



NK3 homeobox 1 (NKX3.1) up-regulates forkhead box O1 expression in hepatocellular carcinoma and thereby suppresses tumor proliferation and invasion

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Jingyi Jiang^{†§1}, Zheng Liu^{†§1}, Chao Ge[§], Cong Chen[§], Fangyu Zhao[§], Hong Li[§], Taoyang Chen[¶], Ming Yao[§], and Jinjun Li^{‡§2}

From the [†]Shanghai Medical College, Fudan University, Shanghai 200032, the [§]State Key Laboratory of Oncogenes and Related Genes, Shanghai Cancer Institute, Renji Hospital, Shanghai Jiaotong University School of Medicine, Shanghai 200032, and the [¶]Qi Dong Liver Cancer Institute, Qi Dong 226200, China

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Hepatocellular carcinoma (HCC) is the leading cause of cancer-related mortality in China, and the molecular mechanism of uncontrolled HCC progression remains to be explored. NK3 homeobox 1 (*NKX3.1*), an androgen-regulated prostate-specific transcription factor, suppresses tumors in prostate cancer, but its role in HCC is unknown, especially in hepatocellular carcinoma. In the present study, the differential expression analyses in HCC tissues and matched adjacent noncancerous liver tissues revealed that *NKX3.1* is frequently down-regulated in human primary HCC tissues compared with matched adjacent noncancerous liver tissues. We also noted that *NKX3.1* significantly inhibits proliferation and mobility of HCC cells both *in vitro* and *in vivo*. Furthermore, *NKX3.1* overexpression resulted in cell cycle arrest at the G₁/S phase via direct binding to the promoter of forkhead box O1 (*FOXO1*) and up-regulation of expression. Of note, *FOXO1* silencing in *NKX3.1*-overexpressing cells reversed the inhibitory effects of *NKX3.1* on HCC cell proliferation and invasion. Consistently, both *FOXO1* and *NKX3.1* were down-regulated in human HCC tissues, and their expression was significantly and positively correlated with each other. These results suggest that *NKX3.1* functions as a tumor suppressor in HCC cells through directly up-regulating *FOXO1* expression.

Hepatocellular carcinoma (HCC),³ the most common cancer in Eastern as well as in the Western countries, is one of the leading causes of death from cancer worldwide (1). HCC accounts for about 90% of primary liver malignant

tumors and is known with poor prognosis (the ratio of mortality to incidence is 0.95) (2, 3). These observations highlight the urgent need for improved understanding and effective treatment for this deadly disease. Although much is known about genes involved in HCC progress, the molecular mechanism of uncontrolled HCC development remains to be explored. Transcription factors, as the components of regulating genes expression, become particularly important in cancer research.

Chromosome 8p21 is a region reported to associate with tissue de-differentiation and chr8p loss is the most frequent genomic alteration in the progression of cancers, such as prostate adenocarcinoma and lung cancer (4–7). NK3 homeobox 1 (*NKX3.1*), mapping to the human chromosome 8p21.2 region, is an androgen-regulated prostate-specific transcription factor (7, 8). *NKX3.1* is required for normal prostate development and expressed at all stages of prostate differentiation whereas its loss-of-function has been implicated in prostate cancer initiation (9–12). Furthermore, the tumor suppressor properties of *NKX3.1* are demonstrated by its ability of suppressing cell proliferation and migration as well as reducing tumorigenicity (13, 14). Additionally, *NKX3.1* could activate the cellular response to oxidation and accelerate DNA repair after androgen-induced transcription to exert tumor suppressive effects in prostate cancer (15, 16).

In contrast to the researches focused on prostate cancer, the function and role of *NKX3.1* in other cancers were rarely reported. In breast cancer, Bhatt and colleagues (17) showed that *NKX3.1* repressed Oct-4 expression in MCF-7 cells and TOT treatment appeared to elevate *NKX3.1* degradation through a p38 MARK-dependent phosphorylation of E3 ligase and SKP2. Miyaguchi *et al.* (18) found that loss of *NKX3.1* is a significant risk factor to decrease the disease-free survival and the overall survival rates of oral squamous cell carcinoma patients with cervical lymph node metastasis. This suggests *NKX3.1* may be a potential biomarker for occult lymph node metastasis of oral squamous cell carcinoma (18). Moreover, the function and molecular mechanism of *NKX3.1* have never been reported in HCC. Whether *NKX3.1* plays a suppressive role in HCC is worthy to explore in this study.

Our work identified *FOXO1* as the direct target of *NKX3.1*. The forkhead box class O transcription factors play

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This article contains supplemental Figs. S1–S5 and Tables S1–S6.

¹ Both authors contributed equally to this work.

² To whom correspondence should be addressed: 25/Ln 2200 Xietu Rd., Shanghai 200032, China. Tel.: 86-21-64432140; E-mail: jjli@shsci.org.

³ The abbreviations used are: HCC, hepatocellular carcinoma; NKX3.1, NK3 homeobox 1; FOXO1, Forkhead box O1; CDK2, cyclin-dependent kinase 2; RB, retinoblastoma 1; NC, negative control; qRT, quantitative RT; MTT, 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide; NOD, non-obese diabetic; SCID, severe combined immunodeficiency.

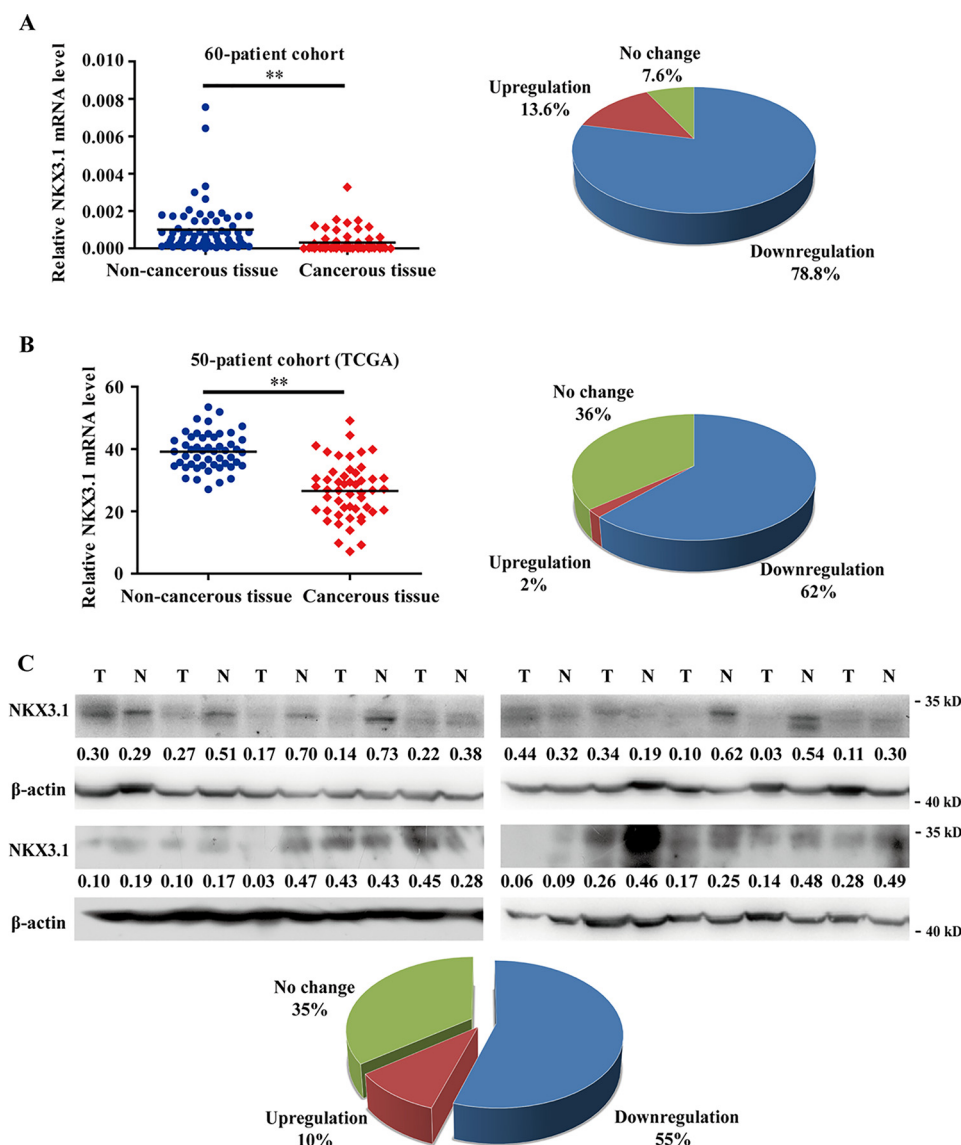


Figure 1. NKX3.1 was down-regulated in human primary HCC tissues. A, qRT-PCR was performed to detect *NKX3.1* mRNA expression in human primary HCC tissues and matched adjacent noncancerous liver tissues ($n = 60$, left panel). The pie chart represents the change of *NKX3.1* mRNA levels in HCC samples that exhibited up-regulation, no change, and down-regulation (right panel). B, mRNA levels of *NKX3.1* in HCC tissues and matched adjacent noncancerous liver tissues from TCGA cohort ($n = 50$, left panel). The pie chart represents the change in *NKX3.1* levels in HCC tissues that exhibited up-regulation, no change, and down-regulation (right panel). C, NKX3.1 protein levels in human primary HCC tissues (T) and the corresponding adjacent non-cancerous liver tissues (N). β -Actin was used as a loading control ($n = 20$). The protein expression levels were quantified by densitometry and calculated as the ratio of the interest protein to its loading control with ImageJ software. The pie chart represents the change in NKX3.1 protein levels in HCC tissues that exhibited up-regulation, no change, and down-regulation. **, $p < 0.01$.

an important role in apoptosis, cell cycle control, autophagy, and antioxidant response (19). Forkhead box O1 (*FOXO1*) is a member of forkhead transcription factor family, acts as a tumor suppressor in various carcinomas including in HCC, and its expression is negatively correlated with tumor progression (20–23).

In this study, we performed functional studies to determine the role of NKX3.1 in human primary HCC. We also integrated flow cytometry analysis, luciferase reporter assay, and ChIP assay results to reveal its potential molecular mechanism in HCC. We found that NKX3.1 was down-regulated in HCC tissues compared with matched adjacent noncancerous liver tissues. *NKX3.1* functions as a tumor suppressor in terms of cell proliferation and motility in HCC. It is also the first time to

characterize FOXO1 as a direct and functional binding target of *NKX3.1* in HCC cells.

Results

NKX3.1 expression is down-regulated in HCC tissues

As the suppressor role of NKX3.1 in prostate cancer, the association between *NKX3.1* and HCC is still unknown. To address this, we tested *NKX3.1* mRNA expression in 60 pairs of human primary HCC tissues and matched adjacent noncancerous liver tissues by quantitative (q) RT-PCR. The results showed that *NKX3.1* mRNA expression was frequently down-regulated in HCC tissues compared with matched adjacent noncancerous liver tissues ($p < 0.001$; Fig. 1A). This is in agree-

NKX3.1 acts as a tumor suppressor in HCC

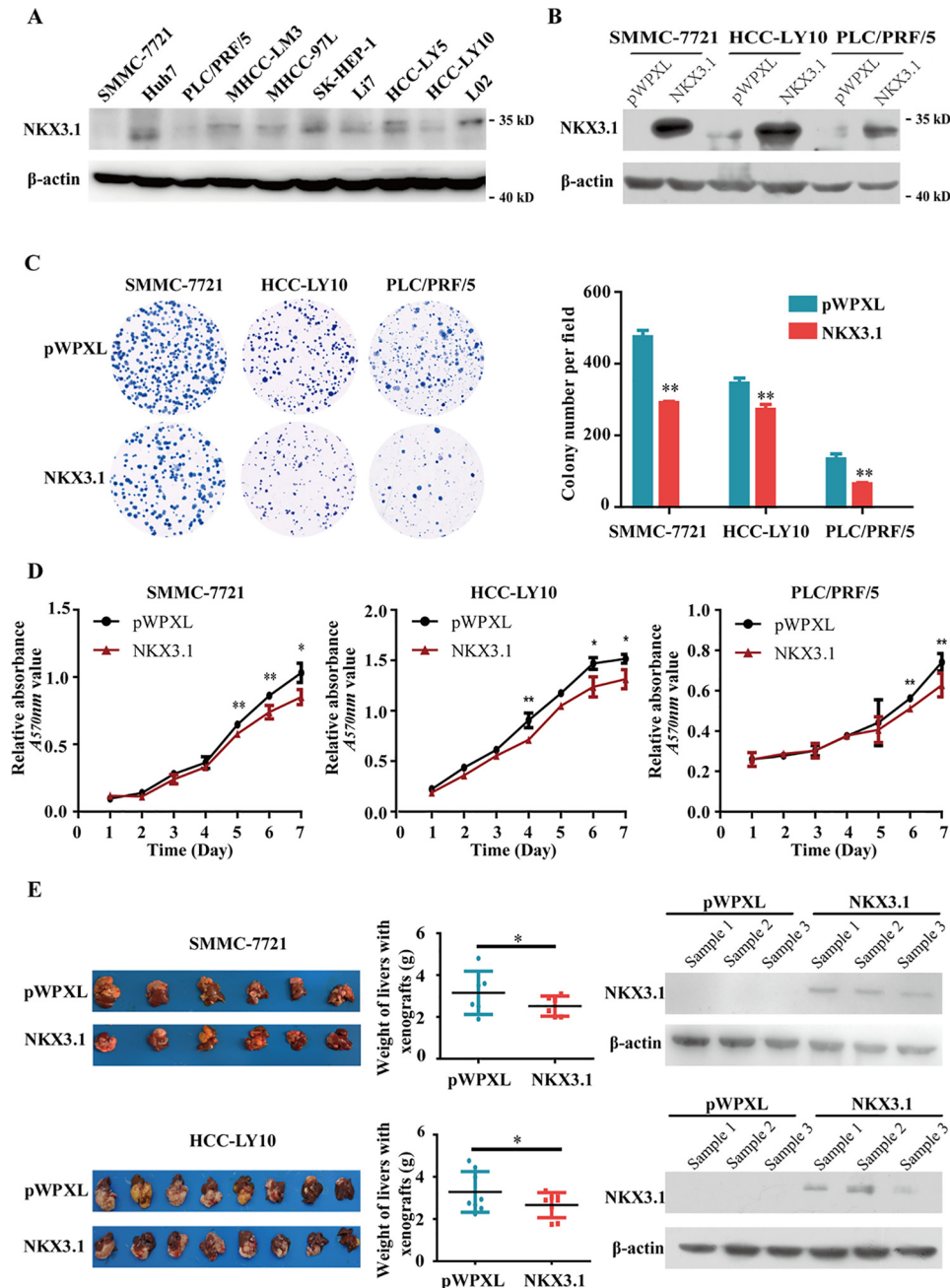


Figure 2. Overexpression of NKX3.1 inhibits HCC cell proliferation and tumorigenicity *in vitro* and *in vivo*. A, Western blot analysis of NKX3.1 expression in HCC cell lines and immortalized normal hepatocyte L02. B, Western blot analysis of NKX3.1 protein in SMMC-7721, HCC-LY10, and PLC/PRF/5 cells stably transfected with *NKX3.1* or control (*pWPXL*) vectors. C, overexpression of NKX3.1 inhibited the colony formation ability of HCC cells. The bar graph showed quantitative analysis data with three replicates. D, *NKX3.1* inhibited the proliferation of HCC cells by MTT assay. E, liver tissues collected from NOD/SCID mice with tumor xenografts inoculated with SMMC-7721 (top panel) and HCC-LY10 (bottom panel) cell lines stably overexpressing *NKX3.1*, the livers with xenografts were weighted (left and middle panel). The NKX3.1 protein level was detected by Western blot in tissue samples of xenografts (right panel). β-Actin was used as a loading control. *, $p < 0.05$; **, $p < 0.01$.

ment with the analysis of The Cancer Genome Atlas ($p < 0.001$; Fig. 1B). Western blotting analyses were also performed and showed that the NKX3.1 protein level was markedly higher in noncancerous liver tissues (Fig. 1C). In addition, NKX3.1 expression levels are inversely correlated with serum AFP levels ($p < 0.001$), histological grade ($p = 0.030$), and pathological stage ($p = 0.159$, no significance) in TCGA cohort (supplemental Table S5). Therefore, these findings indicate that lower expression levels of NKX3.1 are associated with the malignant

progression of HCC and it is seriously possible that NKX3.1 plays a key role in the development of HCC.

Overexpression of NKX3.1 inhibits HCC cell proliferation and tumorigenesis *in vitro* and *in vivo*

We thus measured endogenous mRNA and protein expressions of *NKX3.1* in HCC cell lines and normal hepatocyte L02 (Fig. 2A and supplemental Fig. S1). *NKX3.1* mRNA and protein expressions were barely detected in most HCC cell lines,

whereas the expression level was higher in immortalized normal hepatocyte LO2. To determine the biological function of NKX3.1 in HCC, we selected SMMC-7721, HCC-LY10, and PLC/PRF/5 to infect lentiviral vector containing complete ORF of *NKX3.1* and successfully established stable HCC cell lines with NKX3.1 overexpression (Fig. 2B), which were then used in functional experiments. As a control, cells were infected with empty vector pWPXL in the meantime. The plate colony formation assay and MTT assay for cell viability indicated that overexpression of NKX3.1 inhibits HCC cell proliferation *in vitro* (Fig. 2, C and D).

We then tested whether NKX3.1 displayed inhibitory ability of tumorigenesis *in vivo*. For this, stable SMMC-7721 and HCC-LY10 cells with NKX3.1 overexpression were, respectively, transplanted into the livers of non-obese diabetic/severe combined immunodeficiency (NOD/SCID) mice orthotopically. Cells transfected with empty vector pWPXL served as a control. The livers were separated from the mice after 4 weeks. Our results indicated that the average volume of tumors and weight of livers with xenografts were significantly decreased in NKX3.1-overexpressing mice (Fig. 2E). Meanwhile, the tissues of xenograft overexpressing NKX3.1 still maintained a high expression level of NKX3.1 (Fig. 2E). These results suggest that NKX3.1 represses cell proliferation *in vivo*, consistent with the *in vitro* results.

Overexpression of NKX3.1 suppresses HCC cells mobility *in vitro* and metastasis *in vivo*

Next, we explored the effect of NKX3.1 expression on HCC cells migration and invasion. The results of the *in vitro* wound-healing assay revealed NKX3.1 overexpression decreased migration of the HCC cells compared with the control (Fig. 3A). The *in vitro* invasion assay indicated that the invasive capacities of HCC cells were dramatically suppressed by NKX3.1 transfection compared with the control cells (Fig. 3B). To further investigate the role of NKX3.1 in HCC metastasis *in vivo*, paraffin sections were prepared with the liver tissues and lung tissues from the mice injected with HCC-LY10-pWPXL/NKX3.1 cells orthotopically, and histological examination was performed. The results showed that mice in the NKX3.1-overexpressing group exhibited fewer intrahepatic and lung metastasis nodules than the control mice (Fig. 3, C and D). In addition, all of the nine mice in the control group developed lung metastasis (100%), whereas only five of the nine mice in the NKX3.1-overexpressing group developed lung metastasis (55.56%). Collectively, these findings indicated that overexpression of NKX3.1 markedly inhibits the mobility of HCC cells *in vitro* and *in vivo*.

Knockdown of NKX3.1 promotes HCC cell proliferation and mobility *in vitro*

To determine the function of endogenous NKX3.1, three lentiviral vectors expressing effective shRNAs targeting *NKX3.1* were used to knock down endogenous NKX3.1 (Fig. 4A). As expected, knockdown of NKX3.1 in MHCC-97L markedly promoted cell growth and colony formation (Fig. 4, B and C). Results from the wound-healing assay (Fig. 4D) and *in vitro* invasion assay (Fig. 4E) showed that NKX3.1 down-regulation

increased the cell migration and invasion capacities of MHCC-97L. The results validate the conclusions drawn by overexpression of NKX3.1.

Overexpression of NKX3.1 induces G₁/S phase arrest in HCC cells through up-regulation of FOXO1

To further investigate the inhibitory effect of NKX3.1 on HCC cell proliferation, the cell cycle distributions among SMMC-7721 and HCC-LY10 cells was evaluated by flow cytometry (Fig. 5A). After treatment of 2 mM thymidine for 24 h to synchronize cells at the G₁/S phase border, cells were collected at 0, 12, and 24 h. Flow cytometry analysis showed that the percentage of cells at the G₁ phase was significantly higher in the SMMC-7721–NKX3.1 and HCC-LY10–NKX3.1 cells than the control cells after the thymidine treatment (Fig. 5B and Table 1). Cells synchronized at the G₂/M phase boundary with 0.3 μM nocodazole displayed similar results (supplemental Fig. S2 and supplemental Table S6). We next detected the expression of essential molecules that regulate the G₁/S phase transition in NKX3.1-overexpressing cells and control cells. Upon NKX3.1 overexpression, protein levels of FOXO1 and its downstream molecules p21^{CIP1} and p27^{KIP1} increased notably, whereas protein levels of CDK2, Cyclin E, and phosphorylated RB (Ser-807/811) decreased (Fig. 5C). We then detected these proteins after treatment of thymidine for 0 and 24 h. As time goes on, expression of FOXO1, p21^{CIP1}, and p27^{KIP1} increased in NKX3.1-overexpressing cells at 24 h, whereas expression of CDK2, Cyclin E, and phosphorylated RB (Ser-807/811) were decreased compared with control pWPXL cells (Fig. 5D). This implied that overexpression of NKX3.1 results in G₁/S phase arrest through up-regulating FOXO1 in HCC cells.

FOXO1 is a direct target of NKX3.1

Given the results that FOXO1 expression was up-regulated in NKX3.1 overexpression HCC cells, we then explored whether *FOXO1* is the direct target of NKX3.1. The JASPAR (jaspar.genereg.net)⁴ database was used to analyze the potential NKX3.1-binding site in the *FOXO1* promoter (Fig. 6A). The promoter of the *FOXO1* gene, which spans a 1794-bp sequence upstream of the first ATG, was cloned and linked to pGL3 vector. The results of the luciferase assay showed that relative luciferase activity of the *FOXO1* promoter was significantly induced by overexpression of NKX3.1 (Fig. 6B). We then deleted the sequences that span the region from –1010 and –550 bp to the first ATG relative to the enhanced luciferase activity markedly in –550 bp (Fig. 6C). According to the sequence logo of the NKX3.1 potential binding site in JASPAR (jaspar.genereg.net)⁴ we mutated the high-score base to the low-score base at the predicted NKX3.1-binding site (–230 to –239 bp), as shown in Fig. 6D. Enhanced luciferase activity was reversed by transfection of the mutant (Fig. 6E). Chromatin immunoprecipitation assay further verified that the site was the NKX3.1-binding site on the *FOXO1* promoter (Fig. 6F). Taken together, *FOXO1* promoter is a direct transcriptional target of NKX3.1.

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NKX3.1 acts as a tumor suppressor in HCC

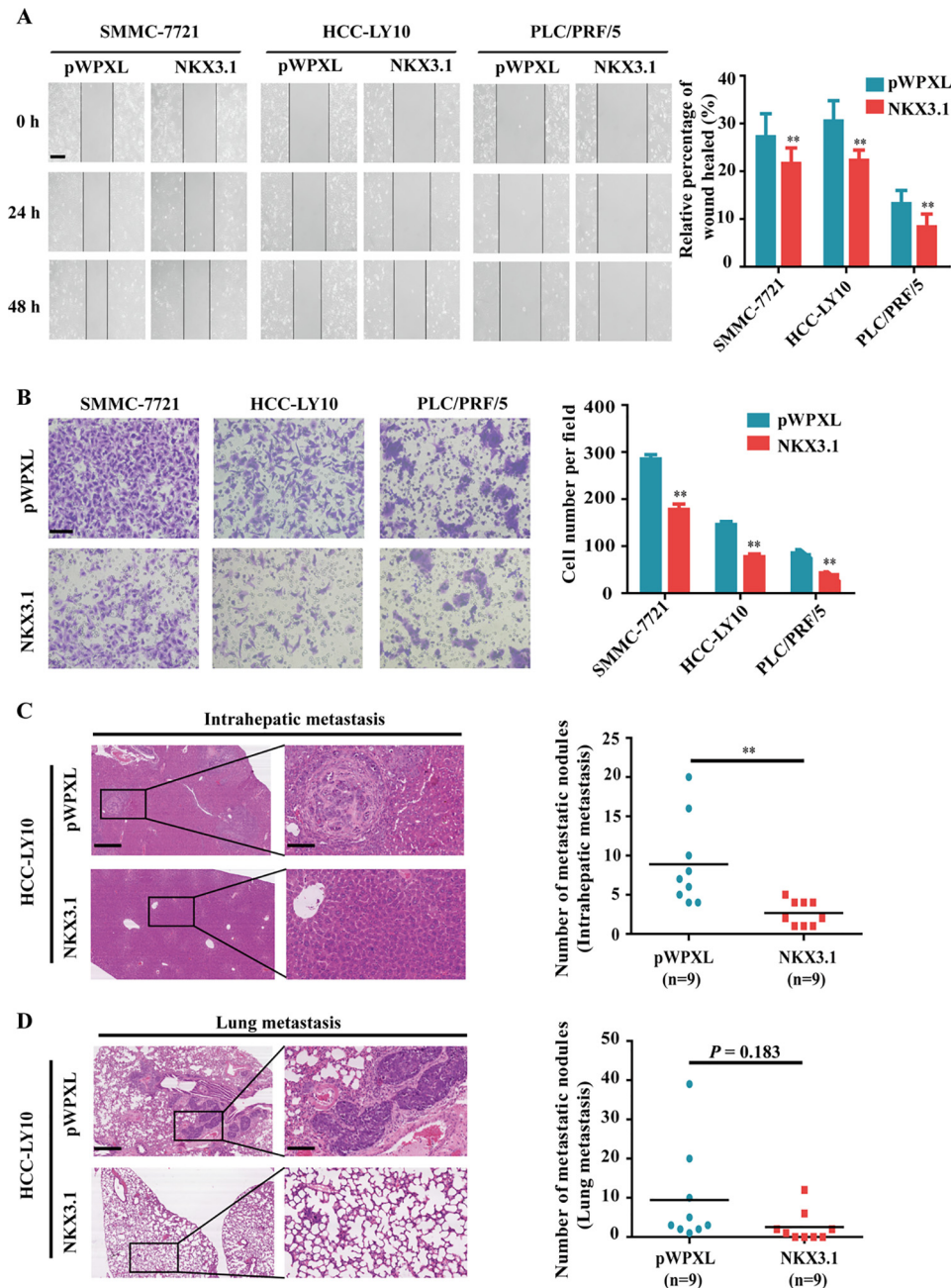


Figure 3. Overexpression of NKX3.1 suppresses HCC cell mobility *in vitro* and metastasis *in vivo*. *A*, overexpression of NKX3.1 suppressed HCC cell migration *in vitro* by wound healing assay. Original magnification: $\times 100$; scale bar, 200 μm . The bar graph shows quantitative analysis data with three replicates. *B*, overexpression of NKX3.1 suppressed HCC cell invasion *in vitro*. Original magnification: $\times 200$; scale bar, 100 μm . The bar graph shows quantitative analysis data with three replicates. *C*, left panel showed the representative images of intrahepatic metastatic nodules formed by HCC-LY10 cells transfected with *NKX3.1* or the control (original magnification: left images, $\times 40$, scale bar, 500 μm ; right images, $\times 200$, scale bar, 100 μm). The numbers of intrahepatic metastatic nodules were presented in the right panel ($n = 9$). *D*, left panel showed representative images of lung metastatic nodules formed by the same cells in *C*. Original magnification: left images, $\times 40$, and scale bar, 500 μm ; right images, $\times 200$, scale bar, 100 μm . The right panel presents the numbers of lung metastatic nodules ($n = 9$). **, $p < 0.01$.

FOXO1 is involved in the NKX3.1-induced suppressive effect of HCC cells

To explore whether FOXO1 up-regulation by NKX3.1 is responsible for the tumor suppressive function in HCC, FOXO1 expression was knocked down in SMMC-7721, HCC-LY10, and PLC/PRF/5 cells with stable overexpression of NKX3.1 (Fig. 7A). We use two lentiviral vectors expressing effective short hairpin RNAs designed to knock down FOXO1 (shFOXO1-1 and shFOXO1-2). We also tested the expression changes of cell cycle-related proteins (FOXO1, p21^{CIP1},

p27^{KIP1}, CDK2, Cyclin E, phosphorylated RB, and total RB) in NKX3.1-overexpressing HCC cells after knockdown of FOXO1. Upon FOXO1 knockdown, expression levels of p21^{CIP1} and p27^{KIP1} decreased, whereas expression levels of CDK2, Cyclin E, and phosphorylated RB increased. Thus, FOXO1 knockdown can rescue the NKX3.1 overexpression induced by the expression changes of cell cycle-related proteins. MTT and colony assays *in vitro* revealed that the decrease of FOXO1 significantly reversed NKX3.1-induced inhibition of cell proliferation (Fig. 7, B and C). Moreover,

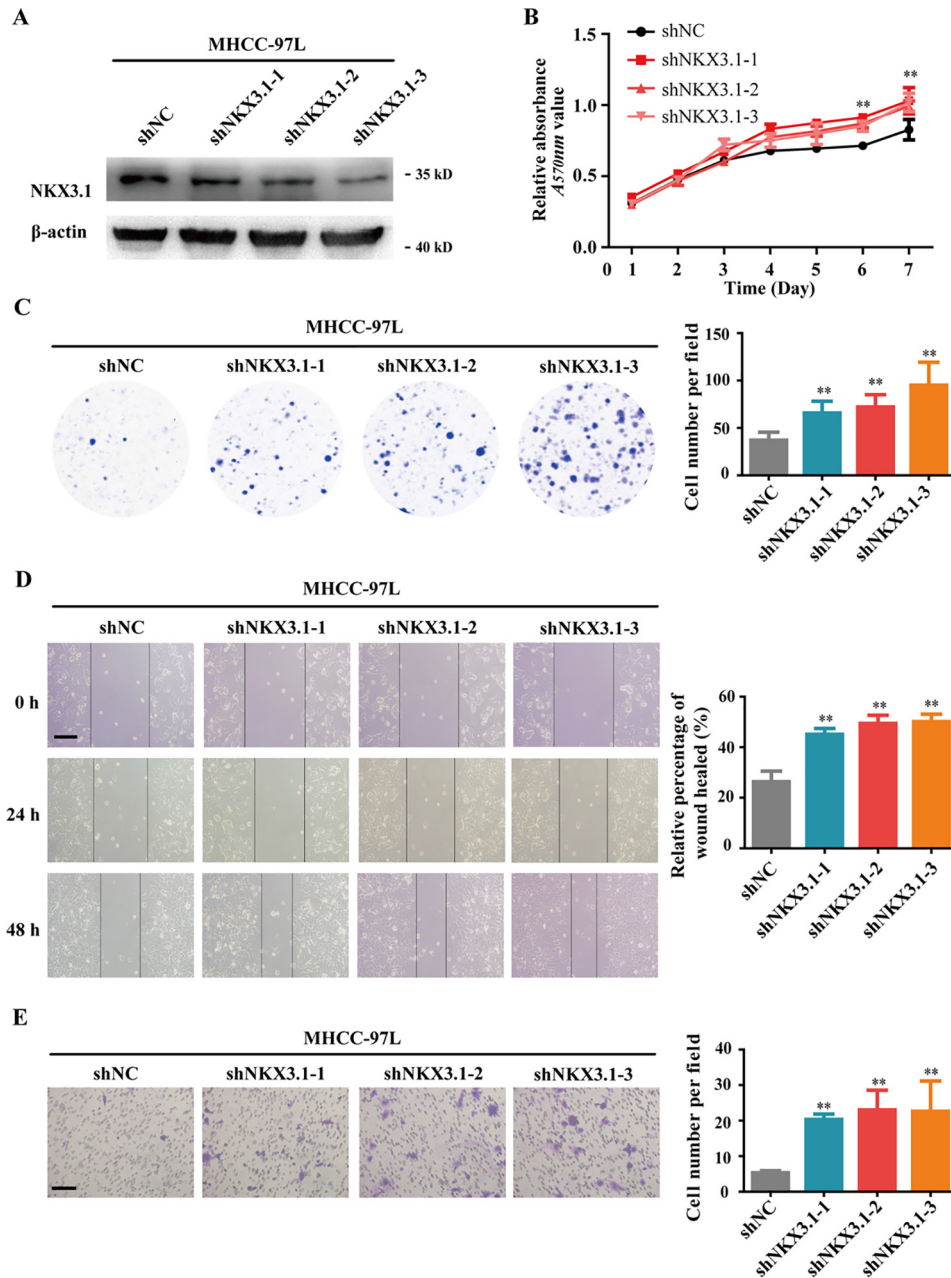


Figure 4. Knockdown of *NKX3.1* promotes HCC cell proliferation and mobility *in vitro*. A, Western blot analysis of *NKX3.1* protein in MHCC-97L cell stably transfected with shNKX3.1 or negative control (*shNC*) vectors. B, knockdown of *NKX3.1* promoted the proliferation of MHCC-97L cell by MTT assay. C, knockdown of *NKX3.1* increased the colony formation ability of HCC cell. The bar graph shows quantitative analysis data with three replicates. D, knockdown of *NKX3.1* increased HCC cell migration *in vitro* by wound-healing assay. Original magnification: $\times 100$; scale bar, 200 μm . The bar graph shows the quantitative analysis data with three replicates. E, knockdown of *NKX3.1* promoted HCC cell invasion *in vitro*. Original magnification: $\times 200$; scale bar, 100 μm . The bar graph shows the quantitative analysis data with three replicates. **, $p < 0.01$.

the inhibited invasion ability by *NKX3.1* was reversed after *FOXO1* interference (supplemental Fig. S3). Therefore, these data demonstrated that *FOXO1* is a direct and functional target for *NKX3.1* and is also responsible for the suppressive effects of *NKX3.1* in HCC cells.

The expression levels of *NKX3.1* and *FOXO1* show positive correlation in HCC tissues

To further investigate the correlation between expression levels of *NKX3.1* and *FOXO1* in human primary HCC tissues, we detected *FOXO1* mRNA expression levels in 60 pairs of

HCC tissues and matched adjacent noncancerous liver tissues and protein expression levels in 20 pairs of matched HCC/noncancerous liver tissues. *FOXO1* mRNA expression was down-regulated significantly in HCC tissues compared with noncancerous liver tissues (supplemental Fig. S4A). The result was in common with the analysis in TCGA cohort (supplemental Fig. S4B). Moreover, protein expression of *FOXO1* was frequently down-regulated in HCC tissues (supplemental Fig. S4C). Analysis of the relative protein expression levels (tumor/non-tumor) of *NKX3.1* and *FOXO1* together showed that there was a positive correlation between *NKX3.1* and *FOXO1* protein

NKX3.1 acts as a tumor suppressor in HCC

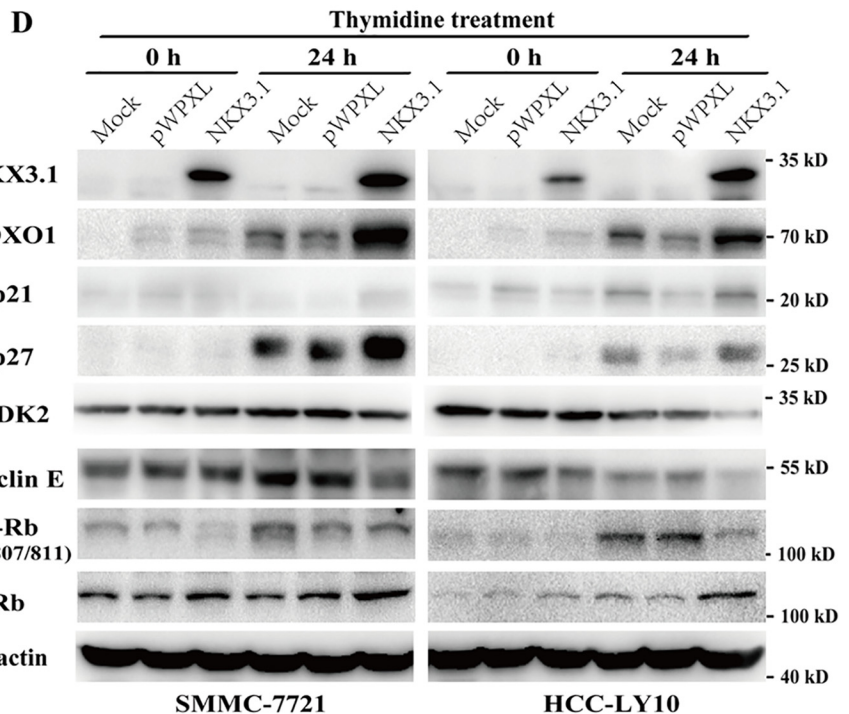
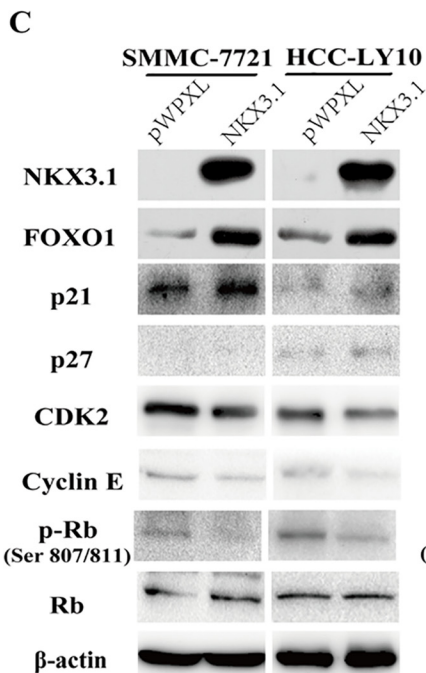
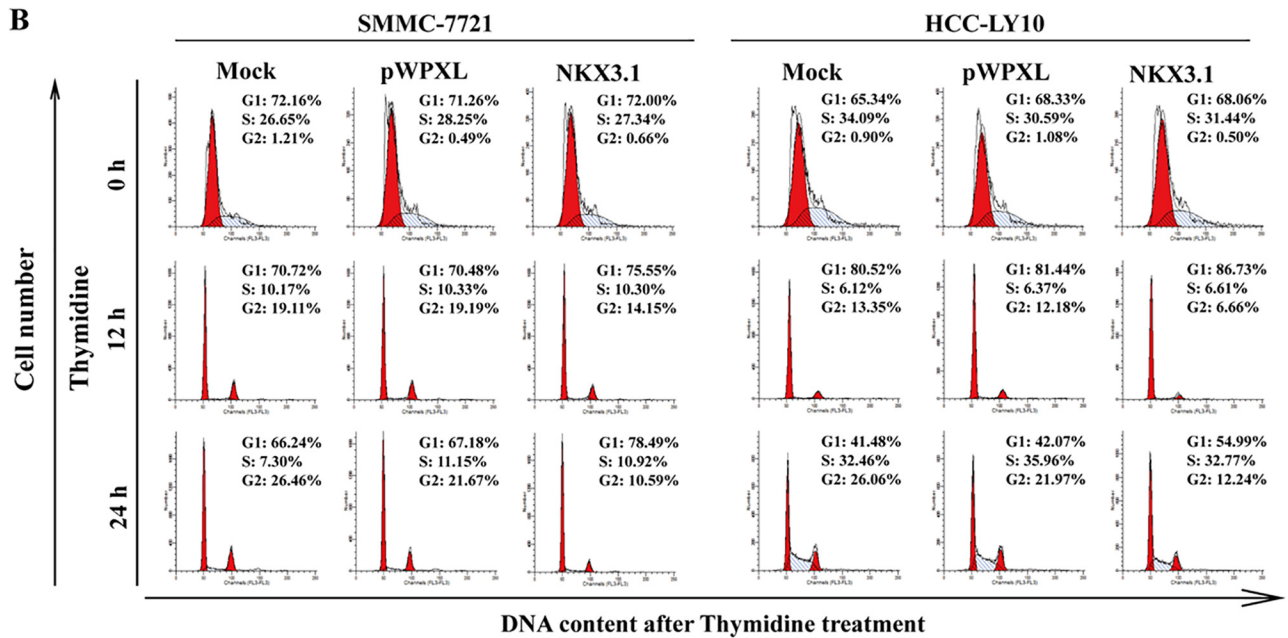
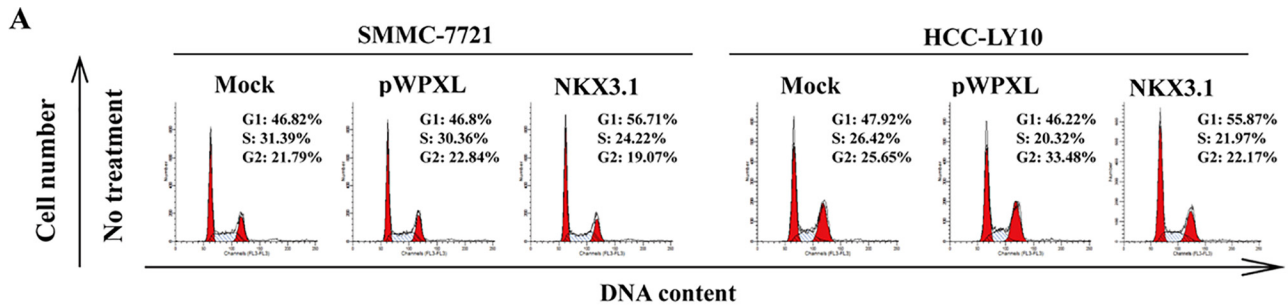


Table 1

The cell cycle distribution of SMMC-7721 and HCC-LY10 cells without transfection (mock) and those that were transfected with NKX3.1 or control (pWPLX) vectors

Data are mean \pm S.D. of three independent experiments.

| Time | Cell cycle | SMMC-7721 (%) | | | HCC-LY10 (%) | | | |
|--------------------------------|-------------------|-------------------|------------------|-------------------------------|-------------------------------|------------------|------------------------------|-------------------------------|
| | | Mock | pWPLX | NKX3.1 | Mock | pWPLX | NKX3.1 | |
| No treatment | G ₁ | 48.01 \pm 0.6 | 45.94 \pm 0.54 | 54.73 \pm 2.22 ^a | 47.12 \pm 0.38 | 47.28 \pm 0.70 | 55.4 \pm 1.32 ^a | |
| | S | 24.56 \pm 1.63 | 20.54 \pm 0.67 | 22.04 \pm 0.31 | 30.76 \pm 0.68 | 29.79 \pm 0.69 | 25.15 \pm 1.39 | |
| | G ₂ /M | 27.42 \pm 1.57 | 33.52 \pm 1.13 | 23.24 \pm 1.93 | 22.12 \pm 0.31 | 22.93 \pm 0.11 | 19.45 \pm 0.56 | |
| Synchronization with thymidine | 0 h | G ₁ | 72.16 \pm 2.08 | 71.26 \pm 1.15 | 72.00 \pm 1.21 | 65.34 \pm 1.09 | 68.33 \pm 1.64 | 68.06 \pm 0.93 |
| | | S | 26.65 \pm 2.59 | 28.25 \pm 1.22 | 27.34 \pm 1.24 | 34.09 \pm 0.74 | 30.59 \pm 1.60 | 31.44 \pm 1.21 |
| | | G ₂ /M | 1.21 \pm 0.51 | 0.49 \pm 0.14 | 0.66 \pm 0.20 | 0.90 \pm 0.34 | 1.08 \pm 0.49 | 0.50 \pm 0.32 |
| | 12 h | G ₁ | 70.72 \pm 0.65 | 70.48 \pm 1.25 | 75.55 \pm 0.32 ^a | 80.52 \pm 0.45 | 81.44 \pm 0.83 | 86.73 \pm 0.29 ^a |
| | | S | 10.17 \pm 0.85 | 10.33 \pm 0.92 | 10.30 \pm 0.22 | 6.12 \pm 0.19 | 6.37 \pm 1.12 | 6.61 \pm 0.43 |
| | | G ₂ /M | 19.11 \pm 1.29 | 19.19 \pm 1.66 | 14.15 \pm 0.53 | 13.35 \pm 0.37 | 12.18 \pm 0.31 | 6.66 \pm 0.66 |
| | 24 h | G ₁ | 66.24 \pm 0.40 | 67.18 \pm 0.76 | 78.49 \pm 0.21 ^a | 41.48 \pm 0.31 | 42.07 \pm 0.67 | 54.99 \pm 0.38 ^a |
| | | S | 7.30 \pm 0.43 | 11.15 \pm 0.48 | 10.92 \pm 0.14 | 32.46 \pm 0.12 | 35.96 \pm 0.60 | 32.77 \pm 0.82 |
| | | G ₂ /M | 26.46 \pm 0.57 | 21.67 \pm 0.29 | 10.59 \pm 0.70 | 26.06 \pm 0.22 | 21.97 \pm 0.37 | 12.24 \pm 0.87 |

^a $p < 0.05$, comparing to the control pWPLX group.

expression in HCC and matched noncancerous liver tissues ($r = 0.677$, $p = 0.001$; Fig. 8, A–D). Of note, *FOXO1* mRNA expression was also positively associated with *NKX3.1* expression in HCC tissues (Fig. 8, E and F). In addition, we measured mRNA and protein expression levels of *FOXO1* in tissue samples of xenografts mentioned in Fig. 3E. *FOXO1* is expressed highly in *NKX3.1*-overexpressing samples (supplemental Fig. S5, A and B). Taken together, these results suggest that the expression levels of *NKX3.1* and *FOXO1* show positive correlation in HCC tissues and are promising prognostic indicators for HCC patients in the clinic.

Discussion

NKX3.1 plays an important role in prostate development, proliferation of the prostate glandular epithelium, formation of prostatic ducts, and regulation of prostate epithelial differentiation (8, 9). *NKX3.1* expression in androgen-deprived prostate epithelial marks a luminal cell population that appears as stem properties during prostate regeneration (24). Numerous reports have shown that *NKX3.1* acts as a tumor suppressor in prostate. Mouse models suggest that the phosphatase and tensin homolog deleted on chromosome 10 (*Pten*) loss could drive invasion and metastasis in cooperation with loss of *Nkx3.1* (11, 25). Moreover, loss of *NKX3.1* expression is strongly related to the advanced tumor stage in prostate cancer (26). It has been reported that the loss of *NKX3.1* protein is mediated by several mechanisms, including gene methylation, post-translational modification, and genetic loss (27). Inflammation that releases cytokine *TNF- α* and *IL1- β* could destabilize the *NKX3.1* protein by phosphorylating at serine 196 and decreasing its half-life (28). Phosphorylation at serine 185 is associated with ubiquitination signaling and degradation of the *NKX3.1* protein in the proteasome (29). Song and colleagues (30) identified *DYRK1B* kinase as a target for enzymatic inhibition to increase cellular *NKX3.1* expression in the prostate. Thus, searching for poten-

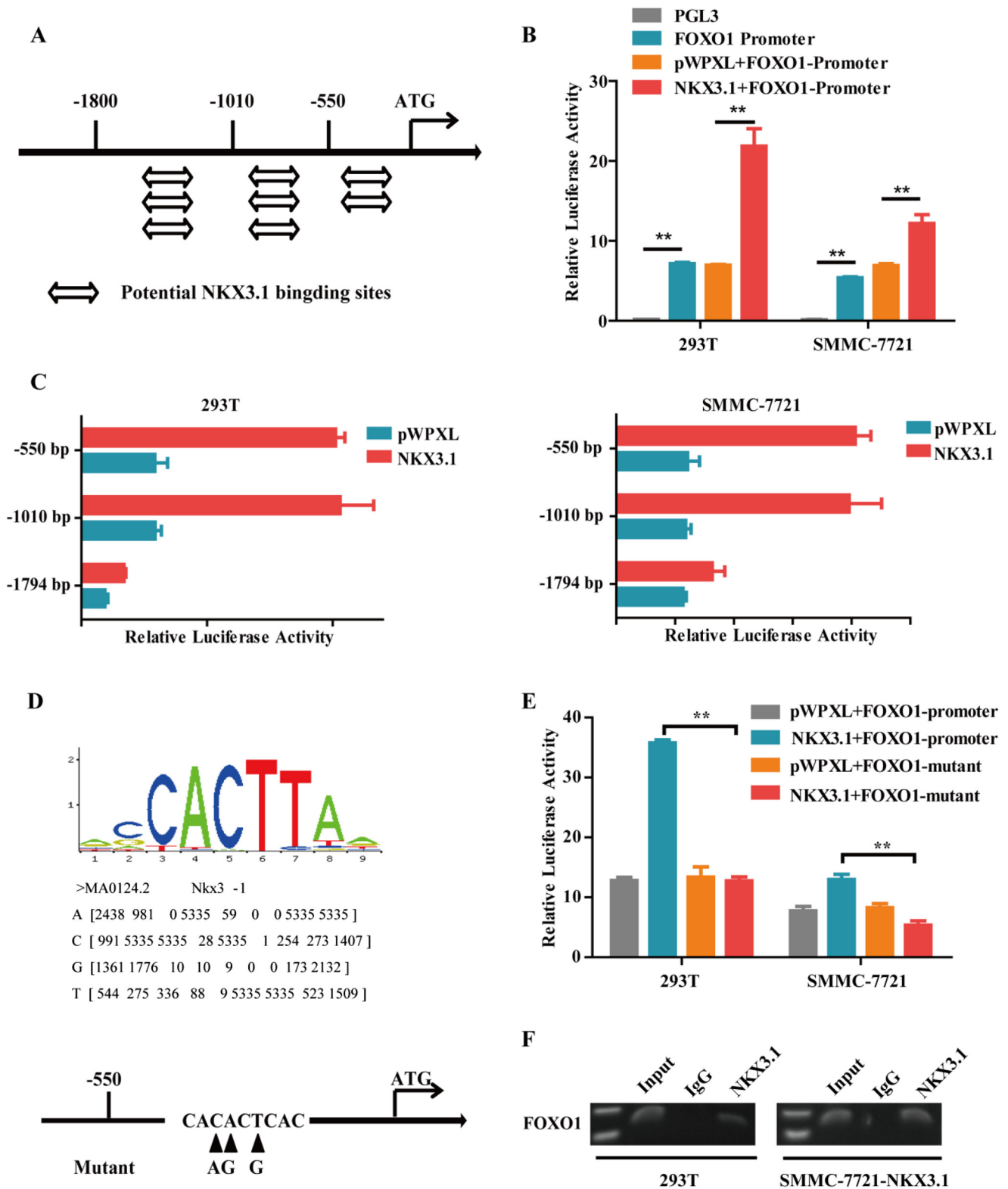
tial candidate targets mediated by the enhancing *NKX3.1* expression level in HCC is meaningful for treatment.

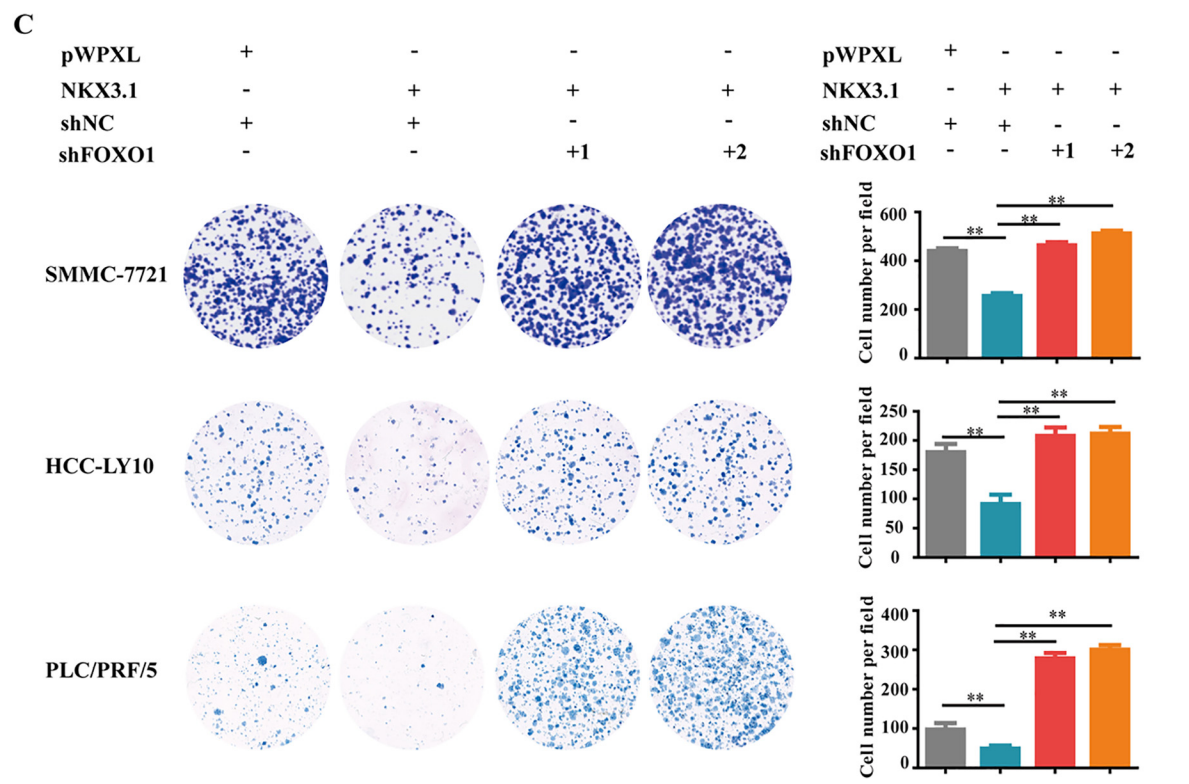
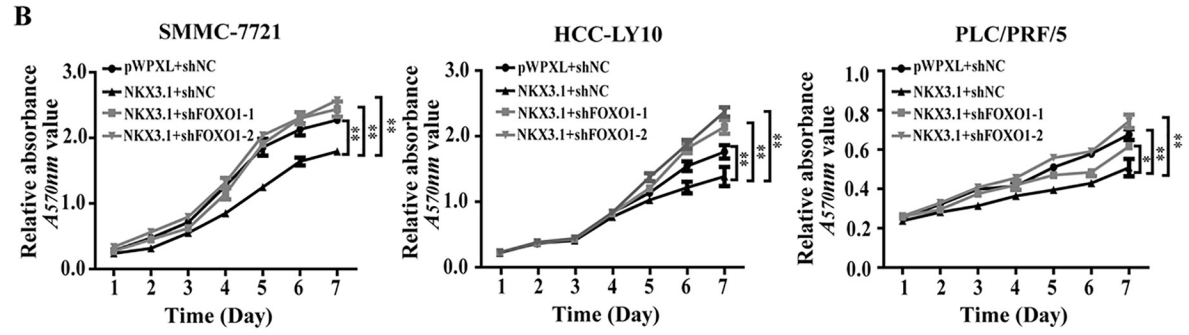
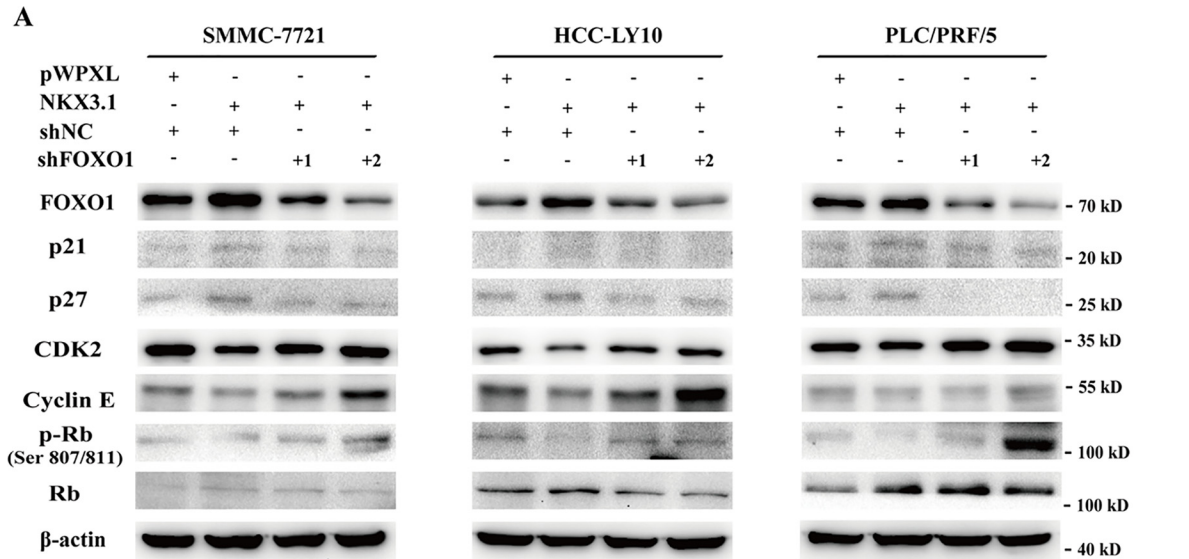
However, the function and the role of *NKX3.1* in other cancers were rarely reported. In the current study, we found that *NKX3.1* was overexpressed in noncancerous liver tissues compared with HCC tissues. Analysis of the relationship between *NKX3.1* expression level and clinicopathological features in the TCGA cohort revealed that lower expression levels of *NKX3.1* are related to the malignant progression of HCC. These results suggest that *NKX3.1* may play a negative regulating role in HCC progression. For the reason that endogenous *NKX3.1* expression was at a low level, its stable overexpression cell lines were established to explore the function of *NKX3.1* in HCC. We conformed for the first time that *NKX3.1* suppresses HCC cell proliferation and metastasis *in vitro* and *in vivo*. Knockdown of *NKX3.1* was also performed to validate the function of *NKX3.1* in HCC.

Based on previous documents, *FOXO1* acts as a tumor suppressor in HCC, the underlying molecular mechanism of the anticancer effects of *FOXO1* has been widely reported as well (31–35). Deletion of Aurora A kinase up-regulated *FOXO1* in a p53-dependent manner and induces cell cycle arrest at the G₂/M phase (36). *FOXO1* is downstream of the PI3K-Akt signaling. AQP9 overexpression in liver cancer cells inhibits PI3K/Akt signaling and subsequently increased *FOXO1* protein expression, resulting in cell cycle arrest and apoptosis (37). However, the relationship between *NKX3.1* and *FOXO1* is still unknown in HCC. The data presented in our study showed that overexpression of *NKX3.1* up-regulates expression of *FOXO1* and p21, resulting in cell cycle arrest at the G₁/S phase. We report that *NKX3.1* inhibits the HCC progression by up-regulating *FOXO1* expression via directly binding to the promoter of *FOXO1*. In terms of invasion, we found that inhibited invasion by *NKX3.1* could be reversed after *FOXO1* interference. *NKX3.1* could suppress cell invasion via *FOXO1*. There are

Figure 5. Overexpression of NKX3.1 induces cell cycle arrest at the G₁/S phase through up-regulation of FOXO1. A, the cell cycle distribution of SMMC-7721 and HCC-LY10 cells without transfection (Mock) and those that were transfected with *NKX3.1* or control (pWPLX) vectors. B, the cell cycle distribution of SMMC-7721 and HCC-LY10 cells (Mock/pWPLX/NKX3.1) collected at 0, 12, and 24 h after synchronizing with 2 mM thymidine. C, Western blot analysis of FOXO1, P21, P27, CDK2, Cyclin E, RB, phospho-RB (Ser-807/811) in *NKX3.1*-overexpressing SMMC-7721 and HCC-LY10 cells. D, Western blot analysis of the expressions of FOXO1, P21, P27, CDK2, Cyclin E, RB, phospho-Rb (Ser-807/811) in SMMC-7721 and HCC-LY10 cells (Mock/pWPLX/NKX3.1) collected at 0 and 24 h after synchronizing with 2 mM thymidine. β -Actin was used as a loading control.

NKX3.1 acts as a tumor suppressor in HCC





NKX3.1 acts as a tumor suppressor in HCC

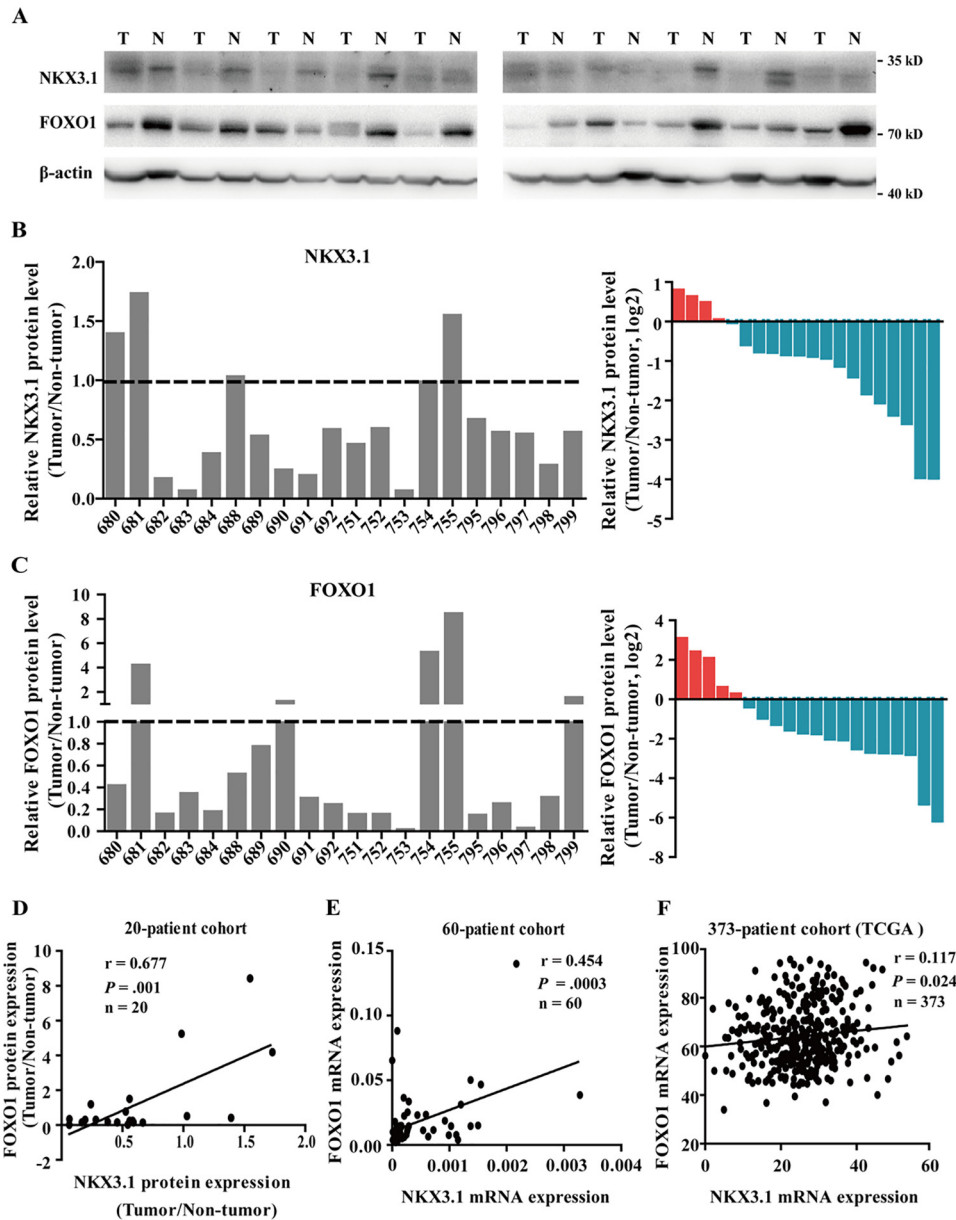


Figure 8. Positive correlation between FOXO1 and NKX3.1 expression in human HCC tissues. *A*, representative images of NKX3.1 and FOXO1 protein levels in human HCC tissues (T) and the corresponding adjacent non-cancerous liver tissues (N). β -Actin was used as a loading control. Images were taken from Fig. 1C and supplemental Fig. S4C. *B* and *C*, the fold-change of NKX3.1 (*B*) and FOXO1 (*C*) protein levels in 20 paired HCC/non-cancerous liver tissues. Data were normalized to β -actin and presented as log₂ fold-change. Red bar in the waterfall plot represents a patient with a higher expression of NKX3.1 or FOXO1 in HCC tissues than paired non-cancerous liver tissue; the gray bar represents a patient with a lower expression of NKX3.1 or FOXO1 in HCC tissues than paired non-cancerous liver tissue. *D*, the correlation between NKX3.1 and FOXO1 protein levels in 20 paired HCC/non-cancerous liver tissues. *E* and *F*, the correlation between NKX3.1 and FOXO1 mRNA levels in HCC tissues of 60 patients (*E*) and 373 patient TCGA cohort (*F*). The Pearson correlation coefficients (r) and p value were indicated.

studies reported that FOXO1 inhibits cell migration and invasion in HCC and prostate cancer as well (38–40). In HCC, FOXO1 expression levels are inversely correlated with epithelial-to-mesenchymal transition inducers and it directly inhibits ZEB2 expression to suppress epithelial-to-mesenchymal transition (41). It is worthy to explore whether NKX3.1 suppresses cell invasion and metastasis through inhibiting epithelial-to-mesenchymal transition in HCC.

NKX3.1 exerts tumor suppressive effects in prostate cancer partly by activating the cellular response to oxidation and accelerating DNA repair. It is reported that NKX3.1 has an important role in regulating the DNA damage response by interacting with ataxia telangiectasia-mutated kinase and by activation of topoisomerase I (16, 42–44). Early molecular events in prostate cancer often include gene rearrangement of *ETS* family members and loss of *NKX3.1* expression (45). Bowen and colleagues

Figure 7. Knockdown of FOXO1 after NKX3.1 overexpression rescues NKX3.1-induced suppressive effect of HCC cells. *A*, Western blot analysis of expression levels of FOXO1 and cell cycle-related proteins shown in Fig. 4 in NKX3.1-overexpressing HCC cells after knockdown of FOXO1. β -Actin was used as a loading control. *B*, knockdown of FOXO1 reversed the inhibitory effect of NKX3.1 on cell proliferation *in vitro* by MTT assay. *C*, knockdown of FOXO1 reversed the inhibitory effect of NKX3.1 on cell colony formation *in vitro*. Data are mean \pm S.D. from experiments with three replicates. *, $p < 0.05$; **, $p < 0.01$.

(46) showed that NKX3.1 protein loss that occurs in intraepithelial neoplasia and prostate inflammation predisposes to the *ETS* gene rearrangement including *TMPRSS2* and *EGR*. NKX3.1 influences the recruitment of the proteins that promote DNA repair. Further studies are needed to explore whether NKX3.1 is responsible for DNA damage repair in HCC.

In conclusion, our findings demonstrate that NKX3.1 suppresses HCC cell proliferation through regulating the expression of FOXO1 and inducing cell cycle arrest at G₁/S phase. In addition, NKX3.1 significantly inhibits migration, invasion, and metastasis of HCC cells *in vitro* and *in vivo*. These data suggest that NKX3.1 may serve as a promising therapeutic target for HCC treatment.

Experimental procedures

Human liver specimens and TCGA cohort

Sixty paired human primary HCC/matched adjacent non-cancerous liver tissue specimens were obtained from Qidong Liver Cancer Institute. Informed consent was obtained from all patients, and the collection of tissue specimens for clinical analysis was approved by the University Ethical Committee. TCGA data were accessed from the website (<https://tcga-data.nci.nih.gov/tcga/>).⁴ We downloaded LIHC gene expression (Illumina-HiSeq percentile) data including all of the 373 liver cancer patients (mRNA expression data of 50 paired cancer/noncancerous tissues were available) to analyze the mRNA expression levels of *NKX3.1* and *FOXO1*. The tests were performed on matched samples.

Cell lines and culture

The human hepatocellular carcinoma cell lines SMMC-7721 and Li7 were purchased from the cell bank of the Institute of Biochemistry and Cell Biology of the Chinese Academy of Sciences (Shanghai, China). Huh7 cells were obtained from the Riken Cell Bank. MHCC-LM3 and MHCC-97L cells were kindly provided by the Liver Cancer Institute of Zhongshan Hospital at Fudan University (Shanghai, China). The HCC-LY10 cell line was established in our laboratory. The PLC/PRE/5 and SK-Hep1 cell lines, immortalized normal hepatocyte L02, and human embryonic kidney 293T cell line were obtained from the American Type Culture Collection (Manassas, VA). All cells were cultured in Dulbecco's modified Eagle's medium (DMEM, Gibco). The medium contained 10% fetal bovine serum (FBS, Gibco), 100 µg/ml of streptomycin, and 100 units/ml of penicillin (Sigma) in a humidified 37 °C incubator with 5% CO₂.

Quantitative real-time polymerase chain reaction (qRT-PCR)

Total RNA from human primary HCC tissues and cell lines were extracted using TRIzol reagent (Invitrogen). Complementary DNA from reverse transcription was performed using the PrimeScript™ RT Reagent Kit (TaKaRa Bio, Japan). The real-time PCR using SYBR Green Master Mix (TaKaRa Bio, Japan) was performed with an Applied Biosystems 7500 Software version 2.0.5 real-time PCR system (Thermo Scientific). The primer sequences are listed in supplemental Table S1. The expression data were normalized to the mean of the housekeeping gene *GAPDH*.

Western blotting

Proteins extracted from HCC tissues and cells were lysed by RIPA buffer (Thermo Scientific) containing protease inhibitor mixture and phosphatase inhibitor (Roche, Welwyn Garden, Swiss), separated by 10% SDS-PAGE, and transferred to 0.45-µm polyvinylidene difluoride membranes (Merck Millipore). After blocking with 5% nonfat milk solution for 1 h at room temperature, the membranes were incubated with primary antibody at 4 °C overnight and probed with HRP-conjugated secondary antibody for 1.5 h at room temperature. The antibody information is listed in supplemental Table S2.

Plasmid constructs, lentivirus production, and cell transfection

The full-length human *NKX3.1* open read frame cDNA sequence was generated and cloned into the lentiviral vector pWPXL (Addgene, Cambridge, MA). The *FOXO1* promoter, which spans a 1794-bp sequence upstream of the first ATG was amplified. The deleted sequences span the region from -1010 and -550 bp to the first ATG. The mutant was generated by mutating the DNA-binding site (-230 to -239 bp). All promoter sequences were cloned into the pGL3 vector (Promega, Madison, WI). The primers for cloning are provided in supplemental Table S3. shRNAs targeting *NKX3.1*, *FOXO1*, and a negative control (shNC) were obtained from Genechem (Shanghai, China). Target sequences are listed in supplemental Table S4. Lentivirus production and cell transfection were performed as described previously (47).

Cell proliferation assay (MTT assay)

A total of 1000 cells were seeded into 96-well culture plates per well and incubated for 7 days. MTT reagent (5 mg/ml, Sigma) was added to each well and incubated at 37 °C. After 4 h, the formazan was dissolved in 100 µl of DMSO and the absorbance value was measured at 570 nm. Each experiment was performed in triplicate.

In vitro plate colony formation assay

A total of 1000 cells were seeded into 6-well culture plates per well and cultured for 2 weeks. Then cells were washed with PBS twice and fixed in 10% neutral phosphate-buffered formalin for 30 min. Cells were stained with Giemsa (Sigma) for another 30 min at room temperature. Each experiment was performed in triplicate.

Flow cytometry analysis

The cell cycle distribution was detected using flow cytometry analysis. Cells were plated into 6-well culture plates at a density of 1 × 10⁶ cells per well for 24 h. They were treated with 2 mM thymidine or 0.3 µM nocodazole (Sigma) for 24 h to be synchronized at the G₁/S and G₂/M boundaries. Cells were then harvested by trypsin after 0, 12, and 24 h, centrifuged, and washed with PBS twice and fixed with 70% ethanol at -20 °C overnight. Before analysis by flow cytometry, cells were washed twice again with PBS and resuspended with 400 mg/ml of propidium iodide, 10 mg/ml of RNase (Sigma), and 0.1% Triton X-100 in 500 µl of PBS at 4 °C for 30 min. DNA content was quantified using Modfit 3.2 software. Each experiment was performed in triplicate.

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In vitro wound-healing assay

Cells were plated into 6-well culture plates equally and grow to about 90% confluence in 24 h. We used the fine end of a 200- μ l pipette tip to create an artificial homogenous wound in the monolayer. After scratching, the cells were cultured in DMEM containing 2% FBS and 1 mM thymidine (Sigma). Before taking pictures, cells were washed twice with serum-free DMEM. Images of cells migrating into the wound were photographed at 0, 24, and 48 h using an inverted fluorescence microscope (Axiovert 200, HAL 100, Carl ZEISS, Oberkochen, Germany) under a $\times 10$ objective lens. Each experiment was performed in triplicate.

In vitro invasion assay

A total of 1×10^5 cells were seeded into the top chamber of a transwell (BD Biosciences) in serum-free DMEM, whereas the bottom chamber was filled with DMEM containing 10% FBS. The transwell chambers contained Matrigel-coated membrane filter inserts. After 24 h incubation, cells adhering to the lower membrane of the inserts were fixed in 10% neutral phosphate-buffered formalin for 30 min, stained with Giemsa solution, and counted in five randomly selected fields.

Luciferase assay

Cells were plated in 96-well culture plates for 24 h and grown to about 90% confluence in 24 h. Cells were then transfected with the relevant reporter plasmids and the PRL-TK reporter construct with Lipofectamine 2000 (Invitrogen). Forty-eight hours later, firefly luciferase activity and *Renilla* activity were determined according to the manufacturer's instructions (Promega).

Chromatin immunoprecipitation (ChIP)

ChIP assay was performed in SMMC-7721-pWPXL and SMMC-7721-NKX3.1 cells. Cells were cross-linked with 10% formaldehyde and reversed with 1 M glycine. After washing with PBS buffer, cells were harvested in Tissue Protein Extraction Reagent (Thermo Scientific) for 5 min on ice and centrifuged at $2,000 \times g$ for 5 min. The precipitants were suspended in nuclei lyses buffer and DNA was crushed into fragments by sonication. Mouse anti-NKX3.1 (Invitrogen) or mouse IgG with protein A/G-agarose beads (Sigma) were added and incubated overnight at 4 °C to immunoprecipitate DNA-containing complexes. After washing, DNA was isolated and used for PCR analysis. Primers for the *FOXO1* promoter were: forward, 5'-cactgagaaggcgagagaatc-3' and reverse, 5'-ggttttccacggggagc-3'.

Tumor xenograft models

Six to 8-week-old male NOD/SCID mice were divided into groups randomly. For the liver orthotopic model, 2×10^6 SMMC-7721 cells or HCC-LY10 cells stably expressing NKX3.1 and control pWPXL were suspended in serum-free DMEM with Matrigel (1:1, BD Biosciences) for each mouse. After 4 to 6 weeks, all mice were sacrificed. Xenograft tumors were weighted, collected livers and lungs were fixed in 10% neutral phosphate-buffered formalin. The samples were embedded in par-

affin and stained with hematoxylin and eosin. All animal experimental protocols were approved by the Shanghai Medical Experimental Animal Care Commission.

Statistical analysis

The data were presented as the mean \pm S.D. Statistical analysis (comparisons between two groups) was performed using Student's *t* test. The Pearson correlation was performed to analyze the correlation between expression levels of NKX3.1 and FOXO1. $p < 0.05$ was considered statistically significant (*, $p < 0.05$; **, $p < 0.01$). Statistical analyses were performed using SPSS (Statistical Package for the Social Sciences) 19.0 software and GraphPad Prism 5.

Author contributions—J. Y. J. and J. J. L. designed the study and analyzed the data. J. Y. J. and H. L. performed the *in vitro* function of NKX3.1-overexpression and molecular mechanism experiments. Z. L. performed the *in vitro* functional experiments of NKX3.1-knockdown. C. C. extracted the plasmids of shRNAs targeting *NKX3.1*. F. Y. Z. and M. Y. performed the *in vivo* experiments. C. G. performed the histopathological examination. T. Y. C. collected the primary HCC tissue samples and clinical significant survey and analysis. J. Y. J. wrote the manuscript. All authors reviewed the results and approved the final version of the manuscript.

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