thus impair tumor growth or induce cell death.

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## Stressed mitochondria

#### Figure 1. UPR<sup>mt</sup> signaling in *C. elegans*

In the absence of mitochondrial stress, ATFS-1 is targeted to mitochondria via an aminoterminal mitochondrial targeting sequence (MTS) and is subsequently degraded in the mitochondrial matrix. During mitochondrial stress or dysfunction, mitochondrial protein import is impaired causing ATFS-1 to accumulate in the cytosol. Subsequently, ATFS-1 traffics to nucleus via its nuclear localization signal (NLS) and regulates transcription of ~500 genes that promote mitochondrial protein homeostasis (proteostasis), mitochondrial recovery or biogenesis, metabolic adaptations such as glycolysis, antioxidants, and genes involved in xenobiotic detoxification to promote survival and the resolution of mitochondrial stress.



#### Figure 2. UPR<sup>mt</sup> signaling in mammalian cells

The mammalian UPR<sup>mt</sup> is regulated by multiple bZip transcription factors such as ATF5, which is regulated by at least two mechanisms. Expression of ATF5 is regulated by the phosphorylation of the translation initiation factor eIF2a, which is regulated by the kinases GCN2, PERK or PKR. Because the ATF5-encoding mRNA harbors upstream open reading frames (uORFs) in the 5<sup>-</sup>-untranslated region, its synthesis requires phosphorylated eIF2a which can be stimulated during nutrient deprivation, mitochondria or endoplasmic reticulum dysfunction or the accumulation of double-stranded RNA in the cytosol by the above-mentioned kinases. Once it is expressed, ATF5 is regulated by mitochondrial protein import efficiency. In the absence of mitochondrial stress, ATF5 is targeted to mitochondria via its amino-terminal mitochondrial targeting sequence (MTS). However, during mitochondrial dysfunction, ATF5 fails to be imported into mitochondria and traffics to the nucleus via its nuclear localization signal (NLS) to induce transcription of genes that influence mitochondrial proteostasis, anti-apoptotic machinery, cell growth and migration.

# Stressed mitochondria



**Figure 3. Requirements for UPR<sup>mt</sup>-related components in cancer cell biology** The transcription factor ATF5 and the UPR<sup>mt</sup>-induced mitochondrial chaperones (HSP60, mtHSP70) and mitochondrial proteases (LONP1, ClpP) are required for the growth and survival of multiple cancers.