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## Neurocognitive Assessment of Emotional Context Sensitivity

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### Abstract

Sensitivity to emotional context is an emerging construct for characterizing adaptive or maladaptive emotion regulation, but few measurement approaches exist. The current study combined behavioral and neurocognitive measures to assess context sensitivity in relation to self-report measures of adaptive emotional flexibility and well-being. Sixty-six adults completed an emotional go/no-go task using happy, fearful, and neutral faces as go and no-go cues, while EEG was recorded to generate event-related potentials (ERPs) reflecting attentional selection and discrimination (N170) and cognitive control (N2). Context sensitivity was measured as the degree of emotional facilitation or disruption in the go/no-go task and magnitude of ERP response to emotion cues. Participants self-reported on emotional flexibility, anxiety, and depression. Overall participants evidenced emotional context sensitivity, such that when happy faces were go stimuli, accuracy improved (greater behavioral facilitation), whereas when fearful faces were no-go stimuli, errors increased (disrupted behavioral inhibition). These indices predicted emotional flexibility and well-being: greater behavioral facilitation following happy cues was associated with lower depression and anxiety, whereas greater disruption in behavioral inhibition following fearful cues was associated with lower flexibility. ERP indices of context sensitivity revealed additional associations: greater N2 to fear go cues was associated with less anxiety and depression, and greater N2 and N170 to happy and fear no-go cues, respectively, were associated with greater emotional flexibility and well-being. Results suggest that pleasant and unpleasant emotions selectively enhance and disrupt components of context sensitivity, and that behavioral and ERP indices of context sensitivity predict flexibility and well-being.

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Emotion regulation (ER) strategies, which allow individuals to modulate the experience and expression of emotions, are often characterized as adaptive versus maladaptive (e.g. John & Gross, 2004). For example, suppression of unpleasant emotions has been discussed as a relatively maladaptive strategy, while reappraisal, the reinterpretation of a stimulus or emotional response in a positive way, is considered relatively adaptive. However, while reappraisal has been shown to result in decreased subjective and physiological responses to

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unpleasant stimuli (Gross & Levenson, 1993; Urry, 2009), it is less effective in highly-intense emotional situations (Sheppes, Catran, & Meiran, 2009). Furthermore, a meta-analysis examining the efficacy of distinct ER strategies to modulate emotion across a range of methods and contexts showed small to modest effect sizes (Webb, Miles, & Sheeran, 2012).

In a recent review of this literature, Bonanno and Burton (2013) referred to the assumption that strategies are dichotomously adaptive or maladaptive as the “fallacy of uniform efficacy.” The available literature, they concluded, indicated instead that the effectiveness of any particular regulatory strategy will vary across people and situations and consequently that effective regulation must by necessity be flexible (Bonanno, Papa, Lalande, Westphal, & Coifman, 2004; Chang, 2001; Kashdan & Rottenberg, 2010). For example, different situational contexts will tend to evoke different and sometimes competing regulatory goals (Tamir, 2009). Some contexts are more demanding than others, and some behaviors and strategies are more adaptive in specific contexts or situations and less adaptive in others (Aldao, 2013).

Extending this work, a growing body of research has documented the important role of contextual discrimination in adaptive self-regulation. Sensitivity to contextual cues allows for optimal choice of regulatory strategy (Bonanno & Burton, 2013) while the failure to respond appropriately to contextual cues, or context insensitivity, has been implicated in psychopathology (Diminich & Bonanno, 2014; Rottenberg, Gross, & Gotlib, 2005; Rottenberg, Kasch, Gross, & Gotlib, 2002).

One reason that the ability to read and respond to changing contextual cues is so crucial is that the output of this process informs all other downstream aspects of flexible self-regulation. For example, the ability to utilize different types of regulatory responses, or repertoire (Aldao, 2013; Bonanno & Burton, 2013), has shown positive associations to both health and well-being and to the capacity to adapt to highly stressful and potentially traumatic life events (Bonanno et al., 2004; Bonanno, Westphal, & Mancini, 2011; Gupta & Bonanno, 2011; Park, Chang, & You, 2015; Westphal, Seivert, & Bonanno, 2010). A long-standing tenant of emotion regulation theory, however, holds that the enactment of different types of strategies can only be effective if the selected strategies are matched to changing contextual demands and opportunities (Aldao, 2013; Cole, Martin, & Dennis, 2004; Davidson, Putnam, & Larson, 2000).

Given the primacy of context sensitivity, it is surprising that few studies have examined its features or their relation to other components of flexible self-regulation. The current study sought to address this gap by examining complementary aspects of context sensitivity during an emotional go/no-go task. Specifically, we measured both event-related potentials (ERPs), that capture sensitivity to emotional context with high functional and temporal specificity, and performance-related behavioral metrics, such as response time and performance accuracy. In addition, we also examined how these indices related to key downstream components of regulatory flexibility, specifically the capacity to modulate emotional expression and suppression or *expressive flexibility*, and the ability to utilize different types

of coping strategies, or coping flexibility, that have proven highly relevant to well-being and adaptive functioning.

The emotional go/no-go task (Tottenham, Hare, & Casey, 2011) is well-suited to generate measures of context sensitivity because it requires discrimination of relevant stimuli and cognitive control over behavior within shifting contextual demands. In a standard go/no-go task (Casey et al., 1997; Schulz et al., 2007) participants are required to indicate by button press whenever a designated target appears (“go” response), and to inhibit that response when a designated non-target appears (“no-go” response). Because go trials occur with high frequency (e.g., 70% of trials), response inhibition on the no-go trials requires cognitive control. A recent version of this task using facial expressions of emotion as target stimuli, the emotional go/no-go task (Schulz et al., 2007; Tottenham et al., 2011), provides a means of assessing both basic contextual discrimination and control and emotion-related contextual discrimination and control within a single experimental design. Greater accuracy and faster reaction times to go trials represent successful behavioral facilitation towards the target, while lower false alarm rates to no-go trials represent successful behavioral inhibition. Emotion modulates behavioral facilitation and inhibition (e.g. Ladouceur et al., 2006; Tottenham et al., 2011). Approach is facilitated when happy faces are the go stimulus, and inhibition is disrupted when emotional faces are the no-go stimulus (Tottenham et al., 2011), although it remains unclear how success or failure in varying emotional contexts are related to other components of regulatory flexibility.

Individual differences in the impact of emotion on approach or withdrawal behavior may predict ER flexibility and emotional well-being. For example, in comparison to typical controls, individuals diagnosed with anxiety disorders responded slower to go stimuli when the no-go cues were angry faces, indicating reduced behavioral facilitation in an emotionally unpleasant context (Ladouceur et al., 2006). Consistent with dual-process models (Carver & White, 1994; Gray & Braver, 2002), this suggests that avoidance-related emotions can selectively disrupt inhibitory control, whereas approach-related emotions can selectively promote behavioral facilitation. Importantly, the distinction between a normative disruption of inhibitory control by unpleasant emotions and an interference indicative of psychological dysfunction is not well understood. Likely the behavioral performance differences between normative and disordered patterns of response are likely not categorical, and instead vary continuously. That is, slower behavioral facilitation and impaired inhibitory control to unpleasant stimuli may be normative when the magnitude of the effect is moderate (e.g., negative affective stimuli disrupting go/no-go latency) but when more extreme, may be linked to dysfunction. We attempt to clarify this difference in the current study, by measuring contextual sensitivity as the influence of emotional information on cognitive control performance, and testing whether metrics of this influence in turn is related to individual differences in self-reported well-being and adaptive regulatory flexibility.

Event-related potentials (ERPs) provide a more direct method for assessing sensitivity to emotional context. The N170 component is maximal at right lateralized occipito-temporal recording sites at 150–180 ms post-stimulus onset (Bentin, Allison, Puce, Perez, & McCarthy, 1996), and is thought to reflect relatively early attentional selection and discrimination, particularly for facial stimuli (Batty & Taylor, 2003; Eimer, 2011). This

component is thought to be generated in the superior temporal sulcus and fusiform gyrus, regions responsible for facial processing (Itier & Taylor, 2004; Pizzagalli et al., 2002). The N170 is sensitive to emotion (e.g. Ashley, Vuilleumier, & Swick, 2004; Batty & Taylor, 2003; Pizzagalli et al., 2002), with greater amplitudes to emotional versus neutral stimuli, specifically fearful and angry faces (e.g. Batty & Taylor, 2003; Krombholz, Schaefer, & Boucsein, 2007). In emotional go/no-go tasks, N170 amplitudes are greater to emotional versus neutral faces, particularly for no-go trials, when attentional selection and discrimination is essential (Wang, Nie, & Lu, 2014). However, greater N170 amplitudes to emotional no-go faces were linked to reduced response inhibition, suggesting that the resource priority given to the early processing of emotional content may disrupt subsequent behavioral inhibition (Wang et al., 2014). N170s also reflect dysfunctional processing of emotion in individuals with psychiatric diagnoses including depression (see Feuerriegel, Churches, Hofmann, & Keage, 2015 for a review).

The N2 component is thought to reflect later, higher-level cognitive control (Van Veen & Carter, 2002), and is a negative shift which is maximal at frontal recording sites approximately 200 to 300 ms post stimulus onset. The N2 reflects activation of frontal lobe (e.g. Falkenstein, Hoormann, & Hohnsbein, 1999; Kiefer, Marzinzik, Weisbrod, Scherg, & Spitzer, 1998; Kopp, Mattler, Goertz, & Rist, 1996) and anterior cingulate cortex (Bokura, Yamaguchi, & Kobayashi, 2001), regions involved in executive control of motor responses and emotion regulation (see Bush, Luu, & Posner, 2000 for a review). Greater N2 amplitudes coincide with successful response inhibition for no-go trials (Falkenstein et al., 1999), potentially indicating increased resource allocation to effortful inhibition of motor responses (Geczy, Czigler, & Balazs, 1998). Furthermore, N2 amplitudes are attenuated following no-go trials among individuals with impulse control problems such as ADHD and addiction (Dong, Lu, Zhou, & Zhao, 2010; Pliszka, Liotti, & Woldorff, 2000).

We examined these ERPs in the current study as part of a complementary, multi-method approach to measure emotional context sensitivity (facilitation and inhibition) and examine it in relation to measures of adaptive emotion regulation and regulatory flexibility. Behaviorally, happy stimuli are predicted to bolster behavioral facilitation whereas fear stimuli are predicted to disrupt behavioral inhibition. On a physiological level, N170 amplitudes are predicted to be greater to emotional versus neutral stimuli, indicating emotional enhancement of attentional selection and discrimination, whereas N2 amplitudes are predicted to be reduced, reflecting taxing of cognitive control to inhibit responses to emotional no-go stimuli.

In addition, we examined links between biobehavioral measures of emotional context sensitivity in relation to self-reported adaptive emotion regulation (emotional well-being and flexibility). We predicted that individuals reporting more positive emotional well-being and greater regulatory flexibility would also show behavioral and physiological indices of greater ability to harness the bolstering effects of pleasant emotions on behavioral facilitation, as well as greater resistance to the interfering effects of unpleasant emotion on behavioral inhibition.

## Method

### Participants

Sixty-six adults (17 males, 49 females), aged 18–47 ( $M = 20.97$ ,  $SD = 4.76$ ), participated in the current study.<sup>1</sup> Participants were recruited through the psychology participant pool at Hunter College, the City University of New York. Self-reported race/ethnicity was: two African American (4.1%), 12 Hispanic (17.6%), 26 Caucasian (35.1%), 17 Asian (28.4%), and nine (13.5%) other.

### Materials and Procedure

Following informed consent, participants completed various questionnaires. After the questionnaire period, EEG electrodes were applied and participants were seated in an EEG recording booth 65 cm from a 17 in monitor to complete an Emotional go/no-go Task. Participants spent a total of approximately two hours in the laboratory.

**EEG Recording and Data Reduction**—A Biosemi system (BioSemi; Amsterdam, NL), was used to record EEG activity continuously during the Emotional Go/No-go task using 64 Ag/AgCl scalp electrodes. Electrodes were fixed into an elasticized nylon cap and arranged according to the International 10/20 system. Eye movements were monitored by electro-oculogram (EOG) signals from electrodes placed 1 cm above and below the left eye (to measure vertical eye movements) and 1 cm on the outer edge of each eye (to measure horizontal eye movements). Pre-amplification of the EEG signal occurred at each electrode which improves the signal-to-noise ratio. EEG was recorded at a sampling rate of 512 Hz. During EEG acquisition, the voltage from each of the 64 electrodes from which data was collected was referenced online with respect to the common mode sense active electrode and driven right leg electrode, which produces a monopolar (non-differential) channel. Brain Vision Analyzer (Version 2.2, GmbH; Munich, DE) was used to prepare the data. Offline, all data were re-referenced to the average of the scalp and filtered with a high pass frequency of 0.1 Hz and a low pass frequency of 30 Hz. Face-locked data were segmented into epochs from 200 ms before stimulus presentation to 500 ms after stimulus onset, with a 200 ms baseline correction separately for the go and no-go faces. The N170 was generated as the mean amplitude from 140 ms to 190 ms over P9 and P10 and N2 was generated as the mean amplitude from 220 ms to 320 ms over Fz (Figure 1).

**Emotional Go/No-go Task**—Emotional faces (fearful, happy, neutral) from the NimStim set (Tottenham et al., 2009) were presented as either the go or no-go stimulus. Stimuli were presented on screen for 500 ms with a 1500 ms inter-trial interval (ITI). Each condition consisted of 50 trials: 35 go trials (70%) and 15 no-go trials (30%). There were a total of six conditions, each consisting of emotion-neutral contexts (fear-neutral, happy-neutral) or mixed emotion contexts (fear-happy). Within each condition, one face type was assigned to be the go stimulus and another to be the no-go stimulus as follows: (fear go/neutral no-go, fear no-go/neutral go, happy go/neutral no-go, happy no-go/neutral go, fear go/happy no-go,

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<sup>1</sup>A total of 77 participants were recruited, three were excluded due to difficulties during EEG data collection, and an additional 8 were excluded due to low trial counts (< 5 trials for no-go).

fear no-go/happy go). Condition order and face pair presentation order within conditions were counterbalanced across participants. Conditions were organized into three blocks for analyses [fear-neutral (includes fear go/neutral no-go, fear no-go/neutral go), happy-neutral (includes happy go/neutral no-go, happy no-go/neutral go), and fear-happy (including fear go/happy no-go, fear no-go/happy go)]. Thus, the term 'block' describes which emotions are present in the context: e.g. fear-neutral block includes fear go/neutral no-go, fear no-go/neutral go.

Participants were instructed to respond to the go stimulus (one face type; e.g. happy faces) in each block by pressing the spacebar as quickly as possible and to refrain from responding to the no-go stimulus (any face type other than the go stimulus; e.g. fear faces; Figure 2). Hit rates for correct responses to go trials (behavioral facilitation) as well as false alarm rates for no-go trials (behavioral inhibition) were recorded. We calculated d-prime scores (z-transformed hit rate minus z-transformed false alarm rate) to quantify accuracy while taking response bias into account.

To examine the variability attributable to emotional processing compared to its baseline emotion (e.g. fearful versus neutral faces) within a block, residual scores were computed for each behavioral metric (e.g., hit rate) separately. Residuals are thought to be a more reliable method for measuring responses relative to a baseline emotion compared to subtraction scores since (a) subtraction scores do not account for inter-correlations between the baseline emotion and relative responses (Weinberg, Venables, Proudfit, & Patrick, 2015); and (b) subtraction scores do not reflect differences in variability of measurement across individuals. Greater residual scores represent greater difference from baseline emotions because they reflect a larger response than what would be predicted by the respective baseline emotion model.

Residual scores were computed for hit and false alarm rates as follows: For the fear-neutral block, responses to fear cues were predicted from responses to neutral cues; for the happy-neutral block, responses to happy cues were predicted from responses to neutral cues; and for the fear-happy block, responses to fear cues were predicted from responses to happy cues.

Residual scores were also computed for the N170 and N2 for each stimulus type separately (go and no-go) as follows: for the fear-neutral block, ERPs to fear cues were predicted from ERPs to neutral cues; for the happy-neutral block, ERPs to happy cues were predicted from ERPs to neutral cues; and for the fear-happy block, ERPs to fear cues were predicted from ERPs to happy cues.

### **Self-Report of Regulatory Flexibility and Well-Being**

**Emotional Well-Being**—Participants completed two self-report measures of emotional well-being. the Beck Depression Inventory (BDI; Beck, Steer, & Brown, 1996) is a 21-item questionnaire that has participants assesses depressive symptoms over the previous two-week period ( $\alpha = .93$ ). The Beck Anxiety Inventory (BAI; Beck, Epstein, Brown, & Steer, 1988) is a 21-item questionnaire that assesses general level of nervousness, anxiety, and shyness ( $\alpha = 0.92$ ).

**Emotion Regulation and Flexibility**—Participants completed self-report measures of emotion regulation and flexibility. The Flexible Regulation of Emotional Expression Scale (FREE; Burton & Bonanno, 2015) measures the ability to modulate emotional experiences to pleasant and unpleasant information ( $\alpha = .70 - .81$ ); and the Perceived Ability to Cope with Trauma Scale (PACT; Bonanno, Pat-Horenczyk, & Noll, 2011) was used to measure coping flexibility in response to aversive or potentially traumatic events ( $\alpha = .79 - .85$ ).

## Results

### Descriptive Statistics

Descriptive statistics for all self-report measures, behavioral performance measures, and ERP metrics are presented in Table 1. Since the current sample consisted of typical adults, we confirmed that depression (BDI) and anxiety (BAI) symptoms were normally distributed with means below the clinical ranges (see Table 1). One participant scored in the severe depression range ( $> 30$ ) on the BDI, and eight participants scored in the severe anxiety range ( $> 25$ )<sup>2</sup>.

### Metrics of Emotional Context Sensitivity

**Analytic Approach**—To examine behavioral and neurocognitive responses in varying emotional contexts, repeated measures analyses of variance (ANOVA) were conducted. Emotion context effects on behavioral performance are reported below in the first section, and emotion context effects on neurocognitive responses are reported in the second section. Within each of these two sections, two broad types of emotional comparisons were made.

First, to examine the influence of *emotion versus neutral faces*, the model compared the fear-neutral to happy-neutral block, which were composed of all the emotion-neutral conditions: fear go/neutral no-go, fear no-go/neutral go, happy go/neutral no-go, neutral go/happy no-go.

Second, to examine the influence of *mixed emotion contexts* (e.g. happy go/fear no-go), two models were tested: one model compared the fear-happy (fear go/happy no-go and fear no-go/happy go conditions) to the fear-neutral block (fear go/neutral no-go, fear no-go/neutral go conditions) and the other model compared the fear-happy to the happy-neutral block (happy go/neutral no-go, neutral go/happy no-go conditions).<sup>3</sup>

**Behavioral performance**—First, we examined whether emotional faces would facilitate or disrupt behavioral performance relative to neutral faces, and whether this would differ depending on the broader affective context (e.g., whether fearful faces were paired with happy or neutral faces). First, d-prime scores were examined as the primary dependent measure of interest. Subsequently, hit rate and false alarm rate were then investigated as

<sup>2</sup>All analyses were confirmed without participants scoring in the severe range for either depression or anxiety, and results did not differ from those from the full sample.

<sup>3</sup>All three blocks (fear-neutral, happy-neutral, fear-happy) could not all be examined simultaneously in one repeated measures ANOVA model for the following reason. Since the FH block is a mixed emotion block with no neutral faces, including this block in the same comparison with HN and FN would result in either the happy faces or the fearful faces being considered the 'neutral' within-subjects variable in one block, but not in the corresponding HN or FN block. For this reason, blocks were examined in pairs (fear-neutral/happy-neutral, fear-neutral/fear-happy, and happy-neutral/fear-happy). See Supplement for further clarification.

secondary isolated indices of facilitatory and inhibitory processes, respectively. These secondary analyses were included to examine whether hit rate or false alarm rate individually represented the driving force behind context sensitivity encompassed by d-prime. Repeated measures analyses of variance were conducted separately for each behavioral measure (d-prime, hit rate, false alarm rate) as dependent variable: the fear-neutral block was directly compared to the happy-neutral block [2(Block: fear-neutral, happy-neutral)×2(Valence: emotion, neutral)], the fear-neutral block directly compared to the fear-happy block [(Block: fear-neutral, fear-happy)×2(Valence: fearful, non-fearful)], and the happy-neutral block directly compared to the fear-happy block [2(Block: happy-neutral, fear-happy)×2(Valence: happy, non-happy)]; (see Supplement). Bonferroni correction (adjusted  $p < .0083$ ) was used to control for multiple comparisons in follow-up t-tests examining patterns of behavioral responding.<sup>4</sup>

**D-prime:** D-prime refers to the z-transformed response accuracy to go trials minus the z-transformed false alarm rate for no-go trials. Greater scores indicated better context sensitivity such that participants were able to respond accurately to go stimuli while refraining from incorrectly responding to no-go stimuli.

**Fear-neutral versus happy-neutral:** D-prime was greater for emotional versus neutral faces [Valence:  $F(1, 65) = 4.18, p = .045$ , partial  $\eta^2 = .06$ ; Figure 3] suggesting facilitation of context sensitivity by emotional cues. D-prime was greater for happy versus neutral go (happy-neutral block:  $p = .001$ ) and fear go (across blocks:  $p = .008$ ) faces, whereas no difference emerged in the fear-neutral block ( $p = .437$ ) [Block×Valence:  $F(1, 65) = 8.02, p = .006$ , partial  $\eta^2 = .11$ ].

**Fear-neutral versus fear-happy:** D-prime was lower for fearful versus non-fearful (happy and neutral) faces [Valence:  $F(1, 65) = 8.43, p = .005$ , partial  $\eta^2 = .12$ ] suggesting disruption of context sensitivity by fearful face cues.

**Happy-neutral versus fear-happy:** D-prime was greater for happy versus non-happy (fearful and neutral) faces [Valence:  $F(1, 65) = 25.72, p < .001$ , partial  $\eta^2 = .28$ ] suggesting facilitation of context sensitivity by pleasant cues.

**Hit rate.<sup>5</sup>** Hit rate refers to the number of times participants correctly responded to go trials, with greater rates indicating increased behavioral facilitation to the go stimulus.

**Fear-neutral versus happy-neutral:** Hit rates were greater when emotional versus neutral faces were the go stimulus [Valence:  $F(1, 65) = 7.82, p = .007$ , partial  $\eta^2 = .11$ ]. Hit rates were greater for happy versus neutral go faces (happy-neutral block:  $p = .002$ ), whereas no

<sup>4</sup>Bonferroni's correction for multiple comparisons was used in the analyses of covariance reported here while the Benjamini-Hochberg was used as a correction for correlations reported below, since Benjamini-Hochberg is not recommended for within-subject tests (Benjamini & Hochberg, 1995).

<sup>5</sup>Hit reaction times were also examined as an index of behavioral facilitation to the go stimulus, and the pattern of results exactly mirrored those found for hit rate. Thus, only hit rate results were included since they more appropriately coincide with the rate metric used for false alarms, and are a component of the d-prime score.



difference emerged in the fear-neutral block ( $p = .464$ ) [Block $\times$ Valence:  $F(1, 65) = 8.24$ ,  $p = .006$ , partial  $\eta^2 = .11$ ].

**Fear-neutral versus fear-happy:** Hit rates were greater for happy versus fearful faces (fear-happy block:  $p < .001$ ), whereas no differences emerged in the fear-neutral block ( $p$ 's  $> .008$ ) [Block $\times$ Valence:  $F(1, 65) = 4.18$ ,  $p = .045$ , partial  $\eta^2 = .06$ ].

**Happy-neutral versus fear-happy:** Hit rates were greater for happy go faces compared to neutral or fearful faces across and within blocks [Valence:  $F(1, 65) = 15.53$ ,  $p < .001$ , partial  $\eta^2 = .20$ ; Block $\times$ Valence:  $F(1, 65) = 4.84$ ,  $p = .031$ , partial  $\eta^2 = .07$ ,  $p$ 's  $< .008$ ].

**False alarm rate:** False alarm rate refers to the number of times participants incorrectly responded to no-go trials, indicating a failure in behavioral inhibition.

**Fear-neutral versus happy-neutral:** False alarm rates were greater to emotional versus neutral faces [Valence:  $F(1, 65) = 4.06$ ,  $p = .048$ , partial  $\eta^2 = .06$ ].

**Fear-neutral versus fear-happy:** There were no significant differences in false alarm rates in the fear-neutral and fear-happy blocks ( $p$ 's  $> .10$ ).

**Happy-neutral versus fear-happy:** There were no significant differences in false alarm rates in the happy-neutral and fear-happy blocks ( $p$ 's  $> .10$ ).

**Behavioral Performance Summary—**Context sensitivity, measured as d-prime, was influenced by emotion such that d-prime was enhanced for happy faces and disrupted for fearful faces. Examination of hit rates and false alarm rates revealed that happy faces bolstered behavioral facilitation (i.e., greater hit rates for happy versus fearful and neutral faces), although emotional faces overall hindered behavioral inhibition (i.e., greater false alarm rates for emotional versus neutral faces).

**ERP Responses to Face Cues—**Next, we examined whether the N170 and N2 showed selective sensitivity to fearful, happy, or neutral faces, and whether this sensitivity differed by the broader emotional context. As described above for behavioral measures, three repeated-measures ANOVAs were conducted separately for each ERP measure (N170, N2) as dependent variable. The fear-neutral block was directly compared to the happy-neutral block [2(Block: fear-neutral, happy-neutral) $\times$ 2(Valence: emotion, neutral) $\times$ 2(Stimulus: go, no-go)], the fear-neutral block directly compared to the fear-happy block [(Block: fear-neutral, fear-happy) $\times$ 2(Valence: fearful, non-fearful) $\times$ 2(Stimulus: go, no-go)], and the happy-neutral block directly compared to the fear-happy block [2(Block: happy-neutral, fear-happy) $\times$ 2(Valence: happy, non-happy) $\times$ 2(Stimulus: go, no-go)].<sup>6</sup> Bonferroni correction (adjusted  $p < .0083$ ) was used to control for multiple comparisons in follow-up t-tests examining patterns of neurocognitive responding.

<sup>6</sup>Since ERPs were generated for both go and no-go faces, Stimulus could be included as a variable in these models. For models examining behavioral performance, measures were either indexing responses only to go trials (d-prime, hit rate) or no-go trials (false alarm rate), so Stimulus could not be included in those models.

**N170. Fear-neutral versus happy-neutral:** There was a significant main effect of Stimulus: N170 amplitudes were larger to no-go versus go faces [ $F(1, 65) = 19.05, p < .001$ , partial  $\eta^2 = .23$ ]. In addition, the main effect of Valence, showing that N170 amplitudes were larger to emotional versus neutral faces [ $F(1, 65) = 33.74, p < .001$ , partial  $\eta^2 = .34$ ], was subsumed under a marginally significant interaction with Block [Block $\times$ Valence:  $F(1, 65) = 3.40, p = .070$ , partial  $\eta^2 = .05$ ] such that N170 amplitudes were larger to both the fearful and happy faces relative to neutral, with fearful faces showing the largest magnitude N170 (all  $p$ 's  $< .01$ ; Figure 4).

**Fear-neutral versus fear-happy:** There was a main effect of Valence such that N170 amplitudes were larger to fearful versus non-fearful faces [ $F(1, 65) = 42.79, p < .001$ , partial  $\eta^2 = .40$ ]. Also, there was a main effect of Stimulus such that that N170 amplitudes were larger to no-go versus go stimuli [ $F(1, 65) = 5.16, p = .026$ , partial  $\eta^2 = .07$ ], but this difference was significant in the fear-neutral block only [Block $\times$ Stimulus:  $F(1, 65) = 6.68, p = .012$ , partial  $\eta^2 = .09$ ;  $p = .001$ ].

**Happy-neutral versus fear-happy:** There was a significant Block $\times$ Valence interaction such that N170 amplitudes were greater for fearful versus happy or neutral faces ( $p$ 's  $< .002$ ), and greater for happy in a neutral context compared to a fearful context ( $p = .008$ ) [ $F(1, 65) = 34.27, p < .001$ , partial  $\eta^2 = .35$ ]. Also, a significant Block $\times$ Stimulus interaction showed that N170 amplitudes were greater for no-go versus go faces, but only in the happy-neutral block ( $p = .006$ ) [ $F(1, 65) = 5.22, p = .026$ , partial  $\eta^2 = .07$ ].

**N170 Summary:** N170 amplitudes were sensitive to Stimulus, Valence, and Block, indicating *greater* attentional selection and discrimination for no-go versus go faces, and for emotional versus neutral faces. In particular, fearful faces elicited greater N170 amplitudes compared to neutral and happy faces.

**N2. Fear-neutral versus happy-neutral:** The significant Valence $\times$ Stimulus interaction showed that N2 amplitudes were *smaller* for emotional no-go faces compared to neutral no-go or any type of go face [ $F(1, 65) = 20.77, p < .001$ , partial  $\eta^2 = .24$ ;  $p$ 's  $< .001$ ; Figure 5]. There was also a main effect of Block such that N2 amplitudes were larger in the fear-neutral versus happy-neutral block [ $F(1, 65) = 12.81, p = .001$ , partial  $\eta^2 = .17$ ].

**Fear-neutral versus fear-happy:** The significant Block $\times$ Valence $\times$ Stimulus showed that across and within blocks, N2 amplitudes were *smaller* to fearful no-go faces compared to fearful go, neutral no-go, and happy go faces [ $F(1, 65) = 9.41, p = .003$ , partial  $\eta^2 = .13$ ;  $p$ 's  $< .01$ ].

**Happy-neutral versus fear-happy:** The significant main effect of Stimulus showed that N2 amplitudes were *smaller* to no-go versus go faces [ $F(1, 65) = 8.86, p = .004$ , partial  $\eta^2 = .12$ ]. The significant Block $\times$ Valence interaction further showed that N2 amplitudes were smallest to happy faces in the happy-neutral block compared to any other type of face across and within blocks ( $p$ 's  $< .001$ ) [ $F(1, 65) = 11.54, p = .001$ , partial  $\eta^2 = .15$ ].

**N2 Summary:** N2 amplitudes were sensitive to Stimulus, Valence and Block, indicating *dampened* N2 responses to no-go versus go faces, and emotional versus neutral faces. Specifically, fearful no-go faces elicited smaller N2 amplitudes compared to any other type of face.

### Associations between Behavioral Performance and ERP Responses to Face Cues

To test whether behavioral performance was related to ERP metrics of emotional context sensitivity, we conducted correlations between residual scores for behavioral measures (d-prime, hit rate, false alarm rate) to happy faces (versus neutral faces) or fearful faces (versus neutral or happy faces) and residual scores for ERPs (N170 and N2). D-prime scores were compared to ERPs for both go and no-go face cues. Hit rates were compared only to ERPs for go cues, while false alarm rates were compared to only no-go cues.

Significant correlations emerged in the fear-neutral block only.<sup>7</sup> Greater d-prime scores ( $r = -.292, p = .017$ ) and hit rates ( $r = -.264, p = .032$ ) for fearful versus neutral faces was related to greater amplitude N170 to fearful versus neutral go faces.

### Links between Behavioral Performance and Emotional Flexibility and Well-being

To test whether behavioral metrics of emotional context sensitivity relate to regulatory flexibility and efficacy, correlations were conducted between residual scores for behavioral measures (d-prime, hit rate, and false alarm rate) and self-report measures of emotional well-being and flexibility (Table 2). Expressive flexibility was measured using the FREE (Burton & Bonanno, 2015), coping flexibility was measured using the PACT (Bonanno, Pat-Horenczyk, et al., 2011), and depression and anxiety symptoms were measured using the BDI (Beck et al., 1996) and BAI (Beck et al., 1988), respectively.

#### Emotional Well-Being

**Beck's Depression Inventory (BDI) and Beck's Anxiety Inventory (BAI):** Greater d-prime scores ( $r = -.265, p = .032$ ), and greater hit rates to happy versus neutral faces ( $r = -.312, p = .011$ ), were both related to lower depressive symptoms. Greater hit rates for happy ( $r = -.296, p = .016$ ) and fearful ( $r = -.232, p = .060$ ) versus neutral faces were also associated with lower anxiety.

#### Emotion Regulation and Coping Flexibility

**Flexible Regulation of Emotional Expression Scale (FREE):** Expressive flexibility was associated with lower false alarm rates for fear versus happy faces ( $r = -.255, p = .039$ ).

**Perceived Ability to Cope with Trauma Scale (PACT):** Coping flexibility was associated with lower false alarm rates to fearful versus neutral faces ( $r = -.307, p = .012$ ).

<sup>7</sup>For correlations, multiple comparisons were corrected using the Benjamini-Hochberg procedure (Benjamini & Hochberg, 1995), which involves ranking  $p$ -values and accounts for the number of tests conducted. This correction was applied separately to each family of regressions (i.e. separately for each behavioral measure), since this approach assumes sample independence. Raw  $p$ -values are reported, and were significant using a false discovery rate criterion of 0.15 (Benjamini & Hochberg, 1995).

## Links between ERPs and Emotional Flexibility and Well-Being

To test whether ERP metrics of emotional context sensitivity relate to ER flexibility and efficacy, associations between residual scores for ERPs (N170 and N2) and self-report measures of emotional well-being and flexibility were examined (Table 3).

### Emotional Well-Being

**Beck's Depression Inventory (BDI) and Beck's Anxiety Inventory (BAI):** Greater N170 to fearful versus happy no-go faces was associated with lower depressive symptoms ( $r = .342, p = .005$ ), whereas greater N2 to fearful versus happy go faces were associated with lower depression ( $r = .270, p = .028$ ) and anxiety symptoms ( $r = .312, p = .011$ ).

### Emotion Regulation and Coping Flexibility

**Flexible Regulation of Emotional Expression Scale (FREE):** Expressive flexibility was associated with greater N170 to fearful versus neutral no-go faces ( $r = -.375, p = .002$ ), and greater N2 to happy versus neutral no-go faces ( $r = -.253, p = .040$ ).

## Discussion

The current findings demonstrate that measures of context sensitivity assessed during an emotional go/no-go task are systematically correlated with emotional flexibility and well-being. Taken together, findings provide some of the first evidence linking behavioral and neurocognitive sensitivity to emotional context with broader dimensions of regulatory flexibility. Furthermore, the ability to harness and overcome the respective influence of pleasant and unpleasant emotional contexts may be important predictors of emotional well-being that should be distinguished from context-independent discrete strategy use. Importantly, although there were limited associations between behavioral performance and neurocognitive measures, the current findings indicate that behavioral and ERP metrics capture distinct aspects of context sensitivity. This highlights the importance of using neurocognitive methods to examine the time course of context-sensitive attentional processes which may not be directly reflected in behavioral performance during the task, but nevertheless relates to emotional flexibility and well-being.

Our findings illustrated that emotional context directly influenced behavioral performance. As predicted, happy faces facilitated approach to the go stimulus as reflected by greater d-prime scores than in both neutral and fearful contexts. Further, our secondary analyses of hit rates showed that this context sensitivity to happy faces was likely driven by greater hit rates more so that reduced false alarm rates. Also, fearful faces hindered inhibition, as demonstrated by decreased d-prime scores when fearful faces were the no-go stimulus in both neutral and happy contexts. False alarm rates were greater for emotional faces in general, indicating that context sensitivity in these cases may be driven by a disruption in inhibition by emotion more so than facilitation of hit rates by neutral faces. Thus, the emotional go/no-go task, as demonstrated in previous studies (Elliott, Rubinsztein, Sahakian, & Dolan, 2000; Schulz et al., 2007; Shafritz, Collins, & Blumberg, 2006; Tottenham et al., 2011), provides a multifaceted way to capture emotional context sensitivity.

Examination of ERPs provided an additional, and temporally-sensitive, measure of emotional context sensitivity. Behavioral performance was correlated with ERP measures, but only in the fear-neutral block, perhaps since the difference between fearful and neutral faces was the most salient among the emotional context pairings in the task, resulting in more closely aligned behavioral and neurocognitive responding. Overall however, emotional context elicited heightened early discrimination and selection (N170) but disrupted later cognitive control (N2) (consistent with Yang et al., 2014). Specifically, fearful faces bolstered early attentional selection and discrimination, as indicated by greater N170 amplitudes in neutral and happy contexts. Also, N170 amplitudes were greater for no-go versus go stimuli in contexts where both emotional and neutral faces were presented (fear-neutral and happy-neutral blocks). In contrast, inhibitory control, as indicated by N2 amplitudes, was blunted in response to fearful no-go versus go stimuli in both happy and neutral contexts, suggesting reduced recruitment of cognitive control resources. Both types of emotional no-go faces elicited reduced N2 amplitudes in neutral contexts. Interestingly, happy faces as both go and no-go stimuli blunted N2 responses in the happy-neutral block, suggesting that even pleasant emotions may interrupt cognitive control when presented in a context of neutral stimuli.

A key goal of the current study was to examine these biobehavioral indices of emotional context sensitivity in relation to self-reported adaptive functioning. In previous studies, individuals with symptoms of mood disorders have shown reduced behavioral facilitation in emotionally unpleasant contexts (Ladouceur et al., 2006). In the current study, as predicted, patterns of association emerged between behavioral performance during the emotional go/no-go task and both emotional flexibility and well-being. Greater hit rates and d-prime scores to happy faces in a neutral context were associated with lower depression and anxiety. Consistent with Ladouceur et al. (2006), this suggests that, among those experiencing depression and anxiety symptoms, successful behavioral facilitation may be dampened for pleasant targets in a neutral context which can be viewed as emotionally unpleasant among individuals with mood disorders (e.g. Leppänen, Milders, Bell, Terriere, & Hietanen, 2004).

Greater false alarm rates to fearful and happy faces in neutral contexts were associated with lower coping flexibility and lower emotional expressive flexibility, respectively. Taken together, these findings suggest that the ability to overcome the potentially disruptive influence of emotional cues on inhibitory control is related to flexibility capacity. Also, ERPs reflecting early attentional selection and discrimination and later cognitive control during the emotional go/no-go task were related to emotional flexibility and well-being. In previous studies, symptoms of mood and attentional disorders have been associated with reduced N170s in emotional contexts (see Feuerriegel et al., 2015 for a review), and attenuated N2 amplitudes following no-go trials (Dong et al., 2010; Pliszka et al., 2000). In the current study, greater N170 amplitudes to fearful no-go faces was related to lower depression and greater reported emotional flexibility, suggesting that the ability to overcome the potentially disruptive impact of unpleasant stimuli on early attentional processes is associated with better mental health and emotion regulation. In addition, greater N2 amplitudes to happy versus neutral no-go faces were associated with greater emotional flexibility. Finally, enhanced N2 amplitudes to fearful go faces were associated with lower

depression and anxiety, suggesting that those able to maintain higher magnitude N2 in fearful emotional contexts may be less vulnerable to symptoms of mood disorders.

While the current study established that biobehavioral responses were sensitive to emotional context and associated with self-reported emotional well-being and flexibility, some limitations should be noted. First, to avoid missing potentially meaningful relationships in hypothesis-driven analyses, corrections for multiple comparisons were not used in correlation analyses linking performance in the go/no-go task and self-report measures. Since effect sizes were all approximately medium, these relationships should be interpreted as a first step in examining these associations, and serve as a basis for more targeted questions in future studies. Also, the emotional go/no-go task did not include angry or sad facial stimuli, which previous studies (e.g. Elliott et al., 2000; Schulz et al., 2007; Shafritz et al., 2006; Tottenham et al., 2011) show might influence task performance in distinct ways. For example, unpleasant emotions do not elicit avoidance responses in every context. Anger is considered an approach-related emotion, particularly in social contexts involving dominance motivations (Bossuyt, Moors, & De Houwer, 2014; Carver & Harmon-Jones, 2009). The design of the current study limits the generalization of the findings to only happy and fearful emotional contexts. Future research should elaborate on these findings by investigating whether sensitivity to a wider range of emotional contexts is related to even greater regulatory flexibility.

Also, while biobehavioral responses reflecting context sensitivity were associated with emotional well-being, including anxiety and depressive symptoms, the current study used a normative sample. Future research should aim to recruit clinically-anxious or -depressed participants to examine disruptions of behavioral facilitation and inhibitory control among individuals with more severe psychopathological symptoms. Specifically, individuals with more severe anxiety symptoms may show either more extreme disruption of behavioral performance in an emotional context (due to attentional avoidance of emotion; e.g. Heuer, Rinck, & Becker, 2007; Mansell, Clark, Ehlers, & Chen, 1999), or no disruption at all (due to a hypervigilance to emotion; e.g. Bradley, Mogg, White, Groom, & Bono, 1999; Williams, Mathews, & MacLeod, 1996). A sample including more participants with severe symptoms could establish whether the relationship between behavioral performance disruption during the go/no-go task and self-reported anxiety and depression was linear (extreme disruption related to severe symptoms), or curvilinear (*no* disruption or extreme disruption related to severe symptoms). Also, since previous research has established that anxiety and depression are associated with insensitivity to emotional context (e.g. Coifman & Bonanno, 2010; Gehricke & Shapiro, 2000; Rottenberg et al., 2002), future research with this paradigm should also examine other aspects of regulatory flexibility beyond emotional context sensitivity, including the ability to use a diverse repertoire of ER strategies and to respond to feedback (Birk & Bonanno, 2016).

Taken together, our results demonstrate that identification of the neurocognitive and behavioral patterns underlying regulatory flexibility will allow for a greater understanding of associations between emotion regulation and mental health, beyond a strict adaptive versus maladaptive dichotomy. Indeed, these biobehavioral measures of emotional context sensitivity may be signatures of mechanisms underlying the emergence and course of

psychopathology. Future clinical research may benefit from using these metrics to assess or predict clinical course or outcomes, as well as key aspects of emotion regulatory flexibility and functioning.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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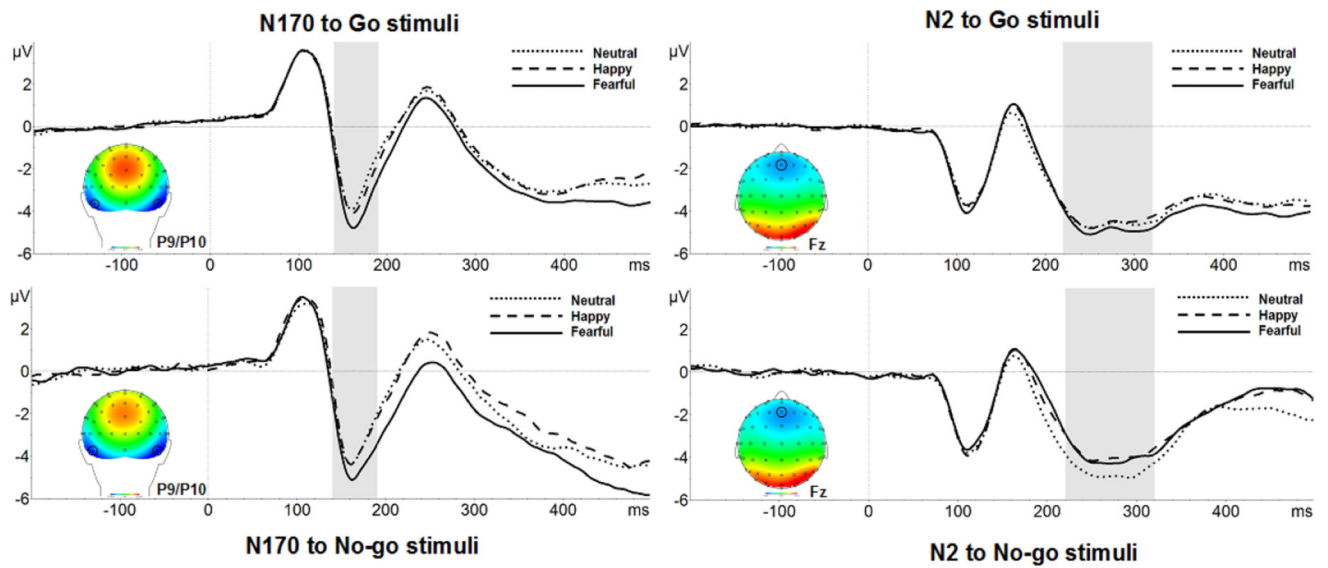
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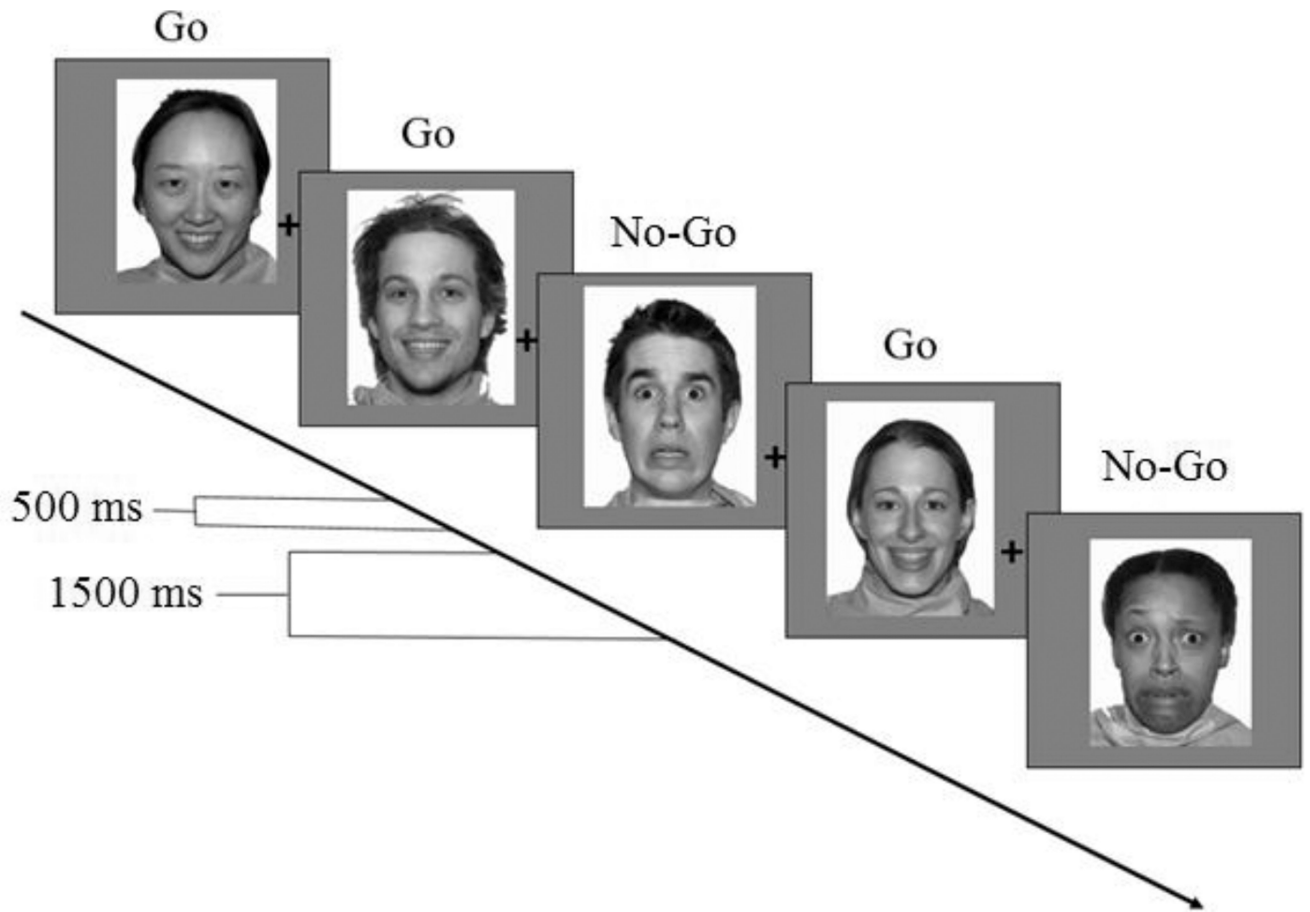


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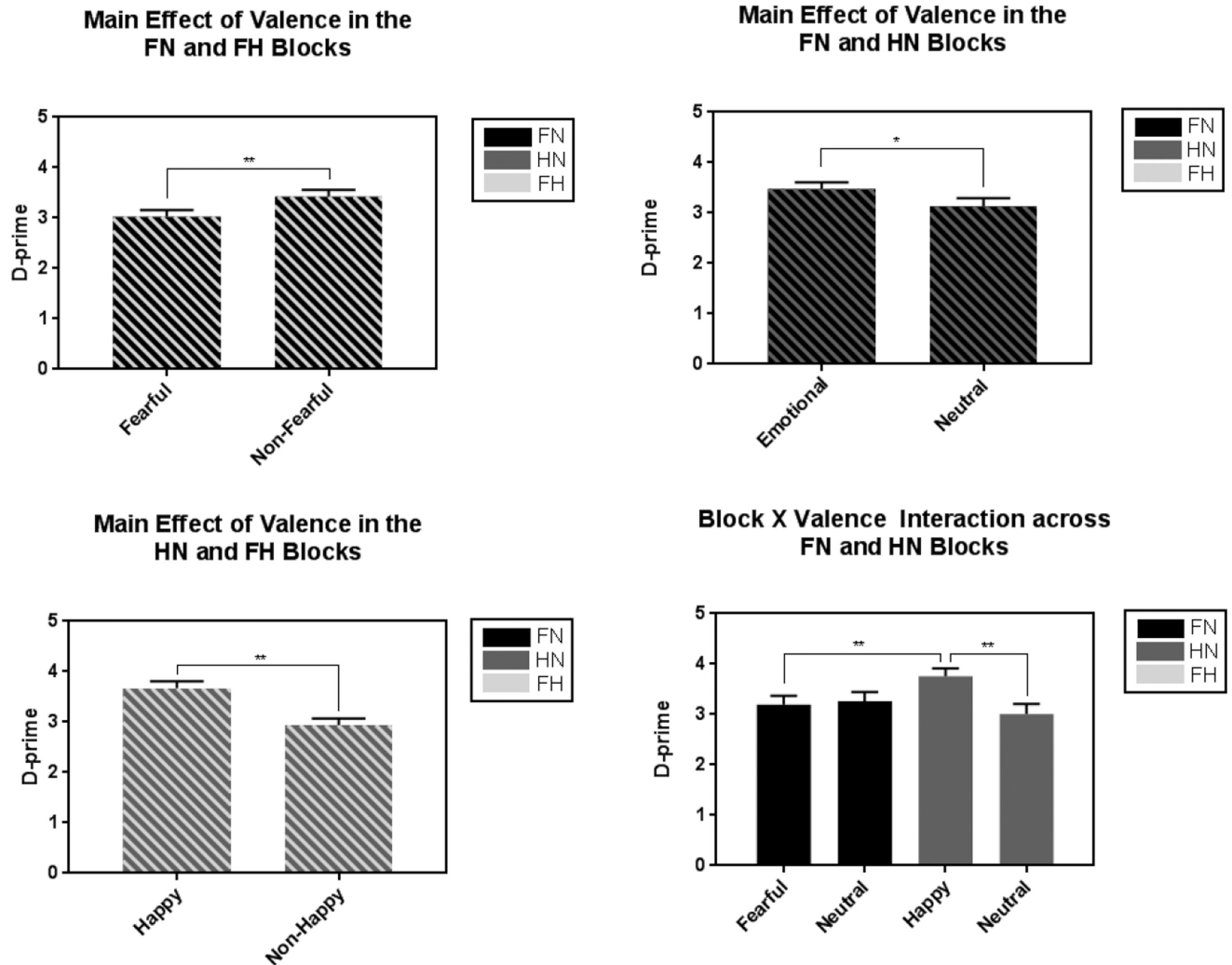


**Figure 1.** Waveforms represent the N170 and N2 components for go and no-go stimuli, separately for neutral, happy, and fearful faces.



**Figure 2.** Illustration of Go/No-Go Task. In this example, happy faces are the go stimulus, and fearful faces are the no-go stimulus. Adapted from Tottenham, Hare & Casey (2011).

### Emotional Context Sensitivity of Behavior

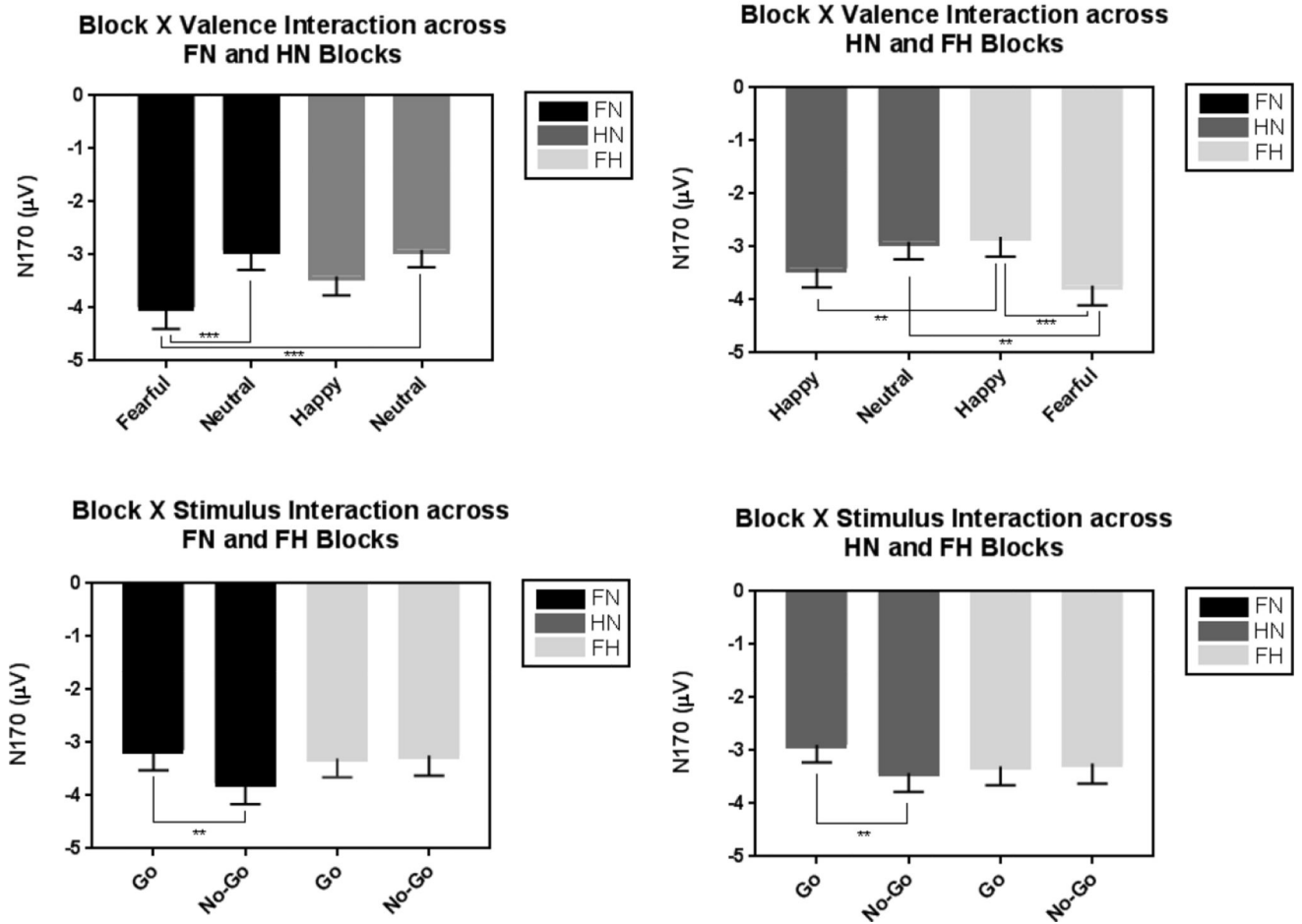


**Figure 3.**

Fearful faces disrupted context sensitivity such that d-prime was lower for fearful versus non-fear faces (top left). Context sensitivity was bolstered by emotional versus neutral faces (top right). More specifically, happy faces facilitated context sensitivity such that d-prime was greater for happy faces compared to both fearful faces and neutral faces (bottom left, bottom right).

\*Note. FN = fear-neutral block; HN = happy-neutral block; FH = fear-happy block

### Emotional Context Sensitivity of the N170

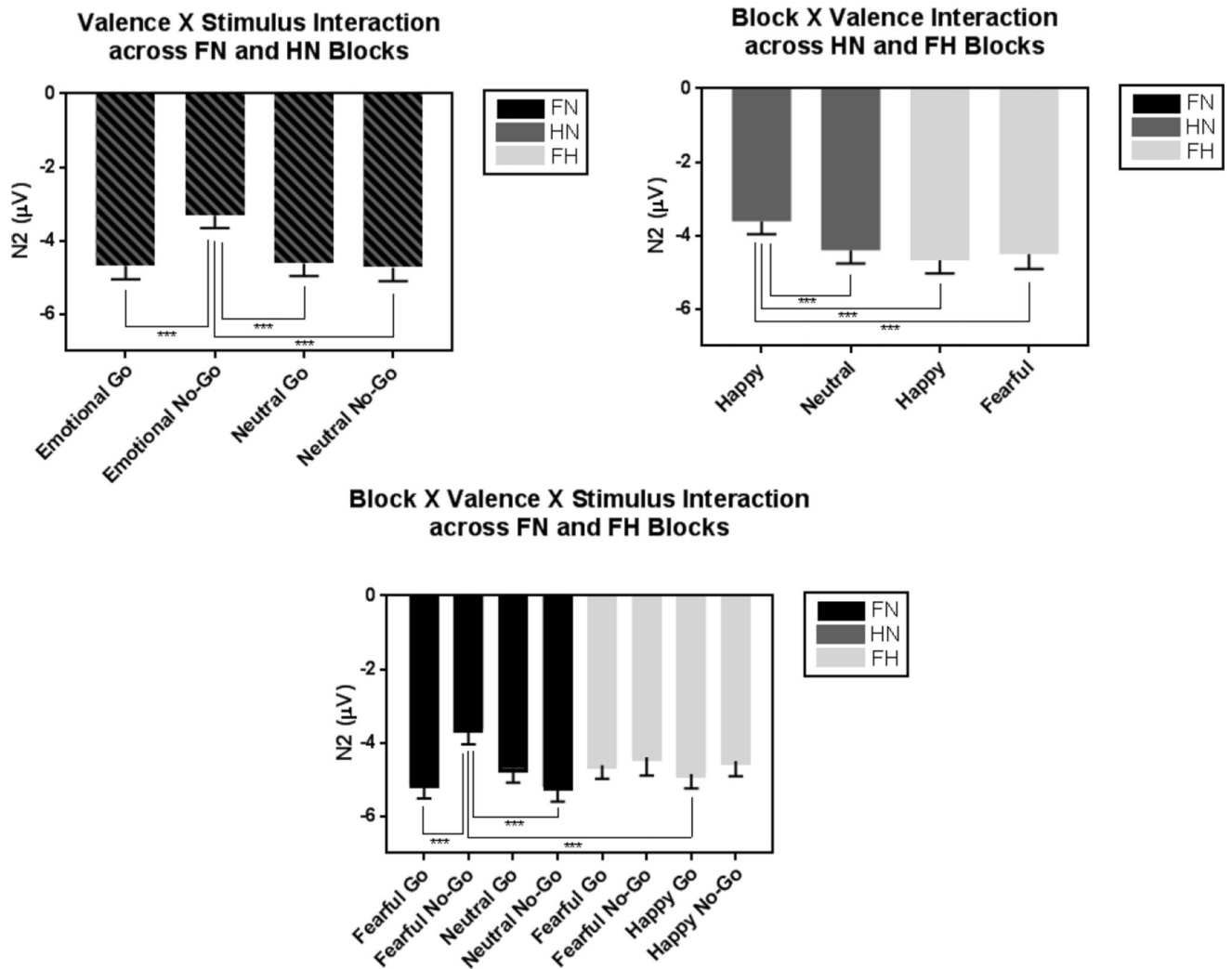


**Figure 4.**

The N170 was sensitive to emotional context: N170 amplitudes were larger to the fearful versus neutral (top left) and happy (top right) faces. Also, the N170 was greater to no-go versus go stimuli (bottom left, bottom right), but only in a context including neutral faces (fear-neutral or happy-neutral).

\*Note. FN = fear-neutral block; HN = happy-neutral block; FH = fear-happy block

### Emotional Context Sensitivity of the N2



**Figure 5.**

The N2 was sensitive to emotional context: amplitudes were smaller to emotional no-go faces compared to neutral no-go or any type of go faces (top left). More specifically, inhibitory control was disrupted by happy faces in the happy-neutral block (top right), and fearful no-go faces in the fear-neutral block (bottom).

\*Note. FN = fear-neutral block; HN = happy-neutral block; FH = fear-happy block

**Table 1**

Descriptive statistics for self-report questionnaires and residuals indexing behavioral and neurocognitive performance

	Mean (SD)	
<b>Self-Report Questionnaires</b>		
Beck's Depression Inventory	11.60 (8.45)	
Beck's Anxiety Inventory	13.00 (10.20)	
PACT Coping Flexibility	8.58 (1.81)	
FREE Expressive Flexibility	60.39 (13.14)	
<b>D-prime Residuals</b>		
<i>FN Block</i>	.00 (1.42)	
<i>HN Block</i>	.00 (1.22)	
<i>FH Block</i>	.00 (1.45)	
<b>Hit Rate Residuals</b>		
<i>FN Block</i>	.00 (.06)	
<i>HN Block</i>	.00 (.03)	
<i>FH Block</i>	.00 (.04)	
<b>False Alarm Rate Residuals</b>		
<i>FN Block</i>	.00 (.11)	
<i>HN Block</i>	.00 (.11)	
<i>FH Block</i>	.00 (.10)	
<b>N170 Residuals</b>		
<i>FN Block</i>	Fear no-go	.00 (2.84)
	Fear go	.00 (2.04)
<i>HN Block</i>	Happy no-go	.00 (2.70)
	Happy go	.00 (1.93)
<i>FH Block</i>	Fear no-go	.00 (2.89)
	Fear go	.00 (1.98)
<b>N2 Residuals</b>		
<i>FN Block</i>	Fear no-go	.00 (2.72)
	Fear go	.00 (2.12)
<i>HN Block</i>	Happy no-go	.00 (2.48)
	Happy go	.00 (2.00)
<i>FH Block</i>	Fear no-go	.00 (3.23)
	Fear go	.00 (1.96)



**Table 2**

Correlations among self-report questionnaires and residuals for hit rate, false alarm, and d-prime

	<b>BDI</b>	<b>BAI</b>	<b>FREE</b>	<b>PACT</b>
<b>D-prime Residuals</b>				
<i>FN Block</i>	.071	.034	-.233	-.045
<i>HN Block</i>	-.265*	-.163	.113	.197
<i>FH Block</i>	-.144	-.072	-.039	.192
<b>Hit Rate Residuals</b>				
<i>FN Block</i>	-.028	-.232 <sup>+</sup>	.020	.107
<i>HN Block</i>	-.312*	-.296*	.088	.145
<i>FH Block</i>	-.127	-.130	-.144	.061
<b>False Alarm Rate Residuals</b>				
<i>FN Block</i>	-.106	.012	-.140	-.307*
<i>HN Block</i>	.076	.035	-.032	-.070
<i>FH Block</i>	.059	-.020	-.255*	.085

<sup>+</sup>  $p < .10$ ,\*  $p < .05$ ,\*\*  $p < .01$ .

Note. N = Neutral; F = Fear; H = Happy

**Table 3**

Correlations among self-report questionnaires and residuals for N170 and N2

		BDI	BAI	FREE	PACT
<b>N170 Residuals</b>					
<i>FN Block</i>	Fear no-go	.176	.020	-.375**	-.084
	Fear go	.149	-.041	-.086	-.089
<i>HN Block</i>	Happy no-go	.101	-.012	.041	-.023
	Happy go	-.067	.196	.174	.117
<i>FH Block</i>	Fear no-go	.342**	.037	-.168	.218
	Fear go	-.103	-.103	-.089	.126
<b>N2 Residuals</b>					
<i>FN Block</i>	Fear no-go	.129	-.046	.125	.015
	Fear go	.066	.177	-.165	.096
<i>HN Block</i>	Happy no-go	.047	.077	-.253*	-.039
	Happy go	.123	-.101	-.033	-.043
<i>FH Block</i>	Fear no-go	-.095	-.059	.133	-.042
	Fear go	.270*	.312*	-.054	-.056

\*  $p < .05$ ,\*\*  $p < .01$ .

Note. N = Neutral; F = Fear; H = Happy