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INTERRELATIONSHIP BETWEEN FAMILY HISTORY OF ALCOHOLISM AND GENERATIONAL STATUS IN THE PREDICTION OF ALCOHOL DEPENDENCE IN U.S. HISPANICS

Karen G. Chartier^{1,2,*}, Nathaniel S. Thomas¹, and Kenneth S. Kendler^{2,3,4}

¹Virginia Commonwealth University School of Social Work, Richmond, VA

²Virginia Commonwealth University School of Medicine, Department of Psychiatry, Richmond, VA

³Virginia Commonwealth University, Virginia Institute for Psychiatric and Behavioral Genetics, Richmond, VA

⁴Virginia Commonwealth University School of Medicine, Department of Human and Molecular Genetics, Richmond, VA

Abstract

Background—Both a family history of alcoholism and migration-related factors like U.S. versus foreign nativity increase the risk for developing alcohol use disorders in Hispanic Americans. For this study, we integrated these two lines of research to test whether the relationship between familial alcoholism and alcohol dependence changes with successive generations in the U.S.

Methods—Data were from the wave 1 and wave 2 National Epidemiologic Survey on Alcohol and Related Conditions (NESARC). Subjects self-identified Hispanic ethnicity ($N = 4,122$; $n = 1,784$ first, $n = 1,169$ second, and $n = 1,169$ third or later generation) and reported ever consuming 12 or more drinks in a one-year period. A family history of alcoholism was assessed in first and second degree relatives. Analyses predicting the number of alcohol dependence symptoms were path models.

Results—Alcohol dependence symptoms were associated with a stronger family history of alcoholism and later generational status. There was a significant interaction effect between familial alcoholism and generational status; the relationship of familial alcoholism with alcohol dependence symptoms increased significantly with successive generations in the U.S., more strongly in women than men. Acculturation partially mediated the interaction effect between familial alcoholism and generational status on alcohol dependence, although not in the expected direction.

Conclusions—Familial alcoholism interacted with generational status in predicting alcohol dependence symptoms in U.S. Hispanic drinkers. This relationship suggests that heritability for alcoholism is influenced by a higher order environmental factor, likely characterized by a relaxing of social restrictions on drinking.

*Corresponding author: Karen G. Chartier, PhD, Virginia Commonwealth University School of Social Work, 1000 Floyd Avenue, P.O. Box 842027, Richmond, VA USA 23284-1030; kgchartier@vcu.edu; (804) 828-2865.

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Keywords

family history of alcoholism; Hispanic Americans; generational status; gene-environment interaction; alcohol dependence

INTRODUCTION

A family history of alcoholism and certain socio-cultural factors are both associated with an increased risk for developing alcohol use disorders. Alcoholism in a biological family member is a strong positive predictor of alcoholism in other family members. It is associated, in the general population, with an earlier onset of drinking (Dawson, 2000) and a more severe symptom profile of alcoholism (Moss *et al.*, 2007). Familial alcoholism encompasses both family environment and genetic effects. Parental alcoholism is associated with known risk factors for alcohol use disorders in offspring, including reduced family communication and parental monitoring, parental separation, and harsh discipline practices (Chartier *et al.*, 2010, Thompson *et al.*, 2008, Waldron *et al.*, 2013). Likewise, adoption and twin studies and the large-scale study of alcohol dependent probands and their family members support a genetic basis for alcoholism (Edenberg and Foroud, 2006, Verhulst *et al.*, 2015).

An individual's overall risk of alcohol dependence is known to have a significant genetic component. The heritability of alcohol use disorders based on twin and adoption studies is estimated to be 0.49 (Verhulst *et al.*, 2015). Some recent gene finding studies have identified specific genes that are related to alcohol dependence such as *GABRA2* (Covault *et al.*, 2004, Edenberg *et al.*, 2004) and *ADH1B* (Bierut *et al.*, 2012, Gelernter *et al.*, 2014). Few studies, however, have examined measured genes for alcohol dependence, or for that matter the heritability of alcoholism in Hispanic American samples (Harden *et al.*, 2013, Konishi *et al.*, 2003, Konishi *et al.*, 2004, Norden-Krichmar *et al.*, 2014). One family study of heart disease in Mexican Americans estimated the heritability of alcohol use disorders at 30% (Olvera *et al.*, 2011), while a Cuban twin study estimated it to be 57% (Marcheco-Teruel *et al.*, 2013).

Conversely, an extensive body of research in U.S. Hispanics supports the importance of socio-cultural factors in the development of alcohol dependence. Factors related to migration and U.S. residency are important. Alcohol use patterns of immigrants tend to be similar to those of their country of origin, while those of later generations or individuals more integrated into the U.S. culture are more consistent with overall patterns observed in the population (Gilbert and Cervantes, 1986). U.S. nativity and more time spent in the U.S. predict a greater frequency of alcohol use or greater risk for developing a substance use disorder (Alderete *et al.*, 2000, Alegria *et al.*, 2006, Alegria *et al.*, 2007, Borges *et al.*, 2011). Alegria *et al.* (2007) reported a greater risk of substance use disorders for second generation Hispanics compared with first generation Hispanics, and a particularly elevated risk for third generation Hispanics. The acculturation process, i.e., changes in preferences, behaviors, and values/norms that may occur after an individual or group enters a new culture, is one possible mechanism to explain these relationships (Caetano, 1987, Lara *et al.*, 2005, Markides *et al.*, 1988). More time in the U.S. and later generational status are general

indices of greater exposure to the dominant U.S. culture. Acculturative changes linked to more drinking in U.S. Hispanics include the lower retention of traditional family values and a loosening of gender roles for women (Gil *et al.*, 2000, Telzer *et al.*, 2014, Unger *et al.*, 2002).

The aim of the current study was to integrate these two traditionally separate lines of research (i.e., genetic- and migration-related factors) to examine their interactive effect in predicting alcohol dependence in U.S. Hispanics. This study focused on a family history of alcoholism and generational status (first, second, or third or later) as indicators for these two larger categories of factors, and used data from the National Epidemiologic Survey on Alcohol and Related Conditions (NESARC). The family history study design is an initial method for examining the familial aggregation for heritability in the general population. Dawson *et al.* (1992) findings about familial alcoholism among drinkers in the U.S. population are consistent with patterns of genetic inheritance. The odds of alcohol dependence, relative to having no family history, were highest in persons with densely affected families (i.e., alcoholism in first, second, and third degree relatives; 167%) and higher in those with alcoholism in first degree relatives only (86%) than second and third degree relatives only (45%). Dick and Kendler (2012) suggest that genetic effects can be muted in protective environments and become more pronounced in adverse environments. Peer relationships, parental monitoring, and adverse childhood events are often studied as examples of protective and adverse micro-level factors that interact with genetic variants (Dick and Kendler, 2012).

Studies, using different designs, also provide evidence that higher order socio-cultural factors can influence the heritability of alcoholism and related disorders. Hasin *et al.* (2002) and Higuchi *et al.* (1994) reported that the protective effects of alcohol metabolism-related gene variants were weaker in individuals belonging to heavy drinking cultures in Israel and younger cohorts in Japan, respectively. Dick *et al.* (2001), using data from a Finnish twin study, showed larger effects for genetic factors on alcohol consumption in communities with greater migration. Migrant studies also provide a helpful design for understanding the influence of environmental and genetic factors. For example, if the rate of a disorder changes in a migrating group to the level observed in the new country this suggests an environmental effect, while retaining a similar rate to that in the original country suggests a possible genetic effect or that environmental factors are less relevant (Gonzalez Burchard *et al.*, 2005). Breslau *et al.* (2011) identified migration to the U.S. as a high risk environment for conduct disorders, showing that the risk of conduct disorder was higher in children of Mexican-born parents who were raised in the U.S. and even higher for Mexicans born in the U.S. compared with Mexicans from migrant households who were raised in Mexico. Blackson *et al.* (2015), in a sample of young adult immigrants, showed that parental substance use problems in their country of origin predicted more severe alcohol use problems for subjects both pre- and post-immigration to the U.S. This study did not address a critical question relevant to the current study, which is whether the strength of the association between parental substance use problems and offspring alcohol problems increased over the pre- and post-immigration periods.

Therefore, the current study asked the question: does the relationship between familial alcoholism and alcohol dependence symptoms change with successive generations in the U.S.? We hypothesized that the strength of the family history of alcoholism-by-alcohol dependence symptoms relationship would be stronger in later generations. The interaction effect between familial alcoholism and generational status presumably tests whether, in Hispanic American drinkers, increasing exposure to the dominant U.S. culture is associated with a larger impact of family history on risk for alcohol dependence. In a follow-up analysis, we tested whether acculturation mediated this relationship between familial alcoholism and generational status with alcohol dependence symptoms, and if there were differences in these relationships by gender. We expected that the interaction effect would be partially explained by changes in acculturation, i.e., the stronger effect for familial alcoholism in later generations would be mediated by increases in acculturation. The current study may provide preliminary evidence that migration-related factors are important for further study in gene-by-environment analyses with measured genes.

METHODS

Sample

The study included $N = 4,122$ Hispanic subjects who participated in the wave 1 NESARC and were re-interviewed at wave 2 (Chen *et al.*, 2010). These subjects were drinkers, reporting to have ever consumed 12 or more alcoholic drinks in a 1-year period at wave 1 and/or since the last interview at wave 2. NESARC respondents were a representative sample of the U.S. adult population, including citizens and non-citizens. The wave 1 target population was non-institutionalized civilians, 18 years and older, residing in all 50 states and the District of Columbia. This included persons living in households and non-institutional group quarters. Wave 1 used a multistage stratified design and oversampled Non-Hispanic Black and Hispanic households and young adults (ages 18 to 24) in households. Details about the sample design are provided in Grant *et al.* (2003b). Briefly, households were selected at higher rates if they had at least one Hispanic resident and, if no Hispanics, at least one Black resident based on information from the Census 2000/2001 Supplementary Survey. The NESARC data, therefore, provided ample subjects for this ethnic group-based analysis. Wave 2 used the same respondents as wave 1 with the exception of those who were ineligible (e.g., institutionalized, deceased, or on active duty in the armed forces), refused, or could not be located. The wave 2 response rate was 86.7%, and accounting for the wave 1 response rate yielded an overall cumulative survey response rate of 70.2%. Sampling weights for the wave 2 data set account for the design characteristics of the NESARC survey, non-response, and sample attrition.

Data collection

Data collection for wave 1 occurred 2001–2002 and for wave 2 2004–2005. Trained and experienced lay interviewers from the U.S. Census Bureau administered respondent interviews. The survey instrument was computerized with software that included built-in skip, logic, and consistency checks. Surveys were conducted either in English or Spanish based on respondent's preference in face-to-face household settings. Supervisors re-contacted a random 10% of all respondents by telephone for quality control purposes.

Measures

The *alcohol dependence symptoms* variable was a criteria count from 0–7. The Alcohol Use Disorder and Associated Disabilities Interview Schedule--DSM-IV Version (AUDADIS-IV) was used to assess alcohol dependence. In the present study, a measure of lifetime alcohol dependence symptoms was created by combining wave 1 questions assessing if each symptom had ever occurred and wave 2 questions assessing each symptom since the last interview. Alcohol dependence diagnoses within the AUDADIS-IV have been found to be reliable (Grant *et al.*, 1995), as well as alcohol symptom counts within the measure (Grant *et al.*, 2003a).

A *family history of alcoholism* was a mean weighted score of first- and second-degree relatives reported to be an alcoholic or problem drinker. Alcoholism/problem drinking was defined as having a physical or emotional problem related to drinking; having problems (related to drinking) with a spouse, other family member, or friends, with the police, or at work or school; and spending a lot of time drinking or being hung over (Chen *et al.*, 2006). Respondents answered a series of questions about their biological relatives; the current score included 12 relative types, i.e., mother, father, brothers, sisters, and maternal and paternal grandmothers, grandfathers, aunts, and uncles. Some relative types were coded 0, 1 (no/yes; e.g., mother and paternal grandfather) and others were a proportion (e.g., the number of alcoholic brothers out of the number brothers who lived to be 10 years old). The current study weighted responses for first-degree relatives with a value of one and second-degree relatives with a value of 0.5. Weighted responses were summed and divided by the number of relative types. A higher family history score indicated a stronger family history of relatives with alcoholism or who engage in problem drinking.

Generational status had three levels: first generation (individuals not born on the U.S. mainland); second generation (U.S. born with at least one foreign-born parent); and third or later generation (U.S. born and both parents U.S. born). This measure was based on each subject's responses to three questions asking: 1) if the subject was born in the United States, 2) the country where the subject's mother was born, and 3) the country where the subject's father was born.

Number of years lived in the U.S. combined a continuous response question for the number of years of U.S. residence with a question about whether the respondent (no/yes) was born in the U.S. The variable was coded as follows: 1) 0 to 5 years; 2) 6 to 10 years; 3) 11 to 20 years; 4) 21+ years; and 5) U.S. born, based on Alegria *et al.* (2007).

NESARC assessed *acculturation* using an 11-item scale. These items, according to Ruan *et al.* (2008), were derived from both the Brief Acculturation Rating Scale-II for Mexican Americans (ARSMA-II) and the East Asian Acculturation Measure (EAAM). The scale included questions related to individual's language preferences in a variety of contexts (e.g., reading and speaking, in childhood, at home, in thought, speaking with friends, etc.) as well as questions related to the ethnicity of participant's friend/peer groups. A sum-score of individual items, ranging from 1 to 5 (higher numbers reflect higher levels of acculturation), was calculated with a possible total score of 55. This measure was shown to have high test-retest reliability (ICC=0.79) (Ruan *et al.*, 2008).

Demographic variables included gender (1=men; 2=women), age (20–29; 30–39; 40–49; 50–59; and 60 years or more), and level of education, i.e., the highest grade or year of school completed (coded as: less than high school; completed high school or GED; some college education; and a four-year college degree or more education).

Data analysis

The descriptive statistics for the sample were estimated using STATA's (release 13) SVY command (StataCorp, 2013). *Mplus* version 7 (Muthén and Muthén, 2009) was used to conduct the path analyses. All analyses accounted for the NESARC's weight, cluster, and stratification information. The path models were fit using the maximum likelihood estimator with robust standard errors (MLR) to non-linearity and non-independence of observations due to cluster sampling.

We tested three models: 1) estimating the main effects of familial alcoholism and generational status to alcohol dependence symptoms; 2) estimating the familial alcoholism-by-generational status interaction effect; and 3) estimating the mediation effects through level of acculturation. We used a probability value of $< .05$ in determining statistical significance for all tests. All models controlled for the associations of age, gender, and level of education. These models were also re-estimated with the variable 'number of years lived in the U.S.' substituted for generational status. A plot of the predicted linear relationship between familial alcoholism and alcohol dependence symptoms by generational status was constructed in STATA to assist in the interpretation of the interaction effect.

The mediation model was analyzed using the framework of Preacher *et al.* (2007) and Edwards and Lambert (2007) for testing a direct effect and first stage moderation model, by some called mediated moderation. To avoid bias in the familial alcoholism by generational status interaction, we regressed alcohol dependence symptoms on the interaction between familial alcoholism and acculturation (Yzerbyt *et al.*, 2004). This model (see Figure 1) investigated whether the interaction between familial alcoholism and generational status predicted acculturation (the mediating variable), and in turn predicted the number of alcohol dependence symptoms. Mediation effects (i.e., indirect effects) via level of acculturation were estimated using the using the product of coefficients approach and delta standard errors (Morgan-Lopez and MacKinnon, 2006, Muthén and Muthén, 2009). The mediation model was estimated in the total sample, as well as separately in men and women.

RESULTS

About half (48.52%) of these Hispanic American drinkers were first generation (i.e., born outside of the U.S. mainland), with the remaining evenly split between the second (25.16%) and third or later (26.32%) generations. Most subjects reported residence in the U.S. of 21 or more years (20.61%) or were U.S. born (51.48%), while fewer reported residing in the U.S. 0–5 (3.50%), 6–10 (7.42%), and 11–20 (17.00%) years. Other study variables were estimated stratified by generational status (see Table 1) and showed significant differences across generation groups. Compared with the third or later generation, first and second generation subjects reported a lower number of alcohol dependence symptoms as well as a weaker family history of alcoholism. Not surprisingly, third or later generation subjects

reported a higher level of acculturation than the two other groups. First generation subjects were more likely to be male and to have a lower level of education. There were significant age differences across generations, for example, the first generation had a lower percentage of 20 to 29 year olds.

Models testing main and interaction effects (Table 2) controlled for gender, age, and level of education. In the main effect model, a stronger family history of alcoholism and a later generational status were associated with more alcohol dependence symptoms. Results for the interaction model showed a significant joint effect for familial alcoholism with generational status in predicting alcohol dependence symptoms. The interaction is plotted in Figure 2; the association of familial alcoholism with alcohol dependence symptoms was stronger with successive generations.

The interaction model was extended by examining level of acculturation as a mediator for the interaction between familial alcoholism and generational status (Table 3). In the total sample, the interaction was in part mediated by level of acculturation. This indirect effect was negative; it had a stronger relationship in earlier versus later generations. We calculated unstandardized conditional indirect effects to estimate the mediated path in each generation group (Preacher *et al.*, 2007). Conditional indirect effects were statistically significant in the first and second generations, respectively, $B=.230$, $SE=.101$, $p=.023$ and $B=.133$, $SE=.063$, $p=.033$, but not in the third or later generation, $B=.036$, $SE=.048$, $p=.456$. The mediated path via acculturation was not statistically significant when tested separately in men only and women only. Furthermore, the direct effect from the familial alcoholism-by-generational status interaction to alcohol dependence symptoms was statistically significant in the total sample and in women only, but was not significant in men only.

The same models were re-analyzed with years of living in the U.S. The results were comparable to those reported for generational status, with significant positive main effects for familial alcoholism ($\beta=.286$, $SE=.023$, and $p<.001$) and years living in the U.S. ($\beta=.191$, $SE=.022$, and $p<.001$), as well as a significant interaction ($\beta=.255$, $SE=.103$, and $p=.013$) in the prediction of alcohol dependence symptoms. Similarly, in the mediation model, there was a significant direct effect for the interaction to alcohol dependence symptoms observed in the total sample ($\beta=0.298$, $SE=.134$, and $p=.027$) and in women ($\beta=.514$, $SE=.200$, and $p=.010$), but not in men ($\beta=.319$, $SE=.178$, and $p=.074$). However, the interaction was not mediated by level of acculturation in either the total sample or the men only and women only samples.

CONCLUSIONS

The current study showed that familial alcoholism risk is vulnerable to the environmental effects of generational status and length of residency in the U.S. in predicting alcohol dependence symptoms. Greater exposure to the U.S. culture was associated with larger effects for familial alcoholism in Hispanic American drinkers. U.S. population studies show that migration and longer U.S. residency are associated with higher rates of drinking and risk for substance use disorders in Hispanic Americans (Alderete *et al.*, 2000, Alegria *et al.*, 2006, Alegria *et al.*, 2007, Borges *et al.*, 2011). We found that the interaction between

familial alcoholism and generational status was significant in women, but not in men. This is not entirely surprising. Caetano (1987) and Zémone (2007) report, along with other studies, a stronger and more consistent positive relationship in women than men between alcohol consumption and assimilation into the U.S. culture. Findings from Unger *et al.* (2002) support the relationship between shifting gender norms and drinking for Hispanic American women. They report that alcohol use is more likely in females who have low endorsement of traditional roles (i.e., machismo and marianismo) for men and women. Furthermore, Zémone (2005) reported that greater drinking volume was associated with more positive drinking norms in Hispanic women.

These results are congruent with prior models of genetic propensity and environmental social control (Dick and Kendler, 2012). That is, environments characterized by relaxed social restrictions and increased access to alcohol are associated with higher heritability of substance use. This evidence primarily comes from twin studies. For example, Dick *et al.* (2001) and Rose *et al.* (2001) report larger effects for genetic factors on alcohol consumption in urban versus rural environments where access is likely higher and social constraints weaker. Specific to women, Kendler *et al.* (2000) reported that genetic influences on tobacco use increased over time in Swedish cohorts, as gender restrictions on tobacco use eased. Other gene-by-environment studies point to the roles that family relationships and peers play in controlling access to alcohol. Low parental monitoring, high peer deviance, and greater alcohol availability are associated with increased genetic influences on alcohol use in youth (Kendler *et al.*, 2011).

Social control mechanisms linked to acculturation may help explain the interaction between familial alcoholism and generational status in predicting alcohol dependence symptoms. High acculturation in Hispanic Americans has been identified as a risk factor for alcohol dependence and heavier drinking (Caetano, 1987, Caetano *et al.*, 2009, Markides *et al.*, 1988). Weaker social constraints on drinking may occur because of a loosening of traditional gender roles and drinking norms for women (e.g., as reported by Unger *et al.*, 2002, Zémone, 2005). Acculturative changes in family values and relationships may also occur and are predictive of drinking. Gil *et al.* (2000) reported a relationship between heavy alcohol use and the reduction of traditional values like parental respect and familism in Hispanic youth. Telzer *et al.* (2014) also reported a negative relationship between lower values for family obligation and substance use.

We found some evidence for a mediational path via acculturation. This indirect effect was not particularly large and was only found in the total sample for the interaction between familial alcoholism and generational status. It was also unexpectedly negative, indicating a stronger positive relationship with alcohol dependence symptoms in earlier versus later generations. Therefore, this path did not explain the stronger relationship of familial alcoholism in later generations. Instead, higher acculturation played a larger mediational role when the risks associated with generational status and familial alcoholism were not already high (i.e., in the first and then second generations). It could be that direct measures of our hypothesized mechanisms, i.e., cultural values/norms, gender, and family changes, would more effectively explain the identified interaction effect; acculturation in the current study measured language preference and ethnic composition of friend/peer groups. It might also be

that other related changes are important, e.g., discrimination experiences might offer another mediational mechanism. Later generational status is associated with a higher likelihood of reporting discrimination experiences (Perez *et al.*, 2008), including in women (Viruell-Fuentes, 2007), and the relationship between discrimination experiences and substance use disorder risk is stronger in U.S. born individuals than those immigrating during childhood (Savage and Mezuk, 2014).

Our findings underscore the importance of targeting prevention efforts to second and particularly third or later generation Hispanic American women with a family propensity for alcoholism. The interaction effect points to environmental factors linked migration as important for strengthening the association between familial alcoholism and alcohol dependence symptoms; however, the specific mechanisms that explain this effect still require additional study. The current study was limited by the variables that were available in the data set. The NESARC did not measure traditional family values, gender role norms, or social drinking norms. There were also limitations to the family history study design. The familial alcoholism score could not distinguish family environment from genetic effects on alcohol dependence symptoms, and we could not be sure that our generational groups, which migrated at different times and likely for different reasons, were genetically comparable (Rutter *et al.*, 2001). Some studies in Mexican populations report an association between genetic diversity and migration status (Gonzalez Burchard *et al.*, 2005), and in other populations both genetic diversity and migration are influenced by such factors as educational attainment (Abdellaoui *et al.*, 2015). Additionally, while we did examine gender differences in our study, we did not compare our results across Hispanic subgroups. There is some evidence that the relationship between U.S. nativity and substance use disorders is more consistent in Mexican Americans compared to other Hispanic American groups (Alegria *et al.*, 2008, Alegria *et al.*, 2006), which may offer an additional question for future research.

A strength of this study is the novel way that we tested the interactive effect between a higher order social factor and genetic mechanisms, using U.S. population data and a familial alcoholism score as a latent measure of genetic effects. We suggest that the family history design, despite its limitations, is a valuable interim approach as other options for testing these interaction effects in Hispanic Americans are currently limited. To our knowledge, there are no epidemiologic studies with focuses on ethnic minority populations and socio-cultural predictors of alcohol dependence that also collect genetic data. As well, studies of measured genes typically do not collect macro-level social measures and, therefore, gene-environment interaction analyses are often restricted to examining micro-level factors (e.g., adverse childhood events) and socio-demographic variables. Studies of gene-environment interactions using measured genes in Hispanic populations are very limited. One study, in a sample of Mexican Americans, showed that having less than or equal to 12 years of education paired with a risk genotype at OPRM1—a Mu opioid receptor gene—was associated with increased heavy drinking (Du and Wan, 2009).

Gonzalez Burchard *et al.* (2005) identified Hispanics as an unique population in which to examine the complex interrelationships between social, economic, and genetic factors. First, individuals of Hispanic or Latino descent are diverse in terms of—geography and socio-

cultural factors—their countries of origin, immigration histories, socioeconomic conditions, and acculturation experiences (Alvarez *et al.*, 2007). They are also a recent genetically admixed population, with European, African, and Native American ancestries, which may provide opportunities for identifying genetic risk variants (Gonzalez Burchard *et al.*, 2005). Ancestry proportions (European, African, and Native American) have been associated with asthma severity in Hispanic samples (Salari *et al.*, 2005), and alcohol dependence in other populations (Zuo *et al.*, 2009). However, these analyses should be conducted with great attention due to the reported relationship between genetic ancestry and socio-economic status in a Puerto Rican sample (Choudhry *et al.*, 2007); this further emphasizes the importance of examining genetic data jointly with socio-cultural and other environmental factors, including in gene-environment interactions.

As studies move from latent heritability techniques to specified genes in the study of higher order gene-by-environment interactions, genetic variants related to alcohol metabolism may be an initial target, because of previous results reported by Hasin *et al.* (2002) and Higuchi *et al.* (1994). The relationships between these genes and alcohol dependence require exposure to alcohol, and, therefore, may interact with environmental conditions that control access to alcohol. Other study designs could involve multiple genetic variants through the use of genetic ancestry or admixture mapping approaches (Choudhry *et al.*, 2007) or polygenic risk scores (Salvatore *et al.*, 2014) to examine gene-by-environment interactions in association with alcohol dependence. A better understanding of the genetic architecture of alcohol dependence may be required before polygenic scores outperform family history (Yan *et al.*, 2014). Opportunities to test higher order measured gene-by-environment interactions may require the added collection of genetic data in coordination with ongoing epidemiologic studies. The public availability of the wave 3 NESARC data, which includes a collection of genetic samples, may facilitate these analyses.

In conclusion, this study used a novel approach to examine the interaction between generational status (and years of U.S. residency) and familial alcoholism in predicting alcohol dependence symptoms in Hispanic American drinkers. Our analysis involved U.S. population data, a latent measure of genetic effects, and a higher order gene-by-environment interaction. We showed that the relationship between familial alcoholism and alcohol dependence was stronger with successive generations in the U.S., and that this effect was also stronger in women compared to men. This interaction effect was in part mediated by acculturation processes characterized by language or friend/peer groups preferences, but may be better explained by changes in family values, gender roles, and social drinking norms or potentially in discrimination experiences that are also associated with increased risks for alcohol use disorders.

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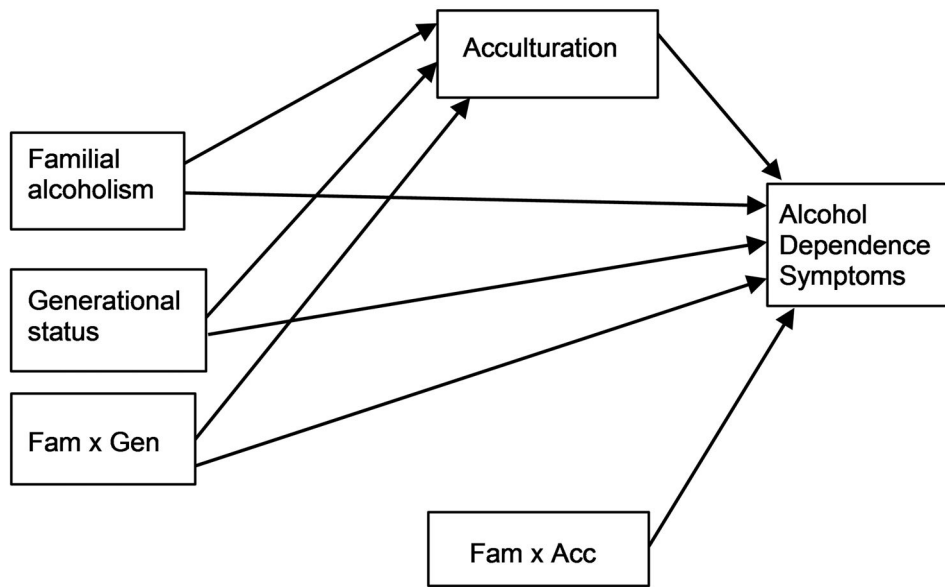


Figure 1. Mediated moderation model for the familial alcoholism by generational status interaction and acculturation in predicting alcohol dependence symptoms

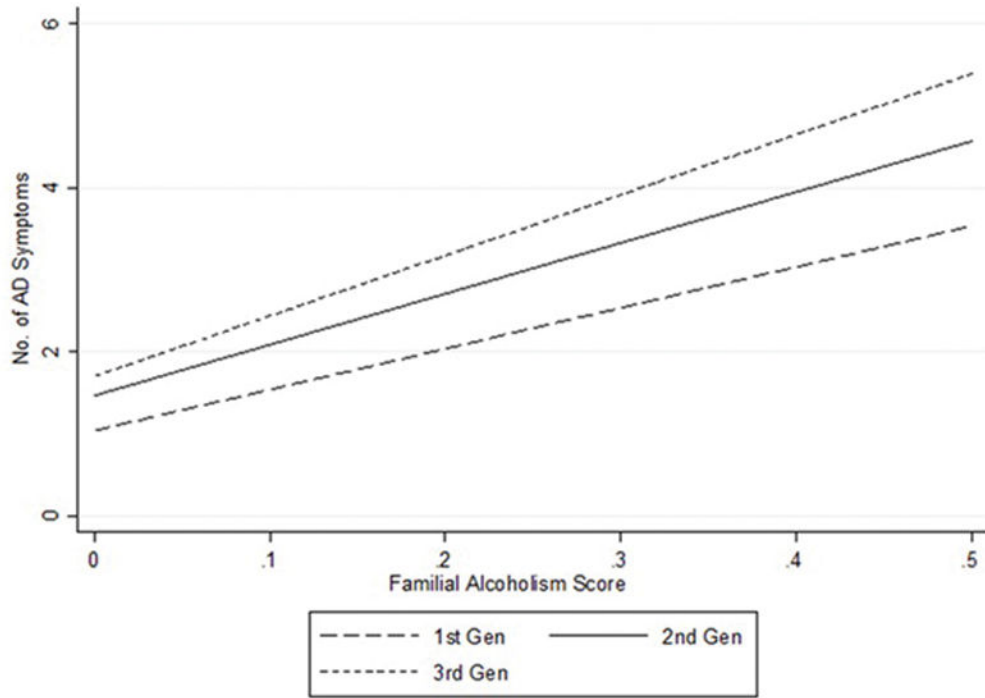


Figure 2. Family history of alcoholism by generational status with alcohol dependence symptoms

Table 1
 Characteristics of the Total Sample and by First, Second, and Third or later Generations

| | Total N=4,122 | Generational Status | | | <i>p</i> [†] |
|------------------------------------|------------------|---------------------------|---------------------------|---------------------------|---|
| | | 1 st (n=1,784) | 2 nd (n=1,169) | 3 rd (n=1,169) | |
| No. of alcohol dependence symptoms | 1.82 (0.044) | 1.38 (0.063) | 2.04 (0.065) | 2.42 (0.094) | <.001 ^a ; <.001 ^b |
| Familial alcoholism score | 0.057 (0.002) | 0.04 (0.001) | 0.06 (0.001) | 0.09 (0.005) | <.001 ^a ; <.001 ^b |
| Level of acculturation | 34.06 (0.362) | 25.43 (0.213) | 39.32 (0.212) | 45.05 (0.461) | <.001 ^a ; <.001 ^b |
| Male | 64.00 | 72.42 | 58.32 | 53.93 | <.001 |
| Age | | | | | <.001 |
| 20–29 | 25.41 | 19.27 | 35.18 | 27.41 | |
| 30–39 | 29.08 | 31.54 | 26.49 | 27.03 | |
| 40–49 | 22.55 | 24.15 | 18.24 | 23.74 | |
| 50–59 | 12.51 | 14.41 | 9.07 | 12.31 | |
| 60 or more | 10.44 | 10.64 | 11.02 | 9.51 | |
| Education | | | | | <.001 |
| < High school | 29.73 | 44.15 | 19.31 | 13.13 | |
| High school | 24.31 | 21.91 | 23.92 | 29.12 | |
| Some college | 29.94 | 18.84 | 40.08 | 40.72 | |
| 4 years college + | 16.01 | 15.10 | 16.70 | 17.04 | |

Notes. Statistics are Mean (Standard Error) or Percentage;

[†] *p* values are for comparisons by generational status (categorical variables used chi-square tests; and continuous variables used *F* tests);

^a *p* values for the means difference between 1st and 3rd or later generations and

^b between 2nd and 3rd or later generations.

Table 2Main and Interaction Effects Models in Predicting Alcohol Dependence Symptoms ($N=4,056$)

| | β (SE) | <i>p</i> value |
|----------------------------------|---------------|----------------|
| <i>Main effects model</i> | | |
| Familial alcoholism | 0.272 (0.022) | < 0.001 |
| Generational status | 0.193 (0.022) | < 0.001 |
| <i>Interaction effects model</i> | | |
| Familial alcoholism | 0.146 (0.062) | 0.019 |
| Generational status | 0.156 (0.026) | < 0.001 |
| Fam x Gen | 0.149 (0.066) | 0.024 |

Notes. All models controlled for gender, age, and level of education; β = standardized coefficient; and *SE* = standard error.

Table 3
 Mediation Models: Standardized Coefficients for Direct, Indirect, and Total Effects to Alcohol Dependence Symptoms

| | Total (N=4,045) | | | Men only (n=2,244) | | | Women only (n=1,801) | | |
|---------------------|----------------------------|-----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|
| | Direct β (SE) | Indirect β (SE) | Total β (SE) | Direct β (SE) | Indirect β (SE) | Total β (SE) | Direct β (SE) | Indirect β (SE) | Total β (SE) |
| Familial alcoholism | 0.181 (0.073) ^a | 0.013 (0.006) ^a | 0.195 (0.074) ^b | 0.280 (0.096) ^b | 0.014 (0.008) | 0.293 (0.097) ^b | -0.063 (0.101) | 0.009 (0.008) | -0.054 (0.102) |
| Generational status | 0.077 (0.032) ^d | 0.069 (0.020) ^c | 0.145 (0.027) ^c | 0.096 (0.040) ^a | 0.068 (0.025) ^b | 0.163 (0.033) ^c | 0.045 (0.048) | 0.064 (0.027) ^d | 0.108 (0.044) ^b |
| Fam x Gen | 0.230 (0.089) ^d | -0.010 (0.005) ^d | 0.221 (0.089) ^d | 0.221 (0.124) | -0.010 (0.006) | 0.211 (0.124) | 0.291 (0.114) ^d | -0.005 (0.007) | 0.286 (0.133) ^d |
| Acculturation | 0.113 (0.032) ^c | --- | 0.113 (0.032) ^c | 0.109 (0.040) ^b | --- | 0.109 (0.040) ^b | 0.107 (0.046) ^d | --- | 0.107 (0.046) ^d |

Notes. All models controlled for gender, age, level of education, and the familial alcoholism x acculturation interaction; The total sample model also controlled for gender; β = standardized coefficient; SE = standard error;

^a $P < .05$;

^b $P < .01$;

^c $P < .001$; and

--- non-estimated path.