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## Novel *CYP2A6* diplotypes identified through next-generation sequencing are associated with *in vitro* and *in vivo* nicotine metabolism

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**Conflicts of interest**

The remaining authors declare no conflicts of interest.

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**Abstract**

**Objective**—Smoking patterns and cessation rates vary widely across smokers and can be influenced by variation in rates of nicotine metabolism (i.e. cytochrome P450 2A6, CYP2A6, enzyme activity). There is high heritability of CYP2A6-mediated nicotine metabolism (60–80%) due to known and unidentified genetic variation in the *CYP2A6* gene. We aimed to identify and characterize additional genetic variants at the *CYP2A6* gene locus.

**Methods**—A new *CYP2A6*-specific sequencing method was used to investigate genetic variation in *CYP2A6*. Novel variants were characterized in a White human liver bank that has been extensively phenotyped for CYP2A6. Linkage and haplotype structure for the novel SNPs were assessed. The association between novel five-SNP diplotypes and nicotine metabolism rate was investigated.

**Results**—Seven high frequency (MAFs > 6%) non-coding SNPs were identified as important contributors to CYP2A6 phenotypes in a White human liver bank (rs57837628, rs7260629, rs7259706, rs150298687 [also denoted rs4803381], rs56113850, rs28399453, and rs8192733), accounting for two times more variation in *in vitro* CYP2A6 activity relative to the four established functional *CYP2A6* variants that are frequently tested in Whites (*CYP2A6*\*2, \*4, \*9, and \*12). Two pairs of novel SNPs were in high linkage disequilibrium, allowing us to establish five-SNP diplotypes that were associated with CYP2A6 enzyme activity (rate of nicotine metabolism) *in vitro* in the liver bank and *in vivo* among smokers.

**Conclusion**—The novel five-SNP diplotype may be useful to incorporate into *CYP2A6* genotype models for personalized prediction of nicotine metabolism rate, cessation success, and response to pharmacotherapies.

**Keywords**

*CYP2A6*; sequencing; nicotine metabolism; genetic variant; SNP; haplotype; diplotype

## Introduction

Smoking patterns and rates of smoking cessation vary widely among smokers. Variation in nicotine metabolism rate and clearance is primarily mediated by the hepatic enzyme CYP2A6 (cytochrome P450 2A6)[1,2]. Variation in nicotine metabolism is associated with differences in smoking quantities, dependence, and cessation such that smokers who exhibit a slower rate of nicotine metabolism often smoke less, have lower nicotine dependence scores, and are more likely to quit smoking[3–6]. In addition, nicotine metabolism rate can be used to predict therapeutic response to smoking cessation pharmacotherapies, including behavioral counseling, nicotine replacement therapy, bupropion, and varenicline[4,7,8]. The relationship between the nicotine metabolism rate and smoking quantity stems from smokers' ability to titrate their nicotine intake from cigarettes in order to maintain consistent nicotine blood levels throughout the day and avoid withdrawal[9]. Nicotine dependence and cessation may be modulated by nicotine metabolism rate such that normal, compared to slow, nicotine metabolizers have greater fluctuation of blood nicotine concentrations, which may result in enhanced reward, altered strength of functional connectivity between rewarding brain regions, and increased conditioned responses to smoking cues[6,10,11].

Heritability estimates suggest that 60–80% of the variation in nicotine metabolism is attributable to genetic influences, with known *CYP2A6* genetic variants accounting for approximately 20–30% of the variation[12,13]. Only a small contribution arises from environmental or non-genetic factors; dietary or pharmacological agents, gender and estrogen consumption, age, body mass index, alcohol consumption, and smoking account for approximately 8% of the variation[14–18]. *CYP2A6* gene locus polymorphisms are associated with differences in nicotine metabolism *in vitro* and *in vivo*[17,19,20], and correspondingly with smoking behaviors[3,21]. In a recent GWAS of CYP2A6 activity, all three independent genome-wide significant signals were within or near the *CYP2A6* locus[12]. The *CYP2A6* gene is highly polymorphic, with >40 genetic variants characterized to date (<http://www.cypalleles.ki.se/cyp2a6.htm>). Thus it is likely that genetic variation in *CYP2A6* remains unaccounted for, contributing to the high heritability of the nicotine metabolism phenotype.

Part of the difficulty in fully characterizing *CYP2A6* genetic variation is high sequence homology of *CYP2A6*, *CYP2A7* and *CYP2A13*[22]. Consequently, *CYP2A6* genotyping typically requires a two-step approach that first ensures specificity to *CYP2A6* followed by characterization of the specific variant of interest[23]. However, in order to perform large-scale evaluations of the *CYP2A6* locus for identification of novel genetic variation, there is a need for sequencing approaches that both account for high homology with other genes and are high-throughput. In this study we describe a new method for sequencing this gene, examine novel (i.e. uncharacterized) and existing *CYP2A6* genetic variation, and characterize seven novel high frequency single nucleotide polymorphisms (SNPs) with respect to CYP2A6 enzyme activity in a human liver bank *in vitro* assessment, and using an *in vivo* phenotype measure.

## Methods

### Overview

Using *CYP2A6*-specific next-generation sequencing, we identified and characterized novel *CYP2A6* genetic variants. Sequencing was performed at the *CYP2A6* locus and surrounding region (hg38 40843538–40852095) for White (N=42) and African American (N=170) subjects. The sequencing sample set consisted of DNA from subjects from a human liver bank (N=43)[17], a pharmacokinetic study (N=57)[24], and two smoking cessation clinical trials (KIS2 N=26, and KIS3 N=86)[25, 26]. We prioritized potentially functional SNPs and characterized the association of each SNP with *CYP2A6* phenotypes in a human liver bank previously characterized for *CYP2A6* (*CYP2A6* sequenced, mRNA, protein, and enzyme activity quantified). Finally, we assessed the linkage and haplotype structure of the SNPs, and the impact of resulting diplotypes on *in vitro* and *in vivo* *CYP2A6* activity, in the liver bank and in a population of treatment-seeking smokers from a smoking cessation clinical trial (Pharmacogenetics of Nicotine and Addiction Treatment clinical trial (PNAT), NCT01314001)[7].

### CYP2A6-Specific Sequencing

DNA from N=212 White and African American subjects was sequenced at the *CYP2A6* locus, using *CYP2A6*-specific sequencing, in order to thoroughly interrogate SNPs at this locus for further testing. Library preparation, sequence-capture, sequencing on Illumina MiSeq, and sequence analysis were conducted as outlined in Supplementary Method 1.

Novel *CYP2A6* SNPs identified in the region (hg38) 40843538–40852095 (3'-UTR to 2kb 5' of *CYP2A6*) were analyzed for potential impact on *CYP2A6* using the following bioinformatics tools: including ensembl genome browser[27], GTEx Portal[28], RegulomeDB[29], RegRNA 2.0[30], HaploReg v4.1[31], RESCUE-ESE[32], and FAS-ESS[33]. A final subset of novel SNPs predicted to impact *CYP2A6* were further investigated in a human liver bank (described below).

### Liver Bank CYP2A6 PGRN-Sequencing and Genotyping

The *CYP2A6* locus was previously sequenced in the full human liver bank using PGRN-Seq[34], an earlier sequencing platform prior to further optimization for *CYP2A6* (Supplementary Method 2). These *CYP2A6* sequence data were one mechanism used to genotype SNP calls in the liver bank for novel SNPs identified by *CYP2A6*-specific sequencing (described above), and the other method consisted of traditional genotyping approaches. SNP genotype calls for the N=43 liver bank samples included in the original exploratory *CYP2A6*-specific sequencing were made according to PGRN-Seq or direct genotyping, to remain consistent with genotype calls for the rest of the human liver bank (see Table 1 for summaries of samples used). The majority of SNP genotype calls in the liver bank were readily available from PGRN-seq data; calls were then verified using traditional genotyping approaches (described below).

Genotyping for established *CYP2A6* genetic variants common among White populations (*CYP2A6*\*2, \*4, \*9, \*12) was performed using two-step allele-specific polymerase chain

reaction genotyping or TaqMan SNP genotyping (Applied Biosystems/ThermoFisher Scientific), as reported previously[17, 23]. Concordance between the genotype and PGRN-Seq calls for established SNPs *CYP2A6*\*2 (rs1801272) and \*9 (rs28399433) was 99% and 98%, respectively; *CYP2A6*\*4 and \*12 are copy number and hybrid variants not identified by this sequencing method. Next, we verified liver bank PGRN-Seq SNP calls with traditional genotyping approaches that consisted of direct genotyping (TaqMan or SYBR Green SNP genotyping assays) for the seven novel SNPs that exhibited significant associations with *CYP2A6* phenotypes (Supplementary Table 1). Concordance of genotyping and PGRN-Seq SNP calls was assessed (5/7 SNPs were detected by PGRN-Seq), and was high for all SNPs, ranging from 84–97%. For subsequent genotype-phenotype analyses, calls for the seven novel SNPs were made using PGRN-Seq data (i.e. data from an earlier sequencing platform) unless concordance was <90% (N=3) or was not available (N=2), in which case TaqMan or SYBR Green genotype data were used.

### Quantifying In Vitro CYP2A6 Phenotypes

Genotype-phenotype associations for novel SNPs were assessed in White subjects in the full liver bank dataset (N=332). Measurements of *CYP2A6* mRNA, protein, and enzyme activity in the liver bank have been described previously[17]. Briefly, *CYP2A6* mRNA expression was quantified using RNA sequencing (values expressed as fragments per kilobase per million reads, FPKM). *CYP2A6* protein was quantified using a liquid chromatography-tandem mass spectrometry (LC-MS/MS) proteomics method. *In vitro* *CYP2A6* enzyme activity was determined by quantifying the rate of nicotine metabolism to cotinine in liver microsomes.

### Clinical Trial CYP2A6 GWAS and Genotyping

A genome-wide association study (GWAS) of nicotine metabolite ratio (NMR) in the PNAT trial was used to ascertain SNP genotype calls for the seven novel SNPs (GWAS methodology described in detail by Chenoweth et al. 2017, manuscript submitted). Briefly, genome-wide SNP genotyping was performed using the Illumina HumanOmniExpressExome-8 v1.2 array (Illumina, San Diego, CA). Genotypes were imputed using IMPUTE2 software, and all variants had a quality score >0.85 and minor allele frequency >1%, and thus were included in analyses. In addition, samples were genotyped for the same established *CYP2A6* genetic variants (*CYP2A6*\*2, \*4, \*9, \*12)[23]. GWAS and genotyping SNP calls were 99.8% and 99.2% concordant for \*2 and \*9, respectively, supporting the concordance of GWAS SNP calls for the novel variants.

### Assessing Linkage and Haplotype Structure of Novel SNPs

Using Haploview[35], we estimated the linkage disequilibrium (LD) and haplotype structure of the seven novel SNPs separately in the liver bank and PNAT trial. Analyses were restricted to White subjects not possessing any previously established *CYP2A6* genetic variants (i.e. *CYP2A6*\*2, \*4, \*9, \*12; liver bank N=252, PNAT N=536). For SNP pairs in high LD ( $r^2 \geq 0.80$ ) in PNAT (the larger study population), one SNP from each pair was selected to include in a simplified haplotype, resulting in a final haplotype comprised of five SNPs. Using the PHASE program[36,37], five-SNP haplotypes were phased (8360bp genomic distance) in order to predict five-SNP diplotypes in both studies.

## Clinical Trial CYP2A6 Phenotyping

*In vivo* CYP2A6 activity was measured in blood at baseline for treatment-seeking smokers in the PNAT trial by quantifying the ratio of nicotine's metabolites, *trans*-3'-hydroxycotinine/cotinine, a measure referred to as the NMR, using LC-MS/MS[7], as described previously[38,39]. Limits of quantification for each metabolite were 1ng/ml of blood.

## Statistical Analyses

We assessed the association of each of the seven novel SNPs with CYP2A6 mRNA, protein, and enzyme activity in the liver bank using Kruskal Wallis and Dunn's Multiple Comparisons tests or the Mann-Whitney test. The same tests were used to evaluate the association of the seven- and five-SNP diplotypes with CYP2A6 activity in the liver bank and PNAT trial. We ran nested linear regression models for CYP2A6 activity to calculate the additional proportion of variation that is accounted for by the seven SNPs, or the simplified five-SNP haplotype, relative to what was accounted for by the established *CYP2A6* genetic variants alone. Genotypes were the only predictors included in these models. Analyses were performed using GraphPad Prism (v.6.0, LaJolla, CA) and SPSS (v.23; IBM, Armonk, NY), and statistical tests were considered significant for  $P < 0.05$ .

## Results

### Seven novel SNPs identified through sequencing

We identified N=229 distinct SNPs total (N=38 5', N=46 exon, N=133 intron, and N=12 3'-UTR *CYP2A6* SNPs) in the region (hg38) 40843538–40852095. Following bioinformatics analyses, N=21 novel SNPs were predicted to impact CYP2A6, including nonsynonymous SNPs, SNPs with a predicted association with CYP2A6 mRNA levels, and SNPs located in an miRNA binding site, an exonic splicing enhancer or silencer site, or a regulatory region (Supplementary Table 1). Of the N=21 novel SNPs, N=13 were sufficiently common to be used in analyses of relationships with *in vitro* CYP2A6 activity in the liver bank (described below); the remaining N=8 novel SNPs were rare (minor allele frequency, MAF < 1% in 1000 Genomes) and we were not powered to investigate these in the liver bank. Of the N=13 common SNPs, N=7 were significantly associated with CYP2A6 activity in the liver bank (described below), and were therefore prioritized for further investigation. Subjects possessing any of the SNPs identified through sequencing (i.e. rare or non-significant SNPs) were included in all of the following analyses.

Of the seven prioritized SNPs, four are located 5' of *CYP2A6* (rs57837628, rs7260629, rs7259706, rs150298687 [also denoted rs4803381]), two are located in introns (rs56113850, rs28399453), and one in the 3'-UTR (rs8192733) (Table 2). The seven SNPs are common in White populations in 1000 Genomes (6–70%), the human liver bank (7–72%), and the PNAT trial (7–69%). The total number of samples from the liver bank and PNAT trial that were included in subsequent analyses is provided in Table 1.

### Association of seven novel SNPs with CYP2A6 phenotypes

All seven novel SNPs were significantly associated with higher *in vitro* CYP2A6 activity, each exhibiting a gene-dose effect (*P* values provided in Figure 1 and Table 3). Six of the seven SNPs were significantly associated with higher CYP2A6 protein levels (*P* values 0.49–<0.0001), with the exception of rs28399453 (*P*=0.11, same direction of effect) (Table 3). None of the seven SNPs significantly altered CYP2A6 mRNA expression (all *P*>0.11), however all indicated a similar direction of effect. Similar relationships between the novel SNPs and CYP2A6 phenotypes were observed when restricting analyses to *CYP2A6*\*1/\*1 genotype liver donors (i.e. excluding donors with established *CYP2A6* genetic variants, N=63 White liver donors).

In order to determine if the novel SNPs collectively accounted for any additional variation in CYP2A6 activity in the liver bank, we performed nested linear regression modeling. The previously established *CYP2A6* genetic variants (\*2, \*4, \*9, \*12) alone accounted for 2.1% of the variation in CYP2A6 activity (model 1:  $R^2=0.021$ , *P*=0.009). When the seven novel SNPs were added to the model, they accounted for an additional 4.5% of CYP2A6 activity variation (model 2:  $R^2=0.066$ , *P*=0.005), relative to the established variants (model 1 vs. model 2:  $R^2$  change=0.045, *P*=0.03).

### Linkage and haplotype structure for seven novel SNPs

Due to the high frequency of the seven novel SNPs and their similar impact on CYP2A6, we assessed linkage and haplotype structure. Haploview was used to predict  $r^2$ ,  $D'$ , and haplotype blocks in liver donors and PNAT treatment-seeking smokers. The seven novel SNPs were not in LD with established *CYP2A6* genetic variants (\*2, \*4, \*9, \*12) in either the liver bank or PNAT trial ( $r^2$  values=0.00–0.07; Supplementary Figure 1). Further analyses were restricted to subjects without established *CYP2A6* genetic variants. In the liver bank, of the seven novel SNPs, two SNP pairs were in high LD ( $r^2>0.8$ ,  $D'>0.9$ ): (1) rs57837628 and rs8192733, and (2) rs56113850 and rs150298687, with the rs7260629 and rs7259706 pair falling just below threshold ( $r^2=0.79$ ) (Figure 2a). In the PNAT trial, two SNP pairs were in high LD: (1) rs57837628 and rs8192733, (2) rs7260629 and rs7259706 (Figure 2b). SNP rs28399453 was not in LD with any of the other six SNPs in either study population ( $r^2$  values=0.03–0.07).

Due to high linkage among the novel SNPs, we chose to exclude one SNP from each of the high LD SNP pairs that were present in PNAT, the larger study population, (i.e. rs57837628 and rs8192733; rs7260629 and rs7259706) and investigate CYP2A6 phenotype associations for a simplified set of five SNPs: rs28399453, rs56113850, rs150298687, rs7260629, and rs57837628. We then quantified the proportion of variation in CYP2A6 activity in the liver bank additionally accounted for by the five SNPs, relative to the established *CYP2A6* variants alone, using regression modeling. The five novel SNPs accounted for an additional 3.9% of the variation in CYP2A6 activity (model 2:  $R^2=0.060$ , *P*=0.003) compared to the established variants (model 1:  $R^2=0.021$ , *P*=0.009; model 1 vs. model 2:  $R^2$  change=0.039, *P*=0.02).

### Five-SNP diplotypes in the liver bank and PNAT trial

The PHASE software was used to predict seven- and five-SNP diplotypes. Of note, when phasing the established *CYP2A6* genetic variants and the seven novel SNPs, several compound heterozygotes were identified consisting of both established and novel variants; however we were not powered to assess the functional significance of these compound heterozygotes due to low genotype frequencies. As a result, and due to the lack of LD for established *CYP2A6* genetic variants with the novel SNPs ( $r^2$  values=0.00–0.07; Supplementary Figure 1), subjects possessing established *CYP2A6* genetic variants (\*2, \*4, \*9, \*12) were therefore excluded from analyses. Summaries of seven-SNP diplotypes in the liver bank and PNAT have been provided in Supplementary Tables 4 and 5, respectively. With respect to the simplified group of five novel SNPs, there were 25 distinct diplotypes total in the liver bank (Table 4) and 40 diplotypes in PNAT (Table 5). The full reference diplotype (GTTTA/GTTTA; reference allele designated according to dbSNP, and corresponds to the *CYP2A6*\*1 allele) was present in 6.6% and 6.4% of samples from the subset of the liver bank and PNAT, respectively. Of note, not all diplotypes observed in the liver bank appeared in PNAT; diplotypes #12, 16–21, and 23–24 (Table 4) were not found among PNAT subjects (Table 5). Furthermore, 24 additional diplotypes were present in PNAT, which were not identified in the liver bank (diplotypes #16–39, Table 5).

### Association of five-SNP diplotypes and CYP2A6 activity in vitro and in vivo

We compared the rate of *in vitro* CYP2A6 activity (rate of cotinine formation from nicotine) of the top 5 most frequent diplotypes in the liver bank (diplotypes #1–5, Table 4) to that of the reference diplotype (Table 4), which does not possess the variant form of any of the five SNPs (Figure 3a). Of note, several liver bank donors were missing CYP2A6 activity data while still having genotype/sequence data, and therefore were excluded from phenotype analyses in Figure 3, but were included in the summary of diplotypes in Table 4. Each of the 5 most frequent diplotypes had higher mean CYP2A6 activity compared to the reference diplotype ( $P=0.23$ ). Additionally, when collapsed together into one group, the 5 most frequent diplotypes collectively had non-significantly higher mean CYP2A6 activity compared to the reference diplotype ( $P=0.28$ ), which was also observed for the remaining diplotypes combined (diplotypes #6–24, Table 4;  $P=0.28$ ), and when all variant diplotypes were grouped together (diplotypes #1–24;  $P=0.26$ ).

To increase our power for assessing associations between diplotypes and CYP2A6 activity (N=252 subjects analyzed in the liver bank), we extended these analyses to the PNAT sample (N=536). In PNAT, we compared the mean NMR between the reference diplotype and the top 5 most frequent diplotypes that were found in the liver bank (diplotypes #1–5, Table 5; Figure 3b). Only diplotypes that were found in the liver bank were included in diplotype-phenotype analyses in PNAT. Compared to the full reference diplotype, diplotypes #1–4 (Table 5) each had significantly higher mean NMR ( $P$  values 0.008–<0.0001). Diplotype #5, which only possesses the variant form of one of the five SNPs, exhibited non-significantly higher mean NMR ( $P>0.99$ ), relative to the reference diplotype. The combined diplotype groups #1–5, #6–24, and #1–24 had significantly higher mean NMR compared to the reference diplotype (all  $P<0.0001$ ). Analyses of the top five most frequent seven-SNP diplotypes were also conducted in the liver bank and PNAT trial; these illustrated very



similar patterns for association of frequent diplotypes with CYP2A6 *in vitro* and *in vivo* activity (Supplementary Figure 2) as was observed for the frequent five-SNP diplotypes.

## Discussion

We have identified seven novel SNPs, and a resulting simplified five-SNP diplotype, at the *CYP2A6* locus, which significantly impact CYP2A6 activity assessed in both a human liver bank *in vitro* and using the NMR *in vivo*. All seven novel SNPs characterized here were non-coding variants, present in regulatory and intronic regions of the *CYP2A6* gene, with MAFs >1% in European ancestry populations (liver bank: 7–72%, PNAT: 7–69%). Non-coding variants are largely understudied, despite important roles in regulating transcription, chromatin state, splicing, and epigenetic modifications; this work further highlights the utility of full gene or genome sequencing in characterizing complex traits and diseases, such as smoking.

For the novel SNPs characterized here that were in high linkage disequilibrium (LD), allowing for incorporation of only one of the two SNPs into the simplified five-SNP diplotype, LD was similarly moderate-to-high in a GWAS of the NMR in a Finnish population (rs57837628 and rs8192733  $r^2=0.73$ , rs7260629 and rs7259706  $r^2=0.98$ )[12]. Likewise, associations of *CYP2A6* diplotypes with *in vitro* and *in vivo* rate of nicotine metabolism were consistent for the seven- and five-SNP diplotypes (see Figure 3 and Supplementary Figure 2), and there was little change in the amount of variation in CYP2A6 enzyme activity accounted for by all seven SNPs compared to only five SNPs (6.6 vs. 6.0%). This supports the use and assessment of the five-SNP diplotype, as opposed to all seven SNPs, in CYP2A6 phenotype association studies and in future genotype-prediction algorithms. As we were able to capture significantly more of the variation in CYP2A6 activity with the five-SNP diplotype (additional 3.9% compared to established variants alone), in the future, as we capture more and more of the heritable variation in CYP2A6, we may be able to use *CYP2A6* genotype to tailor smoking cessation. As feasibility of genomics-based treatment optimization increases, implementation of pre-emptive genotyping will require further assessment of both coding- and non-coding genetic variation, and incorporation of genotypes into functionally significant diplotypes, as demonstrated here.

Our *in vitro* and *in vivo* functional characterization of novel SNPs expands on previous GWAS's that have identified significant hits at *CYP2A6*. Consistent with our prioritization, six of the seven SNPs in our investigation reached genome-wide significance (rs28399453 did not,  $P=6.42E-06$ ) in the Finnish GWAS of the NMR, with rs56113850 being the top hit (most highly significant,  $P=5.77E-86$ )[12]. rs56113850 was also the top hit for association with NMR in a multi-ethnic cohort (MEC) study in which it was globally significantly associated with higher CYP2A6 activity ( $P=1.19E-50$ ), and it was the second most significant SNP associated with lung cancer risk in the TRICL consortium GWAS ( $P=5.78E-11$ )[41]. Similarly, rs56113850 was the top ranked European American SNP in a separate GWAS of NMR ( $P=3.81E-10$ )[42]. SNPs rs57837628 and rs8192733 were also globally significant in the MEC ( $P=6.84E-37$  and  $2.78E-08$ , respectively), and were associated with increased lung cancer risk in the TRICL consortium GWAS ( $P=4.01E-10$

and  $2.10E-9$ , respectively)[41]. The remaining SNPs, rs7260629, rs7259706, rs150298687, and rs28399453, were not significant in the MEC or TRICL consortium GWAS's[41]. Lack of genome-wide significance of rs28399453 in the Finnish GWAS of NMR may result from lower allele frequency (liver bank: 7%, PNAT: 7%, 1000 Genomes: 6% for European ancestry) relative to the other six novel SNPs (liver bank: 47–72%, PNAT: 47–69%, 1000 Genomes: 48–70% for European ancestry), and in the MEC study due to even lower allele frequencies across populations of other ethnic origins (0, 0, and 2% among African, Asian, and Latin American ancestry populations, respectively, from 1000 Genomes).

We also identified novel exon variation in *CYP2A6* through sequencing (N=46 exon SNPs); only N=6 missense and N=1 nonsense SNPs were found, all of which were rare (MAF<1% in 1000 genomes). This finding is consistent with the majority of CYP genes where 93% and 83% of coding region SNPs are rare (MAF<1%) or very rare (MAF<0.1%), respectively[43]. Due to the infrequency of nonsynonymous coding region SNPs in the current study, we were not powered to characterize functional impact in the liver bank (Supplementary Table 1). Functional investigation of these SNPs may require the use of an *in vitro* CYP2A6 cDNA expression system[44], in combination with the BioBin software, which improves power to detect phenotype associations by combining rare variants[45].

In addition to a lack of power for investigating rare coding variation, a study limitation is the assessment of White populations only. Frequency of *CYP2A6* genetic variation differs substantially across ethnic groups[46], suggesting that our results may not extend to other ethnic populations. Additionally, due to haplotype and LD structure heterogeneity across different populations[47], the utility of the simplified five-SNP diplotype for predicting CYP2A6 activity and nicotine metabolism should be reassessed independently among other ethnic groups.

Furthermore, our study was limited by the lack of assessment of structural and copy number variants at the *CYP2A6* locus. Copy number variants, such as the established *CYP2A6*\*4 deletion allele, while relatively rare, exhibit a significant impact on CYP2A6 activity; PNAT treatment-seeking smokers with the *CYP2A6*\*1/\*4 genotype have a 45% lower mean NMR relative to those without the \*4 allele (mean NMR: *CYP2A6*\*1/\*4, 0.23; *CYP2A6*\*1/\*1, 0.42;  $P<0.0001$ ).

## Conclusions

Seven novel high frequency *CYP2A6* SNPs were identified as important contributors to CYP2A6 phenotypes, accounting for approximately two times more variation in CYP2A6 activity, compared to established *CYP2A6* variants alone. Due to high LD between two pairs of the seven novel SNPs, we established a functional five-SNP haplotype, which, when phased to derive diplotypes, was associated with CYP2A6 enzyme activity *in vitro* and *in vivo* in a human liver bank and PNAT clinical trial, respectively. Considering the association of CYP2A6 enzyme activity with smoking behavior and cessation outcomes, it will be important to determine the utility of incorporating the five-SNP diplotype into *CYP2A6* genotype models for predicting cessation success and response to pharmacotherapies.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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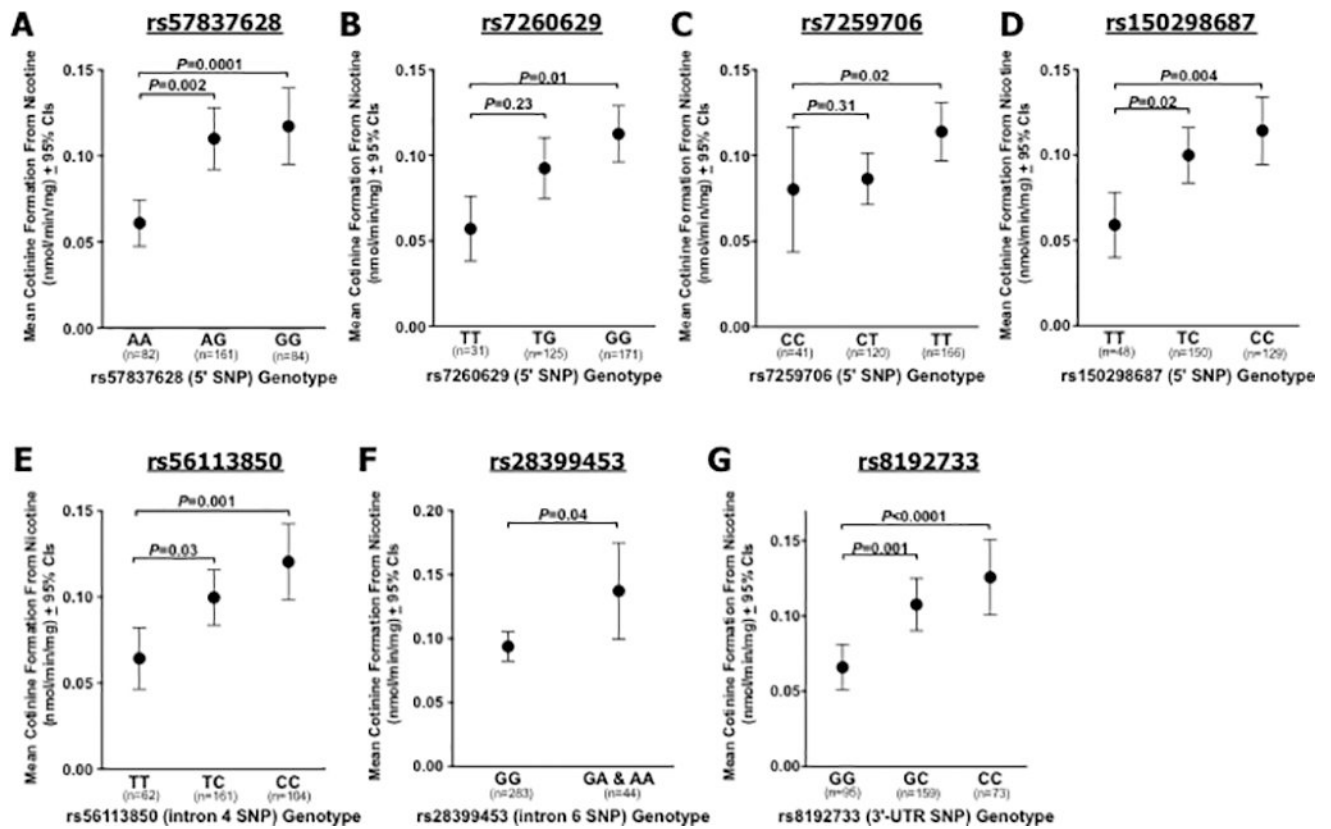
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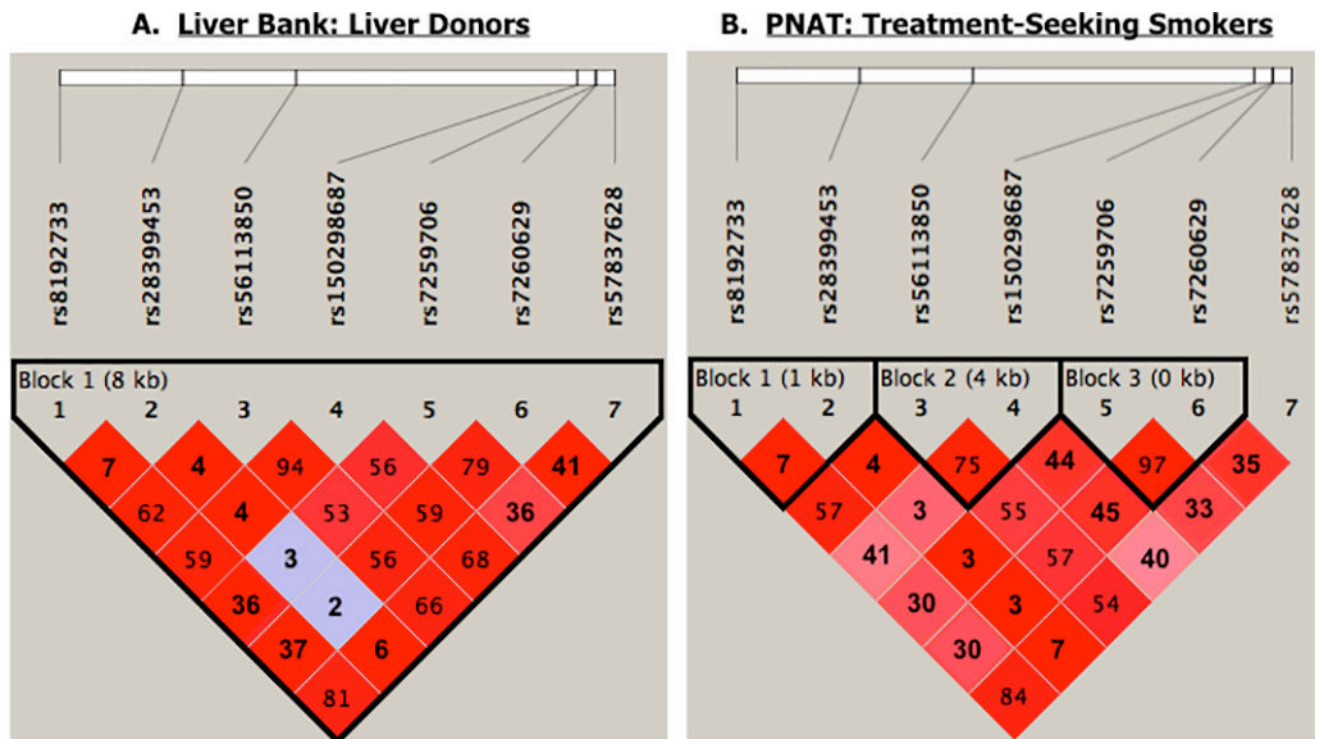
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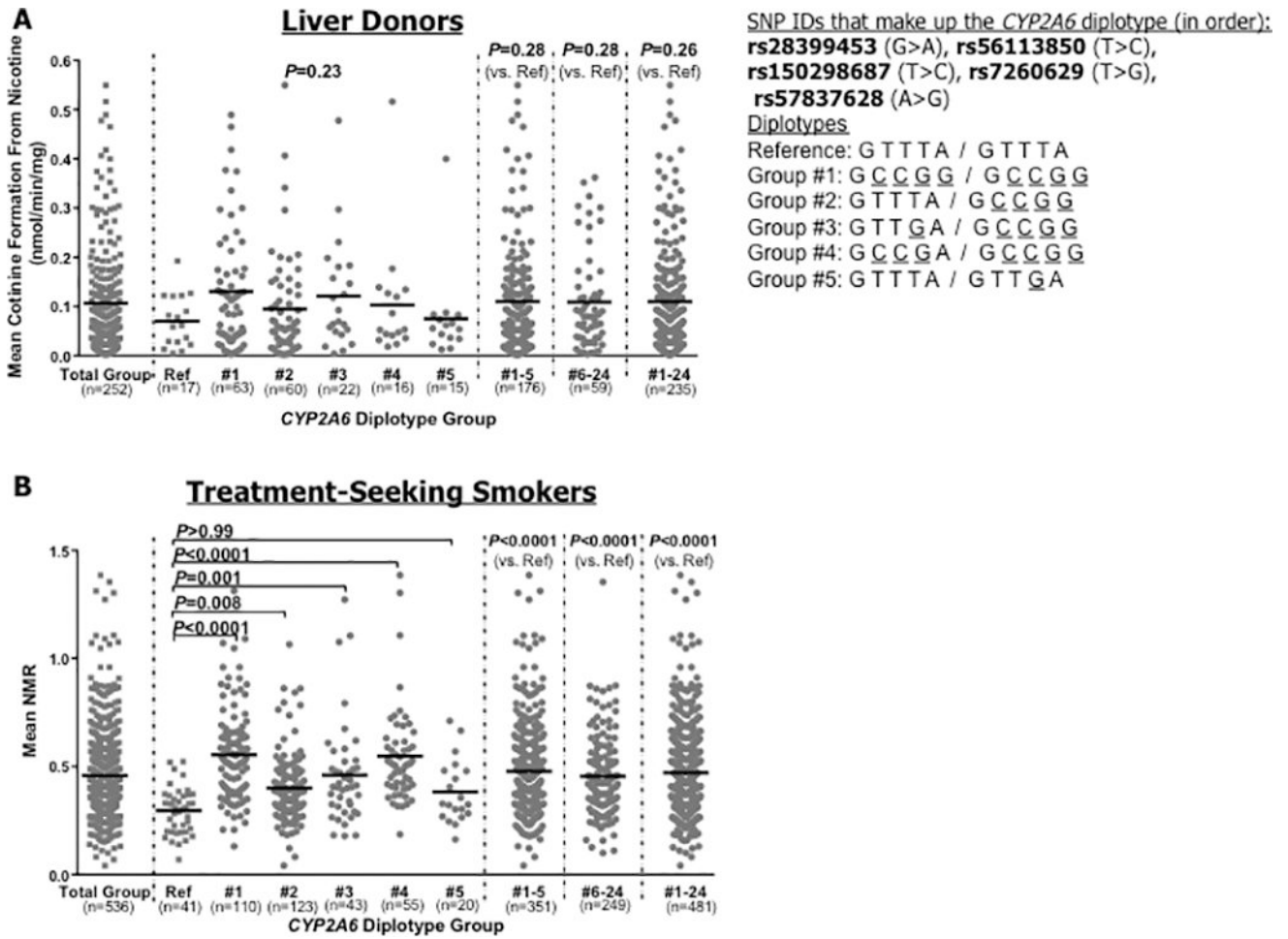
**Figure 1.**

Association of seven novel SNPs with CYP2A6 enzyme activity (rate of cotinine formation from nicotine, nmol/min/mg) among N=327 White liver donors. (A) rs57837628, (B) rs7260629, (C) rs7259706, (D) rs150298687, (E) rs56113850, (F) rs28399453, (G) rs8192733. *P* values derived from Kruskal Wallis and Dunn's Multiple Comparisons tests for most SNPs, or Mann-Whitney tests for rs28399453. All White liver bank donors were included in analyses.



**Figure 2.** LD plot for seven novel SNPs;  $r^2$  values (number) and  $D'$  (shading) were derived using Haploview. Analyses were restricted to White subjects who do not possess any established *CYP2A6* genetic variants. (A) Human liver bank. (B) Treatment-seeking smokers from PNAT.





**Figure 3.** Comparison of *CYP2A6* enzyme activity of the full reference diplotype group and top 5 most frequent five-SNP *CYP2A6* diplotypes. Data were restricted to White subjects, and to those without established *CYP2A6* genetic variants. Enzyme activity for the total group (i.e. before splitting into diplotype groups) has been provided as a reference in each figure (far left). The diplotype group numbers refer to Tables 4 and 5. (A) Data from the human liver bank. *In vitro* *CYP2A6* activity was measured as the rate of cotinine formation (nmol/min/mg) from nicotine [17]. For reference, the mean ( $\pm$ SD) cotinine formation for the pooled established variant group from the liver bank is 0.07 ( $\pm$ 0.09), as described previously [17]. (B) Data from the PNAT trial. *In vivo* *CYP2A6* activity was measured using the ratio of nicotine’s metabolites (3HC/COT, nicotine metabolite ratio, NMR) [38, 39]. For reference, the mean ( $\pm$ SD) NMR for the pooled established variant group from PNAT is 0.30 ( $\pm$ 0.17). Note: The N=25 diplotypes found in the liver bank (Table 4) were compared to the same diplotypes in PNAT, to maintain consistency of the comparison; it should be noted however that some low frequency diplotypes among the N=25 in the liver bank were not found in PNAT (diplotypes #12, 16–21, and 23–24 from Table 4), and likewise several low frequency diplotypes in PNAT were not found in the liver bank (diplotypes #16–39; Table 5). Therefore, these diplotype groups were excluded from the PNAT phenotype analyses in part B. *P* values are based on Kruskal Wallis and Dunns Multiple Comparison tests, or

Mann-Whitney tests. Among PNAT treatment seeking smokers, the relationship between the reference diplotype and top 5 diplotype groups remained similar and significant when accounting for the non-genetic factors “age” and “gender” ( $P < 0.001$ ), using regression modeling.

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**Table 1**

Summary of the studies that were used for *CYP2A6* sequencing and *CYP2A6* genotype-phenotype analyses.

Study Title	Number of subjects analyzed	Sequencing or Genotyping Approach	Purpose of sequencing or genotyping	Reference for original study
Liver Bank	43	<i>CYP2A6</i> -Specific sequencing	Exploratory sequencing	Tanner, Prasad [17]
Pharmacokinetic Study	57	<i>CYP2A6</i> -Specific sequencing	Exploratory sequencing	Mwenifumbo, Sellers [24]
KIS2	26	<i>CYP2A6</i> -Specific sequencing	Exploratory sequencing	Ho, Mwenifumbo [25]
KIS3	86	<i>CYP2A6</i> -Specific sequencing	Exploratory sequencing	Cox, Nollen [26]
Liver Bank	327	PGRN-Sequencing, and TaqMan SNP genotyping	Identify SNPs for genotype-phenotype analyses	Tanner, Prasad [17]
PNAT	641	Genotyped via GWAS SNP calls	Identify SNPs for genotype-phenotype analyses	Lerman, Schnoll [7]

Table 2

Summary of the seven novel *CYP2A6* SNPs of interest

SNP rs ID	CYP2A6 region	Hg38 position	Allele change	1000 Genome			Liver Bank		PNAT Trial		Predicted Function <sup>a</sup>
				African Allele Freq	European Allele Freq	White Allele Freq	African Allele Freq	White Allele Freq	White Allele Freq	White Allele Freq	
rs57837628	5'	40852005	A>G	17% (G)	54% (G)	51% (G)	17% (G)	54% (G)	49% (G)	49% (G)	Increased
rs7260629	5'	40851727	T>G	71% (G)	69% (G)	72% (G)	71% (G)	69% (G)	69% (G)	69% (G)	Increased
rs7259706	5'	40851715	C>T	73% (T)	70% (T)	69% (T)	73% (T)	70% (T)	69% (T)	69% (T)	Increased
rs150298687 (also denoted rs4803381)	5'	40851439	T>C	46% (C)	58% (C)	63% (C)	46% (C)	58% (C)	58% (C)	58% (C)	Increased
rs56113850	Intron 4	40847202	T>C	39% (C)	59% (C)	57% (C)	39% (C)	59% (C)	56% (C)	56% (C)	Increased
rs28399453	Intron 6	40845495	G>A	0% (A)	6% (A)	7% (A)	0% (A)	6% (A)	7% (A)	7% (A)	Increased
rs8192733	3'-UTR	40843645	G>C	23% (C)	48% (C)	47% (C)	23% (C)	48% (C)	47% (C)	47% (C)	Increased

<sup>a</sup> . Function predicted according to bioinformatics tools (e.g. GTEX Portal)

Table 3

Summary of effect sizes (Cohen's d) and P values for seven novel SNPs with CYP2A6 phenotypes (enzyme activity, protein levels, and mRNA expression) in White liver donors

SNP rs ID	Genotype groups compared	CYP2A6 enzyme activity (nmol/min/mg), N=327		CYP2A6 protein levels (pmol/mg), N=320		CYP2A6 mRNA expression (FPKM values), N=265	
		Effect size	P value	Effect size	P value	Effect size	P value
rs57837628	AA vs. AG	0.53	<b>0.002</b>	0.57	<b>0.0008</b>	0.38	0.20
	AA vs. GG	0.67	<b>0.0001</b>	0.74	<b>&lt;0.0001</b>	0.27	0.75
rs7260629	TT vs. TG	0.44	0.23	0.46	0.15	0.07	>0.99
	TT vs. GG	0.65	<b>0.01</b>	0.68	<b>0.007</b>	0.17	>0.99
rs7259706	CC vs. CT	0.06	0.31	0.04	0.49	0.45	0.17
	CC vs. TT	0.30	<b>0.02</b>	0.28	<b>0.02</b>	0.41	0.19
rs150298687	TT vs. TC	0.48	<b>0.02</b>	0.48	<b>0.02</b>	0.44	0.27
	TT vs. CC	0.60	<b>0.004</b>	0.62	<b>0.002</b>	0.32	0.80
rs56113850	TT vs. TC	0.40	<b>0.03</b>	0.48	<b>0.009</b>	0.44	0.12
	TT vs. CC	0.59	<b>0.001</b>	0.66	<b>0.0003</b>	0.32	0.56
rs28399453	GG vs. GA & AA	0.39	<b>0.04</b>	0.28	0.11	0.17	0.91
rs8192733	GG vs. GC	0.44	<b>0.001</b>	0.49	<b>0.002</b>	0.25	>0.99
	GG vs. CC	0.65	<b>&lt;0.0001</b>	0.80	<b>&lt;0.0001</b>	0.21	>0.99

**Table 4**

Five-SNP phased diplotypes predicted in donors in the liver bank

Diplotype Group #	Full Diplotype	N in liver bank	CYP2A6 activity (nicotine metabolism, nmol/min/mg), mean of diplotype group
<b>Reference</b>	<b>G T T T A / G T T T A</b>	<b>17</b>	<b>0.07</b>
1	G C C G G / G C C G G	64	0.13
2	G T T T A / G C C G G	60	0.09
3	G T T G A / G C C G G	22	0.12
4	G C C G A / G C C G G	17	0.10
5	G T T T A / G T T G A	15	0.08
6	G C C G G / A C C G G	14	0.11
7	G T T T A / A C C G G	12	0.14
8	G T T T A / G C C G A	8	0.08
9	G T T G A / G C C G A	5	0.06
10	G T T G A / A C C G G	4	0.03
11	G C C G A / A C C G G	3	0.31
12	G T T G A / G T T G A	2	0.04
13	G T T T A / G C C T G	2	0.08
14	A C C G G / A C C G G	1	0.03
15	G C C G A / G C C G A	1	0.01
16	G C T G A / A C C G G	1	0.08
17	G C T G A / G C C G G	1	0.01
18	G C T T A / G C C G G	1	0.04
19	G T C G A / G C C G A	1	0.23
20	G T C G A / G C C G G	1	0.03
21	G T C G G / A C C G G	1	0.12
22	G T C G G / G C C G G	1	0.08
23	G T T G A / G T C G G	1	0.19
24	G T T T G / G T C G G	1	0.30

<sup>a</sup>. Full reference diplotype is bolded

**Table 5**

Five-SNP phased diplotypes predicted in treatment-seeking smokers in PNAT trial

Diplotype Group #	Full Diplotype	N in PNAT	CYP2A6 activity (nicotine metabolite ratio, NMR), mean of diplotype group	Corresponding liver bank diplotype group
<b>Reference</b>	<b>G T T T A / G T T T A</b>	<b>41</b>	<b>0.3</b>	<b>Reference Group</b>
1	G C C G G / G C C G G	110	0.55	1
2	G T T T A / G C C G G	123	0.40	2
3	G T T G A / G C C G G	43	0.46	3
4	G C C G A / G C C G G	55	0.55	4
5	G T T T A / G T T G A	20	0.38	5
6	G C C G G / A C C G G	38	0.49	6
7	G T T T A / A C C G G	29	0.42	7
8	G T T T A / G C C G A	30	0.43	8
9	G T T G A / G C C G A	8	0.42	9
10	G T T G A / A C C G G	8	0.54	10
11	G C C G A / A C C G G	10	0.54	11
12	G T T T A / G C C T G	5	0.48	13
13	A C C G G / A C C G G	3	0.45	14
14	G C C G A / G C C G A	11	0.41	15
15	G T C G G / G C C G G	2	0.21	22
16	G C T G G / G C C G G	23	0.54	N/A
17	G T T T A / G C T G G	13	0.45	N/A
18	G C C G G / A C T G G	12	0.46	N/A
19	G T T G G / G C C G G	11	0.46	N/A
20	G C C T G / G C C G G	10	0.60	N/A
21	G C C G A / A C T G G	6	0.51	N/A
22	G C T G G / G C C G A	5	0.54	N/A
23	G C T T G / G C C G G	4	0.67	N/A
24	G T T T A / G C T G A	4	0.45	N/A
25	G T T G A / G T T G G	2	0.35	N/A
26	G T T T A / G T T G G	2	0.33	N/A
27	G C C T G / A C C G G	1	0.69	N/A
28	G T T G G / A C C G G	1	0.35	N/A
29	A C T G G / A C T G G	1	0.46	N/A
30	G T T T A / A C T G G	1	0.53	N/A
31	G C C T G / G C C G A	1	0.67	N/A
32	G C C T G / G C C T G	1	0.30	N/A
33	G C T T G / G C C T G	1	0.48	N/A
34	G C T G A / G C T G A	1	0.61	N/A
35	G C T G G / G C T G G	1	0.54	N/A
36	G T T G A / G C T G G	1	0.43	N/A

<b>Diplotype Group #</b>	<b>Full Diplotype</b>	<b>N in PNAT</b>	<b>CYP2A6 activity (nicotine metabolite ratio, NMR), mean of diplotype group</b>	<b>Corresponding liver bank diplotype group</b>
37	<b>GTTGG</b> / GCTGG	1	0.33	N/A
38	GTTTA / <b>GCTTG</b>	1	0.36	N/A
39	GTTTA / GTCGA	1	0.22	N/A

<sup>a</sup>. Full reference diplotype is bolded

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