

SCIENTIFIC INVESTIGATIONS

Nocturnal Hypoxemia is Associated With Low Testosterone Levels in Overweight Males and Older Men With Normal Weight

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Study Objectives: The relationship among obstructive sleep apnea (OSA), body mass index (BMI), and testosterone levels has long been suggested. Obese men have shown a negative correlation between testosterone level and sleep apnea severity. Yet, little is known about the association between testosterone levels and sleep apnea in men who are not obese. This study evaluated the association between the total testosterone (TT) level and OSA in patients who are not obese.

Methods: A retrospective review of 523 records of patients in whom OSA was diagnosed from 2013–2016 was performed. The study included men with a BMI < 30 kg/m² and with TT levels measured in a blood sample collected the morning after a sleep study.

Results: In all, 153 nonobese men met inclusion criteria, of whom 47 (30.7%) had testosterone levels below the reference values; 44 of these individuals (93.6%) were overweight ($P = .029$). Reduced testosterone levels showed significant correlations with the oxygen desaturation index, the lowest oxygen saturation < 80% (O_2 nadir < 80%), and rapid eye movement (REM) sleep duration, after adjusting for BMI. Among patients with normal weight, only 3 who had O_2 nadir < 80% and were older than 50 years presented with a reduced TT level.

Conclusions: In a large population of nonobese men with OSA, we demonstrated that hypoxemia (O_2 nadir < 80%) and overweight are associated with reduced testosterone levels. This association was only observed among normal-weight individuals older than 50 years.

Keywords: body mass index, hypoxia, obstructive sleep apnea, sex hormone, testosterone

Citation: Viana A Jr, Daflon AC, Couto A, Neves D, de Araujo-Melo MH, Capasso R. Nocturnal hypoxemia is associated with low testosterone levels in overweight males and older men with normal weight. *J Clin Sleep Med*. 2017;13(12):1395–1401.

INTRODUCTION

Progressive decreases in androgen production are observed in at least 20% of males aged 60 to 70 years.¹ Total testosterone (TT) levels were found to be stable in men up to the ages of 50 to 55 years, while a reduction in TT of approximately 35% has been described in males between the ages of 25 and 75 years.^{2,3} In healthy patients aged 20 to 100 years, subnormal levels of TT and free testosterone were observed in only 1% of the population younger than 40 years, whereas this percentage increased to more than 10%, 20%, and 40% in those aged 40–60 years, 60–80 years, and older than 80 years, respectively.² Hypogonadal testosterone levels have been found in approximately 12% of men older than 50 years and in 50% of men older than 80 years.^{4,5} The etiology of this testosterone decline is multifactorial and involves disturbed neuroendocrine gonadotropin regulation.^{1,6}

Obstructive sleep apnea (OSA) is a common disorder. In men 40–49 years old, the prevalence of apnea-hypopnea index [AHI] ≥ 5 events/h has been reported at 25%; furthermore, in this same age group, the prevalence of AHI ≥ 15 events/h has been reported at 11%.⁷ Peppard et al. reported that, among overweight men, the prevalence of AHI ≥ 5 events/h was approximately twofold higher in men 50–70 years old (37%) than

BRIEF SUMMARY

Current Knowledge/Study Rationale: The relationship between obesity, sleep apnea, and testosterone has been widely addressed in multiple studies, describing a negative correlation between polysomnographic parameters (apnea-hypopnea index, oxygen desaturation index and O_2 nadir) and testosterone levels, but studies assessing this relationship in a nonobese population with obstructive sleep apnea (OSA) are still needed. Our research evaluated associations between total testosterone (TT) levels in nonobese patients with OSA and overnight polysomnography measurements.

Study Impact: The study demonstrated that, among nonobese men with OSA, being overweight may aggravate the reduction of TT associated with severe hypoxia measured by O_2 nadir < 80% and oxygen desaturation index, regardless of apnea-hypopnea index. This association was only observed among normal-weight individuals older than 50 years as well. All normal-weight men with O_2 nadir $\geq 80\%$ presented with normal TT levels.

in men 30–49 years old (18%). Peppard et al. analyzed data from two time points: the 1988–1994 and 2007–2010 United States National Health and Nutrition Examination Surveys. Using these data, Peppard et al. reported an increase in prevalence of AHI ≥ 5 events/h in men 30–49 years old from 20% to 26.6%, and in men 50–70 years old from 38.5% to 43.2%.⁸

It is well established that obesity is an important risk factor for OSA with metabolic repercussions,⁹ and it can also result in reduced testosterone levels. However, this association is less clear in overweight and normal-weight subjects.

It has been suggested that OSA affects the hypothalamic-pituitary-gonadal axis, and is associated with hormone secretions impairment in humans.^{10,11} Previous studies have described a complex relationship between OSA, testosterone levels, body mass index (BMI), and sexual dysfunction. Decreased morning testosterone levels have been shown in male patients with OSA.^{12–14} Karacan et al. demonstrated that OSA treatment via continuous positive airway pressure improved complaints of erectile dysfunction in one-third of patients with OSA.¹⁵ Obese men have also been shown to have lower TT and free testosterone levels.^{16,17} Semple et al. demonstrated that weight reduction reversed low testosterone levels observed in obese patients with OSA.¹⁸ Although some studies report that the low sex hormone levels in patients with OSA are mostly due to obesity,^{19,20} others indicate that sleep apnea negatively affects testosterone level^{21,22} independent of BMI.^{23–26}

Overall, the research results on the relationship between OSA and the level of this sex hormone are inconsistent and thus require further investigation; therefore, the objective of this study was to evaluate the association between the TT levels in nonobese patients with OSA, as determined by different overnight polysomnography parameters.

METHODS

Participants

The medical records of 523 consecutive subjects in whom OSA was diagnosed between January 2013 and December 2016 at the Sleep Clinic, Department of Otorhinolaryngology, Naval Hospital Marcílio Dias, Rio de Janeiro, Brazil were reviewed. The inclusion criteria included a diagnosis of OSA, male sex, BMI < 30 kg/m², and available plasma TT levels measured the morning following polysomnography. It is important to highlight that TT levels are routinely assessed in this subpopulation. The exclusion criteria were: presence of craniofacial abnormalities, active history of alcohol or substance abuse or dependency, severe or poorly controlled psychiatric illnesses such as depression or dementia, or severe/unstable comorbidities such as cancer, cardiovascular, inflammatory or autoimmune diseases, patients with well-known history of hypogonadism or erectile dysfunction. The study was approved by the Institutional Review and Research Ethics Committee of the Institution (N° 51104215.0.0000.5256).

Parameters

The following data were gathered: age at the time of polysomnography, BMI, serum TT level, and overnight polysomnography comprehensive assessment including AHI, apnea index (AI), hypopnea index (HI), rapid eye movement (REM) sleep duration, oxygen desaturation index (ODI) and lowest oxygen saturation (O₂ nadir).

Patients were allocated into 2 age groups: 18–50 and > 50 years old. Height (cm) and weight (kg) were measured with the

patient wearing light clothing without shoes. BMI was calculated as kg/m². Patients were classified based on their BMI as underweight (< 18.5 kg/m²), normal-weight (18.5–24.9 kg/m²), overweight (25.0–29.9 kg/m²), or obese (≥ 30.0 kg/m²).²⁷ The BMI < 30 limit was established so higher obesity grades would not bias the serum testosterone levels.

A peripheral venous blood sample was collected to measure TT quantitatively via the competitive immunoassay method (direct chemiluminescent technology) using ACCESS Testosterone Calibrators (Beckman Coulter, Minnesota, United States). The reference values for TT—normal: 20–49 years (270–827 ng/dL), ≥ 50 years (212–755 ng/dL)—in men are aligned with the protocols of the hormone dosage systems of the Central Laboratory of the executing institution.

OSA was classified based on the AHI as follows: mild (≥ 5 and < 15 events/h), moderate (≥ 15 and < 30 events/h), or severe (≥ 30 events/h). The sleep studies were scored at the hospital by certified technicians. Apnea was identified when the amplitude of the airflow was flat or nearly flat for longer than 10 seconds. Obstructive apnea was identified when the persistence of effort was noted by abdominal or thoracic inductance plethysmography. Central apnea was identified when there was no evident effort on both the abdominal and thoracic plethysmography bands. Hypopnea was identified when there was a 30% reduction in the airflow amplitude for at least 10 seconds that was associated with oxygen desaturation ≥ 3% or electroencephalographic arousal. The AHI, AI, HI, REM sleep, ODI, O₂ nadir, and sleep time spent below 90% oxygen saturation were measured based on polysomnography recordings (EMSA Equipamentos Médicos, Rio de Janeiro, Brazil). REM sleep duration was considered normal when between 20% and 25% of the total sleep time.²⁸

Statistics

All the continuous variables were examined for normality in their distributions. The two-tailed Student *t* test was applied for normally distributed variables and the Wilcoxon rank-sum test was used for skewed variables when the variables were compared with normal testosterone and reduced testosterone. The chi-square test or Fisher exact test was applied as appropriate for comparisons of categorical variables. Logistic regression models were used to examine the associations between testosterone level and sleep outcomes. Values of *P* ≤ .05 were considered statistically significant. All analyses were performed using SAS Software, version 9.4 (SAS Institute, Inc., Cary, North Carolina, United States).

RESULTS

From 153 patients who met inclusion criteria, 47 (30.7%) had testosterone levels below the reference values. Among the 370 patients who were excluded, the most common reason was BMI > 30. The average age was 46.3 years (standard deviation [SD] = 12.71). A total of 127 out of 153 (83%) were determined to be overweight. **Table 1** shows the baseline characteristics in this population. TT levels were reduced in 47 men, and 44 of the 47 (93.6%) were overweight [*P* = .029, odds ratio (OR) = 4.06 (1.1–13.2)]. No significant trends were found for age, current

Table 1—Distribution of the epidemiological characteristics of the study sample.

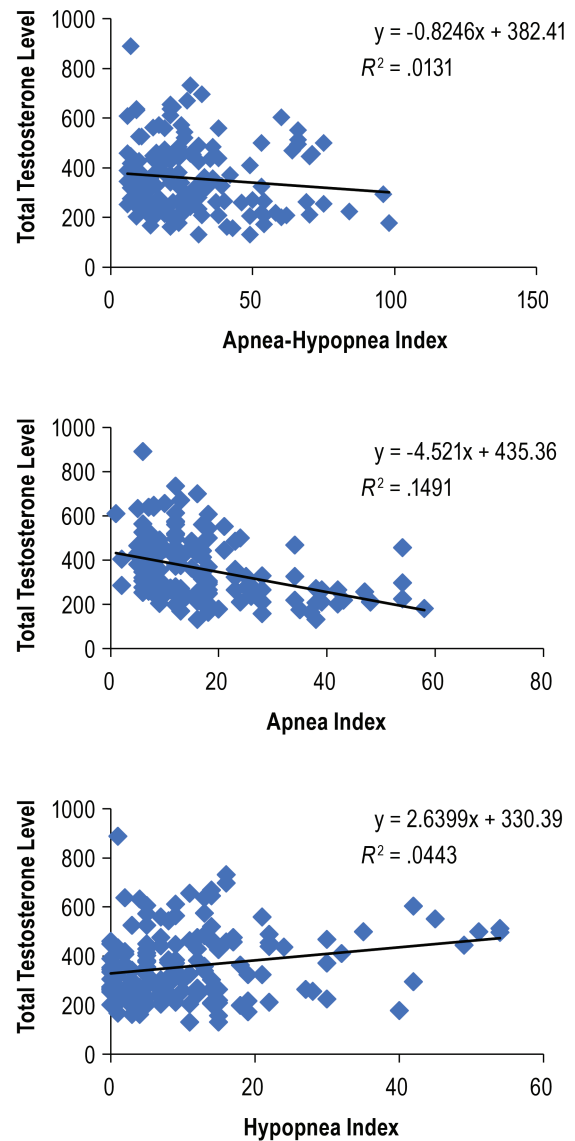
Variables	Total Testosterone Level		P
	Normal (n = 106)	Reduced (n = 47)	
Age, years			
20–50	70 (66)	33 (70.2)	.612
≥ 50	36 (34)	14 (29.8)	
BMI			
Normal	23 (21.7)	3 (6.4)	.029
Overweight	83 (78.3)	44 (93.6)	
Smoking			
No	95 (89.6)	42 (98.4)	.961
Yes	11 (10.4)	5 (10.6)	
Social drinking			
No	99 (93.4)	46 (97.8)	.470
Yes	7 (6.6)	1 (2.12)	
Comorbidities			
Dyslipidemia			
No	99 (93.4)	44 (93.6)	.959
Yes	7 (6.6)	3 (6.4)	
Hypertension			
No	78 (73.6)	36 (76.6)	.693
Yes	28 (26.4)	11 (23.4)	
Diabetes			
No	103 (97.2)	45 (95.7)	.647
Yes	3 (2.8)	2 (4.3)	
Psychiatric disorder			
No	101 (95.3)	46 (97.9)	.447
Yes	5 (4.7)	1 (2.1)	
Medicine			
No	68 (64.2)	36 (76.6)	.227
Negative	22 (20.1)	8 (17)	
Positive	16 (15.1)	3 (6.4)	

Values presented as n (%). Values are statistically significant at $P < .05$. BMI = body mass index, medicine negative = ingestion of medicine that does not interfere with testosterone, medicine positive = ingestion of medications that interfere with testosterone.

cigarette smoking, alcohol consumption, dyslipidemia, hypertension, diabetes, psychiatric disorders, or medicines.

Compared with individuals with normal TT, those with reduced TT had higher average BMI values, Epworth Sleepiness Scale scores, AHI, and increased nocturnal hypoxemia (O_2 nadir and ODI). These individuals also had lower average REM sleep duration. The average AI was significantly higher than the average HI between the two groups of patients (**Table 2**). The AI was higher than the HI among patients with reduced testosterone levels (**Figure 1**).

As shown in **Table 3**, the unadjusted analyses revealed a significant correlation between OSA severity (mild, moderate, and severe) and TT levels ($P = .048$, $OR = 1.88$). However, when the $AHI \geq 30$ and $AHI < 30$ groups were considered, the significance of this correlation was more robust in subjects with severe OSA ($P = .018$, $OR = 2.08$). A strong correlation was observed among reduced TT, severe OSA, and reduced

Figure 1—Relationship between testosterone level and apnea-hypopnea index, apnea index, and hypopnea index.

oxygen values (including O_2 nadir and ODI) and subjects with O_2 nadir $< 80\%$ ($OR = 106.1$). Subjects with O_2 nadir $< 80\%$ had a higher OR than subjects with O_2 nadir $< 90\%$ ($OR = 49.24$).

Models with different combinations of age, BMI, and AHI were tested. They were entered in the form that maximized predictive power. BMI was the best and only significant predictor. As shown in **Table 3**, after adjusting for BMI, the variables AHI and O_2 nadir $< 90\%$ lost significance. The variables O_2 nadir $< 80\%$, ODI, and REM sleep duration remained statistically significant.

Table 4 shows the association between age groups and weight (normal and overweight) in individuals with reduced testosterone levels. It was possible to identify a significant difference between normal-weight and overweight individuals in relation to their respective ages. With overweight patients, the distribution into age groups was more homogeneous than among normal-weight individuals. We observed that among

Table 2—Distribution of continuous variables in relation to total testosterone.

Variables	Total Testosterone Level								P*
	Normal (n = 106)				Reduced (n = 47)				
	Minimum	Maximum	Average (SD)	Median (IQR)	Minimum	Maximum	Average (SD)	Median (IQR)	
Age, years	21.0	79.0	46.4 (13.8)	44.5 (22.0)	30.0	78.0	46.0 (10.1)	46.0 (12.0)	.887
BMI, kg/m ²	19.8	33.2	26.8 (2.6)	27.1 (3.7)	23.4	37.2	28.2 (2.3)	28.3 (2.1)	.005
ESS score	1.0	21.0	11.1 (4.3)	11.0 (6.0)	3.0	20.0	12.6 (3.7)	12.0 (5.0)	.041
TT, ng/dl	213.2	889.2	421.2 (127.2)	409.2 (166.5)	131.0	269.4	220.1 (38.1)	217.9 (55.6)	< .001
AHI, events/h	5.0	73.0	25.1 (17.9)	21.0 (20.0)	6.0	98.0	33.3 (21.9)	26.0 (35.0)	.041
AI, events/h	1.0	54.0	13.5 (9.4)	12.0 (10.0)	6.0	58.0	24.1 (13.9)	18.0 (24.0)	< .001
HI, events/h	3.0	54.0	11.6 (12.2)	8.0 (9.0)	5.0	40.0	9.4 (9.0)	7.0 (12.0)	.194
REM sleep, %	8.0	23.0	19.8 (2.7)	21.0 (2.0)	6.0	20.0	13.6 (3.4)	13.0 (5.0)	< .001
ODI, events/h	0.0	33.0	6.35 (6.7)	4.0 (9.0)	7.0	56.0	23.9 (11.6)	22.0 (5.0)	< .001
O ₂ nadir, %	70.0	95.0	88.6 (5.6)	89.0 (4.0)	50.0	90.0	72.7 (7.4)	73.0 (11.0)	< .001

* = *P* value is based on Wilcoxon rank-sum test, except ESS which is based on *t* test. ESS is the only variable that follows normal distribution. Values are statistically significant at *P* < .05. AHI = apnea hypopnea index, AI = apnea index, BMI = body mass index, ESS = Epworth Sleepiness Scale (scored between 0 and 23), HI = hypopnea index, IQR = interquartile range, O₂ nadir = lowest oxygen saturation, ODI = oxygen desaturation index, REM = rapid eye movement, SD = standard deviation, TT = total testosterone level, TT normal = 20–49 years (270–829 ng/dL) and older than 50 years (212–755 ng/dL), TT reduced = 20–49 years (< 270 ng/dL) and older than 50 years (< 212 ng/dL).

Table 3—Associations between total testosterone level and sleep outcomes.

Variables	Total Testosterone Level		OR (95% CI)	P	Adjusted OR (95% CI)*	Adjusted P*
	Normal (n = 106), n (%)	Reduced (n = 47), n (%)				
AHI						
Mild	36 (34.0)	14 (29.8)	1.00		1.00	
Moderate	43 (40.5)	12 (25.5)	0.68 (0.27–1.67)		0.72 (0.34–1.86)	.456
Severe	27 (25.5)	21 (44.7)	1.88 (0.96–4.38)	.048	1.63 (0.73–5.24)	
BMI						
< 30	79 (74.5)	26 (55.3)	1.00		1.00	
≥ 30	27 (25.5)	21 (44.7)	2.08 (1.15–4.87)	.018	1.81 (0.85–3.87)	.341
REM sleep						
20% to 25%	69 (65.1)	1 (2.1)	1.00		1.00	
< 20%	37 (34.9)	46 (97.9)	79.81 (11.37–647.31)	< .001	37.85 (4.4–309.6)	< .01
O ₂ nadir						
≥ 90%	57 (53.8)	1 (2.1)	1.00	< .001	1.00	.421
< 90%	49 (46.2)	46 (97.9)	49.24 (6.53–369.9)		6.06 (0.57–64.8)	
O ₂ nadir						
≥ 80%	98 (92.5)	5 (10.6)	1.00		1.00	
< 80%	8 (7.5)	42 (89.4)	106.10 (31.5–357.1)	< .001	98.50 (18.1–537.2)	< .01
ODI						
≤ 5 events/h	66 (62.3)	1 (2.1)	1.00	< .001	1.00	
> 5 events/h	40 (37.7)	46 (97.9)	71.20 (9.4–535.40)		14.48 (1.43–146.5)	< .01

* = *P* value and OR adjusted by BMI. Values are statistically significant at *P* < .05. AHI = apnea hypopnea index, BMI = body mass index, O₂ nadir = lowest oxygen saturation, ODI = oxygen desaturation index, OR = odds ratio, REM = rapid eye movement.

patients with normal weight (*n* = 26), 15 (57.7%) and 4 (15.4%) had O₂ nadir < 90% and < 80%, respectively. The patients who had a reduced TT level were older than 50 years with O₂ nadir < 80%.

DISCUSSION

In a population of nonobese men, we found a significant association between OSA severity and presence of hypoxemia,

being overweight, and having a reduced TT level. Previous data demonstrated that decreased TT levels were associated with obesity and advanced age, and to a lesser extent with sleep fragmentation and hypoxia.²⁵ A case report showed that just a reduction in body weight led to improvements in respiratory function and blood oxygenation; moreover, the patient's testosterone level returned to normal.¹⁸ A multicenter study with men aged 65 years or older (mean = 72.6 years, SD = 5.4) found that lower testosterone was independently associated with adverse sleep effects only in men with higher BMI, suggesting

that the associations among testosterone, obesity, and sleep are likely complex.²⁹

In the current study, we observed an association in non-obese younger men (mean = 46.3 years, SD = 12.71), between reduced TT and being overweight. Furthermore, out of the 153 individuals who met inclusion criteria, 83 (54.2%) were overweight and exhibited OSA without a reduction in TT. However, only 8 of 153 (5.2%) had a O₂ nadir < 80%. The severity of hypoxia, established by the ODI and O₂ nadir, was found to be an important factor correlated with the reduction in testosterone. The severity of hypoxia might be another factor contributing to reduced testosterone levels, regardless of BMI.³⁰

AHI lost significance when adjusted for BMI. Similar findings have been demonstrated elsewhere.³¹ However, a negative correlation was found between the severity of sleep apnea and TT level. Higher AHI values correlated with lower TT levels, suggesting that increased apnea severity is related to decreased testosterone secretion in those with OSA.^{14,25,30} The significant association of low TT levels with high AHI values suggest that gonadal dysfunction is a consequence of OSA rather than a primary condition independent of the hypothalamic-pituitary-gonadal axis.^{4,32,33} Several factors may account for lower testosterone secretion levels in middle-aged men with OSA, including hypoxemia,^{14,29} fragmented sleep, obesity,^{21,34} and advanced age.²

There has been growing recognition that sex hormones play important roles in almost all physiological processes, including breathing. With an emphasis on estrogen, progesterone, and testosterone, sex hormones may affect respiratory function in animals and humans.³⁵ One study suggested that measuring testosterone levels, which is easier than performing nocturnal polysomnography, may be useful for monitoring patients with OSA.³⁴ The incidence of OSA has been shown to be higher in men with reduced serum testosterone levels and in middle-aged men.^{4,36}

This concept remains controversial. Reduced testosterone levels have also been found in patients with mild³⁷ or no OSA.³⁸ In our study, TT would not be an optimal alternative measure for identifying patients with OSA. Of the 106 with normal testosterone levels, 49 (46%) had O₂ nadir < 90%, whereas those with reduced testosterone already had significant desaturation levels (ODI and O₂ nadir < 80%).

Previous studies have shown that obesity is common in patients with OSA and is associated with increased sleep apnea severity.³⁹ In our nonobese sample, being overweight was not associated with increased OSA severity, however; there was a significant correlation with reduced TT.

Studies have shown that sleep deprivation is associated with the suppression of gonadal steroids in normal young adults, affecting the circadian rhythm of testosterone secretion and resulting in decreased morning levels of this hormone.^{32,33,40} These studies also revealed a significant correlation between maximal testosterone levels and total oxygen desaturation time.^{32,33} In our study, this correlation was significant for both oxygen desaturation variables, ODI and O₂ nadir. The relationship between the reduction in TT and elevated ODI persisted even after adjusting for BMI. Similar findings have been previously shown in obese but not

Table 4—Individuals with reduced testosterone levels and hypoxemia by age group.

Age, years	Reduced TT Level with O ₂ Nadir < 80% (n = 42)		P
	Normal Weight (n = 3)	Overweight (n = 39)	
30–39	0 (0.0)	10 (25.6)	
40–49	0 (0.0)	17 (43.6)	
50–59	2 (66.6)	9 (23.1)	
60–69	1 (33.3)	1 (2.6)	
70–79	0 (0.0)	2 (5.1)	.044

Values presented as n (%). Values are statistically significant at $P < .05$. O₂ nadir = lowest oxygen saturation, TT = total testosterone.

overweight individuals.^{30,31,34,38,41} The mechanisms by which oxygen desaturation influences testosterone levels are poorly understood and require further investigation. The circadian rhythm of testosterone may be affected in patients exposed to repetitive episodes of upper airway obstruction with marked sleep fragmentation as well.³² Reduced oxygen availability during sleep may be associated with a central inhibition of the hypothalamic-pituitary-gonadal axis, probably due to increased central levels of endorphins.¹¹

The association between TT and percentage of time spent in REM sleep remained significant after adjusting for BMI. It has been demonstrated in rats that REM sleep deprivation leads to decreased testosterone concentrations,⁴² thus confirming the biological significance of sleep homeostasis for normal endocrine regulation. Studies have shown that reduced amounts of REM sleep reflect an unconsolidated sleep pattern and are also risk factors for complaints of erectile dysfunction.^{13,43}

The small number of patients with OSA and normal weight who had reduced levels of total testosterone (n = 3, 6%) is a limitation in the current study that prevents from generalization on the hypoxemia effect among normal-weight individuals. However, these patients were observed to have O₂ nadir < 80% and were older than 50 years. The reduced testosterone level can be associated with accentuated hypoxemia in sleep-disordered breathing independent of adiposity, and dependent on age. Intermittent hypoxia caused by the recurring episodes of apnea and near-apnea in OSA is a major cause of systemic effects. Studies have noted that the risk of sleep-disordered breathing appears to increase progressively with age.^{44–46} The elevated levels of cytokines, such as interleukin-6 and tumor necrosis factor-alpha, which also increase with age, are a common feature of both OSA and metabolic syndrome.^{47,48} Further prospective studies are needed to clarify the association of reduced levels of testosterone, normal weight and age.

In summary, our study demonstrated that among nonobese men with OSA, being overweight may aggravate the reduction of TT associated with the severity of hypoxia measured by O₂ nadir < 80% and ODI. The association between O₂ nadir < 80% and reduced TT levels was also observed among normal-weight individuals older than 50 years. Normal-weight men of all ages at with OSA and O₂ nadir ≥ 80% presented with normal TT levels. Individuals with reduced TT levels also had a positive correlation with reduced REM sleep duration.

ABBREVIATIONS

AI, apnea index
 AHI, apnea-hypopnea index
 BMI, body mass index
 HI, hypopnea index
 O₂ nadir, lowest oxygen saturation
 ODI, oxygen desaturation index
 OR, odds ratio
 OSA, obstructive sleep apnea
 REM, rapid eye movement
 SD, standard deviation
 TT, total testosterone

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ACKNOWLEDGMENTS

The authors thank Yifei Ma, MS, for his valuable assistance in the statistical analysis.

SUBMISSION & CORRESPONDENCE INFORMATION

Submitted for publication May 14, 2017

Submitted in final revised form July 18, 2017

Accepted for publication August 2, 2017

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DISCLOSURE STATEMENT

Work for this study was performed at Marcilio Dias Naval Hospital and Stanford University. The authors report no conflicts of interest.