

Neuromedin B Expression Defines the Mouse Retrotrapezoid Nucleus

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The retrotrapezoid nucleus (RTN) consists, by definition, of Phox2b-expressing, glutamatergic, non-catecholaminergic, noncholinergic neurons located in the parafacial region of the medulla oblongata. An unknown proportion of RTN neurons are central respiratory chemoreceptors and there is mounting evidence for biochemical diversity among these cells. Here, we used multiplexed *in situ* hybridization and single-cell RNA-Seq in male and female mice to provide a more comprehensive view of the phenotypic diversity of RTN neurons. We now demonstrate that the RTN of mice can be identified with a single and specific marker, *Neuromedin B* mRNA (*Nmb*). Most (~75%) RTN neurons express low-to-moderate levels of *Nmb* and display chemoreceptor properties. Namely they are activated by hypercapnia, but not by hypoxia, and express proton sensors, TASK-2 and *Gpr4*. These *Nmb*-low RTN neurons also express varying levels of transcripts for *Gal*, *Penk*, and *Adcyap1*, and receptors for substance P, orexin, serotonin, and ATP. A subset of RTN neurons (~20–25%), typically larger than average, express very high levels of *Nmb* mRNA. These *Nmb*-high RTN neurons do not express *Fos* after hypercapnia and have low-to-undetectable levels of *Kcnk5* or *Gpr4* transcripts; they also express *Adcyap1*, but are essentially devoid of *Penk* and *Gal* transcripts. In male rats, *Nmb* is also a marker of the RTN but, unlike in mice, this gene is expressed by other types of nearby neurons located within the ventromedial medulla. In sum, *Nmb* is a selective marker of the RTN in rodents; *Nmb*-low neurons, the vast majority, are central respiratory chemoreceptors, whereas *Nmb*-high neurons likely have other functions.

Key words: central chemoreceptors; *Gpr4*; hypercapnia; medulla oblongata; Neuromedin B; TASK-2

Significance Statement

Central respiratory chemoreceptors regulate arterial PCO₂ by adjusting lung ventilation. Such cells have recently been identified within the retrotrapezoid nucleus (RTN), a brainstem nucleus defined by genetic lineage and a cumbersome combination of markers. Using single-cell RNA-Seq and multiplexed *in situ* hybridization, we show here that a single marker, *Neuromedin B* mRNA (*Nmb*), identifies RTN neurons in rodents. We also suggest that >75% of these *Nmb* neurons are chemoreceptors because they are strongly activated by hypercapnia and express high levels of proton sensors (*Kcnk5* and *Gpr4*). The other RTN neurons express very high levels of *Nmb*, but low levels of *Kcnk5/Gpr4/pre-pro-galanin/pre-pro-enkephalin*, and do not respond to hypercapnia. Their function is unknown.

Introduction

The term retrotrapezoid nucleus (RTN) was coined in 1989 to describe a region of the reticular formation that lies beneath the

facial motor nucleus and provides input to the ventrolateral and dorsomedial medulla oblongata (Smith et al., 1989; Connelly et al., 1990; Ellenberger and Feldman, 1990). This brain area, also called the parafacial region (Onimaru and Homma, 2003; Huckstepp et al., 2015), has long been suspected to contain central respiratory chemoreceptors (Loeschcke, 1982; Liu et al., 2002; Feldman et al., 2003). Since 2004, a substantial set of evidence has accrued to indicate that the parafacial region harbors the hub of the central respiratory chemoreflex, and may be the principal CNS site where CO₂ is sensed for the purpose of breathing regu-

Received July 19, 2017; revised Oct. 9, 2017; accepted Oct. 17, 2017.

Author contributions: Y.S., R.L.S., P.G.G., and D.A.B. designed research; Y.S., R.L.S., D.S.S., S.O.-G., E.A.F., and S.D.T. performed research; Y.S., R.L.S., S.O.-G., E.A.F., S.D.T., P.G.G., and D.A.B. analyzed data; Y.S., R.L.S., P.G.G., and D.A.B. wrote the paper.

This work was supported by HL074011 (P.G.G.), and HL108609 and Pilot Grant Award from the CCHS Family Network (D.A.B.). We thank Drs. Mike McConnell and Ian Burbulis for early advice and support on single-cell sequencing approaches.

The authors declare no competing financial interests.

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DOI:10.1523/JNEUROSCI.2055-17.2017

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lation (for reviews, see Guyenet and Bayliss, 2015; Guyenet et al., 2016). Identifying the phenotype of these chemoreceptors has been an iterative process, guided by the gradual and often fortuitous discovery of biochemical markers expressed by the parafacial neurons that are activated by acidification in slices or by hypercapnia *in vivo* (Mulkey et al., 2004; Stornetta et al., 2006). It is now clear that these parafacial acid-sensitive neurons express Phox2b, vesicular glutamate transporter-2 (VGLut2), and NK1 receptors from late embryological stages to adulthood; by contrast, they lack tyrosine hydroxylase, choline acetyltransferase, glutamic acid decarboxylases, glycine transporter-2, and tryptophan hydroxylase (Mulkey et al., 2004; Dubreuil et al., 2008; Lazarenko et al., 2009; Thoby-Brisson et al., 2009; Wang et al., 2013b; Onimaru et al., 2014; Kumar et al., 2015; Guyenet et al., 2016). This combination of markers defines a population of 600–800 parafacial neurons in mice (~2000 in rats) that also have a common developmental lineage (*Egr-2*, *Phox2b*, *Atoh-1*), and which are now referred to specifically as the RTN (Ramanantsoa et al., 2011; Ruffault et al., 2015; Guyenet et al., 2016). RTN neurons innervate the ventrolateral and dorsomedial medulla oblongata, as per the original definition of the term (Smith et al., 1989; Connelly et al., 1990; Ellenberger and Feldman, 1990). They drive breathing in proportion to arterial pH as expected from central respiratory chemoreceptors (Basting et al., 2015). It is now well established that the parafacial CO₂-responsive neurons have the combined neurochemical phenotype expected of RTN neurons; conversely, whether every RTN neuron is a respiratory chemoreceptor remains an open question.

Recent evidence suggests that two proton-sensitive membrane proteins—a H⁺-inhibited background K⁺ channel, TASK-2 (encoded by *Kcnk5*), and a H⁺-activated G-protein-coupled receptor, *Gpr4*—underlie the intrinsic pH-sensitivity of RTN neurons *in vitro* and contribute to their central respiratory chemoreceptor properties *in vivo* (Reyes et al., 1998; Ludwig et al., 2003; Gestreau et al., 2010; Wang et al., 2013b; Kumar et al., 2015). Thus, these proteins could be selective markers of the RTN neurons that have chemoreceptor properties.

RTN neurons are biochemically heterogeneous. Subsets of RTN neurons, including the putative chemoreceptors, express the neuropeptide galanin (Stornetta et al., 2009). The parafacial region also contains *Neuromedin B* (*Nmb*)-expressing neurons (Lein et al., 2007) that may control sighing (Li et al., 2016). Given their location, these *Nmb* neurons could be a subtype of RTN neurons.

Here, we used multiplexed *in situ* hybridization and single-cell RNA-Seq to provide a more comprehensive view of the phenotypic diversity of RTN neurons. Our primary objectives were to address the following questions. Are the recently identified parafacial *Nmb* neurons a subset of the neuronal population that we previously defined as RTN? Is there a unique marker of RTN neurons that could simplify their identification? Does the entire population of RTN neurons qualify as central respiratory chemoreceptors? If not, does the presence of the putative proton receptors *Gpr4* and or TASK-2 predict their CO₂ sensitivity?

Materials and Methods

Animals

JX99 (*Phox2b::eGFP* BAC transgenic) mice were originally obtained from the Gene Expression Nervous System Atlas Project at Rockefeller University and have been maintained on a mixed genetic background (Lazarenko et al., 2009). C57BL/6J mice were obtained from Jackson Laboratories (RRID:IMSR_JAX:000664). Three male Sprague-Dawley rats were obtained from Taconic (RRID:RGD_1566440). Under the su-

Table 1. Probes used for *in situ* hybridization

Probe	ACD Catalog #	No. of pairs	Targeted region (sequence accession no.)
Neuromedin-B (mouse)	459931(C1); 459931-C3	14	bp 14–685 (NM_001291280.1)
Neuromedin-B (rat)	494791(C1)	13	bp 2–651 (NM_001109149.1)
Tyrosine Hydroxylase	317621(C1); 317621-C3	20	bp 483–1603 (NM_009377.1)
Galanin	400961-C3	12	bp 43–652 (NM_010253.3)
Pre-pro-Enkephalin	318761-C2	20	bp 106–1332 (NM_001002927.2)
TASK-2 (<i>Kcnk5</i>)	527951-C3	20	bp 659–1827 (NM_021542.4)
<i>Gpr4</i>	427941(C1)	20	bp 866–1900 (NM_175668.4)
VGLut2 (<i>Slc17a6</i>)	319171 (C1); 319171-C3	20	bp 1986–2998 (NM_080853.3)
GAD1	400951 (C1)	15	bp 62–3113 (NM_008077.4)
PACAP (<i>Adcyap1</i>)	405911-C2	20	bp 702–1839 (NM_009625.2)
Fos (FBJ osteosarcoma oncogene)	316921-C3	20	bp 407–1427 (NM_010234.2)
<i>Phox2b</i>	407861-C2	20	bp 1642–2764 (NM_008888.3)
Phenylethanolamine-N-methyltransferase (PNMT)	426421(C1)	17	bp 2–849 (NM_008890.1)

pervision of the University of Virginia veterinary staff, all animals were kept in the Pinn Hall vivarium and allowed *ad libitum* access to food and water with a 12 h day/night light cycle. All protocols were approved by the University of Virginia Animal Care and Use Committee and complied with NIH animal guidelines.

Immunocytochemistry

Antibodies. *Phox2b::eGFP* JX99 mice express eGFP in all *Phox2b* neurons (Lazarenko et al., 2009). To enhance sensitivity, eGFP was visualized with a chicken antibody to GFP from Aves Labs (catalog #GFP-1020; concentration 1:1000; RRID:AB_10000240) followed by a secondary anti-chicken antibody tagged with Alexa488 (Jackson ImmunoResearch; RRID:SCR_010488).

In situ hybridization. Mice ($N = 37$; 20 females, 17 males) were anesthetized with pentobarbital and perfused transcardially with 4% paraformaldehyde. Brains were removed and postfixed in the same fixative for 16–18 h at 4°C. Brains were sectioned and placed in cryoprotectant (30% ethylene glycol, 20% glycerol, 50 mM sodium phosphate buffer, pH 7.4) at –20°C until further processing. Sections were briefly washed in sterile PBS, mounted on charged slides, and dried overnight. All sections for an experimental “run” were mounted and reacted on the same slide and thus experienced the same experimental conditions and solutions. After two rinses in sterile water, sections were incubated with “pretreat 4” from the RNAscope Multiplex Fluorescent Assay kit [Advanced Cell Diagnostics (ACD); RRID:SCR_012481] for 30 min at 40°C. Sections were rinsed twice in sterile water and incubated in RNAscope catalog oligonucleotide probes for *Nmb*, *tyrosine hydroxylase* (*Th*), *pre-pro-Galanin* (*Gal*), *Pre-pro-enkephalin* (*Penk*), *Kcnk5*, *Gpr4*, *VGLut2* (*Slc17a6*), *Fos*, *glutamate decarboxylase 1* (*Gad1*), *Pituitary adenylate cyclase-activating polypeptide* (*PACAP*; *Adcyap1*), or *phenylethanolamine-N-methyltransferase* (*Pnmt*) transcripts as listed in Table 1 for 2 h at 40°C. After incubation with probes, tissue was treated according to the manufacturer’s protocol (ACD). When eGFP enhancement was required, sections were first subjected to the *in situ* hybridization (ISH) protocol and then rinsed 2 × 2 min in blocking buffer (10% horse serum, 0.1% Triton in 100 mM Tris buffer), incubated for 1 h in chicken GFP antibody (1:1000) in blocking buffer. Sections were rinsed 2 × 2 min in Tris buffer, incubated for 30 min in anti-chicken IgG tagged with AlexaFluor 488 (1:500) in Tris buffer, then rinsed and allowed to air dry. Slides were covered with Prolong Gold with DAPI Anti-fade mounting medium (Invitrogen).

Effect of hypercapnia or hypoxia on *Fos* expression by RTN neurons

Mice were placed in chambers designed for whole-body plethysmography (Data Sciences International; Kumar et al., 2015). Mass flow regulators provided quiet, constant, and smooth flow through the chamber (0.5 L/min) and delivered 21% O₂ (balance N₂) during the habituation period (1 h). Mice were then exposed for 35 min to either normoxia (21% O₂; *n* = 11), hypercapnia (15% FiCO₂/21% FiO₂/balance N₂; *n* = 7), or hypoxia (8% O₂/balance N₂; *n* = 5). Preliminary experiments showed that 8% O₂ induced a large (~4-fold) increase in sigh frequency, whereas less severe hypoxia (10% FiO₂) had very little effect (<50% increase). Hypercapnic exposure was under normoxic conditions because preliminary experiments revealed that hyperoxia (30 min; 65% FiO₂, no CO₂ added; 2 mice), unlike normoxia, caused *Fos* expression in 10–15% of RTN neurons. In humans, sustained hyperoxia produces a mild hyperventilation and arterial hypocapnia consistent with the possibility that central respiratory chemoreceptors might be activated (Becker et al., 1996). Our evidence suggests that this might be the case in mice as well. After exposure to 35 min of hypercapnia, hypoxia, or normoxia, mice were immediately anesthetized and perfused transcardially with fixative as described above. All experiments, including the histology, were run in pairs (a normoxia control and a mouse exposed to hypercapnia or hypoxia). All plethysmography experiments were conducted between 12:00 and 5:00 P.M.

Neuron mapping and counting

A one-in-three or one-in-six series of 30 μm transverse sections through the brain was examined for each experiment under bright-field and epifluorescence using a Zeiss AxioImager Z.1 or a Zeiss AxioImager M2 microscope (Carl Zeiss Microimaging). Neurons were plotted with the NeuroLucida software (Micro Brightfield; RRID:SCR_001775) using a Ludl motor driven microscope stage and a Zeiss MRC or Hamamatsu C11440 Orca-Flash 4.0LT digital camera, after methods previously described (Stornetta et al., 2004). Filter settings for AlexaFluor 488, Atto 550, and Atto 647 fluorophores were as follows: AlexaFluor 488, excitation of 500 nm, emission of 535 nm; Atto 550, excitation of 545, emission of 605 nm; Atto 647, excitation of 640 nm, emission of 690 nm. Only cell profiles that included a nucleus were counted and/or mapped. Sections were matched as closely as possible to brain levels with reference to bregma using the atlas of Paxinos and Franklin (2013). Cells were counted and mapped bilaterally. Most mapping was limited to the ventral half of the brainstem which contains the distinctive and isolated parafacial cluster of *Nmb*+ neurons.

Photographs were taken with a Hamamatsu C11440 Orca-Flash 4.0LT digital camera (resolution 2048 × 2048 pixels) and the resulting TIFF files were first exported into Fiji (RRID:SCR_002285) and unsharp mask filter and/or brightness/contrast adjusted for clarity and to reflect true rendering as much as possible. Images were not otherwise altered. TIFF images were imported into Canvas v10 (ACD; RRID:SCR_014312) for labeling and final presentation. The neuroanatomical nomenclature is after Paxinos and Franklin (2013).

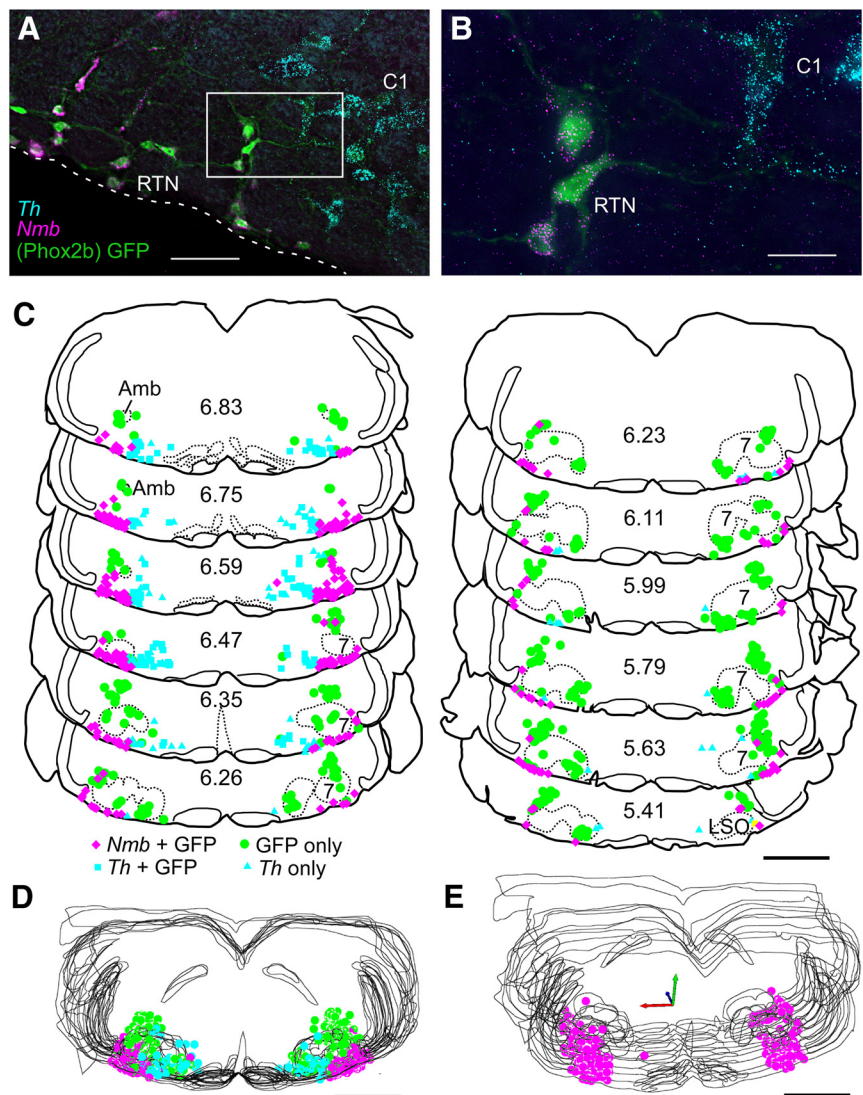


Figure 1. *Nmb*-positive parafacial neurons express *Phox2b* but are not catecholaminergic. **A**, Photomicrograph of a coronal section from a *Phox2b::eGFP* mouse with eGFP-labeled RTN neurons (green; *Phox2b*) containing *Nmb* transcripts (magenta); these neurons do not contain transcripts for *Th*, unlike the nearby C1 adrenergic cells (*Th*; blue). Medial to the right, dorsal toward the top. White dashed line represents the ventral medullary surface. **B**, Enlargement of white boxed area in **A**. **C**, Computer stage-assisted drawings of coronal sections showing the distribution of *Phox2b*-only (green filled circles), *Th*-only (blue triangles), *Th* + *Phox2b* (blue squares), and *Nmb* + *Phox2b* neurons (magenta diamonds) in ventral half of the brainstem through the rostral medulla and pons. Approximate millimeters behind bregma (after Paxinos and Franklin, 2013) indicated by numbers in lower center of each section. Abbreviations: 7, Facial motor nucleus; Amb, ambiguus nucleus; LSO, lateral superior olive. **D**, Sections from **C** collapsed in a stack to give a general representation of the location of *Nmb* neurons as ventrolateral to *Th* as well as *Phox2b*-only neurons in the RTN region. *Nmb* in magenta, *Th* in blue, *Phox2b*-only in green. **E**, 3-D representation of *Nmb* neuron distribution (magenta dots) through the RTN in medulla/pons. Note the bulk of the *Nmb* neurons are ventral and lateral to the facial motor nucleus. Scale bars: **A**, 60 μm; **B**, 20 μm; **C–E**, 1 mm.

Single-cell transcriptome of RTN neurons

For single-cell collection, we adapted previously described procedures to harvest eGFP-labeled neurons from brainstem slices obtained from JX99 mice (Kumar et al., 2015; Shi et al., 2016), specifically targeting cells in the region ventrolateral to the facial nucleus to bias our sampling toward obtaining RTN neurons and avoiding other *Phox2b*-expressing cell types (e.g., C1 neurons, facial motor neurons). Briefly, mice (*n* = 22 with both sexes represented, P11–P120) were anesthetized with ketamine and xylazine (375 mg/kg and 25 mg/kg, i.m.) and rapidly decapitated; brainstems were immediately removed and slices (300 μm) cut with microslicer (DTK Zero 1; Ted Pella) in an ice-cold, sucrose-substituted Ringer's solution containing the following (in mM): 260 sucrose, 3 KCl, 5 MgCl₂, 1 CaCl₂, 1.25 NaH₂PO₄, 26 NaHCO₃, 10 glucose, and 1 kynurenic acid.

Table 2. Counts of all cells containing *Nmb* transcripts in the parafacial area for 37 mice

Case	Sex	Condition	Caudal to bregma, mm													Total
			6.74	6.65	6.56	6.47	6.38	6.29	6.2	6.11	6.02	5.93	5.84	5.75	5.66	
22305	F	Control		17	36	63	48	9	11	7	14	7	10			
22503	F	Control	13	21	37	16	14	21	15	15	14	18	24	17		
22603	F	Hyperoxic CO ₂	6		32	38	42		17			17				
22609	F	CO ₂			61	33	32	22		8	3	14				
23205	F	CO ₂	13	34	65	36	19	23	11	9	28	32		23		
26100	F	CO ₂	5	22	28	58	12		16	18	18	23	16	12		
26101	F	Control			44	74	45	18	11	9	7	14	9	3		
C5722203	F	Control	14	35	64	69	31	12	11	23	12	16		23		11
C5723204	F	Control		36	45	45	31	31	19	22	11	14	15			6
C5724104	F	Control	8	21	49		34	20	16	7	9	18	11			
JX99-3407	F	Control		20		75		18		14		26		5		
JX99-3408	F	Control			48		13		9		8		9			0
JX99-3409	F	CO ₂			59		41		18		12		17			4
JX99-3502	F	CO ₂		12		32		37		11		6		2		
jx992301	F	Control	13	33	13	37	12	16	14	9		37		11		7
jx992303	F	Control	4	15	54	75	65	21	19	17	10			6		
jx992305	F	Control	10	32	33	30	13	17	15	11	8	9				
jx993303	F	Control		35	48		19	15	7	6	4	17	12	24		
jx993305	F	Control		20	97	40	23	16	6	11	11	18	22	19		2
jx993306	F	Control		20	24	38	16	8	17	18	20	10	8			2
AVG	F	All	9.6	24.9	46.5	47.4	28.3	19.0	13.6	12.6	11.8	17.4	13.9	13.2	4.6	262.9
SEM	F	All	1.3	2.1	4.9	4.7	3.6	1.8	1.0	1.3	1.6	2.0	1.6	2.5	1.4	9.4
25100	M	Hypoxia	9	23	31	39	42	18	9	15	20	16	26			
25101	M	Hypoxia		28	55	61	31	14	7	11	14	12	15	21		13
25102	M	Hypoxia		43	46	31	20	15	12	16	16	20	31	16		1
25103	M	Control		12	30	30	7	10	15	20	17	25				3
C5700	M	Control	0			24		10		10		5		1		
C5701	M	CO ₂			24	15		9		18		15				4
C5702	M	Control	12		31		15		12		6		5			
C5703	M	CO ₂	3		35		25		16		4		4			
C5722502	M	Control	6	19	47	52	24	28	16	20	20	7	12	31		
JX99-6410	M	Hypoxia	6	18	40	50	31	12	8	27	19	12	17	14		
JX99-6494	M	Hypoxia		27	69	35	45	18	10	15	8	20	15	17		9
JX99-6640	M	Hypoxia			43	56	22	18	13	8	20	25	20	24		
JX99-7010	M	Hypoxia		32	57	64	26	14	6	9	19	15	18	33		2
JX99-7011	M	Control		24	23	32	26	18	2	8	14	12	15			4
jx992401	M	Control	5	13	23	64	27				45	35	18			
jx992402	M	Control	4	14	48	82	47	21	32	33	21	22				
jx996031	M	Control		19	37	86	41	17	15	12	21	17	29	46		
AVG	M	All	5.6	22.7	39.9	48.1	28.6	16.9	12.0	16.2	16.9	18.1	17.3	22.6	5.1	269.9
SEM	M	All	1.3	2.6	3.3	5.4	2.9	1.3	1.7	2.2	2.3	1.9	2.2	4.3	1.6	10.1
Grand AVG	Both	All	7.6	23.8	43.2	47.8	28.5	18.0	12.8	14.4	14.3	17.7	15.6	17.9	4.9	266.4
Grand SEM	Both	All	2.0	1.1	3.3	0.3	0.1	1.0	0.8	1.8	2.5	0.3	1.7	4.7	0.3	7.2

AVG, Average; CO₂, 15% CO₂; F, female; M, male; hypoxia, 8% O₂.

Slices were incubated for 30 min at 37°C, and subsequently at room temperature, in normal Ringer’s solution containing the following (in mM): 130 NaCl, 3 KCl, 2 MgCl₂, 2 CaCl₂, 1.25 NaH₂PO₄, 26 NaHCO₃, and 10 glucose. The cutting and incubation solutions were bubbled with 95% O₂ and 5% CO₂. Slices were prepared in the early-to-late morning, and used for harvesting neurons from the late morning through the afternoon.

Single RTN neurons were collected for molecular analysis in a recording chamber mounted on a fluorescence microscope (Zeiss Axio Imager FS) in HEPES-based perfusate, containing the following (in mM): 140 NaCl, 3 KCl, 2 MgCl₂, 2 CaCl₂, 10 HEPES, 10 glucose. Under direct vision (60× objective), viable cells were identified and the entire cell was aspirated into pre-baked pipettes (24 h, 200°C) filled with sterile HEPES-based solution. The pipette was broken into a 0.2 ml RNase-free PCR tube containing ice-cold cell lysis mix before performing RNA reverse transcription and cDNA amplification using the SMART-Seq v4 kit, according to the manufacturer’s instructions (Clontech, catalog #634896). Each amplification experiment included 10 pg positive control RNA (from SMART-Seq kit) and a water-only negative control. cDNA concentration was quantified using Qubit dsDNA High-Sensitivity Assay Kit (ThermoFisher Scientific, catalog #Q32854). To select single-cell cDNA

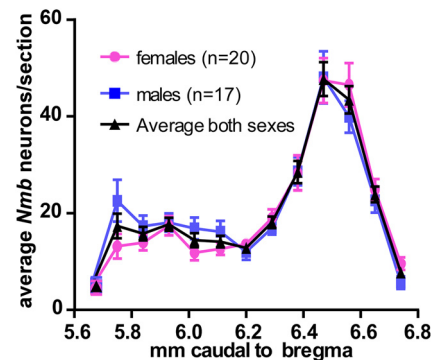


Figure 2. Distribution of parafacial *Nmb* neurons. Average total numbers of parafacial *Nmb*-expressing neurons per 30- μ m-thick transverse section (SEM indicated by vertical bars). Abscissa indicates the location of the sampled tissue sections (distance from bregma after Paxinos and Franklin, 2013).

samples suitable for library construction, we predetermined expression of *Gapdh*, *VGlut2*, *Phox2b*, *Th*, and *Gad1* in each sample by nested PCR; samples positive for *Th* and/or *Gad1* were deemed to be contaminated with other, non-RTN neurons. We used 0.5–1 ng of the amplified cDNA and the Nextera XT DNA Library kit (Illumina, catalog #FC-131-1096; RRID: SCR_010233) to prepare dual-indexed libraries that were assessed for concentration and library quality using Qubit and the Agilent High Sensitivity D1000 TapeStation. These libraries (12–40 per batch) were pooled in a single lane on an Illumina NextSeq500, and sequenced to generate 75 bp paired-end reads. FASTQ files from five sequencing runs were quasi-mapped to the mouse reference transcriptome (Ensembl GRCm38) as described by Patro et al. (2017), with 3–14 million reads per library (50–80% mapped). Transcript abundance was summarized to the gene level normalized as transcripts per million (TPM), according to Sonesson et al. (2015). In two early sequencing runs, 12 cell samples were included per sequencing lane often yielding $>10 \times 10^6$ reads per cell; because rarefaction analysis of these runs indicated a negligible loss in gene representation when reads were reduced to $<1 \times 10^6$ reads per cell ($<5\%$), we assayed greater numbers of cells per lane (32–40) at lower sequencing depth ($3\text{--}5 \times 10^6$ reads) in three subsequent runs.

Statistics

Values are presented as means \pm SEM, or as medians with ranges, as appropriate. Either one-way or two-way ANOVA or *t* tests (both parametric or nonparametric) were performed as appropriate after verification of normality assumptions for these tests. TPM data were not normally distributed, and were analyzed using a Kruskal-Wallis ANOVA. All statistical tests were done using PRISM v7 (Graph-Pad Software).

Results

Distribution of *Nmb* transcripts in the rostral medulla and pons of mice

By multiplex ISH, we observed a distinctive and isolated cluster of cells containing *Nmb* transcripts in the parafacial region of the rostral ventrolateral medulla oblongata and pons (Fig. 1A,E). Only two additional groups of *Nmb* cells (see below) were identified in the pons and rostral medulla oblongata. Both were located in the dorsal third of the brainstem and were clearly separated from the parafacial cluster.

The parafacial group of *Nmb*⁺ cells was observed from $\sim 350 \mu\text{m}$ caudal to the caudal pole of the facial motor nucleus, extending rostrally to the exit of the seventh nerve (Fig. 1C). Caudal to the facial motor nucleus, *Nmb*-expressing cells clustered predominantly along the ventral medullary surface but a few were scattered more dorsally within the ventrolateral medulla, approaching the level of nucleus ambiguus. At this caudal level, where C1 adrenergic neurons are also located, most *Nmb* cells were located ventrolateral to those *Th* mRNA-expressing neurons, with minimal overlap between the two groups of cell soma (Fig. 1A,C). More rostrally, the bulk of the *Nmb* cells resided

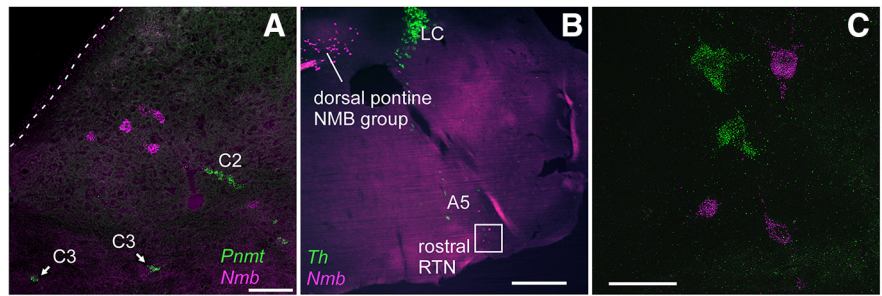


Figure 3. *Nmb* cells in rostral parafacial region and dorsal pons are not catecholaminergic. **A**, Transcripts for *Pnmt* (green) and *Nmb* (magenta) in dorsal medial medulla at level of C2/C3 adrenergic cell groups. **B**, Transcripts for *Th* (green) and *Nmb* (magenta) in more rostral pontine brain regions. LC, locus coeruleus. **C**, Enlargement of the white box (rostral RTN) in **A**. Scale bars: **A**, 100 μm ; **B**, 500 μm ; **C**, 50 μm .

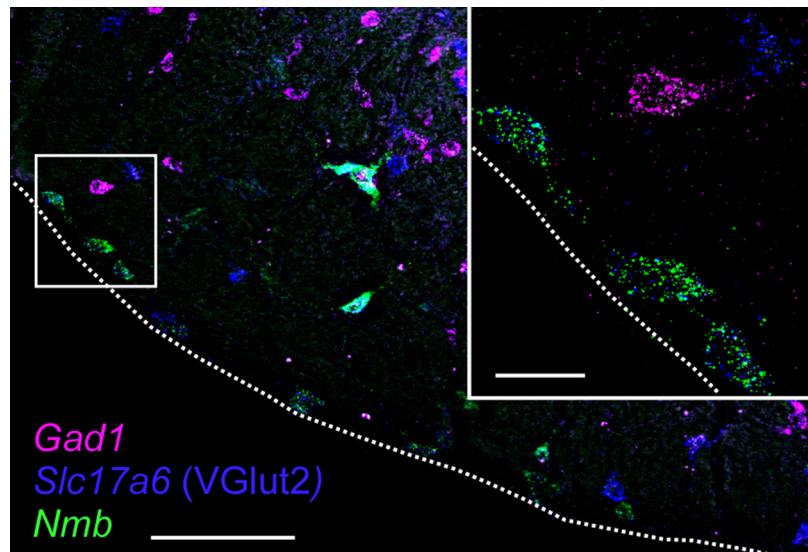


Figure 4. Parafacial *Nmb* neurons are glutamatergic but not GABAergic. Photomicrograph of coronal section of caudal RTN region with *Nmb* transcripts in green, *VGlut2* transcripts in blue and *Gad1* transcripts in magenta. Inset, Enlargement of boxed area with three *Nmb* neurons along ventral surface that also express *VGlut2* transcripts. Note that all neurons that contain *Nmb* transcripts, including the larger and more dorsal cells that express high levels of *Nmb*, also contain *VGlut2* transcripts; none of the *Nmb* neurons contain *Gad1* transcripts. Dashed white lines represent the ventral medullary surface. Scale bars: larger image, 100 μm ; inset, 20 μm .

below the facial motor nucleus from its medial border to the medial edge of the trigeminal tract. At these rostral levels, *Nmb* cells tended to congregate close to the trigeminal tract, with a few of these neurons found around the lateral edge of, and dorsal to, the facial motor nucleus (Fig. 1C).

Using one-in-three or one-in-six series of 30 μm sections from 37 mice (17 males, 20 females), cells containing *Nmb* transcripts were counted bilaterally within each section. Averages were taken across each bregma level and then added to determine a total average number of cells containing *Nmb* transcripts (Table 2). Using this method, we counted 266.4 ± 7.2 *Nmb* cells per mouse averaged across all mice (males: 269.9 ± 10.0 ; females: 262.9 ± 9.4 ; Fig. 2; Table 2). The number of *Nmb* cells and their distribution across the medulla/pons was not significantly different between sexes (Fig. 2; two-way ANOVA; main effect of sex: $F_{(1, 328)} = 0.21$, $p = 0.65$; interaction between sex and bregma level: $F_{(12, 328)} = 0.92$, $p = 0.53$). Combining data from both sexes, and after Abercrombie correction using an average nuclear width of $6.0 \pm 0.2 \mu\text{m}$ measured from 50 cells in 3 mice (Abercrombie, 1946), we calculate an average total number of *Nmb* RTN cells in the mouse at 665 cells.

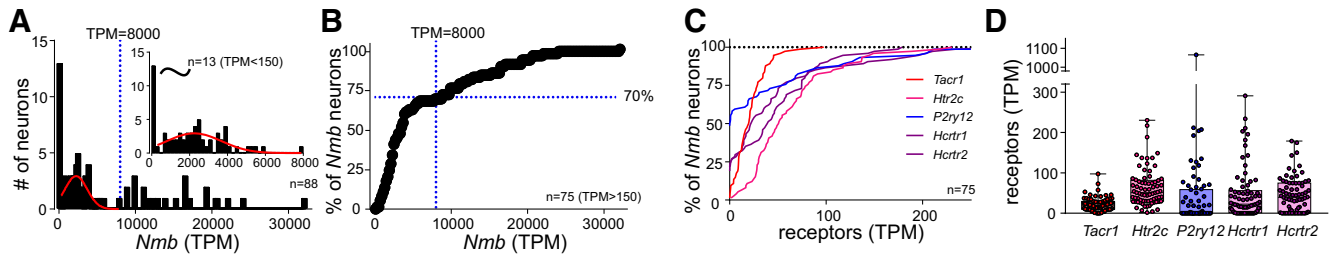


Figure 5. Two populations of parafacial *Nmb* neurons based on expression levels. **A, B**, Frequency (**A**) and cumulative probability (**B**) distributions for *Nmb* transcript levels assessed by RNA-Seq in individual GFP-expressing neurons from the RTN region ($n = 88$). A subset of neurons expressed extremely low levels of *Nmb* (TPM < 150, $n = 13$). Among the *Nmb*-expressing neurons ($n = 75$), a major subgroup of neurons contains moderate levels of *Nmb* transcripts ($\sim 70\%$; TPM < 8000), whereas a smaller subgroup of cells express much higher levels of *Nmb* ($\sim 30\%$; TPM > 8000). **C**, Cumulative probability distributions of transcript levels for select G-protein-coupled receptors in *Nmb*-expressing neurons; the percentage of non-expressing neurons can be estimated from the y -intercept (TPM = 0). **D**, Expression levels for the indicated receptors in individual *Nmb*-expressing RTN neurons; in this and other plots of TPM data, the box-and-whiskers represent the median, 25th and 75th percentile, and the range.

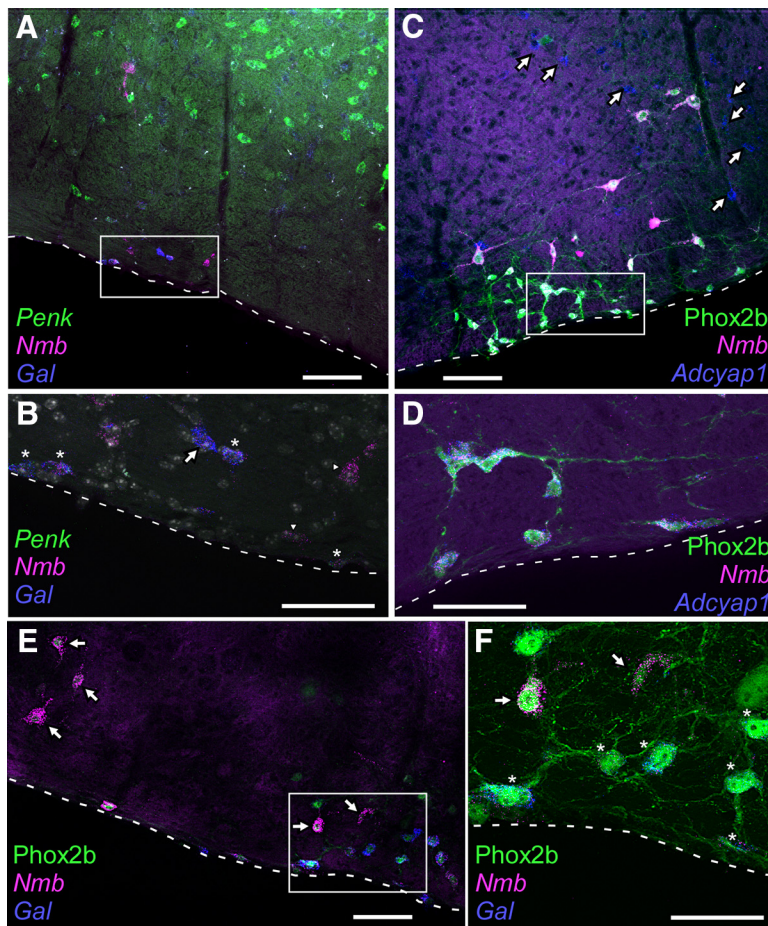


Figure 6. Parafacial *Nmb* neurons also contain other neuropeptides, including enkephalin, galanin and pituitary adenylate cyclase-activating peptide. **A**, Photomicrograph of coronal section showing transcripts for *Nmb* (magenta), *Penk* (green), and *Gal* (blue) in the caudal RTN region (level 6.7–6.8 from Fig. 1C). Note the widespread distribution of *Penk* within the reticular formation dorsal to RTN. Medial to the right, dorsal toward the top. **B**, Enlargement of the boxed inset from **A** showing *Nmb* neurons with both *Penk* and *Gal* (stars), an *Nmb* neuron with *Gal* and not *Penk* (arrow) and neurons in which only *Nmb* was detectable (arrowheads). Nuclei stained with DAPI (white/gray). **C**, Photomicrograph showing transcripts for *Nmb* (magenta) and *Adcyap1* (blue) in eGFP-immunoreactive (i.e., *Phox2b*) neurons (green). Note that *Adcyap1* transcripts are also expressed outside the RTN (arrows). **D**, Enlargement of boxed area in **C**. Note that all *Nmb* neurons express *Adcyap1*. Medial to the left, dorsal toward the top. **E**, Photomicrograph of parafacial regions showing eGFP-immunoreactivity (i.e., *Phox2b*; green), *Nmb* transcripts (magenta) and *Gal* transcripts (blue) neurons. Every neuron is *Phox2b*. Large neurons with high levels of *Nmb* transcripts (arrows) do not contain *Gal*. **F**, Enlargement of boxed area in **E**. *Nmb*-high neurons (arrows) without *Gal* are generally dorsal to the *Nmb*-low neurons with *Gal* (stars). Dashed white lines on all panels represent the ventral medullary surface. Scale bars: **A**, 100 μm ; **B**, 50 μm ; **C**, 100 μm ; **D**, 50 μm ; **E**, 100 μm ; **F**, 50 μm .

A sparse collection of *Nmb* cells was present dorsomedial to the prepositus nucleus. These *Nmb* neurons were located generally medial to the C2 neurons and dorsal to the C3 neurons and, unlike the latter, they did not express *Pnmt* (Fig. 3A). A second and much larger cluster of *Nmb* cells was located in the region of the central gray and posterodorsal tegmental nucleus medial to the locus coeruleus (Fig. 3B), from 5.63 to 5.41 mm caudal to bregma (coordinates after the corresponding plates in the atlas of Paxinos and Franklin, 2013) and did not express *Th*.

Parafacial *Nmb* cells are glutamatergic, non-catecholaminergic neurons that express *Phox2b*

We noted that *Nmb* and *Th* cell bodies were non-overlapping in the parafacial region, an observation that was borne out by quantitative analysis of these multiplex *in situ* hybridization experiments: virtually none of the *Nmb* neurons expressed *Th* (only 2/802 cells, counted in 3 mice; Figs. 1, 3). By contrast, essentially all parafacial *Nmb* neurons expressed *Phox2b*, as indicated by eGFP staining in JX99 mice (99.6%, 799/802 cells in 3 mice; Fig. 1). Likewise, every *Nmb* parafacial neuron contained transcripts for *VGlut2* (*Slc17a6*; 613/613 cells, counted in 2 mice), whereas none expressed *Gad1* (796/796 cells, counted in 3 mice; Fig. 4). Based on these results, parafacial *Nmb* neurons meet precisely the criteria used previously to define the RTN cell group; specifically, they are non-catecholaminergic (i.e., TH-negative) neurons located in the parafacial region that coexpress *Phox2b* and *VGlut2* mRNA (Stornetta et al., 2006; Goridis et al., 2010; Ramanantsoa et al., 2011; Ruffault et al., 2015).

These ISH data were further validated by RNA-Seq results from 105 single *Phox2b*-expressing (i.e., GFP-fluorescent) neurons

collected from the parafacial region of JX99 mice. From this dataset, we excluded one cell for which the sequencing results were low quality (<650,000 mapped reads). We also excluded six neurons that were clearly C1 neurons, because they expressed transcripts for catecholamine synthesizing enzymes at values much higher than found for those same genes in the remaining sample of 98 non-C1 neurons (median TPM: for *Th*, 1451 vs 0; for *Ddc*, 114 vs 33.4; for *Dbh*, 422 vs 0; and for *Pnmt*, 326 vs 0); importantly, and consistent with histochemical data, none of the C1 neurons were positive for *Nmb* (all TPM <20). From the remaining 98 non-C1 neurons, we excluded samples that showed higher than expected levels of either *Gad1* or *Gad2* (TPM > 30; $n = 8$ and $n = 2$). Although it is formally possible that the presence of *Gad1/Gad2* represents true expression of these GABA-synthesizing enzymes in a minor subgroup of RTN neurons (Hayes et al., 2017), we think it is more likely to reflect contamination of these particular samples, a common concern in single-cell molecular studies and a conservative interpretation consistent with prior data designating RTN neurons as excitatory rather than inhibitory neurons (Bochorishvili et al., 2012; Holloway et al., 2015). That *Gad1* mRNA was undetectable by *in situ* hybridization, but highly expressed in neighboring *Nmb*-negative neurons, also supports this view (Fig. 4).

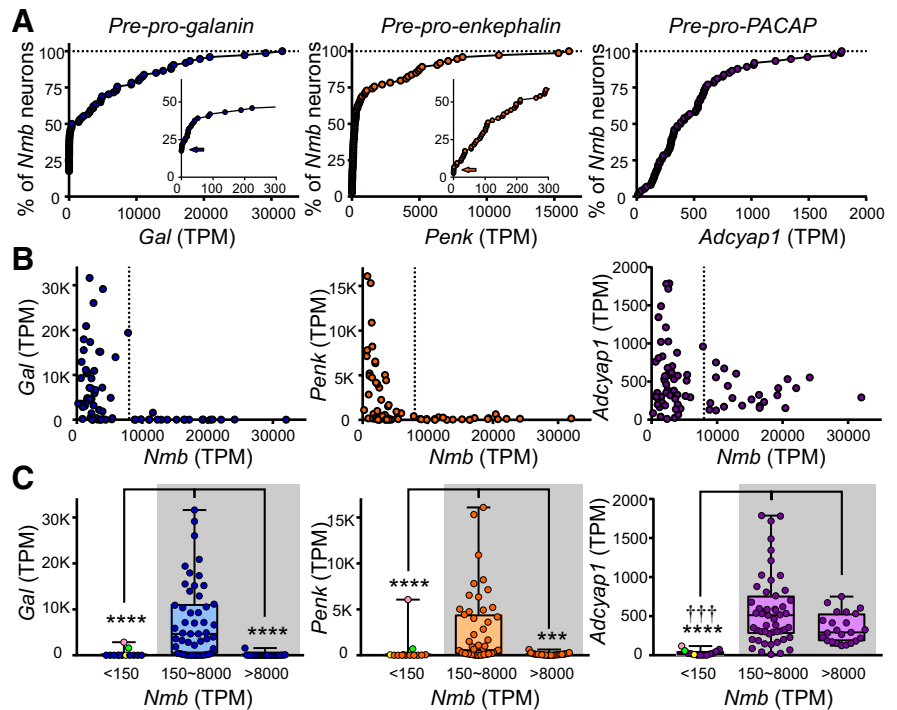


Figure 7. Transcript expression levels for Gal, enkephalin, and pituitary adenylate cyclase-activating peptide in *Nmb*-expressing RTN neurons. **A**, Cumulative probability distributions of expression levels for the indicated neuropeptide transcripts in *Nmb*-expressing neurons; the percentage of non-expressing neurons can be estimated from the y-intercept (TPM = 0; insets for *Gal* and *Penk*, arrows). **B**, Transcript levels for *Gal*, *Penk*, and *Adcyap1*, relative to *Nmb*, in the same individual RTN neurons. **C**, Transcript expression levels for *Gal*, *Penk*, and *Adcyap1* in *Nmb*-low (150 < TPM < 8000) and *Nmb*-high (TPM > 8000) RTN neurons. Data from *Phox2b*-positive neurons that were below the operational threshold for consideration as *Nmb*-expressing RTN neurons (TPM < 150) are also depicted. Note that *Gal* and *Penk* expression is undetectable in the *Nmb*-high subpopulation of RTN neurons (TPM > 8000); it is also virtually nonexistent in the *Phox2b*-positive, *Nmb*-negative neurons (two outliers are marked). **** $p < 0.0001$, *** $p < 0.001$ versus *Nmb*-low RTN neurons (150~8000); ††† $p < 0.001$ versus *Nmb*-negative neurons (TPM < 150) by Kruskal-Wallis ANOVA.

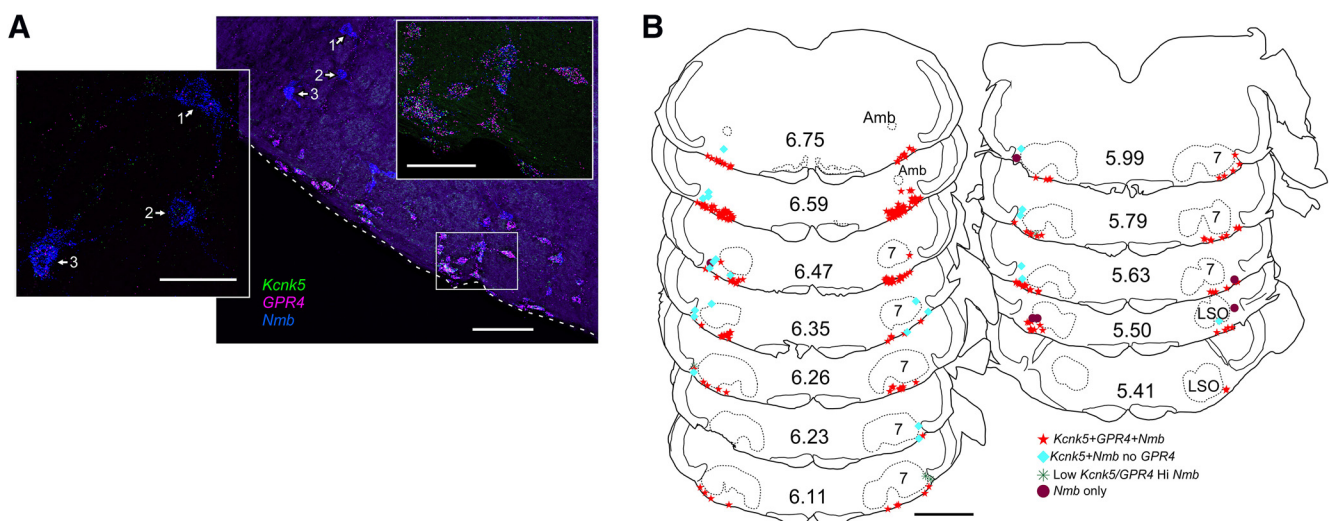


Figure 8. Most parafacial *Nmb* neurons express proton sensors *Gpr4* and TASK-2 (encoded by *Kcnk5*). **A**, Photomicrograph of coronal section from caudal parafacial region (left side) showing transcripts for *Nmb* (blue), *Gpr4* (magenta), and *Kcnk5* (green). Inset to the left is an enlargement of relatively large cells (numbered 1, 2, and 3) that contain low levels of *Kcnk5* and/or *Gpr4* transcripts but high levels of *Nmb*. Inset to the right is an enlargement of the boxed area showing that most parafacial *Nmb* neurons express transcripts for both *Gpr4* and *Kcnk5*. Note larger cells with high levels of *Nmb* transcripts and relatively low levels of *Kcnk5/Gpr4* are located dorsal and lateral to the other *Nmb* neurons. Medial to the right, dorsal toward the top. Dashed white lines indicate ventral medullary surface. **B**, Drawing of coronal sections through medulla/pons showing the distribution of *Nmb* neurons with *Kcnk5* and *Gpr4*. Note the neurons with either low levels or no detectable *Kcnk5/Gpr4* tend to be dorsal and lateral to the *Nmb* neurons that contain both of these proton sensors. Numbers in center of sections indicate mm behind bregma (after Paxinos and Franklin, 2013). Abbreviations as in Figure 1. Scale bars: **A**, 100 μ m; left inset, 50 μ m; right inset, 50 μ m; **B**, 1 mm.

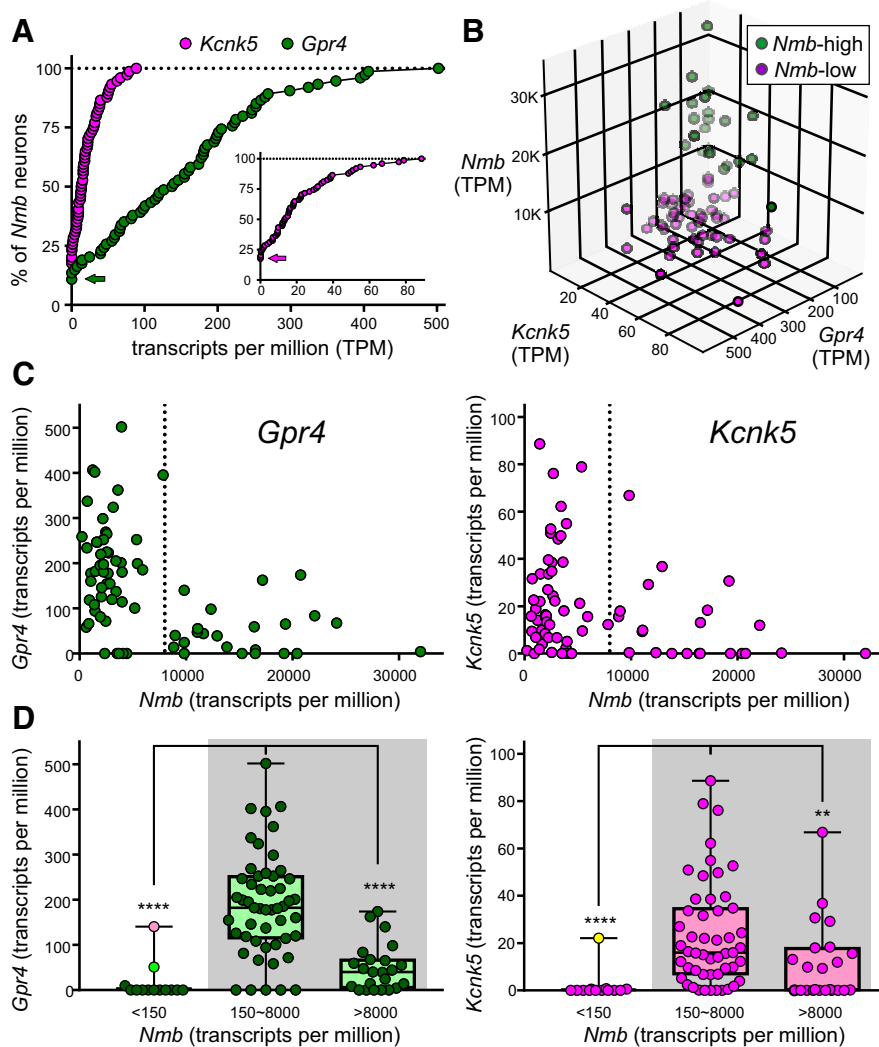


Figure 9. *Gpr4/Kcnk5* transcript levels are lower in the *Nmb*-high subgroup of parafacial neurons. **A**, Cumulative probability distributions of expression levels for the indicated proton sensor in *Nmb*-expressing neurons; the percentage of non-expressing neurons can be estimated from the y-intercept (see arrows; inset is expanded for *Kcnk5*). **B**, 3-D distribution of *Gpr4*, *Kcnk5*, and *Nmb* expression shows that within both *Nmb*-high (green) and *Nmb*-low (purple) populations, a few parafacial neurons predominantly express higher levels of either *Gpr4* or *Kcnk5*. For example, see cells extending outward on the plane of the right rearmost wall (high *Kcnk5*, low *Gpr4*) or extending outward on the plane of the left rearmost wall (high *Gpr4*, low *Kcnk5*). **C**, Transcript levels for *Gpr4* and *Kcnk5* relative to *Nmb* in the same individual parafacial neurons. **D**, Transcript expression levels for *Gpr4* and *Kcnk5* in *Nmb*-low ($150 < \text{TPM} < 8000$) and *Nmb*-high ($\text{TPM} > 8000$) RTN neurons. Data from cells that were below the operational threshold for consideration as *Nmb*-expressing RTN neurons ($\text{TPM} < 150$) are also depicted. Note that *Gpr4* and *Kcnk5* expression is significantly lower in the *Nmb*-high subpopulation of RTN neurons ($\text{TPM} > 8000$), by comparison to *Nmb*-low RTN neurons; it is also virtually nonexistent in the *Phox2b*-positive, *Nmb*-negative neurons (outliers are marked, color-coded as in Fig. 7). **** $p < 0.0001$, ** $p < 0.01$ versus *Nmb*-low RTN neurons ($150 \sim 8000$) by Kruskal-Wallis ANOVA.

All of the remaining 88 neurons were positive for both *Phox2b* and *VGlut2* mRNA (*Slc17a6*), and 75 showed appreciable levels of *Nmb* expression (Fig. 5A; operationally defined as $\text{TPM} > 150$). We expect that the small group of *Nmb*-negative neurons ($n = 13$; $\text{TPM} < 150$) present in our GFP-targeted sample likely correspond to the population of *Phox2b*⁺, *Nmb*[−] neurons encroaching on the dorsal and/or rostral boundaries of the RTN proper (Fig. 1C,D, green circles) because they present with a generally distinct neuropeptide and proton sensor phenotype (see Figs. 7C, 9D). Among the larger group of *Nmb*-expressing RTN neurons in our sample ($n = 75$), *Nmb* transcript levels were widely variable (median: 3,523; range: 224–32,022), and appeared to represent two populations: ~70% of the neurons were approximately normally distributed with low-to-moderate *Nmb* transcript levels

($150 < \text{TPM} < 8,000$), whereas a clear inflection point in the cumulative probability plot at $\text{TPM} \sim 8,000$ revealed a separate subgroup of ~30% of RTN neurons that express particularly high levels of *Nmb* (Fig. 5B).

A number of other transcriptomic features of individual *Nmb*-expressing parafacial neurons are consistent with those expected for RTN neurons. For example, in developmental genetic analyses, the substance P receptor, NK1R, has been used to define RTN (Thoby-Brisson et al., 2009). Accordingly, we find expression of the cognate gene, *Tacr1*, in essentially all *Nmb*-expressing neurons (Fig. 5C,D; in 97.3% of neurons, $n = 73/75$; TPM median: 19.7; range: 0–98). We also find varying levels of expression for other G-protein-coupled receptors that have been associated previously with RTN neurons (Mulkey et al., 2007; Lazarenko et al., 2011; Barna et al., 2016), as evident in the transcript distributions for the most prominent receptor subtypes in *Nmb*-positive cells (Fig. 5C,D): the 5-HT_{2C} receptor is universally expressed (*Htr2c*, TPM median: 51.1; range: 2–231); transcripts for the P2Y₁₂ receptor are found at appreciable levels, albeit in only ~40–50% of the neurons (*P2ry12*, TPM median: 0.7; range: 0–1065); and those for each of the orexin/hypocretin receptors are present in ~75% of cells (*Hcrtr1*, TPM median: 23.0; range: 0–291; *Hcrtr2*, TPM median: 40.1; range: 0–179), with only ~10% of neurons lacking either orexin receptor subtype. Conversely, these *Nmb*-expressing neurons were virtually devoid of transcripts for the tryptophan hydroxylase enzymes ($\text{TPM} < 10$ for *Tph1* and *Tph2*; $n = 75$ and $n = 74$) and the serotonin transporter ($\text{TPM} < 10$ for *Slc6a4*; $n = 75$); they were also negative for markers of inhibitory neurons, such as glycine transporter 2, GlyT2, and the GABA vesicular transporter, Vgat ($\text{TPM} < 10$ for *Slc6a5* and *Slc32a1*; $n = 73$ and $n = 75$).

In sum, our histochemical and transcriptomic analysis indicates that *Phox2b*⁺/*Slc17a6*⁺ parafacial neurons that have characteristics of RTN neurons uniformly express *Nmb*; this was not true of other *Phox2b*-expressing neurons in the vicinity, such as those located dorsal and/or rostral to the RTN, or the C1 adrenergic neurons. A quantitative analysis of *Nmb* transcript levels revealed two subgroups of RTN neurons: a larger group (~70%) with low-to-moderate *Nmb* expression (henceforth *Nmb*-low, TPM : 150~8000) and a smaller group (~30%) with much higher *Nmb* expression (*Nmb*-high, $\text{TPM} > 8000$). We next sought to determine whether these different *Nmb*-expressing subpopulations were preferentially associated with other genes that had previously been identified in RTN neurons, or perhaps with distinct functional characteristics.

Table 3. Cell counts for hypercapnia cases

Sex Strain	Female C57	Female C57	Female C57	Female JX99	Female JX99	Male C57	Male C57	Average ± SEM	Average % Total Nmb ± SEM
Condition, 15% CO ₂									
Case	22609	23205	26100	3409	3502	J01	J03		
Nmb + Fos + Gpr4	135	234	141	100	73	73	66	117.4 ± 22.5	73.5 ± 2.9
Nmb + Gpr4 no Fos	9	20	21	8	15	2	4	11.3 ± 2.8	6.8 ± 1.5
Nmb + Gpr4-low no Fos	13	14	13	5	3	1	4	7.6 ± 2.1	4.3 ± 0.8
Nmb no Gpr4 no Fos	28	27	37	38	28	9	12	25.6 ± 4.2	16.7 ± 2.5
Total Nmb	185	295	212	151	109	85	86	160.4 ± 29.0	
No. of sections	7	11	14	6	6	6	6	8 ± 1.2	
Condition, 21% O ₂									
Case	26101	22305	22503	3407	3408	J02	J00		
Nmb + Fos + Gpr4	2	7	8	1	0	2	0	2.9 ± 1.2	1.5 ± 0.6
Nmb + Gpr4 no Fos	163	182	182	122	73	57	36	116.4 ± 23.2	78.0 ± 2.2
Nmb + Gpr4-low no Fos	15	14	3	0	1	5	0	5.4 ± 2.4	3.0 ± 1.2
Nmb no Gpr4 no Fos	54	33	32	35	13	17	7	27.3 ± 6.1	18.0 ± 1.5
Total Nmb	234	226	225	158	87	81	43	150.6 ± 30.4	
No. of sections	10	10	12	6	6	6	5	7.9 ± 1.0	
Condition, 15% CO ₂									
Case	23205					J01			
Nmb + Fos + TASK-2	180					213		196.5 ± 16.5	78.6 ± 3.6
Nmb + TASK-2 no Fos	29					44		36.5 ± 7.5	14.4 ± 1.1
Nmb no TASK-2 no Fos	10					15		12.5 ± 2.5	4.9 ± 0.4
Total Nmb	219					284		251.5 ± 32.5	
No. of sections	12					12		12	

C57, C57Bl6/J; Gpr4, G-protein-coupled receptor 4; JX99, Phox2b-eGFP BAC transgenic; TASK-2, potassium channel subfamily K member 5. Nmb + Gpr4-low refers to cells with Nmb and very low levels of Gpr4 transcript. No attempt was made to distinguish Nmb-high or Nmb-low cells in these counts.

RTN *Nmb* neurons express additional neuropeptides pre-pro-galanin and pre-pro-enkephalin

Gal could be identified by ISH in 70 ± 2% of *Nmb*-expressing RTN neurons ($n = 4$ mice; Fig. 6A,B,E,F). *Gal*+/*Nmb*+ neurons were scattered among the *Nmb* neurons devoid of *Gal* mRNA without discernible rostrocaudal or mediolateral clustering. The percentage of RTN *Gal*+ neurons was slightly higher than our previous estimate of 50% in the rat (Stornetta et al., 2009). This could be due to either species differences or the use of the more sensitive RNAscope technique in the current study. When assessed by single-cell RNA-Seq, *Gal* was detected in 82.7% of the *Nmb*-expressing neurons ($n = 62/75$). However, there was marked variability in *Gal* expression levels in individual neurons (median TPM: 417; range: 0–31,618). Notably, although *Gal* was not universally expressed in the *Nmb*-low population of RTN neurons (TPM < 8000), it was always extremely low or absent within the RTN subpopulation expressing the highest levels of *Nmb* (TPM > 8000; Fig. 7).

A similar result was obtained with *Penk* (Fig. 6A,B). By ISH, *Penk* transcripts were observed in 67 ± 8% of parafacial *Nmb* neurons throughout the RTN region, with no obvious preferential localization along its rostrocaudal or mediolateral extent ($n = 4$ mice). By single-cell RNA-Seq, *Penk* transcripts could be detected in 97.3% of *Nmb*-expressing neurons ($n = 73/75$). As with *Gal*, a broad range of *Penk* expression was observed across individual *Nmb*-expressing neurons, although with a lower peak level of expression (median TPM: 212; range: 0–16,089). Also similar to *Gal*, a striking inverse correlation was observed between *Penk* and *Nmb* expression, such that the highest *Nmb*-expressing neurons were always essentially devoid of *Penk* transcripts (Fig. 7).

Adcyap1

By ISH, *Adcyap1* transcripts were present in a large proportion of the RTN *Nmb* neurons (88 ± 4%, $n = 4$), again distributed without discernible clustering throughout the nucleus (Fig. 6C,D). By RNA-Seq, *Adcyap1* transcripts could be detected in all *Nmb*-

expressing RTN neurons ($n = 75/75$). The overall transcript levels were lower than for *Gal* and *Penk* (median TPM: 387; range: 12–1787) and, unlike with *Gal* and *Penk*, *Adcyap1* expression was clearly evident even in cells with the highest levels of *Nmb* (Fig. 7).

It is also worth mentioning that these neuropeptides were rarely detected in the group of non-C1, *Phox2b*-positive neurons with *Nmb* expression below our operational threshold (i.e., with TPM < 150, $n = 13$), which were judged to represent a distinct population (Fig. 7C). Interestingly, however, the two neurons in this group with appreciable amounts of *Gal* (TPM: 2846, 1573), *Penk* (TPM: 6062, 700), and *Adcyap1* transcripts (TPM: 117, 57) were also positive for *Gpr4* (see below), suggesting that they may indeed be RTN neurons, but at the lower extreme of *Nmb* expression. Even so, the neuropeptide expression data from these outliers are consistent with the general observation that *Gal* and *Penk* expression is limited to the *Nmb*-low group of RTN neurons.

Collectively, this assessment of neuropeptide expression is consistent with the suggestion that RTN comprises phenotypically distinct subgroups of *Nmb*-expressing neurons that can be differentiated by either high or low levels of *Nmb* expression.

Most RTN *Nmb* neurons express *Kcnk5* and *Gpr4*

A large but still unspecified proportion of RTN neurons are intrinsically sensitive to CO₂/H⁺; this property is mediated in large part by either (or both) of two distinct pH sensors: TASK-2 (encoded by *Kcnk5*) and *Gpr4*. Indeed, by ISH, we found that a major fraction of parafacial *Nmb* neurons contained transcripts for *Kcnk5* (92 ± 3%, $n = 6$ mice) or *Gpr4* (82 ± 1%, $n = 25$ mice; Fig. 8). However, the neurons that contained high levels of *Nmb* usually had little or no detectable *Gpr4* or *Kcnk5* (Fig. 8A). *Nmb*-high neurons were rarely found on the ventral medullary surface; they were almost always more dorsal (Fig. 8A) and tended to be more lateral to the main group of *Nmb* cells in more rostral locations (Fig. 8B; especially at levels rostral to 6.35).

We noticed that many of the *Nmb*-high expressing neurons appeared to be larger than the *Nmb*-low cells (compare Figs. 6E, 8A, 10A, C) and measured in 3 JX99 mice the cross sectional soma

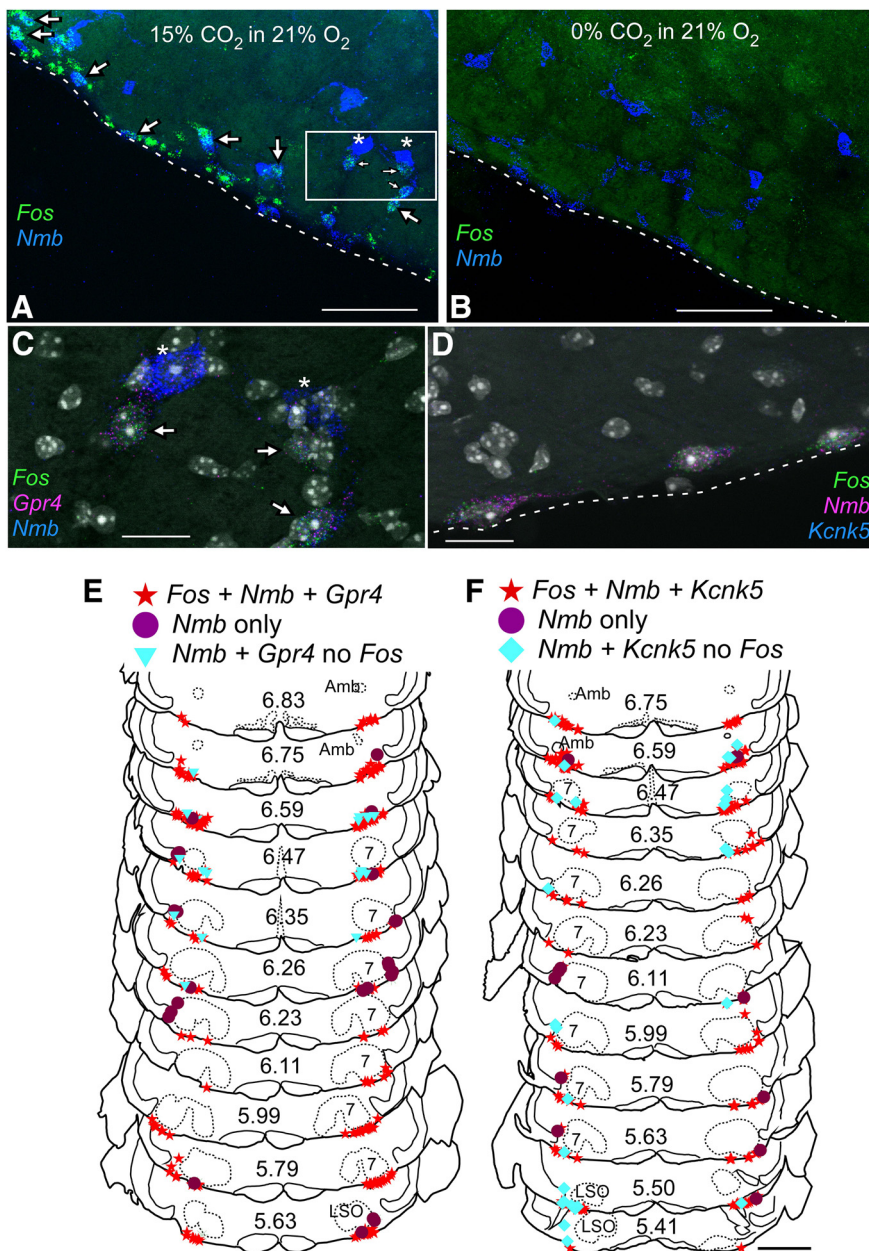


Figure 10. RTN *Nmb* neurons with proton sensors *Gpr4* and TASK-2 express *Fos* in mice exposed to hypercapnia. **A, B**, Photomicrographs of coronal section in caudal RTN showing transcripts for *Fos* (green) and *Nmb* (blue) in mouse exposed to 15% CO_2 in 21% O_2 (**A**) or 21% O_2 (**B**). Many neurons express *Fos* under hypercapnia (**A**, arrows). Note that large neurons with high levels of *Nmb* transcripts in (**A**) indicated by stars do not express *Fos*. **C**, Enlargement of boxed area in **A** showing neurons expressing transcripts for *Nmb* (blue), *Gpr4* (magenta), and *Fos* (green). Neurons indicated by stars express high levels of *Nmb* but low or undetectable levels of *Gpr4* and do not express *Fos*. DAPI stain in white/gray. **D**, Photomicrograph of neurons expressing transcripts for *Nmb* (magenta), *Kcnk5* (blue), and *Fos* (green) from mouse exposed to 15% CO_2 . Dashed lines in **A, B**, and **D** represent the ventral medullary surface. **E, F**, Drawing of transverse sections through the medulla and pons showing the distribution of *Nmb* neurons with *Kcnk5* (**E**) or *Gpr4* (**F**) and *Fos* after exposure to 15% CO_2 . The *Nmb* neurons that do not express *Fos* tend to be dorsal and lateral to the *Nmb* neurons that are activated by hypercapnia. Numbers in center of sections indicate mm behind bregma (Paxinos and Franklin, 2013). Abbreviations as in Figure 1. Scale bars: **A**, 100 μm ; **B**, 100 μm ; **C**, 20 μm ; **D**, 20 μm ; **E**, 1 mm.

area of GFP-labeled cells containing *Nmb* and *Gpr4* transcripts (because the eGFP fills the cytoplasm and allows accurate soma tracing). Indeed, the *Nmb*-high cells had a significantly larger soma area ($153.4 \mu\text{m}^2$; $n = 21$; range 94.2–390.2) than the *Nmb*-low cells ($74.4 \mu\text{m}^2$; $n = 60$; range 35.1–169.5) compared with a one-tailed Mann-Whitney U ($U = 48$; $p < 0.001$).

By single-cell RNA-Seq (Fig. 9), we found that *Gpr4* was detectable in 89.3% of *Nmb*-expressing RTN neurons ($n = 67/75$)

and was the highest expressed of all G-protein-coupled receptors in those cells (median TPM: 140.2; range: 0–502; compare with Fig. 5C,D). For *Kcnk5*, expression could also be detected in a large fraction of *Nmb*-expressing RTN neurons (82.7%; $n = 62/75$), although transcript levels were lower overall than for *Gpr4* (median TPM for *Kcnk5*: 14; range: 0–89).

Most RTN neurons expressed both *Gpr4* and *Kcnk5*, but some had high *Gpr4* expression and little to no *Kcnk5* expression whereas others showed high *Kcnk5* expression and little to no *Gpr4* expression (Fig. 9B). The neurons that showed a strong preferential expression of either *Gpr4* or *Kcnk5* likely correspond to the subgroups of previously characterized cells for which one of those genes was required for pH-sensitivity (Wang et al., 2013b; Kumar et al., 2015). In addition, consistent with *in situ* hybridization results, there was a clear relationship between *Gpr4* or *Kcnk5* levels and *Nmb* expression: the subgroup of RTN neurons with the highest levels of *Nmb* transcripts had correspondingly lower levels of *Gpr4* and *Kcnk5* (Fig. 9C,D).

As mentioned earlier, the *Nmb* threshold (TPM < 150) was generally effective for differentiating the RTN population of neurons from other nearby GFP-labeled cells; indeed, most of this other population was also negative for *Gpr4* and *Kcnk5*, with the notable exception of two *Gpr4*-expressing neurons (also *Gal-* and *Penk*-positive; Fig. 7C) and one *Kcnk5*-expressing neuron. Although these outliers would be at the lower extreme of *Nmb* expression in this sample of RTN neurons, they nevertheless adhere to the general principle that *Nmb*-low group of RTN neurons are relatively more likely to express *Gpr4* and *Kcnk5*.

Most RTN *Nmb* neurons are activated by hypercapnia but unresponsive to hypoxia

We used *Fos* expression as a readout of neuronal activation to examine whether the quantitative differences in *Gpr4* and/or *Kcnk5* expression between *Nmb*-high and *Nmb*-low subgroups described above might yield corresponding functional differences in effects of CO_2 on

RTN neurons *in vivo*. In mice exposed to hypercapnia for 35 min (15% FiCO_2 , 21% FiO_2 , balance N_2), *Fos* expression was observed in most RTN *Nmb* neurons ($74 \pm 14\%$, $n = 7$; Table 3; Fig. 10), whereas in control animals exposed to normoxia (21% FiO_2 , balance N_2) this percentage was very low ($1.5 \pm 0.5\%$, $n = 7$; Table 3; Fig. 10B).

The vast majority of parafacial neurons that contained both *Nmb* and moderate levels of *Gpr4* transcripts also contained *Fos*

Table 4. Bilateral cell counts for hypoxia cases

Sex Strain	Male	Male	Male	Male	Male	Average ± SEM	Average % Total
	JX99	JX99	JX99	C57	C57		Nmb ± SEM
Condition, 8% O ₂							
Case	6410	7011	6640	25100	25102		
Nmb + Fos + Gpr4	13	2	13	10	9	9.4 ± 2.0	3.6 ± 0.7
Nmb + Gpr4 no Fos	178	156	180	187	195	179.2 ± 6.5	71.6 ± 1.5
Nmb Gpr4-low no Fos	11	5	6	14	17	10.6 ± 2.3	4.2 ± 0.8
Nmb only	52	45	72	46	44	51.8 ± 5.2	20.6 ± 1.7
Total Nmb	254	208	271	257	265	251.0 ± 11.2	
No. of sections	12	12	10	12	12	11.6 ± 0.4	
Condition, 21% O ₂							
Case	7010	6494	25101	25103			
Nmb + Fos + Gpr4		1	6	6	1	3.5 ± 1.3	1.3 ± 0.4
Nmb + Gpr4 no Fos		217	229	193	114	188.25 ± 23.1	72.3 ± 2.2
Nmb Gpr4-low no Fos		18	8	8	11	11.3 ± 2.1	4.5 ± 0.8
Nmb only		59	45	75	44	55.8 ± 6.5	21.9 ± 2.0
Total Nmb		295	288	282	170	258.8 ± 26.6	
No. of sections		12	12	12	10	11.5 ± 0.4	

C57, C57Bl6/J; Gpr4, G-protein-coupled receptor 4; JX99, Phox2b-eGFP BAC transgenic. Nmb only refers to cells with Nmb transcripts with no Fos and no Gpr4 transcripts.

mRNA in mice exposed to hypercapnia ($91 \pm 2\%$; $n = 7$; Table 3; Fig. 10). Notably, however, the *Nmb* neurons that contained little or no detectable *Gpr4* mRNA (Figs. 8A, 9) did not express *Fos* after CO₂ exposure (0/232 *Nmb*+ *Gpr4*-low cells positive for *Fos* mRNA counted across 7 mice; Table 3; Fig. 10A,C). The tissue distribution of the CO₂-activated (*Fos*+) parafacial *Nmb* neurons was the same as that of the *Nmb* neurons that contained both *Gpr4* and *Kcnk5* mRNA (compare Figs. 8B, 10E). By contrast, the CO₂-insensitive (*Fos*-) *Nmb* neurons were located dorsal and generally lateral to the CO₂ responsive cells, with tendency toward being more lateral, particularly in the more rostral areas of RTN. A similar pattern of *Fos* expression was noted in two of the hypercapnia cases in which alternate sections were hybridized with *Kcnk5* probe (i.e., *Fos* expression was primarily localized to *Kcnk5*-expressing RTN neurons; Fig. 10D,F; Table 3).

In five male mice exposed to hypoxia (8% FiO₂) for 35 min, very few *Nmb* neurons expressed *Fos* ($3.6 \pm 0.7\%$; Table 4). As in the case for hypercapnia, none of the *Nmb*-only (i.e., without *Kcnk5* or *Gpr4*) cells expressed *Fos* in animals exposed to hypoxia (0/259 *Nmb*-only cells counted in 5 mice). As expected, all mice showed a sharp increase in sighing behavior during hypoxia compared normoxia (21% FiO₂: 0.7 ± 1.3 sighs/min, $n = 5$; 8% FiO₂: 33.3 ± 3.5 sighs/min, $n = 5$; unpaired $t = 8.8$, $p < 0.0001$).

Parafacial *Nmb* neurons in the rat

We used a rat-specific probe (Table 1) to examine the distribution of *Nmb* mRNA in three adult male Sprague Dawley rats, confining our observations to the rostral medulla and pons. As in mice, *Nmb* cells were abundant in the parafacial region but, unlike in mice, they were also present within the ventromedial medulla oblongata and adjacent portions of the pons (Fig. 11A).

The *Nmb* neurons located in the parafacial region contained *Phox2b* transcripts, whereas those located more medially did not (Fig. 11B–E). The two groups were adjoining, but essentially non-overlapping (Fig. 11A). As in mice, none of the *Nmb* neurons were TH-immunoreactive (data not shown). The distribution of the *Nmb*+/*Phox2b*+ neurons was very similar to that of the mouse (compare Figs. 1, 11) and seemed virtually identical to that of the neurons previously defined as RTN in rats based on coexpression of *VGlut2* mRNA and *Phox2b* and absence of TH (Stornetta et al., 2006). Like previously defined RTN neurons, the cluster of *Nmb*+/*Phox2b*+ neurons extended from a level $\sim 500 \mu\text{m}$ cau-

dal to the facial motor nucleus to the exit point of the seventh nerve (Fig. 11A). Based on cell counts performed in two animals using a one-in-six series of 30 μm sections, and after Abercrombie correction, the rat contains ~ 1910 *Nmb*+/*Phox2b*+ parafacial neurons, a number virtually identical to the cell population that we previously defined as RTN in this species based on anatomical location, coexpression of *Phox2b* and *VGlut2*, and lack of TH.

Discussion

The principal new findings are as follows. A single marker, *Nmb* mRNA, identifies the RTN, a population of ~ 700 parafacial neurons that could be definitively identified previously only by using a combination of several markers (expression of *Phox2b*, *VGlut2*, NK1R, and absence of catecholaminergic and other markers). At least 80% of RTN neurons contain transcripts coding for one or both proton receptors, *Kcnk5* and *Gpr4*, and most of these neurons express *Fos* mRNA in mice exposed to hypercapnia but not hypoxia. We conclude from these observations that RTN consists mostly of CO₂-sensitive chemoreceptors, and that *Nmb* mRNA identifies these chemoreceptors with $>75\%$ accuracy. A subset of RTN neurons (~ 20 – 30%) contain extremely high levels of *Nmb* mRNA, low to undetectable levels of *Gpr4* and *Kcnk5* transcripts, and typically lack two additional pre-propeptide genes (*Penk*, *Gal*) that are variably expressed by other RTN neurons. These *Nmb*-high RTN neurons are typically larger in size, do not respond to either hypercapnia or hypoxia, and are probably not chemoreceptors. Transcript levels determined by single-cell RNA-seq varied considerably from cell to cell (by 1–2 orders of magnitude). In several instances (*Nmb*, *Gal*, *Penk*, *Kcnk5*, and *Gpr4*) this variability could be confirmed by ISH, at least in a semiquantitative sense, suggesting considerable phenotypic variability even in this small population of neurons. Finally, *Nmb* is also a marker of RTN in rats.

Nmb mRNA: a diagnostic marker of RTN in mice

Phox2b and *VGlut2* transcripts were detectable in essentially all *Nmb* neurons located in the parafacial region of the mouse. These *Nmb* neurons did not express *Th* or *Gad1/Gad2*. In this region, *Nmb* transcripts are expressed by neurons that have all the phenotypical characteristics that have been used to define the RTN (i.e., presence of *Phox2b*, *VGlut2*, and NK1 receptors; widespread expression of *Gpr4* and *Kcnk5*; and absence of *Th*, *Tph1* or *Tph2*, *Gad1* or *Gad2*, *GlyT2*, and *Vgat*; Stornetta et al., 2006; Goridis et al., 2010; Ramanantsoa et al., 2011; Guyenet and Bayliss, 2015; Ruffault et al., 2015). The number of *Nmb* neurons counted in the present study (~ 665) is smaller than our prior estimates of RTN cells based only on eGFP expression (as a proxy for *Phox2b*) in the parafacial area in *Phox2b*::eGFP mice (787; Lazarenko et al., 2009) but still within the range of the number of neurons defined as RTN by genetic lineage analysis (~ 600 :Thoby-Brisson et al., 2009; ~ 700 – 800 : Ramanantsoa et al., 2011). In light of these observations, we conclude that *Nmb* is a reliable single marker of the neuronal population previously defined as RTN based on combinatorial neurochemical and developmental criteria (Dubreuil et al., 2008; Thoby-Brisson et al., 2009; Guyenet et al., 2016).

In rats, *Nmb* transcripts also identify RTN but, in this species, *Nmb* is also expressed by a separate group of *Phox2b*-negative neurons located in the ventromedial medulla oblongata. The two populations are adjacent but overlap very little. Thus, *Nmb* expression should also serve as a useful marker of RTN in rat, although coexpression of *Phox2b* and *Nmb* would provide added precision. *Nmb* identifies the RTN in both rats and mice suggest-

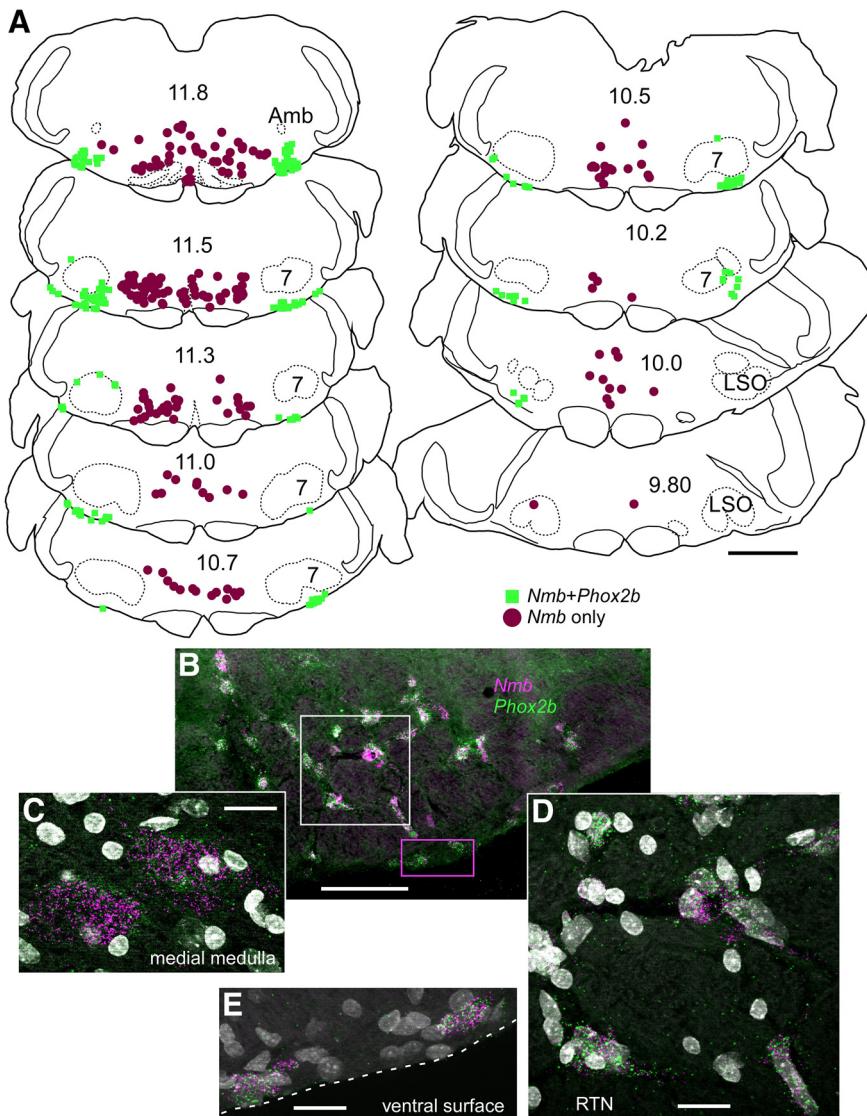


Figure 11. In rats *Nmb* transcripts are present both in RTN and in the adjacent medial reticular formation. **A**, Drawing of coronal sections through medulla and caudal pons of rat showing the distribution of neurons with *Nmb* transcripts, with or without *Phox2b* transcripts. As in the mouse, *Nmb* + *Phox2b* neurons delineate the RTN. However, a large separate population of *Nmb* neurons is located more medially in rat. Numbers in center of sections indicate mm behind bregma (after Paxinos and Watson, 2005). Abbreviations as in Fig. 1. **B**, Photomicrograph of coronal section in caudal RTN showing transcripts for *Nmb* in magenta and *Phox2b* in green. DAPI stain is white/gray. Medial to the left, dorsal toward the top. **C**, Photomicrograph from midline region where *Phox2b* is not expressed in *Nmb* neurons. **D**, Enlargement of white dashed box from **B**. Note coexpression of *Nmb* with *Phox2b* transcripts. **E**, Enlargement of magenta dashed box in **B** showing cells expressing both *Phox2b* and *Nmb* transcripts. Scale: **A**, 500 μm . Scale bars: **A**, 1 mm; **B**, 100 μm ; **C–E**, 20 μm .

ing that it could also be a useful marker of the human RTN (Rudzinski and Kapur, 2010).

The majority of RTN neurons are central respiratory chemoreceptors

Although the CO_2/H^+ sensitivity of RTN neurons is likely enhanced by astrocyte-dependent paracrine mechanisms (Gourine et al., 2005, 2010), it is in large measure a cell-autonomous response to protons (Guyenet et al., 2016). This view is based on two key observations. RTN neurons are activated by CO_2/H^+ after complete isolation (Wang et al., 2013a) and both their pH sensitivity *in vitro* and the central respiratory chemoreflex require the expression by RTN neurons of proton sensors *Gpr4* and *TASK-2* (Gestreau et al., 2010; Wang et al., 2013b; Kumar et al., 2015). We

show here that *Gpr4* and *Kcnk5* are usually coexpressed by RTN neurons. However, we also found cells in which one of those genes was preferentially expressed, likely corresponding to the subset of RTN neurons that only require *Gpr4* or *TASK-2* for their pH sensitivity (Wang et al., 2013b; Kumar et al., 2015).

We also found that $\sim 75\%$ of RTN *Nmb* neurons in mice expressed *Fos* after exposure to hypercapnia (15% FiCO_2). This high level of CO_2 stimulus, notwithstanding the limitations of *Fos* as a measure of neuronal activation, is likely to have revealed close to the true fraction of chemosensitive RTN neurons. Fewer RTN neurons ($\sim 35\%$) express *Fos* in mice exposed to 8% FiCO_2 (Kumar et al., 2015; Shi et al., 2016). Subsets of RTN neurons, such as those that elicit active expiration (Marina et al., 2010; Burke et al., 2015) probably have a high threshold of activation by CO_2 . Importantly, all the *Fos*+ RTN neurons contained *Gpr4* and *Kcnk5* mRNA whereas these transcripts were generally undetectable in the small subset of RTN neurons that did not respond to CO_2 . The CO_2 -activated neurons did not express *Fos* after hypoxia. Using loss of function optogenetics, we previously demonstrated that the RTN neurons that drive breathing are silenced during hypoxia because of the accompanying respiratory alkalosis (Basting et al., 2015; Guyenet et al., 2016). Activation by hypercapnia and inhibition by hypoxia (as a result of the respiratory alkalosis) are responses that are expected from central respiratory chemoreceptors in intact unanesthetized mammals.

The *Nmb* neurons that did not detectably respond to hypercapnia typically expressed the highest levels of *Nmb*. Their cell bodies had a larger cross-sectional area, and tended to be located dorsal and lateral to the main cluster of RTN neurons. The function of these neurons is unknown, but they could be the ~ 200 *Nmb* neurons identified by Li et al. (2016) in the parafacial region of an *Nmb*-eGFP mouse that were implicated in *Nmb*-mediated sighing.

RTN peptides

In addition to *Nmb*, mouse RTN neurons express *Gal*, *Penk*, and *Adcyap1* transcripts. The concentration of *Gal* and *Penk* transcripts was negatively correlated with *Nmb* levels. In particular, both *Gal* and *Penk* mRNA levels were low to undetectable in the *Nmb*-high neurons. *Adcyap1* transcripts were also detected in nearby catecholaminergic neurons and facial motor neurons. Most pro-peptides can be processed into several bioactive transmitters. Galanin and Neuromedin B are presumably produced and used as transmitters by RTN neurons because their terminals are immuno-

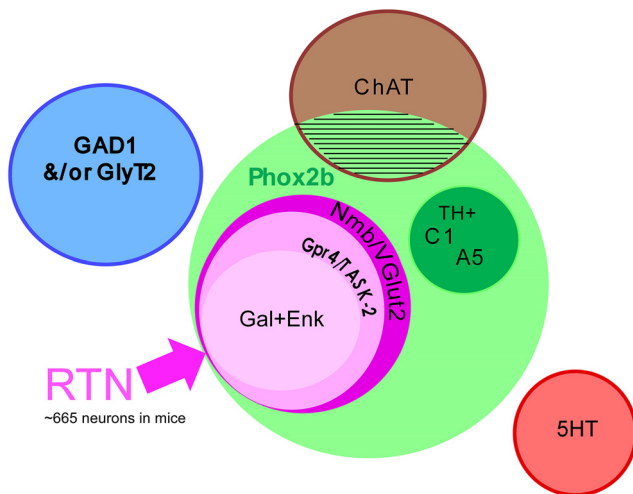


Figure 12. Venn diagram of RTN and other parafacial neurons in mice. The facial/parafacial region contains multiple types of Phox2b neurons (light green circle), which are either cholinergic, noradrenergic or glutamatergic. RTN in mice consists of ~665 Phox2b + glutamatergic (VGlut2+) neurons that express *Nmb* (dark pink circle). Most RTN neurons (80–90%) contain proton sensors TASK-2 and *Gpr4* (medium pink circle); these neurons are most probably central respiratory chemoreceptors. A subset of the latter (>70%) express varying levels of *Gal* and/or *Penk* mRNA (light pink circle). Parafacial *Nmb* neurons do not express *ChAT*, *Th*, *Tph1* or *2*, *Gad1*, or *GlyT2*. The size of the circles is not meant to represent relative number of neurons.

reactive for these peptides (Bochorishvili et al., 2012; Li et al., 2016). The other peptides have as yet to be identified in RTN terminals.

Every RTN neuron contains several pro-peptide transcripts. If peptide content is an indication of function, the code is probably combinatorial. The problem is further complicated as transcript levels, and presumably peptide expression, are not all or none but vary over a wide range.

Stochastic gene expression or evidence for multiple functional subsets of RTN neurons?

The transcript level for individual genes examined semiquantitatively by *in situ* hybridization and quantitatively by RNA-Seq varied considerably from cell to cell (e.g., by 1.5 log units). Clearly, technical issues contribute to some variability for either of these methods, but the general concordance between them suggests that much of this reflects real differences in expression among individual neurons. For example, the generally inverse correlation between *Nmb* transcripts and mRNA for neuropeptides (*Penk* or *Gal*) and proton sensors (*Gpr4* or *Kcnk5*) was detected by both methods, as was the paired and/or preferential expression of *Gpr4* and *Kcnk5* in individual RTN neurons.

The RTN is a numerically small group of neurons with a unique and well defined developmental genetic lineage (Ruffault et al., 2015). Nonetheless, gene expression in RTN neurons was surprisingly variable, suggesting that this relatively small and select population consists of multiple subtypes of neurons. This interpretation is supported by prior evidence of differential synaptic inputs and firing properties in several preparations (Guyenet et al., 2005; Onimaru et al., 2008; Thoby-Brisson et al., 2009). Subsets of RTN neurons with varying degrees of CO₂-sensitivity and distinctive synaptic inputs may differentially regulate various breathing parameters (frequency, amplitude, etc.) and possibly other functions (e.g., arousal, cardiovascular control). Alternatively, the large variability in gene expression that we observed could mean that gene expression is simply stochastic, even among a small cluster of functionally homogeneous neurons.

Conclusions

All RTN neurons express *Nmb* in mice and rats (Fig. 12 for summary). *Nmb* also provides a quantitative trait that defines subsets of RTN neurons. Specifically, the cells expressing the highest levels of *Nmb* never express *Gal* and *Penk*, have lower levels of *Gpr4* and *Kcnk5*, and do not express *Fos* after hypercapnia. The latter seems especially true for the *Nmb*-high RTN neurons (~20–25%) that are located at the outer reaches of this nucleus and may subservise a task distinct from the central respiratory chemoreceptor role of the majority of RTN neurons. Finally, based on *Fos* expression after hypercapnia at least 75% of RTN neurons qualify as potential central chemoreceptors, closely corresponding to the fraction of neurons expressing either *Gpr4* or *Kcnk5* (80–90%). Since all these neurons express *Nmb*, this transcript is a marker that, by itself, identifies RTN chemoreceptors with at least 75% fidelity.

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