



Dynamics and control of gold-encapped gallium arsenide nanowires imaged by 4D electron microscopy

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Eutectic-related reaction is a special chemical/physical reaction involving multiple phases, solid and liquid. Visualization of a phase reaction of composite nanomaterials with high spatial and temporal resolution provides a key understanding of alloy growth with important industrial applications. However, it has been a rather challenging task. Here, we report the direct imaging and control of the phase reaction dynamics of a single, as-grown free-standing gallium arsenide nanowire encapped with a gold nanoparticle, free from environmental confinement or disturbance, using four-dimensional (4D) electron microscopy. The nondestructive preparation of as-grown free-standing nanowires without supporting films allows us to study their anisotropic properties in their native environment with better statistical character. A laser heating pulse initiates the eutectic-related reaction at a temperature much lower than the melting points of the composite materials, followed by a precisely time-delayed electron pulse to visualize the irreversible transient states of nucleation, growth, and solidification of the complex. Combined with theoretical modeling, useful thermodynamic parameters of the newly formed alloy phases and their crystal structures could be determined. This technique of dynamical control aided by 4D imaging of phase reaction processes on the nanometer-ultrafast time scale opens new venues for engineering various reactions in a wide variety of other systems.

phase reaction | Au/GaAs nanowires | structural dynamics | eutectic dynamics | 4D electron microscopy

A eutectic system refers to a unique thermodynamic entity that forms a lattice structure with a specific atomic ratio between the constituents. The eutectic temperature describes the lowest temperature at which the mixed substances become fully molten. Comprehensive understanding of eutectic-related behavior offers a great potential for a wide variety of applications, including alloys for structural components and eutectic soldering in airplanes and cars (1), functional electronics in solar energy harvesting (2, 3), and eutectic bonding in chips for integrated circuits (4). Fundamental properties of solid microstructures from various eutectic reactions in complex systems depend on several factors—for example, the temperature that governs each phase reaction/transformation and interfacial nucleation of solid solutions (5, 6).

Eutectic-related phenomena have usually been studied via postprocessing measurements and were recently visualized by in situ techniques to investigate long time diffusion processes (7–13). Elucidation of the chemical/physical mechanism underlying those eutectic-related processes requires time-resolved measurements of the dynamic phase formation. However, probing eutectic-related phase reactions in nanostructures is very difficult because of the small sizes and the very short time scales involved, as well as the sensitivity issues to detect those transient processes that occur in far-from-equilibrium conditions for nanomaterials in a small quantity.

Recent development of 4D electron microscopy (4D EM) offers direct visualization of the transient behavior of individual nanostructures with high spatiotemporal resolution (14–19). Here, we report the direct observation and control of the irreversible phase reaction dynamics in free-standing Au/GaAs nanowires (NWs) by 4D EM with nanometer–nanosecond spatiotemporal resolution (Fig. 1). GaAs NWs were chosen because III–V materials possess unique properties, such as direct bandgap, high carrier mobility, the possibility of bandstructure engineering using compositional tuning, and hybrid of heterostructures, which demonstrate great potential for applications in optoelectronic and electronic devices (e.g., solar cells, sensors, and transistors) (3, 20). It is fundamentally important to investigate how these materials behave in harsh environments (e.g., laser pulse bombardment for simulating the radiation effect) for understanding their stability. Furthermore, since eutectic-related phenomena have been extensively studied in binary systems (e.g., Au–Si) under equilibrium conditions (7, 10, 11), the choice of a ternary system (Au–Ga–As) with pulsed laser excitation in 4D EM offers a window of opportunity for understanding eutectic dynamics in complex systems under nonequilibrium environment.

Significance

Imaging chemical/physical reaction dynamics at nanoscale interfaces of a composite nanostructure requires resolutions in both space and time. Using single-pulse methodology, we directly and visually capture the irreversible eutectic-related phase reactions of a single, same metal/semiconductor nanowire at nanometer–nanosecond spatiotemporal resolution by 4D electron microscopy. With a nondestructive free-standing sample preparation free from environmental disturbance that is important for statistical investigation, we have both qualitatively and quantitatively elucidated the transient phase reactions and obtained important physical properties of the newly formed phases, such as latent heat and specific heat. Our work provides an efficient way of quantitatively determining physical properties of a nanoscale object with a tiny small quantity, especially when not available in bulk counterparts.

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typical microstructure of the NW tip. The diffraction pattern of the tip, corresponding to the SA-2 region, exhibits additional diffraction spots. The unmarked spots are the same as that in the upper diffraction pattern, which come from the WZ GaAs. The marked extra $\langle 111 \rangle$ ones are from the cubic zinc-blende (ZB) segments of GaAs (21). This double-spot pattern indicates a twinned ZB component. The small ZB constriction (the dark line marked by an arrow in Fig. 2, *Middle Left, Inset* is the ZB/WZ interface) is due to the growth termination procedure (20).

We performed further experiments in tilting conditions to verify how the NWs behaved during laser excitation (Fig. 2, *Bottom*). It demonstrated that the NWs were straight (without bending) when viewed at different tilting angles. No breakage of the NW was observed either. This experiment ensured unambiguous determination of the shrinkage of the NW length after each single-pulse laser excitation.

Imaging of Eutectic-Related Phase Reactions. To reveal the irreversible phase reactions, single-pulse imaging methodology was used. The results of the size changes of the NW are shown in Fig. 3. To obtain a clear comparison of the NW at different excitation stages, for each experiment, we enhanced the contrast between the top bead and the GaAs NW by taking two reference images with exposure to 100 electron pulses (*SI Appendix, Fig. S2*). By comparing the single-pulse images with the corresponding reference images, the length of the NW at each stage could be determined with the guide of a ruler. Initially, the GaAs NW (without the top bead) had a length of 822 nm (0 laser shot). After a laser pulse at a fluence of 5.5 mJ/cm^2 , the length of the NW became 797 nm, corresponding to a $\sim 3\%$ shrinkage. Compared with the initial GaAs NW, there was a 40% length reduction after 23 laser pulses. The GaAs NW length (after 46 laser pulses) was finally reduced to 235 nm after the entire run

of the experiment, which was associated with a total length shrinkage of $\sim 70\%$. During the whole sequence of laser heating experiments, the choice of the number of pulses and laser fluence (from 5.5 to 40 mJ/cm^2) was set so that the NW could continue its shrinkage.

Although the NW axial length decreased significantly (Fig. 3, *Upper*), no obvious width change of the NW was observed (*SI Appendix, Fig. S3*). Only the top bead—namely, the region with darker contrast than the pure GaAs part in the images—increased in size. Further analysis on the NW volume change revealed that the reduction of GaAs volume resulted in the increase of bead volume (see *SI Appendix, Fig. S4* for the calculations). These results indicate that the GaAs body remained solid phase, while the vanished portion of the GaAs reacted with the top bead to form new phases during laser excitation.

Identification of the Phase Formation. Selected-area diffraction studies disclosed the nature of the newly formed phases during the reactions. We performed the electron diffraction in three modes: TEM (high electron counts), stroboscopic (1 kHz), and single-pulse modes (*SI Appendix, Fig. S5* and the associated discussion). For clearly demonstrating the diffraction spots, exposure times of 5 s in TEM mode and 120 s in stroboscopic mode were chosen. For diffraction in single-pulse mode (electron pulse duration of 10 ns), the extremely low electron dose (approximately five orders of magnitude lower than that in the stroboscopic mode with an exposure time of 120 s) made it inaccessible for disclosing the irreversible transient diffraction patterns of the newly formed phases with small quantity at the nanometer–nanosecond spatiotemporal resolution. Therefore, the structures of the alloy phases were identified in the TEM mode (*SI Appendix, Fig. S6* and Table S1).

Compared with the initial components (Fig. 2), additional diffraction spots from Au_7Ga_2 and AuGa phases appeared after the first few laser shots. According to the Au–Ga phase diagram (*SI Appendix, Fig. S7*), the temperature for Au_7Ga_2 phase (22) was much lower than the melting point of either Au (1,337 K) or GaAs (1,511 K). As more GaAs was incorporated into the top bead, eutectic phases of AuGa and AuGa_2 were formed. The temperature needed for this eutectic reaction, liquid $\rightarrow \text{AuGa} + \text{AuGa}_2$, was 725 K, still much lower than the melting points of the individual components in the initial materials (1,337 K for Au and 1,511 K for GaAs). It is noteworthy that we only detected Au–Ga-related phases, despite the possible existence of Au–Ga–As complex according to their ternary phase diagram (23, 24). Our observations indicated that, in comparison with the Au–As complex, it was more favorable for the Au–Ga alloy formation during the nonequilibrium reaction processes. The solubility of As in Au is low, and the As species may vaporize or be removed from the interface during laser heating (25–28). The removal of the As species would lead to the slight reduction of the total volume, which was confirmed by the experimental observation in Fig. 3. We also note from *SI Appendix, Fig. S6* that new cubic ZB segments appeared after laser pulse excitation. The length of the ZB segments was estimated to be tens of nanometers by electron diffractions at different locations of the NW with a small aperture. This result indicates that with an incoming laser pulse, the initial ZB component (Fig. 2) was alloyed with the top nanoparticle, and after laser excitation, a small amount of new ZB segments appeared again. It suggests that, compared with hexagonal WZ components, cubic ZB (normal bulk structure of GaAs) segments are preferable to precipitate near the interfacial region during nonequilibrium processes.

Calculations using the two-temperature model for Au and three-temperature model for GaAs showed that the lattice temperatures (at a fluence of 5.5 mJ/cm^2) of the top bead and GaAs body were 566 and 574 K (*SI Appendix, Fig. S8* and Table S2), respectively, which triggered the reaction to form Au_7Ga_2 phase. At higher fluences—for example, 19.5 mJ/cm^2 —the effective temperature of the top bead was 1,196 K, high enough to

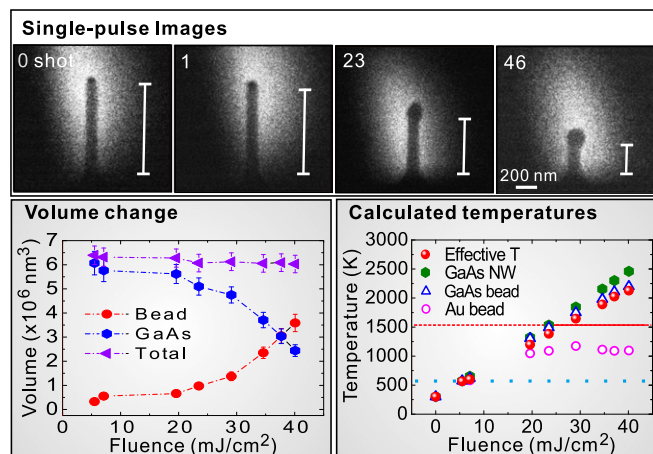


Fig. 3. Single-pulse imaging of transient changes of the NW and the calculated temperatures. (*Upper*) Single-pulse imaging of the NW length reduction due to eutectic-related reactions. The NW shrank along its axial direction, while its lateral width had no obvious change. Approximately 70% length reduction of the NW (without the top bead) was observed after a total of 46 laser shots. The rulers (white lines) indicate the NW length. The electric field polarization of the laser pulse was parallel to the long axis of the NW. (*Lower Left*) Volume change of the NW. The volume of GaAs NW reduces, while that of top bead increases. The total volume slightly decreases. (*Lower Right*) Calculated temperatures at different laser fluences. The temperature of Au or GaAs bead was estimated by assuming 100% of the component, while the effective temperature of the bead was calculated by considering the Au:GaAs ratio in the bead. The dotted line represents the lowest temperature to trigger the reaction. Because of the large latent heat of GaAs, the GaAs NW has not melted, although the temperatures (without taking account of the latent heat) are higher than its melting point (dashed line) at high fluences. The solid red line indicates the actual temperature of the GaAs NW when its latent heat is considered.

constant was estimated on an order of 10^{-4} cm^2/s , which was at least several orders of magnitude higher than the diffusivity of Au in GaAs and/or that of Ga in Au (28, 32–34). In comparison, the distance traveled by a ballistic gold or gallium atom with thermal velocity at the same temperature and time span would be on the order of micrometers, much larger than our observed NW length reduction. Therefore, the large reduction rate of the NW reported in this work suggests that the process cannot simply be diffusion-dominated processes, but, rather, indicates an important role due to the surface tension forces. Due to such surface-tension resultant forces, the reduction of 30 nm in NW length after a laser heating pulse could be fast—several orders of magnitude faster than the free-space diffusion or gravitational pull. The shape of the bead was maintained close to a sphere, even though its size grew with the number of laser heating pulses. Such spherical shape preservation is also an effect of surface tension; otherwise, the melt bead would drip down the GaAs NW, similar to melting wax tearing down from a burning candlestick. Furthermore, there was no obvious length change of the NW from 80 ns (Fig. 4, Lower, center image in the second row) to the end state (right image). Such observation provided an important clue about the time needed for eutectic-related phase reactions and solidification to complete. It indicated that the nucleation and growth of the melt phases were complete in ~ 80 ns, and after that, they began to solidify due to the cooling.

Polarization-Dependent Phenomena. The above experiments were conducted under the condition where the electric field (E -field) of the pump laser pulse was parallel to the long axis of the NW. We have also examined the effect of E -field polarization on NW dynamics. Fig. 5 shows polarization-dependent eutectic-related dynamics of individual NWs with the E -field of the laser pulse polarized either parallel or perpendicular to the NW axial direction (schematically illustrated in Fig. 5 C, Inset). For parallel polarization, the morphology of the top bead (marked by a circle) began to change at a laser fluence of 6.5 mJ/cm^2 (Fig. 5 A, Left and Center). The threshold laser fluence for the morphological change of the bead, however, increased to 8.2 mJ/cm^2 with a perpendicular polarization (Fig. 5 B, Left and Center). It indicated the enhancement of eutectic-related reactions at the Au/GaAs interface for the parallel E -field polarization. With an incoming single laser pulse above the threshold (fluence of 13 mJ/cm^2), the length reduction of the GaAs NW was ~ 76 nm for parallel E -field polarization, compared with that of a smaller reduction of ~ 48 nm for perpendicular polarization (Fig. 5 A and

B, Right). Shown in Fig. 5C is the length reduction of the NW at a fluence of 13 mJ/cm^2 with a chain of laser shots (up to five). The NW length reduction became smaller with increasing the number of laser shots, and finally decreased to nearly zero on the fifth laser shot. The shrinkage of the NW length became increasingly difficult (only increasing the number of laser shots) at a fixed laser fluence due to the presence of latent heat of the newly formed alloys.

It is noteworthy that at each laser shot, the length reduction of the NW was always larger in parallel E -field polarization than that in the perpendicular one (Fig. 5C). This result elucidates that the observed reaction was dependent on the laser E -field polarization. Under laser pulse excitation, collective electron oscillations on a nanostructure by incident laser (electromagnetic field) gives rise to localized surface plasmons (strong charge densities of opposite sign at both sides of the nanostructure), whose field distribution is determined by the E -field polarization of the incident laser. Compared with perpendicular E -field polarization, the localized surface plasmon fields in the case of parallel polarization (electron oscillations are along the long axis of the nanostructure) would be enhanced near the bead/NW interfacial region (35–39), resulting in strong local photothermal effect at the interface where the eutectic-related reaction takes place. In addition, parallel E -field polarization leads to a further enhancement in optical absorption (causing higher temperature) along the NW (40, 41). Because of these enhancement effects, the laser fluence threshold for achieving the eutectic-related reactions reduces in the case of parallel field polarization, while at the same laser fluence, the length reduction of the NW (swallowed by the top nanoparticle due to eutectic-related reactions) is larger in the parallel polarization than that in the perpendicular one, as experimentally observed in Fig. 5.

Conclusions

In summary, our findings suggest that the eutectic-related phase reaction processes of the NWs can be controlled/designed via tailoring the fluence, polarization, and number of laser pulses in the pulse sequence, providing the potential of eutectic bonding/welding to a desired/targeted location in microdevices/nanodevices. The ability to fine-tune the nanoparticle size and shape is important for plasmonics applications (42–44). Additionally, using a simple design and nondestructive preparation technique of as-grown free-standing nanowires (without supporting films), we were able to effectively study the anisotropic (orientation-dependent) properties of the NWs in their native environment with better statistics. Using 4D single-pulse imaging of multiphase eutectic-related processes in nanostructured materials as an example, we clearly demonstrated the potential of this technique for exploring irreversible chemical/physical reaction dynamics at high spatial and temporal resolution so that the underlying mechanisms in complex systems could be unraveled. The powerful capabilities of 4D EM provide in situ manipulation and visualization of irreversible processes in space and time and therefore offer a valuable tool to investigate photochemical reactions as well as macromolecular dynamics in biological systems.

Materials and Methods

Preparation of Au/GaAs NWs. The gold-catalyzed GaAs NWs were epitaxially grown on a GaAs (111)_B-oriented substrate by metal-organic chemical vapor deposition. The catalysts used for the growth were gold nanoparticles. Initially, the GaAs substrate was treated with poly-L-lysine solution, followed by a solution of colloidal Au nanoparticles ~ 100 nm in diameter. The major role of catalyst Au was to lower the nucleation energy of each grown layer at the seed/substrate interface, promoting the growth of NWs. Before growth, the substrate was annealed at 600 $^{\circ}\text{C}$ under AsH_3 ambient to remove surface contaminants. The substrate was then cooled to 550 $^{\circ}\text{C}$, and the NW growth proceeded by injecting the precursors of trimethylgallium and AsH_3 to the growth chamber with ultra-high-purity hydrogen as the carrier gas. Details of the growth procedures of GaAs NWs are available elsewhere (45, 46).

Sample Preparation for 4D Imaging. Normally, to prepare NW samples for TEM studies, the NWs grown on a substrate are transferred to a metal grid (200–2,000 mesh) or to a grid with supporting films (carbon, silicon monoxide, silicon nitride, etc.). During the transfer process, the NWs are either broken into several

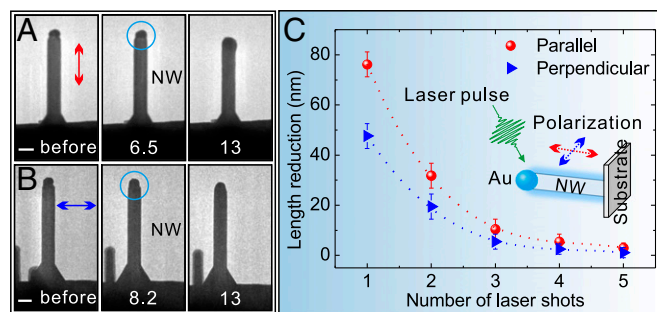


Fig. 5. Polarization-dependent dynamics. (A) Electron micrographs of a NW before and after a laser pulse whose E -field polarization is parallel to the long axis of the NW. (Scale bar, 100 nm.) (B) Electron micrographs of a NW before and after a laser pulse, but with a perpendicular E -field polarization. (Scale bar, 100 nm.) A and B, Center and Right correspond to the images at different laser fluences (unit is mJ/cm^2). The circles indicate the morphological change of the top bead. (C) Length reduction of the GaAs NWs at 13 mJ/cm^2 . The length reduction was determined from the NW length change after each laser shot. (C, Inset) Schematic diagram showing a NW excited by a laser pulse with its E -field polarization parallel or perpendicular to the long axis of the NW.

segments (permanent damage), contaminated, or aggregated. In this work, we prepared the NW samples without any damage or using supporting films. First, the substrate containing free-standing GaAs NWs was cut into small pieces with dimensions of $\sim 2 \times 1 \times 0.3 \text{ mm}^3$. One side of the small piece (the side of the 0.3-mm thickness) was glued on a common copper O-ring. Then, the copper O-ring with the sample was directly mounted on the TEM holder for investigation (SI Appendix, Fig. S1). This method of sample preparation excluded any disturbance from the supporting films normally present in TEM grids. Furthermore, this type of sample preparation allowed us to investigate the properties of a single NW with better statistics (because all NWs grown on the substrate have the similar orientation and direction, we could choose many of them to obtain the statistical data).

Spatiotemporal Visualization of Eutectic-Related Dynamics. The morphology of GaAs NWs was investigated by using an FEI scanning electron microscope. The studies of the eutectic-related dynamics and control of Au/GaAs NWs were conducted by 4D EM (Fig. 1A). The 4D EM was equipped with laser systems, which could operate in either stroboscopic (for reversible processes) or single-pulse mode (for irreversible dynamics). Both modes were used in this work. Picosecond green laser pulses at 532 nm (16-ps pulse duration) were used to heat the sample and to initiate the reactions, whereas nanosecond UV laser pulses at 266 nm (10-ns pulse duration) were directed to the photocathode to generate electron pulses. The photoelectrons in each pulse for probing were then accelerated to 120 keV, corresponding to a de Broglie wavelength of 3.3 pm. The timing between the pump pulse and the probe pulse was controlled by changing the delay time between the two through a digital delay generator.

The NW transient morphologies during the eutectic-related reactions were captured by single-pulse imaging mode of 4D EM, where an entire image was acquired with only one electron pulse (containing $\sim 10^5$ electrons), following an excitation laser pulse. For stroboscopic experiments, the repetition rate was set at 1 kHz, ensuring the full recovery of the dynamical process. Details of the procedure are given in previous studies from this group (47–49). Selected-area electron diffraction was performed to identify the structures of the NWs and the newly formed alloy phases. Three kinds of modes were used—namely, TEM, stroboscopic (1 kHz), and single-pulse modes.

Polarization-Dependent Behavior. We used a femtosecond laser (wavelength of 520 nm, pulse duration of 350 fs) to excite the NW dynamics and probed the eutectic-related behavior of the NWs with an electron beam. A polarizer was used to set the *E*-field polarization of the laser pulse either parallel or perpendicular to the long axis of the NWs (schematic diagram in Fig. 5). For each polarization direction, a single laser pulse irradiated the NW sample at each time. The subsequent morphological change and length reduction of the NWs, which were due to the reaction between the Au and GaAs, were directly visualized by the electron beam.

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