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# Antipsychotics and the Risk for Aspiration Pneumonia in Non-Psychiatric Hospitalized Patients: A Cohort Study

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# Abstract

**Background/Objectives**—Off-label use of antipsychotics is common in the hospital, most often for delirium management. Antipsychotics have been associated with aspiration pneumonia in community and nursing home settings. However, the association in hospitalized patients is unexplored. We aimed to investigate the association between antipsychotic exposure and aspiration pneumonia during hospitalization.

Design—Retrospective cohort study

Setting—Large academic medical center

Author Contributions: Dr. Herzig had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

Study concept and design: Herzig, LaSalvia, Gurwitz, Rothberg, Marcantonio.

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Analysis and Interpretation of data: Herzig, LaSalvia, Naidus, Gurwitz, Rothberg, Marcantonio.

Drafting of the manuscript: Herzig.

Critical revision of the manuscript for important intellectual content: LaSalvia, Naidus, Gurwitz, Rothberg, Marcantonio.

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**Participants**—All adult hospitalizations between 1/2007 and 7/2013. We excluded outside hospital transfers, those with a hospitalization < 48 hours, and psychiatric patients.

**Measurements**—Antipsychotic use defined as any pharmacy charge for an antipsychotic medication. Aspiration pneumonia defined by a discharge diagnosis code for aspiration pneumonia not present on admission, and validated by chart review. A generalized estimating equation was used to control for 43 potential confounders.

**Results**—Our cohort included 146,552 hospitalizations (median age = 56 years; 39% male). Antipsychotics were used in 10,377 (7.1%) hospitalizations (80% atypical, 35% typical, 15% both). Aspiration pneumonia occurred in 557 (0.4%) hospitalizations. The incidence of aspiration pneumonia was 0.3% in unexposed and 1.2% in those with antipsychotic exposure (OR 3.9, 95% confidence interval [CI] 3.2 to 4.8). After adjustment, antipsychotic exposure was significantly associated with aspiration pneumonia (adjusted OR [aOR] 1.5, 95% CI 1.2 to 1.9). Similar results were demonstrated in a propensity-matched analysis and in an analysis restricted to those with delirium or dementia. The magnitude of the association was similar for typical (aOR 1.4, 95% CI 0.94 to 2.2) and atypical antipsychotics (aOR 1.5, 95% CI 1.1 to 2.0).

**Conclusion**—Antipsychotics were associated with increased odds of aspiration pneumonia after extensive adjustment for patient characteristics. This risk should be considered when prescribing antipsychotics in the hospital.

#### Keywords

Antipsychotics; aspiration; pneumonia; hospitalization

# INTRODUCTION

Antipsychotic medications are widely used in the hospital setting for non-psychiatric purposes, with recent studies demonstrating use in 6–9% of non-psychiatric hospitalizations, and 9–12% of those age 75 and older, most often for management of delirium.<sup>1–3</sup> This practice has recently been questioned based on two systematic reviews, both concluding that current evidence does not support the use of antipsychotics for prevention or treatment of delirium.<sup>4–6</sup>

Concerns regarding lack of data supporting efficacy for this purpose are compounded by evidence of risk from antipsychotics, including falls<sup>7, 8</sup> and death.<sup>9, 10</sup> Additionally, concerns have been raised regarding an association between antipsychotics and pneumonia. There are at least two hypothesized mechanisms. First, sedation, a known effect of antipsychotics, can lead to difficulty managing secretions and protecting the airway.<sup>11, 12</sup> Second, antipsychotics promote oropharyngeal dysphagia directly.<sup>13, 14</sup> Several observational studies have demonstrated an association between antipsychotics and pneumonia, particularly aspiration pneumonia, in older patients in the community.<sup>15–18</sup> However, these studies were case-control analyses, and did not focus on hospitalized patients, who have greater degrees of comorbidity and incidence of aspiration, than patients in the community, which could result in an even stronger association between antipsychotics and spiration pneumonia in this patient population. Understanding the magnitude of any such association is important so that hospital physicians can incorporate this into their risk-

to-benefit calculation when considering use of antipsychotics for non-psychiatric, off-label purposes. Given that hospital-acquired pneumonia is common, costly, and morbid, and disproportionately affects older adults, identifying potentially modifiable risk factors could result in improved outcomes for hospitalized older adults.<sup>19</sup>

In the present study we investigated the association between antipsychotic exposure and aspiration pneumonia developing during hospitalization, hypothesizing that antipsychotic exposure would be associated with increased risk, particularly among older patients.

# METHODS

#### Setting and Data Collection

We conducted a retrospective cohort study of patients admitted to a large academic medical center in Boston, Massachusetts from 1/2007 through 7/2013. The study was approved by the institutional review board at Beth Israel Deaconess Medical Center with a waiver of informed consent. Data were collected from electronic databases maintained at the medical center, collected prospectively for clinical/administrative purposes, and supplemented by chart review where noted.

#### Inclusion and Exclusion Criteria

All hospitalizations of patients 18 years of age were eligible for inclusion. We excluded outside hospital transfers since exposure data could not be obtained in this setting, and those with a length of hospitalization <48 hours to allow sufficient time for development of nosocomial aspiration pneumonia. We also excluded those admitted to a psychiatry service or with a primary or secondary discharge diagnosis of a psychotic disorder, defined by the Elixhauser comorbidity "Psychoses" (*International Classification of Diseases, Ninth Revision, Clinical Modification* [*ICD-9-CM*] codes 295.00–298.9, 299.10–299.11 and Diagnosis Related Groups 430 and 885)<sup>20</sup> since we were interested in use of antipsychotics for conditions other than primary psychiatric disorders.

#### **Antipsychotic Utilization**

In-hospital antipsychotic exposure was defined as presence of any pharmacy charge for antipsychotic medication during the hospitalization. In a secondary analysis,we categorized antipsychotics as typical and atypical based on the classification of the Food and Drug Administration.<sup>21, 22</sup> We excluded prochlorperazine from our typical antipsychotic definition, as this medication is used as an antiemetic rather than an antipsychotic.

#### Aspiration Pneumonia

The primary outcome was aspiration pneumonia acquired during hospitalization (i.e. occurring after the first 48 hours of hospitalization, consistent with the definition of nosocomial pneumonia<sup>23</sup>). To identify cases, we reviewed the charts of all hospitalizations identified as having a discharge ICD-9-CM code for aspiration pneumonia (*507*) indicated as not "present-on-admission." We validated that the treating clinician had made a clinical diagnosis of pneumonia and initiated antibiotics for this purpose. We also reviewed radiographs to confirm the presence of a new infiltrate in conjunction with the clinical

diagnosis. Lastly, we reviewed the microbiologic data to determine if there were any associated positive sputum cultures. We defined the date of pneumonia onset as the earliest date among the following (if present): 1) date of initiation of antibiotics, 2) date of the positive radiograph, and 3) date of the positive sputum culture. Uncertain cases (with respect to either presence of pneumonia or timing of diagnosis) were reviewed by a second member of the study team, and in the case of disagreement, adjudicated by a third member. In total, only 15 cases of pneumonia (either aspiration or non-aspiration, further described below) required adjudication by a third reviewer.

For hospitalizations with pneumonia, we censored observation time and medication exposure status on the date of pneumonia diagnosis. For antipsychotic exposure specifically, we performed a chart review to assure that exposures occurring on the same day as pneumonia preceded the pneumonia diagnosis. Receipt of mechanical ventilation and intensive care unit (ICU) stay were censored the day prior to pneumonia diagnosis given the time required to develop infectious complications linked to these risk factors.

#### Covariates

Our models included variables hypothesized to predict use of antipsychotic medications, and variables thought to increase risk of aspiration pneumonia based on prior literature and clinical grounds, including: 1) demographic variables such as age, gender, and race (self-reported by patients); 2) hospitalization characteristic variables, including admitting department (medicine vs. non-medicine), whether the patient spent time in the ICU, and whether they received mechanical ventilation (including via tracheostomy); 3) comorbidities, identified via *ICD-9-CM* secondary diagnosis codes and DRGs using Healthcare Cost and Utilization Project (HCUP) Comorbidity Software, version 3.7, based on the work of Elixhauser et al.,<sup>20, 24</sup> including paralysis and other neurological disorders; 4) other clinical conditions, including delirium, dementia, insomnia, and acute cerebrovascular disease (see Supplementary Text S1 for *ICD-9-CM* codes); and 5) use of other medications including opioids, benzodiazepines/barbiturates, steroids, and acid-suppressive medications.

#### **Statistical Analysis**

The Chi-square test was used to compare categorical variables, and the independent samples t-test to compare continuous variables.

To determine the adjusted association between antipsychotic exposure (either typical or atypical) and aspiration pneumonia, we used a multivariable generalized estimating equation (GEE) with logit link and first-order auto-regressive correlation structure to control for confounders and to account for within-participant correlated data resulting from patients having multiple hospitalizations. We controlled for all variables listed in Table 1 plus the HCUP comorbidities.

To assess the robustness of our findings, and in an attempt to achieve even more homogenous comparator groups, we performed an additional analysis using propensity score matching. We derived a propensity score<sup>25</sup> using a GEE model where antipsychotic exposure was the dependent variable. The same set of covariates was used as in the first

approach. The fitted probability from this model was used as the propensity score, and the c-statistic was 0.83.

Hospitalizations were then matched on their propensity score using a greedy matching technique.<sup>26</sup> We calculated standardized differences to gauge effectiveness of the match.<sup>27</sup> Characteristics with residual imbalance (standardized difference absolute value >0.1)<sup>28</sup> were incorporated into a GEE model to obtain the adjusted odds ratio (OR) of aspiration pneumonia.

We evaluated the association between typical and atypical antipsychotic exposure and aspiration pneumonia, using a GEE model controlling for all variables in Table 1 plus the HCUP comorbidities, where the independent variable of interest was a 4-category variable reflecting no antipsychotic exposure (reference group), exposure to typical antipsychotics alone, exposure to atypical antipsychotics alone, and exposure to both.

A 2-sided type-I error of < 0.05 was used to indicate statistical significance. Assuming a rate of 0.5 aspiration pneumonias per 100 hospitalizations and an exposure rate of 10% based on prior literature, a sample size of 150,000 hospitalizations would be necessary to achieve 90% power to detect a relative risk of 1.5. Analyses were carried out using SAS software, version 9.3, Cary, NC.

#### Sensitivity Analysis

Using methods similar to those of previous investigators,<sup>29, 30</sup> we estimated the strength of association (between an unmeasured confounder and antipsychotic use or an unmeasured confounder and aspiration pneumonia) that would be needed to explain the observed risk of aspiration pneumonia from antipsychotic use solely on the basis of confounding.

#### **Specificity Analysis of Non-Aspiration Pneumonia**

Because antipsychotics are hypothesized to cause pneumonia through effects on swallowing function, we hypothesized that antipsychotic medication would not be associated with non-aspiration pneumonia. To test this hypothesis, we reviewed the charts of all patients with a discharge ICD-9-CM code for non-aspiration pneumonia (*481, 482, 483, 485, 486*) indicated as not "present-on-admission," again validating pneumonia occurrence and timing using methodology described above. We then reran our analysis replacing aspiration with non-aspiration pneumonia as the dependent variable in our models.

#### Subgroup Analyses: Age and Delirium/Dementia

To assess whether the relationship between antipsychotic exposure and aspiration pneumonia differed by age category, and to determine the attributable risk of aspiration pneumonia among these categories, we performed two additional analyses. First, we assessed for effect modification by age by creating a 3 category age variable (<65, 65–74, >=75) and assessing the significance of the interaction term with antipsychotic exposure in our main model. Second, we ran stratified models of aspiration pneumonia in these predefined age categories. Due to reduced sample size for these analyses, we had to remove covariates with low prevalence from our models to obtain convergence: peptic ulcer disease

without bleeding, acquired immune deficiency syndrome (AIDS), solid tumor without metastasis, drug abuse, and insomnia.

Because delirium and dementia are poorly captured in administrative databases,<sup>31</sup> and such misclassification could confound our analyses given that patients with these conditions are more likely to be exposed to antipsychotics and more likely to aspirate, we repeated our analysis in the subgroup of hospitalizations with a discharge diagnosis of delirium or dementia. As a result of the reduced sample size, we had to remove covariates with low prevalence from our models to obtain convergence: peptic ulcer disease without bleeding, AIDS, and insomnia.

# RESULTS

#### **Cohort Characteristics**

There were 230,635 hospitalizations age 18 from 1/2007-7/2013. After excluding outside hospital transfers (n = 14,422), hospitalizations <48 hours in duration (n = 59,774), and hospitalizations with psychosis or a psychiatric attending of record (n = 9,887), there were 146,552 hospitalizations in the cohort. The median age was 56 years (range 18–107), and 56,734 (39%) were female. Table 1 shows the cohort characteristics stratified by exposure status (see Supplementary Table S1 for the distribution of HCUP comorbidities).

#### **Exposure to Antipsychotic Medication**

Antipsychotic exposure occurred in 10,377 (7.1%) hospitalizations, with a median of 3 (25<sup>th</sup>-75<sup>th</sup> percentile 2–5) days of exposure (median length of hospital observation was 4 days; see Table 1). Of the group exposed to antipsychotics, 3,677 (35.4%) received typical and 8,282 (79.8%) received atypical antipsychotics, with 1,582 (15.2%) exposed to both. There were significant differences in baseline characteristics between exposed and unexposed (Table 1).

#### Antipsychotic Medication and Aspiration Pneumonia

Table 2 shows the unadjusted incidence of aspiration pneumonia relative to antipsychotic exposure. Aspiration pneumonia occurred in 557 hospitalizations (0.4%). Among patients with antipsychotic exposure and aspiration pneumonia, there was a median of 1 (25<sup>th</sup>-75<sup>th</sup> percentile 0–2) day between the last dose of antipsychotic medication and the diagnosis of aspiration pneumonia. The unadjusted incidence of aspiration pneumonia was higher in the group exposed to antipsychotics relative to those unexposed (1.2% versus 0.3%; OR 3.9, 95% confidence interval [CI] 3.2–4.8).

After adjustment, a significant association between antipsychotic exposure and aspiration pneumonia persisted (adjusted OR [aOR] 1.5, 95% CI 1.2–1.9; Table 2).

#### **Propensity-Matched Analysis**

We successfully matched 10,203 (98.3%) hospitalizations with antipsychotic exposure to 10,203 unexposed hospitalizations. After matching, the group exposed to antipsychotics was much more similar in baseline characteristics to the unexposed group (Supplementary Table

S1), with all standardized differences <0.1 except for age and depression, which we included in our post-match GEE model. A significant association between antipsychotic exposure and aspiration pneumonia persisted (aOR 1.4, 95% CI 1.1–1.8; Table 2).

#### **Exposure Subcategories**

Table 3 demonstrates the associations between subcategories of antipsychotics and aspiration pneumonia. The aORs were similar, at 1.4 for typical (95% CI 0.94–2.2), and 1.5 for atypical antipsychotic exposure (95% CI 1.1–2.0).

#### **Sensitivity Analysis**

Assuming prevalence of a confounder of 20% (chosen because it resulted in the most conservative OR estimates), we found that ORs of at least 3–4 would be necessary between confounder and antipsychotic use and between confounder and aspiration pneumonia to explain our observed association solely on the basis of unmeasured confounding.

#### **Specificity Analysis of Non-Aspiration Pneumonia**

We found no association between antipsychotic exposure and non-aspiration pneumonia with either our traditional GEE or propensity score approach (aOR 1.1, 95% CI 0.9–1.3 and aOR 1.0, 95% CI 0.8–1.2, respectively; Table 2).

#### Subgroup Analyses: Age and Delirium/Dementia

Antipsychotic exposure and aspiration pneumonia incidence both increased significantly with age (5.2%, 7.3%, and 12.5% exposure rate and 0.2%, 0.6%, and 0.7% aspiration pneumonia incidence for age <65, 65–74, and 75, respectively; p < 0.001 for both). Although terms for effect modification by age were not significant (p = 0.62 and 0.80 for interaction with age 65–74 and 75, respectively), both the relative risk of aspiration pneumonia and the absolute incidence of aspiration pneumonia attributable to antipsychotic exposure increased with age (Figure 1).

After restricting our analysis to those hospitalizations with a discharge diagnosis of delirium or dementia (n = 9,516), the association was again significant for aspiration pneumonia (aOR 1.5, 95% CI 1.1–2.1) but not for non-aspiration pneumonia (aOR 0.8, 95% CI 0.6–1.1).

#### DISCUSSION

In this cohort of non-psychiatric hospitalizations at a large academic medical center, we found that antipsychotic exposure was associated with increased odds of aspiration pneumonia after extensive adjustment for patient characteristics. This association was robust through multiple analytic approaches, and persisted when focusing on those with discharge diagnoses of delirium and dementia. The specificity of this association, demonstrated through our finding of no association between antipsychotics and non-aspiration pneumonia, lends support to the idea of a causal pathway between exposure and outcome. To our knowledge, this is the first study to evaluate the association between antipsychotic use and

development of aspiration pneumonia in hospitalized patients. We believe this risk should be considered when prescribing antipsychotics in the hospital.

Recent systematic reviews have concluded that there is insufficient evidence to justify use of antipsychotics for prevention or treatment of delirium, mostly based on significant methodologic limitations of existing studies and conflicting results.<sup>4, 6</sup> Nonetheless, when caring for patients with severe agitation or distress who pose a risk of harm or interruption of medical therapy, hospital physicians are faced with few alternatives, and in this situation, most experts agree that the benefits of antipsychotics are likely to outweigh the risks.<sup>5</sup> However, antipsychotics are frequently used outside of this setting in hospitalized patients. Our findings add to the increasingly recognized risks of antipsychotics, providing physicians with additional data to substantiate a higher threshold for use than is commonly employed, and highlighting the importance of additional research to better define any benefits of these medications in delirium management.

Although the relationship between antipsychotic exposure and aspiration pneumonia did not differ significantly by age based on a test for effect modification, the point estimates did increase with increasing age category. Further, it is important to note that patients 75 years had more than triple the incidence of aspiration pneumonia compared to patients <65 years of age. Because of this, the absolute risk of aspiration pneumonia attributable to antipsychotic exposure (attributable risk) is much greater for older than younger patients. Accordingly, the number-needed-to-harm is much smaller, dropping from >2000 in those <65 years to 163 in those 75 years of age. When coupled with our observation that patients

75 years had double the rate of antipsychotic exposure, our findings suggest that targeting older adults for interventions aimed at improving antipsychotic prescribing practices will lead to the greatest absolute reduction in the occurrence of this costly and morbid complication.

Because our study was observational, and thus prone to confounding by severity of illness and indication (wherein factors that make patients more likely to be placed on antipsychotics also make patients more likely to develop aspiration pneumonia, independent of the antipsychotic), we performed subgroup analyses designed to enhance comparability of hospitalizations with and without antipsychotic exposure, including a propensity-matched analysis and an analysis restricted to patients with delirium or dementia. In both of these secondary analyses, the association between antipsychotic exposure and aspiration pneumonia was significant and consistent with our primary analysis. The robustness of this finding through multiple analyses supports the existence of a true association. Additionally, our sensitivity analysis determined that relatively strong associations (ORs of at least 3 to 4) would be necessary between an unmeasured confounder and antipsychotic use and between confounder and aspiration pneumonia to explain the risk observed in this study solely on the basis of unmeasured confounding. Nonetheless, we cannot exclude the possibility that unmeasured confounding (by indication or otherwise) explains our findings.

With an overall aspiration pneumonia rate of 0.4% and an antipsychotic exposure rate of 7%, a relative risk of 1.4 translates to an attributable risk of 0.16% and a number needed to harm of 625. With 40 million discharges from U.S. hospitals each year, and approximately 2.8

million exposed, this suggests potentially >4,000 excess cases of aspiration pneumonia attributable to hospital antipsychotic use each year.

We demonstrated qualitatively similar associations between typical and atypical antipsychotics and aspiration pneumonia. Prior analyses in the community and nursing home settings have yielded conflicted findings in this realm. Some have demonstrated heightened risk in current users of atypical compared to typical antipsychotics,<sup>15, 16</sup> while others, including a meta-analysis of observational studies in the outpatient setting, have demonstrated similarly increased risk.<sup>32–34</sup> Our results lend support to the idea that pneumonia risk does not vary by antipsychotic subclass.

Strengths of our study include the large sample size, chart-based validation of all cases of pneumonia, and rigorous methods to control for potential confounders. There are also important limitations. First, although we studied almost 150,000 hospitalizations, the single center nature limits generalizability and our findings should be validated at other institutions. Additionally, lack of information on preadmission use of antipsychotic medications precluded our ability to assess for differential effects among new initiators. Two studies in the community-setting found that pneumonia risk was highest during the first week after antipsychotic initiation.<sup>15, 16</sup> Since a study conducted at our medical center previously estimated that about half of antipsychotic use in hospitalized patients represents new initiation,<sup>2</sup> it is possible that the inclusion of more chronic use in our analysis could have resulted in *under*estimation of the true association between antipsychotic initiation and aspiration pneumonia.

In conclusion, in this large cohort of non-psychiatric hospitalizations, we found that antipsychotics were associated with 40–50% increased odds of developing aspiration pneumonia during hospitalization. This risk should be considered when prescribing antipsychotics for off-label purposes like delirium and dementia in hospitalized patients, particularly for those age 75 and older. The controversial benefits of use in this setting must be weighed against the growing number of risks.

# **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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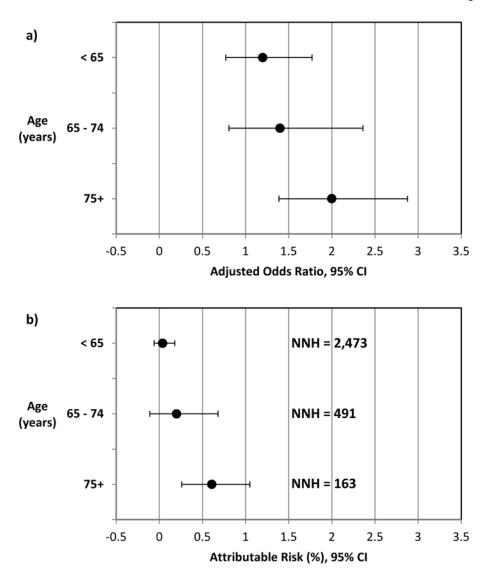
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#### Figure 1.

a) Adjusted association between antipsychotic exposure and aspiration pneumonia, by age category. We used a multivariable GEE to adjust for all variables listed in Table 1 except insomnia, plus the Healthcare Cost and Utilization Project comorbidities with the exception of peptic ulcer disease without bleeding, acquired immune deficiency syndrome (AIDS), solid tumor without metastasis, and drug abuse.

b) Adjusted absolute incidence of aspiration pneumonia attributable to antipsychotic exposure (attributable risk), calculated as the difference between the adjusted incidence of aspiration pneumonia among exposed and unexposed, derived using Bayes theorem. Number-needed-to-harm calculated by taking the inverse of the attributable risk.
Antipsychotic exposure and aspiration pneumonia incidence both increased with age (5.2%, 7.3%, and 12.5% exposed and 0.2%, 0.6%, and 0.7% aspiration pneumonia incidence for age <65, 65–74, and 75, respectively).</li>

#### Table 1

Cohort characteristics overall and by antipsychotic exposure status.

Characteristic	Overall (n=146,552)	No Antipsychotic Exposure (n=136,175)	Antipsychotic Exposure (n=10,377)
Age – median (range)	56 (18–107)	56 (18–107)	67 (18–106)
Female – n (%)	89,818 (61)	84,624 (62)	5,194 (50)
Race – n (%)			
White	91,792 (63)	84,209 (62)	7,583 (73)
Black	18,286 (12)	17,159 (13)	1,127 (11)
Other/Unknown	36,474 (25)	34,807 (26)	1,667 (16)
Comorbidities – n (%)			
Delirium	6,976 (5)	4,636 (3)	2,340 (23)
Dementia	3,327 (2)	2,147 (2)	1,180 (11)
Insomnia	1,505 (1)	1,311 (1)	194 (2)
Acute cerebrovascular disease	3,913 (3)	3,374 (2)	539 (5)
Mechanical Ventilation	6,770 (5)	5,064 (4)	1,710 (16)
Intensive Care Unit Stay	26,821 (18)	22,774 (17)	4,051 (39)
Medicine Admitting Service	56,761 (39)	50,490 (37)	6,271 (60)
Length of observation – median days (range)	4 (2–245)	4 (2–194)	6 (2–245)
Other medication exposures			
Opioids	100,608 (69)	93,082 (68)	7,529 (73)
Benzodiazepines/barbiturates	32,973 (23)	28,409 (21)	4,564 (44)
Steroids	23,644 (16)	21,175 (16)	2,470 (24)
Acid-suppressives	74,524 (51)	67,073 (49)	7,452 (72)

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# Table 2

Rates of aspiration and non-aspiration pneumonia according to antipsychotic exposure status.

Outcome	No Antipsychotic Exposure n (%) (n = 136,153)	Antupsychotic Exposure Unaquisted Odds Kaulo <sup>**</sup> Propensity Marched Odds Kaulo <sup>**</sup> $(n = 10,399)$ (n = 146,552) (n = 146,552) (n = 146,552) (n = 20,406)	[95% CI] (n = 146,552)	[95%  CI] (n = 146,552)	[95% CI] (n = 20,406)
Aspiration pneumonia	430 (0.3)	127 (1.2)	3.9 [3.2–4.8]	1.5 [1.2–1.9]	1.4 [1.1-1.8]
Non-aspiration pneumonia	956 (0.7)	175 (1.7)	2.4 [2.1–2.9]	1.1 [0.9 - 1.3]	1.0 [0.8–1.2]

hospitalizations.

bMatched on propensity score and analyzed using a multivariable GEE, controlling for all significantly imbalanced baseline characteristics after matching, as demonstrated in Table 3 (using a standardized difference (absolute value) >0.1).

#### Table 3

Associations between subclasses of antipsychotic medication and aspiration pneumonia (n=146,552).

Exposure Status	Unexposed (n=136,175)	Typical Exposure (n=2,095)	Atypical Exposure (n=6,700)	Both (n=1582)
Aspiration pneumonia – n (%)	430 (0.3)	31 (1.5)	64 (1.0)	32 (2.0)
Unadjusted odds ratio [95% CI]	Reference	4.7 [3.3–6.8]	3.0 [2.3-4.0]	6.5 [4.5–9.4]
Adjusted <sup>a</sup> odds ratio [95% CI]	Reference	1.4 [0.94–2.2]	1.5 [1.1–2.0]	1.6 [1.1–2.5]

<sup>a</sup>Adjusted for all variables listed in Table 1, plus the Healthcare Cost and Utilization Project comorbidities,<sup>24</sup> using a multivariable GEE to take into account dependency of the data due to repeated hospitalizations.