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Measuring Advance Care Planning: Optimizing the Advance Care Planning Engagement Survey

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Abstract

Context—A validated 82-item Advance Care Planning (ACP) Engagement Survey measures a broad range of behaviors. However, concise surveys are needed.

Objectives—To validate shorter versions of the Survey.

Methods—The Survey included 57 process (e.g. readiness) and 25 action items (e.g. discussions). For item reduction, we systematically eliminated questions based on face validity, item non-response, redundancy, ceiling effects, and factor analysis. We assessed internal consistency (Cronbach's alpha) and construct validity with cross-sectional correlations and the ability of the progressively shorter survey versions to detect change one week after exposure to an ACP intervention (Pearson's correlation coefficients).

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Results—501 participants (4 Canadian and 3 US sites) were included in item reduction (mean age 69 years (±10), 41% non-white). Due to high correlations between readiness and action items, all action-items were removed. Due to high correlations and ceiling effects, 2 process-items were removed. Successive factor analysis then created 55, 34, 15, 9, and 4-item versions. 664 participants (from 3 US ACP clinical trials) were included in validity analysis (age 65 years (±8), 72% non-white, 34% Spanish-speaking). Cronbach's alphas were high for all versions (4-item, 0.84–55-item, 0.97). Compared to the original survey, cross-sectional correlations were high (4-item, 0.85–55-item, 0.97) as were delta correlations (4-item, 0.68–55-item, 0.93).

Conclusion—Shorter versions of the ACP Engagement Survey are valid, internally consistent, and able to detect change across a broad range of ACP behaviors for English and Spanish speakers. Shorter ACP Surveys can efficiently measure broad ACP behaviors in research and clinical settings.

Keywords

advance care planning; surveys and questionnaires; psychometrics

Introduction

Advance care planning (ACP) is a process that supports adults at any age or stage of health in understanding and sharing their personal values, life goals, and preferences regarding future medical care.[1] Increasing attention has been paid to ACP over the past several years by healthcare systems, insurers, and researchers, because ACP can improve patient and family satisfaction, increase alignment of medical care with patients' values, and reduce costs.[2–4] With the proliferation of new ACP initiatives, tools, and reimbursement models for ACP, measuring the success of an ACP program has become increasingly important. Traditionally, successful ACP has been measured only by the completion of an advance directive. However, several studies have shown that the ACP process is complex and involves many different ACP behaviors, such as identifying one's values, choosing a surrogate decision maker, and discussing values with surrogates and clinicians, as well as completing advance directives.[5–8]

To measure the complex behavior process of ACP, we created and validated the ACP Engagement Survey.[9] Although this survey has robust psychometric properties,[9] the original version is too long (82-items, mean of 49 minutes to complete)[10] for widespread use in research and clinical settings. The purpose of this study was to create and validate progressively shorter versions of the Survey that would be able to detect change in response to an ACP intervention.

Methods

The development and validation of the ACP Engagement Survey has been described in detail elsewhere.[9,11] In short, the survey was guided by input from experts in ACP and based on Social Cognitive and Behavior Change Theories.[9] The Survey focuses on 4 behavior change constructs (i.e., knowledge, contemplation, self-efficacy, and readiness) within 4 ACP domains (i.e., surrogate decision makers, values and quality of life, leeway in surrogate

decision making, and asking doctors questions). After pilot testing with patients and ongoing input from ACP experts, 33 questions were added to the original survey concerning desired medical treatment and the involvement of friends and family in ACP, as has been previously described.[10] This resulted in an 82-item version of the Survey with 57 behavior change "Process Measures" (measured with 5-point Likert response options) and 25 ACP "Action Measures" (measured with "yes" or "no" response options) (Table 1). The Survey has good internal consistency (Process Measures Cronbach's alpha, 0.94) and test-retest reliability (Process Measures intraclass correlation, 0.70; Action Measures, 0.87).[9]

The current study had two phases. The goal of Phase 1 "Item Reduction," was to systematically reduce items and create progressively shorter versions of the Survey (Figure 1). The goal of Phase 2 "Internal Consistency and Construct Validity," was to test the internal consistency and the construct validity of the shorter versions and ensure they could detect change in response to an ACP intervention. Informed consent was obtained from all participants, and the study was approved by the respective Institutional Review Boards in the US and Canada.

Participants

For Phase 1, "Item Reduction", we included participants from both the United States (US) and Canada. In the US, from February 2013 to September 2014, we included baseline data of English-speaking participants enrolled in three ongoing randomized trials of ACP interventions at the San Francisco Veterans Affairs (VA) Medical center and San Francisco General Hospital (SFGH). The methods of these trials have been published.[12] In brief, participants were included if they were ≥ 60 years of age (VA) or ≥ 55 years of age (SFGH), had ≥ 2 chronic medical illnesses, and had seen a primary care physician ≥ 2 times in the past year. Patients were excluded if they had cognitive impairment or dementia, blindness, deafness, or psychosis determined from administrative data or screening.

In Canada, from April to September 2014, we included participants who reported being able to speak and read English and whose physicians reported they did not have cognitive impairment. A convenience sample of patients from varying age groups was recruited in the following clinical settings as part of ongoing additional studies and clinical activities: (1) primary care in Hamilton, Ontario and Edmonton, Alberta including patients ≥ 50 years of age; (2) inpatient hospital settings in Hamilton and Kingston, Ontario including patients ≥ 80 years of age or ≥ 55 with clinical markers of advanced chronic disease; (3) outpatient cancer centers in Edmonton, Alberta; Hamilton, Ontario; and Kelowna and Vancouver, British Columbia including patients ≥ 19 years of age; and (4) outpatient dialysis care centers in Edmonton, Alberta including patients ≥ 19 years of age.

In Phase 2, "Internal Consistency and Construct Validity," we included participants from the US who were enrolled between February 2013 and March 2016 in three ongoing US ACP trials. Some of Phase 1 US participants were also included in Phase 2. However, because the validity analyses are descriptive and not predictive, we decided to include all trial participants enrolled at the time of the Phase 2 analysis. This included both English and Spanish-speaking participants at the time of Phase 2 analysis. In the Phase 2, we included

both baseline and one-week follow-up trial data to be able to assess the Survey's ability to detect change in response to an ACP intervention.

Phase 1, Item Reduction

We conducted incremental analyses to create successively shorter survey versions (Figure 1). We considered that longer versions would have superior psychometric properties and may be more appropriate for research, while shorter versions may have lower validity, but may be more appropriate in the clinical setting.

First, we assessed survey items for face validity. Feedback was obtained from all authors and 5 research assistants who were involved in data collection and had obtained feedback from patients about the survey. Over several meetings, we reviewed survey items and discussed the ACP behavior change constructs and domains thought to be most important to retain. During these meetings, the team also flagged questions that appeared particularly difficult for study participants to understand.

Second, we determined the non-response or missing rate for each question as a marker of a difficult-to-answer question. Questions with non-item response rates > 10% were flagged for possible deletion before factor analysis.[13]

Third, we assessed for redundant items. Specifically, there appeared to be redundancy between the Action questions (e.g., "Have you already formally asked someone to be your medical decision maker," with response options of "yes" or "no") and the 5th response option in the 5-point Likert scale for the corresponding Readiness questions (e.g., "How ready are you to formally ask someone to be your medical decision maker with "5" being "I already did it"). We compared responses to these potentially redundant items and decided to delete Action Measures with agreement >80%. By removing the Action instead of the Readiness measures we would retain the detail of the 5-point Readiness measures as well as the ability to dichotomize responses as yes/no if desired. To further address redundancy, we then assessed correlations between the remaining Survey items within and across ACP domains. Items that had correlation coefficients >0.80 were removed prior to factor analysis.[14]

Fourth, we looked for ceiling effects by assessing the proportion of patients who reported a "5" on the 5-point Likert scale for any Process Measure. We used 70% with a response option of "5" to flag an item for removal.[14]

Fifth, we used exploratory factor analysis using Varimax rotation to identify factors in which the items naturally grouped. Factors with eigenvalues of >1 were retained.[14] These factors were reviewed, and then we selected items from each factor that either had the highest factor loading or met our face validity criteria for the most important ACP constructs determined *a priori*. Items are standardly removed from factor analysis if they have loading factors of <0.3. Factor analysis was conducted iteratively to create progressively shorter versions of the Survey and ensure the appropriate items loaded on remaining factors.

In the sixth and final reduction analysis step, all items were systematically removed, one at a time, in separate analyses for each progressively shorter versions of the Survey, to determine

if removal of single items improved the Cronbach's alpha. We considered deleting items whose removal from the shortened surveys improved the Cronbach's alpha > 0.05.[14]

Methods: Phase 2, Internal Consistency and Construct Validity

We first determined internal consistency (i.e., Cronbach's alpha) for all versions of the Survey that resulted from Phase 1 analyses. Then, we measured construct validity in 3 ways. We assessed the correlations of the overall average 5-point scores of the original, 82-item version of the Survey with the progressively shorter versions using Pearson's correlation coefficients (Figure 1). The overall average 5 point-score was created using the 5-point Likert scores for the Process Measures and by assigning a value of 5 to Action Measure response options of "yes" and a zero to a response option of "no."

Next, we assessed whether each version of the Survey was associated with patients' self-reports of prior planning, defined as having drafted a will, having made funeral plans, or completed an advance directive, using Chi-squared tests. We hypothesized that both the original and shorter versions of the Survey would be associated with these pre-planning activities. Prior planning questions were asked before and separate from the Survey.

Finally, we felt it was highly important that the shorter versions of the Survey be able to detect change in response to an ACP intervention. The aforementioned ACP trials, from which Phase 2 recipient data were obtained, use the original 82-item version of the Survey. As previously described, each study arm receives an intervention (i.e., controls receive an advance directive and the intervention arm receives a directive plus an ACP website).[15] Therefore, we expect an increase in Survey scores regardless of study arm. To maintain blinding, we deleted the randomization identification and combined both arms into one cohort. We excluded individuals who had missing data for any survey item. We then assessed the change (delta) in total average 5-point Survey scores from baseline to one week after ACP intervention exposure and assessed the correlations of the deltas for the original, 82-item version with progressively shorter Survey versions.

Statistical Analysis

For descriptive statistics, we used percentages, means, and standard deviations (SD). To determine item non-response and ceiling effects, we used percentages. For correlations, we used Pearson's correlation coefficients with coefficients ≥ 0.80 considered acceptable. For internal consistency, we used Cronbach's alpha with a coefficient of ≥ 0.80 considered acceptable. For factor analysis, we used Varimax rotation with the PROC FACTOR procedures in SAS® and created scree plots with statements of "method=principal rotate=varimax scree". For associations of the surveys with prior planning activities, we used Chi-squared tests. To determine the ability of the surveys to detect change, we used Pearson correlation coefficients to assess the correlations of the deltas in average 5-point Survey scores. For Phase 2 only, we stratified our validation analyses on English and Spanish language.

Results

Participants

For Phase 1, 501 English-speaking patients from the US (n=352) and Canada (n=150) were included, and for Phase 2, 664 English and Spanish-speaking patients from the US were included (Table 2). Two hundred and four English-speaking participants from the US were included in both Phases.

Phase 1, Item Reduction

Face validity: The overall behavior change constructs prioritized by the team included more activating constructs, such as self-efficacy and readiness rather than knowledge and contemplation. The team also felt that readiness questions concerning being “ready to decide” were difficult for participants to understand, and two questions were flagged for poor understanding; being ready to decide about a decision maker (Table 1, question 12) and flexibility for the decision maker (question 65). In addition, several members felt that the ACP domains of flexibility for decision makers and asking doctors questions were less important than other standard aspects of ACP, and several members felt that flexibility was a new and difficult concept for many participants. Furthermore, the team unanimously felt that the Action Measures were redundant to the readiness questions and supported their deletion if corroborated by the analysis (see below). Finally, the team felt that items about specific questions asked of a provider (i.e., risks, benefits, etc., Table 1, questions 77–81) were not as helpful as asking about Readiness to ask questions in general (Table 1, question 82). Although several items were flagged for possible deletion, none were deleted during the face validity stage (Figure 1).

No items were deleted due to non-response because no item had > 10% missing responses (mean non-response 3.1% (±1.2%), data not shown). Redundancy between Action items (yes/no) and corresponding Readiness items (5-point Likert scale with “5” indicating “I have already done it”) was high (mean 96.1%, ±SD 5.9%), and only 1 of 25 items had discrepancy >20% (Table 1, question 80). Therefore, we decided to remove all 25 Action items, leaving 57 Process Measure items measured on a 5-point Likert scale.

For the remaining 57 items, no Pearson correlation coefficients met the 0.80 threshold (data not shown). A correlation of 0.66 was found between items concerning readiness to choose flexibility for a decision maker and readiness to talk to the surrogate about flexibility (Table 1, questions 65 and 67). We removed question 65 based on face validity and the correlation, leaving 56 items (Table 1, Figure 1).

For the remaining 56 items, only one item concerning readiness to decide on a surrogate reached our threshold for a ceiling effect and was deleted (Table 1, question 12, data not shown).

In factor analysis, the remaining 55 items loaded on 10 factors, which explained 68.8% of the variance (Appendices 1–5). Within these 10 factors, we chose 34 items which spanned all 10 factors, had factor loading values > 0.3 (all > 0.45), and were prioritized based on behavior change constructs of readiness and self-efficacy in the domains of surrogates and

quality of life per our face validity assessment (Appendix 1). In repeated factor analysis, the 34-item survey loaded on 7 factors, which explained 66.7% of the variance. Using the above criteria, we then chose 15 items that spanned 6 factors. The 15-items loaded on 3 factors, which explained 61.7% of the variance. Using the above criteria, we then chose 9 items within the 3 factors. The 9 items loaded onto 2 factors which explained 59.9% of the variance. Finally, using the above criteria, we chose 4 items from 2 factors. These 4 items loaded onto 1 domain which explained 58.5% of the variance. All factor loading values in all analyses were > 0.3.

In the final item reduction step, no step-by-step deletion of any individual item from any of the progressively shorter versions of the Survey resulted in an increase in the Cronbach's alpha more than 0.0002 (data not shown). Therefore, no survey versions were further item reduced.

Phase 2, Internal Consistency and Construct Validation

The Cronbach's alpha for the different versions of the survey overall, and by English and Spanish-speakers, ranged from 0.85–0.97 (all $p<0.001$, Table 3). Using the original, 82-item survey as the reference, the Pearson correlation coefficients of the overall mean 5-point scores with shorter surveys versions were high (0.85–0.97, all $p<0.001$, Table 4). Correlation coefficients also remained high for both English and Spanish-speakers.

The average 5-point scores on all versions of the survey were higher for people who engaged in prior planning (all $p<0.001$, Table 5). In addition, all versions were able to detect change in response to an ACP intervention. Correlations of the change scores for the original Survey with progressively shorter versions were high overall and for both English and Spanish-speakers (range: 0.66 to 0.94, all $p<0.001$) (Table 6).

Discussion

Using survey data from diverse study participants from the US and Canada, we used rigorous and systematic item-reduction methods to create progressively shorter versions of the ACP Engagement Survey from the original 82-item version to a 55-, 34-, 15-, 9-, and 4-item version. Then, using data from multi-center randomized trials among English- and Spanish-speaking patients in the US, we demonstrated that these progressively shorter versions of the Survey retained high internal consistency and had high construct validity, including the ability to detect change in response to an ACP intervention.

The original development and validation of the 82-item ACP Engagement Survey allowed measurement of multiple ACP behaviors, in addition to advance directive completion. Although the original Survey included a larger number of ACP behaviors and quantified behavior change constructs (i.e., knowledge, contemplation, self-efficacy, and readiness) for each individual ACP behavior, it was long with a mean administration time of 49 minutes.[10] The current study has helped to produce psychometrically sound shorter versions of the Survey while still measuring a broad range of ACP behavior change constructs and domains. As the internal consistency and construct validity were slightly higher for longer versions of the Survey, researchers may wish to use the longer versions (55, 34, and 15-items) to

determine efficacy of a program or ACP tool or to detect nuanced differences in specific ACP behaviors. However, the shorter versions (i.e., 9 and 4-items) remained psychometrically sound and may be appropriate to use for quality improvement initiatives in the clinical settings or research studies with limited resources. Decisions concerning which length of the survey to use will depend on the balance between the needed sample size to detect change in response to an ACP intervention, participant survey burden, and study resources.

The strengths of this study include the strong theoretical basis underpinning the original 82-item survey, which was informed by Social Cognitive and Behavior Change Theories. Additional strengths were the inclusion of a multi-disciplinary team of ACP content experts and racially/ethnically diverse patients from multiple medical centers from 2 countries, the rigorous and systematic psychometric validation in 2 phases, and the ability of the shorter versions of the Survey to detect change in response to an ACP intervention. Furthermore, we included English- and Spanish-speakers in Phase 2 construct validity assessments, and validity remained robust in both languages.

This study has several limitations. Phase 1, item reduction, only included English speakers; however, construct validity remained high for Spanish speakers in Phase 2. In Phase 2, validation of the Survey took place in only one area of the US, which may limit generalizability. Generalizability of our findings beyond North America is also unknown. Furthermore, in addition to rigorous psychometric analysis, our team of ACP experts used face validity to prioritize behavior change constructs and ACP domains. Other research groups may prioritize different ACP constructs and domains. Finally, we still do not know the scoring thresholds of the Survey associated with patient-centered outcomes. Based on Social Cognitive and Behavior Change Theories, any increase in the average 5-point score may be associated with clinically meaningful improvement in patient-centered outcomes, such as receipt of care that is consistent with patients' goals. However, further longitudinal studies will be needed to determine the exact thresholds for a full range of ACP behaviors that lead to improved ACP outcomes.

In conclusion, several shorter versions of the ACP Engagement Survey are valid, internally consistent, and able to detect change across a broad range of ACP behaviors and ACP domains. The Surveys are also ready for use among English and Spanish speakers. Having several psychometrically sound shortened versions of the Survey provides flexibility to researchers and quality improvement experts when choosing surveys to measure successful ACP programs and tools in the research and clinical setting.

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Appendix 1

Factor Analysis with the 55-item Version of the Survey, n=501

Domain[a]	Subscale	Question #	Survey Question	Factor Loading	Retained for 34 item version
FACTOR 1					
QOL	Self-efficacy	42	How confident are you that today you could talk with your medical decision maker about the care you would want if you were very sick or near the end of life?	0.7505	**X**
FLEX	Self-efficacy	62	How confident are you that today you could talk with your doctor about how much flexibility you want to give your medical decision maker?	0.72995	**X**
QOL	Self-efficacy	43	How confident are you that today you could talk with your doctor about the care you would want if you were very sick or near the end of life?	0.72002	**X**
FLEX	Self-efficacy	61	How confident are you that today you could talk with your decision maker about how much flexibility you want to give them?	0.70473	**X**
QOL	Self-efficacy	26	How confident are you that today you could talk with your doctor about whether or not certain health situations would make your life not worth living?	0.66252	**X**
QOL	Self-efficacy	25	How confident are you that today you could talk with your medical decision maker about whether or not certain health situations would make your life not worth living?	0.64755	**X**
DM	Self-efficacy	8	How confident are you that today you could ask someone to be your medical decision maker?	0.63294	**X**
DM	Self-efficacy	9	How confident are you that today you could talk with your doctor about who you want your medical decision maker to be?	0.63277	**X**
DM	Self-efficacy	10	How confident are you that today you could talk with your other family and friends about who you want your medical decision maker to be?	0.53885	**X**
FACTOR 2					
QOL	Contemplation	38	How much have you thought about the care you would want if you were very sick or near the end of life?	0.73172	
QOL	Contemplation	21	How much have you thought about whether or not certain health situations would make your life not worth living?	0.71662	
QOL	Contemplation	39	How much have you thought about talking with your medical decision maker about the care you would want	0.71441	

Domain[a]	Subscale	Question #	Survey Question	Factor Loading	Retained for 34 item version
			if you were very sick or near the end of life?		
QOL	Contemplation	22	How much have you thought about talking with your medical decision maker about whether or not certain health situations would make your life not worth living?	0.69707	
QOL	Contemplation	24	How much have you thought about talking with your other family and friends about whether or not certain health situations would make your life not worth living?	0.57988	
QOL	Readiness	29	How ready are you to decide whether or not certain health situations would make your life not worth living?	0.57048	**X**
QOL	Readiness	46	How ready are you to decide on the medical care you would want if you were very sick or near the end of life?	0.48871	**X**
FACTOR 3					
QOL	Readiness	52	How ready are you to talk to your other family and friends about the kind of medical care you would want if you were very sick or near the end of life?	0.69998	**X**
QOL	Readiness	35	How ready are you to talk to your other family and friends about whether or not certain health situations would make your life not worth living?	0.6605	**X**
QOL	Self-efficacy	44	How confident are you that today you could talk with your other family and friends about the care you would want if you were very sick or near the end of life?	0.65418	**X**
FLEX	Self-efficacy	63	How confident are you that today you could talk with your other family and friends about how much flexibility you want to give your medical decision maker?	0.62537	**X**
FLEX	Readiness	71	How ready are you to talk to your other family and friends about how much flexibility you want to give your medical decision maker?	0.5855	
QOL	Self-efficacy	27	How confident are you that today you could talk with your other family and friends about whether or not certain health situations would make your life not worth living?	0.58294	**X**
QOL	Contemplation	41	How much have you thought about talking with your other family and friends about the care you would want if you were very sick or near the end of life?	0.57117	
DM	Readiness	18	How ready are you to talk to your other family and friends about who you want your medical decision maker to be?	0.55163	**X**
FACTOR 4					
FLEX	Contemplation	58	How much have you thought about talking with your medical decision	0.77612	

Domain[a]	Subscale	Question #	Survey Question	Factor Loading	Retained for 34 item version
			maker about how much flexibility you want to give them?		
FLEX	Contemplation	57	How much have you thought about the amount of flexibility you would want to give your medical decision maker?	0.73945	
FLEX	Contemplation	59	How much have you thought about talking with your doctor about how much flexibility you want to give your decision maker?	0.69154	
FLEX	Contemplation	60	How much have you thought about talking with other friends and family about how much flexibility you want to give your decision maker?	0.67834	
FACTOR 5					
QOL	Readiness	33	How ready are you to talk to your doctor about whether or not certain health situations would make your life not worth living?	0.78326	**X**
QOL	Readiness	50	How ready are you to talk to your doctor about the kind of medical care you would want if you were very sick or near the end of life?	0.76664	**X**
DM	Readiness	16	How ready are you to talk with your doctor about who you want your medical decision maker to be?	0.65307	**X**
FLEX	Readiness	69	How ready are you to talk to your doctor about how much flexibility you want to give your decision maker?	0.65113	**X**
QOL	Contemplation	23	How much have you thought about talking with your doctor about whether or not certain health situations would make your life not worth living?	0.56606	
QOL	Contemplation	40	How much have you thought about talking with your doctors about the care you would want if you were very sick or near the end of life?	0.56388	
FACTOR 6					
DM	Knowledge	2	How well informed are you about what makes someone a good medical decision maker?	0.85653	**X**
DM	Knowledge	3	How well informed are you about the types of decisions that a medical decision maker may have to make for you in the future?	0.78301	**X**
DM	Knowledge	1	How well informed are you about who can be a medical decision maker?	0.7825	
FLEX	Knowledge	55	How well informed are you about what it means to give a medical decision maker flexibility to make future decisions?	0.60476	
FLEX	Knowledge	56	How well informed are you about the different amounts of flexibility a person can give their medical decision maker?	0.55696	
FACTOR 7					

Domain[a]	Subscale	Question #	Survey Question	Factor Loading	Retained for 34 item version
DM	Contemplation	5	How much have you thought about asking someone to be your medical decision maker?	0.74107	
DM	Contemplation	4	How much have you thought about who your medical decision maker should be?	0.69099	X
DM	Contemplation	7	How much have you thought about talking with your other family and friends about who you want your medical decision maker to be?	0.67899	
DM	Contemplation	6	How much have you thought about talking with your doctors about who you want your medical decision maker to be?	0.59162	
FACTOR 8					
QOL	Readiness	54	How ready are you to sign official papers putting your wishes in writing about the kind of medical care you would want if you were very sick or near the end of life?	0.78719	X
QOL	Readiness	37	How ready are you to sign official papers putting your wishes in writing about whether or not certain health situations would make your life not worth living?	0.7453	
FLEX	Readiness	73	How ready are you to sign official papers putting your wishes in writing about how much flexibility to give your decision maker?	0.70768	X
DM	Readiness	20	How ready are you to sign official papers naming a person or group of people to make medical decisions for you?	0.45441	X
FACTOR 9					
QOL	Readiness	48	How ready are you to talk to your decision maker about the kind of medical care you would want if you were very sick or near the end of life?	0.60591	X
QOL	Readiness	31	How ready are you to talk to your decision maker about whether or not certain health situations would make your life not worth living?	0.59538	X
DM	Readiness	14	How ready are you to formally ask someone to be your medical decision maker?	0.54097	X
FLEX	Readiness	67	How ready are you to talk to your decision maker about how much flexibility you want to give them?	0.47456	X
FACTOR 10					
QUEST	Contemplation	75	How much have you thought about questions you will ask your doctor to help make good medical decisions?	0.70726	
QUEST	Knowledge	74	How well informed are you about the types of questions you can ask your doctor that will help you make a good medical decision?	0.67435	

Domain[a]	Subscale	Question #	Survey Question	Factor Loading	Retained for 34 item version
QUEST	Self-efficacy	76	How confident are you that today you could ask the right questions of your doctor to help make good medical decisions?	0.65978	X
QUEST	Readiness	82	How ready are you to ask your doctor questions to help you make a good medical decision?	0.64189	X

[a]Domains: DM =Medical Decision Maker, QOL = Quality of Life, FLEX = Flexibility for the Surrogate Decision Maker, QUEST = Asking Questions of Medical Providers

Appendix 2

Factor Analysis with the 34-item Version of the Survey, n=501

Domain[a]	Subscale	Question #	Survey Question	Factor Loading	Retained for 15 item version
FACTOR 1					
QOL	Self-efficacy	43	How confident are you that today you could talk with your doctor about the care you would want if you were very sick or near the end of life?	0.75753	X
FLEX	Self-efficacy	62	How confident are you that today you could talk with your doctor about how much flexibility you want to give your medical decision maker?	0.7489	X
QOL	Self-efficacy	26	How confident are you that today you could talk with your doctor about whether or not certain health situations would make your life not worth living?	0.71715	
QOL	Self-efficacy	42	How confident are you that today you could talk with your medical decision maker about the care you would want if you were very sick or near the end of life?	0.67081	X
FLEX	Self-efficacy	61	How confident are you that today you could talk with your decision maker about how much flexibility you want to give them?	0.6225	X
QOL	Self-efficacy	25	How confident are you that today you could talk with your medical decision maker about whether or not certain health situations would make your life not worth living?	0.60905	
DM	Self-efficacy	9	How confident are you that today you could talk with your doctor about who you want your medical decision maker to be?	0.54063	
FACTOR 2					
QOL	Readiness	29	How ready are you to decide whether or not certain health situations would make your life not worth living?	0.80307	
QOL	Readiness	31	How ready are you to talk to your decision maker about whether or not	0.74796	

Domain[a]	Subscale	Question #	Survey Question	Factor Loading	Retained for 15 item version
			certain health situations would make your life not worth living?		
QOL	Readiness	46	How ready are you to decide on the medical care you would want if you were very sick or near the end of life?	0.63459	
QOL	Readiness	48	How ready are you to talk to your decision maker about the kind of medical care you would want if you were very sick or near the end of life?	0.61073	**X**
DM	Readiness	14	How ready are you to formally ask someone to be your medical decision maker?	0.45894	**X**
FACTOR 3					
FLEX	Readiness	69	How ready are you to talk to your doctor about how much flexibility you want to give your decision maker?	0.76301	**X**
QOL	Readiness	33	How ready are you to talk to your doctor about whether or not certain health situations would make your life not worth living?	0.75602	
QOL	Readiness	50	How ready are you to talk to your doctor about the kind of medical care you would want if you were very sick or near the end of life?	0.75397	**X**
DM	Readiness	16	How ready are you to talk with your doctor about who you want your medical decision maker to be?	0.7221	**X**
FACTOR 4					
QOL	Self-efficacy	44	How confident are you that today you could talk with your other family and friends about the care you would want if you were very sick or near the end of life?	0.77791	
FLEX	Self-efficacy	63	How confident are you that today you could talk with your other family and friends about how much flexibility you want to give your medical decision maker?	0.75515	
QOL	Self-efficacy	27	How confident are you that today you could talk with your other family and friends about whether or not certain health situations would make your life not worth living?	0.66325	
QOL	Readiness	52	How ready are you to talk to your other family and friends about the kind of medical care you would want if you were very sick or near the end of life?	0.64977	
QOL	Readiness	35	How ready are you to talk to your other family and friends about whether or not certain health situations would make your life not worth living?	0.6048	
DM	Readiness	18	How ready are you to talk to your other family and friends about who you want your medical decision maker to be?	0.49298	
FACTOR 5					

Domain[a]	Subscale	Question #	Survey Question	Factor Loading	Retained for 15 item version
FLEX	Readiness	73	How ready are you to sign official papers putting your wishes in writing about how much flexibility to give your decision maker?	0.75295	
QOL	Readiness	54	How ready are you to sign official papers putting your wishes in writing about the kind of medical care you would want if you were very sick or near the end of life?	0.66702	X
DM	Readiness	20	How ready are you to sign official papers naming a person or group of people to make medical decisions for you?	0.52597	X
FLEX	Readiness	67	How ready are you to talk to your decision maker about how much flexibility you want to give them?	0.48607	X
FLEX	Contemplation	58	How much have you thought about talking with your medical decision maker about how much flexibility you want to give them?	0.4728	
FACTOR 6					
DM	Self-efficacy	8	How confident are you that today you could ask someone to be your medical decision maker?	0.66856	X
DM	Self-efficacy	10	How confident are you that today you could talk with your other family and friends about who you want your medical decision maker to be?	0.59714	
DM	Contemplation	4	How much have you thought about who your medical decision maker should be?	0.44845	
FACTOR 7					
DM	Knowledge	3	How well informed are you about the types of decisions that a medical decision maker may have to make for you in the future?	0.79318	
DM	Knowledge	2	How well informed are you about what makes someone a good medical decision maker?	0.77068	
QUEST	Self-efficacy	76	How confident are you that today you could ask the right questions of your doctor to help make good medical decisions?	0.6021	X
QUEST	Readiness	82	How ready are you to ask your doctor questions to help you make a good medical decision?	0.39666	X

[a]Domains: DM =Medical Decision Maker, QOL = Quality of Life, FLEX = Flexibility for the Surrogate Decision Maker, QUEST = Asking Questions of Medical Providers

Appendix 3

Factor Analysis with the 15-item Version of the Survey, n=501

Domain[a]	Subscale	Question #	Survey Question	Factor Loading	Retained for 9 item version
FACTOR 1					
DM	Readiness	14	How ready are you to formally ask someone to be your medical decision maker?	0.78782	**X**
DM	Readiness	20	How ready are you to sign official papers naming a person or group of people to make medical decisions for you?	0.77456	**X**
QOL	Readiness	48	How ready are you to talk to your decision maker about the kind of medical care you would want if you were very sick or near the end of life?	0.69771	**X**
QOL	Readiness	54	How ready are you to sign official papers putting your wishes in writing about the kind of medical care you would want if you were very sick or near the end of life?	0.58319	**X**
DM	Self-efficacy	8	How confident are you that today you could ask someone to be your medical decision maker?	0.58285	**X**
FACTOR 2					
FLEX	Self-efficacy	62	How confident are you that today you could talk with your doctor about how much flexibility you want to give your medical decision maker?	0.81753	
QOL	Self-efficacy	43	How confident are you that today you could talk with your doctor about the care you would want if you were very sick or near the end of life?	0.7937	**X**
QOL	Self-efficacy	42	How confident are you that today you could talk with your medical decision maker about the care you would want if you were very sick or near the end of life?	0.65406	**X**
FLEX	Self-efficacy	61	How confident are you that today you could talk with your decision maker about how much flexibility you want to give them?	0.65401	
QUEST	Self-efficacy	76	How confident are you that today you could ask the right questions of your doctor to help make good medical decisions?	0.63063	
FACTOR 3					
FLEX	Readiness	69	How ready are you to talk to your doctor about how much flexibility you want to give your decision maker?	0.81969	
QOL	Readiness	50	How ready are you to talk to your doctor about the kind of medical care you would want if you were very sick or near the end of life?	0.75862	**X**
DM	Readiness	16	How ready are you to talk with your doctor about who you want your medical decision maker to be?	0.71665	**X**

Domain[a]	Subscale	Question #	Survey Question	Factor Loading	Retained for 9 item version
FLEX	Readiness	67	How ready are you to talk to your decision maker about how much flexibility you want to give them?	0.57136	
QUEST	Readiness	82	How ready are you to ask your doctor questions to help you make a good medical decision?	0.43226	

[a]Domains: DM =Medical Decision Maker, QOL = Quality of Life, FLEX = Flexibility for the Surrogate Decision Maker, QUEST = Asking Questions of Medical Providers

Appendix 4

Factor Analysis with the 9-item Version of the Survey, n=501

Domain[a]	Subscale	Question #	Survey Question	Factor Loading	Retained for 4 item version
FACTOR 1					
QOL	Readiness	50	How ready are you to talk to your doctor about the kind of medical care you would want if you were very sick or near the end of life?	0.78746	**X**
DM	Readiness	16	How ready are you to talk with your doctor about who you want your medical decision maker to be?	0.72991	
QOL	Readiness	48	How ready are you to talk to your decision maker about the kind of medical care you would want if you were very sick or near the end of life?	0.67932	**X**
QOL	Readiness	54	How ready are you to sign official papers putting your wishes in writing about the kind of medical care you would want if you were very sick or near the end of life?	0.66582	**X**
DM	Readiness	20	How ready are you to sign official papers naming a person or group of people to make medical decisions for you?	0.58578	**X**
DM	Readiness	14	How ready are you to formally ask someone to be your medical decision maker?	0.58201	
FACTOR 2					
QOL	Self-efficacy	42	How confident are you that today you could talk with your medical decision maker about the care you would want if you were very sick or near the end of life?	0.86799	
DM	Self-efficacy	8	How confident are you that today you could ask someone to be your medical decision maker?	0.81362	
QOL	Self-efficacy	43	How confident are you that today you could talk with your doctor about the care you would want if you were very sick or near the end of life?	0.67436	

[a]Domains: DM =Medical Decision Maker, QOL = Quality of Life

Appendix 5

Factor Analysis with the 4-item Version of the Survey, n=501

Domain[a]	Subscale	Question #	Survey Question	Factor Loading
FACTOR 1				
QOL	Readiness	48	How ready are you to talk to your decision maker about the kind of medical care you would want if you were very sick or near the end of life?	0.79526
QOL	Readiness	50	How ready are you to talk to your doctor about the kind of medical care you would want if you were very sick or near the end of life?	0.68681
QOL	Readiness	54	How ready are you to sign official papers putting your wishes in writing about the kind of medical care you would want if you were very sick or near the end of life?	0.79998
DM	Readiness	20	How ready are you to sign official papers naming a person or group of people to make medical decisions for you?	0.78022

[a]Domains: DM =Medical Decision Maker, QOL = Quality of Life

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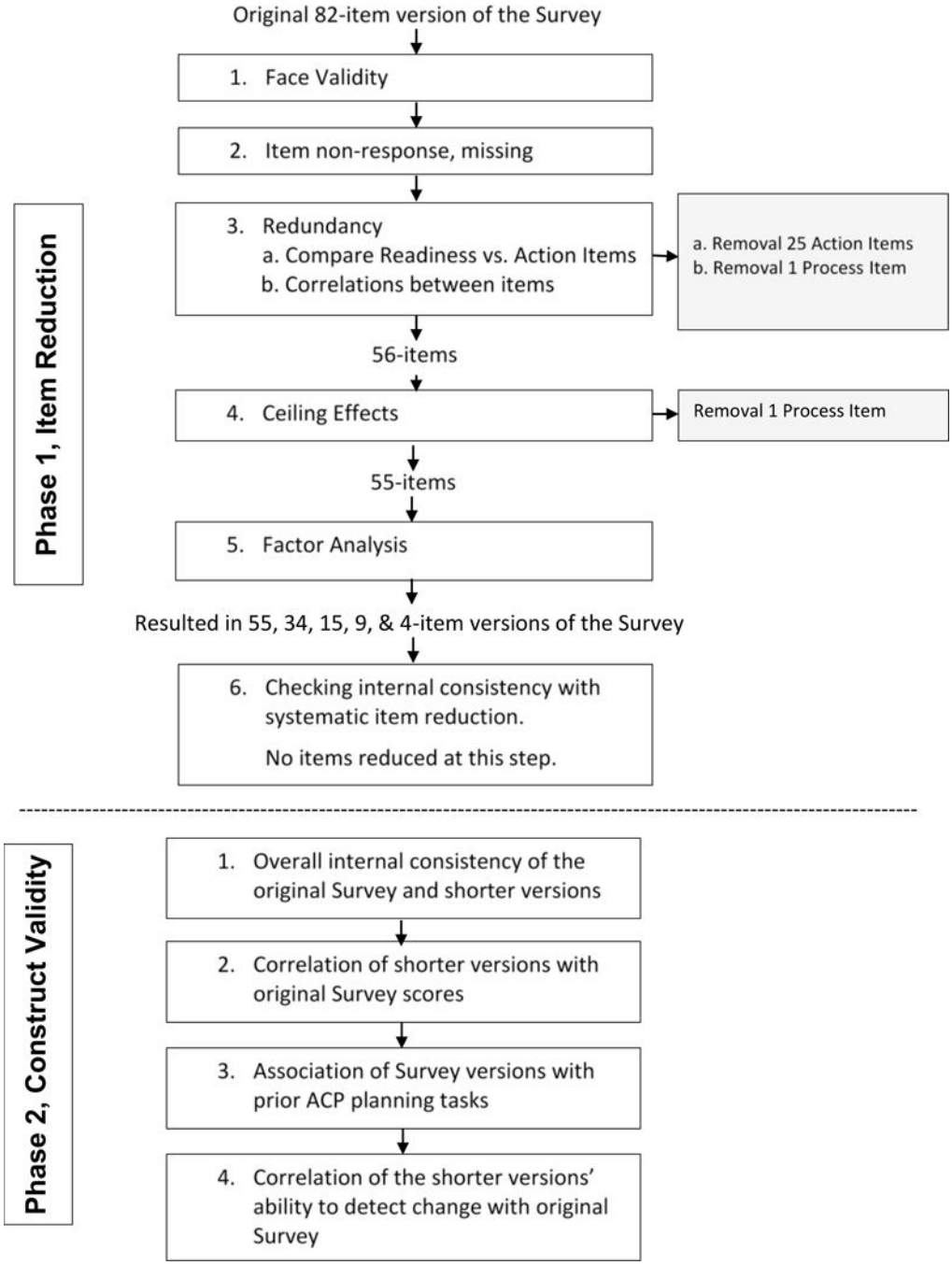

Figure 1.
Methods for Creating and Validating Shorter Versions of the ACP Engagement Survey

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Table 1

Original ACP Engagement Survey and Questions Retained in Progressively Shorter Versions After Item Reduction

Question #[a]	Sub-Scale	Type	Original 82-item Questionnaire	Versions				
				55 items	34 Items	15 items	9 items	4 items
DOMAIN: MEDICAL DECISION MAKER								
1	Knowledge[b]	Process	How well informed are you about who can be a medical decision maker?	X				
2	Knowledge	Process	How well informed are you about what makes someone a good medical decision maker?	X	X			
3	Knowledge	Process	How well informed are you about the types of decisions that a medical decision maker may have to make for you in the future?	X	X			
4	Contemplation[c]	Process	How much have you thought about who your medical decision maker should be?	X	X			
5	Contemplation	Process	How much have you thought about asking someone to be your medical decision maker?	X				
6	Contemplation	Process	How much have you thought about talking with your doctors about who you want your medical decision maker to be?	X				
7	Contemplation	Process	How much have you thought about talking with your other family and friends about who you want your medical decision maker to be?	X				
8	Self- Efficacy[d]	Process	How confident are you that today you could ask someone to be your medical decision maker?	X	X	X	X	
9	Self- Efficacy	Process	How confident are you that today you could talk with your doctor about who you want your medical decision maker to be?	X	X			
10	Self- Efficacy	Process	How confident are you that today you could talk with your other family and friends about who you want your medical decision maker to be?	X	X			
11[a]	Decision	Action	Have you already decided who you want your medical decision maker to be?					
12[a]	Readiness[e]	Process	How ready are you to decide who you want your medical decision maker to be?					
13[a]	Action[f]	Action	Have you already formally asked someone to be your medical decision maker?					
14	Readiness	Process	How ready are you to formally ask someone to be your medical decision maker?	X	X	X	X	
15[a]	Action	Action	Have you talked with your doctor about who you want your medical decision maker to be?					
16	Readiness	Process	How ready are you to talk with your doctor about who you want your medical decision maker to be?	X	X	X	X	
17[a]	Action	Action	Have you already talked to your other family and friends about who you want your medical decision maker to be?					
18	Readiness	Process	How ready are you to talk to your other family and friends about who you want your medical decision maker to be?	X	X			
19[a]	Action	Action	Have you signed official papers naming a person or group of people to make medical decisions for you?					

Question #[a]	Sub-Scale	Type	Original 82-item Questionnaire	Versions				
				55 items	34 Items	15 items	9 items	4 items
20	Readiness	Process	How ready are you to sign official papers naming a person or group of people to make medical decisions for you?	X	X	X	X	X
DOMAIN: QUALITY OF LIFE – HEALTH SITUATIONS								
21	Contemplation	Process	How much have you thought about whether or not certain health situations would make your life not worth living?	X				
22	Contemplation	Process	How much have you thought about talking with your medical decision maker about whether or not certain health situations would make your life not worth living?	X				
23	Contemplation	Process	How much have you thought about talking with your doctor about whether or not certain health situations would make your life not worth living?	X				
24	Contemplation	Process	How much have you thought about talking with your other family and friends about whether or not certain health situations would make your life not worth living?	X				
25	Self- Efficacy	Process	How confident are you that today you could talk with your medical decision maker about whether or not certain health situations would make your life not worth living?	X	X			
26	Self- Efficacy	Process	How confident are you that today you could talk with your doctor about whether or not certain health situations would make your life not worth living?	X	X			
27	Self- Efficacy	Process	How confident are you that today you could talk with your other family and friends about whether or not certain health situations would make your life not worth living?	X	X			
28[a]	Decision	Action	Have you already decided whether or not certain health situations would make your life not worth living?					
29	Readiness	Process	How ready are you to decide whether or not certain health situations would make your life not worth living?	X	X			
30[a]	Action	Action	Have you talked with your decision maker about whether or not certain health situations would make your life not worth living?					
31	Readiness	Process	How ready are you to talk to your decision maker about whether or not certain health situations would make your life not worth living?	X	X			
32[a]	Action	Action	Have you talked with your doctor about whether or not certain health situations would make your life not worth living?					
33	Readiness	Process	How ready are you to talk to your doctor about whether or not certain health situations would make your life not worth living?	X	X			
34[a]	Action	Action	Have you talked with your other family and friends about whether or not certain health situations would make your life not worth living?					
35	Readiness	Process	How ready are you to talk to your other family and friends about whether or not certain health situations would make your life not worth living?	X	X			
36[a]	Action	Action	Have you signed official papers to put your wishes in writing about whether or not certain health situations would make your life not worth living? These forms are sometimes called an advance directive or living will.					

Question #[a]	Sub-Scale	Type	Original 82-item Questionnaire	Versions				
				55 items	34 Items	15 items	9 items	4 items
37	Readiness	Process	How ready are you to sign official papers putting your wishes in writing about whether or not certain health situations would make your life not worth living?	X				
DOMAIN: QUALITY OF LIFE – MEDICAL CARE AT THE END OF LIFE								
38	Contemplation	Process	How much have you thought about the care you would want if you were very sick or near the end of life?	X				
39	Contemplation	Process	How much have you thought about talking with your medical decision maker about the care you would want if you were very sick or near the end of life?	X				
40	Contemplation	Process	How much have you thought about talking with your doctors about the care you would want if you were very sick or near the end of life?	X				
41	Contemplation	Process	How much have you thought about talking with your other family and friends about the care you would want if you were very sick or near the end of life?	X	X			
42	Self- Efficacy	Process	How confident are you that today you could talk with your medical decision maker about the care you would want if you were very sick or near the end of life?	X	X	X	X	
43	Self- Efficacy	Process	How confident are you that today you could talk with your doctor about the care you would want if you were very sick or near the end of life?	X	X	X	X	
44	Self- Efficacy	Process	How confident are you that today you could talk with your other family and friends about the care you would want if you were very sick or near the end of life?	X	X			
45[a]	Decision	Action	Have you already decided on the medical care you would want if you were very sick or near the end of life?					
46	Readiness	Process	How ready are you to decide on the medical care you would want if you were very sick or near the end of life?	X	X			
47[a]	Action	Action	Have you talked with your decision maker about what kind of medical care you would want if you were very sick or near the end of life?					
48	Readiness	Process	How ready are you to talk to your decision maker about the kind of medical care you would want if you were very sick or near the end of life?	X	X	X	X	X
49[a]	Action	Action	Have you ever talked with your doctor about what kind of medical care you want if you were very sick or near the end of life?					
50	Readiness	Process	How ready are you to talk to your doctor about the kind of medical care you would want if you were very sick or near the end of life?	X	X	X	X	X
51[a]	Action	Action	Have you ever talked with your other family and friends about what kind of medical care you want if you were very sick or near the end of life?					
52	Readiness	Process	How ready are you to talk to your other family and friends about the kind of medical care you would want if you were very sick or near the end of life?	X	X			
53[a]	Action	Action	Have you signed official papers to put your wishes in writing about the kind of medical care you would want if you were very sick or near the end of life? These forms are sometimes called an advance directive or living will.					

Question #[a]	Sub-Scale	Type	Original 82-item Questionnaire	Versions				
				55 items	34 Items	15 items	9 items	4 items
54	Readiness	Process	How ready are you to sign official papers putting your wishes in writing about the kind of medical care you would want if you were very sick or near the end of life?	X	X	X	X	X
DOMAIN: FLEXIBILITY FOR SURROGATE DECISION MAKING								
55	Knowledge	Process	How well informed are you about what it means to give a medical decision maker flexibility to make future decisions?	X				
56	Knowledge	Process	How well informed are you about the different amounts of flexibility a person can give their medical decision maker?	X				
57	Contemplation	Process	How much have you thought about the amount of flexibility you would want to give your medical decision maker?	X				
58	Contemplation	Process	How much have you thought about talking with your medical decision maker about how much flexibility you want to give them?	X	X			
59	Contemplation	Process	How much have you thought about talking with your doctor about how much flexibility you want to give your decision maker?	X				
60	Contemplation	Process	How much have you thought about talking with other friends and family about how much flexibility you want to give your decision maker?	X				
61	Self-Efficacy	Process	How confident are you that today you could talk with your decision maker about how much flexibility you want to give them?	X		X		
62	Self-Efficacy	Process	How confident are you that today you could talk with your doctor about how much flexibility you want to give your medical decision maker?	X	X	X		
63	Self-Efficacy	Process	How confident are you that today you could talk with your other family and friends about how much flexibility you want to give your medical decision maker?	X	X			
64[a]	Decision	Action	Have you decided how much flexibility you would want to give a medical decision maker if they have to make decisions on your behalf (meaning for you)?					
65[a]	Readiness	Process	How ready are you to decide how much flexibility you would want to give a medical decision maker if they have to make decisions on your behalf?					
66[a]	Action	Action	Have you talked with your medical decision maker about how much flexibility you want to give them?					
67	Readiness	Process	How ready are you to talk to your decision maker about how much flexibility you want to give them?	X	X	X		
68[a]	Action	Action	Have you talked with your doctor about how much flexibility you want to give your medical decision maker?					
69	Readiness	Process	How ready are you to talk to your doctor about how much flexibility you want to give your decision maker?	X	X	X		
70[a]	Action	Action	Have you already talked to your other family and friends about how much flexibility you want to give your medical decision maker?					
71	Readiness	Process	How ready are you to talk to your other family and friends about how much flexibility you want to give your medical decision maker?	X				

Question #[a]	Sub-Scale	Type	Original 82-item Questionnaire	Versions				
				55 items	34 Items	15 items	9 items	4 items
72[a]	Action	Action	Have you signed official papers to put your wishes in writing about how much flexibility to give your decision maker?					
73	Readiness	Process	How ready are you to sign official papers putting your wishes in writing about how much flexibility to give your decision maker?	X	X			
DOMAIN: ASKING QUESTIONS OF MEDICAL PROVIDERS								
74	Knowledge	Process	How well informed are you about the types of questions you can ask your doctor that will help you make a good medical decision?	X				
75	Contemplation	Process	How much have you thought about questions you will ask your doctor to help make good medical decisions?	X				
76	Self-Efficacy	Process	How confident are you that today you could ask the right questions of your doctor to help make good medical decisions?	X	X	X		
77[a]	Action	Action	Have you ever asked your doctor about the risks of treatment?					
78[a]	Action	Action	Have you ever asked your doctor about the benefits of treatments?					
79[a]	Action	Action	Have you ever asked your doctor about your other options to the treatments the doctors were suggesting?					
80[a]	Action	Action	Have you ever asked your doctor about what your quality of life would be like after starting a treatment?					
81[a]	Action	Action	Have you ever asked your doctor to repeat information if you did not understand it the first time?					
82	Readiness	Process	How ready are you to ask your doctor questions to help you make a good medical decision?	X	X	X		

[a] Items reduced prior to factor analysis

[b] Response options for Process-Knowledge items (5-point Likert responses): “Not at all”, “A little”, “Somewhat”, “Fairly”, “Extremely”

[c] Response options for Process-Contemplation items (5-point Likert responses): “Never”, “Once”, “A few times”, “Several times”, “A lot”

[d] Response options for Process-Self-efficacy items (5-point Likert responses): “Not at all”, “A little”, “Somewhat”, “Fairly”, “Extremely”

[e] Response options for Process-Readiness items (5-point Likert responses): “I have never thought about it”, “I have thought about it, but I am not ready to do it”, “I am thinking about doing it in the next 6 months”, “I am definitely planning to do it in the next 30 days”, “I have already done it”

[f] Response options for Action items: “Yes”, “no”, or “I am not sure”. A “no” or “I am not sure” response are both coded as “no.”

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Table 2

Patient Characteristics by Study Phase

	Phase 1: Item Reduction, n = 501 n (%)	Phase 2: Validation, n= 664 n (%)
Origin: Canadian	150 (30%)	0 (0%)
Age : Mean (SD)	69.2 (10.1)	64.9 (7.7)
≥ 65 years	328 (65%)	307 (46%)
Women	170 (34%)	303 (46%)
Race/Ethnicity		
White	294 (59%)	189 (28%)
Black or African American	111 (22%)	139 (21%)
Latino or Hispanic	36 (7%)	271 (41%)
Asian or Pacific Islander	28 (6%)	41 (6%)
Multi-ethnic or other	30 (6%)	23 (3%)
Language: Spanish	0 (0%)	224 (34%)
Education: ≤ high school	162 (32%)	326 (49%)
Health Status: fair-to-poor	169 (34%)	265 (40%)
Married/Long-term relationship	227 (45%)	259 (39%)

Table 3

Overall Internal Consistency of the Original and Progressively Shorter Survey Versions in English and Spanish

	Cronbach's alpha		
Survey	**Overall (n=664)**	**English (n=431)**	**Spanish (n=233)**
82-item	0.97	0.97	0.96
55-item	0.97	0.97	0.96
34-item	0.96	0.95	0.95
15-item	0.92	0.92	0.91
9-item	0.89	0.89	0.87
4-item	0.84	0.86	0.74

Table 4

Correlation of Average Scores of Progressively Shorter Survey Versions to the Original Survey in English and Spanish

	Overall (n= 664)			English (n=431)			Spanish (n=233)		
Survey	**Average 5-point score[a] mean (SD)**	**Correlation[b]**	**p-value**	**Average 5-point score[a] mean (SD)**	**Correlation[b]**	**p-value**	**Average 5-point score[a] mean (SD)**	**Correlation[b]**	**p-value**
82 items	2.54 (0.94)	–	–	2.78 (0.95)	–	–	2.10 (0.76)	–	–
55 items	2.84 (0.90)	0.97	<0.001	3.08 (0.87)	0.97	<0.001	2.39 (0.77)	0.97	<0.001
34 items	3.07 (0.98)	0.94	<0.001	3.35 (0.92)	0.94	<0.001	2.54 (0.85)	0.94	<0.001
15 items	3.16 (1.02)	0.91	<0.001	3.43 (0.96)	0.90	<0.001	2.66 (0.94)	0.90	<0.001
9 items	3.11 (1.09)	0.89	<0.001	3.39 (1.05)	0.89	<0.001	2.61 (0.97)	0.87	<0.001
4 items	2.70 (1.22)	0.85	<0.001	2.96 (1.24)	0.85	<0.001	2.20 (1.02)	0.80	<0.001

[a]Based on an average 5-point score. Process items scored on a 5-point Likert scale and action items scored as “yes” = 5 and “no” = 0.

[b]Pearson’s correlation coefficient

Table 5

Association of Survey Scores with Pre-Planning Activities[a]

Survey	Average 5-point scores, mean (SD)[b]		
	Made Out a Will	**No Will**	**p-value**
	n=151	**n=508**	
82 items	3.3 (1.0)	2.3 (0.8)	<0.001
55 items	3.5 (0.9)	2.6 (0.8)	<0.001
34 items	3.8 (0.9)	2.9 (0.9)	<0.001
15 items	3.9 (0.9)	2.9 (0.9)	<0.001
9 items	3.9 (1.0)	2.9 (1.0)	<0.001
4 items	3.6 (1.2)	2.4 (1.1)	<0.001
	Funeral Plans	**No Plans**	
	n=178	n=479	
82 items	2.8 (1.0)	2.5 (0.9)	<0.001
55 items	3.1 (0.9)	2.8 (0.9)	<0.001
34 items	3.3 (1.0)	3.0 (1.0)	<0.001
15 items	3.4 (1.0)	3.1 (1.0)	<0.001
9 items	3.4 (1.1)	3.0 (1.1)	<0.001
4 items	3.0 (1.2)	2.6 (1.2)	<0.001
	AD	**No AD**	
	n=148	n=505	
82 items	3.5 (0.8)	2.3 (0.8)	<0.001
55 items	3.6 (0.8)	2.6 (0.8)	<0.001
34 items	3.9 (0.8)	2.8 (0.9)	<0.001
15 items	4.0 (0.8)	2.9 (1.0)	<0.001
9 items	4.0 (0.0)	2.9 (1.0)	<0.001
4 items	3.8 (1.1)	2.4 (1.1)	<0.001

[a] N=559. Five Phase 2 participants were missing data for one or more pre-planning activities

[b] Based on an average 5-point score. Process items scored on a 5-point Likert scale and action items scored as “yes” = 5 and “no” = 0.

[c] Difference in mean average 5-point scores between having completed and not completed pre-planning activities, as well as the overall average of the mean differences ± the standard deviation (SD).

Table 6

Correlation Between Change Scores in Response to an ACP Intervention Between Progressively Shorter Survey Versions and the Original Survey[a]

	Overall (n= 664)			English (n=431)			Spanish (n=233)		
Survey	**Mean change[b] mean (SD)**	**Correlation**	**p-value**	**Mean change[b] mean (SD)**	**Correlation**	**p-value**	**Mean change[b] mean (SD)**	**Correlation**	**p-value**
82 items	0.4 (0.7)	–	–	0.4 (0.6)	–	–	0.4 (0.7)	–	–
55 items	0.3 (0.6)	0.93	<0.001	0.3 (0.6)	0.93	<0.001	0.3 (0.7)	0.94	<0.001
34 items	0.3 (0.7)	0.89	<0.001	0.3 (0.6)	0.88	<0.001	0.3 (0.7)	0.90	<0.001
15 items	0.3 (0.7)	0.82	<0.001	0.3 (0.7)	0.82	<0.001	0.3 (0.8)	0.82	<0.001
9 items	0.3 (0.8)	0.74	<0.001	0.3 (0.7)	0.75	<0.001	0.4 (0.9)	0.75	<0.001
4 items	0.4 (1.0)	0.68	<0.001	0.4 (0.9)	0.66	<0.001	0.5 (1.0)	0.70	<0.001

[a]This is a blinded sample that combined data from 3 ongoing randomized trials and that includes both the control groups (i.e., given an advance directive only) and the intervention groups (i.e., given the PREPARE website plus an advance directive).

[b]Mean change (deltas) obtained by calculating the difference between the average 5-point scores at baseline to one-week after reviewing an ACP intervention. Based on an average 5-point score. Process items scored on a 5-point Likert scale and action items scored as “yes” = 5 and “no” = 0.