

## Review



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# The heat-shock, or HSF1-mediated proteotoxic stress, response in cancer: from proteomic stability to oncogenesis

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The heat-shock, or HSF1-mediated proteotoxic stress, response (HSR/HPSR) is characterized by induction of heat-shock proteins (HSPs). As molecular chaperones, HSPs facilitate the folding, assembly, transportation and degradation of other proteins. In mammals, heat shock factor 1 (HSF1) is the master regulator of this ancient transcriptional programme. Upon proteotoxic insults, the HSR/HPSR is essential to proteome homeostasis, or proteostasis, thereby resisting stress and antagonizing protein misfolding diseases and ageing. Contrasting with these benefits, an unexpected pro-oncogenic role of the HSR/HPSR is unfolding. Whereas HSF1 remains latent in primary cells without stress, it becomes constitutively activated within malignant cells, rendering them addicted to HSF1 for their growth and survival. Highlighting the HSR/HPSR as an integral component of the oncogenic network, several key pathways governing HSF1 activation by environmental stressors are causally implicated in malignancy. Importantly, HSF1 impacts the cancer proteome systemically. By suppressing tumour-suppressive amyloidogenesis, HSF1 preserves cancer proteostasis to support the malignant state, both providing insight into how HSF1 enables tumorigenesis and suggesting disruption of cancer proteostasis as a therapeutic strategy. This review provides an overview of the role of HSF1 in oncogenesis, mechanisms underlying its constitutive activation within cancer cells and its pro-oncogenic action, as well as potential HSF1-targeting strategies.

This article is part of the theme issue 'Heat shock proteins as modulators and therapeutic targets of chronic disease: an integrated perspective'.

## 1. Introduction

Every biological process is dynamic and needs to stay homeostatic [1], a state essential to cellular and organismal fitness and survival. Disruption of this equilibrium inevitably provokes stress and elicits stress responses, through which cells and organisms can counter stresses and reinstate the homeostatic state.

Among the various cellular responses to stress is the heat-shock, or HSF1-mediated proteotoxic stress, response (HSR/HPSR) [2,3], an evolutionarily conserved defensive mechanism. Upon challenge by proteotoxic stressors, such as heat shock, cells mobilize the HSR/HPSR to produce a large amount of heat-shock proteins (HSPs), or molecular chaperones [2,3]. HSPs are a group of proteins specializing in facilitating the folding, trafficking, complex assembly, and ubiquitination and degradation of other proteins [2,3]. Therefore, HSPs ensure the quality of the cellular proteome and preserve proteome homeostasis, or proteostasis [4,5]. Of note, two categories of HSPs, constitutively expressed and stress-inducible, exist inside cells. Whereas the constitutively expressed HSPs, such as HSC70 and HSP90 $\beta$ , supply the basal chaperoning activity, the stress-inducible ones, such as HSP27 and HSP72, are necessary for meeting the extra demand for chaperoning activity, owing to elevated protein misfolding and aggregation, under proteotoxic conditions. Accordingly, the HSR/HPSR is dispensable under basal growth conditions but becomes indispensable under stressful conditions.

## 2. Transcriptional governance of the HSR/HPSR

The HSR/HPSR is primarily a transcriptional programme, characterized by induced *HSP* mRNAs [2,3]. A small family of transcription factors, named heat shock factors (HSFs), have been implicated in controlling the HSR/HPSR in response to proteotoxic stress [6,7]. While only a single *HSF* gene exists in yeasts and invertebrates, at least nine *HSF* paralogues have been identified in vertebrates to date, among which HSF1 is the most conserved and regarded as the prototype [6–8]. In mammals, HSF1 has proved to be the master regulator of the HSR/HPSR, as genetic deletion of *Hsf1* abolishes the induction of HSPs by heat shock in mice [9,10]. By contrast, the HSR/HPSR is still mounted in mice deficient for *Hsf2* or *Hsf4* [11,12]. Of note, although HSF2 can form heterotrimers with HSF1 to modulate the HSR/HPSR, this interplay requires the presence of HSF1 [13]. While HSF3 is pivotal to the HSR/HPSR in avian cells, it appears to regulate the stress-inducible expression of non-*Hsp* genes in mice [14]. Despite being dispensable for the HSR/HPSR, HSF4 is required for normal lens development in mice [15]. Moreover, the functions of HSF5, HSFX1/2 and HSFY1/2 remain unknown [8].

Highly conserved among the HSF family of proteins are several functional domains, including the N-terminal helix–turn–helix DNA-binding domain, hydrophobic heptad repeats (HR)-enriched trimerization domain and C-terminal transactivation domain [7]. HSFs trimerize through their HR domains, a configuration crucial to their DNA binding [7,16]. Following nuclear translocation, trimeric HSFs bind to the consensus heat-shock elements (HSEs), typically consisting of contiguous inverted arrays of 5'-nGAAn-3' motif [7,16], in gene promoters. Following DNA binding and subsequent stress-inducible phosphorylation, HSF1 recruits positive transcription elongation factor b (P-TEFb) to phosphorylate paused RNA polymerase II (RNAP II) at the proximal promoters of *HSP* genes, resulting in RNAP II pause release and transcription elongation [16].

## 3. Complex regulations of HSF1

In yeast HSF is constitutively active [17]; however, in vertebrates HSF1 becomes mobilized upon challenge by stressors [7,16]. As the principal regulator of the HSR/HPSR, HSF1 is subject to multilayer regulations.

In mammals, HSF1 remains latent under normal non-stressful conditions. By forming a protein complex with HSP90 and co-chaperones, HSF1 is repressed in the monomeric state [18]. Following proteotoxic stress, however, this repressive complex is disrupted, partially owing to the titration of HSPs away from the complexes by the accumulation of misfolded proteins. Subsequently, monomeric HSF1 is released and undergoes trimerization [18]. In agreement with this model, inhibition of HSP90 alone is sufficient to activate HSF1 in the absence of environmental stressors [18]. However, in yeast *Hsf1* seems to predominantly interact with cytosolic Hsp70 and this repressive association is transiently disrupted by heat shock [19].

Following trimerization, HSF1 enters the nucleus and becomes competent for DNA binding. In addition, post-translational modifications (PTMs) are important for complete HSF1 activation. Among the various types of modifications reported, the best studied is phosphorylation. Of note, HSF1

undergoes both stimulatory and inhibitory phosphorylation. Interestingly, recent studies suggest that phosphorylation, although not required, is a fine-tuning mechanism for HSF1 activation [19]. Beyond phosphorylation, HSF1 is subject to acetylation and sumoylation [7,16]. These diverse PTMs likely impact different steps of HSF1 activation, including nuclear translocation, DNA binding and transactivation.

It is widely believed that HSF1 is regulated at the point of activation primarily; nonetheless, emerging evidence has started unveiling additional mechanisms for regulating HSF1 by altering its expression levels. For instance, polyubiquitination destabilizes HSF1 proteins and, therefore, impaired ubiquitination may contribute to elevated HSF1 proteins in human cancers [20–22]. Furthermore, in many human cancers *HSF1* mRNA levels are increased too [23–25]. *HSF1* gene amplification may be one of the underlying mechanisms [25]. It was also reported that the splicing factor SF3B1, genetic mutations of which occur in chronic lymphocytic leukaemia recurrently [26], can regulate *HSF1* mRNA levels [27], suggesting a role of RNA splicing in regulating HSF1 expression. Together, these findings indicate that HSF1 can be regulated at the levels of both expression and activation.

## 4. HSF1 acts as a potent pro-oncogenic factor

Beyond enhancing cellular and organismal survival of stress, HSF1 prolongs the lifespan in nematodes [28,29]. In addition, HSF1 can protect neurons against protein aggregation and degeneration. In transgenic R6/2 mice, a Huntington's disease model, *Hsf1* deficiency causes increased aggregates and inclusions of huntingtin proteins in the brain, shortening animal survival [30]. Conversely, activation of HSF1 by a small molecule HSF1A not only suppresses the aggregation of polyQ-huntingtin proteins and toxicity in rat neuronal precursor PC-12 cells, but also ameliorates the neurotoxicity in a fly model of spinocerebellar ataxia type 3 [31]. Moreover, pharmacological inhibition of HSP90 activates HSF1, thereby rescuing synaptic dysfunction and memory loss in a mouse model of Alzheimer's disease [32]. Clearly, all these effects of HSF1 are beneficial.

By stark contrast, recent studies have revealed a surprising role of HSF1 in enabling oncogenesis. In 2007, two independent studies demonstrated this unexpected deleterious action of HSF1. In one study, *Hsf1* knockout selectively impaired lymphomagenesis in *Trp53*-deficient mice [33]. In the other study, *Hsf1*-deficient mice were markedly refractory to the DMBA-induced skin carcinogenesis and the tumorigenesis initiated by *Trp53*<sup>R172H</sup> mutation [34]. Subsequent studies in diverse mouse cancer models supported these initial findings. For example, diethylnitrosamine-induced hepatocellular carcinogenesis was suppressed in *Hsf1*-deficient mice [35]. Furthermore, *Hsf1* deficiency impaired the development of malignant peripheral nerve sheath tumours (MPNSTs) in mice due to loss of the tumour suppressor neurofibromatosis type I (*Nf1*) [20]. Moreover, two independent groups demonstrated that deletion of *Hsf1* significantly delayed mammary tumorigenesis in *MMTV-Her2/Neu* transgenic mice [36,37].

In addition to these spontaneous tumorigenesis models, various xenograft models have been employed to interrogate the role of HSF1 in oncogenesis. For example, RNA interference (RNAi)-mediated *HSF1* depletion impeded the *in vivo* growth of transplanted human mammary epithelial

cells overexpressing *HER2/NEU* [38]. Similarly, *HSF1* knock-down resulted in impaired growth, invasion, and metastasis of xenografted human hepatocellular carcinoma (HCC) and melanoma cells in immunocompromised mice [39–42]. These studies clearly support an important role for HSF1 in the maintenance and progression of established malignancies. Moreover, *HSF1* overexpression suffices to enhance the malignant phenotypes of xenografted human melanoma cells *in vivo* [43–45]. Thus, these findings collectively highlight the potent pro-oncogenic effects of HSF1.

In line with these *in vivo* findings, *in vitro* studies confirmed that HSF1 becomes indispensable for the growth and survival of established cancer cells. *HSF1* depletion, via either lentiviral small hairpin RNAs (shRNAs) or small interfering RNAs (siRNAs), markedly impaired the growth and survival of a wide array of human cancer cell lines, including breast cancer cells, MPNST cells, melanoma cells, multiple myeloma cells, HCC cells and pancreatobiliary cancer cells [20,34,41,46–48]. In sharp contrast to the *HSF1* addiction of malignant cells, *HSF1* depletion exerted little or no impact on non-transformed cells [20,34]. The vast differences in HSF1 dependency are congruent with their distinct intrinsic levels of proteotoxic stress and states of HSF1 activation.

Unlike HSF1, HSF2 appears to suppress malignant progression [49]; moreover, HSF4 slightly promotes tumorigenesis in *Trp53*- or *Arf*-deficient mice [50]. In addition, the roles of other members of the HSF family in malignancy remain unknown.

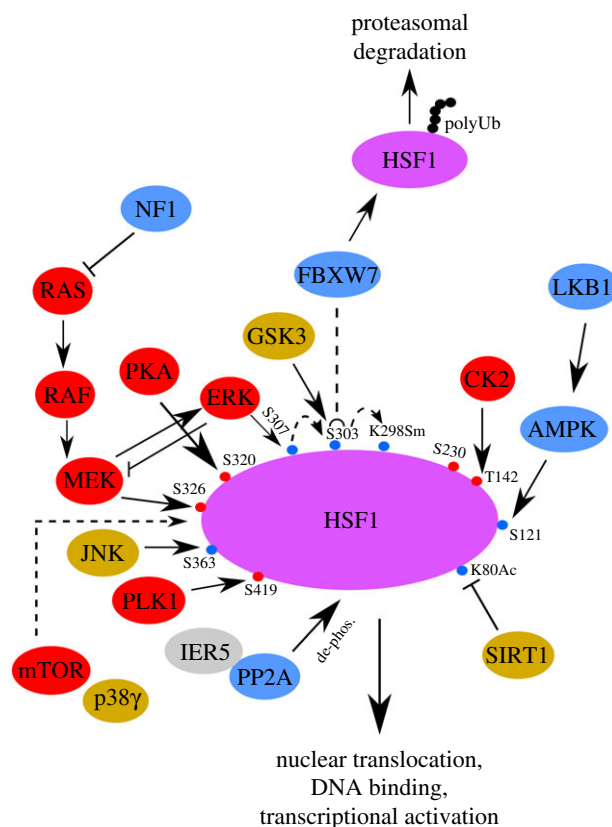
## 5. Constitutive, autonomous activation of HSF1 within cancer cells

Canonically, HSF1 is activated by various environmental stressors, an acute and transient process. By contrast, within cancer cells HSF1 appears to remain constitutively activated [20,34,51], suggesting a state of chronic stress.

On the one hand, this chronic stress could be induced by acidic and hypoxic tumour microenvironments, which inflict protein damage inside cancer cells. On the other hand, proteotoxic stress could arise from within the tumour cell in the absence of environmental insults. A number of mechanisms may account for this intrinsic stress within cancer cells, including heightened global protein synthesis driven by mTORC1 hyper-activation, exacerbated proteomic imbalance due to aneuploidy, destabilized protein conformations resulting from numerous genetic mutations and oxidative protein damage caused by oxidative stress [8]. As a result, HSF1 activation is widespread in human cancers [23,51].

Although it is well known that proteotoxic stressors, such as heat shock, potently activate HSF1, our understanding of the underlying molecular mechanisms remains incomplete. For example, HSF1 hyper-phosphorylation is important to its full transcriptional activation and a number of phosphorylating events have been defined to date (figure 1) [52]. The stimulatory events include Ser230, Ser419, Thr142, Ser320 and Ser326 phosphorylation. By contrast, the inhibitory events include Ser303, Ser307, Ser363 and Ser121 phosphorylation. Nonetheless, it remains obscure how these events regulate HSF1 activity.

Although these phosphorylating events occur either under physiological conditions or in the face of environmental stressors, it remains elusive whether cancer cells exploit the



**Figure 1.** Summary of various post-translational modifications potentially implicated in constitutive activation of HSF1 within cancer cells. Refer to the main text for detailed regulations. Modifications stimulating HSF1 activation are marked in red and modifications inactivating HSF1 are marked in blue. Accordingly, oncoproteins and tumour suppressors are labelled in red and blue, respectively. Proteins displaying both oncogenic and tumour-suppressive roles are labelled in brown. Ac, acetylation; de-phos., de-phosphorylation; K, lysine; polyUb, polyubiquitination; S, serine; T, threonine; Sm, sumoylation.

very same mechanisms to render HSF1 constitutively active. Of interest, some of the signalling pathways implicated in HSF1 regulation are frequently altered in human malignancy, such as the RAS/MAPK and LKB1/AMPK signalling cascades.

### (a) Oncogenic RAS signalling directly activates HSF1 through phosphorylation

RAS/MAPK signalling plays a prominent role in oncogenesis, highlighted by the fact that *RAS* mutations occur in up to 30% of all human cancers [53]. These mutations all lead to hyper-activation of RAS/MAPK signalling, which, in turn, mobilizes numerous downstream effectors that control a plethora of cellular processes to drive malignant transformation collectively [54,55]. In addition to *RAS* mutations, frequent aberrations in upstream receptor tyrosine kinases (RTKs) and loss of the tumour suppressor *NF1* can also activate RAS/MAPK signalling [20].

Does the oncogenic RAS/MAPK signalling pathway control HSF1 activation? It has been reported that ERK1/2, the widely believed ultimate effector of this signalling cascade, phosphorylates HSF1 at Ser307, a constitutively repressive modification under basal growth conditions [56]. Thus, HSF1 would be repressed by the most important oncogenic signalling pathway. Nonetheless, our and others' studies demonstrated that oncogenic RAS/MAPK signalling directly activates HSF1 and its mediated HSR/HPSR [20,21,57]. Surprisingly, our study

uncovered that it is MEK, rather than ERK, that physically interacts with and activates HSF1 [21]. Thus, in parallel with ERK, HSF1 is a second physiological substrate for MEK. Our study indicated that heat shock activates RAS/MAPK signalling and induces physical MEK–HSF1 interactions, which leads to HSF1 phosphorylation at Ser326 [21], a modification known to be critical to its activation by heat shock [52]. Moreover, our study revealed that ERK suppresses HSF1 activation indirectly via a negative feedback mechanism. That is, ERK phosphorylates MEK at Thr292/386 to repress the MEK-mediated HSF1 Ser326 phosphorylation [21].

Interestingly, another report proposed that mTOR also phosphorylates HSF1 at Ser326 [58]. mTOR, a serine/threonine kinase, assembles into two distinct large protein complexes, mTORC1 and mTORC2, controlling protein translation, lipogenesis, autophagy and survival [59]. Unsurprisingly, mTOR signalling is pro-oncogenic [60]. In line with a role of mTOR signalling in HSF1 activation, the dual PI3 K/mTOR inhibitor BEZ235 blocks HSF1 activation due to HSP90 inhibition [61]. Moreover, p38 $\gamma$  was recently reported to phosphorylate Ser326 under heat shock [62]. It is possible that multiple kinases can phosphorylate Ser326. Despite phosphorylation demonstrated *in vitro*, the key evidence showing physical interactions between HSF1 and mTOR or p38 $\gamma$  inside cells is still missing. Thus, it remains unclear whether mTOR and p38 $\gamma$  regulate HSF1 directly or indirectly *in vivo*. Further detailed studies are necessary to clarify these issues.

### (b) Tumour-suppressive LKB1/AMPK signalling represses HSF1 through phosphorylation

In response to elevated cellular AMP/ATP or ADP/ATP ratio, signals indicating cellular energy stress, AMP-activated protein kinase (AMPK) becomes activated [63]. In turn, AMPK phosphorylates numerous downstream effectors, eliciting a systemic cellular response that aims to conserve ATP consumption and enhance ATP production simultaneously [64]. Thereby, AMPK initiates the metabolic stress response and preserves energy homeostasis. Following binding of AMP or ADP to its  $\gamma$  subunits, full activation of AMPK requires phosphorylation of its  $\alpha$  subunits at Thr172 by its upstream kinase liver kinase B1 (LKB1), a tumour suppressor causally implicated in human Peutz–Jeghers syndrome [65]. Notable downstream effectors of AMPK include acetyl CoA carboxylase 1 (ACC1), regulatory associated protein of mTOR (RAPTOR), sterol regulatory element binding protein 1c (SREBP1c) and Unc-51-like autophagy activating kinase 1 (ULK1) [65–67], which are involved in lipogenesis, protein translation and autophagy. In contrast with its well-known activation by proteotoxic stressors, it remains unknown how the HSR/HPSR responds to metabolic stressors.

Our recent study revealed that glucose and amino acid deprivation both suppress the HSR/HPSR [43]. Moreover, the widely prescribed anti-diabetic drug metformin exerted a similar effect [43]. Metformin, a mitochondrial toxin that disrupts cellular energy homeostasis by depleting ATP, has been recently shown to display promising anti-neoplastic effects [68]. Mechanistically, our study indicated that both nutrient deprivation and metformin activate AMPK, which subsequently phosphorylates HSF1 at Ser121 [43]. As a negative modification, Ser121 phosphorylation impairs the nuclear translocation and stability of HSF1 [43]. Accordingly, metabolic stressors suppress the HSR/HPSR triggered by heat shock,

exacerbating proteomic perturbation and impairing survival [43]. Through the same mechanism, metformin at a clinically relevant dose suppresses the constitutive HSF1 activation in diverse human cancer cell lines and in xenografted human melanomas, provoking global protein ubiquitination [43]. Conversely, HSF1 overexpression renders human melanoma cells refractory to the inhibition of anchorage-independent growth by metformin *in vitro* and to the tumour-suppressive effect of metformin *in vivo* [43]. In line with its role in activating AMPK, *LKB1* deficiency not only enhances the HSR/HPSR triggered by heat shock but also heightens the constitutive HSF1 activation in malignant cells (KH V, S Dai, Z Tang, C Dai 2017, unpublished manuscript).

Taken together, these findings uncover a previously unrecognized metabolic control of the HSR/HPSR via the AMPK-HSF1 interplay. In addition to its activation by proteotoxic stressors, metabolic stressors suppress HSF1. Although most of the anti-neoplastic effects of metformin have been ascribed to its metabolic impacts, our study pinpoints a new mechanism of action of metformin—disruption of cancer proteostasis. This action is probably applicable to metabolic stressors in general.

### (c) GSK3 signalling suppresses HSF1 through phosphorylation

Glycogen synthase kinase 3 (GSK3), a key serine/threonine kinase involved in glycogen synthesis, regulates a wide variety of cellular functions and has been implicated in many human pathological conditions including neurodegenerative disorders and diabetes [69]. However, its roles in cancer remain controversial; and GSK3 seems to function as both a tumour suppressor and a tumour promoter. On the one hand, GSK3 can activate tumour suppressors, including TP53, TSC2 and RBL2 [70], and inactivate oncoproteins, including c-MYC, cyclin D1 and HIF-1 $\alpha$  [70]. On the other hand, GSK3 activates some oncoproteins, including p70S6 K and MDM2 [70], and inactivates certain tumour suppressors, including PTEN and p27KIP1 [70].

It was reported that GSK3 $\beta$  phosphorylates HSF1 at Ser303, a constitutively negative modification promoting the nuclear exit of HSF1 via recruiting 14-3-3 proteins [56]. Interestingly, this GSK3-mediated HSF1 phosphorylation suppresses the expression of RNF126, an E3 ubiquitin ligase, to stabilize IGF-IIR proteins, thereby supporting hypertension-induced cardiomyocyte hypertrophy [71]. Moreover, another study showed that Ser303 phosphorylation is required for subsequent sumoylation of HSF1 at Lys298, which is inhibitory to its transactivation [72]. This negative regulation of HSF1 suggests a new role of GSK3 in regulating proteostasis. Therefore, in some human cancers inactivated GSK3 signalling may contribute to malignant transformation at least in part via HSF1 activation.

### (d) JNK signalling suppresses HSF1 through phosphorylation

c-Jun N-terminal kinase/stress-activated protein kinase (JNK/SAPK), a multifaceted serine/threonine kinase, responds to numerous extracellular and intracellular cues, including growth factors, inflammatory cytokines, UV radiation and cellular stresses, including oxidative, osmotic, endoplasmic reticulum and proteotoxic stress [73,74]. Following activation,

JNK phosphorylates a list of downstream effectors, including c-JUN, ELK1, ATF2 and TP53, to regulate differentiation, growth and apoptosis [75]. The role of JNK signalling in human cancer is context-dependent, exerting both tumour-suppressive and tumour-promoting effects [75]. In support of its tumour-suppressive role, inactivating mutations in *MKK4* and *MKK7*, the genes encoding two key upstream kinases activating JNK, have been found in human cancer [76,77].

Interestingly, one of the JNK targets is HSF1. It was reported that JNK phosphorylates HSF1 at Ser363, leading to its inhibition [78]. Congruent with this negative regulation, *JNK* deficiency activates HSF1 [79]. Furthermore, our recent study showed that HSF1 prevents JNK activation reciprocally [79], revealing a mutual suppression between JNK and HSF1. Thus, these findings suggest that in some human cancers with inactivated JNK signalling HSF1 is mobilized to support malignancy.

### (e) PKA signalling activates HSF1 through phosphorylation

Cyclic adenosine 3',5' monophosphate (cAMP), the first identified intracellular second messenger, plays a pivotal role in the signal transductions triggered by hormones and neurotransmitters [80]. In mammalian cells, the primary effector of cAMP is protein kinase A (PKA), a ubiquitous tetrameric cAMP-binding kinase [80]. Among the key PKA substrates are GSK3 and cAMP-response element binding protein [80]. Importantly, activation of PKA signalling has been implicated in tumour initiation and progression [81]. In human Carney complex syndrome, germline mutations in *PRKAR1A*, which encodes the type 1A regulatory subunit of PKA, result in hyper-activation of PKA and, ultimately, development of endocrine tumours in the testicle, thyroid and pancreas [82].

Of note, a recent study reported that PKA activates HSF1 through Ser320 phosphorylation, a modification promoting its nuclear translocation and DNA binding [83]. In addition, PKA may activate HSF1 indirectly, via suppressing GSK3 [84]. These findings suggest that PKA signalling can promote tumorigenesis, in part, by activating HSF1.

### (f) PLK1 regulates HSF1 through phosphorylation

Polo-like kinase 1 (PLK1) is essential to cell cycle progression and mitosis by regulating maturation of mitotic centrosomes, assembly of mitotic spindle, as well as cytokinesis [85,86]. Unsurprisingly, PLK1 plays a key role in maintaining genomic stability. Prominent PLK1 substrates include CDC25, cyclin B1, MYT1/WEE1, NLP, APC/C and NUDC [85,86]. Whereas its expression remains low in most primary adult tissues, PLK1 is frequently overexpressed in human cancer tissues, which is associated with tumour progression and poor prognosis [86].

Congruent with its tumour-promoting effects, it was reported that PLK1 phosphorylates HSF1 at Ser419, a modification enhancing HSF1 nuclear translocation induced by heat shock [87]. Furthermore, another study showed that during mitosis PLK1 phosphorylates HSF1 at Ser216, which blocks the SCF<sup>β-TrCP</sup>-mediated ubiquitination and subsequent degradation of HSF1 by inducing physical HSF1–CDC20 interactions [88]. This interaction sequesters CDC20 away from the anaphase promoting complex/cyclosome (APC/C), blocking mitotic exit and inducing aneuploidy [88]. These

findings suggest that HSF1 acts as a mitotic regulator independently of its transcriptional regulation of the HSR/HPSR. Further studies are necessary to fully delineate the PLK1-mediated HSF1 regulations; nonetheless, current evidence suggests that HSF1 may contribute to the tumour-promoting effects of PLK1.

### (g) CK2 signalling activates HSF1 through phosphorylation

Casein kinase II (CK2) is a constitutively active serine/threonine kinase closely associated with enhanced cell proliferation and survival [89]. CK2 normally exists as a heterotetrameric complex comprising two catalytic subunits,  $\alpha$  and  $\alpha'$ , and two regulatory  $\beta$  subunits [89]. CK2 can phosphorylate a myriad of substrates, and accumulated evidence has demonstrated the oncogenic potential of CK2. For example, *CK2 $\alpha$*  overexpression accelerated the development of acute lymphoblastic leukaemia in *TAL-1* transgenic mice [90]. Furthermore, *MMTV-CK2 $\alpha$*  transgenic mice developed mammary gland hyperplasia and adenocarcinomas [91]. Consistent with its pro-oncogenic potential, CK2 expression is elevated in a large diversity of human cancers [89].

It was reported that heat shock triggers the nuclear translocation and activation of CK2 [92]. Importantly, CK2 phosphorylates HSF1 at Thr142, a modification necessary for its DNA binding and *HSP* gene transcription under heat shock [92]. Thus, it is conceivable that HSF1 activation may contribute to the oncogenic property of CK2.

### (h) IER5 activates HSF1 through de-phosphorylation

In contrast to the well-recognized HSF1 activation via phosphorylation, a recent study uncovered that HSF1 can also be activated via de-phosphorylation. Immediate early response 5 (IER5), a transcriptional target of TP53, acts as an activator of HSF1 by forming a ternary complex with HSF1 and the phosphatase PP2A [93]. In consequence, HSF1 becomes hypo-phosphorylated but active [93]. Although the underlying mechanisms remain unclear, it is possible that the IER5-PP2A complex alleviates some of the inhibitory phosphorylation events on HSF1. Importantly, IER5 is often transcriptionally upregulated in various human cancers [93], which may also contribute to the widespread constitutive activation of HSF1 in cancer via this de-phosphorylating mechanism.

### (i) SIRT1 promotes HSF1 activation via deacetylation

Sirtuin 1 (SIRT1), the mammalian orthologue of Sir2p in yeast, is an NAD<sup>+</sup>-dependent protein deacetylase controlling DNA repair, cellular metabolism, longevity and stress responses [94]. TP53, FOXO, KU70, PGC1 $\alpha$  and LXR are among the notable SIRT1 substrates [94]. By preventing MDM2 binding to TP53-responsive promoters, acetylation of the tumour suppressor TP53 is essential to its transcriptional activation [95]. In support of its oncogenic potential, SIRT1 deacetylates Lys382 to impair the transcriptional activity of TP53 [96]. Moreover, SIRT1 can promote epithelial–mesenchymal transition (EMT) [97]. Paradoxically, SIRT1 can also act as a tumour suppressor. *Sirt1*-deficiency led to increased genomic instability and accelerated tumorigenesis in *Trp53*<sup>+/-</sup> mice [98]. Conversely, *Sirt1* overexpression impaired intestinal tumorigenesis in *APC*<sup>min/+</sup> mice [99]. Thus, the roles of SIRT1 in cancer are complex, likely tissue- and context-dependent.

It has been shown that heat stress induces Lys80 acetylation of HSF1 by p300 or cyclic AMP response element-binding protein, a modification negatively regulating its DNA binding [100]. Of interest, SIRT1 deacetylates Lys80, thereby maintaining HSF1 in a DNA-binding competent state [100]. While this mechanism likely underlies the beneficial effects of SIRT1 on stress-resistance and longevity [100], it may also serve to promote malignancy. Importantly, it remains elusive whether SIRT1 plays a role in protecting cancer proteostasis via HSF1.

### (j) HDAC6 senses protein aggregation to de-repress HSF1

In addition to SIRT1, histone deacetylase 6 (HDAC6) can regulate HSF1 activation as well; however, this action is independent of its deacetylase activity. Under non-stress conditions, HDAC6 and its interacting partner p97/VCP, an AAA<sup>+</sup>-ATPase, associate with the repressive HSP90–HSF1 protein complex [101]. Upon accumulation of ubiquitinated protein aggregates inside cells, HDAC6 senses protein aggregation via its ubiquitin-binding domain and dissociates itself from p97/VCP, thereby enabling p97/VCP to disrupt HSP90–HSF1 interactions via its ATPase activity [101]. Thus, HDAC6 is required for disassembly of the repressive HSP90–HSF1 complexes to unleash HSF1 for activation in the face of protein aggregation. In the light of its upregulated expression in various human cancers [102], it is conceivable that HDAC6 may contribute to constitutive HSF1 activation in malignancies.

### (k) EEF1A1 enhances the HSR/HPSR both transcriptionally and translationally

A recent study revealed that, beyond its well-defined function in protein translation, eukaryotic translation elongation factor 1 alpha 1 (EEF1A1) is also actively involved in regulating the HSF1-mediated HSR/HPSR. During proteotoxic stress, EEF1A1 helps to recruit HSF1 to the *HSP72* gene promoter to initiate the transcription; subsequently, it stabilizes and transports *HSP72* mRNAs to translating ribosomes by binding to their 3' untranslated regions (UTRs) [103]. Thereby, EEF1A1 assists the HSR/HPSR to enhance thermotolerance. Although it remains unclear whether this mechanism operates in the context of cancer, it is tempting to speculate that EEF1A1 may support oncogenesis in part by heightening the HSF1-mediated HSR/HPSR.

### (l) Stabilization of HSF1 proteins in cancer

Canonically, HSF1 regulation is thought to occur at the activation step primarily; however, elevated mRNAs and proteins of HSF1 have been noticed in human cancers [21–23]. Whereas the mechanisms underlying upregulated *HSF1* mRNAs remain elusive, emerging evidence has highlighted a role of the ubiquitin–proteasome system (UPS) in regulating HSF1 protein stability.

Our study indicated that the MEK-mediated Ser326 phosphorylation stabilizes HSF1 by blocking its polyubiquitination and subsequent proteasomal degradation [21]. Furthermore, another study reported that filament A-interacting protein 1-like (FILIP1 L) interacts with HSF1 to promote its ubiquitination and proteasomal degradation [104]. FILIP1 L, whose expression is downregulated in several human cancers,

inhibits the migration, invasion and metastasis of various human cancer cell lines [105]. Thus, FILIP1 L acts like a tumour suppressor to destabilize HSF1. Moreover, a recent study identified F-box and tryptophan/aspartic acid (WD) repeat domain-containing 7 (FBXW7) as an E3 ligase responsible for HSF1 ubiquitination [22]. FBXW7 is a tumour suppressor targeting several key proto-oncoproteins, including c-MYC, cyclin E and SREBP1, for proteasomal degradation [106]. This study demonstrated that FBXW7 physically binds to HSF1 via a conserved degron motif (aa 303–307), which is phosphorylated by both GSK3 $\beta$  and ERK1 [56]. Another new study indicated that CK2 $\alpha'$  can also phosphorylate Ser303/307 to recruit FBXW7 for HSF1 ubiquitination [107]. In a xenograft model, *FBXW7* deficiency led to nuclear accumulation of HSF1 and enhanced lung metastasis of human melanoma cells [22]. Interestingly, our study indicated that the MEK-mediated Ser326 phosphorylation diminishes HSF1 Ser307 phosphorylation [21]. Thus, it is possible that MEK stabilizes HSF1, in part, by impeding the FBXW7-mediated ubiquitination.

In aggregate, a growing body of evidence indicates that both HSF1 activity and expression are upregulated in human cancers via diverse mechanisms.

## 6. How does HSF1 empower tumorigenesis?

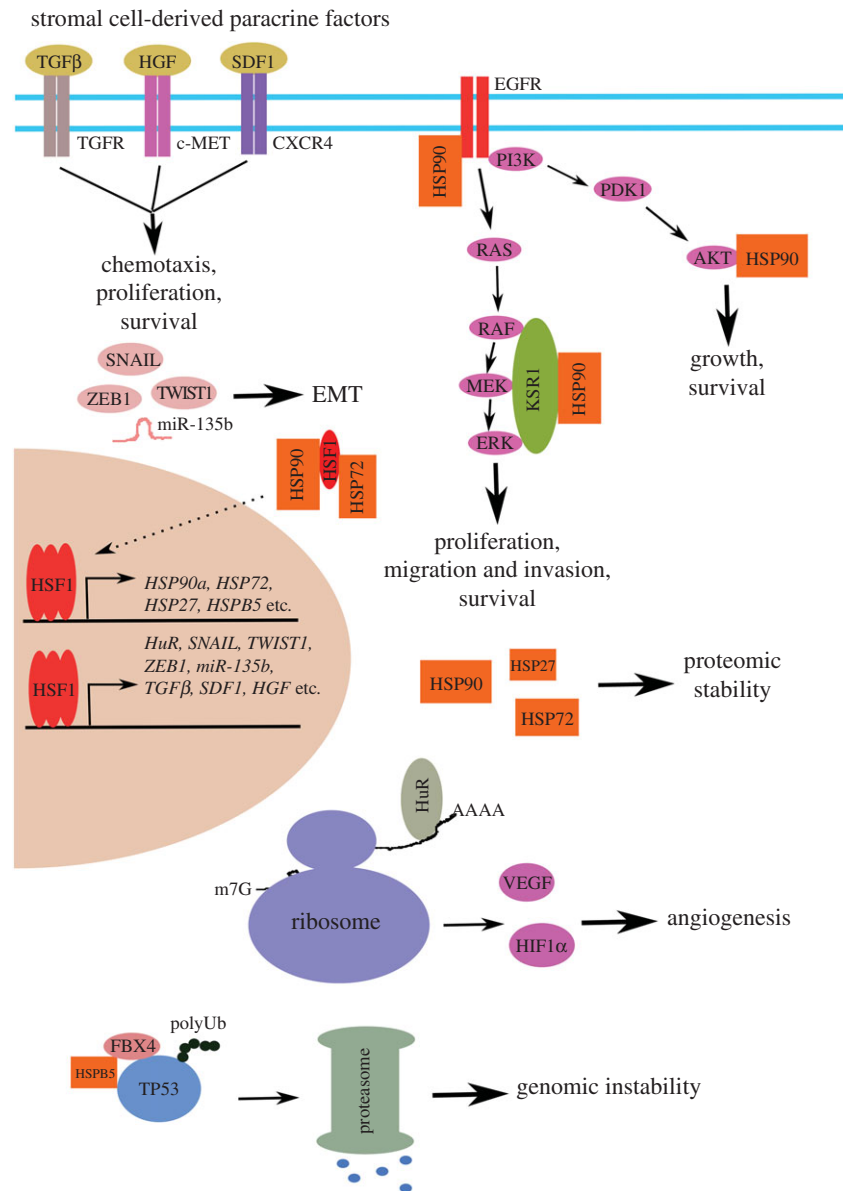
Given that HSPs chaperone a vast number of cellular proteins, unsurprisingly, the impacts of HSF1 on tumorigenesis are very broad and diverse.

### (a) Transcription-dependent, cell-autonomous pro-oncogenic effects

Naturally, it has been believed that HSF1 promotes oncogenesis primarily through its transcriptional action (figure 2). Congruent with its role in regulating *HSP* transcription,  $\alpha$ B-crystallin/*Hspb5* expression is diminished in *Hsf1*<sup>-/-</sup> mouse embryonic fibroblasts [108]. It is known that  $\alpha$ B-crystallin complexes with FBX4, an E3 ubiquitin ligase, to promote protein ubiquitination [109]. Of note, one of the FBX4 targets is the tumour suppressor TP53 [108]. Thus, decreased  $\alpha$ B-crystallin expression impairs TP53 protein ubiquitination, leading to TP53 accumulation in *Hsf1*<sup>-/-</sup> cells [108]. This result suggests that HSF1 promotes malignancy, in part, by enhancing TP53 degradation.

Furthermore, HSF1 is required for malignant transformation of immortalized mammary epithelial MCF-10A cells driven by the *HER2/NEU* oncogene. Mechanistically, HSF1 antagonizes *HER2/NEU*-induced cellular senescence [38]. This can be, in part, ascribed to elevated *HSP* expression owing to HSF1 activation, as depletion of either *HSP27* or *HSP72* by shRNAs sensitizes MCF-10A cells to senescence induced by *HER2/NEU* [38].

Moreover, through induction of *HSP90 $\alpha$*  expression, HSF1 can promote oncogenic RAS signalling indirectly via stabilizing kinase suppressor of RAS 1 (KSR1) [20], a client protein of HSP90. KSR1 is a scaffolding protein providing docking sites for RAF, MEK and ERK oncoproteins to undergo serial activating phosphorylation events [110]. Congruently, *HSF1* deficiency diminishes KSR1 protein and impairs ERK phosphorylation, impeding the tumorigenesis driven by hyper-activation of RAS signalling due to *Nf1* deficiency [20].



**Figure 2.** Diverse transcription-dependent mechanisms through which HSF1 potently promotes oncogenesis. Through induction of both HSPs and non-HSPs, within cancer cells HSF1 maintains oncogenic signalling, enhances EMT and angiogenesis, promotes genomic instability and preserves proteomic stability. In addition, HSF1 activation within tumour-associated stromal cells can support tumour progression in a non-cell-autonomous fashion.

In addition, mitigation of RAS signalling may underlie the migration defect of *Hsf1*-deficient cells stimulated with epidermal growth factor (EGF) [111]. Similarly, *HSF1* deficiency can destabilize other client proteins of HSP90, including AKT, EGFR and MIF [112–114], all of which are important players in oncogenesis.

Given its regulation of several classes of chaperones and co-chaperones, *HSF1* deficiency is expected to influence numerous chaperone client proteins and their mediated biological pathways, therefore bringing forth systemic impacts. Indeed, our recent study revealed that *HSF1* compromise, via either shRNA, AMPK activation or MEK blockade, induces global protein ubiquitination and aggregation in malignant cells, accompanied by diminished cellular chaperoning capacity [21,43]. Moreover, beyond protein destabilization and aggregation, *HSF1* deficiency provokes amyloidogenesis [21], marking the state of utmost proteomic chaos. Whereas amyloidogenesis frequently occurs in neural cells and has been closely associated with human neurodegenerative disorders [115], it appears that cancer cells are also susceptible to amyloidogenesis. Our study revealed that both HSF1 and

proteasome operate in concert to contain amyloidogenesis at a low level not obviously detrimental to cancer cells. Nonetheless, amyloids are still elevated in malignant cells compared with their non-transformed counterparts [21]. Of note, this fragile cancer proteostasis is highly vulnerable to proteomic perturbations. Either *HSF1* compromise, proteasome inhibition or both combined induces amyloid formation, leading to toxicity in both cancer cells *in vitro* and melanomas *in vivo* [21]. Moreover, our study indicated that amyloidogenesis is tumour-suppressive, impeding melanoma growth and metastasis *in vivo* [21].

Conceptually, our study suggests that proteostasis enables oncogenesis. Furthermore, our study not only establishes that HSF1 acts as a generic pro-oncogenic factor, by safeguarding proteostasis in cancer, but also suggests that disrupting proteostasis and provoking amyloidogenesis may be a novel therapeutic strategy to combat malignancies.

In addition to their prominent cytosolic localization and roles as molecular chaperones, a fraction of HSPs are associated with membranes in tumour cells. For example, HSP72 proteins have been found both in lipid rafts of the plasma membrane and

on the lysosomal membrane. While the plasma membrane-bound HSP72 can both protect tumour cells against radiation and function as a target structure recognized by natural killer cells [116], the lysosomal membrane-bound HSP72 inhibits lysosomal membrane permeabilization and subsequent cathepsin release [117]. Thus, through regulation of membrane-bound HSPs, HSF1 may modulate the anti-tumour immune response and promote tumour cell survival.

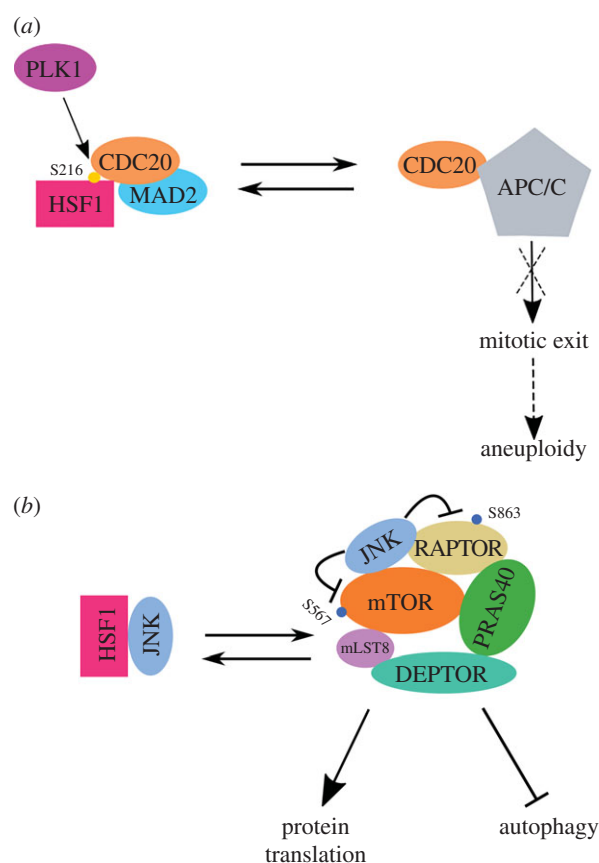
Accumulating evidence also indicates that HSF1 can regulate non-*HSP* genes, particularly in the context of cancer [53]. In addition to suppressing the initiation of mammary carcinomas in *MMTV-Her2/Neu* transgenic mice, *Hsf1* deficiency impeded tumour progression by impairing angiogenesis [36]. Mechanistically, HSF1 controls the transcription of human antigen R (HuR), an RNA-binding protein specifically recognizing the AU-rich elements located at the 3' UTR of mRNAs [118]. HuR is known to regulate the stability and/or translation of many mRNAs, including *HIF-1 $\alpha$*  and *VEGF* [119,120]. Thus, *Hsf1* deficiency diminishes cellular HuR expression, leading to reduced HIF-1 $\alpha$  protein translation and impaired tumour angiogenesis [36]. Similarly, HSF1 can control  $\beta$ -catenin mRNA translation via HuR in mammary cancer cells [121].

HSF1 also promotes EMT. It was reported that *Hsf1* deficiency impedes the EMT and migration of mammary epithelial cells derived from *MMTV-Her2/Neu* transgenic mice, contributing to impaired mammary tumorigenesis and metastasis [37]. Similarly, it was reported that *HSF1* knock-down mitigates the transcription of several master inducers of EMT, including *SLUG*, *SNAIL*, *TWIST1* and *ZEB1*, thereby blocking the EMT and migration induced by transforming growth factor beta (TGF $\beta$ ) in ovarian cancer cell lines [22]. HSF1 can also promote HCC cell migration and invasion through transcriptional induction of *miR-135b*, a microRNA targeting RECK and EVI5 [122].

Interestingly, HSF1 can also promote the transcription of telomeric repeat containing RNA and telomere protection under heat stress [123]. Given the suppression of tumorigenesis by telomere shortening [124], it is plausible to postulate that the HSF1-mediated telomere protection may contribute to malignant transformation.

### (b) Transcription-dependent, non-cell-autonomous pro-oncogenic effects

Undoubtedly, HSF1 is capable of promoting malignant phenotypes in a cell-autonomous fashion; however, emerging evidence also points to a non-cell-autonomous action of HSF1 in oncogenesis (figure 2). It was reported that HSF1 is activated in cancer-associated stromal fibroblasts and, importantly, deletion of *HSF1* in fibroblasts impedes the *in vivo* growth of xenografted MCF-7 breast cancer cells [125]. Mechanistically, HSF1 appears to drive a transcriptional programme in stromal fibroblasts that induces the expression of *TGF $\beta$*  and *SDF1* to support the malignant growth of adjacent cancer cells [125]. Interestingly, our recent study also uncovered that *Jnk1* deficiency causes HSF1 activation in non-parenchymal cells, a group of diverse cell populations that critically support hepatocytes, in mouse livers, leading to increased transcription of hepatocyte growth factor (*Hgf*) [79]. In a paracrine manner, enhanced HGF production in non-parenchymal cells, in turn, stimulates c-MET signalling in adjacent hepatocytes to drive their proliferation [79]. Thus,



**Figure 3.** HSF1 can exert oncogenic effects via transcription-independent mechanisms. (a) Phosphorylated HSF1 sequesters CDC20 away from APC/C, blocking mitotic exit to promote aneuploidy. (b) Through physical sequestration of JNK apart from mTORC1, HSF1 promotes robust protein synthesis and suppresses autophagy, thereby supporting malignant growth.

it is conceivable that this non-cell-autonomous mechanism could be operating in the context of liver carcinogenesis, given that *c-MET* is a potent proto-oncogene [126]. Furthermore, HGF secreted by microenvironments may render tumour cells resistant to therapeutic agents [127].

In addition, accumulating evidence indicates that HSPs can be secreted into the extracellular space via exosomes [128]. Extracellular HSPs can not only induce proinflammatory cytokines but also suppress protein misfolding and aggregation in recipient cells through exosome-mediated transmission [129,130]. Thus, through the non-cell-autonomous actions of HSPs, HSF1 may promote tumour progression by creating an inflammatory microenvironment and maintaining global proteomic stability in tumours.

### (c) Transcription-independent pro-oncogenic effects

Previously, it has been shown that a dominant negative HSF1 mutant is able to impair cyclin B1 degradation and suppress aneuploidy in prostate cancer cell lines *in vitro* [131]. Another study reported that PLK1 phosphorylates HSF1 at Ser216, sequestering CDC20 away from the APC/C [88]. Thus, phosphorylated HSF1 heightens mitotic checkpoint activation to promote aneuploidy, independently of its transcriptional action (figure 3a).

Our recent study also indicates that HSF1 supports robust protein translation mediated by mTORC1 in a transcription-independent fashion (figure 3b). Mechanistically,



**Table 1.** Small molecules inhibiting the HSF1-mediated HSR/HPSR. n.d., not determined.

drug-like compounds	chemical class	mechanisms of action	molecular targets	references
quercetin	flavonoid	reduction of HSF1 expression	many	[134,135]
KNK437	benzylidene lactam	blockade of HSF1-mediated <i>HSP</i> transcription	n.d.	[136]
triptolide	diterpenoid epoxide	global transcriptional arrest	XPB/ERCC3	[137,138]
KRIBB11	pyridinediamine	blockade of HSF1-dependent recruitment of P-TEFb to the <i>HSP72</i> promoter	HSF1 in cell lysates	[139]
fisetin	dietary flavonoid	blockade of HSF1 binding to the <i>HSP72</i> promoter	n.d.	[140]
NZ28 and emunin	emetine	inhibition of <i>HSP</i> mRNA translation	n.d.	[141]
rohinitib	rocaglate	blockade of genome-wide HSF1 DNA binding	EIF4A	[142]

the multifaceted stress-responsive kinase JNK constitutively associates with mTORC1 [79]. Upon activation by proteotoxic stress, JNK directly phosphorylates both RAPTOR at Ser863 and mTOR at Ser567, resulting in selective exclusion of mTOR from the complex and subsequent mTORC1 suppression [81]. Although not part of mTORC1, HSF1, through physical interactions, sequesters JNK apart from mTORC1, thereby de-repressing mTORC1 [79]. This mechanism not only averts deep repression of mTORC1 under proteotoxic stress, enhancing stress-resistance by ensuring efficient translation of induced *HSP* mRNAs, but also supports cellular and organismal growth under normal conditions, controlling cell and body size [79]. Given the important role of mTORC1 in malignancy [59], it is conceivable that this very same mechanism could contribute to oncogenesis. Indeed, our results show that in diverse human cancer cell lines, *HSF1* depletion by lentiviral shRNAs leads to JNK activation and suppressed global protein translation (KH Su, J Cao, C Dai 2017, unpublished manuscript).

Collectively, these recent findings pinpoint a key transcription-independent mode of action of HSF1, in addition to its well-appreciated transcriptional action.

## 7. Therapeutic targeting of HSF1 in cancer

Compelling evidence has indicated the potent pro-oncogenic role of HSF1 [8,132]. It is natural to consider HSF1 as a potential anti-cancer therapeutic target. Furthermore, targeting HSF1 is being considered for use in combinatorial therapies to mitigate the counterproductive HSF1 activation triggered by proteasome and HSP90 inhibitors [61]. In fact, in recent years increasing efforts have been invested in developing various strategies to target HSF1 for cancer therapies.

### (a) Targeting *HSF1* mRNAs

One means to block the HSF1 pathway is to deplete *HSF1* mRNAs via RNAi. This strategy has been successfully applied in many studies to demonstrate the pro-oncogenic effects of HSF1. Owing to its relatively greater target specificity compared with small-molecule drugs, there is a considerable amount of interest in developing RNAi therapeutics for various human diseases including cancer [133]. Importantly, in the light of the emerging transcription-independent action of HSF1, one evident advantage of the RNAi-based therapies is depletion of HSF1 proteins, which is typically difficult to achieve with small molecules.

### (b) Targeting the HSF1-mediated transcription or translation of *HSP* mRNAs

Still, small-molecule drugs are the mainstream pharmaceutical approach. To date, a number of compounds displaying inhibitory effects on the HSF1-mediated transcription or translation of *HSP* mRNAs have been reported (table 1), although most of them suffer from lack of target specificity or poorly defined mechanisms of action.

Recently, a new strategy based on RNA aptamer technology has emerged. It was reported that RNA aptamers bind to HSF1 proteins avidly *in vitro* and block the binding of HSF1 to *HSP* genomic loci *in vivo* [143]. Like *HSF1*-targeting RNAi, RNA aptamers effectively impede the malignant phenotypes of human cancer cell lines [143]. Thus, RNA aptamers may represent a promising class of HSF1 inhibitors with improved target specificity.

## 8. Concluding remarks and perspectives

Unequivocally, cancer is a genetic disease. Whereas genomic instability has been causally associated with tumorigenesis, little is known of the role of proteomic stability or proteostasis in cancer. Now, emerging evidence suggests that proteostasis enables malignancy, sharply contrasting with its beneficial roles in antagonizing neurodegeneration and ageing. The cellular proteostasis network consists of translation, chaperoning and proteolytic machineries, among which HSF1 governs the stress-inducible, but not the basal, chaperoning capacity. Owing to the chronic proteotoxic stress endured by malignant cells, HSF1 is obligated to remain constitutively active to supply the additional chaperoning capacity, which is necessary to accomplish and sustain malignant transformation. Thus, the proteostasis in cancer is constrained and fragile, distinct from that in primary non-transformed cells, which is robust and capable of buffering a considerable degree of proteomic perturbation. This key distinction may offer a promising opportunity for proteostasis-targeted anti-cancer therapies.

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