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## PD-L1 and Tumor Infiltrating Lymphocytes Status in Patients with Renal Cell Carcinoma and Sarcomatoid Dedifferentiation

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## Abstract

**Background**—The immune profile of sarcomatoid renal cell carcinoma (RCC) (sRCC), including PD-L1 and PD-1 status, has not been well characterized.

**Methods**—PD-L1, PD-1, CD4, and CD8 immunohistochemical digital analysis was performed on nephrectomy specimens of 118 sRCC and 92 non-sarcomatoid clear cell RCC (ccRCC) patients. Clinical characteristics of the population were compared between sRCC and ccRCC. Overall survival was estimated and was compared between PD-L1–positive and PD-L1–negative groups as well as tumor infiltrating lymphocytes (TIL)–high and TIL–low groups.

**Results**—The PD-L1 H-score of sRCC (mean, 3.7; range, 0–192.1) was significantly higher than that of grade 4 ccRCC (*P*=0.001), and 41.3% of sRCC showed PD-L1 H-score 10. PD-1–positive cell density was significantly higher in sRCC than in ccRCC within the tumor and at the invasive front. Intratumoral CD8-positive cell density was significantly higher in sRCC than in ccRCC. 41% in sarcomatoid component of sRCC and 8% in epithelioid component of sRCC had an

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adaptive immune resistance (PD-L1 positive and TIL positive) phenotype, while only 1% in pure epithelioid RCC had Type I phenotype.

**Conclusions**—sRCC showed higher PD-L1 expression and higher PD-1 and CD8–positive cell density than grade 4 ccRCC. Our results indicate a notable immunosuppressive environment in sRCC. Despite advances in the treatment of advanced-stage RCC, sRCC still has a poor prognosis. In this work, we describe highly immunosuppressive characteristics of sRCC compared with an appropriate ccRCC control. Our results suggest PD-1/PD-L1 blockade therapy as a potential therapeutic approach for sRCC.

#### Keywords

renal cell carcinoma; sarcomatoid renal cell carcinoma; PD-L1; PD-1; immunotherapy

## INTRODUCTION

Although the advent of targeted therapies has significantly improved survival in patients with metastatic renal cell carcinoma (RCC) in the past decade, the efficacy of these new drugs is limited in patients with sarcomatoid RCC (sRCC), as these patients experience the worst outcomes of all patients with  $RCC^{1-3}$ .

Programmed cell death 1 (PD-1) and programmed cell death ligand 1 (PD-L1) are promising targets for novel immunotherapeutic approaches and their marker status carries potential prognostic value in ccRCC<sup>4, 5</sup>. Previous work identified a robust clear cell RCC (ccRCC) subtype with overexpression of genes related to the immune response, including high expression of *PD-1*, *PD-L1*, and *PD-L2*, frequently showed sarcomatoid dedifferentiation and inflammation histologically<sup>6</sup>. In addition, PD-L1 induces epithelial-mesenchymal transition in RCC<sup>7</sup> and sRCC is considered a good example of epithelial-mesenchymal transition both morphologically and immunohistochemically<sup>8</sup>. Two studies evaluated PD-L1 expression in sRCC with clear cell epithelioid component (cc-sRCC) and found it to be significantly higher than in non-sarcomatoid ccRCC<sup>9, 10</sup>. Furthermore, 50% of sRCCs showed co-expression of PD-1/PD-L1, while only 3% of non-sarcomatoid ccRCCs showed PD-1/PD-L1 co-expression<sup>9</sup>. Therefore, sRCC may represent a promising disease subset for immune checkpoint blockade therapy. However, given the rarity of sRCC, these tissue-based studies have been small and PD-1 and PD-L1 expression in sRCC have not been adequately compared with those in high-grade non-sarcomatoid RCC.

Our study objectives were to: 1) examine PD-L1 expression as well as tumor infiltrating lymphocytes (TILs) status including PD-1 expression in a large series of patients with sRCC, and 2) to compare the marker status between sRCC (sarcomatoid and epithelioid components), non-sarcomatoid ccRCC, and subtypes of sRCC including cc-sRCC and non-cc-sRCC (sarcomatoid and epithelioid components).

## PATIENTS AND METHODS

#### Patient and sample data collection

We identified 118 patients with sRCC treated surgically at The University of Texas MD Anderson Cancer Center from 2000 through 2012. Patients who received neoadjuvant therapy (N=21) and cases with digital analysis failure (N=3) were excluded from the study. The total number of patients with sRCC included in this study was 94. An additional cohort of 92 patients with non-sarcomatoid ccRCC, including 20 with grade 4, 58 with grade 3, and 14 with grade 2 disease, was evaluated for comparison. The study design is illustrated in Supplementary Figure 1. This study was approved by the institutional review board at The University of Texas MD Anderson Cancer Center.

Formalin-fixed paraffin-embedded blocks from all cases were retrieved from the Pathology department and all HE tumor slides from all cases were re-reviewed by two dedicated genitourinary pathologists (KS and FK) to confirm the diagnosis of sRCC and to document the histology of the epithelioid component, the highest Fuhrman nuclear grade of the epithelioid component, and the percentage of the sarcomatoid component. All HE tumor slides were used to evaluate these parameters. Baseline clinicopathologic characteristics including age at the time of surgery, sex, and tumor size at the time of surgery, TNM stage at the time of surgery, and follow-up data were retrospectively collected from the patients' medical records (Table 1).

#### Whole section cutting and tissue microarray (TMA) construction

After reviewing all HE tumor slides, a representative slide and the corresponding tumor block were selected for each patient as well as for control pure epithelioid ccRCC cohort. If there is no block which contains both sarcomatoid and epithelioid component, we selected 2 blocks for 1 case for each sarcomatoid and epithelioid component. On the representative slide that included the area of sarcomatoid or epithelioid component, three areas of tumor tissue were marked by a pathologist (FK). From the corresponding paraffin block, five 4-µmthick sections were cut for each sample. Then, TMAs were constructed by sampling three cores of a 1-mm diameter for each component. Cores were arranged as a 90-core format array into a new paraffin block. TMAs were constructed with the VTA-100 semi-automated tissue arrayer (Veridiam, Oceanside, CA, USA). All the 94 sRCC and 86 ccRCC (20 with grade 4, 57 with grade 3, and 9 with grade 2 were included in the TMA blocks.

#### Immunohistochemical analysis

Immunohistochemical analysis for expression of PD-L1 (E1L3N, 1:100 dilution; Cell Signaling Technology, Danvers, MA), PD-1 (EPR4877(2), 1:250 dilution; Epitomics, Burlingame, CA), CD4 (4B12, 1:80 dilution; Leica Biosystems, Wetzlar, Germany), and CD8 (C8/144B, 1:25 dilution; Thermo Fisher Scientific, Waltham, MA) was performed. Sections were stained with primary antibodies on a BOND-MAX stainer (Leica Biosystems). The immunohistochemical reaction was detected using the Leica Bond Polymer Refine detection kit (Leica Biosystems), and 3,3'-diaminobenzidine was used as the chromogen. PD-L1 and PD-1 staining was done in whole sections and CD4 and CD8 staining was done in TMA. Cores without at least 50% tumor tissue in the sampled area due

to core folding or loss, extensive necrosis, hemorrhage or too little tumor tissue were eliminated. 6% of TMA-arrayed cases for each staining had to be excluded from the study based on this requirement. The total number of TMA-arrayed sRCC cases amenable to image analysis was 88.

For automated image analysis, stained slides were scanned into high-resolution digital images using an Aperio AT Turbo (Leica Biosystems). Image analysis was performed using Aperio Image Toolbox analysis software (Leica Biosystems).

Five 1-mm<sup>2</sup> areas within the tumor region from sarcomatoid and epithelioid components from each case were individually chosen by a pathologist (FK) for PD-L1 digital analysis. Tumor PD-L1 expression was evaluated by a semi-quantitative method, the H-score (percentage of positive cells showing membranous staining pattern  $[0-100] \times$  intensity of the staining [0-3] (Figure 1). The mean H-score of the five areas was calculated for each case, and mean H-scores were calculated separately for the epithelioid and sarcomatoid components of sRCC. As a quality control measure, two pathologists (FK and KS) manually evaluated PD-L1 tumor positivity, defined as 5% tumor cell membrane staining independently<sup>9, 10</sup>. Cases in which the H-score differed from the results of manual evaluation, owing to diffuse infiltration of PD-L1-stained immune and endothelial cells in the tumor area and cytoplasmic PD-L1 staining of tumor cells, were excluded from the study. For PD-L1 immunohistochemistry, among 94 sRCC cases, digital immunohistochemical analysis was possible in both sarcomatoid and epithelioid components in only 84 cases (66 cc-sRCC cases and 18 non-cc-sRCC cases). PD-L1 expression in either epithelioid or sarcomatoid component, but not both, was calculated in the remaining 10 cases.

Five 1-mm<sup>2</sup> areas within the intratumoral region and within the peritumoral region from sarcomatoid and epithelioid components from each case were individually chosen by a pathologist (FK) for PD-1 digital analysis. Histology assessment of each 1 mm<sup>2</sup> was performed to ensure that tumor tissue presented in the selected intratumoral region, and only non-malignant cells were included in the peritumoral region as previously described<sup>11</sup>. The mean number of PD-1–positive immune cells per 1 mm<sup>2</sup> within the intratumoral region and within the peritumoral region was calculated for sarcomatoid and epithelioid components separately. Intratumoral region could be evaluated 92 sarcomatoid component and 86 epithelioid component of sRCC, while peritumoral region could be evaluated in about half of the candidate cases (51 sarcomatoid component and 54 epithelioid component of sRCC).

For CD4 and CD8 evaluation, TMA was scored as the median number of counted cells per 1mm<sup>2</sup> average of all cores from each case.

Tumor microenvironment categorization was done based on the density of TILs and the expression of PD-L1<sup>12</sup>: type I (adaptive immune resistance), type II (immunological ignorance), type III (intrinsic induction), and type IV (tolerance). Combining tumor PD-L1 expression (H-score 10 was considered as positive) with the density of cells expressing CD4 or CD8 using three levels (tertile) divided based on regular values of distribution (moderate and severe density were considered positive)<sup>11</sup>.

#### Statistical analysis

Clinical characteristics of the population were compared between epithelioid and sarcomatoid components using a Kruskal-Wallis test. A Wilcoxon signed-rank test was conducted when comparing epithelioid vs. sarcomatoid component based on PD-L1 expression and number of PD-1 (intratumoral region and peritumoral region), CD4, and CD8 positive lymphocytes. Overall survival (OS) was defined as the time from surgery to death. In the absence of an event, end points were censored at last follow-up time. OS was estimated using the Kaplan-Meier product-limit estimator and was compared between PD-L1–positive and PD-L1–negative groups. The correlations between lymphocyte infiltration and PD-L1 expression, lymphocyte infiltration and PD-1 expression, and PD-L1 and PD-1 expression were assessed using Spearman correlation coefficients (i.e., Spearman's  $\rho$ ).

An analysis of variance model was created to determine whether there was a difference in expression levels between clear cell groups (epithelioid, sarcomatoid, grade 2, grade 3, and grade 4). If the analysis of variance indicated a difference between these groups, a Tukey-Kramer pairwise comparison test was conducted to determine which groups differed. A *P* value (two-sided) of <0.05 was considered statistically significant.

Analysis was done with SPSS Statistics 24 (IBM Corp., Armonk, NY). Scatter diagrams are drawn with GraphPad Prism 6 (GraphPad Software Inc., La Jolla, CA).

#### TCGA data analysis

We studied the alterations of *CD274* (PD-L1) and genes that were related to antigen presentation (*HLA-A*, *HLA-B*, *HLA-C*, *HLA-G*, *HLA-DPB1*, and *TAP1*) and T-cell-mediated immunity (*CD3D*, *CD8A*, *IL2RA*, *IL7R*, *IDO1*, *CD4*, *CD27*, *CD28*, *CCL5*, and *CCR5*), as well as reported genes which are frequently altered in sRCC (*TP53*, *PTEN*, *RELN*, *CDKN2A*, and *NF2*) in in Kidney Renal Clear Cell Carcinoma (TCGA, Nature 2013) case set using c-BioPortal (http://cbioportal.org). Frequency of genomic alteration events including mutations, putative copy-number alteration from GISTIC, mRNA expression Z-scores (RNA Seq V2 RSEM) with Z-score thresholds ±2.0, and protein expression (RPPA) with Z-score thresholds ±2.0 were evaluated in the 469 patients with mRNA data (RNA Seq V2).

## RESULTS

#### Patient characteristics

Of the 118 patients with sRCC, 21 patients who received neoadjuvant therapy and three patients whose digitally calculated H-scores differed from manual evaluation results were excluded from the study, leaving 94 patients with sRCC for analysis (Supplementary figure 1). Patient characteristics are summarized in Table 1. The median tumor diameter was 10.8 cm (range, 1.1–27 cm). Seventy-four cases (78.7%) were cc-sRCC (Supplementary table 2). The median follow-up time of the cohort was 11 months (Interquartile range [IQR], 7–27 months). Seventy-six patients (80.9%) died of their disease during the follow-up period. Median follow-up time for survivors was 48 months (IQR, 21–107 months).

#### PD-L1 expression and survival in sRCC overall

Among the sRCC cases, the median H-score for PD-L1 expression was significantly higher in the sarcomatoid component (3.7; range, 0-192.1) than in the epithelioid component (0.2; range, 0-222.0; *P*<0.001) (Table 2).

In the sarcomatoid component of sRCC, higher PD-L1 H-score was associated with younger age (<60 years) at the time of surgery (*P*=0.033) but not with sex, TNM classification, disease stage, tumor size, or percentage of sarcomatoid component (Table 2).

Survival analysis according to PD-L1 expression H-score was performed for 92 sRCC cases with patients with at least 1 year of clinical follow-up (or shorter in case of death). All cases of sRCC with PD-L1 positivity on manual evaluation showed an H-score of 10. Using this threshold, 41.3% of sRCC cases were PD-L1 positive, and there was no significant survival difference between PD-L1–positive and PD-L1–negative groups (*P*=0.385).

#### PD-L1 expression in cc-sRCC and non-sarcomatoid ccRCC

Among the cc-sRCC cases (N=74), the median PD-L1 H-score in the sarcomatoid component (1.8; range, 0–192.1) was significantly higher than that in the epithelioid component (0.2; range, 0–222.0; P<0.001) (Table 2). In 43.2% of cc-sRCC cases, the PD-L1 H-score was 10 in at least one of these components.

In the non-sarcomatoid ccRCC cases (controls), the PD-L1 H-scores in the grade 4, 3, and 2 ccRCCs were significantly lower than that in the sarcomatoid component of cc-sRCC (*P*=0.001) (Table 2 and Figure 3) and only 2.2% of ccRCCs were PD-L1 positive (10% of grade 4, 0% of grade 3, and 0% of grade 2).

## PD-L1 expression in non-cc-sRCC

Among the 20 non-cc-sRCC cases, 11 (55.0%) showed PD-L1 H-scores of 10, including three of five chromophobe sRCCs, five of 10 papillary sRCCs, and three of three collecting duct sRCCs.

The median PD-L1 H-score in the sarcomatoid component of non-cc-sRCC was 5.7 (range, 0.1-151.4), while that in the epithelioid component was 1.2 (range, 0-129.0). Although the median H-score of the sarcomatoid component of non-cc-sRCC was higher than that of the epithelioid component, the difference was not statistically significant (*P*=0.058), likely because of the small patient numbers in this subgroup (Table 2).

## PD-1–positive cell density in sRCC and non-sarcomatoid ccRCC in the intratumoral region and peritumoral region

We found that PD-1–positive cell density was significantly higher in sRCC than in nonsarcomatoid ccRCC within the intratumoral region and peritumoral region (Table 3). The sarcomatoid component contained a significantly higher density of PD-1–positive cells than the epithelioid component in the intratumoral region (*P*=0.039) and cc-sRCC contained a significantly higher density of PD-1–positive cells within the tumor and peritumoral region than did grade 4 non-sarcomatoid ccRCC (Table 3) There was no significant difference in survival between cases with a high density of PD-1–positive cells (PD-1 density higher than the median PD-1 density of sRCCs) and those with a low density of PD-1–positive cells (PD-1 density lower than or equal to the median PD-1 density of sRCCs) in either the peritumoral region or the intratumoral region in multivariable analysis. (Supplementary Table 4).

## CD4- and CD8-positive cell density in sRCC and non-sarcomatoid ccRCC and type of microenvironment

Tumor infiltrating helper T-cells (CD4<sup>+</sup>) and cytotoxic T-cells (CD8<sup>+</sup>) were evaluated. We observed that cc-sRCC, both sarcomatoid (P<0.0001) and epithelioid component (P=0.0139), had significantly higher density of cytotoxic T-cells than non-sarcomatoid ccRCC (Supplementary Figure 2). The patients with high number of CD8<sup>+</sup> in epithelioid component (CD8<sup>+</sup> density higher than the median CD8<sup>+</sup> density of sRCCs) experienced shorter OS in univariate and multivariable analysis (Supplementary Table 4).

When combining PD-L1 expression in tumor cells with the density of tumor infiltrating lymphocytes (density of cells with CD4<sup>+</sup> or CD8<sup>+</sup>), as proposed by Teng et al.<sup>12</sup>, we classified patients into the four subtypes of tumor' microenvironment (Supplementary Table 3). This analysis showed that 41% in sarcomatoid component of sRCC and 8% in epithelioid component of sRCC had an adaptive immune resistance (Type I) phenotype, while only 1% in non-sarcomatoid RCC had Type I phenotype. The pattern most frequently observed (sarcomatoid component of sRCC 41%, epithelioid component of sRCC 55%, and non-sarcomatoid ccRCC 54%) was that of tumors with immune tolerance pattern (Type IV) defined as positive TILs without PD-L1 expression in tumor cells. Cases with negative PD-L1 expression and negative TILs defined as tumors with immunologic ignorance pattern (Type II) were found in 17% of sarcomatoid ccRCC. Tumors with the intrinsic induction patters (Type III, positive PD-L1 and negative TILs) were less frequently detected (sarcomatoid component of sRCC 1%, epithelioid component of sRCC 2%, and non-sarcomatoid component of sRCC 1%).

#### TCGA data analysis

mRNA *CD274* upregulation was significantly correlated with mRNA upregulation of some genes related to antigen presentation (*HLA-B*: P=0.026, *HLA-DPB1*: P<0.001, *TAP1*: P<0.001), and chemokine receptor (*CCR5*: P<0.001). There is no significant correlation between mRNA upregulation of *CD274* and gene alteration which was previously described in sRCC (Supplementary Figure 3).

## DISCUSSION

In this large tissue-based cohort study of surgically resected sRCC, we found sRCC showed more frequently positive for PD-L1 compared to that of non-sarcomatoid ccRCC. Although sRCC is defined as grade 4 RCC, PD-L1 expression in cc-sRCC was even higher than non-sarcomatoid grade 4 ccRCC. Furthermore, sRCC was associated with a more pronounced cytotoxic T-cell infiltrate and adaptive immune resistant phenotype in its major tumor

microenvironment, based on PD-L1 expression and TIL status. These data suggest that cc-sRCC is distinct from non-sarcomatoid ccRCC at the level of immune markers with an inflammatory histology. This could indicate the biological distinctiveness of sRCC, with potential therapeutic implications.

Two recent reports studied PD-1 and PD-L1 expression in sRCC. Joseph et al.<sup>9</sup> collected 26 sRCCs and 29 non-sarcomatoid ccRCCs, performed immunohistochemical analysis for PD-1 and PD-L1 in whole sections, and found increased expression of PD-L1 on tumor cells (54% of sRCCs and 17% of non-sarcomatoid ccRCCs; P=0.006) concurrent with PD-1positive TILs in sRCC (96% of sRCCs and 62% of non-sarcomatoid ccRCCs; P=0.003). For sRCC cases, the authors evaluated only the sarcomatoid component. Shin et al.<sup>10</sup> collected 54 cc-sRCCs and 9 papillary sRCCs as well as non-sarcomatoid RCCs, and evaluated their PD-L1, PD-L2, and PD-1 expression using a TMA. They reported that PD-L1 positivity was significantly higher in cc-sRCCs (29.6% of sRCCs and 7.3% of non-sarcomatoid ccRCCs; P<0.001), but not in papillary RCCs. They did not note which component (sarcomatoid or epithelioid) of sRCC was evaluated. The limitations of these 2 prior studies are their relatively small case numbers and the inadequacy of the control cases. In both studies, the sarcomatoid and epithelioid components in sRCC were not separately evaluated. In addition, the control cases were not stratified by histologic grade. Since previous reports suggested that higher PD-L1 expression in the tumor cell membrane was correlated with higher Fuhrman grade<sup>4, 15, 16</sup>, we believe it is important to compare immune checkpoint marker status between sRCC and high-grade non-sarcomatoid ccRCC specifically. Regarding immunohistochemical evaluation methodologies, both studies counted tumor cytoplasmic stains of PD-L1 as positive. Reported clinical trials generally defined 5% of tumor membranous staining as PD-L1 positive<sup>17, 18</sup>. Thus, the two previous reports might have overestimated the PD-L1-positive rate.

RCC is an immunogenic tumor and prior literature suggests that the presence of specific Tlymphocyte subsets in RCC could be associated with prognostic value. For example, patients with CD45RO<sup>+</sup> T-cell rich RCC showed shorter overall survival than patients with CD45RO<sup>+</sup> T-cell poor RCC<sup>19</sup>. Although high intratumoral CD4<sup>+</sup> T-cell infiltration and both higher peripheral blood regulatory T-cells count and high intratumoral Foxp3<sup>+</sup> regulatory Tcell infiltration were associated with poor prognosis in RCC<sup>19–22</sup>, the role of CD8<sup>+</sup> T-cells in RCC is still controversial; some studies showed CD8<sup>+</sup> T-cell infiltration in RCC has no survival impact<sup>19</sup>, other reports showed that CD8<sup>+</sup> T-cell rich RCC is associated with poor survival<sup>23, 24</sup>, while one study showed that a CD8<sup>+</sup> T-cell rich phenotype was observed in renal tumors of long-term surviving patients<sup>25</sup>. There are also a few literatures described about relationship between PD-L1 expression in RCC tumor cells and TIL fractionation. Higher level of tumor PD-L1 expression was associated with low relative ratios of CD8+ to Foxp3+ T-cells in metastatic RCC<sup>26</sup>.

In this study, we studied TIL markers in sRCC and found an inflammatory infiltrate-rich histology with PD-L1 expression. We found more frequent PD-1 and CD8<sup>+</sup> cell infiltration in sRCC compared with non-sarcomatoid ccRCC, with more sarcomatoid component of sRCC cases classified as Type I (adaptive immune resistance pattern)<sup>12</sup>, compared to their epithelioid component, ccRCC cases, and reported other tumor types, such as non-small cell

lung cancer<sup>11</sup> and melanoma<sup>27</sup>. The tumors with Type I tumor microenvironment are thought to be the group that largely responds to immune checkpoint blockade<sup>27, 28</sup> and are most likely to benefit from single–agent anti-PD-1/PD-L1 blockade, as these tumors have evidence of preexisting intratumoral T cells that are turned off by PD-L1 engagement.

Our current study is different from prior studies on this topic as it has a larger number of sRCC cases, and more importantly, adequate non-sarcomatoid ccRCC controls. Specifically, we describe the difference in PD-L1 expression status between cc-sRCC and grade 4 nonsarcomatoid ccRCC, which we believe to be the most adequate control group (given that both are grade 4). Our study is also significant because we evaluated epithelioid and sarcomatoid components separately and we found that PD-1/PD-L1 expression in the epithelioid component of sRCC was significantly higher than that in grade 4 nonsarcomatoid ccRCC. Since the median amount of sarcomatoid component in sRCC tumors is 40%–50% and so might not be sampled by biopsy of a patient with sRCC, our finding is essential to know before considering assaying for PD-L1/PD-1 status based on biopsy specimens. There may be intratumoral heterogeneity in addition to morphologic diversity. Furthermore, our results show a difference in immune marker status between sRCC and nonsarcomatoid grade 4 ccRCC, indicating that cc-sRCC is distinct from non-sarcomatoid ccRCC at the level of immune markers. These data indicate the biologic distinctiveness of sRCC and have potential therapeutic implications for novel immune therapy. This study is also methodologically distinctive, as immunohistochemical results were not only digitally analyzed to secure high reproducibility and quantification, but were concurrently confirmed using manual evaluation with a standard positivity threshold of 5% tumor membranous expression.

The limitation of this study is lack of gene expression data. It would be interesting to study whether PD-L1 protein expression in sRCC is correlated with the expression of *CD274* (PD-L1) mRNA and genes related to antigen presentation and T-cell-mediated immunity, as well as gene signature which may be linked to sarcomatoid features. TCGA data revealed that mRNA *CD274* upregulation was significantly correlated with mRNA upregulation of HLA genes and chemokine receptor, suggesting a close link between upregulation of PD-L1 and inflammatory tumor microenvironment in ccRCC. Although we could not find any significant correlation between mRNA upregulation of *CD274* and gene alteration which was previously described in sRCC<sup>29, 30</sup>,TCGA is not the ideal setting to study sRCC and contains only a few sRCC cases. In addition, data on sRCC in TCGA were obtained only from the epithelioid component. Further molecular-protein expression correlation studies are needed in sRCC.

In conclusion, sRCC is more frequently positive for PD-L1 and shows higher PD-L1 expression than non-sarcomatoid ccRCC regardless of its epithelioid component histology. cc-sRCC shows higher frequency and stronger expression of PD-L1 than non-sarcomatoid grade 4 ccRCC. Since a large part of sRCC carries an adaptive immune resistance tumor microenvironment, checkpoint blockade could be a particularly promising therapeutic agent for sRCC.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## **Condensed Abstract**

Sarcomatoid RCC expressed PD-L1 more frequently and contained more TILs including PD-1–positive T-cells than grade 4 clear cell RCC did. Many sarcomatoid RCC carries an adaptive immune resistance phenotype and PD-1/PD-L1 blockade therapy could be a promising therapeutic approach.



## Figure 1.

PD-L1 immunohistochemical staining in patients with sRCC. Membranous staining is present in the sarcomatoid tumor component (A) and the epithelioid component (B). (C) In this case, only tumor-infiltrated macrophages and lymphocytes showed weak to moderate cytoplasmic positivity.



#### Figure 2.

Correlation of PD-L1 expression level and overall survival in the sarcomatoid component of sRCC. A cutoff H-score of 10 showed good correlation with manual immunohistochemical evaluation results but not with overall survival

Kawakami et al.



#### Figure 3.

Tumor PD-L1 expression and infiltrating PD-1–positive cells status in clear cell sarcomatoid and non-sarcomatoid ccRCC. (A) PD-L1 expression in the sarcomatoid component of sRCC was significantly higher than in the epithelioid component and in Fuhrman grades 2, 3, and 4 pure epithelioid ccRCC. Although there was no difference in PD-1–positive cell density in the intratumoral region (B) or in the peritumoral region (C) between the sarcomatoid component and the epithelioid component in sRCC, both components had higher PD-1– positive cell density in both areas than Fuhrman grades 2, 3 and 4 pure epithelioid RCC. Sarc; sarcomatoid component, Ep; epithelioid component.

#### Table 1

Characteristics of patients with sRCC

Characteristic		Total, no. (%) (N=94)	cc-sRCC, no. (%) (N=74)	Non-cc-sRCC, no. (%) (N=20)
Median age (range), years		60 (28-85)	61 (28–85)	59 (45-85)
Sex	Male	50 (53.2)	39 (52.7)	11 (55.0)
	Female	44 (46.8)	35 (47.3)	9 (45.0)
pT category	1	6 (6.4)	4 (5.4)	2 (10.0)
	2	2 (2.1)	2 (2.7)	0 (0.0)
	3	66 (70.2)	54 (73.0)	12 (60.0)
	4	20 (21.3)	14 (18.9)	6 (30.0)
pN category	0	34 (36.2)	31 (41.9)	3 (15.0)
	1	32 (34.0)	20 (27.0)	12 (60.0)
	Х	28 (29.8)	23 (31.1)	5 (25.0)
M category	0	46 (48.9)	35 (47.3)	11 (55.0)
	1	48 (51.1)	39 (52.7)	9 (45.0)
Stage	I	5 (5.3)	4 (5.4)	1 (5.0)
	II	1 (1.1)	1 (1.4)	0 (0)
	III	29 (30.9)	22 (29.7)	7 (35.0)
	IV	59 (62.8)	47 (63.5)	12 (60.0)

## Table 2

Correlation of PD-L1 expression and clinicopathologic features in patients with sRCC

Clinicopathologic feature		N	Median PD-L1 H-score (range)	Р
All sRCC				
Epithelioid component		86	0.2 (0-222.0)	< 0.001*
Sarcomatoid component		92	3.7 (0–192.1)	
Sex	Male	48	1.4 (0–192.1)	0.077
	Female	44	9.6 (0–151.4)	
Age	<60 years	47	8.3 (0–192.1)	0.033*
	60 years	45	1.4 (133.3)	
Tumor size	<10.8 cm	46	9.8 (0–151.4)	0.439
	10.8 cm	46	1.8 (0–192.1)	
Sarcomatoid percentage	<10%	14	5.4 (0-64.4)	0.615
	10%-30%	21	3.5 (0–57.7)	
	30%	57	2.6 (0–192.1)	
Stage	I and II	6	0.7 (0-44.8)	0.522
	III	28	3.9 (0–151.4)	
	IV	58	4.5 (0–192.1)	
pT category	1 and 2	8	0.7 (0–151.4)	0.147
	3	64	5.5 (0–192.1)	
	4	20	1.0 (0–147.3)	
pN category	0	33	1.6 (0–192.1)	0.589
	1	31	5.7 (0–151.4)	
	Х	28	2.2 (0–110.8)	
M category	0	45	1.6 (0–151.4)	0.103
	1	47	8.3 (0–192.1)	
ccRCC				
Sarcomatoid cases				< 0.001*
Epithelioid component		67	0.2 (0-222.0)	
Sarcomatoid component		73	1.8 (192.1)	
Non-sarcomatoid cases				
Grade 4		20	0.4 (0-39.1)	
Grade 3		58	0.1 (0–1.6)	
Grade 2		14	0 (0-0.2)	
Non-cc-sRCC				
Epithelioid component		19	1.2 (0–129.0)	0.058
Sarcomatoid component		19	5.7 (0.1–151.4)	

SD: standard deviation

Page 17

Correlation of PD-1 expression and clinicopathologic features in patients with sRCC

ommon and a source of the sour		Peritumoral region			Intratumoral region	
	z	Median PD-1,/mm <sup>2</sup> (range)	Ρ	N	Median PD-1,/mm <sup>2</sup> (range)	Ρ
All sRCC						
Epithelioid component	54	59.1 (7.2–531.2)	0.304	86	51.5 (6–1242.4)	0.039*
Sarcomatoid component	51	59.6 (6.6–593.6)		92	117.7 (2.4–1895.4)	
ccRCC						
Sarcomatoid cases						
Epithelioid component	43	66.8 (7.2–531.2)	<0.001*	67	80.2 (3.4–1242.4)	<0.001*
Sarcomatoid component	43	59.6 (6.6–593.6)		73	123.6 (2.4–1895.4)	
Non-sarcomatoid cases						
Grade 4	17	26.0 (3.6–212.4)		20	24.5 (2.2–178.8)	
Grade 3	52	18.7 (2.0–108.8)		58	30.8 (2.0–909.4)	
Grade 2	13	5.8 (0.8-489.6)		14	24.5 (0.8–560.0)	
Non-cc-sRCC						
Epithelioid component	11	42.0 (8.8–115.0)	0.068	19	22.2 (1.6–422.2)	$0.028^{*}$
Sarcomatoid component	8	60.3 (12.2–144.2)		19	34.6 (6.8–902.8)	