

Introduction to workshop on iron screening and supplementation in iron-replete pregnant women and young children

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ABSTRACT

The NIH Office of Dietary Supplements convened a public workshop on iron screening and supplementation in iron-replete pregnant women and young children in 2016 in Bethesda, Maryland. The starting point for the workshop was the recent reports from the US Preventive Services Task Force concluding that there was insufficient evidence to evaluate the benefits and harms associated with iron screening and routine supplementation among asymptomatic pregnant women and young children (6–24 mo old) in the United States. The goal of the workshop was to explore and refine understanding about the existing knowledge gaps and research needs associated with these preventive services for these groups. Given the focus on the United States, planning for the workshop took into account the higher iron status in the United States compared with developing countries and, in turn, included a focus on iron-replete individuals consistent with the U-shaped risk curve for nutrient-health relations. Topic areas included adaptations in iron homeostasis associated with pregnancy and young childhood, the impact of inflammation, measurement of iron status, current estimates of iron status for pregnant women and young children in the United States and in Europe, and emerging evidence suggesting adverse effects associated with iron supplementation of ironreplete individuals. A crosscutting dialogue conducted at the close of the workshop formed the basis for a workshop summary that specified evidence gaps and research needs in a range of areas centered on the relation of these adaptations of iron homeostasis with the response to and risk from iron supplementation as well as the need for indicators informative of the full continuum of iron status and based on health outcomes, not just erythropoiesis. Am J Clin Nutr 2017;106(Suppl):1547S–54S.

Keywords: iron, pregnancy, infancy, young childhood, iron screening, iron supplementation

IMPETUS FOR THE WORKSHOP

For decades health practitioners and the public have known dietary iron to be an essential dietary component, a foundation for development and health, a widely administered supplement for pregnant women, and a key ingredient in infant formula. Although iron may seem familiar, it is nonetheless characterized by substantive challenges and unknowns, ranging from measurement of iron status indicators in blood and their appropriate cutoffs to elucidation of alterations of its homeostatic mechanisms in pregnancy and infancy. A parallel consideration is that a

U-shaped curve for risk across a range of intakes from low to high has been established for nutrient-health relations (Figure 1). Yet for iron the question of risk has largely focused on low or deficient intakes, that is, the left side of the curve. There has been less focus on risk associated with higher intakes beyond apparent iron needs, as reflected by the risk curve's right side. This is understandable because iron deficiency (ID) and ID anemia (IDA) have been a major public health focus believed to affect many worldwide, especially among pregnant women and young children in developing countries. However, the right side of the risk curve is worthy of consideration, especially in developed countries with a lower prevalence of ID and IDA. Furthermore, in the United States, where preventing ID is a top public health goal, there is notable iron exposure due to widespread food fortification and routine supplementation that affects not only deficient individuals but also iron-replete individuals. This underscores the importance of exploring both ends of the U-shaped risk curve for iron.

In 2015, questions about iron screening and supplementation in pregnant women and young children (6–24 mo old) were raised by reports from the US Preventive Services Task Force (USPSTF) (1, 2). Overall, reports issued by the USPSTF are derived from objective and systematic reviews of the totality of available data and have as their goal the evaluation of the nature of the evidence base underpinning preventive services in the United States, such as screening and nutrient supplementation. The questions for USPSTF reports center on the balance of benefits and harms. The reports are not structured to provide medical judgment or guidance for best practices. The 2015 USPSTF reports on iron indicated that, in the case of asymptomatic pregnant women in the

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Abbreviations used: ID, iron deficiency; IDA, iron deficiency anemia; IOM, Institute of Medicine; SF, serum ferritin; USPSTF, US Preventive Services Task Force.

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FIGURE 1 Generic depiction of the U-shaped curve for risk associated with nutrient intake and diet-health relations.

United States, there was insufficient evidence for benefit relative to morbidity, mortality, and birth outcomes associated with screening for IDA or routinely supplementing with iron. In the case of US children 6–24 mo old, there was also insufficient evidence to indicate that screening for IDA improved health outcomes such as growth and cognition. These conclusions do not mean that screening and supplementation should not be done, but rather that the health benefits of these activities cannot be ascertained by using available evidence. Such a conclusion may be puzzling to some who understand the identification and elimination of IDA to be a longestablished and desirable goal. Within the USPSTF analytic framework (1, 2), IDA and related hematological changes are intermediate outcomes and, although likely on the causal pathway to certain health outcomes, changes in measurements of IDA in asymptomatic individuals in the United States cannot be linked confidently nor consistently with improvements in health. These issues are of interest nevertheless in the important public health goals associated with reducing ID and IDA.

Taken together, the evidence gaps identified by the USPSTF and the interest in better elucidating alterations in the homeostatic mechanisms associated with iron in pregnancy and young childhood as well as the drivers for decisions to supplement pregnant women and young children in developed countries warranted an in-depth discussion to articulate the knowledge gaps and research needs for both ends of the risk curve. Examining the challenges surrounding the available screening tools was also essential because the hematological measurements used to indicate iron status are still evolving. They are often confounded, may not accurately estimate the prevalence of IDA and ID, may not clearly reflect the full range of status, and lack consistent cutoffs based on health outcomes. In September 2016 the NIH Office of Dietary Supplements convened an open, public workshop on iron screening and supplementation in iron-replete pregnant women and young children in Bethesda, Maryland (3). Other federal agencies acknowledged the importance of the issues and served as cosponsors. Importantly, the iron-replete considerations included in the workshop were complemented by the evolving scientific understandings associated with ID and iron status that served as a foundation for discussion. Participants with a widely varying expertise were tasked with offering reviews of the state of the evidence, taking part in a dialogue, and discussing research needs. The workshop was organized into major topic areas and concluded with a participant-wide discussion of crosscutting knowledge gaps. In the following sections we provide the background information that informed the organization of the workshop, and we introduce the articles that arose from the workshop and are published in this supplement issue.

As with all endeavors for which a range of scientific experts discuss a topic in common, clarification of terminology is a helpful starting point. Several key terms were used during the workshop (Table 1) and are reflected in the articles in this supplement.

BACKGROUND FOR THE WORKSHOP

An over-arching focus for the workshop planning was the context that surrounds iron screening and supplementation in developed countries, as well as foundational issues related to newer understandings of iron metabolism and homeostasis especially as it relates to pregnancy and young childhood. The context for iron status in developed countries, particularly the United States, is in contrast to that in developing countries. There is a lower prevalence of ID in the United States, there are notable amounts of iron in the food supply as well as ready access to iron-containing supplements, and iron supplementation during pregnancy and young childhood is common. However, other developed countries, such as those in Europe, approach iron screening and supplementation

TABLE 1

 1 ID, iron deficiency; IDA, iron deficiency anemia; IOM, Institute of Medicine; USPSTF, United States Preventive Services Task Force.

for pregnant women and young children somewhat differently. In turn, considerations of iron status and supplementation recommendations in European countries were informative. Current estimates of iron requirements and iron intake as well as recommendations for iron supplementation during pregnancy and young childhood laid the foundation for the workshop, as did emerging evidence on adverse effects associated with iron supplementation of iron-replete populations, particularly pregnant women and young children. Challenges related to the hematological measurements used to estimate iron status were also considered during planning.

The iron context in developed countries

Pregnant women

The Institute of Medicine (IOM) (now National Academy of Medicine) issued nutrient reference values, known as Dietary Reference Intakes, for iron in 2001 (6). The Dietary Reference Intakes that reflect the Estimated Average Requirement (50th percentile iron requirement) and the Recommended Dietary Allowance (97.5th percentile iron requirement) for pregnant women are shown in Table 2. They apply to both the US and Canadian populations. These reference values, or recommended intakes, differ notably from those for Europe, at least as specified by the European Food Safety Authority (11). The IOM values rest on the conclusion that iron intake should increase with pregnancy. The European Food Safety Authority has concluded that, unless there is a reason the individual may be at risk for deficiency, there is generally no need for increased iron intake during pregnancy, leaving an iron requirement equivalent to that for nonpregnant women. Some countries in Europe have issued their own intake requirements for iron, but they are not consistent. In 2010 the Scientific Advisory Committee on Nutrition in the United Kingdom commented that the substantial proportion of their population with apparent iron intakes below dietary recommendations is at odds with the low prevalence of poor iron status and could be attributable to uncertainties in Dietary Reference Values for iron intake which may be too high, particularly for girls and women of reproductive age (13).

Recommendations from US health authorities for iron supplementation during pregnancy vary (Table 2). The frequently used software resource for clinicians UpToDate (7) notes as general advice the need to increase iron intake for pregnant women by 15– 30 mg/d over the iron intake for nonpregnant women. The existing CDC guidelines were issued in 1998 (9) and recommend universal supplementation of pregnant women. The American College of Obstetricians and Gynecologists (8) recommends screening pregnant women as a first step and then supplementing with iron if IDA is detected. There are numerous guidelines issued by different European counties; overall European guidelines do not recommend universal supplementation (11).

Information on iron intake for nonpregnant women is often used to suggest a "baseline" intake for pregnant women. What We Eat in America (14) suggests that US women ≥ 20 y old consume \sim 17 mg Fe/d, although this is based on only 1 d of intake data. A study that used NHANES 2003–2006 estimated usual iron intakes for women \geq 19 y old at 25 and 14 mg Fe/d depending on whether they were users or nonusers of dietary supplements, respectively (15).

Nationally representative data reflecting iron intakes among pregnant women in the United States are limited, and available information should be interpreted cautiously. A study based on the 1988–1994 cycle of NHANES is often cited and, although reflective of older data, provides some insight (12). The study $(n = 182)$ estimated that pregnant women consumed an average of 75 mg Fe/d (food and supplements), although the data were skewed with a median of 58 mg Fe/d (Table 2). During the second and third trimesters of pregnancy, $\sim 80\%$ of these women were using iron-containing supplements. Pregnant women who consumed iron-containing supplements were taking in larger doses than the Tolerable Upper Intake Level (45 mg/d) for iron. Fewer than 15% who used supplements were being treated or had been treated for anemia in the past 3 mo. The researchers noted that supplement use among this group of pregnant women was not driven entirely by need. Sales data for prenatal vitamins help enhance the picture. Available data, which are limited and from different time periods, indicate that the more prevalent supplements, both prescription and over-the-counter, provide \sim 30 mg Fe/d in a daily dose (K Andrews, USDA, personal communication, 2016). The annual sales of prenatal vitamins in the United States are in the hundreds of millions of dollars (J Johnson, New Hope Network, personal communication, 2016).

Data reviewed during the workshop planning indicated that \sim 2–3% of pregnant women in the United States may be experiencing IDA, with the prevalence of ID estimated at 16% (7).

¹ ACOG, American College of Obstetricians and Gynecologists; IDA, iron deficiency anemia; IOM, Institute of Medicine.

² Estimated average requirement/Recommended Dietary Allowance.

³ European Food Safety Authority (11).

⁴ Average requirement/population reference intake.

 $⁵$ Milman (12).</sup>

TABLE 3

¹ Institute of Medicine Food Nutrition Board (6).
² Domellöf et al. (17).

³ Food and Nutrition Board (18).

⁴ Percentage > upper level = 1.3 (18).
⁵ Eussen et al. (19).

These estimates are now confirmed and more clearly specified in an article in these proceedings (16). Although the absence of agreed-on measurements for iron repletion is acknowledged, available data can be interpreted to indicate that many pregnant women in the United States are likely to be iron replete. This interpretation does not negate concerns about IDA and ID, especially among at-risk subgroups. Some of these individuals may also be experiencing high levels of iron exposure, but the absence of agreed-on measurements for high iron stores limits interpretation of the data.

Young children

The IOM reference values for young children (6) are similar to those formulated in Europe (Table 3), but the iron content of infant formula products differ. Infant formula marketed in the United States generally provides 1.8 mg Fe/100 kcal (Table 3) (17). This amount of iron stems from considerations about iron bioavailability (17). Formulas in Europe contain less iron and may be differentiated on the basis of younger and older infants with those for older infants (so-called follow-on formula) providing less iron on the assumption that other iron-containing foods are entering the child's diet (17).

Iron intake estimates of 17 and 10 mg Fe/d for children 6– 12 mo old and 12–24 mo old, respectively, were reported in NHANES 2011–2012 (Table 3), albeit only for low-income groups (18). An informal calculation of the hypothetical iron intake of an 8-mo-old infant in the United States based on guidance from a professional association is shown in Table 4 and is differentiated by formula-fed and breastfed infants. According to this calculation, iron intakes may reach 18 mg Fe/d for formula-fed children, amounts greater than the iron Recommended Dietary Allowances of 11 mg Fe/d for 6–12-mo-old infants and 7 mg Fe/d for 12–24-mo-old infants. Not surprisingly, given the lower iron content of infant formula and the limited amount of iron fortification of foods compared with the United States, young children in Europe appear to have lower iron intakes (Table 3) (19).

Nationally representative data on iron status for infants \leq 12 mo old are not collected in NHANES. In the case of children 12–24 mo old, data available during the workshop planning (23) suggested that children 12–24 mo old had an estimated prevalence of $\leq 3\%$ and $\leq 16\%$ for IDA and ID, respectively. These estimates are now confirmed in an article in these proceedings (16). Again, as with pregnant women and with the recognition of the importance of addressing ID and IDA, a notable number of young children in the United States are likely to be iron replete.

Considerations for right side of U-shaped risk curve

A fundamental question is at what amount(s) of intake does risk attributable to iron intake arise. Clearly, low intakes increase risk of ID and IDA. However, emerging data suggest that concerns may exist for higher levels of iron intake, and these need to be considered especially if universal and/or routine iron supplementation are factors during pregnancy and infancy.

Although the evidence linking iron supplementation of ironreplete populations to adverse effects is at best preliminary and somewhat inconsistent, the general nature of the emerging data is shown in Table 5. Iron is pro-oxidative and in this capacity can affect biological systems even at less-than-toxic amounts. In the case of infants, examples of available data include reports of decreased growth attributable to iron supplementation among infants who were iron replete (Table 5). Associations have also been observed between greater iron stores and disturbances in glucose metabolism, including increased risk of gestational and type 2 diabetes among pregnant and postpartum women, respectively (Table 5). Furthermore, there are reports of changes in gut microbiota and increases in the amounts of gastrointestinal pathogenic bacteria with iron supplementation in young children (Table 5). The nature and possible causes of such effects were important discussion points for the workshop so as to examine both sides of the U-shaped risk curve.

TABLE 4

Hypothetical daily iron intake of an 8-mo-old US infant based on sample $menu¹$

¹ From the American Academy of Pediatrics, sample menu for an 8–12-mo-old infant (20). All values are approximate based on average iron content of human milk, infant formula, and complementary foods. Includes dietary iron intakes only; does not include intake from supplements. Sources for iron content are from Baker and Greer (21) and the USDA (22).

² Assumes daily intake of 720 mL human milk containing 0.35 mg Fe/L.

³ Assumes daily intake of 720 mL infant formula fortified with 12 mg Fe/L. 4 Assumes daily intake of 60 g dry fortified rice cereal mixed with fruit

juice.
⁵ Assumes daily intake of 56 g pureed or crumbled ground beef and

70 g chicken from baby food jar. ⁶ Assumes daily intake of 112 g mashed baked sweet potato, 112 g

creamed spinach from baby food jar, and 112 g strained green beans from a baby food jar. ⁷ Assumes daily intake of 3–4 servings of fruit, cheese, and/or other

dairy products at ~ 0.3 mg Fe/serving; no servings of legumes.

TABLE 5

Identified adverse outcomes associated with high iron exposure in pregnant women and young children¹

¹ GDM, gestational diabetes mellitus; SF, serum ferritin; T2D-PP, type 2 diabetes postpartum.

Measurement of iron status as an underlying concern

Examples exist to demonstrate the key role that standardizing analytic and interpretative activities serve related to nutrient status measurements and, in turn, to establishing and clarifying links between nutrients and health outcomes. One such example is the Vitamin D Standardization Program, which has focused on ensuring accurate, harmonized, and reproducible measurements of serum 25-hydroxyvitamin D through standard reference methods, standard reference materials, and commutability (51). If nutrient status indicators can be harmonized and reported consistently, research can be more reliably compared and public health policies can rest on better foundations. These efforts are driven by collaborative partnerships with commitment to proficiency testing and other evaluative follow-on activities to ensure continued appropriate performance of the assays and procedures (52).

The uncertainties associated with the estimation of ID and IDA can perhaps be best typified by reports such as that from Petry et al. (53). One of the most common assertions in the iron literature is that half of the cases of anemia worldwide can be attributed to the onset of ID, making IDA the most common form of anemia. Yet factors such as inflammation and geographical region or even perhaps socioeconomic status suggest that the proportion of anemia attributable to iron may be different from typically estimated. In the

case of the study by Petry et al. (53), IDA prevalence was estimated at 25% of the anemia cases rather than 50%. Moreover, there is an emerging interest with the potential for considerable impact as shown in the reports that ethnic differences have been documented in iron status. For instance, African Americans appear to be at increased risk of ID and have higher serum ferritin (SF) concentrations compared with the general population (54). These newer understandings further complicate the current approach to measuring iron status.

The biochemical measurement of iron status focuses almost exclusively on hematological indicators. Despite long-term use and considerable familiarity, most of these indicators have challenges relative to laboratory measurement and interpretation as well as adequate representation of the full spectrum of iron status. Table 6 lists examples of the known challenges. At times some of the challenges have been addressed by combining indicators into a "panel," which as a group may be used to indicate status. However, problems or confounders associated with any one indicator will persist even if the indicator is situated within a panel.

Perhaps the most classic indicator for iron status is hemoglobin, which is used to estimate IDA although its specificity for IDA compared with anemia due to other causes is low. In the case of IDA estimations, hemoglobin concentrations are now usually

TABLE 6

¹Christine Pfeiffer, CDC, personal communication as contribution to the compilation of this table, 2017. sTfR, soluble transferrin receptor.

interpreted in conjunction with measurements of SF, an established measure of iron stores. SF concentrations can signal a concern for iron status before the onset of changes in red blood cells. As such, SF concentrations are widely used to estimate ID, which has the advantage of identifying low iron status before the onset of IDA. Unfortunately, conditions of inflammation, which are receiving increased attention and may be more prevalent than previously understood, have the ability to elevate SF concentrations spuriously and thus mask ID, in turn offering a major challenge currently for iron status determinations based on SF concentrations.

Measurements of iron status have received considerable attention from WHO, which has issued guidance concerning indicators based on hemoglobin concentration (55) and has organized a guidelinedevelopment group focused on SF concentrations (56). Other activities include the collaborative research group Biomarkers Reflecting Inflammation and Nutritional Determinants of Anemia formed by the CDC, NIH's National Institute for Child Health and Human Development, and the Global Alliance for Improved Nutrition (57). Attention is now turning to other indicators of iron status that may prove to be highly useful and relevant. These include soluble transferrin receptor concentration, the ratio of soluble transferrin receptor to SF concentrations, and hepcidin.

WORKSHOP SESSIONS

The workshop was organized into several topic sessions focused on different but interconnected issues surrounding iron. Considerable dialogue took place during each session, and a variety of panels critiqued and enhanced the presentations (3). A final summary session began with a workshop-wide conversation to integrate themes that emerged and was followed by discussions related to next steps.

Newer understandings about iron homeostasis drove the objectives for the presentations in Session 1. A particular focus was how iron metabolism may be altered during pregnancy and what characterizes homeostasis during the periods of rapid growth associated with infancy, as well as how such changes may affect determinations of status and iron requirements. Additional themes of interest included evidence that different organs of the body have different iron needs, the iron supply is prioritized to different organs, and different cell types within tissues may handle iron differently. Another focus was whether the requirement for iron should more appropriately reflect the variance of iron metabolism during pregnancy from trimester to trimester as opposed to the current basis which rests on third-trimester needs. Additionally, there was interest in the novel consideration that evolutionary factors may interface with the apparent need among breastfed infants at weaning for additional sources of iron, needs perhaps met by premastication of animal foods by the mother. Finally, questions of ethnic and racial differences in iron metabolism as well as emerging understandings from the study of chronic and acute inflammation were identified as topics for this session. Seven articles in this supplement address these issues.

Given the wide array of iron status indicators in use, objectives for Session 2 were to overview these markers and specify the nature of their challenges. The approach to harmonizing and standardizing the measurements was an intended consideration with a focus on the common approaches to establishing clinical indicators across a range of substances and endpoints. The implications of plasma volume expansion during pregnancy raised

questions early in the workshop planning for confounders related to analytic methodologies, and the topic was included in Session 2. Furthermore, the basis for establishing cutoffs for the array of indicators was of interest. In addition, a key objective was to address the confounding of inflammation especially in the case of SF concentrations and to overview research needs to adjust for it or to modify relevant measurements according to the confounding. Five articles in this supplement address these issues.

As a prelude to discussions focused on emerging evidence suggesting adverse effects associated with iron supplementation of iron-replete individuals, Session 3 was arranged to provide an overview of current estimates of iron status among pregnant women and young children in both the United States and Europe. Presenters were asked to consider the spectrum of status including prevalence of deficiency, adequacy, and overload. Four articles in this supplement address this topic.

The objective of Session 4 was to array the nature of the evidence suggestive of concerns about supplementing iron-replete pregnant women and young children so that they could be considered as a whole as well as individually. The topics of interest were gestational diabetes; pregnancy outcomes; infant development, growth, and infection; and the gut microbiome. Discussions were to focus on the nature of the U-shaped risk curve for iron. Four articles in this supplement present these considerations.

A final session was organized to allow a workshop-wide discussion on how the information presented in the workshop could be integrated and, on this basis, what are the evidence and knowledge gaps as well as the key focus areas for the future. This discussion is reflected in the final article in this supplement, which serves as a workshop summary (58).

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