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## Zygotic genome activation in vertebrates

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### Abstract

The first major developmental transition in vertebrate embryos is the maternal-to-zygotic transition (MZT) when maternal mRNAs are degraded and zygotic transcription begins. During the MZT, the embryo takes charge of gene expression to control cell differentiation and further development. This spectacular organismal transition requires nuclear reprogramming and the initiation of RNAPII at thousands of promoters. Zygotic genome activation (ZGA) is mechanistically coordinated with other embryonic events including changes in the cell cycle, chromatin state, and nuclear-to-cytoplasmic component ratios. Here, we review progress in understanding vertebrate ZGA dynamics in frogs, fish, mice, and humans to explore differences and emphasize common features.

### Introduction

“Life created without parents” was the front-page New York Times headline on Nov. 28, 1937. The article described experiments by Ethel Browne Harvey showing that sea urchin egg divisions could be triggered even in the absence of sperm or maternal DNA. Harvey had removed egg nuclei by centrifugation and then activated development with salt water. Surprisingly, cell division began and continued until these non-nucleated embryos contained about 500 cells (Harvey, 1936). The slightly less sensational subheading—“New view of cytoplasm”—perhaps more accurately captured the work’s significance: the experiments had conclusively demonstrated that crucial aspects of early development, such as the first rapid cell divisions, can be driven exclusively by maternal cytoplasm without any contribution from the maternal and paternal nuclei and genomes.

Eventually, however, the zygotic genome is required for further development. When embryonic RNA production is blocked with transcriptional inhibitors, development eventually stalls (Brachet et al., 1964; Golbus et al., 1973). Such experiments helped define the concept of the maternal-to-zygotic transition (MZT), which we consider not a single transition but as a series of events following fertilization up to the stage when the embryonic genome is fully transcriptionally active and maternal stores of many mRNA are depleted (Davidson, 1986; Lee et al., 2014; Tadros and Lipshitz, 2009).

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The MZT is essential for development because it coordinates cell division and zygotic gene activation to prepare the embryo for cell differentiation and further development. In many cases, a primary role of early embryonic gene expression is to provide the molecular substrates to initiate gastrulation, which entails coordinated cell movements and germ layer specification. It is at this point in development that the animal begins to acquire different cell fates and specific morphological forms. While it is unknown what precise effect ZGA failure has on human development, it may contribute to pre-implantation pregnancy failure of abnormal embryos (Hertig et al., 1956; Niakan et al., 2012).

Two key features distinguish ZGA from transcription in other cellular transitions. First, ZGA takes the embryo from a state in which there is little if any transcription to a state where up to thousands of genes are transcribed. This contrasts with other developmental transitions wherein a cell's global transcription profile remains largely unperturbed and a few transcription factors are sufficient to instruct cell fate along specific lineages by activating subsets of genes. Second, embryo cell division can proceed without cell growth because the massive oocytes are filled with maternal mRNA and protein. This results in drastic changes in the ratio between maternally deposited proteins and nuclear constituents such as the genome, a property exploited to direct molecular events.

Here, we review the mechanisms for how embryonic gene expression and genomic reprogramming are initiated and regulated during vertebrate embryogenesis. Although faster developing embryos are usually considered distinct from slower developing mammals, here, we review model species from both categories. We focus primarily on mechanistic models for zygotic genome activation (ZGA) and, where appropriate, refer readers to other reviews for discussions on other aspects of the broader MZT including the maternal contribution and degradation of maternal mRNAs (Svoboda et al., 2015; Yartseva and Giraldez, 2015).

## Two broad categories of pre-gastrulation vertebrate embryo development

Vertebrates are divided into two classes: anamniotes, which lay eggs externally in water and include bony fish and amphibians, and amniotes, which lay fertilized eggs on land or retain them in the mother in a protective membrane impermeable to water, and include mammals, birds, and reptiles. Anamniote embryos contain everything needed to fuel development until feeding structures allow them to obtain external nutrients (O'Farrell, 2015). In addition, anamniote embryos typically have a period of rapid cell divisions following fertilization, whereas amniote embryos develop more slowly. This distinction in fast and slow developmental modes provides a useful dichotomy through which to explore differences and identify whether conserved principles of the vertebrate ZGA exist.

Here, as examples of fast-developing species, we review work on the model amphibian, the western clawed frog *Xenopus* (both *X. laevis* and *X. tropicalis*) and the model teleost fish, *Danio rerio* (zebrafish). As examples of slow-developing species, we primarily review work on the house mouse, *Mus musculus*, and, when data are available, humans. Within each vertebrate developmental mode, there are both broad similarities and important molecular differences, both of which we endeavor to highlight. We relate concepts from invertebrates such as *Drosophila* when they inform the vertebrate mechanism, but refer the reader to

recent reviews for a more extensive treatment of invertebrate ZGA (Blythe and Wieschaus, 2015; Harrison and Eisen, 2015).

### Fast-developing embryos

One of the most salient distinctions between fast- and slow-developing embryos is the duration of the first cell division cycles (Fig. 1). Fast-developing embryos often exhibit rapid synchronous cell cycles that rapidly amplify cell number before gastrulation. Early embryonic divisions do not require transcription and are driven by maternally supplied RNAs and proteins. Moreover, these early cell cycles lack G1 and G2 gap phases and instead alternate between S and M phases. Then, after a regulated number of divisions, the cell cycle becomes somatic-like prior to gastrulation.

Rapid cell cycles proceed up to the Mid-Blastula Transition (MBT), a series of cellular changes whose outlines are conserved in many fast-developing vertebrates. For example, in zebrafish, rapid maternally driven cell cycles occur until the 10<sup>th</sup> cycle, when S-phase lengthens, gap phases appear, and cell cycles become sensitive to DNA damage (Ikegami et al., 1999; Kane and Kimmel, 1993; Kane et al., 1996). In *Xenopus laevis*, the first cell division occurs ~90 minutes after fertilization, followed by 11 synchronous divisions every ~30 minutes, depending on temperature (Dettlaff and Rudneva, 1975; Satoh, 1977). At the 12<sup>th</sup> division, the cell cycle slows when S-phase lengthens, divisions of neighboring cells become asynchronous, and cells become motile (Iwao et al., 2005; Newport and Kirschner, 1982a). Concurrently, replication and DNA damage checkpoints are activated, and G1 and G2 phases emerge (Anderson et al., 1997; Kermi et al., 2015; Masui and Wang, 1998). In both species, the initial cell cycle lengthening coincides with a significant increase in zygotic transcription, but does not necessarily depend on zygotic transcription. Indeed, the timing of cell cycle lengthening in early *Xenopus* and zebrafish embryos is unchanged when zygotic RNA production is experimentally inhibited (Brachet et al., 1964; Kane and Kimmel, 1993; Kirschner et al., 1980; Newport and Kirschner, 1982a).

### Slow-developing embryos

Early mammalian development is divided into pre- and post-implantation stages. The cleavage-stage of pre-implantation development is similar to rapid developing embryos in that it entails cell divisions without cell growth (Niakan et al., 2012). However, mammalian cleavage cycles are much slower: the first division occurs after 18–36 hours, and subsequent cleavage divisions take place every ~12–24 hours until blastocyst formation. Finally, several days after fertilization, the mammalian embryo hatches out of the zona pellucida, implants into the uterine wall, and grows rapidly while obtaining material and nutrients from the mother's blood stream.

### Transcription dynamics in fast-developing embryos

When is the zygotic genome first activated? While this question has been posed many times, obtaining an accurate answer has been difficult due to technical and conceptual challenges (see Box1). The interpretation of what constitutes zygotic transcriptional activation for a specific gene is complicated by the fact that a measured increase in transcript levels results from both an increase in the number of genomes per embryo and an increase in the

transcription rate per gene (Fig. 2A–B). Even without an increase in the transcription rate per gene, the number of a given gene’s zygotic transcripts per whole embryo will double with each cell division as long as there is some basal rate of transcription. In addition, maternal RNA stores and a dynamic ‘total’ transcriptome can confound accurate transcript quantification. Thus, detection of a specific gene’s zygotic transcripts above an assay’s detection threshold may not accurately reflect when transcription of that gene was initiated (Fig. 2C).

## Technology Box

### Distinguishing Zygotic and Maternal transcripts

#### -RNA-seq and differential expression

RNA-seq time courses are a straightforward way to define zygotic transcripts. Genes whose expression increases over several consecutive time points or genes whose expression rises above that of the unfertilized egg are likely activated in the embryo. This approach is sensitive enough to detect transcript-level changes above maternal background for many genes. However, one cannot definitively distinguish maternal and zygotic transcripts, as RNA level dynamics are a composite of increasing zygotic expression and maternal mRNA degradation. Best practices include normalizing transcript levels to spike-in abundance controls, and using sufficient replicates or high frequency time points for better variability assessment and statistical power to detect differentially expressed transcripts. In embryos, the commonly used RNA-seq preparation method of polyA selection may lead to erroneous conclusions, as maternal polyA lengths are often dynamic after fertilization, which could alter a gene’s perceived relative expression level. Approaches that avoid this issue include rRNA depletion and random priming during cDNA synthesis, or direct probe-based RNA counting methods (e.g., nanostring technology). In general, the sensitivity of RNA-seq based approaches depends upon read depth and the relative abundance of the transcript(s) of interest. For example, in their embryogenesis RNA-seq time course, Owens et al. estimated that, on average, ~1300 transcripts per embryo were required to generate a single read at a sequencing depth of ~35M reads per sample (Owens et al., 2016). This is approximately 1–2 transcripts per cell at the 1000 or 2000 cell stage, prior to the bulk of zygotic transcription. However, in practice, one often requires a higher minimum number of reads per transcript to consider that gene “expressed”.

#### -RNA-seq and Natural Sequence Variation

Paternal mRNAs are generally not present in sperm. This property can be exploited to detect zygotic RNAs from the paternally supplied genome by mating parental strains with enough sequence divergence to distinguish paternal and maternal transcripts, as performed in *Drosophila* and Zebrafish (Harvey et al., 2013; Lu et al., 2009). This method is straightforward, but requires two fully sequenced strains and sufficient SNP variation between transcripts to avoid mis-alignment of reads.

#### Introns as proxy for nascent transcripts

Although mRNAs are rapidly spliced during transcript elongation, they are initially unspliced as they dissociate from the DNA-RNAPII-transcript ternary complex. Thus, intronic read levels from RNA-seq likely correlate with nascent embryonic transcription (Lee et al., 2013), and can be used to define zygotic gene timing. However, the recently discovered circular RNAs—which can contain unspliced introns—expressed in human oocytes and embryos (Dang et al., 2016) and stable intronic sequences (sisRNAs) in early frog embryos can be confounding factors (Talhouarne and Gall, 2014).

#### **-Blocking transcription and measuring differential expression**

One can block transcription with small molecule RNAPII inhibitors such as  $\alpha$ -amanitin or triptolide, and compare RNA levels to those of untreated embryos. This approach may be sensitive to  $\alpha$ -amanitin levels, however, or the timing of treatment.

#### **-Positive selection of zygotic transcripts using metabolic labeling**

Metabolic labeling of newly transcribed RNA uses UTP analogs, such as BrdU, 4SU, EU or FU, which are injected into embryos or taken up in cell culture (Tani and Akimitsu, 2012). After modified nucleotides are incorporated into nascent RNAs, RNA is extracted and labeled with biotin or other chemical moieties used to isolate the RNA. The captured RNA is eluted and sequenced with standard RNA-seq preparations. This approach distinguishes zygotic from maternal transcripts (Heyn et al., 2014), as maternal transcripts should remain in the supernatant during the isolation procedure. There exists some potential for length bias, however if nucleotides are not efficiently incorporated into short transcripts. Embryos must also be carefully examined for viability, as some nucleoside analogs can be toxic to the organism at high doses (Burger et al., 2013).

#### **-Single-molecule FISH (smFISH)**

smFISH uses multiple dye-labeled probes that hybridize to a specific target mRNA to produce a punctate fluorescent signal for each mRNA. Although the sensitivity of smFISH has not been established in each model vertebrate, in cell culture one can reliably detect single mRNA molecules (Raj and Tyagi, 2010).

It is now clear that the view that zygotic transcription is ‘activated’ at a single moment is not accurate. Recent studies have elucidated the entire time-course of zygotic gene expression by examining differential expression of individual genes over consecutive time points (Collart et al., 2014; Mathavan et al., 2005; Paranjpe et al., 2013; Tan et al., 2013; Yanai et al., 2011; Yang et al., 2013). This showed that ZGA consists of different genes being activated at distinct developmental stages, many of which precede cell cycle lengthening.

Transcription dynamics are broadly similar in frogs and fish. In *Xenopus*, the first transcribed zygotic RNAs are detected in the 8-cell embryo and include the miRNA, pri-mir427, which likely regulates maternal mRNA degradation (Giraldez et al., 2006; Owens et al., 2016). The first detected phase of ZGA includes dozens of zygotic transcripts at the 128- and 256-cell stages. These early expressed genes include several transcription factors and the beta-catenin targets nodal5 and nodal6 (Blythe et al., 2010; Skirkanich et al., 2011), which likely function to prepare the embryo for later gene expression. Transcription continues to increase over several cell cycles. Several hundred genes initiate expression closer to the 10<sup>th</sup>

and 11<sup>th</sup> cycle, with further increases as embryos traverse the MBT (Collart et al., 2014; Owens et al., 2016).

As in frogs, Zebrafish ZGA begins during the early cleavage divisions and is phased in across early development up through the MBT and gastrulation. Zygotic transcripts have been detected as early as the 64-cell stage and include the mir-430 transcript, which is crucial for clearing maternal transcripts (Giraldez et al., 2006; Heyn et al., 2014; Wei et al., 2012). Approximately 600 zygotic transcripts have been detected by the 512-cell stage. Many of these early transcripts are short, which suggests the brief interphase is insufficient to complete long transcripts before transcription is aborted in mitosis, as occurs in flies (Heyn et al., 2014; Shermoen and O'Farrell, 1991). However, this model remains to be tested in vertebrates. Finally, a larger-scale increase in zygotic transcription takes place around the 10<sup>th</sup> division, coinciding with the MBT (Aanes et al., 2011; Harvey et al., 2013; Mathavan et al., 2005; Yang et al., 2013). As in frogs, zebrafish ZGA expresses diverse transcript types including mRNA, miRNA, piRNA, and lncRNAs (Forouzmand et al., 2016; Houwing et al., 2007; Pauli et al., 2012; Wei et al., 2012). While much progress has been made in describing ZGA dynamics, we know much less about the functions in early development of the diverse set of zygotic transcripts.

### Mammalian transcription dynamics

Mouse ZGA is characterized by phases of transcription often referred to as “waves, although this definition may be dependent on sampling frequency. Nonetheless, many genes appear to be transcribed only in a specific stage, while a minority of genes maintain active transcription throughout pre-implantation development (Hamatani et al., 2004). After fertilization in mouse, genetic material from the mouse egg and sperm remain in separate pronuclei in the zygote. The first embryonic transcripts are detected in the male pronucleus during the G2 phase of the first cell cycle (Aoki et al., 1997; Nothias et al., 1996). The pronuclei then fuse and commence the first embryonic division approximately 20 hours after fertilization. Transcription at the 2-cell stage is RNAPII-dependent and is required for further cell division (Bolton et al., 1984; Golbus et al., 1973; Hamatani et al., 2004; Li et al., 2010; Xue et al., 2013). A second phase of transcription initiates at the 4-to-8 cell transition and marks the beginning of dynamic morphological changes that lead to formation of the blastocyst (Hamatani et al., 2004; Wang and Davis, 2014). At the 8-cell stage, embryo blastomeres increase the surface area of their cell contacts in a process known as compaction. Around this time, the first cell fates are specified as inner cell mass (ICM) and trophectoderm (TE), which will form the embryonic and extraembryonic (placental) tissues, respectively, followed by cavitation wherein fluid accumulates between blastocysts and separates the ICM and TE. Because mammalian embryos need to implant to receive nutrients, a primary goal of mammalian ZGA may be to prepare for ICM-TE differentiation so that the TE can specify the extraembryonic tissue necessary for post-implantation nutrient acquisition.

The morphological features of human early embryo development resemble that of mice in that they undergo pronuclear fusion, several cleavage divisions, compaction, morula formation, and cavitation. However, the timing of ZGA and morphological changes are

slightly different, as human ZGA accelerates at the 4–8 cell stage rather than the 2-cell stage as occurs in mouse. Two recent studies of human embryos found ~150 genes upregulated from the oocyte to 1-cell stage, and ~1000 genes upregulated from the 2-cell to the 4-cell stages (Xue et al., 2013; Yan et al., 2013). The first large cohort of RNAPII-mediated transcription of ~2500 genes then occurs at the 4–8 cell stage and is required for cell divisions past the 8-cell stage (Braude et al., 1988; Dobson et al., 2004; Taylor et al., 1997; Tesarik et al., 1987; Vassena et al., 2011; Xue et al., 2013; Yan et al., 2013), followed by ~2500 genes activated at the 8–16 cell stage (Yan et al., 2013). The timing of human ZGA at later cell stages is similar to other mammals, including cow, sheep, rabbit, and macaque, suggesting that human early development may be more representative of mammals than mice (Christians et al., 1994; Crosby et al., 1988; De Sousa et al., 1998; Frei et al., 1989; Schramm and Bavister, 1999).

Strikingly, early mammalian transcription includes several transposons and repetitive elements including endogenous retroviruses (Kigami et al., 2003). The synthesis of viral particles during ZGA was first discovered almost 40 years ago, when Intracisternal A-type viral particles (IAP) were found present in the 2-cell mouse embryo, but not gametes, indicating that IAP expression is likely of early embryonic origin (Biczysko et al., 1973; Calarco, 1975). In mouse, many of these elements are de-repressed early, then repressed again during the MZT to prevent mutations and maintain genome integrity in the germline or early embryo (Macfarlan et al., 2012). In humans, the endogenous retroviral (ERV) element, HERVK-HML-2, expresses at the 8-cell stage through the blastocyst stage before being repressed again (Grow et al., 2015). Unlike other HERVs with coding mutations preventing expression of their viral proteins, a HERVK-HML2 encoded protein was detected in pluripotent stem cells and affected ribosome occupancy on mRNA. This suggests a dedicated developmental function for some ERV gene products (Grow et al., 2015).

Do these elements express similarly early in frogs and fish? Currently, we do not know. In fish, the expression of piwiRNAs (piRNA)—many of which are derived from LTRs of transposable elements—increases across the blastula stage to mid-gastrulation (Houwing et al., 2007; Wei et al., 2012). Yet whether these piRNAs are required for embryo development, or are merely leftover maternal products involved in transposon silencing and genome integrity maintenance is unclear. Most vertebrate genomes comprise a large proportion of repeats and transposable elements, so it will be interesting to see if early frog and fish also express repetitive elements and retroviruses in early cleavage stages and what role this may have in their ZGA.

### **Reprogramming chromatin for embryonic transcription—a clean slate?**

How is the genome prepared for zygotic transcription? In John Gurdon's classic experiment, transferring the nucleus of a frog somatic cell into an enucleated frog oocyte 'reprograms' the somatic nucleus so that it supports the development of an adult frog (Gurdon, 1962). The maternal cytoplasm thus has the remarkable ability to reset the chromatin state of a terminally differentiated nucleus. An analogous process occurs after fertilization: The transition from specialized and transcriptionally silent gametes into a totipotent and

transcriptionally active embryo requires comprehensive reorganization of zygotic chromatin (Biechele et al., 2015; Bultman et al., 2006; Burton and Torres-Padilla, 2014).

Vertebrate chromatin remodeling begins immediately after fertilization when protamines, positively charged proteins that tightly package the genome inside the sperm nucleus, are replaced by maternally supplied histones (Braun, 2001). The rapid protamine exchange is viewed as generating a somatic chromatin state that sets the stage for ZGA. Although most sperm DNA is compacted with protamines, some of the DNA is not—about 10–15% in humans, 5–10% in mouse, the entire zebrafish genome, and species-dependent amounts in amphibians is instead bound by paternal nucleosomes (Jung et al., 2017; Mann et al., 1982; Wu et al., 2011). This raises the possibility that stable nucleosome occupancy at particular genes could influence zygotic gene expression possibly by maintaining histone modifications at these loci (Carone et al., 2014; Hammoud et al., 2009; Jung et al., 2017; Royo et al., 2016; Teperek et al., 2016; van der Heijden et al., 2005). Some discrepancies exist among which loci are believed to retain nucleosomes, perhaps due to slight differences in experimental conditions (Saitou and Kurimoto, 2014). Nevertheless, histone retention differs between the sperm of fertile and infertile men (Hammoud et al., 2011) and, collectively, these studies suggest that paternal chromatin may influence embryonic gene activation.

Following rapid protamine-histone exchange, several maternal-specific histone variants are gradually replaced with somatic variants. Some maternal histone variants exchange through cessation of their embryonic synthesis and dilution through DNA replication. Others, such as the *Xenopus* H1 linker variant, HIM, persist in the chromatin until their somatic variants are synthesized at the MBT (Dimitrov and Wolffe, 1996; Dworkin-Rastl et al., 1994). Generally, histone variant exchange may promote structural changes in promoter nucleosomes to make local chromatin more or less accessible. For example, in frogs, HIM is less basic than somatic H1 and potentially generates less stable chromatin overall, which could be useful during the rapid early cell divisions and for initiating transcription (Freedman and Heald, 2010). In mice, deposition of the H3 variant H3.3 maintains open chromatin in pre-implantation embryos, consistent with the association of H3.3 with transcriptionally active loci in general (Lin et al., 2013; 2014; Torres-Padilla et al., 2006; van der Heijden et al., 2005). Altogether, these early chromatin exchanges help ‘reprogram’ the differentiated germ cell chromatin to prepare for embryonic transcription.

## Models for MZT timing

Three broad classes of models help explain ZGA timing. The first model claims that MZT dynamics are triggered by the accumulation of maternally deposited activating transcription factors. A second model claims that MZT dynamics are triggered by reaching a threshold ratio of a nuclear component to the cytoplasmic volume, often referred to as the “N/C-ratio”. A third model posits that the *de novo* establishment of chromatin states permissive for transcription is critical for ZGA timing because embryos must start from a state with no transcription or active RNAPII. Importantly, these three timing mechanisms are not mutually exclusive and additional mechanisms may also exist.



## Nuclear-to-Cytoplasmic ratio mechanisms

One of the unique aspects of vertebrate oocytes is their massive size. Across metazoans, oocytes can be thousands of times the size of mitotically competent somatic cells such as epithelial or stem cells, despite containing a single haploid genome. Once fertilized, the enormous cell volumes in the early embryo are decreased two-fold with each division cycle leading to a doubling of the nuclear-to-cytoplasmic or DNA-to-cytoplasmic ratio (the “N/C-ratio”). The hypothesis that the exponential increase in N/C-ratio through early development can regulate embryonic events developed over many decades by observations in multiple species (Dettlaff, 1964; Gerhart, 1980; Kirschner et al., 1980). Observations in *Triturus* (newt) described a progressive increase in the N/C-ratio that stabilized during the sharp transition from synchronous to asynchronous cell cycles (Schönmann, 1938). This transition was later defined as a singular event termed the “midblastula transition” (MBT) from studies in *Axolotl* (Signoret and Lefresne, 1971). Irradiation experiments in loach fish linked a functional genome to changes in cell cycle timing, roughly at the stage now known as the MBT (Neyfakh, 1959). Later authors speculated that this event could be triggered by a particular “threshold ratio between quantity of nuclear DNA and other cell substances” (Rott and Sheveleva, 1968).

While the N/C ratio model broadly refers to the ratio of one or more nuclear components to the embryo cytoplasm, experiments manipulating zygotic ploidy helped establish DNA as the most likely nuclear numerator (Kirschner et al., 1980; Newport and Kirschner, 1982b). In animals as diverse as the loach, salamander, newt, frog, or zebrafish, experimentally generated haploid embryos or embryos with more cytoplasm underwent the MBT one cell cycle later than diploid embryos. Conversely, tetraploid embryos and embryos with reduced cytoplasmic volume undergo the MBT one cell cycle earlier (Chulitskaia, 1970; Kane and Kimmel, 1993; Kobayakawa and Kubota, 1981; Masui and Wang, 1998; Rott and Sheveleva, 1968).

A role for the DNA-to-cytoplasmic ratio in controlling the timing of multiple events in early *Xenopus* development was further supported by experimental manipulation of the two parts of this ratio (Newport and Kirschner, 1982a; Clute and Masui, 1995). A 1-cell *Xenopus* embryo was partially constricted into two equal sized lobes. The half of the embryo containing the nucleus divided two to three times before a division introduced a complement of chromosomes into the other half of the embryo. In one half, the MBT occurred 2 to 3 cycles before the other half. Thus, the MBT was triggered in both halves at the same DNA-to-cytoplasmic ratio, but after different numbers of cell divisions, and at different times since fertilization. Importantly, bulk RNA synthesis, measured using radiolabeling, was also controlled by the DNA-to-cytoplasm ratio at the MBT (Newport and Kirschner, 1982b). Taken together, these experiments show that one or more N/C ratios control the MBT in fast developing animals.

### Molecular titration mechanisms sensing the N/C ratio

One proposal for how the DNA-to-cytoplasm ratio controls transcription is through titrated repressors. In this model, the DNA content doubling with each round of division titrates

away maternally deposited inhibitory factors until zygotic gene activation begins at a critical DNA-to-cytoplasm ratio (Fig. 3A–D).

The first suspects for the elusive titrated inhibitor were chromatin components required to compact DNA and repress transcription (Almouzni and Wolffe, 1995; Prioleau et al., 1994). In a key set of experiments, transcription was measured following reporter plasmid injection. Plasmid reporter transcription is repressed from fertilization up to the MBT, at which time it reactivates (Prioleau et al., 1994). Moreover, pre-MBT repression correlated with plasmid chromatinization, which could be prevented by the injection of excess DNA. It was therefore proposed that an excess of histones could outcompete limiting transcription factors to create a repressive environment during early cleavages. Then, repression would be relieved by histone-DNA titration that allows transcription factors to bind (Almouzni and Wolffe, 1995; Pálffy et al., 2017; Prioleau et al., 1994; 1995). In addition, alleviation of repressive chromatin may also require the accumulation of rate-limiting general activators such as the TATA-binding protein, TBP (Veenstra et al., 1999).

An independent set of experiments provided evidence for titration of histones to regulate the MBT *in vivo* (Amodeo et al., 2015). The transcriptional inhibitory activity of *Xenopus* extract was exploited to reconstitute a DNA-to-cytoplasmic ratio-dependent transcriptional response *in vitro*. Through sequential biochemical purifications, the inhibitory activity was isolated as being due to histones H3 and H4. Crucially, manipulations of histone levels in embryos altered the timing of the MBT in the predicted fashion. Histone H3 morpholino injection at the one-cell stage reduced H3 levels by ~50% at the MBT. This H3 reduction advances the MBT by precisely one cell cycle as assayed by global transcription and cell cycle lengthening (Amodeo et al., 2015). In addition to these experiments, histones fulfill several criteria for a globally titrated inhibitor: 1) histones bind DNA across the genome; 2) histones are numerous in the early embryo (Woodland and Adamson, 1977); 3) histones inhibit transcription (Han and Grunstein, 1988); 4) histone depletion in somatic cells can increase the RNAPII transcriptional elongation rate (Jimeno-González et al., 2015; Prado et al., 2016); 5) total histone levels are roughly constant or only slowly increase from fertilization to the MBT, so that their ratio to DNA accurately reflects the DNA-to-cytoplasm (N/C) ratio throughout early development. Thus, histone titration is likely linked to chromatin assembly, cell cycle elongation, and transcription in the frog embryo.

How would histone titration work at the level of individual gene expression? One possibility is that transcriptional onset is determined by the competition of free histones and specific transcription factors at gene loci (Joseph et al., 2017). Consistent with this model, in zebrafish, addition of histones delays transcription, while addition of activating transcription factors advances transcription. Moreover, reducing the concentration of free histones advances transcription of several tested genes (Joseph et al., 2017; Pálffy et al., 2017). Thus, critical activation thresholds could be reached at different times for different genes depending on the concentrations and DNA binding kinetics of the specific activator. Whether such competition occurs during histone deposition after the replication fork, or during replication-independent nucleosome exchange, and whether this mechanism applies broadly or only to subsets of genes, remains to be determined.

In addition to histones, DNA replication factors have been implicated in *Xenopus* MBT regulation. S-phase is the first part of the cell cycle to elongate at the MBT (Iwao et al., 2005), and, in a key experiment, four conserved eukaryotic replication factors Cut5, RecQ4, Treslin, and Drf1, were overexpressed together *in vivo* to induce additional rapid cell cycles in a dose-dependent manner (Collart et al., 2013). Interestingly, these replication factors are specifically degraded near the MBT, suggesting that the effects on replication may be downstream of an earlier MBT trigger, which might target replication factors for degradation to elongate S-phase. However, the effects on zygotic transcription were moderate (Collart et al., 2013), consistent with the likely existence of multiple titrated molecules, with some having greater influence on replication and others—such as histones—perhaps having greater influence on transcription (Amodeo et al., 2015; Joseph et al., 2017). In addition to histones and replication factors, other candidate titrated molecules include the phosphatase PP2A-B55 (Murphy and Michael, 2013), maternal histone variants (Yue et al., 2013), the DNA methyl transferase xDnmt1 (Dunican et al., 2008), and dNTPs (Landström et al., 1975; Vastag et al., 2011).

In addition to DNA, other nuclear components could also contribute to the N/C-ratio, or affect nuclear concentrations of titrated factors. For example, nuclear size changes have been implicated in transcriptional activation in *Xenopus* embryos (Jevti and Levy, 2015). The N/C volume ratio increases sharply during early embryogenesis, and this presumably affects nuclear import rates, and likely alters the nuclear concentration of transcription factors and potentially titrated molecules such as histones or replication factors. In addition, as ploidy changes correlate with nuclear size in many vertebrates (Fankhauser, 1945; Vukovi et al., 2016), there exists the intriguing possibility that nuclear size changes could explain some aspects of ploidy phenotypes. Taken together, this recent body of work suggests that a unified molecular model for how the N/C ratio controls developmental events may need to incorporate a diverse set of factors. Importantly, such a model will also need to resolve the mechanism(s) for how N/C-ratio based changes regulate the transcription of specific loci.

### **Does the N/C ratio control early mammalian development?**

While it has long been appreciated that N/C ratio mechanisms control aspects of early development in many fast-developing species, such mechanisms may also apply to slower-developing vertebrates. Just as in fast developing species, early pre-implantation mammalian development entails cell division without cell growth, which drives a dramatic increase in the N/C ratio (Tsichlaki and FitzHarris, 2016). For example, in pre-implantation mouse embryos, the amount of cytoplasm remains constant through the late-blastocyst 64-cell stage leading to an exponential increase in the N/C ratio with each division cycle (Aiken et al., 2004).

An N/C ratio mechanism may control the first major morphological event of mouse development, cell compaction (Kojima et al., 2014). In mouse embryos, compaction occurs at the 8–16 cell stage and denotes the transition from a loosely connected group of spherical cells to a tight mass without gaps between cells (White et al., 2016). Despite the clear intercellular nature of the event, compaction may depend on the N/C ratio within single cells rather than the total number of cells in the embryo. When 4-cell embryos are split into two

2-cell embryos, compaction begins when these half-sized embryos reach the 4–8 rather than 8–16 cell stage (Fernandez and Izquierdo, 1980; Smith and McLaren, 1977). Moreover, reducing embryo size 2-fold by extracting cytoplasm at the 1-cell stage advances compaction by a single cell cycle (Lee et al., 2001) (Fig. 3E). Inhibiting cell and nuclear division, but allowing DNA replication, results in compaction at a similar time since fertilization at the same DNA-to-cytoplasm ratio as control embryos (Pratt et al., 1981). Analysis of total transcription in cytoplasm-reduced embryos suggested it is largely unaffected. Therefore, it seems unlikely that titration of a global chromatin regulator, such as histones, would sense the N/C-ratio in mammals. However, in other model organisms, such as flies, the N/C ratio controls only a subset of genes (Lu et al., 2009), so it would be interesting to revisit these mouse experiments using modern single-cell sequencing technologies to test whether N/C-ratio changes regulate any genes in mammals.

### Limitations of N/C ratio models and the complexity of MBT regulation

In its simplest iteration, the concept of a singular N/C-ratio mechanism that coordinates both transcription and cell cycle timing in multiple fast developing species has been challenged (Yasuda and Schubiger, 1992; Yuan et al., 2016). For example, the MBT in frogs was defined by the near coincident onset of transcription, cell motility, and cell cycle lengthening. However, zygotic transcription initiates prior to cell cycle lengthening in fish and frogs, and it is unknown if the timing of these early transcripts depends on an N/C ratio. At the very least, different genes would have to be activated in response to very different N/C ratios at different cleavage divisions. Moreover, while some bulk transcription appears sensitive to changes in N/C ratio in fast developing vertebrates, it remains to be shown whether this is true for most genes. Thus, models based only on the alleviation of transcriptional repression are likely insufficient to describe sequential gene activation during ZGA.

### Transcriptional Activation by Activators

Transcription requires DNA binding by sequence-specific transcriptional activators, which must therefore be central components of ZGA timing models. While activator models are typically discussed in general terms, it is useful to consider how they might work quantitatively. First, the translation of maternal mRNA of a transcriptional activator is initiated by fertilization. This activator then accumulates to a critical concentration at which transcription is initiated. The timing of the activation of specific target genes is then determined by the amount of time it takes to reach the critical concentration. For example, in pre-implantation mouse embryos, the general transcriptional activator TBP begins to accumulate at the two-cell stage so that its concentration increases through early development (Gazdag et al., 2007). Importantly, the activator accumulation model does not require cell division and depends strongly on time, translation rate, and mRNA concentration. The relatively long duration of the early mammalian embryo cell cycles allows the activator mechanism sufficient time to reach critical concentrations during the course of a single cell cycle. Additional cell cycles (i.e., time) or increased maternal mRNA levels may be required for critical concentrations to be reached in the fast developing frogs and fish.

A related model is that timing results from a sequence of biochemical events. These may include regulated and dynamic polyadenylation of mRNAs, translation of a maternal transcription factor mRNA, transcription factor import into the nucleus, remodeling of nucleosomes, and, finally, recruitment and elongation of RNAPII. The minimum time to expression would be constrained by biochemical activities of expressing and translating a cascade of one or several TFs (Fig. 4). This activation cascade could account for the timing of a gene's onset at a particular stage. For example, in *Xenopus*, polyA lengthening of many maternal transcripts occurs in the first 2 hours after fertilization (Collart et al., 2014), which, presumably, stabilizes the mRNA for increased translation. Maternal transcription factors such as VegT are then required, directly or indirectly, for zygotic expression of the mesoderm-promoting genes such as the T-box transcription factor Brachyury (Kofron et al., 1999). Brachyury expression initiates at the MBT and peaks several hours later when Brachyury protein activates several direct binding targets to propagate zygotic gene expression (Collart et al., 2014; Gentsch et al., 2013). Importantly, both the cascade and transcriptional activator models can be tested by measuring and manipulating the concentrations of key predicted regulatory factors through developmental time-courses.

While the qualitative aspects of activator models remain to be determined, progress has been made in identifying specific ZGA activators. The canonical example of a “master” ZGA regulator comes from studies in *Drosophila* embryos, where the site-specific transcription factor Zelda binds the promoters of hundreds of genes and is required for their activation (Bosch et al., 2006; Harrison et al., 2011; Liang et al., 2008; Nien et al., 2011). Zelda may function as a “pioneer” TF that can bind to nucleosomes to promote chromatin opening (Sun et al., 2015). Until recently, it was unclear if such wide-binding activating factors existed in vertebrates because Zelda has no clear ortholog in fish, amphibians, or mammals. However, two complementary zebrafish studies have now shown that the transcription factors Nanog, SoxB1, and Pou5f3 (Oct4) are required to initiate ~75% of the first major phase of zygotic gene activation (Lee et al., 2013; Leichsenring et al., 2013). One target of Nanog is miR-430, the highly expressed miRNA responsible for clearing maternal transcripts (Giraldez et al., 2006; Lee et al., 2013). This demonstrates that Nanog, SoxB1, and Pou5f1 are important early MZT transcription factors in vertebrates that may function analogously to Zelda in flies.

Although frogs are more closely related to mammals than zebrafish (last common ancestor ~350 MYA compared to ~430 MYA) their Oct4/Pou5f1 orthologs, Oct91 and Oct25, and Nanog-related Ventx proteins are not expressed until the MBT, after ZGA has initiated (Owens et al., 2016). Thus, these transcription factors are unlikely to fulfill an early ZGA activator role in frogs. It remains to be seen whether Sox proteins or a third Pou5f1 ortholog, Oct60, that is maternally provided (Hinkley et al., 1992), play a role in frog ZGA.

Nanog, SoxB1, and Oct4 (Pouf1) activate transcription in mammalian pre-implantation embryos (Boyer et al., 2005), and may play a role in mouse ZGA. However, these factors do not function identically in these two species. In fish embryos, Nanog, SoxB1, and Oct4 promote differentiation by driving towards gastrulation, while, in mammals, these factors are best known for their role in maintaining the pluripotency of embryonic stem cells to prevent differentiation. Nevertheless, there are enough parallels to raise the question—do these

pluripotency factors also function during mammalian ZGA? Oct4 is highly expressed in mouse oocytes, which makes it a likely candidate to regulate ZGA (Foygel et al., 2008). However, knockout experiments show that loss of Oct4 alone does not prevent the accumulation of critical zygotic transcripts in the first ZGA cohort (Frum et al., 2013; Wu et al., 2013). Another pluripotency factor, Sox2, is expressed in oocytes and translocates to the nuclei at the 2-cell stage, and Sox2 overexpression arrests development between the 2- and 8-cell stage (Pan and Schultz, 2011). However, it is unclear how this phenotype relates to ZGA.

Other TFs, however, have been directly implicated in mouse ZGA by oocyte-specific deletion experiments (Li et al., 2010). General transcription regulators, like TIF1 $\alpha$ , which translocates to transcription foci of pronuclei, are often required for progression past the 2-cell stage (Torres-Padilla et al., 2006). Mouse ZGA is also controlled by Yap1, the Hippo pathway transcriptional regulator best known for its role in organ size control. Yap1 is highly expressed in mammalian oocytes, but remains inactive due to cytoplasmic sequestration until after fertilization (Abbassi et al., 2016) when it gradually translocates to the nucleus. Maternal deletion of Yap1 results in failure to form a blastocyst, downregulation of ~3000 ZGA transcripts, and upregulation of ~1300 otherwise degraded transcripts. Other activators include the Zscan transcription factors, which are expressed specifically in the 2 cell embryo (Falco et al., 2007; Ko, 2016), NFYa, which likely directly regulates some genes activated in the 2-cell embryo (Lu et al., 2016), and the DUX (mouse) and DUX4 (human) homeobox transcription factors (De Iaco et al., 2017; Hendrickson et al., 2017; Whiddon et al., 2017). Intriguingly, DUX4 directly induces several hundred early human ZGA target genes, including HERVL retrotransposons, at the 4–8 cell stage. DUX4/DUX family genes are found in telomeric and pericentromeric regions as multicopy loci and appear specific to placental mammals, suggesting that DUX transcription factors could be critical drivers of embryonic genome activation in the mammalian lineage (De Iaco et al., 2017; Hendrickson et al., 2017; Whiddon et al., 2017). DUX itself is zygotically expressed by unknown mechanisms, indicating that key maternally deposited regulators of human and mouse ZGA remain to be identified.

## Chromatin dynamics and transcriptional timing

Histone tails are extensively modified by maternal proteins during the MZT and may play a role in determining gene expression timing (Hontelez et al., 2015; Vastenhouw et al., 2010). If this is the case, a specific set of modifications should exist marking genes for early expression before activation. Indeed, in zebrafish, promoter histone modifications associated with gene expression (e.g., H3K4me3) are observed prior to gene expression, leading to a “pre-patterning” model consistent with a causal relationship between a modification and subsequent transcription. In *Xenopus*, histone modifications at specific promoters exist before the MBT (Blythe et al., 2010), but the majority appear to arise during the MBT and the first major zygotic transcription phase (Akkers et al., 2009). In fish, a transcriptionally permissive chromatin state (H3K4me3) and well-positioned nucleosomes appear to be established both prior to and during gene activation, and can even be found in the absence of RNAPII, the elongation mark H3K36me3, or detectable gene expression (Lindeman et al., 2011; Pálffy et al., 2017; Vastenhouw et al., 2010; Zhang et al., 2014). These experiments

suggest that some active loci can be marked by histone modifications prior to transcription, consistent with influencing initiation, although the mechanisms by which these marks are targeted to specific embryonic loci remain unknown.

Intriguingly, some loci in fish exhibit both active- (H3K4me3) and inactive- (H3K27me3) promoter associated marks, reminiscent of the “bivalent” chromatin domains in pluripotent mouse ES cells (Bernstein et al., 2006; Vastenhouw et al., 2010). Although their functional relevance remains unclear, bivalent states are presumed to exist on genes poised for expression, *i.e.*, they are repressed, but may need to be rapidly activated. However, accurate quantification of the fraction of histones modified at specific loci is difficult because in pooled cells it is not known what fraction of cells have a particular histone mark at a given locus. Thus, a locus could be falsely characterized as bivalent even if an overwhelming fraction of cells have only one of the two modifications at that locus. Isolating the chromatin associated with both marks using sequential ChIP analysis on a single sample can circumvent this problem (Vastenhouw et al., 2010). In addition, new approaches show promise in resolving this issue quantitatively by using recombinant and semisynthetic histones as quantitative spike-in standards for normalization (Grzybowski et al., 2015) or single-molecule imaging of individual nucleosomes (Shema et al., 2016).

Histone modifications in mammalian early embryos have also recently been measured genome-wide (Dahl et al., 2016; Liu et al., 2016; Zhang et al., 2016). In mouse oocytes, prior to the ZGA, the “active” mark, H3K4me3, which is normally found in sharp peaks at transcription start sites (TSS), was present in broad 5–10kb domains over loci (Fig. 5). These “non-canonical” H3K4me3 domains became restricted to the TSS only at the 2-cell stage, concurrent with mouse ZGA. Since ZGA is required for removal of these broad domains, they may be remnants of prior transcription, or even exist as a “repressive” mark to keep these loci silenced before the ZGA (Dahl et al., 2016). Notably, loss of H3K4me3 results in loss of paternal pronuclear ZGA in mouse (Aoshima et al., 2015). Just as in fish and frogs, H3K27me3 tends to accumulate after H3K4me3 in mouse, suggesting that repressive domains may increase later during mouse ZGA as the transcriptionally competent chromatin state is restricted to promoters and enhancers (Akkers et al., 2009; Lindeman et al., 2011; Liu et al., 2016; van Heeringen et al., 2014).

Profiling accessible chromatin in early mouse embryos also revealed broad open domains over repetitive loci that are transcriptionally active in late 1-cell and early 2-cell embryos, including ERVs (Wu et al., 2016). Sharp open chromatin peaks over promoters gradually appeared from the 2-cell stage to ICM blastocysts as genes become expressed during the ZGA. This is consistent with experiments showing that transcription from a plasmid reporter requires an enhancer in the 2-cell stage, but not the 1-cell stage, in mouse embryos (Majumder and DePamphilis, 1995). An emerging perspective is that repeat elements and “promiscuous” transcripts that lack complete splicing or polyA-tail lengthening are among the first transcribed RNAs, which may help establish the chromatin states influencing later transcription (Abe et al., 2015; Probst et al., 2010).

Recent work has also revealed extensive post-fertilization remodeling of global chromatin in mouse. In somatic cells, chromatin is organized into topologically associated domains

(TADs) on the scale of several hundred kb to ~1Mb that play important roles in regulating transcription and DNA replication (Hi-C Review). DNA within a TAD interacts much more frequently with itself than with DNA outside the TAD. The compact genomes of mature sperm have a TAD structure similar to that of somatic cells, with even more long-range interchromosomal interactions, whereas mature oocytes exhibit a weak TAD structure and have a smaller proportion of distal interactions (Du et al., 2017; Flyamer et al., 2017; Ke et al., 2017). Strikingly, both maternal and paternal alleles of the zygote and the 2-cell embryo appear to lack well-defined TADs. Instead, TADs gradually organize as the embryo proceeds through its cleavage stages, with clear TAD structure arising only at the 8-cell stage, well after the major ZGA phases (Du et al., 2017; Ke et al., 2017). Given the strong correlation between TADs and transcription in somatic cells, it is likely that the substantial global remodeling of parental genomes upon fertilization strongly influences the ZGA.

Finally, another post-fertilization chromatin modification is DNA methylation of cysteine residues (5mC), which is thought to generally inhibit transcription. Conversely, de-methylation removes 5mC, largely by replication-mediated dilution or by oxidation to several intermediate methylation states (5hmC, 5fC, 5caC and others) that could each have their own effects on gene expression (Fraser and Lin, 2016). DNA methylome dynamics have been extensively studied in frog (Bogdanovic et al., 2011; Stancheva et al., 2002), fish (Jiang et al., 2013; Potok et al., 2013), mouse (Shen et al., 2014), and human (Guo et al., 2014), with notable species differences. One tacit model is that de-methylation of the parental genomes may be a pre-requisite for timing of some zygotic genes' expression. For example, during embryogenesis in mammals, both parental genomes appear to shift towards a hypo-methylated state. However, *Xenopus* embryonic genomes remain hyper-methylated through the early cleavage cycles (Bogdanovic et al., 2011; Veenstra and Wolffe, 2001) and in zebrafish, the oocyte DNA is hypo-methylated compared to sperm, but embryonic methylation levels then increase to match that of sperm by the MBT (Jiang et al., 2013; Potok et al., 2013). Finally, in human embryos broad de-methylation precedes the major ZGA by several days (Guo et al., 2014). Taken together, these studies indicate that global methylation dynamics are not conserved across species. Moreover, the precise effects of methylation on transcription of individual genes, and intervening events between (de-)methylation and altered gene expression are unclear (Biechele et al., 2015; Fraser and Lin, 2016).

### **Histone modifications—correlation or causation?**

Despite the enormous progress in understanding chromatin state dynamics during ZGA, it will be crucial to move from cataloging temporal dynamics towards understanding the causality between chromatin dynamics and gene expression. Manipulation of histone modification enzymes often results in gene expression changes, indicating their importance for transcription. But, at the level of specific loci, whether such modifications determine activation timing or are mere accompaniments of the normal gene expression program remains an active area of investigation (Henikoff and Shilatifard, 2011). Several key questions include: 1) Do histone marks help recruit transcription factors? Or 2) do site-specific transcription factors bind first to recruit histone modification enzymes? 3) Which chromatin changes instruct transcription, and which are maintenance mechanisms? Ideally,



we should eventually be able to understand the precise sequence of chromatin-associated events at a single locus leading to transcription and to elucidate the causative mechanisms.

### Timing models are not mutually exclusive

For fast developing vertebrates, the combination of transcriptional activators and N/C-ratio mechanisms can explain most aspects of ZGA timing. In these species, N/C-ratio increases may provide a permissive context upon which transcriptional activators control specific loci. For instance, sudden or even gradual histone depletion may allow greater DNA access to maternally supplied transcription factors, and thus histone vs. transcription factor competition models could explain precise ZGA timing preceding the MBT (Joseph et al., 2017; Pálffy et al., 2017; Prioleau et al., 1995). Other cellular remodeling events at the MBT—including lamina exchange and a much longer S-phase—may reinforce a transcriptionally permissive state. In mammals, activator models seem to explain most ZGA timing, as there is currently no evidence that the N/C-ratio regulates early transcription in mouse or human. Additionally, in both fast and slow developing vertebrates, the various chromatin and methylation state changes also likely influence transcriptional competence globally and at specific genes. Of course, other timing models exist, and the ZGA can be orchestrated by diverse mechanisms.

### Conclusions

In summary, the direct comparison of genome activation in different vertebrates reveals several common events. However, these events may be largely regulated by different molecular mechanisms and regulatory pathways based on the distinct needs of fast or slow dividing species. Broad similarities across the vertebrate lineage—and, in fact, most metazoans—include large oocytes, a maternal stockpile of RNA and proteins to initiate transcription and drive the early divisions, chromatin remodeling, and transcriptional activation cascades. Some molecular features may also be conserved, as evident from the common role of Oct4, Nanog, and Sox2 transcription factors in pluripotent stem cell maintenance in mammals and activation of zygotic genes in the pluripotent blastomeres of zebrafish. While N/C-ratio based timing mechanisms are better appreciated and perhaps more obviously applicable to fast developing species such as frogs and fish, it remains possible that they are used in mammalian development because all fertilized embryos undergo cytoplasmic subdivision during early cleavage divisions. These broad similarities in early development among diverse vertebrates suggests studies of MZT control in model organisms will have a wide impact through identifying regulatory principles also present in the early embryos of our own species.

In the coming decade we expect technical advances to be harnessed to answer long-standing questions in embryo gene activation. First, we anticipate a better understanding of the spatial organization of zygotic transcripts and how they control spatial aspects of differentiation (Satija et al., 2015). Second, with a few exceptions, current studies are limited by our lack of knowledge of activating transcription factors in frogs, fish and mice. It remains unknown if these transcription factors are generally conserved, as is the case for many developmental transcriptional regulators such as the Hox genes and the germ layer specification genes.

Third, what insights will arise from studies in other vertebrate species such as birds or reptiles? Fourth, as emphasized in this review, we need a mechanistic understanding of how N/C-ratio and activator models work at the level of individual promoters. This should provide insight into how these timing models and chromatin state changes are interconnected to determine genome activation timing and differentiation in the early embryo. Finally, we expect the application of single cell technologies that circumvent the major constraint for studying human embryos—the limited amount of material—to lead to exciting breakthroughs in understanding of how gene activation drives development through the first days of human life.

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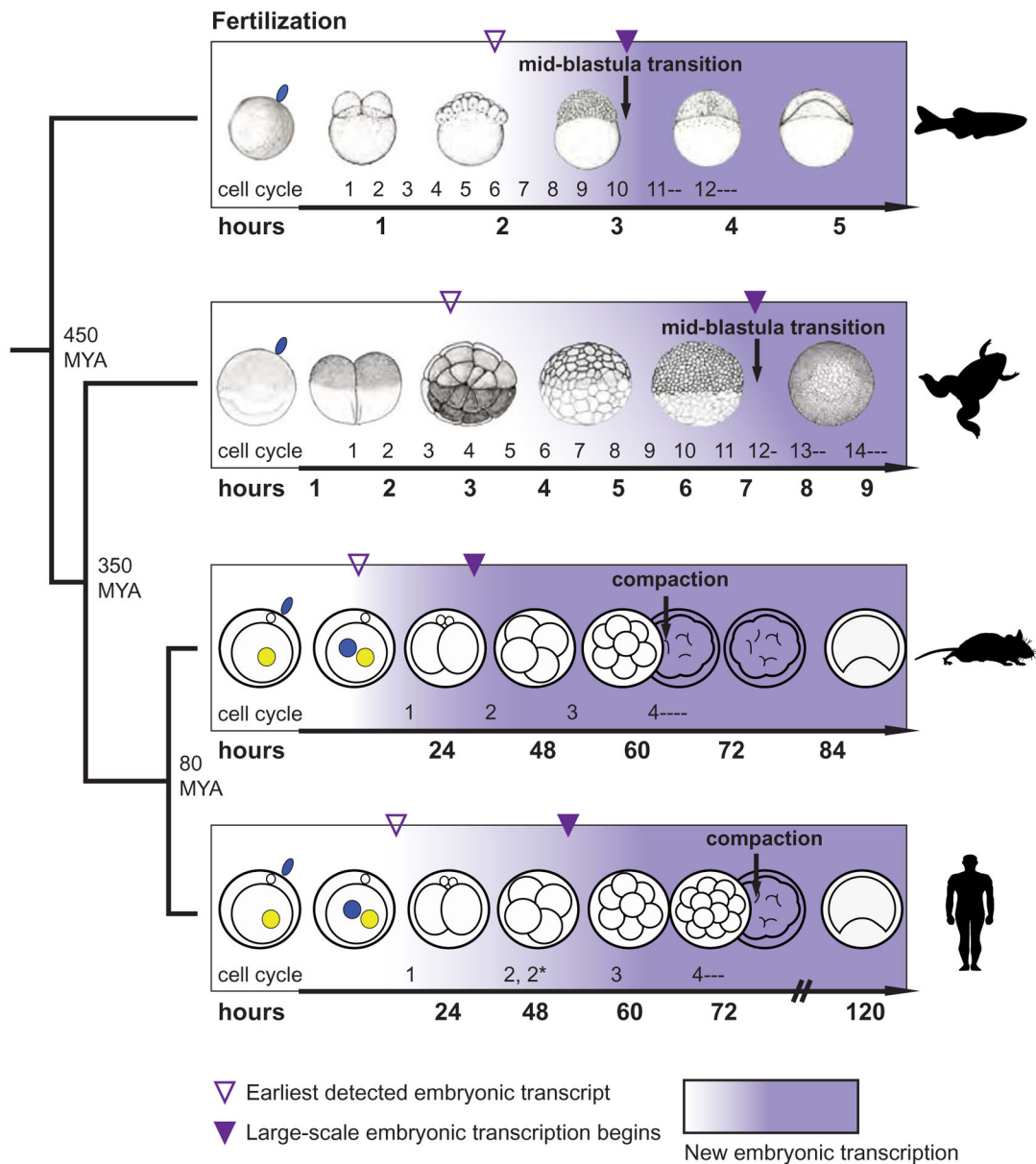
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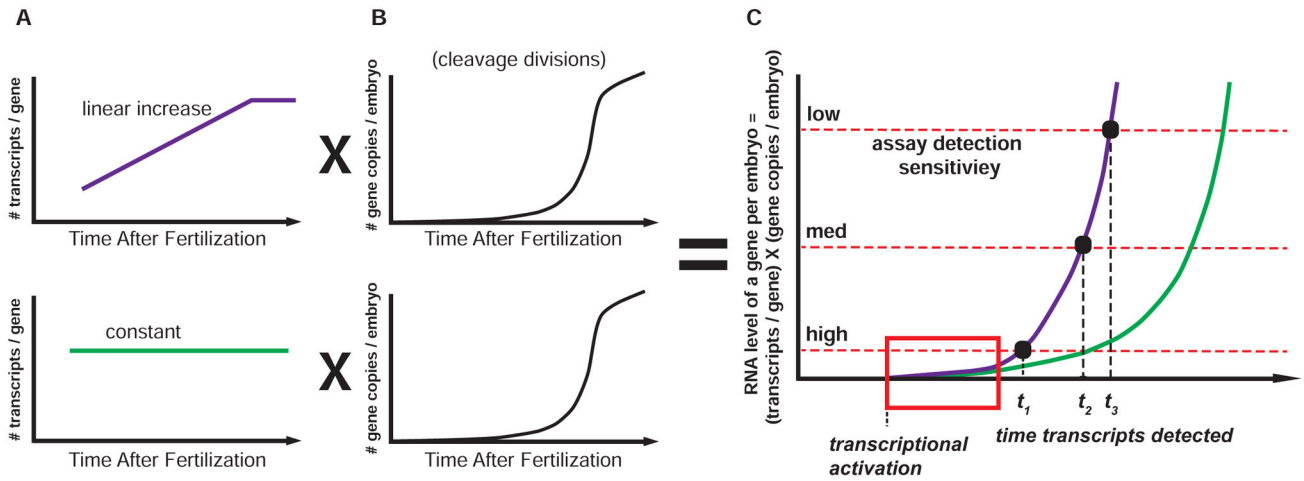
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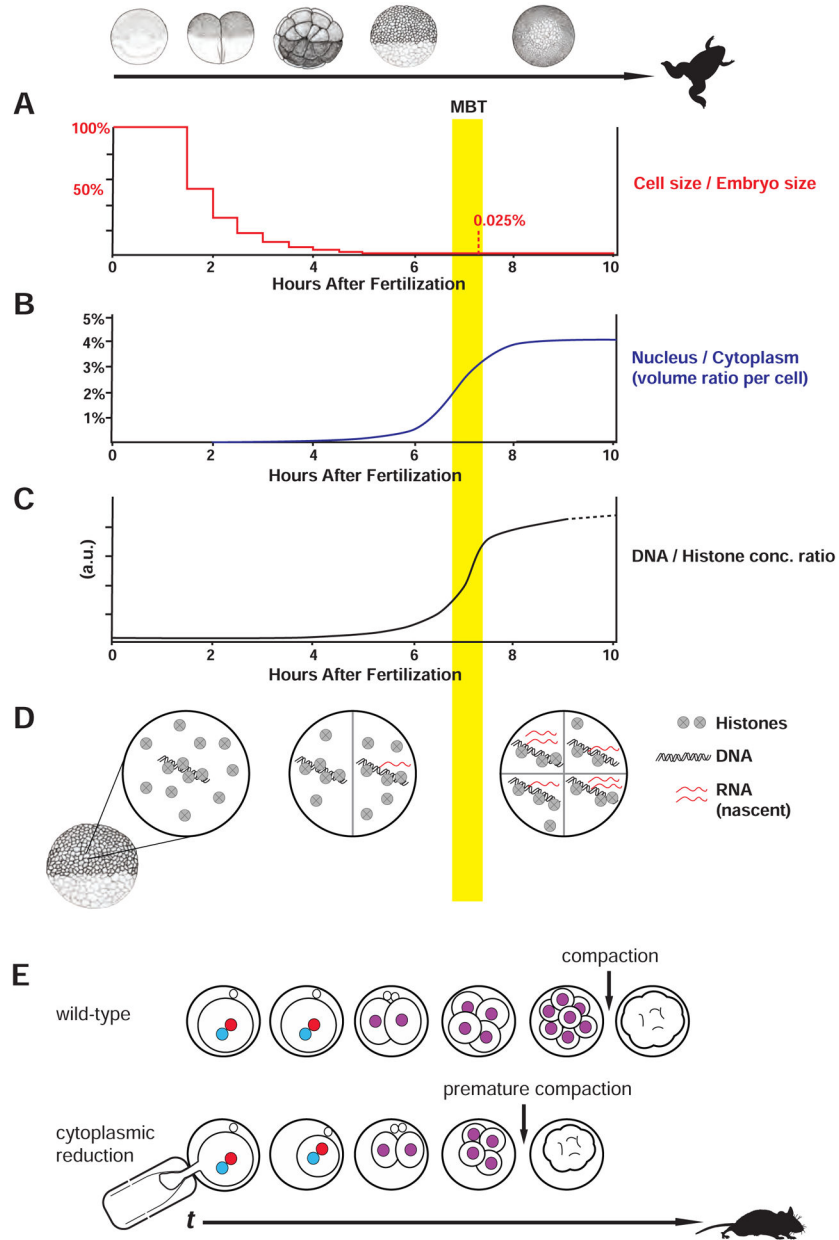


**Figure 1. Timing of transcriptional onset and early embryonic events varies in vertebrates**  
 Evolutionary distance, embryo morphology, cell division timing and number in pre-gastrulation development for zebrafish (*Danio rerio*), frog (*Xenopus laevis*), mouse (*Mus musculus*), and human (*Homo sapiens*). *X. laevis* and *X. tropicalis* have broadly similar activation timing with respect to cell division number (Yanai et al., 2011). Purple shading density illustrates amount of zygotic transcription. In the fast developing species, fish and frog, cell divisions are rapid until the mid-blastula transition at the 10<sup>th</sup> and 12<sup>th</sup> cycles. In slow developing species, the initial divisions take place over days. Divisions ‘2’ and ‘2\*’ refer to the two asynchronous divisions in the 2<sup>nd</sup> cleavage cycle. *Xenopus* embryo images adapted from (Nieuwkoop and Faber, 1994); *Danio rerio* embryo images were reproduced from (Kimmel et al., 1995) with permission (John Wiley and Sons).



**Figure 2. Initiation of embryonic transcription is difficult to detect**

Several factors influence the total transcription of a specific gene in embryos. (A) When a gene is activated after fertilization, the number of transcripts per gene (i.e., per copy of the genome) is likely linearly increasing (purple) or constant (green). (B) In addition, the number of copies of the genome per embryo is doubling with each cell division. Embryos exhibit an exponential increase in genome copies per unit time during cleavage divisions. (C) Total transcription—and total mRNA production—for a given gene is related to the product of the transcription per genome and the number of genomes. The total mRNA per embryo may thus increase exponentially or even hyper-exponentially in the first hours after activation. The more sensitive the method, the earlier one expects to detect zygotic transcription as indicated by times  $t_1$ ,  $t_2$ , and  $t_3$ . Yet, even with a dramatic increase in assay sensitivity (2-fold and 10-fold increases are shown), it may be difficult to detect the earliest transcription when assaying total embryo RNA (red box).



**Figure 3. Nuclear-to-cytoplasmic (N/C) ratio-based models for determining the timing of early developmental events**  
 The numerator in the N/C model refers either to nuclear volume or some other nuclear component such as DNA. (A–C) Frog ZGA is regulated, in part, by the N/C ratio. (A) Cell size (i.e., volume) decreases by half with each division in an embryo of constant volume. (B) The ratio of nuclear volume to cytoplasmic volume increases through the mid-blastula transition and may control transcription through an unknown mechanism. Data re-plotted from (Jevtić and Levy, 2015). (C) The ratio of DNA-to-cytoplasm may be encoded by the ratio of DNA-to-histones as histone concentration is constant before the MBT. (D) Cartoon illustrates how a histone titration model may control transcription. (E) In mouse embryos, cell size decreases by half with each division before implantation (top row). N/C ratio may

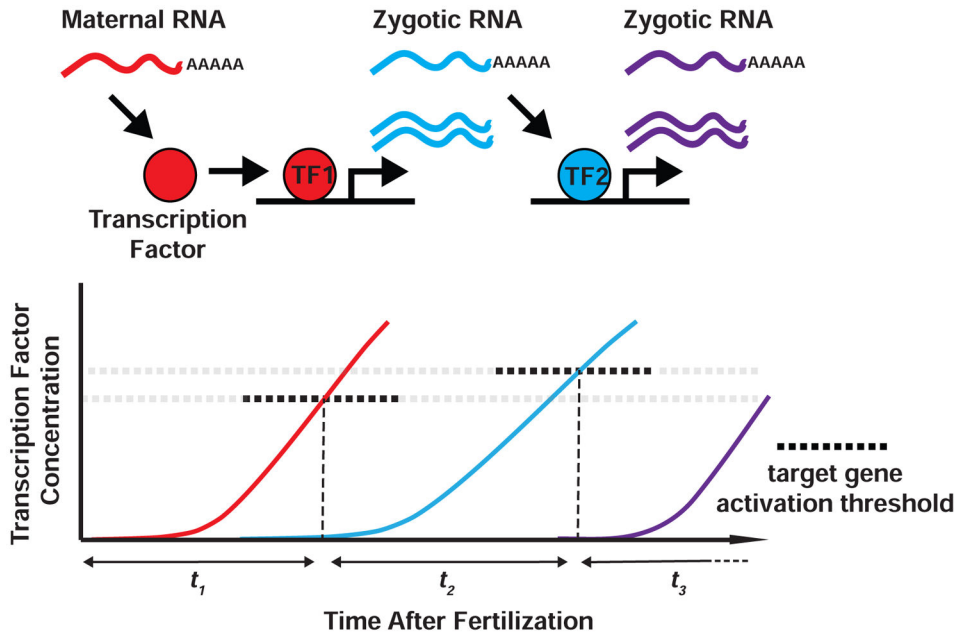
control compaction, where the embryo transitions from a loosely connected group of spherical cells to a tight mass without gaps between cells due to increased cell-to-cell contacts. (bottom row) Removal of ~50% of zygote cytoplasm induces compaction one cell cycle earlier (Lee et al., 2001). Zygote pronuclei are in red and blue; embryonic nuclei are in purple.

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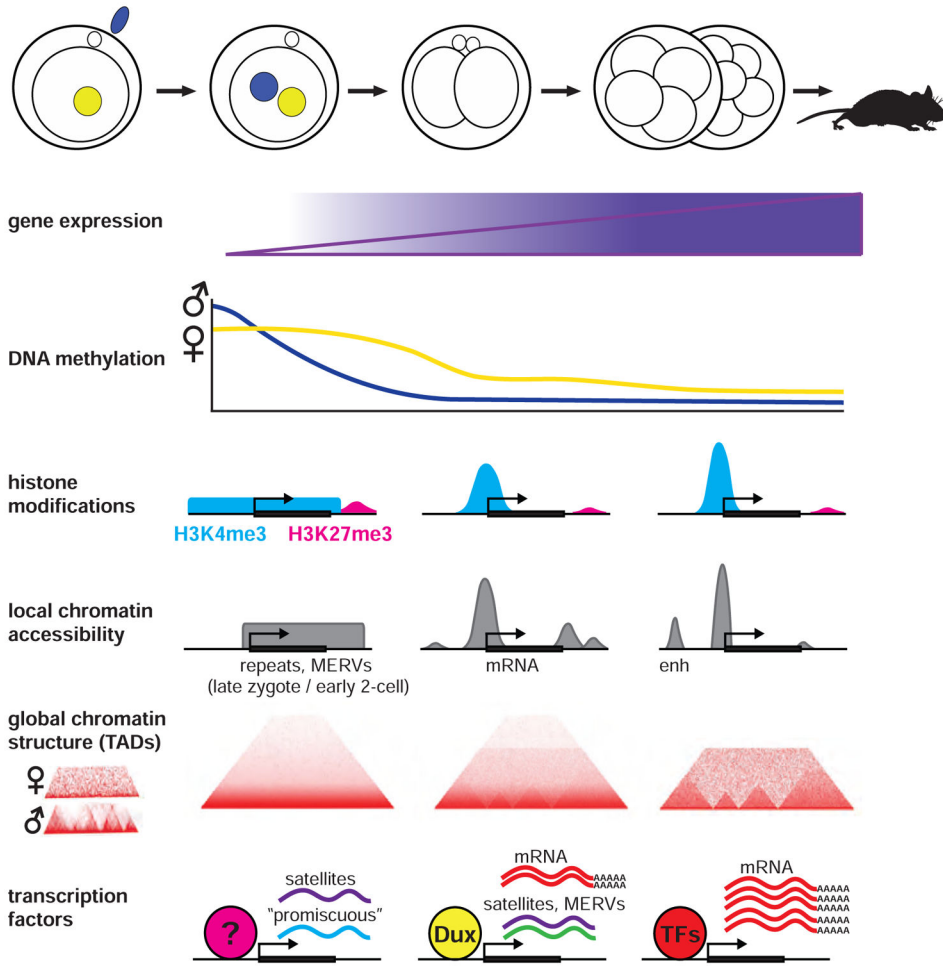
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**Figure 4. Activator-accumulation model for determining the timing of zygotic transcription**  
 Upon fertilization, maternal proteins are deposited and RNA translation is initiated, which triggers the accumulation of transcriptional activators (e.g., TF1). Once this transcription factor reaches a critical concentration (dotted line in graph), specific zygotic target genes initiate transcription. Differential timing of transcriptional activation can arise when the product of an early target gene affects subsequent transcriptional events (e.g., TF2). Transcriptional activation may be negatively regulated by site-specific repressors, competing chromatin components, or rate-limiting cofactors. Importantly, if transcription initiation depends solely on transcription factor concentration, the timing of the earliest transcription events may be independent of ploidy.





**Figure 5. Chromatin state dynamics in early mouse embryos**

Schematic of changes in chromatin state after fertilization in mouse. Time points shown are the 1-cell zygote, 2-cell stage, and 4- to 8-cell stage. Gene expression begins in pronuclei of the 1-cell zygote and increases through the 2-, 4-, and 8-cell stages. DNA methylation (5mC) decreases sharply on paternal alleles by both active and passive mechanisms, and decreases gradually on maternal alleles largely by passive mechanisms such as dilution by replication. H3K4me3 is present in broad (>10kb) non-canonical domains in the zygote and restricts to promoters during ZGA. H3K27me3 is present distal to promoters and at low levels throughout early embryogenesis, and only becomes present near bivalent promoters in the later blastocyst stages. At the 2-cell stage, local chromatin is accessible in broad domains across repetitive genomic elements, and at gene promoters and transcription end sites. At the 4–8 cell stage, accessible chromatin more resembles that of somatic cells. Topologically associating domains (TADs) are removed after fertilization and gradually reform starting at the 4–8 cell stage. TAD images were reproduced with minor modifications from (Ke et al., 2017) with permission (Elsevier). The sequence of major gene expression phases is driven by different transcription factors and include different types of RNA.