

Sampling compliance for cortisol upon awakening in children and adolescents

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Summary

Compliance with awakening salivary sampling is important for precise measurement of the diurnal cortisol profile. During childhood and adolescence, developmental factors influence sampling upon awakening (awake₀) due to school routine, sleep/wake patterns, and age related cortisol changes. In the present study, children and adolescents' sampling compliance of awakening cortisol was evaluated using accelerometry. Children and adolescents ($N = 201$; 45.3% female; 8–18 years; $M_{\text{age}} = 12.68$ years, $SD = 2.03$) participating in the Healthy Heart Project collected saliva samples, wore a tri-axle accelerometer, and completed demographic questionnaires. Intra-class correlations derived to examine awake₀ sampling compliance indicated children and adolescents were highly compliant ($ICC = .98$). In children, a delay in awake₀ sampling was associated with a steeper diurnal slope ($\beta = -.23$, $p = .037$) and greater awake₀ cortisol ($\beta = .24$, $p = .024$); this was not observed in adolescents. In summary, children and adolescents are compliant with awakening salivary sampling. Sampling delay, particularly in children, and time of awakening influenced measures of the diurnal cortisol profile. These findings inform future studies assessing the diurnal cortisol profile in children and adolescents.

Keywords

Cortisol; Compliance; Children; Adolescents; HPA axis; Methodology

1. Introduction

Compliance with salivary cortisol sampling is a requirement for the valid assessment of the diurnal cortisol profile. Cortisol levels change rapidly in the morning as part of the awakening response, when cortisol increases quickly, peaking approximately 30 min after wake-time (Young et al., 2004; Fries et al., 2009). To accurately capture the cortisol awakening response (CAR) individuals must be compliant with saliva sampling, which includes collecting a sample immediately upon waking (awake₀ sample). Compliance with this initial sample is also important for other measures of the diurnal cortisol profile (e.g.,

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diurnal slope), as they too use the awake₀ sample in their calculation (Adam and Kumari, 2009; Rotenberg et al., 2012). To date, most research has exclusively focused on verifying self-reported sampling time with an objective measure of time, such as an electronic monitor that date- and time-stamps bottle opening and presumed time of saliva collection (e.g., MEMS Cap; Kudielka et al., 2003; Broderick et al., 2004). Although this research demonstrates that most adults report collecting their awake₀ sample within 10 min of the time reported by the electronic monitor (Broderick et al., 2004), this method does not verify sampling compliance against actual wake-time. Electronic monitors record when the sample was taken, but cannot indicate if there was a delay between wake-time and collection of the awake₀ sample (Clow et al., 2004; Dockray et al., 2008).

Emerging technology has allowed researchers to examine whether the awakening sample (awake₀) is taken at wake-time. Adults' compliance with sampling upon awakening has been investigated using accelerometry technology to detect physical movement and postural changes (Kupper et al., 2005; Dockray et al., 2008; DeSantis et al., 2010; Griefahn and Robens, 2011). Postural change from lying down (supine) to sitting up in bed or standing is deemed a valid proxy for waking in the sleep literature (Sadeh, 2011; Zeiders et al., 2011; Anders et al., 2012). In these adult accelerometry studies, only 15–19% of awake₀ samples were taken without delay, whereas 82–90% were taken within 15 min of wake-time (Dockray et al., 2008; DeSantis et al., 2010). Even this short delay can be problematic as later awake₀ samples result in blunted CAR and steeper diurnal slope (Kupper et al., 2005; Dockray et al., 2008; Okun et al., 2010; Griefahn and Robens, 2011). Further, Dockray and colleagues (2008) found that when there was a delay of more than 15 min between wake-time and collecting the awake₀ sample, estimates of CAR were lower than when there was delay of less than 15 min. These objective, accelerometry-based findings in adults suggest there may be an acceptable period in which the awake₀ sample can be collected (i.e., within 15 min) to yield reliable estimates of CAR.

There is a lack of research examining awake₀ sampling compliance in children and adolescents. Adult findings cannot be generalized to children and adolescents due to several developmental factors that influence the diurnal cortisol profile (Rotenberg et al., 2012). Developmental factors during childhood and adolescence influence awake₀ sampling (e.g., school routine, changes to sleep/wake pattern; Jessop and Turner-Cobb, 2008) as well as the cortisol response. First, when school is in-session, children and adolescents typically have a regimented morning routine (i.e., wake up, brush teeth, get dressed, eat breakfast) that ensures they catch the bus and arrive at school on time. For many, this routine occurs under pressured time constraints, which can limit their ability to accurately collect the awake₀ sample immediately upon waking. In contrast to adults, this morning routine is usually less internalized and self-governed. Second, night-time sleep duration decreases and morning drowsiness increases across childhood and adolescence (Carskadon, 1990; Sadeh et al., 2000; Fallone et al., 2002; Liu et al., 2005). Fewer changes in sleep habits are observed among adults. Feeling drowsy and less alert in the morning may contribute to children and adolescents forgetting to take the sample or less precision in the collection of their awake₀ sample. Relatedly, shorter sleep duration is associated with higher awake₀ cortisol levels (Rotenberg et al., 2012) and flatter diurnal slope (Zeiders et al., 2011). Further, adolescents commonly experience phase-shift delay, resulting in potentially greater morning fatigue and

grogginess due to the propensity to sleep-in later, despite early school start times. Thus, adolescents may be less compliant with awake₀ sampling compared to younger children. Consistent with this idea, Jessop and Turner-Cobb (2008) suggest that children may collect the awake₀ sample more reliably than adolescents, due to varying degrees of parental supervision. Finally, the cortisol response differs across childhood and adolescence, as total cortisol concentrations increase steadily (Lupien et al., 2001; Walker et al., 2001; Tornhage, 2002; Gunnar et al., 2009). Pubertal maturation is also associated with a flatter diurnal slope (Rotenberg et al., 2012), increased cortisol (Kiess et al., 1995; Oskis et al., 2009), and reduced CAR (Adam, 2006). Given that these developmental factors may influence awake₀ sampling and the cortisol response, and in turn, that awake₀ sampling is important to accurately capture CAR and the diurnal cortisol profile, it is necessary to consider whether children and adolescents are compliant with awake₀ sampling.

Previous methodological studies have examined the stability of CAR and the diurnal profile in children and adolescents (see Oskis et al., 2009; Rotenberg et al., 2012). However, the methodological issue regarding awake₀ compliance has yet to be examined in childhood. The goal of the present study was to evaluate children and adolescents' compliance with collecting an awake₀ sample validated against accelerometry, as an objective measure of wake-time. Based on previous findings, it was hypothesized that children and adolescents would be highly compliant with collecting an awake₀ sample, with children more compliant than adolescents. The effect of a delay between wake-time and collecting the awake₀ sample on measures of the diurnal cortisol profile was also examined. It was hypothesized that a greater delay would yield lower estimates of the cortisol awakening response and diurnal cortisol profile.

2. Method

2.1. Participants

Children and adolescents aged 8–18 years were recruited to take part in the larger Healthy Heart Project, a longitudinal study examining early cardiovascular risk factors, at Concordia University, Montreal, QC. Flyers, postcards, and bookmarks were distributed throughout the community and in schools approved by the Montreal English School Board. Children with serious psychopathology or prescription medication use were excluded. During the study, participants were asked to refrain from using over-the-counter medications and caffeine. Parental and adolescent informed consent and child assent were obtained. This study was approved by the Concordia University Ethics Review Committee (UH2005-077).

2.2. Measures

2.2.1. Wake-time—Children and adolescents wore an undergarment vest that contained an embedded tri-axle accelerometer for 24 h for the Healthy Heart Project protocol. The accelerometer was fitted securely around the abdomen, and differentiated supine from upright posture. Accelerometry data was processed using VivoLogic Version 3.2 (VivoMetrics Inc.) and visually inspected. Accelerometer-based wake-time was defined by the onset of a continuous upright signal.

2.2.2. Cortisol—Saliva samples were collected six times per day. Samples were collected upon awakening (awake₀), +30 min post-awakening (awake₃₀), +45 min post-awakening (awake₄₅), before lunch, before dinner, and before bed. For the awake₀ sample, children and adolescents were instructed to “sit up and remain in bed” for saliva collection. Children and adolescents recorded the date and time each sample was taken in a daily saliva collection log. Parents and/or teachers initialed each entry to verify that samples were collected at the written time. The data acquisition unit for the accelerometer contained a visible clock that was to be used for recording the time of saliva sampling. Participants were unaware that the accelerometer embedded in the vest was also synced to this clock.

Saliva samples were collected using the Salivette sampling device (Salimetric, Inc.). Participants were instructed to place the cotton swab under their tongue for at least 30 s. When saturated, it was placed back in the Salivette tube and refrigerated until returned to the laboratory. Participants were instructed not to eat, drink, or brush their teeth 10 min before taking a sample. When returned, saliva samples were stored in a sub-zero freezer until packaged in dry ice and couriered to the University of Trier, Germany, for cortisol assaying. Cortisol levels are robust to environmental conditions associated with the shipping process (Clements and Parker, 1998). Cortisol levels were determined in duplicate using a competitive solid phase time-resolved fluorescence immunoassay with fluorometric end point detection (Dressendorfer et al., 1992). The intra-assay coefficients of variation were less than 11%.

Untransformed cortisol values were used to derive area under the awakening response relative to ground (AUC_{AG}), dynamic increase of the awakening response (AUC_I), area under the diurnal profile relative to ground (AUC_{TG}), and diurnal slope. The diurnal slope was determined by standard linear regression and was anchored to the awakening sample (Slope_{awake}) and the maximum sample (Slope_{max}; for formulae, see Rotenberg et al., 2012).

2.3. Procedure

Children and their parents were scheduled for two laboratory visits. During the first visit, participants and their parents completed demographic and health questionnaires. Children and adolescents were fitted with the undergarment vest, instructed on the use of the Salivette sampling device, and provided saliva collection kits. Participants were unaware that their time of awakening could be verified. Saliva samples and accelerometry data were collected concurrently, on the same weekday. During the second visit, participants returned the saliva samples and accelerometer. Participants were debriefed and received compensation for their time.

2.4. Sample exclusion criteria

Of the initial 241 participants who were recruited, participants who did not have accelerometry data due to equipment malfunction ($n = 30$), did not collect any saliva samples ($n = 7$), or whose data were extreme outliers (>6 SD; $n = 3$) were excluded from all subsequent analyses.

2.5. Data analyses

Of the remaining 201 participants, missing data were observed across the saliva samples (awake₀ 9.0%, awake₃₀ 6.0%, awake₄₅ 5.0%, lunch 9.0%, dinner 9.5%, bed 14.4%). Since data are not likely to be “missing completely at random” (MCAR), complete case analysis may lead to biased results. Thus, multiple imputation was informed by data from the larger Healthy Heart Project (e.g., subsequent cortisol samples, day of sampling, puberty) to fill in plausible values for the missing values. Missing data analyses were guided by previous recommendations (Little, 1988; McKnight et al., 2007). Missing values were imputed 20 times with re-sampling techniques so that measures of the cortisol awakening response (AUC_{AG}, AUC_I) and diurnal cortisol profile (AUC_{TG}, Slope_{awake}, Slope_{max}) could be derived. Analyses were performed in both original and imputed datasets.

To test the hypotheses, two analyses were conducted. First, intra-class correlation (*ICC*) analyses were used to examine compliance with collecting an awake₀ sample at wake-time. Second, multivariable linear regression, controlling for wake-time, was used to evaluate the effect of a delay in collecting the awake₀ sample on six cortisol measures (AUC_{AG}, AUC_I, AUC_{TG}, Slope_{awake}, Slope_{max}, awake₀). Sampling delay was defined as the absolute difference between the accelerometer-based wake-time and awake₀ sampling (see Table 1). Models were tested separately for children, adolescents, and total participants. Participants were grade-stratified to account for school start times (Children = 3–6th Grades, Primary school; Adolescents = 7–11th Grades, Secondary school). Analyses were conducted using IBM SPSS Statistics software (Version 20).

3. Results

Participant demographics for children, adolescents, and the total sample are presented in Table 1. Overall, the majority of participants were 13 years old, of normal body mass (5–85th BMI percentile: $n = 140$; 70%), in the third stage of adrenarche (pubic hair growth), and Caucasian (68.2%; Black 10.0%, Asian 8.5%, Latino 5.5%, Other/mixed 6.0%). Compared to adolescents, children were in a lower stage of adrenarche ($t(183) = -14.22$, $p < .001$), and collected their awake₀ sample earlier ($t(199) = 2.12$, $p = .036$); no other significant differences were observed. Most saliva samples were collected while school was in-session (78.1%). Parents initialed the daily saliva collection log as a compliance check for 97% of participants' sampling entries. Cortisol measures were normally distributed (see Table 1).

To test the first hypothesis that children and adolescents would be compliant with collecting an awake₀ sample, intra-class correlation (*ICC*) analyses were conducted. Mean accelerometer-derived wake-time was nearly identical to self-reported collection time of the awake₀ sample (07:31 –1:24 h vs. 07:31 –1:23 h, respectively). All participants were highly compliant with awake₀ sampling ($ICC = .98$). (Results did not differ between original and imputed data; only imputed data reported for parsimony.) Children and adolescents were similarly highly compliant ($ICC = .94$ vs. $.98$, respectively).

To test the second hypothesis that a greater delay would yield lower estimates of CAR and the diurnal cortisol profile, after controlling for wake-time, multivariable linear regression

analyses were conducted (see Table 2). The absolute delay between wake-time and collecting the awake₀ sample was 10.06 ± 19.93 min. The majority of participants (88.1%) collected the awake₀ sample within 15 min of wake-time (Children 89.3%, Adolescents 87.3%, see Table 1). For both children and adolescents, earlier wake-time was associated with significantly greater AUC_{AG}, AUC_I, and AUC_{TG}, and a steeper Slope_{max} (see Table 2). While the absolute sampling delay was not associated with age ($r = .00$, $p = .950$), the effect of a delay on estimates of CAR and the diurnal cortisol profile differed between children and adolescents. Specifically, after controlling for wake-time and age, longer absolute sampling delay only among children was significantly associated with steeper Slope_{awake} and greater awake₀ cortisol level. Absolute sampling delay among adolescents was not associated with any measure of the diurnal cortisol profile. (Sex, adrenarche, time of sampling [school year vs summer holiday], and race were not associated with compliance, and thus, not included in the models.)

Two post hoc analyses were conducted. First, absolute sampling delay was dichotomized by delay greater than 15 min (see Fig. 1). Results were parallel to those with continuous data (All participants: Awake₀ $\beta = .12$, Slope_{awake} $\beta = -.11$; Children: Awake₀ $\beta = .19$, Slope_{awake} $\beta = -.16$). Second, analyses were conducted using the real-time sampling delay. The real-time delay indicated that relative to wake-time, children collected their awake₀ sample later than adolescents (Children: -4.5 min ± 19.3 min; Adolescents: 5.4 min ± 23.2 min; $t(199) = 3.12$, $p = .002$). Results using the real-time delay were consistent with those of the absolute delay (All participants: Awake₀ $\beta = .07$, Slope_{awake} $\beta = -.09$; Children: Awake₀ $\beta = .32$, Slope_{awake} $\beta = -.31$). Altogether, the post hoc analyses indicate that sampling delay, regardless if dichotomized or calculated as absolute or real-time, is associated awake₀ and Slope_{awake} only among children.

4. Discussion

Compliance with awake₀ sampling is necessary for the precise measurement of the diurnal cortisol profile. Several adult studies have used objective measures of wake-time to determine compliance with awake₀ sampling. Sampling compliance has not been previously examined in children and adolescents, despite developmental factors that may influence the awake₀ sampling (e.g., school routine, sleep/wake pattern). In the present study, children and adolescents were found to be similarly, highly compliant; 88.1% of the awake₀ samples were collected within 15 min of accelerometer-verified waking. These findings are consistent with previous adult studies in which 82–90% of respondents collected the awake₀ sample within 15 min of wake-time (Dockray et al., 2008; DeSantis et al., 2010).

Early risers had greater cortisol awakening response as well as higher concentration throughout the day (i.e., AUC_{AG}, AUC_I, AUC_{TG}), which is consistent with previous adult findings (Edwards et al., 2001; Frederenko et al., 2004); although one study found no association (Kunz-Ebrecht et al., 2004). In children, a delay between the accelerometer-based wake-time and awake₀ sampling was associated with greater awake₀ cortisol levels and a steeper diurnal decline (Slope_{awake}), after accounting for age and wake-time. These findings were robust even when the delay was dichotomized at 15 min. No association was observed for adolescents. It is plausible that the delay in children's collection of the awake₀

sample resulted in a greater awake₀ sample due to the morning rise. Consequently, the diurnal slope is steeper because the calculation of the diurnal slope relies on the awake₀ sample. These findings coincide with several adult studies that found a sampling delay resulted in greater awake₀ cortisol levels, regardless if measured by objective (accelerometry, polysomnography) or subjective measures of wake-time (Dockray et al., 2008; DeSantis et al., 2010; Okun et al., 2010).

When the real-time sampling delay was examined rather than an absolute delay, children took their samples later than adolescents, relative to their wake time. Children collected the saliva sample 4 min after waking; whereas, adolescents collected the saliva sample 5 min before waking. Wake-time was defined as upright posture as verified by a continuous accelerometer-signal. This unanticipated difference may be attributable to the sampling strategy. It is plausible that adolescents took their saliva sample immediately upon waking, before sitting up. In comparison, children may have sat-up in bed to retrieve the sampling device or simply required more time to prepare the sampling device. Our observed results were inconsistent with Jessop and Turner-Cobb's (2008) hypothesis that children collect salivary samples more reliably than adolescents due to a higher degree of parental-control. In the present study, parents were involved in the salivary cortisol collection (i.e., initialed entries in daily log) for both children and adolescents, and both groups were highly compliant with collecting an awake₀ sample.

This study has four limitations. First, wake-time was determined using an accelerometer, which examines movement rather than waking. A more precise measure, such as polysomnography (simultaneous recording of brain wave activity, eye movement) would yield an exact measure of waking. However, accelerometry is considered a valid proxy of wake-time and is used extensively in the field of sleep research (Sadeh, 2011; Zeiders et al., 2011; Anders et al., 2012). Additionally, sleeping with the accelerometer did not interfere with the quality of the participant's sleep, as 75% of the participants reported having an average night sleep or better. Second, a small number (8.9%) of the awake₀ sample was missing. These data were missing completely at random, which suggests that the missing samples are not related to other variables in this study (i.e., age, sex, wake-time; Little, 1988). Results were identical for the original and imputed datasets. Third, data collection was completed within a single day, which yields greater measurement error. Several measures of the diurnal cortisol profile are less stable with only one day of measurement and necessitate at least three days to yield moderate stability (Rotenberg et al., 2012). Fourth, the current study used self-reported sampling time to examine children and adolescents' compliance with collecting the awake₀ sample. Participants were instructed to record the time they took the awake₀ sample immediately upon awakening, before getting out of bed. A separate entry was not recorded for time of awakening; thus, the nature of the wording precludes teasing apart the assessment of subjective wake time and awake₀ sampling time. Previous researchers have highlighted the advantage of using an electronic device (e.g., MEMs caps) to capture sampling time (Kudielka et al., 2003). Future research should include multiple days of measurement, use a time-stamped device to monitor sampling precision, incorporate a synchronized measure of objective awakening (e.g., polysomnography; cf., Griefahn and Robens, 2011), and consider whether sampling compliance differs within clinical populations.

Despite these limitations, this study has several strengths including the use of an accelerometer that was synced with a time display, the involvement of parents in saliva collection, and the concurrent collection of accelerometry data and salivary cortisol samples. As well, participants were unaware that the awake₀ sample would be verified with the accelerometer. Most studies rely on self-reported time of awakening; therefore, this study design permitted an ecologically valid test of sampling compliance in typical research practice. It will also be important for future studies to consider children's compliance with recommended instructions for collecting saliva (e.g., refrain from eating, drinking, brushing teeth; Hanrahan et al., 2006).

In conclusion, children and adolescents demonstrated high sampling compliance with the awake₀ sample. Sampling delay, particularly in children, and time of awakening influenced measures of the diurnal cortisol profile. Children had a longer sampling delay that accounted for higher awake₀ cortisol levels and a steeper diurnal slope. Adolescents' sampling delay was not associated with any cortisol measures. Studies examining the diurnal cortisol profile strive for optimal cortisol measurement, as the diurnal slope is a common health indicator (cf. Adam, 2006). While researchers examining the diurnal cortisol profile in children and adolescents should consider using self-reported salivary sampling logs; it is important to note that future research should also account for experimental design issues (e.g., sampling compliance check) and analytical considerations (e.g., controlling for sampling delay, wake time) when planning diurnal cortisol profile studies in children and adolescents.

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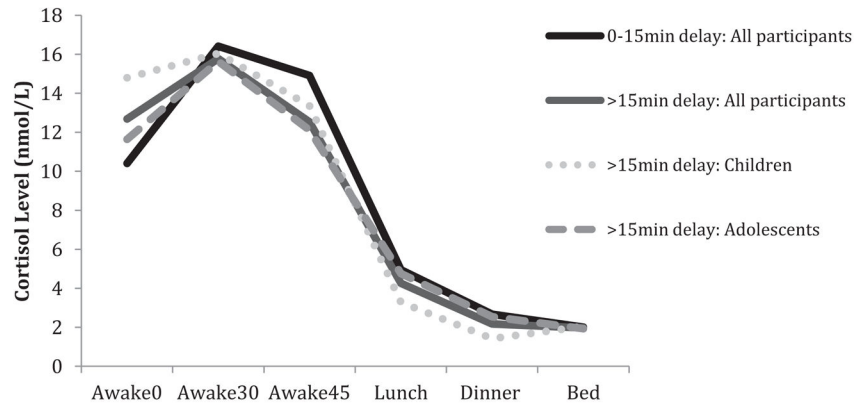


Figure 1. Diurnal cortisol profile over one day based on a sampling delay of either 0–15 min or greater than 15 min.

Table 1

Demographic information.

Characteristic	Total Sample (<i>n</i> = 201) <i>M</i> (<i>SD</i>)	Children (<i>n</i> = 75) <i>M</i> (<i>SD</i>)	Adolescents (<i>n</i> = 126) <i>M</i> (<i>SD</i>)
Age (years)	12.67 (2.03)	10.61 (1.01)	13.90 (1.38)
Female	45.3%	38.7%	49.2%
BMI percentile	64.88 (25.90)	65.41 (26.92)	64.56 (25.38)
Adrenarche (Tanner stage)	3.25 (1.42)	1.97 (0.99)	4.07 (0.97)
Parental education (years)	16.43 (3.33)	16.61 (3.50)	16.32 (3.23)
Household income (\$CAD)	79,949 (51,499)	76,250 (49,806)	82,157 (52,557)
School in-session	78.1%	77.3%	78.6%
Cortisol levels			
Awake ₀	10.68 (7.03)	11.16 (7.76)	10.40 (6.58)
AUC _{AG}	11.31 (5.65)	11.80 (6.56)	11.02 (5.04)
AUC _I	2.68 (5.45)	2.48 (5.91)	2.80 (5.17)
AUC _{TG}	70.96 (42.87)	69.14 (48.08)	72.05 (39.61)
Diurnal slope			
Slope _{awake}	-0.56 (0.50)	-0.60 (0.58)	-0.53 (0.45)
Slope _{max}	-1.07 (0.59)	-1.10 (0.58)	-1.04 (0.60)
Compliance measures			
Absolute sampling delay (min)	10.06 (19.93)	8.93 (17.65)	10.74 (21.21)
0–4 min delay	56.3%	53.5%	57.9%
5–9 min delay	22.4%	25.3%	20.6%
10–14 min delay	9.5%	10.7%	8.7%
>15 min delay	11.9%	10.7%	12.7%
Real-time sampling delay (min)	1.75 (22.27)	-4.48 (19.29)	5.45 (23.15)

Note: Awake₀ = initial cortisol value at wake-time. AUC_{AG} = area under the curve relative to ground, for awakening response. AUC_I = area under the curve relative to increase, for awakening response. AUC_{TG} = area under curve relative to ground, for entire diurnal profile. Slope_{awake} = diurnal slope anchored to awake using regression. Slope_{max} = diurnal slope anchored to max sample using regression.

Table 2Wake-time and sampling delay standardized regression coefficients (β) for cortisol measures.

	Total sample ($n = 201$)		Children ($n = 75$)		Adolescents ($n = 126$)	
	Wake-time	Sampling delay	Wake-time	Sampling delay	Wake-time	Sampling delay
Awake ₀	-.10	.14 [†]	-.14	.24 [*]	-.08	.08
AUC _{AG}	-.24 [*]	.03	-.24 [*]	.05	-.26 [*]	.03
AUC _I	-.14 [†]	-.08	-.18	-.13	-.14	-.06
AUC _{TG}	-.17 [*]	-.00	-.20	-.02	-.19 [*]	.01
Diurnal slope						
Slope _{awake}	.05	-.12	.04	-.23 [*]	.05	-.06
Slope _{max}	.22 [*]	-.02	.16	-.05	.24 [*]	.00

Note: β = standardized beta coefficients. Regression models include age, wake-time, and sampling delay; β not shown for age. Wake-time was determined by accelerometer. Sampling delay = absolute difference between wake-time and time of awake₀ sample. Awake₀ = initial cortisol value at wake-time. AUC_{AG} = area under the curve relative to ground, for awakening response. AUC_I = area under the curve relative to increase, for awakening response. AUC_{TG} = area under curve relative to ground, for entire diurnal profile. Slope_{awake} = diurnal slope anchored to awake using regression. Slope_{max} = diurnal slope anchored to max sample using regression. Bold values indicate statistical significance.

* $p < .05$.

[†] $p < .06$.