

*Article*

# **3-Axis Fully-Integrated Capacitive Tactile Sensor with Flip-Bonded CMOS on LTCC Interposer †**

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**Abstract:** This paper reports a 3-axis fully integrated differential capacitive tactile sensor surface-mountable on a bus line. The sensor integrates a flip-bonded complementary metal-oxide semiconductor (CMOS) with capacitive sensing circuits on a low temperature cofired ceramic (LTCC) interposer with Au through vias by Au-Au thermo-compression bonding. The CMOS circuit and bonding pads on the sensor backside were electrically connected through Au bumps and the LTCC interposer, and the differential capacitive gap was formed by an Au sealing frame. A diaphragm for sensing 3-axis force was formed in the CMOS substrate. The dimensions of the completed sensor are 2.5 mm in width, 2.5 mm in length, and 0.66 mm in thickness. The fabricated sensor output coded 3-axis capacitive sensing data according to applied 3-axis force by three-dimensional (3D)-printed pins. The measured sensitivity was as high as over 34 Count/mN for normal force and 14 to 15 Count/mN for shear force with small noise, which corresponds to less than 1 mN. The hysteresis and the average cross-sensitivity were also found to be less than 2% full scale and 11%, respectively.

**Keywords:** 3-axis tactile sensor; MEMS-CMOS integration; capacitive sensor; Au-Au thermo-compression bonding; low temperature cofired ceramic

### **1. Introduction**

Service robots such as nursing care robots, home assistant robots, and entertainment robots are now being developed all over the world. In contrast with industrial robots, they operate in human environments like homes and hospitals without fences and other safety measures. Tactile information about physical contact allows for dexterous manipulation and safe operation in direct human-robot interaction applications. For these purposes, whole-body tactile sensing is highly desired. 3-axis force (normal and shear force) sensing is also important to detect the direction of the contact force and slip of grasping objects [\[1](#page-12-0)[,2\]](#page-12-1).

As for whole-body tactile sensing, some papers have reported distributed tactile sensors on a large area of a humanoid robot [\[3–](#page-12-2)[5\]](#page-12-3). In order to reduce the number of wires, serial bus connection [\[3,](#page-12-2)[4\]](#page-12-4),



or matrix scanning [\[5\]](#page-12-3) are used. Flexible printed circuit boards (PCBs) also allow for conformable implementation on curved surfaces of a robot. However, the discrete components on PCBs are relatively large in size, which causes low spatial resolution. Recently, 3-axis tactile sensors have been developed based on microelectromechanical systems (MEMS) technology [\[6,](#page-12-5)[7\]](#page-12-6). In [\[8\]](#page-12-7), a piezoresisitive tactile sensor with surface-doped and sidewall-doped beams embedded in polydimethylsiloxane (PDMS) was presented. This sensor demonstrated measurement of 3-axis components of applied pressure independently. In [\[9\]](#page-12-8), the slip detection of a grasping object was demonstrated by microcantilevers with a thin film strain gauge embedded in PDMS. In these studies, the tactile sensor and the readout circuit are connected one-by-one, therefore the number of wires will increase for connecting many sensors. Flexible tactile sensor arrays based on strain gauges [\[10\]](#page-12-9) and multiple capacitors [\[11\]](#page-12-10) demonstrated high spatial resolution like human fingertips, but the wiring problem was not completely solved. Another problem is long wire connection between the tactile sensor and the readout circuit, which increases noise and parasitic capacitance. The monolithic integration of MEMS sensor and complementary metal-oxide semiconductor (CMOS) circuit (MEMS-CMOS integration) enables the reduction of noise and parasitic capacitance, as well as the miniaturization of the device. CMOS-integrated tactile sensor arrays with a spatial resolution of 1 mm or higher have been reported [\[12](#page-12-11)[,13\]](#page-12-12). Also, a capacitive tactile sensor implemented by CMOS standard process and in-house post-CMOS process has been proposed [\[14\]](#page-12-13). However, detection of shear force is not examined by these CMOS-integrated tactile sensors. proceed one-by-one, increase the number of where whi increase for connecting many but the wire wird problem was not completely solved. Another problem is long with the problem is long with the wire connection of the wire connection of the wire connection of the wire connection of the wire connection of

We have developed a tactile sensor, which can be surface-mounted on a flexible and stretchable bus line by integrating a MEMS capacitive sensor and a CMOS circuit [\[15\]](#page-13-0). The integrated tactile sensor can detect contact force by a diaphragm with a boss formed in the CMOS substrate. Thermo-compression bonding by planarized Au bumps and an Au sealing frame is used to integrate the CMOS on an low temperature cofired ceramic (LTCC) interposer, which provides interconnections from the CMOS circuit to the bus lines. The sensor has demonstrated the following functions: (1) data reduction by human-inspired threshold and adaptation operations; and (2) the adjustment of sensitivity and data rathant hop hed uncertorum and adaptation operations, and (2) are adjustment or sensoring and data<br>rate after implementation. However, this integrated tactile sensor can detect normal force only because of limited functionalities. However, detection of shear force is not example to the difference the margin of the sensors.  $\epsilon$  implementation. Trowever, this integrated tachie sensor can detect normal force only

The objective of this study is to develop a CMOS-integrated 3-axis tactile sensor surface-mountable on a bus line. Our final target is a networked event-driven tactile sensor system with numerous distributed 3-axis integrated tactile sensors on a flexible and stretchable bus line, as shown in Figure [1.](#page-1-0) In this study, we focus on the 3-axis integrated tactile sensor. In order to detect 3-axis force, we have used a new CMOS substrate with four channels of differential capacitive sensing circuits. Also, we have improved the sensor structure from the previous study to enhance sensitivity to not only normal force but also shear force [16]. This paper is organized as follows. In Section [2,](#page-2-0) we show the structure and working principle of the sensor and the results of finite element method (FEM) simulation. In Section [3,](#page-5-0) the fabrication method is the sensor is presented. In Section [4,](#page-8-0) an experimental setup for evaluation and the measurement results of normal force and shear force are described. Finally, Section [5](#page-11-0) concludes this paper. shady, we focus on the 3-axis integrated tactile sensor. In order to detect 3-axis force, or normal force and shear force are described. Fi

<span id="page-1-0"></span>

**Figure 1.** Conceptual figure of the 3-axis integrated tactile sensors on a flexible and stretchable bus **Figure 1.** Conceptual figure of the 3-axis integrated tactile sensors on a flexible and stretchable bus line: (**a**) System diagram; (**b**) Physical implementation.

#### <span id="page-2-0"></span>**2. Design** 2. Designed to be 2.5 mm in width, 2.5 mm in thickness. The sensor has and 0.66 mm in thickness. The sensor has a sens

#### *2.1. Sensor Structure* flipped CMOS with a sensing diaphragm above an LTCC interpretational control interpretation of the CMOS circuit  $\mathcal{L}$ . Can sensing and serial business from the CMOS communication. In the CMOS communications from the CMOS connections from the CMOS communications from the CMOS communications from the CMOS connections from the CMOS

Figure 2 shows the structure of the 3-axis integrated tactile sensor. The dimensions of the sensor are designed to be 2.5 mm in width, 2.5 mm in length, and 0.66 mm in thickness. The sensor has a flipped<br>CMOS [17,18] or the sensor has a flipped CMOS with a sensing diaphragm above an LTCC interposer. The CMOS circuit is used for differential capacitive sensing and serial bus communication. Interconnections from the CMOS circuit are provided through Au bumps and Au vias of the LTCC interposer, which makes the fabrication process simpler as compared to using through silicon vias (TSVs) [17,18] or through silicon grooves [19]. The LTCC interposer is suitable for wafer-level packaging due to three-dimensional (3D) internal wiring as well as its small mismatch of coefficient of thermal expansion compared to Si  $[20]$ . The capacitive sensor structure is sealed with an Au sealing frame. Although we have formed a diaphragm with tapered boss by anisotropic wet etching in the previous study [\[15\]](#page-13-0), the side surface of the diaphragm and the boss by anisotropic wet etching in the previous study [15], the side surface of the diaphragm and the boss is vertical in this study to enhance sensitivity to normal force and shear force. The capacitive gap of  $4.5 \mu m$ , which is smaller than that of the previous sensor (10  $\mu$ m), also enables the enhancement of sensitivity and the prevention of large diaphragm deflection. As described later, differential capacitive sensing like a seesaw for *X*-axis and *Y*-axis allows shear force detection with high sensitivity while one capacitance changes and another is fixed for *Z*-axis.

<span id="page-2-1"></span>

**Figure 2.** Structure of the 3-axis integrated tactile sensor. **Figure 2.** Structure of the 3-axis integrated tactile sensor.

### *2.2. Working Principle*

*2.2. Working Principle*  Figure [3a](#page-3-0) shows the electrode layout of the 3-axis integrated tactile sensor. The sensor has 5 sensor capacitors  $C_{X-}$ ,  $C_{X+}$ ,  $C_{Y-}$ ,  $C_{Y+}$ , and  $C_{Z+}$  under the diaphragm, and a fixed capacitor  $C_{Zref}$ , which is apart from the other electrodes. When normal force  $F_Z$  is applied to the boss of the sensor, capacitances  $\epsilon$  from  $\epsilon$ <sub>Z ist</sub> increase as shown in Figure 35. In the case that shear force *F*<sub>X</sub> is applied to the sensor, correspond to the force direction change. For example, if *X*-axis shear force  $F_X$  is applied to the sen capacitances except for  $\mathcal{L}^2$  in the case that shown in Figure 3b. In the case that shear for  $\mathcal{L}^2$ except for C<sub>Zref</sub> increase as shown in Figure [3b](#page-3-0). In the case that shear force is applied, capacitances

in positive direction as shown in Figure [3c](#page-3-0), C<sub>X+</sub> increases while C<sub>X−</sub> decreases. The other capacitances do not change in this case.

The capacitance change is detected by the CMOS circuit. Figure 4 shows the optical micrograph The capacitance change is detected by the CMOS circuit. Figur[e 4](#page-4-0) shows the optical micrograph of the CMOS substrate and the schematic diagram of the readout circuit. The CMOS circuit has of the CMOS substrate and the schematic diagram of the readout circuit. The CMOS circuit has a a capacitance-frequency (CF) converter for each capacitor, and the oscillation frequency *f* is written as capacitance-frequency (CF) converter for each capacitor, and the oscillation frequency *f* is written as

$$
f = \frac{4.74 \times 10^4}{C_{\text{sens}} + 497'},\tag{1}
$$

where  $C_{\text{sens}}$  is the sensor capacitance and the units of f and  $C_{\text{sens}}$  are MHz and fF, respectively. The 3-axis output values  $N_X$ ,  $N_Y$ , and  $N_Z$  are given by 3-axis output values *N<sub>N</sub>M*<sub>2</sub> and *N<sub>N</sub>* are given

$$
N_X = f_{X-} \cdot \frac{P}{f_{\text{clock}}} - f_{X+} \cdot \frac{P}{f_{\text{clock}}},\tag{2}
$$

$$
N_Y = f_{Y-} \cdot \frac{P}{f_{\text{clock}}} - f_{Y+} \cdot \frac{P}{f_{\text{clock}}},\tag{3}
$$

$$
N_Z = f_{Zref} \cdot \frac{P}{f_{clock}} - f_{Z+} \cdot \frac{P}{f_{clock}},
$$
\n(4)

where the subscripts of f correspond to the capacitor (e.g.,  $f_{Zref}$  is the oscillation frequency of  $C_{Zref}$ ), and P and  $f_{\text{clock}}$  are the count period and the clock frequency, respectively. In this study, P and  $f_{\text{clock}}$ are set to be  $2^{13}$  clock cycles and 1.56 MHz, respectively. When  $F_Z$  is applied,  $N_Z$  increases, because  $f_{\text{Zref}}$  is fixed and  $f_{\text{Z+}}$  decreases. In contrast,  $N_X$  and  $N_Y$  do not change because the decreases of  $f_{X-}$  and  $f_{X+}$  as well as  $f_{Y-}$  and  $f_{Y+}$  are the same in principle due to the symmetry of the electrode layout. If  $F_X$  is applied to the positive direction,  $N_X$  increases because  $f_{X-}$  increases and  $f_{X+}$  decreases, while  $N_\text{Y}$  and  $N_\text{Z}$  do not change because the other oscillation frequencies do not change.

<span id="page-3-0"></span>

**Figure 3. (a)** Electrode layout; Working principles for (b) normal force  $F_Z$  and (c) X-axis shear force  $F_X$ .

<span id="page-4-0"></span>

**Figure 4.** (**a**) Optical micrograph of the complementary metal-oxide semiconductor (CMOS) **Figure 4.** (**a**) Optical micrograph of the complementary metal-oxide semiconductor (CMOS) substrate; (b) Schematic diagram of the capacitive readout circuit; Time responses of (c) the voltage of the sensor capacitor  $V_C$  and (**d**) the oscillation output voltage  $V_{\text{out}}$ .

## *2.3. FEM Simulation 2.3. FEM Simulation*

FEM simulation software (Femtet, Murata Software Co., Ltd., Tokyo, Japan) was used to FEM simulation software (Femtet, Murata Software Co., Ltd., Tokyo, Japan) was used to estimate the diaphragm deflection and the capacitance change when force is applied to the 3-axis estimate the diaphragm deflection and the capacitance change when force is applied to the 3-axis integrated tactile sensor. The 3-axis integrated tactile sensor is designed to distribute on the bus integrated tactile sensor. The 3-axis integrated tactile sensor is designed to distribute on the bus lines, and the contact force is divided to some sensors. Thus, the maximum applied force was set to 1 N N on the assumption of normal manipulation operations. The target minimum detectable force was on the assumption of normal manipulation operations. The target minimum detectable force was decided as 1 mN for achieving a dynamic range of 1000:1. The measurement range can be changed decided as 1 mN for achieving a dynamic range of 1000:1. The measurement range can be changed by the dimensions of the sensor (e.g., the diaphragm thickness, the size of the boss, and the thickness by the dimensions of the sensor (e.g., the diaphragm thickness, the size of the boss, and the thickness of the Au bumps, and the sealing frame). The dimension of the diaphragm was determined to be 1.3 mm square, in which no analog circuits is located, to avoid piezoelectric effect to the analog circuits. The thickness of the diaphragm was determined to be 50 µm, as with the previous study [15]. The circuits. The thickness of the diaphragm was determined to be 50 µm, as with the previous study [\[15\]](#page-13-0). The dimension of the boss is  $0.4$  mm square. In this simulation as well as the measurement described  $\frac{1}{2}$ In Section [4,](#page-8-0) normal force was applied to the top surface of the boss, and shear force was applied to  $\frac{1}{2}$ of the side surfaces from the top to 200 µm below the top of the boss. one of the side surfaces from the top to 200 µm below the top of the boss.

Figure 5a,b show the deflection of the diaphragm by normal force *F*Z and X-axis shear force *F*<sup>X</sup> Figure [5a](#page-5-1),b show the deflection of the diaphragm by normal force *F*<sup>Z</sup> and *X*-axis shear force *F*<sup>X</sup> of 1 N applied to the positive direction, respectively. The maximum deflections of 3.21  $\mu$ m for  $F_Z$  and 1.03  $\mu$ m for  $F_X$  are smaller than the initial capacitive gap of 4.5  $\mu$ m. The Z-axis stiffness was calculated as  $3.1 \times 10^5$  N/m. The maximum principal stresses for  $F_Z$  and  $F_X$  of 1 N were estimated as  $365$  MPa and 542 MPa, respectively. They are smaller than the reported fracture stress of Si specimens specimens microfabricated by deep reactive ion etching (DRIE) [21]. The eigenfrequencies of the microfabricated by deep reactive ion etching (DRIE) [\[21\]](#page-13-6). The eigenfrequencies of the parallel parallel motion of the diaphragm and the rotation motion of the boss were estimated to be 223 kHz motion of the diaphragm and the rotation motion of the boss were estimated to be 223 kHz and and 399 kHz, respectively. These values are much higher than the frequency of the motion of robots 399 kHz, respectively. These values are much higher than the frequency of the motion of robots and EV KEE, respectively. These variates are materially called meaning of the monoment of reseas and the detectable stimuli frequency of human tactile receptors. Figure [5c](#page-5-1),d show the change of 3-axis output values calculated by the simulated 3-axis capacitances as a function of  $F_Z$  and  $F_X$ , respectively. respectively. The nonlinearity of the change of *N*Z is caused by the relationship of the oscillation The nonlinearity of the change of  $N_Z$  is caused by the relationship of the oscillation frequency and the capacitance, as described in Equation (1). The sensitivity was estimated as 103 Count/mN for  $F_Z$ from 0 N to 0.5 N and 22.6 Count/mN for  $F_X$  from  $-1$  N to 1 N, which is high enough to satisfy the minimum force sensitivity of 1 mN. The capacitance at unload condition was calculated as 643 fF for  $C_{X-}$ ,  $C_{X+}$ ,  $C_{Y-}$ , and  $C_{Y+}$ , 368 fF for  $C_{Zref}$ , and 332 fF for  $C_{Z+}$ .

<span id="page-5-1"></span>

**Figure 5.** Finite element method (FEM) simulation results: Diaphragm deflection by (a) normal force  $F_Z$ of 1 N and (b) X-axis shear force  $F_X$  of 1 N applied to the positive direction; Calculated change of 3-axis  $\frac{1}{2}$  output values by (**c**)  $F_Z$  and (**d**)  $F_X$ .

# <span id="page-5-0"></span>**3. Fabrication 3. Fabrication**

Figure 6 illustrates the fabrication process of the integrated tactile sensor. A multi-project wafer Figure [6](#page-6-0) illustrates the fabrication process of the integrated tactile sensor. A multi-project wafer<br>Co., Ltd. 242, Legal Co., Ltd. 253, (MPW) fabricated by 0.18 µm CMOS process of Taiwan Semiconductor Manufacturing Co., Ltd. (TSMC) (Hsinchu, Taiwan) was used for the tactile sensor. The MPW has a laser ablation area, as shown in Figure [4a](#page-4-0). Figure [7](#page-6-1) shows the surface roughness of the MPW. The peak-to-valley roughness of the laser ablation area is over 20  $\mu$ m, which is not suitable for the subsequent process. In order to decrease the surface roughness, a 20-µm-thick SiO<sub>2</sub> layer was deposited by plasma enhanced chemical vapor deposition (Figure [6b](#page-6-0)) and the surface was planarized by chemical mechanical polishing. The CMOS substrate was back-ground to make the surface flat and to reduce the thickness to 300  $\mu$ m (Figure [6c](#page-6-0)) [\[22\]](#page-13-7). After this planarization process, the surface roughness became small enough for the following processes.

<span id="page-6-0"></span>

Figure 6. Fabrication process of the 3-axis integrated tactile sensor: (a) Received CMOS substrate; (b) Thick SiO<sub>2</sub> film deposition; (c) Planarization and back grinding; (d) Pad opening; (e) Au electroplating; (f) Planarization of Au bumps and an Au sealing frame; (g) Formation of a ground (GND) electrode and rewiring; (h) Formation of 3-axis capacitor electrodes and rewiring; (i) Au-Au thermo-compression bonding; (j) Formation of a diaphragm; (k) Formation of bonding pads; (l) Surface mounting on a glass substrate with interconnections by an anisotropic conductive film (ACF).

<span id="page-6-1"></span>

Figure 7. Surface profile of the multi-project wafer (MPW) before and after planarization.

The SiO<sub>2</sub> layer was patterne[d](#page-6-0) by dry etching for pad opening (Figure 6d). After depositing Au/Pt/Ti seed layers by sputtering, Au of 9 µm thickness was electroplated using a sulfite-based plating solution (Microfab Au 310, Electroplating Engineers of Japan Ltd., Kanagawa, Japan) (Figure 6e).

The roles of Au, Pt, and Ti layers are the electrode to electroplate Au, diffusion prevention and adhesion, respectively. The electroplated Au was annealed at 350  $^{\circ}$ C for 30 min to remove volatile components. After covering the surface of the substrate with a resist for protection, the electroplated Au was planarized by fly-cutting process using a surface planer (DAS8920, DISCO Corporation, Tokyo, Japan) with a diamond bit (Figure [6f](#page-6-0)) [\[23\]](#page-13-8). After removing the resist, the thickness of the electroplated Au was confirmed to be approximately 4.5  $\mu$ m. The Au/Pt/Ti seed layers were then patterned for forming a ground (GND) electrode and rewiring (Figure [6g](#page-6-0)). The Au layer was patterned by  $I_2/KI$  solution and Pt/Ti layers were patterned by Ar ion milling. The 3-axis capacitor electrodes were formed on an LTCC interposer (Via-Wafer, Nikko Company, Ishikawa, Japan) by the same procedure as stated above (Figure [6h](#page-6-0)). Figure [8a](#page-7-0),b show the optical micrographs of the CMOS substrate and the LTCC interposer substrate just before bonding, respectively.<br>**Figure 2.** 

<span id="page-7-0"></span>

**Figure 8.** Fabrication results: Optical micrographs of (**a**) CMOS substrate with Au bumps, an Au **Figure 8.** Fabrication results: Optical micrographs of (**a**) CMOS substrate with Au bumps, an Au sealing frame and a GND electrode and (**b**) low temperature cofired ceramic (LTCC) interposer sealing frame and a GND electrode and (**b**) low temperature cofired ceramic (LTCC) interposer substrate with 3-axis sensing electrodes; (c) Front side and (d) back side views of a fabricated tactile sensor; (**e**) Surface-mounted tactile sensor on a glass substrate with interconnections. sensor; (**e**) Surface-mounted tactile sensor on a glass substrate with interconnections.

The fabricated sensor was surface-mounted on a glass substrate with Au/Ni/Au/Cr The surface of these substrates were activated by Ar ion milling. After alignment by  $\frac{1}{2}$ an mask/bonding aligner (MA/BA8, SUSS MicroTec, Garching, Germany), the substrates were bonded<br>. by a wafer bonder (SB6e, SÜSS MicroTec, Garching, Germany) at 300 °C for 30 min with a bonding bonding pressure of about 130 MPa (Figure [6i](#page-6-0)). A diaphragm was formed in the CMOS substrate by DRIE (Figure [6j](#page-6-0)). The thickness of the diaphragm was approximately 50  $\mu$ m. Bonding pads were formed by Au electroplating and patterning the seed layers (Figure [6k](#page-6-0)). Figure [8c](#page-7-0),d show the fabricated sensor after dicing. The sensing diaphragm with the boss is formed on the front side to receive contact force. The bonding pads formed on the backside are for providing the power (3.3 V for analog circuits and input/output (I/O) and 1.8 V for digital circuits), GND, signals, and resets to the CMOS circuit.

The fabricated sensor was surface-mounted on a glass substrate with  $Au/Ni/Au/Cr$ interconnections by an anisotropic conductive film (ACF) [\[24\]](#page-13-9) (Figure [6l](#page-6-0)). The ACF (MF-301, Hitachi Chemical Co., Ltd., Tokyo, Japan) has Au-plated plastic particles with a diameter of  $10 \mu m$  and a density of approximately 600 pcs./mm<sup>2</sup>. The bonding pads and the interconnections are electrically connected, keeping the isolation of the adjacent bonding pads. A flip-chip bonder (MODEL-6000, HiSOL, Inc., Tokyo, Japan) was used for surface mounting, and a bonding pressure of 3 N was applied at 170 °C. Figure [8e](#page-7-0) shows a surface-mounted tactile sensor on a glass substrate with interconnections.

### <span id="page-8-0"></span>**4. Experiments and Results**  $\mathbf{A}$

### **4.1. Experimental Method recovery system and data via clock and data recovery system in the configuration of the c**

Figure [9a](#page-8-1) shows experimental system diagram. The integrated tactile sensor was connected to a field programmable gate array (FPGA) based relay node (EDA-004, HuMANDATA Ltd., Osaka, Japan) and DC-DC converters. After providing power and configuration data, the tactile sensor CS-recognizes the configuration data via clock and data recovery system [\[25,](#page-13-10)[26\]](#page-13-11), and starts transmitting recognizes sensing data packets. The data packet includes the sensor ID, 3-axis capacitive sensing data, and a cyclic<br>1.6 mW for 1.8 V power of the contract of the redundancy check (CRC) error check code, as shown in Figure [9b](#page-8-1). The clock frequency and data rate<br>Figure 10 illustrated to the fabricated sensor in the fabrication of the fabricated sensor in the fabricated s of the data packet were 1.34 MHz and 81 samples/s, respectively. The packet data were decoded<br>by a reference in vertical and the linear stage via a reference gauge with a reference gauge with a reference by the relay node, and the decoded data are analyzed by a host software. The power consumption<br>(200 µm depth). A state of the concept of the pin with a concept of the concept of the concept of the concept of the concern of of the fabricated tactile sensor was estimated as 5.8 mW (1.2 mW for 3.3 V power and 4.6 mW for  $\frac{1}{2}$ 1.8 V power). sensing data packets. The data packet includes the sensor ID, 3-axis capacitive sensing data, and a cyclic reduced and the complemental code, as shown in Figure 9b. The code, as shown in Figure 9b. The code, and  $\sigma$ 

<span id="page-8-1"></span>

Figure 9. (a) Experimental system diagram; (b) Digital signal output waveform from the fabricated 3-axis integrated tactile sensor. 3-axis integrated tactile sensor.

Figure [10](#page-9-0) illustrates the experimental setup. Normal force was applied to the fabricated sensor by pushing a 3D-printed plastic pin vertically using a linear stage via a reference force gauge (ZTS-5N, IMADA Co., Ltd., Aichi, Japan). A 3D-printed pin with a concave shape  $(200 \mu m \text{ depth})$  was pushed horizontally to the side surface of the boss by moving a positioning stage to apply shear force.



<span id="page-9-0"></span>

**Figure 10.** Measurement setup for applying (**a**) normal force and (**b**) shear force. **Figure 10.** Measurement setup for applying (**a**) normal force and (**b**) shear force.

# *4.2. Results 4.2. Results*

Figure [11](#page-10-0) plots the change of 3-axis output values for the applied force. Each plot shows the average of 200 output values. As shown in Figure [11a](#page-10-0), the *Z*-axis output value  $N_Z$  increased by the average of 200 output values. As shown in Figure 11a, the *Z*-axis output value  $N_Z$  increased by are average of 200 output values. The shown in Figure 11a, are 2 and output value  $v_z$  increased by applying normal force  $F_z$  up to 1.3 N, while the change of  $N_X$  and  $N_Y$  are small. The sensitivity for  $F_z$ up to 0.5 N is calculated as 34.5 Count/mN. The standard deviations of  $N_Z$  at unload condition was up to 0.5 N is calculated as 34.5 Count/mN. The standard deviations of  $N_Z$  at unload condition was 3.5 Count. This corresponds to *F*Z of 0.10 mN, which is calculated by the sensitivity of 34.5 3.5 Count. This corresponds to *F*<sup>Z</sup> of 0.10 mN, which is calculated by the sensitivity of 34.5 Count/mN. The hysteresis for  $F_Z$  is less than 0.3% full scale (FS). The nonlinear change of  $N_Z$  is due to parasitic parasitic capacitance and the nonlinear relationship between the oscillation frequency and the oscillations of  $\frac{1}{2}$ capacitance and the nonlinear relationship between the oscillation frequency and the capacitance.<br>Capacitance and the nonlinear relationship between the oscillation frequency and the capacitance. Suppose the elastic deformation of the diaphragm, the applied normal force *F*<sub>Z</sub> is given by

$$
F_Z = k\Delta d,\tag{5}
$$

where  $k$  and  $\Delta d$  are the stiffness and the deflection of the diaphragm, respectively. The Z-axis sensor capacitance *C*Z+ is written as capacitance *C*Z+ is written as

$$
C_{Z+} = \frac{\varepsilon S_{Z+}}{d - \Delta d} + C_{p},\tag{6}
$$

where  $\varepsilon$  is permittivity of vacuum,  $S_{Z+}$  is the area of the Z-axis sensor capacitor  $C_{Z+}$ , d is the initial gap of the capacitor electrodes, and *C*<sub>p</sub> is parasitic capacitance. Combining Equations (1) and (4)–(6) gives the following equation:

$$
N_Z = f_{Zref} \cdot \frac{P}{f_{clock}} - \frac{4.74 \times 10^4}{\left(\frac{d}{d - \Delta d} C_{Z + ,0} + C_p\right) + 497} \cdot \frac{P}{f_{clock}},\tag{7}
$$

where  $C_{Z+0}$  is expressed as  $C_{Z+0} = \varepsilon S_{Z+}/d$ . The Z-axis output value at unload condition  $N_{Z0}$  is given by

$$
N_{Z0} = f_{Zref} \cdot \frac{P}{f_{clock}} - \frac{4.74 \times 10^4}{C_{Z+0} + C_{p} + 497} \cdot \frac{P}{f_{clock}}.
$$
\n(8)

The change of the *Z*-axis output value  $\Delta N_Z$  (i.e.,  $N_Z - N_{Z0}$ ) is written as

$$
\Delta N_Z = \frac{4.74 \times 10^4 C_{Z+,0} P F_Z}{f_{clock}(C_p + 497)(C_{Z+,0} + C_p + 497)} \cdot \left(\frac{C_{Z+,0} + C_p + 497}{C_p + 497}kd - F_Z\right)^{-1},\tag{9}
$$

where the units of  $f_{clock}$ ,  $C_{Z+0}$ , and  $C_p$  are MHz, fF, and fF, respectively. Comparing Equation (9) and the equation of the approximate curve in Figure [11a](#page-10-0), *k* and  $C_p$  are calculated as  $2.9 \times 10^5$  N/m and 0.96 pF, respectively. The calculated stiffness is smaller than the simulated one of  $3.1 \times 10^5$  N/m. The possible reason is the dimension error (e.g., the thickness of the diaphragm was smaller than  $\frac{1}{2}$ the designed value). The parasitic capacitance is caused by the CMOS circuit (e.g., pads and  $I/O$ buffers) and the interconnections for rewiring. The parasitic capacitance of the CMOS circuit is about several hundred fF. Thicker isolation layer between the CMOS circuit and the interconnections for rewiring enables the decreasing of parasitic capacitance. When large normal force over 1.3 N is applied,  $N_Z$  becomes stable. This is probably because the capacitor electrodes contact by the force.

<span id="page-10-0"></span>

Figure 11. Change of output value of the 3-axis integrated tactile sensor by (a) normal force  $F_Z$ ; (b) shear force  $F_X$  and (**c**) shear force  $F_Y$ .

The X-axis output value *N*X and the Y-axis output value *N*Y were linearly changed by the The *X*-axis output value  $N_X$  and the *Y*-axis output value  $N_Y$  were linearly changed by the applied shear force, as shown in Figure [11b](#page-10-0),c. The sensitivity is calculated to be 15.1 Count/mN for *X*-axis and **14.1 Count/mN** for *X*-axis and 14.1 Count/mN for Y-axis. The standard deviations of  $N_X$  and  $N_Y$  at unload condition were 12.4 Count and 7.6 Count, respectively. These correspond to  $F_X$  of 0.82 mN and  $F_Y$  of 0.54 mN. The hysteresis is less than 1.7% FS for *X*-axis and 1.1% FS for *Y*-axis. The average cross-sensitivity is calculated by the following procedures  $[27]$ : (1) the 3-axis output values are converted to measured 3-axis force by the approximation curves shown in Figure [11;](#page-10-0) and, (2) the average cross-axis sensitivity is calculated as  $\frac{1}{2}$ the average of the ratio of the measured cross-axis force to the measured principal-axis force. When *F*<sub>Z</sub> is applied, the average cross-axis sensitivity is  $8.2\%$  for X-axis and  $10.4\%$  for Y-axis. In the case that  $F_X$  or  $F_Y$  is applied, the average cross-axis sensitivity is less than 10%. The calculation of the  $\frac{1}{2}$ stiffness and the parasitic capacitance for *X*-axis and *Y*-axis is not possible by the procedure as stated capacitor of *x*-axis and *Y*-axis is not possible by the procedure as stated above because the Z-axis output value is determined by a fixed capacitor (i.e.,  $f_{\text{Zref}}$  can be assumed

as a constant frequency) and a variable capacitor, while the output values of *X*-axis and *Y*-axis are calculated by two variable capacitors. Also, definition of the gap change ∆*d* is difficult for *X*-axis and *Y*-axis because the gap change is not uniform due to the tilt of the diaphragm (Figure [3c](#page-3-0)), although the gap of the *Z*-axis sensor capacitor changes like a parallel plate type capacitor (Figure [3b](#page-3-0)). As described *Sensors* **2017**, *17*, 2451 12 of 14 in Equations (2)–(4), the output values for each axis is calculated by the difference of the count value of the two capacitors. If the count value of each capacitor can be obtained, estimation of the parasitic capacitances for *X*-axis and *Y*-axis will be possible.

Figure 12 shows the response of the 3-axis integrated tactile sensor to temperature change. Figu[re 1](#page-11-1)2 shows the response of the 3-axis integrated tactile sensor to temperature change. The The fabricated sensor was heated by a silicone rubber heater from room temperature (22 °C) to 60 °C. The change of the output value corresponds to less than 7.5 mN for *X*-axis, 69 mN for *Y*-axis, and 15 mN The change of the output value corresponds to less than 7.5 mN for X-axis, 69 mN for Y-axis, and 15 for *Z*-axis.

<span id="page-11-1"></span>The performance of the fabricated sensor is summarized in Table 1. The performance well fits The performance of the fabricated sensor is summarized in Ta[ble](#page-11-2) 1. The performance well fits the tactile sensing for a robot, which we are considering. In addition, the sensor characteristics measured in this study were repeatable because of the almost fatigue-free characteristics of Si and measured in this study were repeatable because of the almost fatigue-free characteristics of Si and avoidance of the mechanical stress to the analog circuits, which is an important advantage compared avoidance of the mechanical stress to the analog circuits, which is an important advantage compared with elastomer-based tactile sensors. with elastomer-based tactile sensors.



**Figure 12.** Response of the 3-axis integrated tactile sensor as a function of temperature. **Figure 12.** Response of the 3-axis integrated tactile sensor as a function of temperature.

<span id="page-11-2"></span>

Force	Sensitivity [Count/mN]	Noise [Count]	Hysteresis [%]	Average Cross-Axis Sensitivity [%]		
				$X$ -axis	$Y$ -axis	Z-axis
$FX$ (-1 to 1 N)	15.1	12.4	<1.7	-	5.5	9.0
$F_Y$ (-1 to 1 N)	14.1	7.6	< 1.1	5.2	-	7.2
$F_7$ (0 to 1.3 $N^1$ )	>34.5	3.5	< 0.3	8.2	10.4	$\overline{\phantom{0}}$

**Table 1.** The performance of the fabricated 3-axis integrated tactile sensor. **Table 1.** The performance of the fabricated 3-axis integrated tactile sensor.

<sup>1</sup> Z-axis output value  $N_Z$  saturate when normal force of over 1.3 N is applied.

#### <span id="page-11-0"></span>We have developed a 3-axis integrated tactile sensor surface-mountain surface-mountable on a bus line. In order **5. Conclusions**

We have developed a 3-axis integrated tactile sensor surface-mountable on a bus line. In order to simplify the fabrication process, a CMOS substrate with circuits for differential capacitive sensing and serial bus communication was flip-bonded on a LTCC interposer substrate by Au-Au thermo-compression bonding, and then the sensing diaphragm was formed from the backside of the CMOS substrate. For the evaluation of the fabricated sensor, normal and shear force was applied independently by 3D-printed pins and movable stages. The fabricated tactile sensor demonstrated 3-axis force detection with a high sensitivity of 15.1 Count/mN for *X*-axis shear force, 14.1 Count/mN for *Y*-axis shear force, and over 34.5 Count/mN for *Z*-axis normal force. The noise at unload condition corresponded to smaller than 1 mN. Also, the hysteresis and the average cross-sensitivity were less

than 2% FS and 11%, respectively. The 3-axis integrated tactile sensor developed in this study is useful for the tactile sensation of robots working with human.

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**Author Contributions:** Sho Asano performed the design, the fabrication, the evaluation, and the analysis of the tactile sensor and wrote the paper. Masanori Muroyama designed and evaluated the CMOS circuit. Masanori Muroyama, Takahiro Nakayama and Yutaka Nonomura designed the specification of the CMOS circuit. Yoshiyuki Hata and Yutaka Nonomura provided helpful comments and suggestions on the design and the data analysis. Masanori Muroyama and Shuji Tanaka planned and supervised the research.

**Conflicts of Interest:** The authors declare no conflict of interest.

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