



Dual origin of enteric neurons in vagal Schwann cell precursors and the sympathetic neural crest

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Most of the enteric nervous system derives from the “vagal” neural crest, lying at the level of somites 1–7, which invades the digestive tract rostro-caudally from the foregut to the hindgut. Little is known about the initial phase of this colonization, which brings enteric precursors into the foregut. Here we show that the “vagal crest” subsumes two populations of enteric precursors with contrasted origins, initial modes of migration, and destinations. Crest cells adjacent to somites 1 and 2 produce Schwann cell precursors that colonize the vagus nerve, which in turn guides them into the esophagus and stomach. Crest cells adjacent to somites 3–7 belong to the crest streams contributing to sympathetic chains: they migrate ventrally, seed the sympathetic chains, and colonize the entire digestive tract thence. Accordingly, enteric ganglia, like sympathetic ones, are atrophic when deprived of signaling through the tyrosine kinase receptor ErbB3, while half of the esophageal ganglia require, like parasympathetic ones, the nerve-associated form of the ErbB3 ligand, Neuregulin-1. These dependencies might bear relevance to Hirschsprung disease, with which alleles of *Neuregulin-1* are associated.

enteric nervous system | neural crest | chicken | mouse | Neuregulin1

The enteric nervous system (ENS) is, for the most part, formed by one rostro-caudal wave of migrating neural crest-derived precursors that originate in the “vagal neural crest,” lying from the levels of somites 1–7 (refs. 1 and 2 and references therein). The progression of enteric precursors through the postgastric digestive tract has been extensively studied (3, 4), in particular with respect to its dependency on Glial-derived neurotrophic-factor (GDNF) signaling through the tyrosine kinase receptor Ret and its dimerization partner GFR α 1. In contrast, the inception of the invasive process (i.e., the events that bring the vagal neural crest in the walls of the esophagus) remain controversial. Early observations inspired the hypothesis that enteric precursors were nerve-associated cells that followed the vagus (Xth) cranial nerve (which provides extrinsic innervation to the gut) (5). However, these studies ignored the neural crest as such and were evinced from the corpus of accepted knowledge once the neural crest origin of enteric neurons was firmly established (6, 7) and are now long forgotten. Moreover, enteric precursors were later spotted ahead of the incipient vagus nerve, which has thus been viewed as following and “overtaking” them (8). An ensuing paradox is that the adjective “vagal” has stuck to the enteric crest after the vagus nerve was no longer assigned any role. In mouse embryos, it was proposed that the vagal crest, defined as spanning somites 1–5 (9), colonizes most of the gut in addition to forming the superior cervical ganglion (and was hence called “sympatho-enteric”), while an adjacent “anterior trunk” (cervical) crest would populate the esophagus exclusively. This dichotomy, however, was never fully integrated in the canonical narrative of ENS development (e.g., ref. 10) and remains at odds with the situation in chicken, where the most-caudal vagal crest (corresponding to the anterior trunk crest of ref. 9) colonizes not the most rostral but the most caudal part of the digestive tract (11). More recently, the vagal

crest was proposed as a transitional entity between the cranial and trunk region, where both a dorsal and a ventral migration pathway would take place in temporal succession (12). Finally, several mutations, while they completely block the rostro-caudal invasion of the gut mesenchyme by enteric precursors past the stomach, respect, to an extent or for a while, the colonization of the esophagus and stomach (see below). Altogether, this slim body of data, some of them contradictory, shows that foregut colonization by enteric precursors obeys rules different from the rest of the digestive tract, and is still poorly understood.

Results

Schwann Cell Precursors of the Vagus Nerve Contribute Neurons to the Foregut. Null mutations in the genes for GDNF, its receptor GFR α 1, its coreceptor Ret (9, 13–16), and for the pan-autonomic homeodomain transcription factor *Phox2b* (17), partially spare enteric neuronal precursors in a region that, strikingly, is coextensive with the stretch of the vagus nerve that travels alongside the digestive tract (Fig. S1): from the larynx down to the stomach, where the left vagus arborizes terminally and the right vagus veers off to join the prevertebral sympathetic plexi. This suggests that the vagus nerve itself could guide enteric precursors to the esophagus and

Significance

The enteric nervous system of vertebrates arises mostly from a rostral portion of the neural crest, encapsulated by the term “vagal.” We show that the “vagal crest” is in fact a juxtaposition of two completely different types of cells: Schwann cell precursors associated with the vagus nerve, which provide esophageal neurons, and the rostral-most trunk crest, which also forms sympathetic ganglia and locally overshoots the aorta to colonize most of the gut. Moreover, in line with the known dependency of both Schwann cell precursors and trunk crest on the ErbB3 tyrosine receptor kinase and its ligand Neuregulin1, we discover that the enteric nervous system is also atrophic in *ErbB3* mutants, with potential relevance to Hirschsprung disease, a congenital hypoganglionosis.

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stomach, independently of *Phox2b* or GDNF signaling, as it guides—and other cranial nerves guide—parasympathetic ganglionic precursors (18, 19). Evocative of such a mechanism was the fact that, at embryonic day (E) 11.5, the vagus nerve was covered with *Sox10*⁺, *Phox2b*⁺ cells coexpressing the Schwann cell precursor markers PLP-1 and Cadherin 19 (Fig. S2).

We investigated a role for the vagus nerve in the formation of esophageal ganglia in two ways. First, we prevented the formation of the nerve by deleting most neurons that project into it: viscerosensory neurons born in epibranchial placodes, as well as branchial and visceral motor neurons of the hindbrain were killed using a toxic variant of the sodium channel *ASIC2a* conditionally expressed from the promoter of *Phox2a* (18), the paralogue of *Phox2b* expressed in all these cell types (20). In *Pgk::Cre;Phox2a^{ASIC2a}* embryos, where Cre-mediated recombination occurs in the egg—thus where all *Phox2a*⁺ cells are killed by *ASIC2a*—the vagus nerve was reduced to a vestigial ramus, most likely composed of somatosensory fibers emanating from its proximal ganglion (Fig. 1A). Consequently, *Sox10*⁺ cells in the esophageal region were fewer at E11.5 (Fig. 1A) and, 2 days later, 36% of *Phox2b*⁺ neuronal precursors were missing in the wall of the esophagus (Fig. 1B). Second, we hampered signaling by the vagus nerve to its Schwann cell

precursors through the epidermal growth factor family protein Neuregulin-1 (*Nrg1*) (21) by partnering a floxed allele of *Nrg1* with a Cre recombinase driven by the *Phox2b* promoter, thus expressed in all cranial visceral sensory and motor neurons (20). *Phox2b::Cre;Nrg1^{lox/lox}* embryos lacked Schwann cell precursors associated with the facial and glossopharyngeal nerves, which moreover appeared defasciculated (Fig. S3). Concordantly all parasympathetic ganglia appended to these nerves were missing 2 days later (Fig. S3), phenocopying the constitutive knockouts for the receptor of *Nrg1*, the tyrosine kinase receptor *ErbB3*, which has been documented after birth (19). Similarly, the vagus nerve was depleted of Schwann cell precursors and, concomitantly, the esophageal ganglia were atrophic by 46% (Fig. 1C and D). The effect was noncell-autonomous, as shown by the lack of phenotype of *Wnt1::Cre;Nrg1^{lox/lox}* embryos (Fig. 1D) and the lack of expression of *Nrg1* by enteric precursors (Fig. S5). Thus, about half of the esophageal nervous system (or more if compensatory mechanisms take place in the mutants) derives from Schwann cell precursors of the vagus nerve.

The Cervical Sympathetic Crest Contributes Most of the ENS. In contrast, the postgastric ENS was not affected in *Pgk::Cre;Phox2a^{ASIC2a}* and only mildly so in *Phox2b::Cre;Nrg1^{lox/lox}* mutants (Fig. S4).

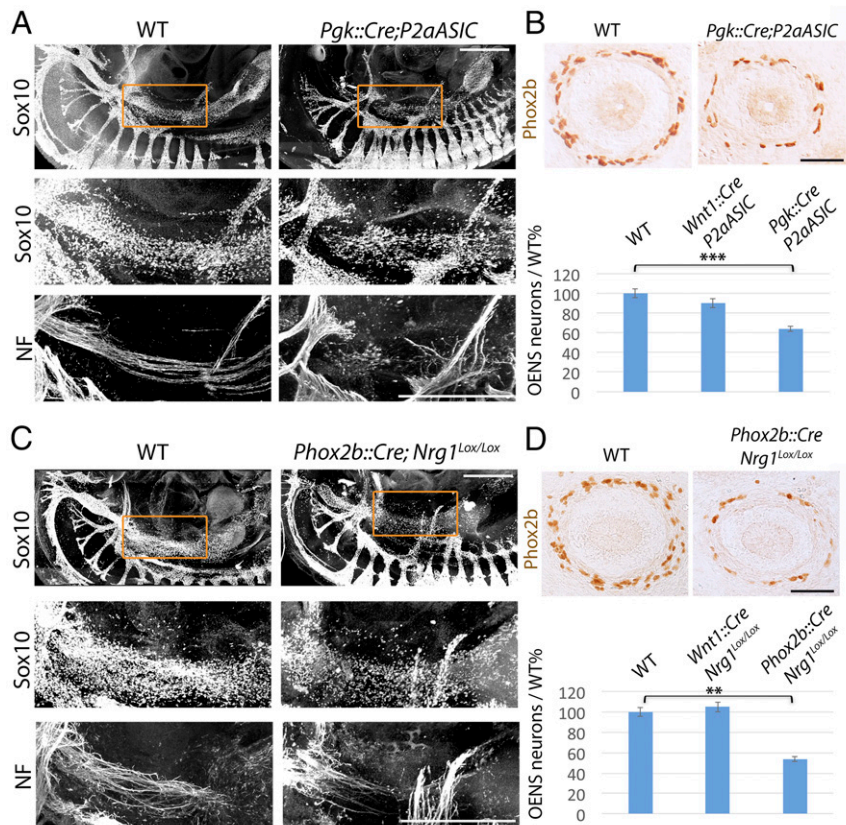


Fig. 1. Genetic damage to the vagus nerve depletes the esophageal nervous system. (A and C) Lateral views of whole-mount E11.5 (A) or E10.5 (C) embryos stained for *Sox10* and Neurofilament, in the indicated genotypes. For each genotype, the *Middle* and *Bottom* panels are a magnified view of the area boxed in the *Top* panel. Atrophy of the vagus nerve (A), or deletion of *Neuregulin-1* from vagal fibers (C), leads to depletion of the pool of *Sox10*⁺ cells (*Middle*) along the vagus path (*Bottom*) and defasciculation of the nerve (C). (B and D) (*Upper*) Cross-sections through the esophagus at E13.5 in the indicated genotypes, stained for *Phox2b*. (*Lower*) Count of *Phox2b*⁺ neuronal precursors in the esophagus at E13.5, in the indicated genotypes. Esophageal precursors were depleted in *Pgk::Cre;Phox2a^{ASIC2a}* ($64 \pm 1.6\%$ /wild-type; $P = 0.001$, $n = 4$) and *Phox2b::Cre;Nrg1^{lox/lox}* ($54 \pm 2.6\%$ /wild-type; $P = 0.004$, $n = 3$) embryos, but were not significantly affected in *Wnt1::Cre;Phox2a^{ASIC2a}* ($90 \pm 4.2\%$ /wild-type; $P = 0.898$, $n = 4$) or in *Wnt1::Cre;Nrg1^{lox/lox}* ($105 \pm 4.77\%$ /wild-type; $P = 0.842$, $n = 3$) embryos. Error bars indicate SEM. $**P < 0.005$, $***P < 0.001$. The *Wnt1::Cre;Phox2a^{ASIC2a}* and *Wnt1::Cre;Nrg1^{lox/lox}* genetic backgrounds serve as controls, in which the expression of *ASIC2a* or the recombination of *Nrg1* respectively, is targeted to the neural-crest derived enteric precursors, rather than all *Phox2a*⁺ or *Phox2b*⁺ cells. The lack of phenotype—most likely because only a small subset of enteric precursors express *Phox2a* (20), and none express *Nrg1* at this stage (Fig. S5)—ensures that in *Pgk::Cre;Phox2a^{ASIC2a}* and *Phox2b::Cre;Nrg1^{lox/lox}* embryos the enteric phenotype is noncell-autonomous, and due to the damage of the *Phox2a*- or *Phox2b*-expressing components of the vagus nerve. (Scale bars: A and B, 500 μ m; B and D, 50 μ m.)

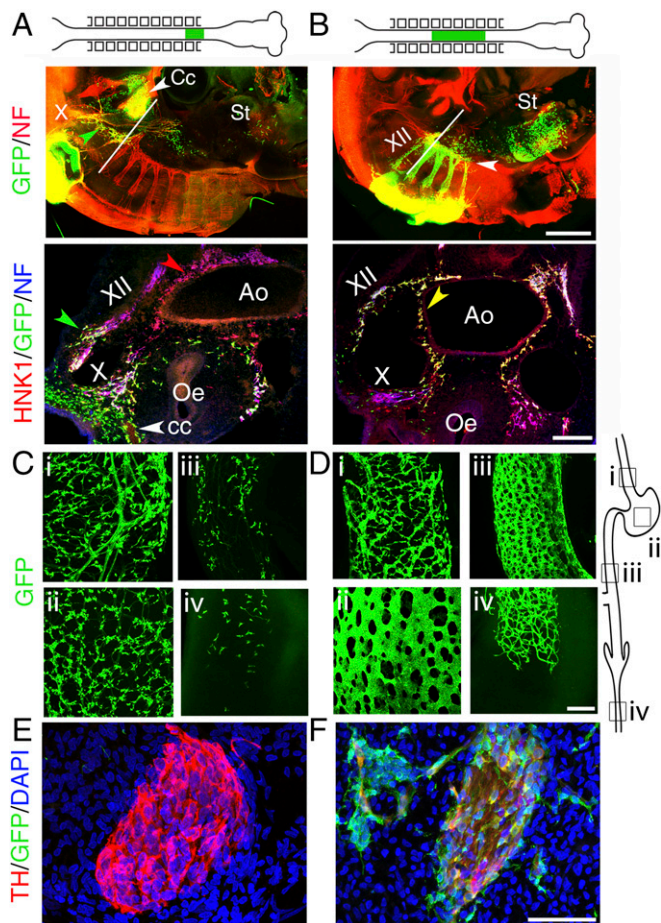


Fig. 3. Two distinct streams of cells migrate into the esophagus in chicken embryos. (A) E3.5 chicken embryo after a stage 10 isotopic graft of the neural tube facing somites 1 and 2, from a GFP transgenic donor to a wild-type host. (Upper) Whole-mount lateral view showing that the graft has produced circumpharyngeal crest (Cc) and cells associated with fibers, mostly in the vagus nerve (X) but also in a connecting meshwork between the hypoglossal nerve and the nodose ganglion (green arrowhead); a few cells have migrated ahead of the vagus nerve, all of the way to the stomach (St). (Lower) Transverse section at the level indicated on the Upper panel, showing that the crest produced by the graft reaches the esophagus (Oe) by following the vagus, not the sides of the aorta (Ao) which is populated only by HNK1⁺;GFP⁻ cells (red arrowhead). (B, Upper) Same as above but with a graft facing somites 3–7. The graft has produced cells associated with spinal nerves—and the hypoglossal (XII)—the nascent sympathetic chain (white arrowhead), a few cells in the esophagus and many more cells in the stomach than for the somite-1-2 grafts. (Lower) Transverse section at the level indicated on the Upper panel, showing that the crest from the graft, apart from colonizing the hypoglossal (XII), follows the ventral path and reaches the esophagus by circumnavigating the dorsal aorta (yellow arrowhead), not by following the vagus. (C and D) Whole-mount views of the digestive tube at E7 showing the presence of graft-derived cells, after somite-1-2 grafts (C) versus somite 3–7 grafts (D), at the rostro-caudal levels indicated in the schematic on the right: (i) esophagus; (ii) gizzard; (iii) preumbilical intestine; (iv) colon. At this stage the colon is still incompletely colonized (level iv). (E and F) Sagittal sections through the superior cervical ganglion at E5.5, stained with the indicated markers. [Scale bars: A and B (Upper), 500 μ m; A and B (Lower), 100 μ m; C–F, 50 μ m.]

Discussion

In sum, our data substantiate the proposal that the vagal crest is a pseudo or “hybrid” entity (12, 23). More precisely, we show that it is a juxtaposition of three radically different cell populations, two of them precursors for the ENS: on the one hand, emerging from somites 1 and 2, (i) the circumpharyngeal crest (destined to the heart and third branchial arch) and (ii) Schwann-cell precursors of

the vagus nerve; on the other hand, emerging from somites 3–7, (iii) the cervical (upper cervical in chicken) region of the trunk crest.

Schwann cell precursors destined to the ENS behave like parasympathetic precursors (18): they migrate along a nerve and form autonomic ganglia at their final destination, here, enteric ganglia in the walls of esophagus and stomach. They derive from a crest that is vagal indeed, and more laterally than the original term intended, since it populates the vagus nerve. Of note, vagus nerve-derived enteric precursors have been proposed back in the 1910s based on histological descriptions (5), but have been overlooked since then.

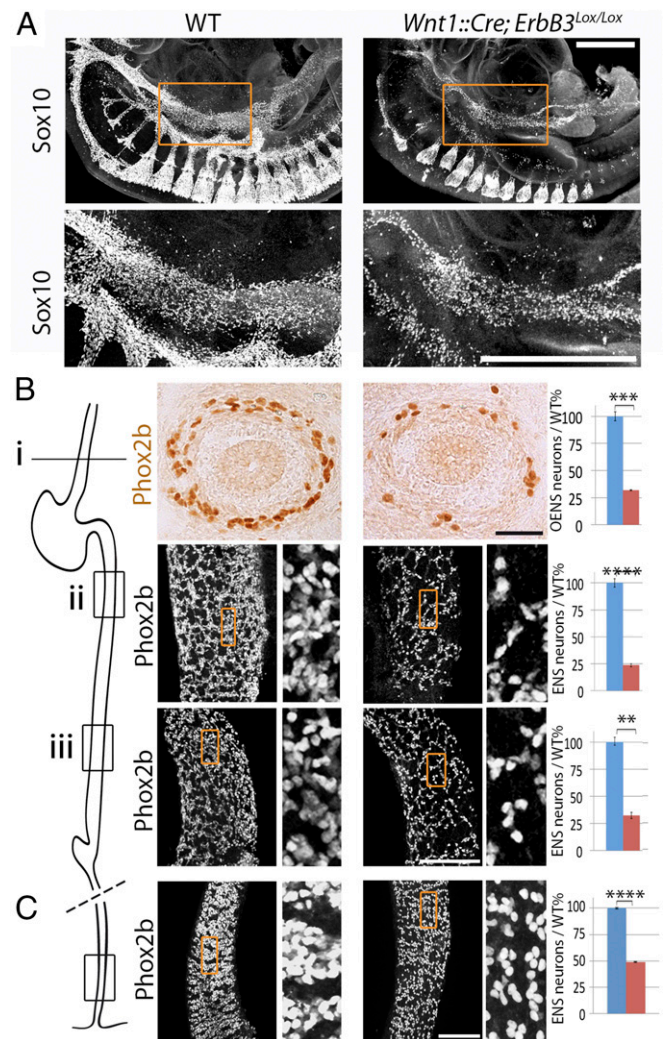


Fig. 4. The ENS is atrophic in the absence of the tyrosine kinase receptor ErbB3. (A) Lateral views of wholemount E10.5 embryos stained for Sox10, in the indicated genotypes. In mutants where *ErbB3* is deleted from the neural crest, Sox10⁺ cells are partially depleted in the foregut. (Lower) Magnified views of the area boxed in the Upper panels. (B) Sections through the esophagus (Upper) and whole mounts of the midgut at two rostro-caudal levels [(Lower) low magnifications at Left, enlarged views (7 \times zoom) of the boxed area at Right] indicated on the schematic on the Left, in E13.5 wild-type (Left column) and conditional *ErbB3* mutants (Right column), stained for Phox2b. The atrophy of the enteric ganglia is quantified on the graphs. *Wnt1::Cre;ErbB3^{lox/lox}* mutants showed fewer esophageal precursors (level i: $32 \pm 0.6\%$ /wild-type; $P = 0.003$, $n = 3$) and postgastric precursors (level ii: $24 \pm 1.3\%$ /wild-type; $P = 0.0001$, $n = 4$; level iii: $32 \pm 2.8\%$ /wild type; $P = 0.007$, $n = 4$). (C) Whole mounts of the distal hindgut (enlarged views of the boxed area on the Right), in E17.5 wild-type (Left column) and conditional *ErbB3* mutants (Right column) stained for Phox2b ($49 \pm 0.41\%$; $P = 0.00001$, $n = 5$). (Scale bars: A, 500 μ m; B and C, 100 μ m). Error bars indicate SEM. ** $P < 0.01$, *** $P < 0.005$, **** $P < 0.0005$.

In contrast, the cervical crest migrates along the classically described ventral pathway toward the dorsal aorta—where it contributes to the sympathetic chain—and part of it, possibly the major part at that level, overshoots the dorsal aorta to invade the nearby esophageal mesenchyme. It remains to be explored what determines some cells to home close to the aorta and others to continue their voyage to the gut. This crest is thus sympatho-enteric and has nothing vagal about it, not even a registration with the vagal motor roots, as evidenced by the failure of isotopic grafts of the neural tube at that level to contribute fibers to the vagus nerve (Fig. 3*B*). [The term sympatho-enteric, which we repurpose, was originally coined to describe the crest from somites 1–5 (9), which straddles the two populations that we identify here, and therefore obscures their contrasted nature].

A third source of enteric precursors is the sacral crest, which contributes 20% of the neurons in the descending colon and rectum (29, 30). Since the major contribution of the sacral crest to the autonomic nervous system, the pelvic ganglion, is entirely sympathetic (31), the trunk crest is sympatho-enteric at both ends (Fig. 5). Given that thoracic crest will produce enteric neurons when transposed rostrally (32) and, more generally, that neural crest cells are not specified before migration (33, 34), the restriction of the dual sympatho-enteric fate to the cervical and sacral levels of the trunk crest is likely to stem, less from cell-intrinsic fate restriction than from topological factors, such as the continuity of the peri-aortic and foregut mesenchymes at one end, and the contiguity of the pelvic ganglion—a “staging site” for the enteric sacral crest (30, 35)—to the rectum at the other end.

A fourth, recently discovered source of enteric neurons are Schwann cell precursors at a later differentiation stage than the vagal ones we describe here, which travel along the mesenteric and pelvic nerves and become enteric neurons after birth (36). Many of them are presumably born in the thoracolumbar neural crest and it thus appears that the trunk crest has been co-opted in all of its regions (cervical, thoracolumbar, and sacral) to invade the gut, but at different stages of development, according to a variety of mechanisms and intermediates, in a seemingly opportunistic fashion. It will be interesting to explore the evolutionary history of this complicated and presumably stepwise assemblage. A recent study in lamprey (37) showed a contribution of the trunk crest but not of the vagal crest to the ENS. Since agnathans are suggested to have no sympathetic neural crest derivative (38), the absence of vagal contribution to the ENS fits with our data that the formerly called “vagal” crest of gnathostomes is for the most part cervical and sympathetic; but it also entails the surprising notion that the vagus nerve itself does not carry Schwann cell precursors-like enteroblasts to the gut of lampreys, when trunk nerves do.

Finally, after an early suggestion (39), we conclusively demonstrate a role for ErbB-mediated signaling during the embryonic development of the ENS. Better than the previous implication of ErbB/Nrg1 signaling in postnatal enteric ganglia *in vivo* (40) or *in vitro* (41), it could explain that common variants of *Neuregulin-1*, the ErbB3 ligand, are associated with Hirschsprung's disease, which results from a partial agenesis of enteric ganglia (42). Given the missing heritability in Hirschsprung disease, our results are also a suggestion to look for *ErbB3* variants. Another possible clinical relevance is to neuropathic cases of chronic intestinal pseudo-obstruction (43).

Materials and Methods

In situ hybridization and immunocytochemistry have been described previously (44). Immunofluorescence on cryostat or vibratome sections was performed as previously described (18). Whole-mount immunofluorescent staining using the 3DISCO method was adapted from ref. 45, as previously described (31). Whole mounts of chicken embryos were treated as described in *SI Materials and Methods*. Transgenic chicken expressing the GFP reporter ubiquitously (46) were obtained from the Roslin Institut (University of Edinburgh). Chicken chimeras were generated via transplantation of discrete segments of the neural tube,

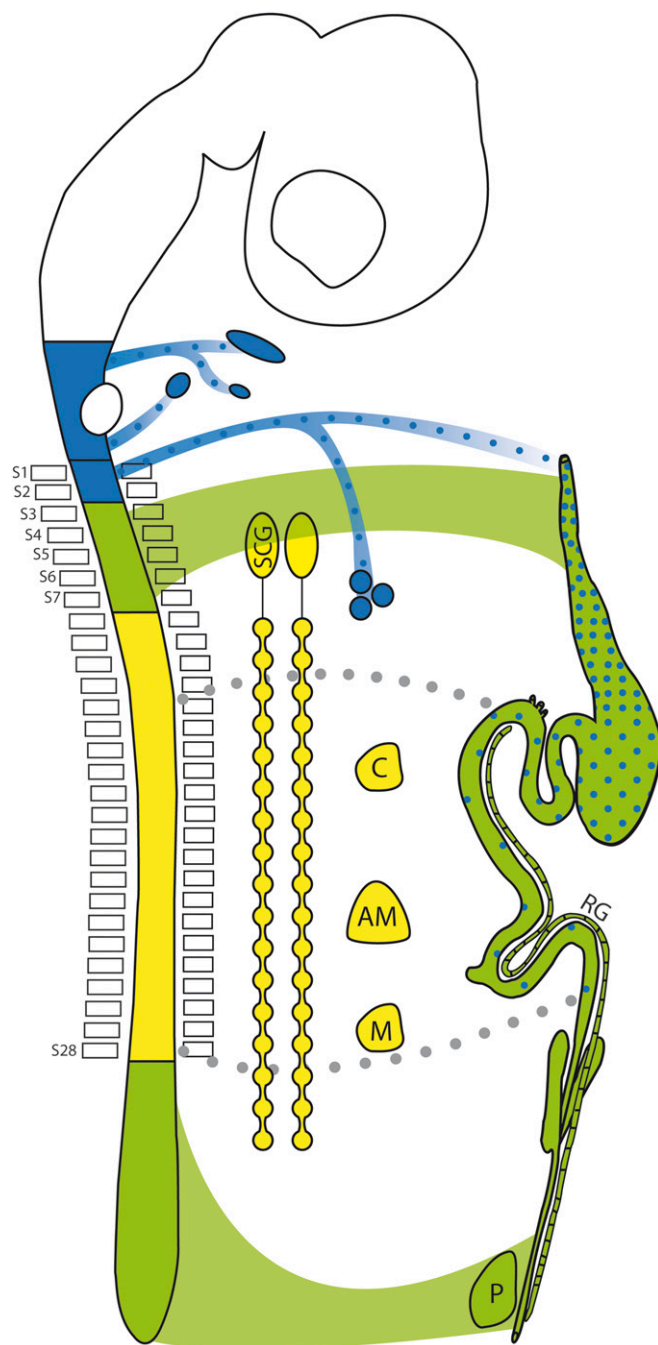


Fig. 5. Rostro-caudal levels of the neural crest contribution to the ENS. Schematic of the central and autonomic nervous systems of a tetrapod showing the three types of neural crest cells that can be distinguished according to their fates: (i) sympathetic and sympathoadrenal (yellow) from somite 8–28, that contributes to most para- and prevertebral sympathetic ganglia (C: celiac; M: mesenteric) and the adrenal medulla (AM); (ii) sympatho-enteric (green) from somite 3–7 and caudal to somite 28, that contributes to the superior cervical ganglion (SCG) and forms the pelvic ganglion (P) [as well as the ganglion of Remak in chicken (RG)], and most of the ENS; and (iii) parasympatho-enteric (blue), from preotic levels to somite 2, that forms parasympathetic ganglia and contributes to the foregut nervous system. Gray dots represent postnatal contribution of Schwann cell precursors of enteric extrinsic nerves to the ENS (36).

including the neural crest, from GFP⁺ donors to wild-type hosts, as previously described (47). References for all antibodies and mouse lines are in *SI Materials and Methods*. Quantification of esophageal neurons and measurements of the

surface occupied by enteric neuron nuclei in the postgastric ENS were performed by use of Fiji software, as described in more details in *SI Materials and Methods*. All animal studies were done in accordance with the guidelines issued by the French Ministry of Agriculture and have been approved by the Direction Départementale des Services Vétérinaires de Paris.

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