

RESEARCH PAPER



## A novel immunization approach for dengue infection based on conserved T cell epitopes formulated in calcium phosphate nanoparticles

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### ABSTRACT

Dengue virus (DV) is the etiologic agent of dengue fever, the most significant mosquito-borne viral disease in humans. Most DV vaccine approaches are focused on generating antibody mediated responses; one such DV vaccine is approved for use in humans but its efficacy is limited. While it is clear that T cell responses play important role in DV infection and subsequent disease manifestations, fewer studies are aimed at developing vaccines that induce robust T cells responses. Potent T cell based vaccines require 2 critical components: the identification of specific T cell stimulating MHC associated peptides, and an optimized vaccine delivery vehicle capable of simultaneously delivering the antigens and any required adjuvants. We have previously identified and characterized DV specific HLA-A2 and -A24 binding DV serotypes conserved epitopes, and the feasibility of an epitope based vaccine for DV infection. In this study, we build on those previous studies and describe an investigational DV vaccine using T cell epitopes incorporated into a calcium phosphate nanoparticle (CaPNP) delivery system. This study presents a comprehensive analysis of functional immunogenicity of DV CaPNP/multi-peptide formulations *in vitro* and *in vivo* and demonstrates the CaPNP/multi-peptide vaccine is capable of inducing T cell responses against all 4 serotypes of DV. This synthetic vaccine is also cost effective, straightforward to manufacture, and stable at room temperature in a lyophilized form. This formulation may serve as an effective candidate DV vaccine that protects against all 4 serotypes as either a prophylactic or therapeutic vaccine.

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### Introduction

Dengue virus (DV) is a member of the *Flaviviridae* family and is characterized by a single stranded RNA genome enclosed within a spherical enveloped virion. Four distinct serotypes of DV circulate globally with most endemic countries reporting circulation of all 4 serotypes.<sup>1</sup> The incidence of DV infections has spread dramatically around the world in recent decades; although recent estimates indicate that roughly 390 million people are infected with DV each year,<sup>2</sup> over 3 billion people are actually at risk of being infected.<sup>3</sup> As the climate continues to warm and the mosquito vector of DV continues to move northward<sup>4,5</sup> both the number of infections and the number of people at risk of infection will continue to rise. Therefore, developing efficacious antiviral treatments and/or vaccines to prevent infection from these viruses is of the utmost importance.

One of the most challenging aspects of DV immunotherapy is that although recovery from infection by one DV serotype may provide lifelong immunity against that particular strain, cross-protective immunity to other serotypes is only partial and temporary. Subsequent infections by other serotypes increase the risk of developing severe dengue fever, or dengue hemorrhagic fever, mediated by antibody dependent enhancement (ADE) of infection.<sup>6,7</sup> This is compounded by the fact that there are no specific anti-viral treatments of DV infection. Clinical management of infection is based only on supportive therapy. Recent

improvements in case management have reduced the fatality rates in hospitalized dengue illness to less than 1%.<sup>8</sup> Other primary forms of combating the virus have targeted the viral vector,<sup>9</sup> though the data supporting positive impact on incidence of DV infection is limited.<sup>10</sup> In terms of prophylactic measures, there is one live attenuated tetravalent dengue vaccine (CYD-TDV, or Dengvaxia) first approved for use in Mexico, Philippines and Brazil in 2015 and many other countries thereafter. CYD-TVD induces neutralizing antibodies against all 4 DV serotypes where induction of high-titer neutralizing antibodies can provide temporary cross-protection to these serotypes, lasting about 2 years.<sup>11,12</sup> However, CYD-TVD shows some shortcomings. First, the efficacy of CYD-TVD for confirmed dengue cases was surprisingly lower in seronegative individuals than in seropositive individuals,<sup>13</sup> perhaps reflecting a “boosting” phenomenon that temporality provides additional cross-protection. Furthermore, the rate of hospitalization of sero-negative individuals was considerably higher, especially among children younger than 9 years old.<sup>14</sup> This observation was attributed to CYD-TDV inducing non-protective dengue antibodies that enhance infection.<sup>15</sup> As such, there still remains a significant need to develop efficacious prophylactics and immunotherapies for DV infections.

There are several variables important to developing a successful DV vaccine. Inducing both humoral and cellular immunity will be essential in forming a safe and effective cross-protective

DV vaccine. Therefore it is necessary to use tools that identify conserved B-cell and T-cell epitopes within viral proteins that stimulate protective immune responses<sup>16</sup> but not the immune amplification<sup>17,18</sup> observed during antibody mediated enhancement of DV infection. T cell based vaccines are an attractive alternative strategy as they can be used as 'stand-alone' vaccines or be paired with current and future anti-viral treatments and/or the CYD-TDV vaccine. CD8+ cytotoxic T lymphocytes (CTLs) are a major contributor of protection against DV infection.<sup>6,7,19</sup> DV specific CD8+ T cells were detected in patients after natural infection<sup>20-24</sup> and after attempts at vaccination<sup>25</sup> with some level of cross-reactivity between the strains. Studies in children have indicated that CD8+ T cell mediated secretion of IFN- $\gamma$  and TNF- $\alpha$  was more robust in asymptomatic or subclinical infections compared with symptomatic or severe disease.<sup>16</sup> In line with those observations, a murine model of DV infection demonstrated that CD8+ T cells play a major role in viral clearance as depletion of these cells significantly increased viral titers in the infected animals.<sup>26</sup> Development of an efficacious DV vaccine would require CD4+ and/or CD8+ T-cell responses, not only to successfully protect against infection by each serotype but also against ADE. As discussed above, a major limitation in DV vaccines which solely depend on induction of neutralizing antibodies is the potential for non-neutralizing antibody mediated enhancement of DV infection. Zellweger et al. (2014) demonstrated that DV specific CD8+ T cells were able to prevent ADE in mice infected with DV,<sup>27</sup> thus, it is plausible to hypothesize a similar effect in humans infected with DV. Ideally after vaccination, the T cell memory response should eliminate the infected cells, halting the spread of infection that would be enhanced by ADE. *In vitro* studies from our laboratory have demonstrated that CD8+ T cells that recognize epitopes shared across all 4 DV serotypes could be generated.<sup>28</sup> We have also shown that these T cells are able to be activated via selected HLA-A2+ and HLA-A24+ in both healthy, seronegative, individuals and in seropositive individuals who have been previously infected with DV.<sup>29</sup> These studies suggested that a vaccine that activates a CTL response against DV and another that can be paired with an efficient humoral immune-stimulating vaccine could be used to induce sustained immunity against DV.

The rationale for prophylactic vaccination against DV begins with the observations that natural infection protects against exogenous re-infection with the same serotype. Although some studies report that the NS3 protein is an immunodominant target of CD8+ T-cell responses to natural infection, little is known about T-cell epitopes from this nonstructural protein.<sup>30,31</sup> Several groups have attempted to identify T cell epitopes by either screening overlapping peptides from structural and nonstructural DV proteins, including preM, E, and NS3, or by predicting major histocompatibility complex (MHC) peptide binding motifs of DV proteins,<sup>32,33</sup> NS1, NS2A.<sup>25,34</sup> While these studies have revealed a few potential candidate epitopes, as we have also accomplished,<sup>28</sup> a comprehensive analysis of naturally presented epitopes on the infected cells has not been undertaken or reported to the best of our knowledge.

Implementation of the approach to produce broad, cross-protective immunity involves the identification of conserved CD8+ T cell epitopes that can be induced in most members of

the population and that can maintain epitope-specific CD8+ T cells capable of controlling the infection. Activation of T cells depends on complex interactions between the innate and adaptive immune systems; enhancing innate immune responses is thought to drive more robust adaptive immunity, and a primary method of enhancing the innate immune activation during vaccination is through the use of adjuvants. Currently, only a few adjuvants have been approved for use in humans in the US including alum and limited use of a few lipid based emulsions.<sup>35</sup> To the best of our knowledge, there is no adjuvant that is licensed for use in peptide based antigens to date. In addition, a variety of vaccine delivery systems are available but many have issues with stability which directly impacts effectiveness of a vaccine.<sup>36</sup> An ideal vaccine delivery system activates a strong, broad, and persistent cellular and humoral immunity, while also stimulating an improved immunological memory. An ideal vaccine must also improve immune responses in people with reduced or suppressed immunity, and be capable of broadening the immune response to allow recognition of pathogenic strain variants, while remaining cost effective. Use of adjuvants and/or antigen delivery systems are considered to provide dose-sparing to allow immunization of more people using smaller amount of antigen, reduce the need for booster dosing, improve vaccine efficacy, and consequently to reduce the cost of vaccination. Many vaccine delivery systems, including liposomal formulations,<sup>37,38</sup> virus-like particle (VLP) vaccines, DNA vaccines, viral vector-based vaccines,<sup>39</sup> and synthetic gold nanoparticles (NP),<sup>40</sup> are currently being investigated in an effort to achieve long-term protection against a broad range of viral subtypes. More recently, liposomes,<sup>41,42</sup> VLP<sup>43</sup> and gold NP<sup>44</sup> have been conjugated with synthetic peptides and tested in transgenic mice models, which show effective CTL immune response. Several delivery systems, such as immunostimulatory complex (ISCOM-based adjuvants),<sup>45</sup> virosomes (viral vector)<sup>46</sup> and liposomes,<sup>47</sup> have been investigated further as potential vaccine formulations with peptides in stage I clinical trials, which all produce a potent T cell immunity. Clinical studies with HLA-restricted peptides, selected by virtue of being naturally presented by cancer, when administered in combination with Granulocyte-macrophage colony-stimulating factor (GM-CSF), elicit specific vaccine-induced immune responses in renal cell cancer that are associated with clinical benefit.<sup>48</sup> Those studies warrant further clinical development of the vaccine delivery systems for synthetic peptide antigens.

Calcium phosphate nanoparticles (CaPNPs) have shown promise for use both as an adjuvant,<sup>49,50</sup> and as a drug delivery vehicle.<sup>51-55</sup> In preclinical safety and toxicity studies,<sup>49,53</sup> CaPNPs were shown to be safe for administration via intramuscular, subcutaneous, oral, or inhalation routes. Although the clinical safety data have not been published to date yet, the company who developed the CaPNP technology has reported in 2 press releases that CaPNP indicated no toxicity, inflammation, or allergic reaction in Phase I safety/toxicity studies conducted in the US (unpublished). Therefore, there was great interest in investigating the potential of CaPNPs for development of vaccines against DV infection. Building on our prior work of antigenic peptides discovered from DV,<sup>28,29</sup> we aimed to investigate the beneficial properties of CaPNPs in terms of stability, capacity to incorporate peptide antigens, simplicity of

formulation protocols, and T cell responses. The HLA-A2+ DV peptides selected for this work were previously identified through a comprehensive analysis of naturally presented epitopes on infected cells using an immunoproteomic approach.<sup>28,29</sup> These novel HLA-A2+ DV-specific peptides are derived from conserved regions of DV proteins. We previously demonstrated that DV peptides derived from conserved regions are capable of inducing cross-reactive T cell responses,<sup>28</sup> a benefit when designing a vaccine to protect against multiple strains. We formulated the vaccine by incorporating the characterized antigenic peptides to pre-formed CaPNPs through physical adsorption. We assessed the ability of the CaPNP/peptide formulation to induce CD8+ T cell responses, in both *in vitro* and *in vivo* experiments, for the potential development of a T cell vaccine against DV infection.

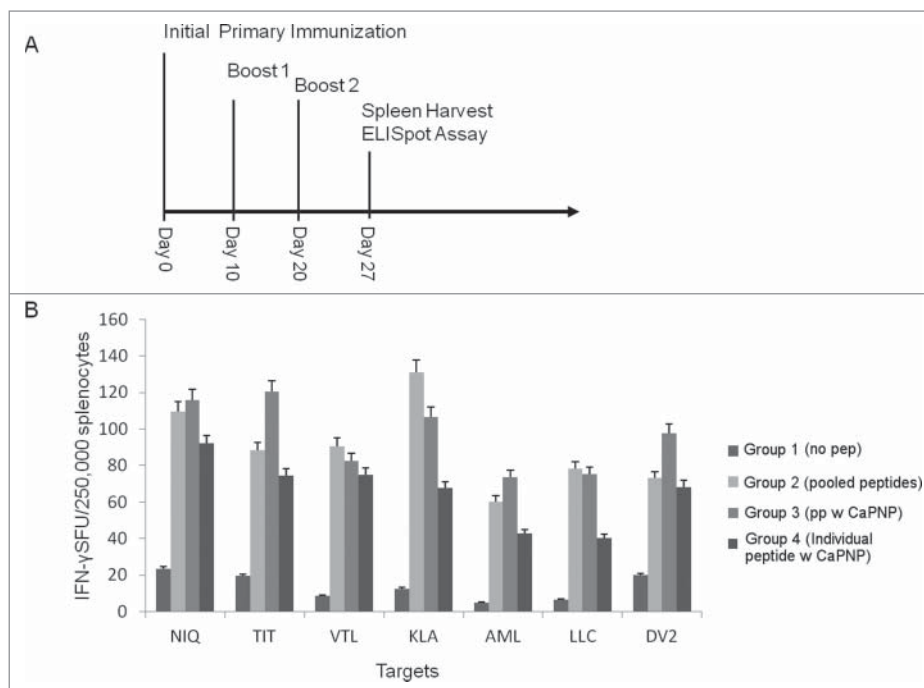
## Results

### Selection of calcium phosphate nanoparticle (CaPNP) for vaccine formulation

In previous investigations, influenza A (H1N1) vaccines formulated with a fixed antigen dose and various concentrations of CaPNPs (0.15% – 0.8%) indicated the maximal virus-specific antibody response for the vaccine containing 0.3% CaPNPs (there was no significant difference between the 0.3% and higher concentration of CaPNPs). Importantly, the antibody response produced by the 0.3% CaPNP was approximately 4-fold higher than that of vaccine formulations containing no adjuvant.<sup>56</sup> The vaccine formulated with 0.3% CaPNPs has also provided greater than 80% protection against

infection with a fatal dose of A/CA/04/2009 (H1N1pdm) virus in challenge studies.<sup>56</sup> Using this data as a guide, we investigated the optimal CaPNP concentration suitable for peptide antigen delivery and functional T cell activation. We used a well characterized peptide, SIINFEKL (SIIN) from ovalbumin,<sup>57,58</sup> as the model. SIIN formulated with 0.3% or 0.8% CaPNPs were pulsed onto L<sup>k</sup>b fibroblasts and the ability of the cells to internalize, process, and present the SIIN peptide was assessed by flow cytometry and T cell hybridoma assays as described elsewhere.<sup>48,49</sup> The following formulations were tested at 3 different concentrations of SIIN (0.1, 1, and 2  $\mu$ g/ml): 1) 0.8% CaPNPs alone, 2) 0.8% CaPNPs/SIIN, 3) 0.3% CaPNPs alone, 4) 0.3% CaPNPs/SIIN, and as controls, 5) free SIIN peptide, and 6) peptide unpulsed samples. Angel antibody, an antibody that recognize the SIIN peptide MHC-I complex,<sup>59</sup> staining of L<sup>k</sup>b cells pulsed with the various formulations demonstrated that CaPNP/peptide conjugates were taken up and processed, and SIIN peptide was presented in conjunction with the Kb MHC-I molecules (Supplementary Fig 1A and 1C). There were no significant differences observed in levels of SIIN/Kb complexes on the cell surface between formulations nor were there differences observed using various concentrations of SIIN peptide (Fig. S1A).

Similarly, the processing and presentation of the CaPNP/SIIN formulations resulted in SIIN-specific T cell activation (Fig. S1B) as measured by the standard B3Z T cell hybridoma assay.<sup>59</sup> All CaPNP/SIIN formulations also induced higher levels of T cell activation than the free peptide control. Therefore, we used 0.3% CaPNP concentration in all experiments moving forward.



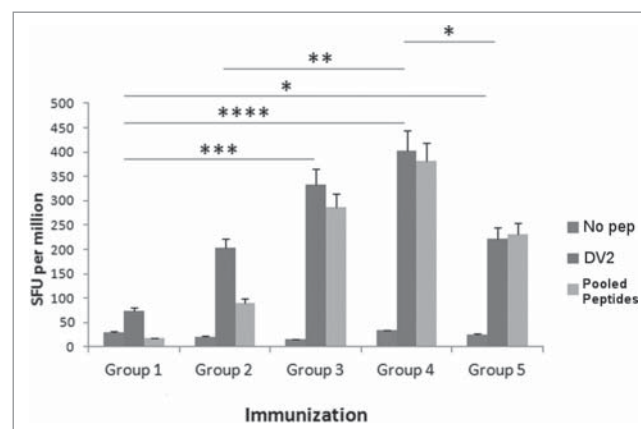
**Figure 1.** (A) Immunization scheme for vaccine injections. Timeline for mice immunization and sample analysis for CTL responses generated *in vivo* using HLA-A2<sup>+</sup> transgenic mice. All the *in vivo* experiments were performed with the protocol depicted. (B) DV specific CaPNP/multi-peptide formulation stimulate CD8<sup>+</sup> T cell activation *in vivo*. Mice were grouped (n = 3) as: 1) unimmunized (PBS control); 2) pooled peptide emulsified in ISA 51; 3) CaPNP/multi-peptide formulation with GlcNAc; or 4) pooled formulations of CaPNP/individual peptides with GlcNAc. Splens were harvested and splenocytes were isolated then co-cultured with HepG2 targets pulsed with either individual peptides (peptides depicted as the first 3 residues: NIQ, TIT, VTL, KLA, AML, LLC) or infected with DV2 for use in the ELISpot assay. Data represented as mean  $\pm$  S.D (n = 3) of SFU per  $2.5 \times 10^5$  splenocytes.

### DV specific CaPNP/multi-peptide vaccine formulation

Our previous studies demonstrated that DV specific free peptides can activate CD8+ T cells *in vitro*.<sup>28,29</sup> Here, we evaluated if the same peptides formulated with nanoparticles could enhance DV specific T cell response. A total of 6 HLA-A2<sup>+</sup>, A2<sup>+</sup>/A24<sup>+</sup> dual binding DV peptides (Table 1) were selected and formulated in 0.3% CaPNP particle suspension either individually or combined as a pool of multiple peptides. N-acetylglucosamine (GlcNAc) was also included in the formulations for 2 reasons: first, as a surface modifying agent to increase surface-binding of peptides to CaPNPs,<sup>51,60</sup> and second, to act as an adjuvant for targeting the mannose receptors on antigen presenting cells to facilitate antigen uptake and processing.<sup>61</sup> We first tested the peptide formulations *in vivo* using HLA-A2 transgenic mice (Fig. 1A). Mice were divided into test groups and immunized 3 times over the period of 3 weeks: 1) unimmunized (PBS injection as control), 2) pooled free peptides emulsified with Montanide ISA 51 (ISA 51, an adjuvant carrier, has been proved to enhance antigen specific antibody titers and CTL response in therapeutic and prophylactic clinical trials<sup>62</sup>), 3) pooled formulations of individual peptides formulated in CaPNPs (CaPNP/individual peptide formulation), and 4) pool of free peptides formulated together in CaPNP (CaPNP/multi-peptide formulation). On day 27 post-last immunizations, spleens were harvested and the splenocytes were isolated to assess antigen specific T cell responses. Splenocytes were cultured with HepG2 target cells that were either pulsed with individual peptides (Table 1) or infected with DV serotype 2 (DV2) in IFN- $\gamma$  ELISpot assays (Fig. 1B). The data indicate that the CaPNP formulations induced robust CD8+ T cell activation, both as individual peptide formulations pooled together or as a pool of individual peptides formulated together (referred as CaPNP/multi-peptide here after). Importantly, CaPNP/multi-peptide formulation induced the highest levels of IFN- $\gamma$  secretion against DV2 infected HepG2 targets and against some individual peptide pulsed HepG2 target groups (depicted as first 3 residues – NIQ, TIT, and AML) when compared with pooled formulations of CaPNP/individual peptides and the free peptides emulsified with ISA 51 adjuvant (positive control). Therefore, the CaPNP/multi-peptide formulation was selected for further optimization and use in subsequent *in vitro* and *in vivo* functional characterization studies.

To further optimize the CaPNP/multi-peptide formulation, we evaluated different concentrations of peptides necessary to induce optimal T cell responses in an HLA-A2<sup>+</sup> transgenic mouse model. The mice were immunized in 5

groups: 1) unimmunized (PBS control), 2) 10  $\mu$ g pooled free peptides (10  $\mu$ g each peptide/150  $\mu$ L per mouse) emulsified with ISA51, 3) 50  $\mu$ g pooled free peptides (50  $\mu$ g each peptide/150  $\mu$ L per mouse) emulsified with ISA51, 4) 10  $\mu$ g CaPNP/multi-peptide formulation (10  $\mu$ g each peptide/150  $\mu$ L CaPNP per mouse), and 5) 50  $\mu$ g CaPNP/multi-peptide formulation (50  $\mu$ g each peptide/150  $\mu$ L CaPNP per mouse). As described previously, the mice were immunized 3 times with the designated vaccines and then the spleens were harvested. The splenocytes were cultured with HepG2 target cells pulsed with pooled peptides (Table 1) or infected with DV2 in an IFN- $\gamma$  ELISPOT assay. As shown in Fig. 2, there were significant differences in T cell responses against DV2 infected HepG2 targets between the treatment groups as compared with the control group. Specifically, the 50  $\mu$ g free peptides/ISA 51 group and the 10  $\mu$ g CaPNP/multi-peptide formulation (groups 3 and 4, respectively) elicited higher T cell responses when compared with the unimmunized group (group 1; P<0.001, P<0.0001 respectively; n = 3, one way ANOVA). Furthermore, the 10  $\mu$ g CaPNP/multi-peptide formulation (group 4) demonstrated a higher CTL response than 10  $\mu$ g pooled free peptides emulsified with ISA 51 group (group 2), which underscores the efficacy of the CaPNP delivery system (P<0.01, n = 3). Overall, the lower concentration of CaPNP/multi-peptide formulation (10  $\mu$ g each peptide/mice) (group 4) generated the highest T cell responses against DV2 infected targets when compared with the higher 50  $\mu$ g CaPNP/multi-peptide formulation group (group 5; P < 0.05, n = 3). In contrast, the free peptides formulated with ISA 51 generated the highest response at higher peptide concentration (50  $\mu$ g) compared with the lower concentration (10  $\mu$ g). However, since the CaPNP formulated group with lower concentration showed higher response, we selected



**Figure 2.** Various concentrations of DV CaPNP/multi-peptide formulations stimulate CD8+ T cell activation *in vivo*. HLA-A2<sup>+</sup> transgenic mice were immunized as in Fig. 1A with the following groups: Group 1) unimmunized (PBS control); Group 2) 10  $\mu$ g peptide/150  $\mu$ L PBS emulsified in ISA 51 per mouse; Group 3) 50  $\mu$ g peptide/150  $\mu$ L PBS emulsified in ISA 51 per mouse; Group 4) 10  $\mu$ g peptide/150  $\mu$ L CaPNP with 1XGlcNAc per mouse; Group 5) 50  $\mu$ g peptide/150  $\mu$ L CaPNP with 1XGlcNAc per mouse. Splenocytes were harvested and co-cultured with HepG2 targets that were either pulsed with no peptides (negative control), pooled peptides (PP including NIQ, TIT, VTL, KLA, AML, LLC) or infected with DV2 for use in the ELISpot assay. Data represented as mean  $\pm$  S.D. (n = 3) of SFU per 1 million splenocytes. \* Represents P values: \* P<0.05, \*\* P<0.01, \*\*\*P<0.001, \*\*\*\* P<0.0001.

**Table 1.** Dengue virus specific MHC class I associated peptides identified previously by immunoproteomics.<sup>28,29</sup>

Peptide	Protein	Accession ID	HLA motif	Serotype
VTLLCLIPTV	Caspid	Q2YHF2	A2	DV1,2,4
TITEEIAVQ	NS4B	P29990	A2	DV2
NIQTAINQV	NS4B	Q9WDA6	A2	DV1,2,3
KLAEAIFKL	NS5	P29990	A2/24	DV2
AMLCIPNAII	NS2A	C4NATO	A2/24	DV2, 3
LLCVPNIMI	NS2A	A8IWB1	A2/A24	DV2, 4



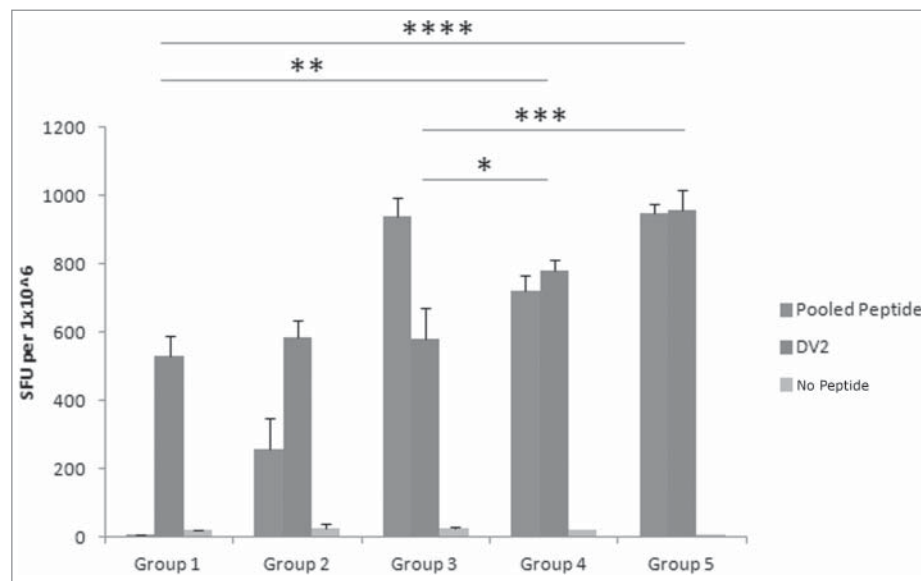
the 10  $\mu\text{g}$  CaPNP/multi-peptide formulation for further characterization.

Next, we compared the T cell responses generated by CaPNP/multi-peptide formulation in the presence of various concentrations of GlcNAc in HLA-A2<sup>+</sup> transgenic mice to determine the effect of adjuvant in the formulation. The mice were immunized in 5 groups: 1) unimmunized (PBS control), 2) pooled free peptides (10  $\mu\text{g}$  each peptide/150  $\mu\text{l}$  per mouse) emulsified with ISA 51, 3) CaPNP/multi-peptide formulation (10  $\mu\text{g}$  each peptide/150  $\mu\text{l}$  CaPNP per mouse), 4) CaPNP/multi-peptide formulation with 1  $\times$  GlcNAc (10  $\mu\text{g}$  each peptide/150  $\mu\text{l}$  CaPNP per mouse); and 5) CaPNP/multi-peptide formulation with 3  $\times$  GlcNAc (10  $\mu\text{g}$  each peptide/150  $\mu\text{l}$  CaPNP per mouse). Using the IFN- $\gamma$  ELISPOT assay, as described previously, we evaluated CTL activation in all treatment groups directed against DV2 infected HepG2 targets. Results are shown in Fig. 3 ( $P < 0.05$ ,  $n = 3$ ). Although there was a relatively high background signal in control groups against DV2 infected HepG2 targets, the T cell response generated by CaPNP/multi-peptide formulations against the infected targets were significantly higher. The CaPNP/multi-peptide formulations containing GlcNAc (1  $\times$  or 3  $\times$ ) showed significantly higher responses than the un-immunized control (groups 4 or 5 vs. group 1;  $P < 0.01$ ,  $P < 0.0001$ , respectively). Our data indicated that the addition of GlcNAc to the CaPNP/multi-peptide formulations stimulates statistically significant higher T cell responses in comparison to formulations without GlcNAc (group 3 vs. groups 4 or 5:  $P < 0.05$ ,  $P < 0.001$ , respectively). However, there was no significant difference between the 1X or 3X GlcNAc formulation groups. In summary, data in Fig. 2 and 3 demonstrated that CaPNP/multi-peptide formulations used to immunize mice generated not only peptide specific T cell responses (observed with CTLs cultured with pooled

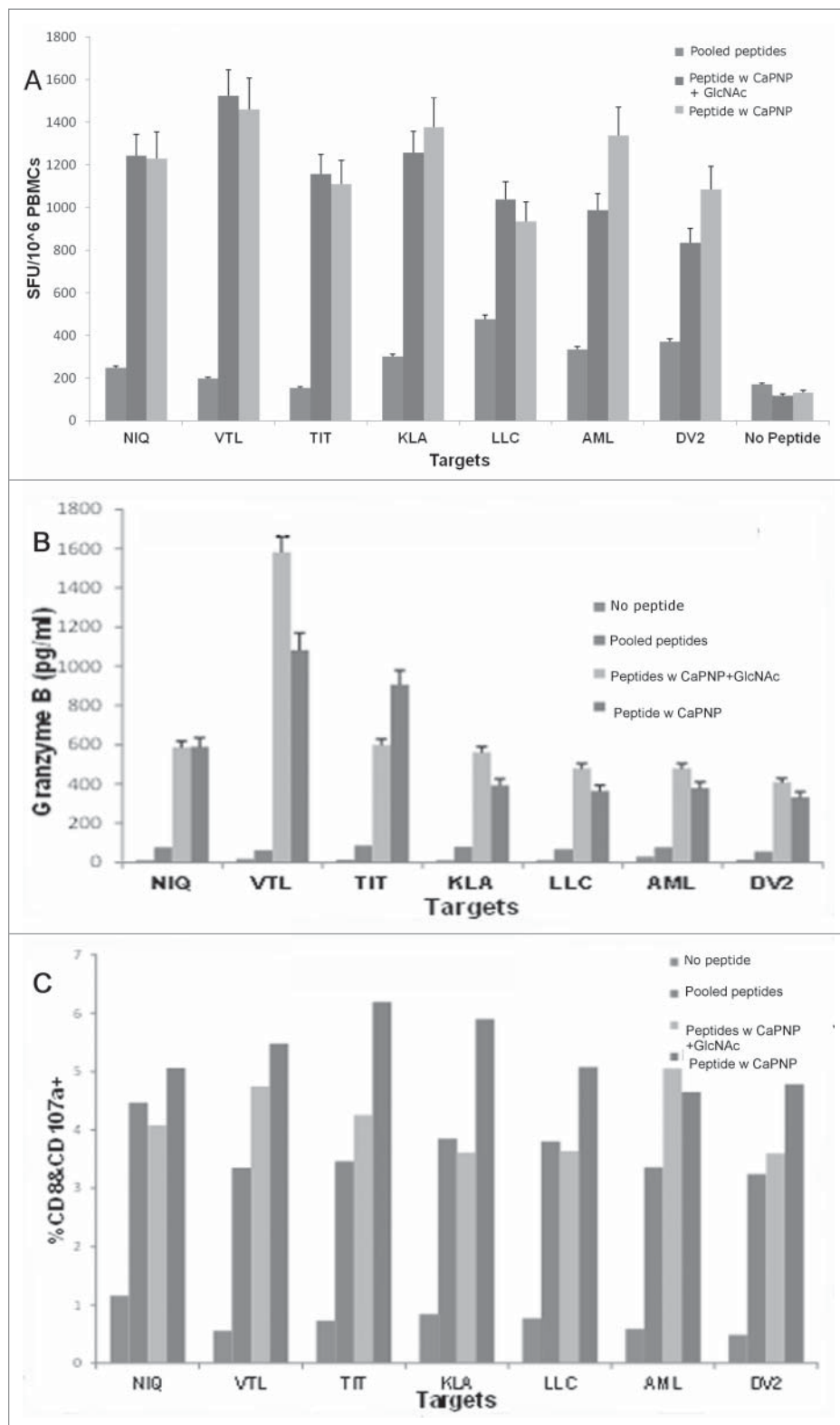
peptide pulsed HepG2 targets), but also generated robust DV specific T cell responses (observed with CTLs cultured with DV2 infected HepG2 targets).

### ***In vitro* functional analysis of DV CaPNP/multi-peptide vaccine formulation**

Our previous studies provided evidence that DV specific MHC class I associated peptides are relevant candidates for a universal (multi-serotype) DV vaccine since they are able to activate CD8<sup>+</sup> T cell responses against all 4 serotypes in both healthy, seronegative and seropositive individuals who had previously been infected with a DV serotype.<sup>28,29</sup> To assess whether the CaPNP/multi-peptide formulations elicit DV specific human T cell responses, we characterized the T cell response with *in vitro* studies. In the first study, we stimulated peripheral blood mononuclear cells (PBMCs) from HLA-A2<sup>+</sup> healthy donors with the DV CaPNP/multi-peptide formulations, with or without GlcNAc, to generate DV specific T cells. Immature dendritic cells (DCs) were generated from the adherent monocyte population and were assessed for expression of DC phenotype surface markers (Fig. S2). Then the DCs were co-cultured with PBMCs in the presence of 1) pooled free peptides, or 2) CaPNP/multi-peptide formulation with GlcNAc, or 3) CaPNP/multi-peptide formulation without GlcNAc. T cells were cultured *in vitro* and stimulated 3 times before testing DV specific T cell responses. In these T cell activation assays, we used HepG2 targets that were either pulsed with individual peptides (Table 1) or infected with DV2. The data shown in Fig. 4 demonstrate the T cell responses generated against the DV2 infected cells as measured by: IFN- $\gamma$  secretion in an ELISpot assay (Fig. 4A), by the secretion of granzyme B as measured by



**Figure 3.** Different GlcNAc concentrations in DV CaPNP/multi-peptide formulations stimulate CD8<sup>+</sup> T cell activation *in vivo*. Following the protocol in Fig 1A, CTL responses were generated with the following groups in HLA-A2<sup>+</sup> transgenic mice: Group1) unimmunized (PBS control); Group 2) 10 $\mu\text{g}$  peptide/150 $\mu\text{l}$  PBS per mouse emulsified in ISA 51; Group 3) 10 $\mu\text{g}$  peptide/150 $\mu\text{l}$  CaPNP per mouse; Group 4) 10 $\mu\text{g}$  peptide/150 $\mu\text{l}$  CaPNP with 1XGlcNAc per mouse; Group 5) 10 $\mu\text{g}$  peptide/150 $\mu\text{l}$  CaPNP with 3XGlcNAc per mouse. Splenocytes were harvested and co-cultured with HepG2 targets that were pulsed with either no peptides (negative control), or pooled free peptides (NIQ, TIT, VTL, KLA, AML, LLC) or infected with DV2 for use in the ELISpot assay. Data represented as mean  $\pm$  S.D ( $n = 3$ ) of SFU per 1 million splenocytes. \* Represents P values: \*  $P < 0.05$ , \*\*  $P < 0.01$ , \*\*\* $P < 0.001$ , \*\*\*\*  $P < 0.0001$ .



**Figure 4.** DV CaPNP/multi-peptide formulations stimulate CD8<sup>+</sup> T cell activation *in vitro*: (A) IFN- $\gamma$  ELISpot assay: Using peripheral blood from healthy donors' PBMCs were stimulated *in vitro* with: 1) pooled peptides, or 2) CaPNP/multi-peptide formulation with GicNac, or 3) CaPNP/multi-peptide formulation without GicNac. The activated PBMCs containing the epitope specific CTLs were harvested, washed, and co-cultured overnight with HepG2 targets that were either pulsed with individual peptides (2 $\mu$ g each peptide/mL) or infected with DV2 in an IFN- $\gamma$  ELISpot assay. Data represented as mean  $\pm$  S.D (n = 3) of SFU per 1 million PBMCs. (B) Cytolytic response: Identical cultures were set up as in (A) in 96 well round bottom plates. The next day supernatant was harvested and used to detect cytolytic molecules (Granzyme B) using Luminex magnetic bead technology (MagPix). Data represented as mean  $\pm$  S.D (n = 3) pg/mL. (C) Activation marker analysis: The cells were stained with CD8 and CD107a antibody at the end of the assay culture period to analyze the CTLs for the expression of degranulation marker, CD107a, by flow cytometry. Data represented as mean  $\pm$  SD (n = 3) percent double positive (both CD8<sup>+</sup> and CD107a<sup>+</sup> staining) cells.

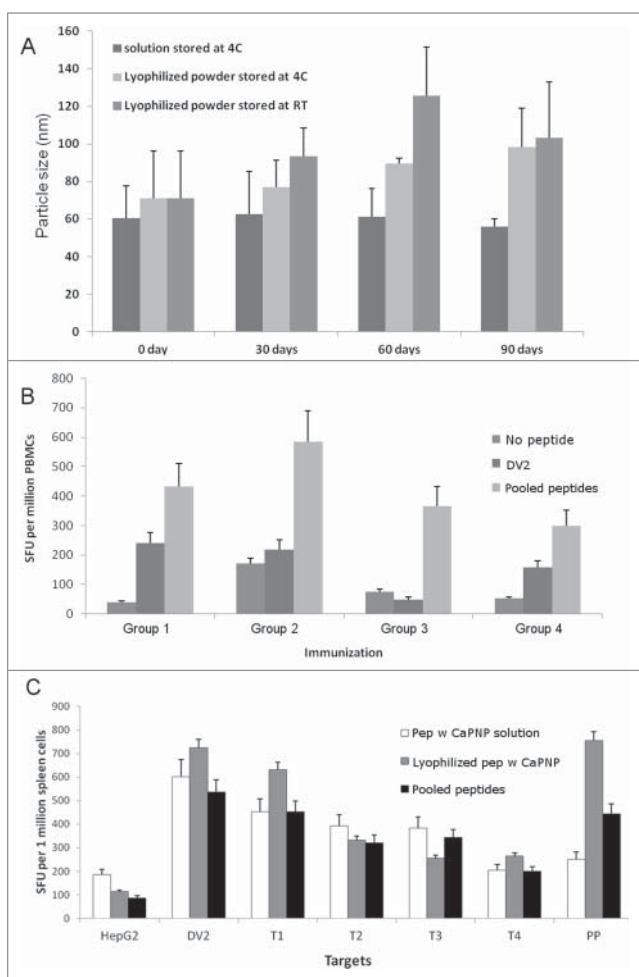
MagPIX (Fig. 4B), and upregulation of CD107a determined by flow cytometry (Fig. 4C). All CaPNP/multi-peptide formulations, with or without GlcNAc, elicited strong T cell responses against DV2 infected targets. A slightly increased response in the T cell group stimulated without GlcNAc was observed but that was not statistically significant (Fig. 4A). However, we did observe a higher *in vivo* response with the CaPNP/multi-peptide formulation with GlcNAc (Fig. 3). Furthermore, the granzyme B (Fig. 4B) and CD107a marker expression (Fig. 4C) data showed a slight increase in the group which was treated with

CaPNP/multi-peptide formulation containing GlcNAc, which was consistent with the previous *in vivo* findings (Fig. 3).

### Stability and efficacy of DV CaPNP/multi-peptide vaccine formulation

One of the ultimate goals of this work is to develop a T cell activating DV vaccine that is stable and does not require cold storage for use in DV endemic areas. To test if the CaPNP/multi-peptide/GlcNAc formulation can be lyophilized and stored as dry powder without requiring cold storage and without losing functional and structural integrity, we lyophilized the formulation and monitored stability at 4°C or room temperature (RT) for varying lengths of time. We periodically tested the lyophilized formulation upon re-dispersion in suspension with respect to particle size and functional activity by *in vitro* and *in vivo* studies. For particle size analysis, the CaPNP/multi-peptide/GlcNAc was stored in the following conditions: 1) maintained as a particulate suspension stored at 4°C (standard procedure), 2) lyophilized and then stored as powder at 4°C, 3) lyophilized and stored as powder at RT. The data from particle size analysis at various time points (0, 30, 60, and 90 d post-formulation) is shown in Fig. 5A. The data clearly indicates that formulations were physically stable at all 3 conditions for at least 90 d ( $P > 0.05$ ,  $n = 3$ ). There was no significant difference in particle size between 4°C or RT storage for the lyophilized formulations ( $P > 0.05$ ,  $n = 3$ ). Although there was an increasing trend in particle size for lyophilized formulations stored at RT ( $93.4 \pm 26.0$  nm at day 30,  $125.65 \pm 45.04$  nm at day 60,  $103.1 \pm 51.9$  nm at day 90, mean  $\pm$  SD,  $n = 3$ ), the data did not show any statistical difference ( $P > 0.05$ ,  $n = 3$ ).

The functional stability, of lyophilized CaPNP/multi-peptide/GlcNAc formulations was assessed, *in vitro* and *in vivo*, after 30 d of storage. *In vitro* studies were performed using HLA-A2+ healthy donor PBMCs and *in vivo* studies were conducted in HLA-A2+ transgenic mice as described above. Peptide specific T cells were generated *in vitro* by stimulating peripheral blood T cells with the following test formulations: 1) pooled free peptides, 2) CaPNP/multi-peptide/GlcNAc formulation maintained as a particulate suspension and then stored at 4°C (standard procedure), 3) CaPNP/multi-peptide/GlcNAc formulation lyophilized and then stored at 4°C, and 4) CaPNP/multi-peptide/GlcNAc formulation lyophilized and then stored at RT. HepG2 target cells were pulsed with no peptide, pooled peptides (Table 1), or infected with DV2, and these targets were cocultured to the stimulated T cells. *In vitro* T cell responses were analyzed using an IFN- $\gamma$  ELISpot assay. As shown in Fig. 5B, all CaPNP/multi-peptide/GlcNAc formulations (group 2, 3 and 4) induced a higher levels of IFN- $\gamma$  against pooled peptide pulsed HepG2 target cells, which indicated the functional stability of all CaPNP/multi-peptide/GlcNAc formulations during storage. Similar to data obtained from previous *in vitro* studies (Fig. 4A), when presented with DV2 infected HepG2 target cells, all CaPNP/multi-peptide/GlcNAc formulations at all storage conditions (group 2, 3 and 4) demonstrated either equal (group 2 vs. 1) or slightly lower (group 3, 4 vs. 1) T cell responses as



**Figure 5.** Stability and efficacy of CaPNP/multi-peptide formulation. (A) Formulation integrity as measured by particle size over a time of 3 months (days 0, 30, 60 and 90) under different storage conditions (4°C or RT). Data represented as mean  $\pm$  SD ( $n = 3$ ) of particle size. (B) Using PBMCs, *in vitro* CTL responses to formulations in varied storage conditions were generated. Four groups were tested: 1) pooled peptide emulsified in ISA 51; 2) CaPNP/multi-peptide formulation with GlcNAc stored at 4°C; 3) lyophilized CaPNP/multi-peptide formulation with GlcNAc stored at 4°C; or 4) lyophilized CaPNP/multi-peptide formulation with GlcNAc stored at RT. CTL responses were assessed by co-culturing stimulated PBMCs with HepG2 targets that were pulsed with no peptide, or pooled peptides, or infected with DV2. IFN- $\gamma$  expression was measured by ELISpot assay. Data represented as mean  $\pm$  S.D ( $n = 3$ ) of SFU per 1 million PBMCs. (C) Using HLA-A2+ transgenic mice, *in vivo* CTL responses to varied storage conditions were generated. Three groups were tested: 1) pooled peptide emulsified in ISA 51, 2) CaPNP/multi-peptide formulation with GlcNAc stored at 4°C, or 3) lyophilized CaPNP/multi-peptide formulation with GlcNAc stored at 4°C. Mice were immunized as described in Fig. 1A. CTL responses were assessed by co-culturing splenocytes with HepG2 targets that were either no peptide (HepG2), or pulsed with pooled peptides, or infected with DV2, or infected with Thai isolates of 4 different DV serotypes (Thai isolates of DV serotype-1 [T1], -serotype 2 [T2], -serotype 3 [T3], -serotype 4 [T4]). Data represented as mean  $\pm$  S.D ( $n = 3$ ) of SFU per 1 million splenocytes.

compared with the pooled peptide group (group 1), which was not statistically different ( $P > 0.05$ ,  $n = 3$ ). Overall, the CaPNP/multi-peptide formulations that were lyophilized and then stored at either 4°C or RT elicited peptide and DV specific T cell responses *in vitro*.

For *in vivo* evaluation of lyophilized CaPNP/multi-peptide/GlcNAc, 3 groups of HLA-A2<sup>+</sup> transgenic mice were immunized with the following test formulations: 1) pooled peptides emulsified with ISA 51, 2) CaPNP/multi-peptide/GlcNAc formulation stored at 4°C as a particulate solution, and 3) lyophilized CaPNP/multi-peptide/GlcNAc formulation stored at 4°C. The T cell responses were induced using HepG2 target cells that were either pulsed with pooled peptide (Table 1), or infected with DV2, or infected with various Thai isolates of DV serotypes (Thai isolate of DV-serotype 1 [T1], -serotype 2 [T2], -serotype 3 [T3], -serotype 4 [T4]). Results presented in Fig. 5C demonstrate that CaPNP/multi-peptide/GlcNAc formulations (group 2 and 3) yielded *in vivo* T cell responses against peptide pulsed or DV infected HepG2 target cells, which is consistent with the *in vitro* results presented in Fig. 5B. Furthermore, the lyophilized CaPNP/multi-peptide/GlcNAc formulation (group 3) induced the highest T cell activation against pooled peptide and DV2 infected HepG2 targets compared with all treatment groups. Among the HepG2 targets infected individually with the 4 Thai isolates of DV serotypes (T1-T4), IFN- $\gamma$  expression was upregulated in both pooled peptides/ISA 51 and CaPNP/multi-peptide/GlcNAc formulation groups as measured by ELI-Spot assay. This indicates the activation of CTL against all the DV serotypes.

### Toxicology and safety study of DV CaPNP/multi-peptide/GlcNAc vaccine formulation

We performed *in vivo* toxicology and safety studies in HLA-A2<sup>+</sup> transgenic mice. The following 3 groups ( $n = 10$ /group) were included in the study: 1) CaPNP alone (vehicle control), 2) CaPNP/multi-peptide/GlcNAc formulation (10  $\mu$ g each peptide/150  $\mu$ l CaPNP per mouse; treatment), 3) CaPNP/multi-peptide/GlcNAc formulation (50  $\mu$ g each peptide/150  $\mu$ l of 0.6% [2x] CaPNP per mouse; treatment). Three doses of the respective formulations were administered by combination of intradermal (i.d.) and subcutaneous (s.c.) routes on days 1, 8, and 15. Mice were observed for clinical and pathological outcomes at initiation (day 0), throughout the treatment (days 1–15) and termination (day 22). Food consumption, body weights and other treatment related clinical effects were closely monitored. On the termination day, blood samples were collected from 5 mice in each group and tested for hematology (supplement table 1) and clinical chemistry (supplement table 2). All animals survived until terminal necropsy. We only observed limited clinical signs such as welts and/or scabs at the base of the tail at the following frequencies: welts were observed in 6 out of 10 animals in group 1 (control), 3 out of 10 in group 2 (10  $\mu$ g/150  $\mu$ l, treatment); and scabs in 2 out of 10 in group 2 (10  $\mu$ g/150  $\mu$ l, treatment), 1 out of 10 in group 3 (50  $\mu$ g/150  $\mu$ l, treatment). These findings were located at the injection site and were considered due to the volume of injected fluid.

Fig. S3 shows the data derived from the observations of body weight, change in body weights, and spleen weights for the 3

groups. Results indicated no statistically significant decreases in mean body weights between the treatment groups and the vehicle control group ( $P > 0.05$ ,  $n = 10$ ). Weight gain in the treatment groups was comparable to the control group during the 21 day dosing/observation period, however it was not statistically significant ( $P > 0.05$ ,  $n = 10$ ). We also examined the external surface of the body including: all orifices, the cranial, thoracic, peritoneal cavities, and their contents after being killed. Observations of gross necropsy consisted of subcutaneous dorsal focus/foci in one mouse from group 2 (10  $\mu$ g treatment) and 2 mice from group 3 (50  $\mu$ g treatment). Additionally, a lesion was noted in one of the mice in group 1 which was considered incidental (control). Within clinical chemistry parameters (Table S2), we observed some changes which consisted of increased potassium and decreased triglycerides for group 3 (50  $\mu$ g treatment) in comparison to the group 1 (control group); however, neither of these changes were considered treatment-related. Although not statistically significant, alanine aminotransferase (ALT – IU/L serum) levels were increased in group 3 and aspartate aminotransferase (AST – IU/L serum) levels were increased in group 2 (10  $\mu$ g treatment) and group 3 (50  $\mu$ g treatment) when compared with the vehicle control group. The toxicological significance of these findings remains unknown. Overall, there was no mortality, no treatment related clinical signs of toxicity, or any other adverse effects in treatment groups when compared with the vehicle control group.

### Discussion

Conventional vaccines normally target DV structural proteins to provide protection against all 4 dengue serotypes.<sup>63</sup> All of the candidate vaccines currently under development or in clinical trials are designed to stimulate robust humoral immune responses. Yet several concerns related to dengue pathogenesis caused antibody-dependent enhancement or insufficient responses in younger age groups have raised challenges for the development of a robust, broad, and multi-functional DV vaccine. Viral clearance is largely mediated by robust CD8<sup>+</sup> T cell responses. Therefore, effective vaccines that induce a broad, multi-functional T cell response with substantial cross-reactivity between all virus serotypes should have major impacts on reducing infection rates and infection related complications. An additional common challenge to vaccine design is delivering small peptides or macromolecules to the target site to establish an appropriate immune response.<sup>64</sup> To address these challenges, we developed a synthetic calcium phosphate nanoparticle based multi-peptide T cell vaccine for DV infection which can be used as a standalone vaccine or in combination treatments of disease prevention or therapy.

Since natural infection protects against exogenous re-infection with the same serotype, a prophylactic vaccine must include both T and B cell responses as generated by the natural infection. Implementation of the approach to produce a broad and cross-protective T cell immunity involves the identification of conserved CD8<sup>+</sup> T cell epitopes that can be induced in most members of the population and development of a vaccine that can maintain the MHC class I associated epitope/peptide-specific CD8<sup>+</sup> T cells in a highly active state to control the infection. Until recently, vaccine research and development almost exclusively focused on antigens selected to trigger a specific



immune response in the body to protect against a particular disease. It is now widely accepted that adjuvants,<sup>65,66</sup> and antigen delivery systems<sup>37</sup> can contribute, substantially, to immune responses induced by a vaccine.

It is becoming increasingly clear that nanoparticles may be an ideal antigen delivery system to target cells or organs for therapeutic purposes.<sup>67</sup> A number of previous studies show that nanoparticles can be conjugated with drugs or biomolecules such as peptides or antibodies.<sup>49,50,53</sup> The average particle size of the DV CaNP/multi-peptide vaccine formulations used in this study was below 100nm, which is likely small enough to enter the lymphatic network and target antigen presenting cells to generate potent immune responses. More importantly, this vaccine formulation is biodegradable, due to the characteristics of the calcium phosphate nanoparticle, and has a good safety profile.<sup>56</sup> Intradermal (i.d.) and subcutaneous (s.c.) injection of CaNP/multi-peptide formulation in HLA-A2<sup>+</sup> transgenic mice at lower (10  $\mu$ g each peptide/150  $\mu$ L CaNP per mouse) and higher dose levels (50  $\mu$ g each peptide/150  $\mu$ L CaNP per mouse) did not result in any adverse effects, treatment-related clinical signs of toxicity, or effects on body weight (Fig. S3, Table S1 and 2). However, we did observe the insignificant increasing level of ALT in higher dose and AST in lower dose group, both of which are indicators of liver function. Albeit the toxicological significance of this is unknown, nanoparticles are known to accumulate in liver.<sup>68</sup> Based on our animal toxicology study results, it will be prudent to monitor liver function markers during human clinical studies. In addition to its safety, our CaNP/multi-peptide formulation proved to be stable and active during long-term storage at either 4°C or RT as assessed by both *in vitro* and *in vivo* studies (Fig. 5). Although it is not uncommon for nanoparticles to show poor long-term stability due to their physical and chemical instability,<sup>67</sup> the CaNP particles used in this study have been shown to be stable for many years at room temperature, either as particulate suspension, as a lyophilized powder, or as spray-dried powder.<sup>53</sup> In our study, over the course of a 3 month observation period, the physical integrity of lyophilized CaNP/multi-peptide formulation (4°C or RT) remained stable with respect to particle size (Fig. 5,  $P > 0.05$ ). Furthermore, the lyophilized vaccine formulations were functionally active and immunogenic over this time period as tested by both *in vitro* and *in vivo* studies. These results (Fig. 5) provide evidence that lyophilization is an option for long-term cold chain-independent storage for CaNP/multi-peptide vaccine. Thus, we suggest that this vaccine formulation is suitable for transportation and use in regions where dengue is endemic.

In order for a vaccine to be efficacious, the formulation must include a combination of antigens/epitopes that can induce robust and broad immune responses. In designing the vaccine formulation described in this report, we were interested in specific epitopes that are capable of activating CD8<sup>+</sup> T cell responses. More importantly, we were interested in epitopes that are physiologically relevant- in other words, naturally processed and presented by infected cells during an infection. To identify these physiologically relevant epitopes, we used an immunoproteomics approach that identifies MHC class I restricted epitopes presented by DV infected cells.<sup>28,29</sup> Although this approach has significant upside, peptides for each HLA supertype need to be identified and developed to be

included in the vaccine. The most feasible approach to deal with this limitation is to identify peptides that associate with major HLA supertypes covering the majority of the population, specifically in endemic areas. The epitopes described in our study would cover both HLA-A2<sup>+</sup> (> 50% of the world population) and HLA-A24<sup>+</sup> (> 50% of the dengue endemic population) supertypes providing potential broad population coverage for vaccine development. Estimates indicate that 5–6 HLA supertypes cover >90% of the world population<sup>59</sup> and therefore the opportunity to develop this peptide based universal vaccine is highly feasible. Importantly, these novel MHC class I restricted epitopes were conserved within 4 DV serotypes, covering a wide range of viral proteins including capsid, NS2A, NS4B and NS5. Among these characterized peptides, some of them had HLA-A2 and A2/A24 dual binding motifs and were able to induce CTL activation *in vitro*.<sup>28,29</sup> In this study, we extensively characterized our multi-peptide vaccine formulation containing 6 HLA-A2 and A2/A24 peptides (Table 1) using both *in vitro* and *in vivo* methods.

CaNPs can be co-formulated with one or multiple antigens either adsorbed on the surface or co-precipitated with CaP during particle synthesis.<sup>49,52</sup> We formulated 6 HLA-A2 and A2/A24 peptides with pre-formed CaNPs (average particle size of < 100 nm) either individually or as combined in a multi-peptide format. Individually formulated 6 CaNP/peptide formulations were then pooled together and compared with CaNP/multi-peptide formulation. The mean particle size of all formulation remained in the 80–100 nm size range (Fig. 5A), which is smaller than previously reported CaNP-vaccine formulations and could produce a better performance in terms of immune cells uptake and immune response.<sup>49,52,53</sup> As anticipated, both formulations were capable of inducing CTL activation *in vivo* (Fig. 1). Interestingly, the CaNP/multi-peptide formulations induced higher level of IFN- $\gamma$  secretion from CD8<sup>+</sup> T cells. In our previous studies,<sup>28,29</sup> we established that these DV peptides were from conserved regions of DV serotypes and induce potent T cell responses against all 4 serotypes of DV infected cells *in vitro*, which would be critical to the development of a universally immunogenic vaccine. In the current study, we extend our findings with *in vivo* studies and demonstrated that the overall immunogenic performance of DV-peptide vaccine can be improved by formulating a pool of DV-peptides with CaNP when compared with peptides mixed with the standard adjuvant-ISA 51 (Fig. 1, 2, 3). We also showed that the DV CaNP/multi-peptide formulation is antigen sparing, meaning lower doses of CaNP/multi-peptide formulations (10  $\mu$ g each peptide) can induce higher T cell responses than that of CaNP/multi-peptide formulations containing peptides at higher dose levels (50  $\mu$ g each peptide) (Fig. 2). It has been known that subdominant T cell epitopes at the low concentration on inoculation activates high avidity T cell responses that are capable of recognizing low endogenous levels of peptide presented on the target cells (i.e. infected cells).<sup>69</sup> This suggests that CaNP is acting both as an antigen delivery system and partially as an adjuvant to facilitate the antigen uptake by APCs, triggering APC activation and inducing high avidity T cell activation.<sup>49,64</sup>

A major advantage in using an adjuvant clinically is to reduce the required dose of antigens needed to elicit a robust

innate and adaptive immune response to the vaccine.<sup>64</sup> CaPNP should be considered a preferred adjuvanted delivery system due to its smaller in size (nanometer range),<sup>70,71</sup> its high loading capacity for actives,<sup>72</sup> and because it is a natural component of body, it is biodegradable/biocompatible,<sup>73</sup> and has the ability to induce an effective vaccine immune response.<sup>49,71</sup> Our CaPNP/multi-peptide vaccine design includes naturally presented DV-specific peptides with confirmed biologic functions formulated as nanoparticles and is a novel vaccine strategy. While it is biologically relevant, our vaccine formulation is also superior to alternatives in terms of ease of manufacturing. Compared to the more widely studied gold nanoparticles, which are constructed by reducing a gold salt<sup>74</sup> in the presence of thiol functionalized synthetic neoglyco-conjugates, the manufacturing of CaPNPs involves a simple chemistry using a combination of calcium and phosphate salts and does not require the addition of thiol or any linkers. Manufacturing of CaPNP/multi-peptide formulation is also straightforward and involves simple physical mixing methods.<sup>51-54</sup> Our results demonstrated that the CaPNP/multi-peptide formulations are stable and can either be stored as suspension at 4°C or lyophilized as powder to store at room temperature (Fig. 5) to be reconstituted before use. We showed that all formulations of CaPNP/multi-peptide remain biologically active for at least 3 months. Furthermore, we included a bacterial carbohydrate, GlcNAc, in effort to enhance innate immunity generated by the CaPNP formulations and to increase peptide attachment to particles. Previously, GlcNAc has been used in pharmaceutical formulations as delivery tool.<sup>51,60,75,76</sup> GlcNAc incorporated in CaPNP/multi-peptide formulation is expected to act as a danger signal for the immune system, which often plays a role in initiation of the immune recognition but not necessarily the immune response.<sup>77</sup> Activation of innate immune response is considered very important so that it can prime the immune system that involves APCs activation.<sup>75</sup> In our study, we observed higher *in vivo* CTL activation in the presence of GlcNAc which may be due to the danger signal being more prevalent *in vivo* with antigen spreading and activation of polyclonal T cell response.<sup>41,78</sup>

Lastly, data from our current study also demonstrated that the CaPNP/multi-peptide formulation used to immunize mice could generate not only a peptide specific response (through observation of activation upon recognition of peptide pulsed targets), but also a DV virus specific response (through observation of activation upon recognition of targets infected with either DV2 or Thai isolates of DV serotypes [T1, T2, T3, and T4]) as opposed to the free, pooled peptides emulsified in ISA 51 immunize mice. These were indicated, respectively, by the activation upon recognition of peptide pulsed targets and by the activation upon recognition of targets infected either with DV2 or Thai isolates of DV serotypes T1-T4. Our data also clearly indicate that naturally MHC presented subdominant peptides, when delivered in a targeted antigen delivery system, could generate high avidity CTLs that recognize the endogenously processed and presented antigens on the infected cells at very low concentrations, as was also shown elsewhere.<sup>69</sup> These are more functionally and clinically relevant than the dominant T cell epitopes at higher concentrations, which activates low avidity T cells that fail to recognize endogenously presented epitopes on targets.<sup>69</sup> Our *in vivo* findings support this

observation where we showed that CaPNP/multi-peptide formulation at lower peptide concentrations induced higher CTL responses in mice against DV2 infected targets, indicating activation of high avidity CTLs (Fig. 2).

In summary, we described the development of a synthetic universal DV vaccine based on shared multiple T cell epitopes incorporated in CaPNPs. Although the DV CaPNP/multi-peptide formulation focused on 2 of the major HLA supertypes (HLA-A2 and -A24), if successful, this approach could quickly be extended to other major HLA supertypes specific peptides that can be identified and incorporated in subsequent vaccine formulations to increase population coverage. Most importantly, the success of this vaccine will lead to a novel universal vaccine technology platform that could transform the clinical success of prophylactic vaccine strategies for heterologous viruses and that could be applied to therapeutic vaccines for chronic viral infections. From the manufacturing and cost effectiveness perspective, a synthetic universal vaccine strategy would have a significant positive impact.

## Materials and methods

### Virus

DV serotype 2 (DV2) (strain 16681) was generously provided by Dr. Alex Birk (Cornell University, New York), and Thai isolates of all 4 DV serotypes were a gift from Dr. Guey Chuen (Emory University, Atlanta), were propagated in Vero cells and collected at 4 d post infection. Titer was determined using a plaque assay in Vero cells. All cell infections were performed at an MOI of 5 for one hour at 37°C and 5% CO<sub>2</sub> in complete media containing 1% FBS. Then virus was removed and the cells were washed extensively and cultured with fresh complete media (Dulbecco's Modified Eagle Medium including 10% FBS) for an additional 72 hours before downstream assays.

### Cell lines

The HLA-A2<sup>+</sup> liver hepatoblastoma cell line HepG2 was obtained from American Type Culture Collection (ATCC). HepG2 cells were cultured in Dulbecco's Modified Eagle Medium (DMEM) medium supplemented with 10% fetal bovine serum, L-glutamine (300 mg/mL), nonessential amino acids (1x concentration), 0.5 mM sodium pyruvate, and antibiotic/antimycotic (1x concentration, CellGro, Corning) (complete medium). All cell lines were maintained at 37°C in a humidified incubator with 5% CO<sub>2</sub>.

### Generation of primary human dendritic cells

Dendritic cells (DCs) were generated from leukopheresis obtained from HLA-A2<sup>+</sup> healthy donors (Biological Specialty Corp, Colmar, PA). In brief, PBMCs were isolated using lymphocyte separation medium (Mediatech, Flemington, NJ) using differential centrifugation according to standard methods. Adherent cells from overnight cultures of the PBMCs were treated with 100 ng/mL interleukin 4 (IL-4) and 25 ng/mL granulocyte macrophage colony-stimulating factor (GM-CSF) (Peprotech, Rocky Hill, NJ) for 6 d before their infection with DV2.<sup>79</sup> Each experiment was performed at least

3 times with different donors. Fresh buffy coats from healthy HLA-A2<sup>+</sup> positive donors were obtained and processed for each experiment following standard protocols.

### Mice

Six to 8-week old female and male HLA-A2<sup>+</sup> transgenic mice were purchased from Taconic (Strain HLA-A2.1, CB6F1-Tg(HLA-A\*0201/H2-Kb)A\*0201) and housed at Lampire Biologicals (Pipersville, PA). All animal experiments were conducted in adherence to the Guide for Care and use of Laboratory Animals of the NIH. Experimental protocols were approved by the Institutional Animal Care and Use Committee of Lampire Biologicals.

### Calcium phosphate nanoparticle (CaPNP) formulation and vaccine generation

The CaPNPs were synthetically manufactured by CaPivate Pharmaceuticals. Briefly, under aseptic conditions, inorganic salt solutions of calcium and phosphates were mixed at predetermined ratios under constant mixing according to SOPs developed by CaPivate. The process yields a stable nano-suspension of calcium phosphate with average particle sizes of 80 nm or smaller as determined by Photon Correlation Spectroscopy (PCS) using a Coulter N4 Plus Submicron Particle Sizer. The storage stability of the particles was monitored at room temperature for up to 6 months. There was no significant change in particle size observed during that period.

Peptides were synthesized by China Peptide Ltd. (Shanghai, China) and dissolved in DMSO with concentration of 10 mg/ml. Peptides were added to particle suspension with N-acetylglucosamine (GlcNAc) (0.093 mol/L, 1 × or 0.279 mol/L, 3 ×) (EMDMillipore, Darmstadt, Germany) and ultra-pure water, then mixed on a rocker for 4 hours at room temperature. The CaPNP/multi-peptide formulation was assessed for particle size and stored at 4°C.

### In vitro analysis of DV CaPNP/multi-peptide formulation

#### Generation of epitope specific CTLs in vitro

Free peptides and CaPNP/multi-peptide formulations were used to generate peptide specific CD8<sup>+</sup> T cells (CTLs) as described previously.<sup>28,29</sup> Heparinized blood from healthy HLA-A2<sup>+</sup> donors was purchased from Biological Specialty Corp. Briefly, PBMCs were purified using lymphocyte separation medium (Corning, Corning, NY) using differential centrifugation following standard methods. PBMCs were cultured in complete RPMI 1640 in 6-well tissue culture plates (BD) overnight. Non-adherent cells were removed and saved. Plastic adherent cells were pulsed with various antigen conditions as specified in individual experiment in complete medium. After 2 hours of incubation, non-adherent cells were added to the plates along with cytokine-rich (5 μg/mL KLH; Sigma-Aldrich, 5ng/mL IL-7, 25ng/mL GM-CSF, and 50ng/mL IL-4) complete RPMI-1640 media (total volume 5 mL). Plates were incubated at 37°C with 5% CO<sub>2</sub>. T cells were re-stimulated 12 d after initial stimulation with autologous PBMCs depleted of CD4<sup>+</sup> and CD8<sup>+</sup> T cells by magnetic negative depletion (Dynal beads, Invitrogen, Grand Island, NY) and pulsed with the same starting condition

groups and human β2-microglobulin (1.5 μg/mL). Restimulated cells were cultured in complete RPMI-1640 medium supplemented with IL-15 (5ng/mL), GM-CSF (12.5ng/mL) and IL-4 (50ng/mL) for 5 d. Media was exchanged by cautiously removing 2mL media from each well and resupplying complete media supplemented with IL-15 and IL-2 (both 100 μg/mL). The cultures were maintained at 37°C with 5% CO<sub>2</sub> for 2 d until the next restimulation or functional assay. Restimulations with accompanying media exchanges were performed a total of 3 times each before CTL functional assays. T cell stimulations and restimulations were completed at various concentrations (50, 25, 10, and 10 μg respectively). Unless otherwise noted, all cytokines and growth factors were purchased from eBiosciences (San Diego, CA).

#### ELISPOT assay

96 well PVDF-membrane plates (Millipore) were coated with IFN-γ capture antibody overnight at 4°C. On the day of the assay, the plates were blocked for 2 hours in RPMI-1640 complete medium and washed before use in the ELISpot assay. The activated PBMCs generated after stimulations were cultured overnight with appropriate antigen presenting target cells (HepG2 cells) that were specified in individual experiment. Throughout the experiment, PBMCs were left unstimulated and were used in the ELISpot assay (as negative control to assess the basal IFN-γ levels). These unstimulated cells were co-cultured along with the aforementioned HepG2 target cells in the ELISpot. After the overnight co-culture of un-stimulated and activated PBMCs and targets with an effector to target ratio of 10:1, the assay was developed according to the manufactures instructions (BD Biosciences, San Jose, CA). Developed spots were quantified using the ELISpot Reader System (AID, San Diego, CA). Data was normalized to be representative of spot forming units (SFU) per varying numbers of effector cells (example, SFU per 2.5 × 10<sup>5</sup> or 1 million PBMCs), and the data are also represented as the average of 3 replicates ± the standard deviation.

#### MagPIX cytokine detection

Activated PBMCs cytokine secretion was measured using a Milliplex magnetic bead assay customized to detect Granzyme-B for T cell characterization (Millipore) as described before.<sup>29</sup> Briefly, plates were set up with activated PBMCs concurrently with ELISpot assays and the supernatants were harvested from stimulated PBMCs after an overnight co-culture with HepG2 target cells either pulsed with individual peptides or infected with DV2. In all experiments, the unstimulated cells were the negative controls (basal PBMC cytokine expression levels). Supernatants were cleared of cellular debris by centrifugation and 25 μl of samples, standards, and controls were added to a 96 well plate with assay buffer (1:1 dilution). Magnetic beads coated with antibodies against the specified analyte was added to each well and the plate was incubated overnight on a plate shaker at 4°C. The next morning, the plate was washed twice with wash buffer and biotinylated detection antibodies were added to each well for additional one hour incubation. Streptavidin-PE was added for an additional 30 minutes, the plate was washed twice with wash buffer, loaded with drive fluid, and



read on the MagPIX system. Data was analyzed using the Milliplex Analyst software package (Luminex, Austin, TX).

### Flow cytometry analysis

Epitope specific CD8<sup>+</sup> T cells generated *in vitro* or *in vivo* were assessed for cytotoxic capabilities via CD8<sup>+</sup> and degranulation marker, CD107a<sup>+</sup> staining as described before.<sup>29</sup> Briefly, the activated cells were washed and stained with anti-CD8 and anti-CD107a (BD Biosciences, Franklin Lakes, NJ) antibodies. Cells were washed extensively and resuspended in PBS/0.1%BSA for analysis. In general, the assay for CD107a marker expression is performed with activated T cells either during the course of activation or at the end of the activation period.<sup>80</sup> In this study, we stained with CD107a antibody at the end of the activation of T cells, which may have not measured the full extent of degranulation during the culture.<sup>81</sup> The protocol followed in this study provides, at best, the final expression and not the transient expression during the culture period, which may underestimate the total expression levels. All flow cytometry was performed using Guava 8HT EasyCYTE system (Millipore, Billerica, MA) and data analyzed using the InSight software (Millipore).

### In vivo analysis of DV multi-peptide CaPNP

HLA-A2<sup>+</sup> transgenic mice were used for *in vivo* studies. The mice were injected with specified vaccine formulations at 3 sites: 2 intradermal (i.d.) injections and one subcutaneous (s.c.) injection. Injections were repeated twice at 10-day intervals (days 10 and 20). One week after the third injection (day 27), mice were killed and spleens were harvested for functional analysis. Briefly, splenocytes were harvested, homogenized, and then RBCs lysed. Next, cells were pulsed, consistent with each assay, and used in ELISpot assay at an E:T ratio of 10:1 with uninfected or DV infected HepG2 target cells and peptide-loaded HepG2 targets.

### Statistical methods

Descriptive statistics (mean and standard deviation) were calculated and data was analyzed for statistical significance. For all analyses, if the data set was normally distributed and of equal variance, statistical comparisons were conducted using a one-way analysis of variance (ANOVA) with post hoc comparisons made (if necessary) using Dunnett's test (GraphPad Prism, GraphPad, CA). A minimum significance level of  $p < 0.05$  was used for the statistical comparisons in this study.

### Abbreviations

HLA	human leukocyte antigen
MHC	major histocompatibility complex
CTL	CD8 <sup>+</sup> , cytotoxic T lymphocyte
DV	dengue virus
ADE	antibody dependent enhancement
CaPNP	calcium phosphate nanoparticle
VLP	virus like particle
PBL	peripheral blood
PBMC	peripheral blood mononuclear cell
GlcNAc	N-acetylglucosamine
ISA 51	Montanide ISA 51
MUG	fluorescent- $\beta$ -Galactosidase

i.d.	intradermal
s.c.	subcutaneous
DC	dendritic cell

### Disclosure of potential conflicts of interest

The authors report no conflict of interest.

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