# Pancreatic cancer biology and genetics from an evolutionary perspective

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## Abstract

Cancer is an evolutionary disease, containing the hallmarks of an asexually reproducing unicellular organism subject to evolutionary paradigms. Pancreatic ductal adenocarcinoma (hereafter referred to as pancreatic cancer) is a particularly robust example of this phenomenon. Genomic features indicate that pancreatic cancer cells are selected for fitness advantages when encountering the geographic and resource-depleted constraints of the microenvironment. Phenotypic adaptations to these pressures help disseminated cells to survive in secondary sites, a major clinical problem for patients with this disease. In this Review we gather the wide-ranging aspects of pancreatic cancer research into a single concept rooted in Darwinian evolution, with the goal of identifying novel insights and opportunities for study.

*The question is not what you look at, but what you see.* Henry David Thoreau[1]

In the year 2016, an estimated 53,070 patients will be diagnosed with pancreatic ductal adenocarcinoma (hereafter referred to as pancreatic cancer), most of whom will die of their disease within 5 years[2]. There are no clinically validated screening methods for pancreatic cancer in the curative stage, and surgery remains the only option for cure, despite the fact that only 10–15% of newly diagnosed patients are deemed eligible[3]. Few other effective treatment modalities exist that significantly extend overall survival[4]. Ultimately, most patients will die with metastases to the liver, lung and/or peritoneum, the most common sites of spread[5]. Patients, clinicians and researchers alike are frustrated at the lack of progress being made, indicating that new strategies are needed to understand this disease.

The term 'cancer' engenders fear and anger, particularly when one is newly faced with the devastating diagnosis of pancreatic cancer. Moreover, a common reaction is to personify the cancer as an evil entity that must be battled to save the patient's life. The weapons for this batde include a surgeon's scalpel, chemotherapy, radiation, targeted agents, holistic

approaches and religious faith. But, in a biological sense what really is a pancreatic cancer, or any cancer (BOX 1)? Once the above-mentioned preconceived biases are removed, pancreatic cancer reveals itself as a robust example of Darwinian evolution, a pervasive phenomenon in the natural world that is subject to its own rules, restraints and predictable characteristics. Cancer has been discussed in evolutionary terms for 40 years, first by Peter Nowell[6] in 1976, who proposed clonal evolution as a unifying model of tumour initiation and progression based on his observations in haematopoietic malignancies. However, the importance of evolutionary dynamics for understanding cancer was brought to the forefront by the application of next-generation sequencing methodologies to cancer samples[7]. This has certainly been the case for pancreatic cancer, in which recurrent chromosome abnormalities and subclonal events were described by karyotypic analysis almost two decades ago[8], whereas the description of intratumoural heterogeneity based on next-generation sequencing was reported only in 2010 (REFS 9,10).

## Three evolutionary stages

An understanding of pancreatic cancer in evolutionary terms is perhaps best accomplished by characterizing it into three broad stages[11]. These are initiation of the tumour by the acquisition of a `driver gene` mutation in a cell of origin, clonal expansion of the mutation-carrying cell into a multicellular neoplasm and the introduction of the neoplastic cells into both local and distant microenvironments.

## Initiation

A basic tenet of Darwinian evolution is that purposeless mutations occur randomly in asexually reproducing cells upon which selection then acts[12,13]. For a mutation to occur, a complete cell division must take place. Likewise, the occurrence of a somatic mutation implies that at least one cell division occurred in the lineage that gave rise to that cell[12]. Given that the expected somatic mutation rate is approximately three single nucleotide variants per cell division[14] and that the adult pancreas is not a highly proliferative tissue[15], by simple chance alone it is exceedingly rare for an initiating driver gene mutation to occur (FIG. 1a). In patients who develop sporadic pancreatic cancer, the appearance of the driver gene mutation in the first cell is predicted to occur at least two decades before diagnosis[9].

Unlike proliferative tissues such as the breast or colon, in which familial cancers occur 10–20 years earlier than sporadic cancers, inheritance of a high-risk variant for developing pancreatic cancer decreases its latency by only 5 years[16], underscoring that the number of cell divisions and time are important factors in the initiation of pancreatic cancer. Recendy, a statistical analysis of numerous cancer types, including pancreatic cancer, determined a strong correlation of lifetime risk with the number of normal stem cell divisions in a tissue[17]. On the basis of estimates of normal pancreatic stem cell renewal rates[18,19], stochastic mistakes during DNA replication (intrinsic factors) were predicted to substantially contribute to the lifetime risk of pancreatic cancer. However, the relative contribution of intrinsic versus extrinsic factors in cancer initiation has stimulated vigorous scientific debate, with a follow-up study[20] concluding that the influence of extrinsic factors such as radiation and carcinogens far outweighs that of intrinsic factors. Nonetheless the study by

Wu *et al.*[20] also showed that nearly half of pancreatic cancer mutations were probably caused by intrinsic factors.

Recent whole-genome sequencing of 593 patients with `familial pancreatic cancer` indicates that the genetic basis of familial pancreatic cancer is polygenic, that is, many kindreds had one or more high-risk germline variants, but the frequency of any one variant never exceeded 3% of the population studied[21]. The best-studied germline variants linked to pancreatic cancer risk are *BRCA1, BRCA2*, partner and localizer of BRCA2 (*PALB2*), the Fanconi anaemia genes *FANCC* and *FANCG*, and ataxia telangiectasia mutated (*ATM*), which are all components of the DNA double-strand break repair machinery[16,22]. Mutations in these genes (specifically, *BRCA1* and *BRCA2*) increase genomic instability during faulty homologous recombination at stalled replication forks and hence increase the rate at which somatic mutations occur[23]. Germline mutations in cyclin-dependent kinase (CDK) inhibitor 2A (*CDKN2A*, which encodes p16$^{INK4A}$ and p19$^{ARF}$), responsible for familial atypical multiple mole melanoma syndrome, are also strongly associated with an increased risk of pancreatic cancer and melanoma[16], presumably through loss of the G1/S checkpoint. Mutations in DNA double-strand break repair genes probably increase the rate at which the initial driver gene mutation occurs per cell division, consistent with the concept that these are `caretaker genes`. However, the tumour suppressive function of p16$^{INK4A}$ indicates that it is a `gatekeeper gene`[24]. Thus, its loss would be predicted to increase the number of cell divisions, increasing the chance that additional driver gene mutations could occur.

Epidemiological studies point to several risk factors for developing pancreatic cancer that also fit into this framework[25]. For example, patients with chronic pancreatitis owing to protease, serine 1 (*PRSS1*) or serine peptidase inhibitor, Kazal type 1 (*SPINK1*) mutations have a well-documented increased risk of developing pancreatic cancer, perhaps as a result of the increased epithelial cell divisions that occur during injury and repair processes, or from reactive oxygen species that cause DNA damage[26]. Both the ongoing cycles of injury and repair and reactive oxygen species would be expected to increase the net number of mutations that occur per division. Inflammatory processes may further enable clonal populations to survive and expand that otherwise would be removed by the immune system[27]. Likewise, smoking contributes mutagens that cause DNA damage in pancreatic cells, thereby promoting the initiating event, clonal expansion and the accumulation of additional mutations as well[25,28]. Obesity is thought to increase pancreatic cancer risk by inducing a chronic pro-inflammatory state and hyperinsulinaemia[25,29]. Type II diabetes, another well-known association with pancreatic cancer, is also thought to increase risk through hyperinsulinaemia and/or the hyperglycaemia caused by the dysregulation of blood glucose levels[30]. Hyperglycaemia may increase cancer risk by supporting the survival and expansion of *KRAS* mutant clones that have differential dependence on glucose metabolism[31]. Finally, genome-wide association studies have identified various susceptibility loci for pancreatic cancer predicted to modify the net rates of cell growth (telomerase reverse transcriptase (*TERT*), nuclear receptor subfamily 5 group A member 2 (*NR5A2*), zinc and ring finger 3 (*ZNRF3*) and *TP63* (Refs 32–34)) or efficiency of DNA repair (structural maintenance of chromosomes 2 (*SMC2*))[34].

## Clonal expansion

The occurrence of the initiating driver gene mutation does not guarantee the development of pancreatic cancer, as the mutation must become fixed in the epithelial cell population (FIG. 1a). Up to 33% of pancreata from autopsy series contain pancreatic intraepithelial neoplasias (PanINs), known precursor lesions of pancreatic cancer (BOX 2), buttressing the notion that most PanINs never progress to an infiltrating carcinoma[35].

The extent to which the nascent tumour cell then undergoes additional cell divisions enabling the gradual accumulation of somatic alterations over time (stepwise progression, also known as linear progression) or rapidly over a limited number of cell cycles (punctuated) is unknown (FIG. 1b). Support for the stepwise progression model stems from classic evidence demonstrating increasing frequency of *KRAS, CDKN2A, TP53* (which encodes p53) and *SMAD4* alterations with increasing atypia of PanINs[36]. High-sensitivity methods to detect *KRAS* mutations indicate that they are present in more than 99% of stage 1 PanIN (PanIN-1) lesions. Moreover, although *KRAS* mutations are present in all PanIN stages, the proportion of cells containing *KRAS* mutations increases with PanIN grade, supporting the finding that this population expands clonally[37]. Loss of p16[INK4A] protein expression can be demonstrated in PanIN-2 and PanIN-3, with the frequency of p16[INK4A] loss higher in PanIN-3 (REF. 38); similarly, *TP53* nuclear accumulation or *SMAD4* loss has been demonstrated in PanIN-3 and invasive cancers, and the frequency of somatic alteration of both genes is higher in invasive cancer[39,40]. Such patterns indicate waves of clonal expansion in association with the accumulation of driver gene alterations. By contrast, punctuated evolution, or chromothripsis, is defined as the acquisition of numerous structural alterations in a single cell cycle by a catastrophic genomic event[41]. Evidence of chromothripsis has been found in 10% of pancreatic cancers[42]. Although that study did not characterize the genetic alterations caused by chromothripsis specifically, there was no evidence that chromothripsis was a dominant mechanism of driver gene alteration in those cancers. Thus, although it is entirely plausible that chromothripsis can disrupt driver genes in a stochastic manner, upon which positive selection can act, punctuated evolution does not seem to be as common a pathway as stepwise progression in pancreatic carcinogenesis.

Irrespective of how they accumulate, the genetic landscape of pancreatic cancer is dominated by three or four mountains represented by somatic alterations in *KRAS, CDKN2A, TP53* and *SMAD4* (REFS 42–45), all of which have been shown to arise in PanINs[36,46]. Advances in sequencing technology and throughput and increasing sample sizes have not altered this terrain, suggesting that the discovery phase of high-frequency genetic targets in pancreatic cancer has reached saturation. It also indicates that there are few evolutionary paths to the formation of pancreatic cancer. However, such efforts continue to be fruitful in identifying previously unknown low-frequency events of significance[42,44,45]. Recurrent somatic alterations are perhaps best understood in the context of the pathway or function affected, for many cases of low-frequency targets reveal themselves to be alternative perturbations of a common pathway[43] (TABLE 1).

## KRAS

Activating mutations of the *KRAS* oncogene on chromosome 12p are the most common genetic abnormality, present in approximately 95% of pancreatic tumours analysed[44,47]. *KRAS* encodes a member of the RAS family of GTP-binding proteins that mediate many cellular functions, including proliferation, cell survival and cytoskeletal remodelling[48]. Most mutations in *KRAS* are believed to cause a constitutively active protein, although recently some *KRAS* mutants, specifically *KRAS*[G12C], have been demonstrated to have nucleotide cycling activity that is draggable[49,50]. In approximately 4% of pancreatic cancers *KRAS* amplification occurs together with the oncogenic mutation[42]. *BRAF*, the signalling mediator immediately downstream of *KRAS*, is mutated or amplified in a mutually exclusive manner from *KRAS* in 3–4% of cases[42,51]. Intriguingly, although *KRAS* mutations are found in 99% of PanIN-1s[37], no more than 95% of pancreatic cancers have a *KRAS* or *BRAF* mutation, supporting the notion that a *KRAS* mutation is not strictly required for the development of pancreatic cancer.

## CDKN2A

The tumour suppressor gene *CDKN2A* encodes p16[INK4A] and p19[ARF] through a common locus on chromosome 9p[52]. The genomic structure of *CDKN2A* is highly complex in that it produces two mRNAs, each with a unique first exon but sharing exons 2 and 3. However, exon 2 in the mRNA that encodes p19[ARF] is derived from a different reading frame from that of the mRNA encoding p16[INK4A]; thus, the two proteins are not isoforms[53]. The high frequency at which this locus is inactivated in pancreatic cancers (>90%)[54] raises the question of which tumour suppressor is being selected for inactivation[55]. Evidence in mice and humans points to p16[INK4A] as the primary target because mutations in exon 1 — which is used in the transcript encoding p16[INK4A] — that would leave p19[ARF] functional have been reported in both pancreatic cancers and melanomas[55]. However, large homozygous deletions often inactivate both proteins so that loss of either may contribute to pancreatic carcinogenesis by different mechanisms. For example, p16[INK4A] inhibits cell cycle progression through the G1/S checkpoint mediated by CDKs such as CDK4 and CDK6 (REF. 56). The loss of this important restraint leads to unchecked CDK4 and CDK6 expression and cell cycle progression through the G1/S checkpoint. Telomere shortening in concert with the loss of the G1/S checkpoint creates an environment that facilitates chromosome instability and the accumulation of structural rearrangements, including `fold-back inversions`, which are a form of mutation relatively specific to pancreatic cancer[10,57]. By contrast, p19[ARF] induces cell-cycle arrest independently of CDKs by binding to the E3 ubiquitin ligase MDM2 to inhibit p53 degradation; loss of p19[ARF] abrogates p53-induced apoptosis and cell cycle arrest[55]. In a small number of pancreatic cancers that retain *CDKN2A*, somatic mutations in F-box and WD repeat domain containing 7 (*FBXW7*), which encodes a ubiquitin ligase that targets cyclin E for degradation, or the gene encoding the ring E3 ubiquitin ligase anaphase promoting complex sub-unit 2 (*APC2*, also known as *ANAPC2*) that regulates chromosome segregation and mitotic fidelity have been reported[43,51].

## TP53

p53 is a latent transcription factor that is activated by stimuli such as DNA damage or stress. Upon activation, p53 performs many functions, including regulation of the G1/S checkpoint, maintenance of G2/M arrest to enable DNA repair, and apoptosis[58]. *TP53* is somatically mutated in up to 85% of pancreatic cancers[47]. As many as 66% of *TP53* mutations in pancreatic cancer are missense mutations that affect the DNA binding domain[43,47]. Although not completely inactivating, such mutations provide oncogenic gains of function compared with the normal protein[58], often in association with nuclear accumulation of p53 in the neoplastic cell[39]. Previous studies based on immunolabelling for the p53 protein product in fixed tissues have drastically underestimated the frequency with which *TP53* is inactivated by not accounting for somatic alterations that result in a loss of protein expression[39,59]. However, it is clear that nonsense mutations, frameshifts and homozygous deletions not detected by p53 immunolabelling are notable mechanisms of *TP53* inactivation in pancreatic cancer[43,44]. In one study of late-stage pancreatic cancers, up to half of all mutations in *TP53* were predicted to cause a loss of protein expression leading to null alleles[47]. Among cancers that have no detectable *TP53* mutation, numerous genes, such as excision repair cross-complementation group 4 (*ERCC4*), *ERCC6*, E1A binding protein p300 (*EP300*) or RAN binding protein 2 (*RANBP2*), are mutated at low frequencies and may provide alternative inactivation of one or more p53 functions. Of particular importance is *ATM*, a gene also implicated in familial pancreatic cancer that may also be sporadically mutated[60]; ATM phosphorylates p53 directly and has pivotal roles in responding to cell stress and maintaining genome integrity[61].

## SMAD4

*SMAD4* is inactivated in approximately 55% of pancreatic cancers, either by homozygous deletion (30%) or by an intragenic mutation in association with loss of the second copy (25%)[62]. The SMAD4 protein is a crucial co-transcription factor and mediator of the transforming growth factor-β (TGFβ) canonical signalling pathway for cellular growth, differentiation and maintenance of tissue homeostasis[63]. The TGFβ pathway is notable for its dualistic nature in cancer; during the early stages of the clonal expansion phase (PanIN-1 and PanIN-2) it restrains neoplastic cell growth, whereas in later stages of clonal expansion (PanIN-3 and invasive cancers) TGFβ signalling promotes growth, in part owing to the loss of *SMAD4* and the canonical arm of the TGFβ pathway[64,65]. Up to 10% of pancreatic cancers without *SMAD4* alterations harbour an inactivating mutation in TGFβ receptor 1 (*TGFBR1*), *TGFBR2*, activin A receptor type 1B (*ACVR1B*) or *SMAD3*, providing alternative mechanisms to inactivate TGFβ signalling[43,44].

An important facet of *SMAD4* inactivation is the context in which it occurs. One study that evaluated the patterns of coexistence of driver gene mutations found that most pancreatic cancers with *SMAD4* inactivation had coexistent *TP53* gain-of-function alterations, whereas pancreatic cancers that retained *SMAD4* were more likely to have *TP53* loss-of-function alterations[47]. This relationship probably reflects the interdependence of p53 and TGFβ for transcriptional gene activation (discussed in greater detail in the next section). Thus, *TP53* mutant pancreatic cancers can be segregated into two types, those with *TP53* loss of function and wild-type *SMAD4*, and those with *TP53* gain of function and *SMAD4* loss of function.

Validation of these genetic subtypes in independent cohorts and their relationship to therapeutic responses remain to be discerned, including the extent to which these genetic subtypes overlap with other biological subtypes that have been described[66–68] (BOX 3). Such efforts would be particularly worthwhile in the context of clinical trials of patients with pancreatic cancer for which pretreatment tissues are available[69].

### Synergistic effects between mutations

Although the genes described thus far reveal those pathways that are disrupted, it is unlikely that each gene exerts its effects independently. Mutant *KRAS* has been shown to impede TGFβ signalling by inhibiting `receptor SMADs`[70,71] and inhibiting p53 by blocking its amino-terminal phosphorylation[72]. In turn, TGFβ signalling interacts at many levels with the RAS-RAF-ERK pathway[73]. p53 is required for TGFβ target gene transactivation by binding to distinct *cis*-enhancer elements in the same gene promoters through association with receptor SMADs[74]. Furthermore, mutant p53 and SMADs form a complex that inhibits p63, enabling aggressive features of cancer cells[75]. Thus, disruption of crucial driver genes creates a complex tumorigenic network that is expected to greatly alter the systems biology of the cell. An improved understanding of this altered system could be exploited to identify unique vulnerabilities and target specific mutant proteins or pathways[76].

## Introduction to foreign microenvironments

Extension of the neoplastic clonal population from the ductal system into the adjacent pancreatic stroma parallels the introduction of a species into a novel environment[77,78] (FIG. 1c). Invasion into the novel environment is in no way guaranteed however, as the clonal population probably requires a threshold number of genetic, epigenetic and phenotypic alterations to successfully invade and colonize. This concept is exemplified by mouse PanIN-2 and PanIN-3 lesions from which cells may disseminate and survive in the liver but do not persist to form secondary masses[79]. Whether this moment of invasion represents the passive displacement of neoplastic epithelial cells through an incompetent basement membrane, positive selection by one or more microenvironmental factors, or both, is unknown. Regardless, the microenvironment of a primary pancreatic cancer comprises various cell types, extracellular matrix (ECM) components and restricted nutrient and oxygen gradients[80–84] that act as potent selection forces and shape the ongoing adaptation and continued clonal expansion of this parental clone. In turn, the phenotypes of the neoplastic cells undergo random modifications, one or more of which might support cell survival and maximize fitness in that microenvironment at that moment in time. The result is a primary tumour that is heterogeneous at the cell autonomous and non-cell autonomous levels. Excellent reviews of the pancreatic cancer microenvironment already exist[80–84]; thus, in this section we will focus only on how they relate to evolutionary paradigms.

### The desmoplastic stroma of pancreatic cancer

The epithelial wound healing response is particularly robust in the pancreas, as evidenced by histology findings in pancreata from patients with chronic pancreatitis[85]. This response is evolutionarily conserved to support the multicellular state and is coordinated in large part by TGFβ (REF. 86). Features associated with a wound healing response include fibroblast

activation, immune suppression, remodelling of the ECM and trophic signals to promote re-epithelialization[87]. That the stroma en masse has an influence on the neoplastic epithelium is undisputed, yet the extent to which each cell type supports rather than restrains neoplastic growth is an area of immense interest.

Co-option of the stromal response by cancer indicates that the stroma provides paracrine signals that select tumour cells with certain properties. Such paracrine signals originate from various sources but have mostly been described for `α-smooth muscle actin (αSMA)`[+] `myofibroblasts`. Myofibroblasts are derived from normally quiescent `pancreatic stellate cells` (PSCs) in the pancreas. Upon activation, PSCs lose their cytoplasmic lipid, transdifferentiate into αSMA[+] myofibroblasts with proliferative capacity, secrete various growth factors, such as TGFβ, fibroblast growth factor (FGF) and platelet-derived growth factor (PDGF), and substantially increase production of ECM components[88]. Moreover, unlike the non-neoplastic setting, in which proliferative signals are eventually quelled with the culmination of repair following injury, PSCs and other stromal mesenchymal cells are continuously activated by the neoplastic epithelium itself, which secretes PDGF, TGFβ and sonic hedgehog (SHH)[89–91]. Evidence supporting the tumour-promoting role of PSCs and their myofibroblastic derivatives comes from mouse studies in which pharmacological inhibition of PSC activation by the vitamin D analogue calcipotriol led to stromal collapse, smaller tumours and improved chemotherapeutic delivery[92]. Analogous results are seen following stromal ablation by short-term inhibition of Hedgehog signalling[93] or enzymatic ablation of hyaluronic acid (HA), a major constituent of the ECM that is secreted by myofibroblasts[94,95]. By contrast, two recent studies using a mouse model of pancreatic cancer indicated that stromal ablation by conditional deletion of *Shh* (chronic inhibition)[96] in or of αSMA[+] myofibroblasts themselves[97] led to more aggressive tumours. This suggests that distinct components of the myofibroblastic secretome have tumour-restraining properties, although one cannot entirely rule out that the secretomes of other stromal cell populations (for example, macrophages) have tumour suppressive features as well. These opposing forces occur over geographic space and time and in part may underlie the formation of intratumoural heterogeneity by favouring selective sweeps of one clonal population at the expense of another.

The effects of the microenvironment on pancreatic cancer cells go beyond stromal cells. The abundant ECM produced by αSMA[+] myofibroblasts is rich in HA, fibrillar collagens and secreted protein acidic and rich in cysteine (SPARC), which act as a physical barrier to the neoplasm[94,95]. HA is a large negatively charged glycosaminoglycan that binds to large amounts of water, leading to high hydrostatic pressure and interstitial fluid pressure (IFP)[80]. The swelling caused by high concentrations of HA stresses collagen fibrils tethered to cancer or endothelial cell surface receptors, which contract in response, leading to pathological IFP, widespread vascular collapse and hypoperfusion. Although this is problematic from the point of view of therapeutic delivery[93,94], such a phenomenon itself may cause geographic isolation of neoplastic cells that are already in a nutrient-restricted environment, thus enforcing `allopatric evolution` and intratumoural heterogeneity. IFP also leads to hypoxia, a pervasive feature of the pancreatic cancer microenvironment that serves as yet another powerful selective force[93]. Pancreatic cancer cells can adapt to

these environmental pressures through metabolic reprogramming and shunting of resources; these adaptations occur in association with *KRAS* mutations well before the onset of invasion and are continuously refined with subclonal evolution[83,98]. This is analogous to ecological studies that have shown that the most successful invasive species are those that are predisposed to the most efficient use of available (limited) resources[78].

### The immune system in pancreatic cancer

The immune system represents yet another highly complex programme that has evolved to support the multicellular state[99]. In the context of cancer evolution immune cells represent native predators. Abundant evidence indicates that the pancreatic cancer microenvironment is immunosuppressed at multiple levels, some of which occur in association with the clonal expansion phase of the neoplasm and themselves may enforce genetic bottlenecks in a temporal and spatial manner[100]. In general, the pancreatic cancer microenvironment is notable for T cell suppression by several mechanisms, including an accumulation of CD4$^+$ forkhead box P3 (FOXP3)$^+$ regulatory T cells (T$_{reg}$ cells), M2 tumour-associated macrophages (TAMs), myeloid-derived suppressor cells (MDSCs) and fibroblast activation protein (FAP) $^+$ fibroblasts, a type of stromal cell distinct from αSMA$^+$ myofibroblasts in the pancreatic cancer microenvironment[84,101,102]. The endogenous cytotoxic T cells do not seem to be dysfunctional, as mechanisms to bypass their suppression unmask latent immune responses and promote intratumoural accumulation of T cells[101,103].

### Metastasis of pancreatic cancer

Although metastasis is managed clinically as a distinct stage, from an evolutionary standpoint it is a reflection of clonal competition and fitness levels in the primary site (FIG. 1c). Four lines of evidence support this notion. First, dissemination itself has not been shown to be a rate-limiting step for the formation of metastases, as 99% of cells that enter the circulation do not survive beyond 24 hours[104]; moreover, dissemination occurs from the earliest stages of pancreatic carcinogenesis[79]. Second, no metastasis-specific genes have been found in pancreatic cancer; instead, a substantial proportion of metastatic efficiency is determined by the genetic alterations that arise during the clonal expansion phase itself (*KRAS, CDKN2A, TP53* and *SMAD4*), before the moment of invasion[47,65]. Thus, the genetic features of the parental clone play an important part (albeit they are not the only factor) in determining the extent to which the clone will successfully adapt and survive in foreign microenvironments should metastasis occur. Third, in two independent studies encompassing 13 unique patients, metastatic subclones were shown to arise from large populations of cells in the primary tumour[10,105]. These subclones can be identified by their unique set of passenger mutations or structural rearrangements, which are genetic markers of the life history of that lineage[9]. Finally, mathematical models predict at least 5–10 years for the emergence of a metastatic subclone following development of the parental clone, again implying the importance of time for adaptation within the microenvironment[9]. The complement of features of the pancreatic cancer cell or its microenvironment that dictate metastasis to the liver, lungs or peritoneum has yet to be determined. However, recent data using lineage tracing in a mouse model of pancreatic cancer indicate that multi-clonal seeding is required to initiate metastases in an organ-specific manner[105].

## Unanswered questions

### Is KRAS the only initiating driver gene in sporadic pancreatic cancer?

KRAS is undoubtedly important for pancreatic cancer biology, and extensive efforts are under way to target this oncoprotein[106]. What is debatable is whether *KRAS* mutations are the only initiating event in pancreatic cancer.

Oncogenic *KRAS* mutations can be found in the pancreata of patients with no evidence of PanINs or pancreatic cancer, suggesting that they are necessary but not sufficient to initiate pancreatic carcinogenesis[107]. Moreover, people with germline mutations in *KRAS* have not been reported to have a higher risk of developing pancreatic cancer or other non-neoplastic pancreatic sequelae; instead they develop diseases related to developmental delay, bone marrow failure and syndromic cardio-facio-cutaneous disorders, all probably a result of oncogene-induced senescence[108]. Thus, it seems paradoxical that *KRAS* mutations that may cause senescence can initiate pancreatic cancer. One explanation for this paradox is that the spectrum of *KRAS* germline mutations differs with respect to the codons affected and thus they do not lead to KRAS hyperactivity to the same extent as oncogenic mutations, for example, G12S, K117R and A146T mutations, in patients with Costello syndrome compared with G12D mutations in those with pancreatic cancers[108].

Compelling experimental evidence that supports the notion that mutant *KRAS* in preductal epithelial cells can initiate pancreatic cancer is its ability to inhibit immune-induced senescence and promote localized immunosuppression[27,109,110]. Oncogenic *KRAS* has also been shown to induce expression of functional interleukin-17 (IL-17) receptors on transformed epithelial cells while stimulating infiltration of IL-17-producing T helper 17 ($T_H17$) cells and $\gamma\delta$ T cells into the adjacent microenvironment. As a result, the transformed epithelial cells undergo paracrine stimulation by the secreted IL-17, which supports clonal expansion of the *KRAS* mutant population[111]. Thus, although the random occurrence of an oncogenic *KRAS* mutation may cause senescence in most instances, occasionally the *KRAS* mutant cell may survive long enough to incite a local immunotolerant environment that supports its clonal expansion into a large enough population for additional genetic events to occur[112] (FIG. 1a). This scenario is consistent with mathematical models that predict that at least a decade is required from initiation to formation of the clonal population that will eventually breach the basement membrane and become an infiltrating carcinoma[9].

An alternative possibility that should be considered is that mutations in *KRAS* are not always the initiating event but may be a driver gene alteration that is selected for in the clonal expansion phase following a tumour cell of origin first acquiring a different driver gene mutation. An example of a strong candidate for an alternative initiating driver gene is *CDKN2A*, as *CDKN2A* mutations are linked to an inherited risk of pancreatic cancer[21]. Inherited mutations in DNA damage repair genes such as *BRCA2* do not negate the possibility that genes such as *CDKN2A* could be an alternative initiating driver, as they act by increasing the number of potentially deleterious genetic events per cell division and hence the chance that inactivating mutations in these driver genes occur. Consistent with this interpretation, there is no difference in the genetics of familial pancreatic cancers compared with those in which the disease occurred sporadically[21]. Finally, although not experimentally

studied, `constitutional epimutation` may be a mechanism of pancreatic carcinogenesis[113]. This would be supported by reports that many patients with a strong familial pattern of inheritance do not have an identifiable germline genetic event[21]. It is crucial to understand these possibilities in light of limited success in screening for pancreatic cancer or in developing chemopreventive strategies thus far. For example, anti-inflammatory or immunomodulatory agents may have a greater preventive effect for *KRAS*-initiated pancreatic cancers than for those that arise as a result of loss of a tumour suppressive mechanism[114].

**What is the importance of mutations in epigenome regulatory genes?**

Perhaps the biggest revelation from high-throughput sequencing of many cancer types, including pancreatic cancer, has been the identification of recurrent somatic mutations in genes encoding epigenome regulators, specifically members of the `SWI/SNF complex` and the histone-lysine N-methyltransferase 2 (KMT2) family. Individually, members of each gene family are somatically mutated in a small percentage (<5%) of pancreatic cancers analysed[42–45]. Collectively, the frequency of somatic alterations for any member of these gene families is higher, suggesting that a common epigenomic phenotype is selected for by various genotypes. For example, up to 30% of pancreatic cancers were shown to have an alteration in one of five different members of the SWI/SNF complex in a mutually exclusive manner[115].

The SWI/SNF family of genes encodes proteins that make up one of two complexes, the BRG1- or HRBM-associated factor (BAF) complex and the polybromo-associated BAF (PBAF) complex. Each complex relies on ATP hydrolysis to directly disrupt histone-DNA contacts. In general the key role for SWI/SNF complexes is to control the balance between differentiation and sternness and to antagonize the action of the Polycomb repressive complex[116]. Although mutations in several SWI/SNF family members have been described in pancreatic cancer, the most frequently mutated genes are AT-rich interactive domain-containing 1A (*ARID1A*) and SWI/SNF-related matrix-associated actin-dependent regulator of chromatin subfamily A member 2 (*SMARCA2*), both components of the BAF complex[42,44]. Similar to genes related to SWI/SNF signalling, the KMT2 genes encode proteins that are components of multi-subunit complexes. KMT2 proteins methylate histone H3 on lysine 4 (H3K4) to promote genome accessibility and transcription[117]. In pancreatic cancer, *KMT2C* (also known as *MLL3*) is the most commonly mutated member of this family, although mutations in *KMT2D* (also known as *MLL2*) and *KMT2A* (also known as *MLL*) are also seen[42,44]. Like SWI/SNF, KMT2 genes have pervasive roles in regulating sternness and differentiation.

To date, the temporal occurrence of these gene alterations has not been explored, thus it remains to be seen whether they represent mutations acquired during the clonal expansion phase or subclonal events that are selected for their fitness advantage in the primary tumour microenvironment. This distinction is crucial, as events acquired during early carcinogenesis are expected to be more targetable than those that are subclonal in nature[11]. In addition, unlike *CDKN2A*, *TP53* or *SMAD4*, in which both alleles are targeted, members of the SWI/SNF and KMT2 gene families require loss of function of only a single allele for their

effects in cancer[116,117]. A determination of the requirement of the wild-type allele for cancer cell survival would be fruitful, as it may provide a therapeutic vulnerability using synthetic-lethal approaches[118,119]. The temporal occurrence of these alterations also has importance from the evolutionary perspective. Mutations that arise during the intraductal clonal expansion phase provide a clue to the survival advantage required for the neoplasm to develop, and suggest that at the moment of invasion the parental clone was already maximally equipped for survival through rapid epigenetic adaptation. By contrast, mutations that arise in a subclonal manner after invasion occurs may be a reflection of the spatial heterogeneity of microenvironmental selection factors. Such an instance could be exploited to better understand the heterogeneity of the micro environment in general and in relation to stromal ablation therapies (FIG. 2).

### What are the clinically relevant aspects of heterogeneity?

Heterogeneity is a loosely used term in cancer biology. At one extreme it may be used to describe inter-patient heterogeneity with respect to biological subtypes of the disease that differ in their aetiology[21], biology[66–68] or response to therapy[42] (BOX 3). The success of any personalized intervention depends on the specific genotype and microenvironment, and the immune and metabolic phenotypes of each patient. There is no doubt that a better understanding of such phenotypes will provide rapid improvements in clinical management, as it has already in BRCA-mutant ovarian cancers[120]. At the opposite extreme is intra-tumoural heterogeneity, most often described in relation to genetics[9], although epigenetic or phenotypic variants of pancreatic cancer can be described with this term[121].

Broadly in the field of cancer research there is a lack of distinction between genetic subclonal heterogeneity within a primary tumour in general, in metastasis-initiating cells of the primary tumour specifically, or within a metastasis, each of which may have distinct clinical and therapeutic implications at a particular stage of disease[122] (FIG. 3). A deeper understanding of these different types of heterogeneity will help to define clinically relevant subclones, and the contexts in which subclonal heterogeneity is most meaningful biologically and therapeutically (FIG. 2). Moreover, there is little distinction between heterogeneity of unequivocal driver gene alterations and heterogeneity of somatic alterations with predicted consequences in passenger genes. However, the latter provide unexplored territory with regard to the importance of spatially distinct passenger mutations within a single neoplasm in relation to `immunoediting`[123], the `mini-driver model of polygenic cancer evolution`[124] or recurrent regions of `haploinsufficiency`[125]. A counterintuitive view also asks to what extent is heterogeneity reduced during tumour evolution? Although mutations and cell divisions supply heterogeneity over time, there probably exist several bottleneck events that reduce overall diversity during cancer evolution, for example, fixation in evolutionary stage 1 (initiation) and colonization in evolutionary stage 3 (introduction to foreign microenvironments).

### What are the evolutionary effects of treatment?

Currently, the only potentially curative therapy for pancreatic cancer is surgical removal of the neoplasm[3], causing an evolutionary effect akin to near total decimation of the cancer cell population. However, most patients who undergo surgery will develop recurrent disease,

providing evidence that small populations of cancer cells are left behind either locoregionally or systemically and as predicted by computational models[126]. The evolutionary dynamics by which these residual cells survive, divide and develop into clinically evident populations of cancer cells while under the selective pressures of systemic chemotherapy or radiation is unknown.

The same can be stated for locally advanced, unresectable or metastatic pancreatic cancer. It is reasonable to assume that radiation, cytotoxic chemotherapies and targeted agents that constitute the standards of care for this disease all influence cancer cell evolution[13]. However, at each stage of disease the extent to which different treatment modalities contribute to genetic botdenecks, the selection of resistant clones or the *de novo* formation of resistant clones remains unknown despite our general knowledge of resistance mechanisms in cancer[127]. Only with dedicated studies that rely on post-treatment tissues at the time of progression can these questions begin to be addressed.

## Summary

The pace of discovery in understanding pancreatic cancer biology is at its height. Compared with less than one decade ago we have a firm grasp of the genome of pancreatic cancer[43,44] and the mechanisms by which metabolism is altered to suit the needs of pancreatic cancer cells[83], and insight into rationally targeting the nodes of immunosuppression[102] or exploiting genomic instability[42]. However, what is lacking is a convergence of these parallel lines of study, as they are no doubt highly interrelated. This Review has attempted to collate the current understanding of pancreatic cancer into a single concept rooted in evolutionary biology. Mechanisms to support cross-collaboration of these exciting areas of research are expected to further accelerate the pace of discovery and ultimately improve patient survival.

## Acknowledgments

## Glossary

**Driver gene**
A gene that confers a selective growth of survival advantage when somatically mutated

**Tree of Life**
Standard illustration of branching evolution encompassing the history of life on Earth in which branch tips represent extinct or extant species and nodes depict common ancestors

**Familial pancreatic cancer**
Pancreatic cancer diagnosis in two or more first-degree relatives

**Caretaker genes**
Genes that when mutated result in a loss of genomic stability and fidelity

**Gatekeeper gene**

A gene that when mutated results in a loss of growth control

**Mitotic figures**

Visible, organized chromosomes in a cell, used as evidence of active mitosis

**Pseudopapillary**

Having round outgrowths of tumour cells into the lumen of an epithelial cell-lined duct

**Fold-back inversions**

Chromosomal mutations involving a duplication of a genetic sequence followed by an inversion of the copy, resulting in a head-to-head rearrangement

**Receptor SMADs**

Transcription factors that are activated by extracellular ligands to promote transforming growth factor-β (TGF-β) signalling and gene expression

**α-Smooth muscle actin (αSMA)+ myofibroblasts**

Collagen-producing cells of mesodermal origin that express αSMA when activated

**Pancreatic stellate cells (PSCs)**

Resident cells of the pancreas that generate fibrous extracellular matrix

**Allopatric evolution**

A type of evolutionary divergence that occurs when a side population is separated from the ancestral population by a physical barrier

**CD4+ fbrkhead box P3 (FOXP3)+ regulatory T cells**

($T_{reg}$ cells) Immune cells that maintain self-tolerance and suppress immunological response

**M2 tumour-associated macrophages (TAMs)**

Immune cells found in pancreatic tumours that promote inflammation

**Myeloid-derived suppressor cells (MDSCs)**

Immune cells of myeloid origin that regulate the immune response

**Fibroblast activation protein (FAP)+ fibroblasts**

Stromal cells that commonly react with tumour cells

**Constitutional epimutation**

A stably inherited epigenetic alteration that leads to changes in gene expression

**SWI/SNF complex**

Evolutionarily ancient group of proteins that remodel chromatin by altering the positions of nucleosome binding

**Immunoediting**

Immunological selection imposed on tumour cells that may result in the emergence of immune-resistant tumour cells

### Mini-driver model of polygenic cancer evolution

Model of cancer progression in which mutations with subtle effects may collectively confer a survival growth advantage

### Haploinsufficiency

Occurs when one functional copy of a gene is present but abnormal expression or phenotype still occurs

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## Box 1 | Evolutionary origins of cancer

Cancer, defined as the abnormal growth of a clonal population of cells with the ability to invade and destroy surrounding tissues within its host, is a feature of multicellular organisms[13]. This statement is logical, as the development of multicellularity in the `Tree of Life` required cells to trade off their individual growth potential for the collective good of the population[128]. However, multicellularity alone is not the sole reason why cancer develops. Multicellularity has evolved multiple times throughout the Tree of Life by various mechanisms[129]. In Bacteria, Fungi and Algae, multicellularity has evolved two or more times independently, whereas multicellularity has evolved only once in the Animalia kingdom. Intriguingly, in this instance multicellularity evolved in association with diversification of genes related to integrins, cadherins, WNT signalling, transforming growth factor-β (TGFβ) signalling and Hedgehog signalling compared with their unicellular ancestors[130]. Thus, the same mechanisms that enabled multicellularity in the Animalia are some of those that, when dysregulated, make the many divergent species, including humans, susceptible to cancerous growth. Neoplastic growths have been documented in the basal metazoan *Hydra*[131], in *Drosophila melanogaster*[132] and even in prehistoric species[133]. The extent or frequency with which cancer develops or behaves more aggressively with increasing complexity of multicellular organisms in the Animalia is unknown, and species such as the naked mole rat or elephant have evolved unique mechanisms to resist cancer formation[134,135]. Nonetheless, collectively, cancer may be viewed as a phenomenon of the natural world that represents an evolutionary trade-off of being a multicellular organism descended from metazoans. The fact that most cancers, including pancreatic cancer, occur in humans well past reproductive age supports the idea that the occurrence of cancer has not served as a negative selective force during propagation of our species[136].

## Box 2 | Histological features of pancreatic intraepithelial neoplasia

Pancreatic intraepithelial neoplasias (PanINs) are pre-invasive neoplasms that arise within the intralobular ducts of the exocrine pancreas. Depending on the extent of the cytological atypia they are classified as PanIN-1 (low-grade dysplasia), PanIN-2 (moderate dysplasia) or PanIN-3 (high-grade dysplasia) (see the figure). A simple cuboidal layer of cells characterizes normal pancreatic ductal epithelium (denoted by arrows in the 'Normal' panel). PanIN-1 can be recognized by mucinous differentiation and elongation of the ductal cells (denoted by the box) despite these cells having minimal nuclear atypia. PanIN-2 lesions have loss of mucinous epithelium in association with nuclear pleomorphism and crowding (arrows). `Mitotic figures` are more commonly seen at this stage. Finally, PanIN-3 corresponds to frank carcinoma *in situ*, characterized by `pseudopapillary` formation (arrow in the bottom panel), a high degree of nuclear atypia, intraluminal apoptotic debris (arrow in the top panel) and frequent mitotic figures. Sequencing of PanINs for the most common genetic alterations in pancreatic cancer indicates that activating mutations in *KRAS* are present in more than 99% of PanIN-1 lesions[37]. Inactivating mutations in cyclin-dependent kinase inhibitor 2A (*CDKN2A*) can be detected as early as PanIN-2 lesions, and inactivating mutations in *TP53* and *SMAD4* as early as the PanIN-3 stage[36]. Collectively, these observations have lent bias in the field to the stepwise accumulation of somatic alterations during the clonal expansion phase in some patients (FIG. 1a) but they do not rule out punctuated evolution (FIG. 1b). Similar progression models have been proposed for two variant precursors of pancreatic cancer, intraductal papillary mucinous neoplasms (IPMNs) and mucinous cystic neoplasms (MCNs)[137], although the genetic features associated with these lesions are less well characterized. Images courtesy of O. Basturk and C. Askan, Memorial Sloan Kettering Cancer Center, New York, USA Scale bars, 100 mm.
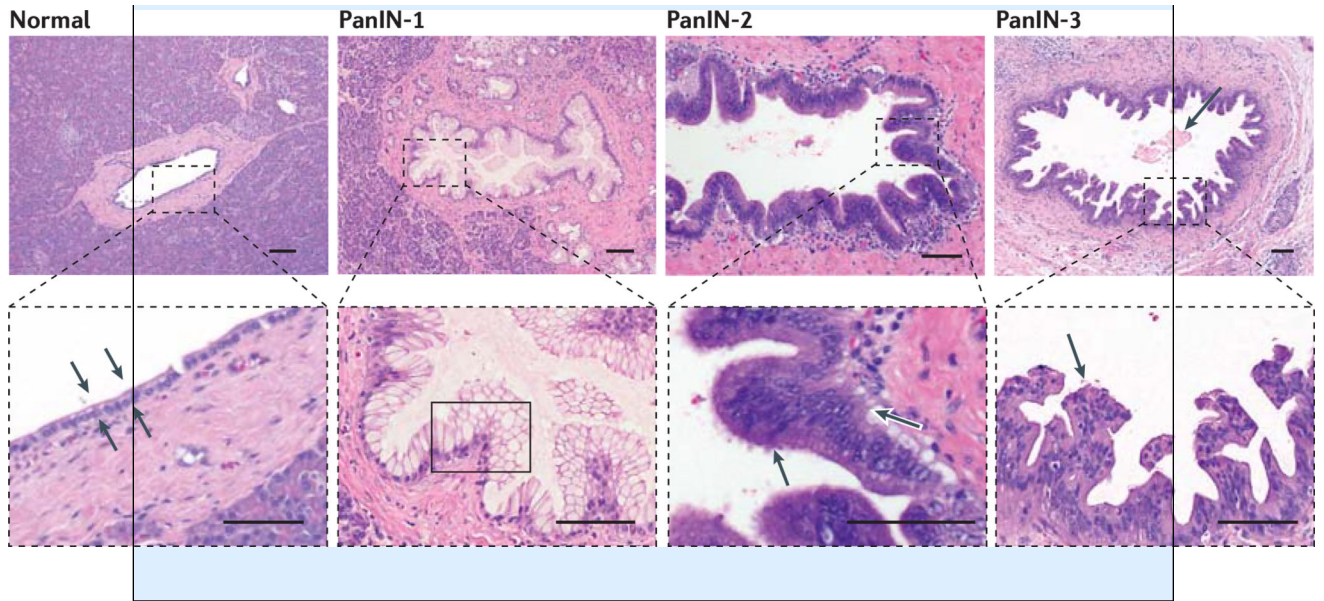
## Box 3 | Interpatient heterogeneity in pancreatic cancer

Interpatient heterogeneity refers to the variation between patients with respect to a genotype or phenotype. Currently, genetic variations between patients are the most common pretreatment stratifying factor in determining therapeutic intervention, and they form the basis for personalized medicine strategies. For example, the recent identification of genome instability at the whole chromosome level was found to cosegregate with germline or somatic mutations in *BRCA1, BRCA2* and partner and localizer of BRCA2 (*PALB2*) and with extreme sensitivity to crosslinking agents that cause DNA double-strand breaks[42]. Thus, there is great interest in assessing patients not only for germline mutations in DNA double-strand break repair genes but also in their tumours for the genome instability phenotype. Identification of such patients with pancreatic cancer will indicate those most likely to benefit from poly(ADP-ribose) polymerase (PARP) inhibitors that act by blocking repair of double-strand breaks in cancer cell DNA[138].

Phenotypic differences between pancreatic cancers were first reported by Collisson *et al.*[66] who stratified them into classical, quasimesenchymal and exocrine-like, followed by Moffitt *et al.*[67] who identified two subtypes, termed classical and basal-like. Two stromal signatures (activated and normal) were also identified. In the most recent and comprehensive series to date, Bailey *et al.*[68] characterized pancreatic cancer into four signatures based on gene expression profiling: squamous, aberrantly differentiated endocrine exocrine, pancreatic progenitor and immunogenic. A comparison of these three studies indicates a degree of convergence in that the quasimesenchymal subtype described by Collisson *et al.* and the basal subtype described by Moffitt *et al.* correspond to the squamous subtype in the Bailey *et al.* study, a terminology used for describing squamous-like tumours of the lung, breast, bladder and head and neck in The Cancer Genome Atlas (TCGA) pan-cancer studies[139]. Ultimately, how to use this information in a clinically meaningful way remains to be elucidated. The most immediate steps to accomplish are perhaps to determine the relationship of the activated stromal signature to efficacy of anti-stromal therapies[80], or of the immunogenic signature to immune checkpoint inhibitors or vaccines[102,140,141]
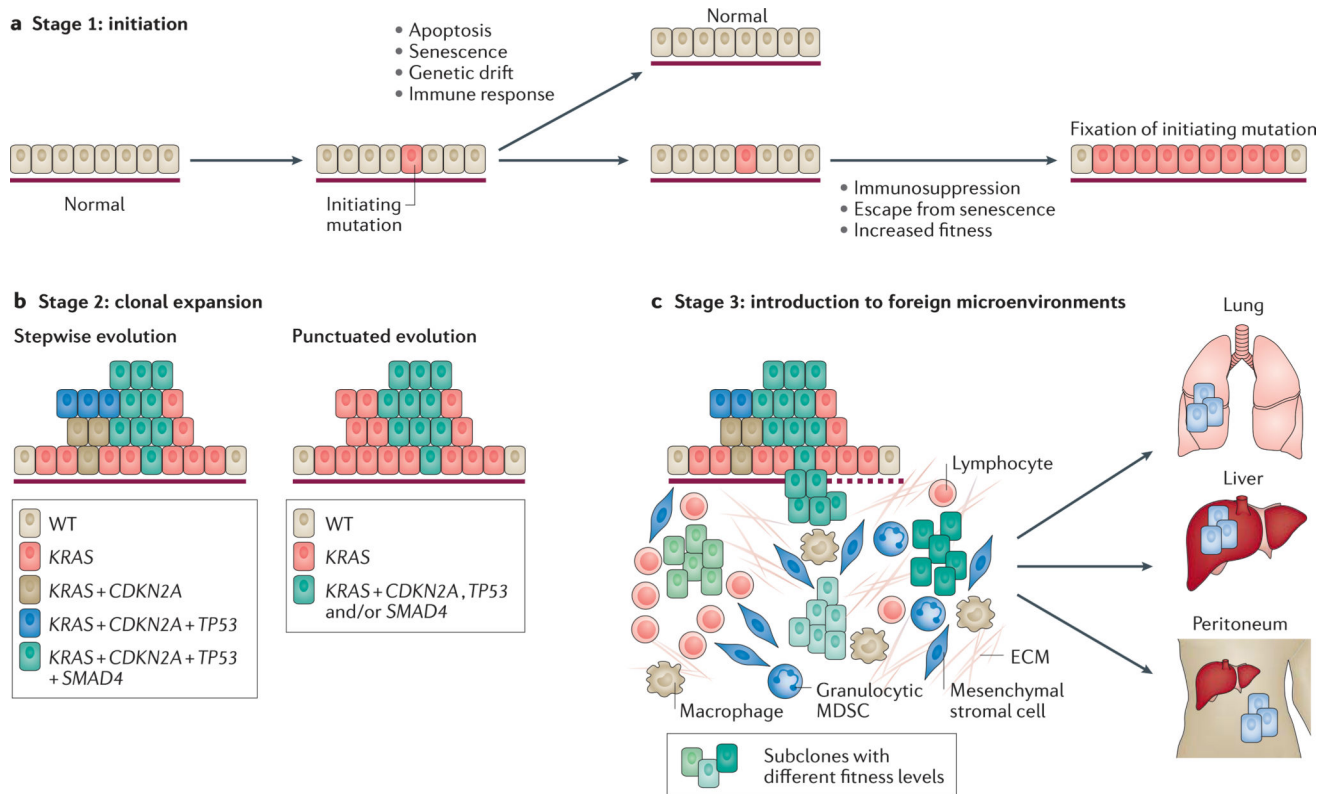
**Figure 1. Stages of pancreatic cancer evolution**

**a** | Stage 1: initiation. A normal cell of the pancreas acquires an initiating driver gene mutation as a result of environmental exposure or a lapse in DNA repair. In most instances, this initiating mutation causes the cell to undergo apoptosis or senescence, or to be lost owing to immune surveillance or during a bottleneck event or tissue turnover (genetic drift). If these mechanisms fail, the cell carrying the initiating mutation (red) escapes from senescence and immunosuppression, and continues to fixation because of a survival or growth advantage. **b** | Stage 2: clonal expansion. The cell carrying the initiating mutation and its progeny continue to divide, creating a clonal population defined by the presence of the driver gene mutation. In the stepwise progression model, as the population grows in both cell number and geographic space, the descendants gradually acquire additional driver gene mutations (dark beige, blue and green cells in the left panel) and passenger mutations that increase clonal heterogeneity of the neoplasm. In the punctuated evolution model, a catastrophic genome-wide event occurs in a single cell cycle that results in widespread structural damage and acquisition of multiple driver gene alterations simultaneously (green cells in the right panel). **c** | Stage 3: introduction to foreign microenvironments. Ongoing clonal expansion may lead to a population of cells (green cells) that break through the basement membrane into the surrounding stroma. This event represents a genetic bottleneck that leads to a reduction in genetic diversity. Additional genetic events, signals provided by the stroma, deposition of dense extracellular matrix (ECM) and immune infiltrates all provide selective forces that shape the adaption of these cells into subclonal populations that differ with respect to their overall fitness (represented by cells coloured different shades of green). Dissemination is probably an ongoing process during tumour development; however,

the extent to which cells from the entire neoplasm uniformly enter the circulation and/or whether dissemination is restricted to a subpopulation is unknown. Nonetheless, those disseminated cells that achieved high fitness in the primary site may have the greatest chance of colonizing new microenvironments, such as the liver, lung or peritoneum, common sites of metastasis in pancreatic cancer. Colonization of secondary sites represents yet another genetic bottleneck that may further reduce genetic heterogeneity. *CDKN2A*, cyclin-dependent kinase inhibitor 2A; MDSC, myeloid-derived suppressor cell; WT, wild type.
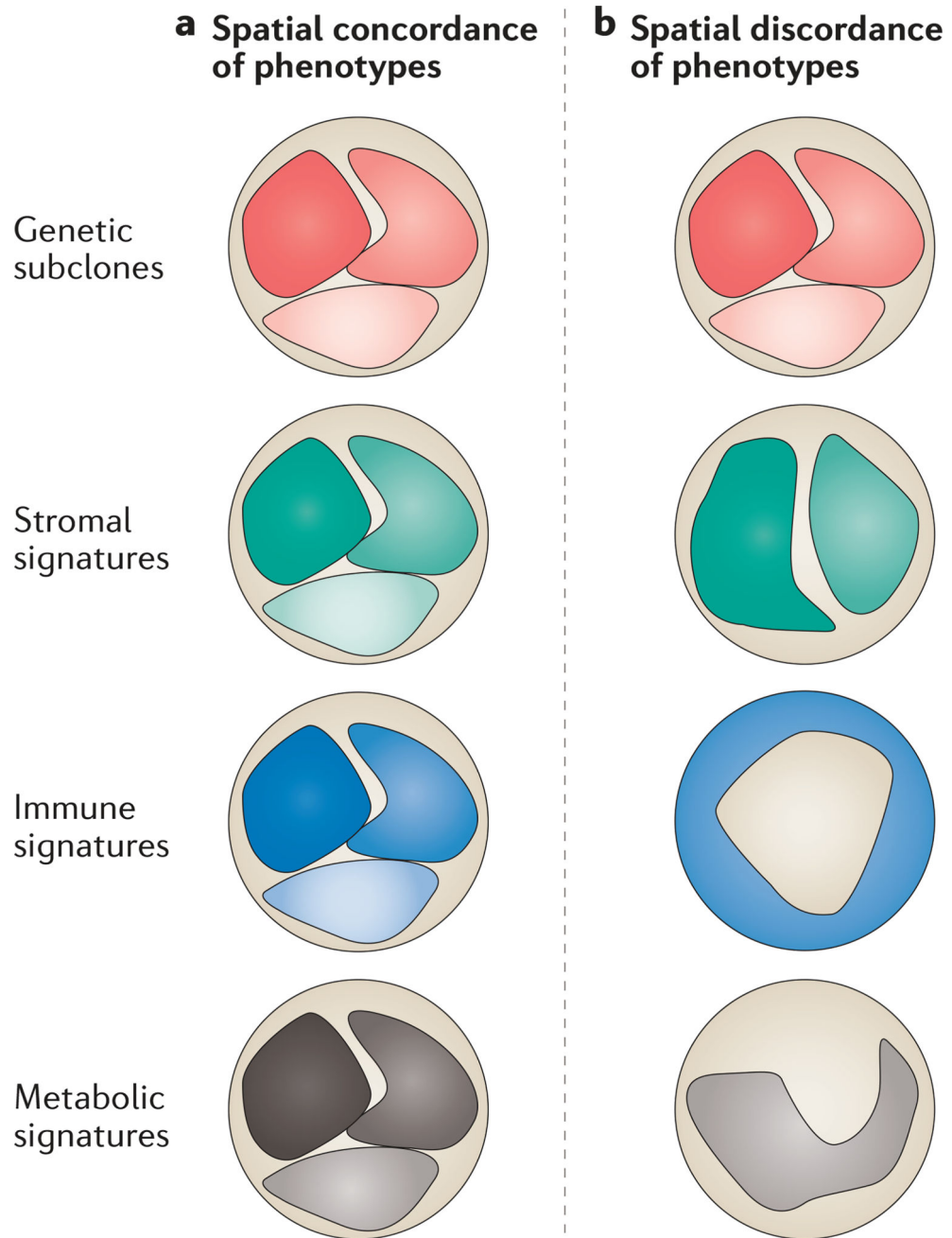
**Figure 2. Geographic heterogeneity in pancreatic cancer**

Geographic heterogeneity refers to the spatial variation within a single patient's tumour with respect to genotypes and phenotypes. **a** | Spatial concordance. In spatial concordance, genetic subclonal populations and regions of distinct stromal biology, immune and/or metabolic phenotypes are geographically linked, as shown in the serial sections of a hypothetical primary tumour. This pattern would be consistent with genotypic heterogeneity driving phenotypic heterogeneity within a neoplasm. A representative example of a genotype that directly drives phenotypic features in pancreatic cancer are *KRAS* mutations that lead to immunological and metabolic alterations[27,142]. In this scenario, targeting of subclones or the

dominant subclone that drives tumour progression may be most efficacious. **b** | Spatial discordance. In spatial discordance, genotypic and phenotypic variations are unrelated to each other, implying that phenotypic variations in distinct regions of a tumour are unrelated to genotype and are more influenced by epigenomic or polygenic models of tumour behaviour. Unlike targeting of subclones, in this situation methods to modulate the epigenome to reduce cellular plasticity may have greater value. Spatial discordance has not been formally shown in human tumours because so far all global analyses have relied on single tumour samples, and thus it is of theoretical concern only until proved.

## a Subclonal heterogeneity within a primary tumour

**Primary tumour**

**Germline**

*n*

Founder

*a*     *d*     *b*

*c*

Subclone 1 (mutation *a*)

Subclone 2 (mutation *d*)

Subclone 3 (mutation *b*)

Subclone 4 (mutations *b* and *c*)

## b Subclonal heterogeneity within a metastasis

**Metastasis**

**Germline**

*n*, *b* and *c*

Metastasis-initiating cell

*x*     *y*

*z*

Subclone 1 (mutation *x*)

Subclone 2 (mutation *y*)

Subclone 3 (mutations *y* and *z*)

## c Subclonal heterogeneity of metastasis-initiating cells within a primary tumour

**Primary tumour**

**Metastases**

*n*, *b* and *c*

Metastasis-initiating cells with mutations *b* and *c*

*n* and *d*
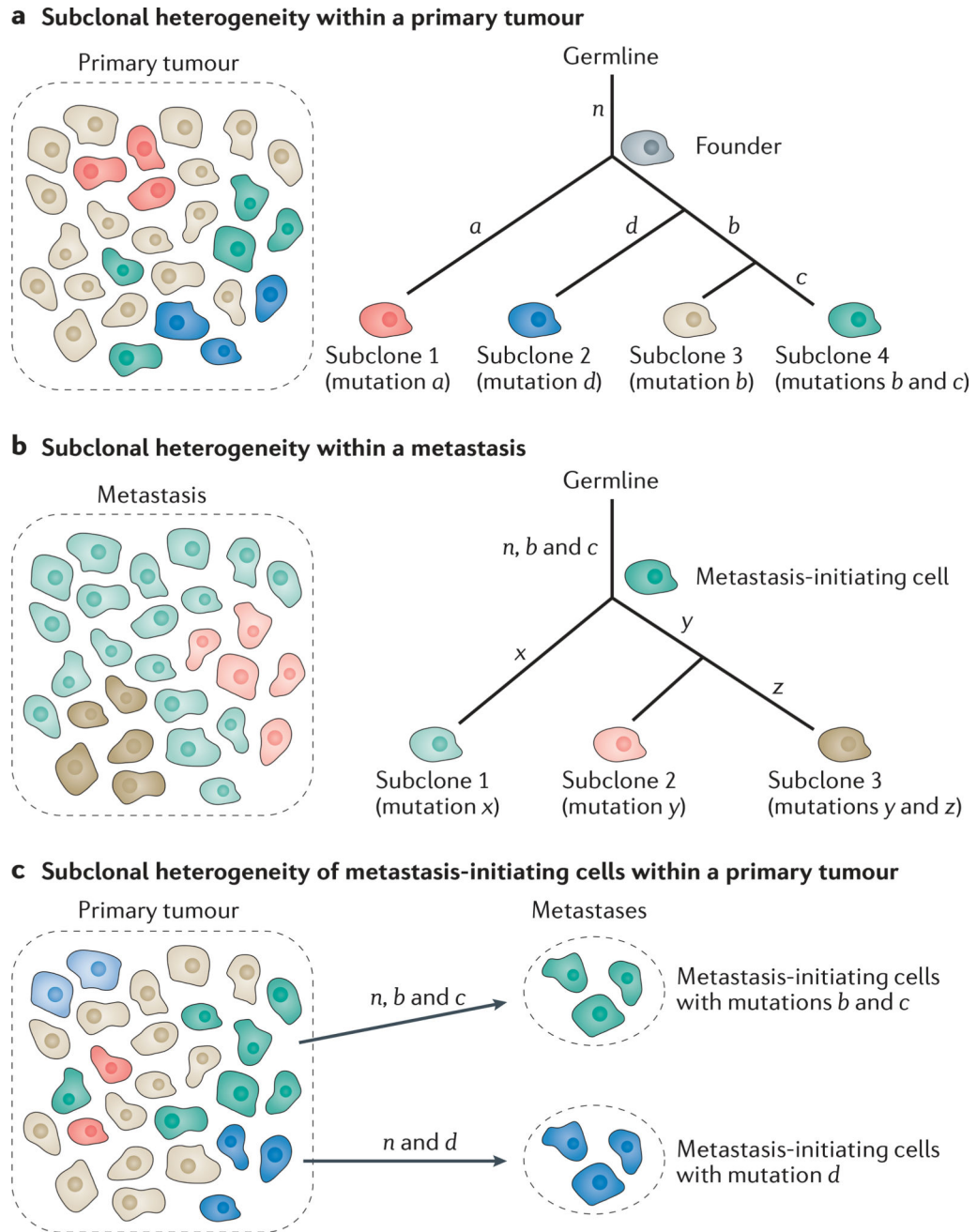
Metastasis-initiating cells with mutation *d*

**Figure 3. The three forms of intratumoural heterogeneity within a patient**

**a** | Intratumoural heterogeneity within a primary tumour. The founder clone (indicated by the grey cell) is the ancestral cell population whose lineage contains all mutations acquired post-fertilization by the most recent common ancestor in the primary tumour. Thus, each mutation that was present in the founder cell is present in every descendant subclone and is inferred by the trunk of the phylogeny that contains *n* mutations. The founder cell itself no longer exists, as once it divides and accumulates a new mutation or mutations it has evolved. Subclone 1 (red cells) is composed of cells that have acquired mutation *a*. Subclone 2 (blue cells) and subclone 3 (beige cells) are also descendants of the founding cell that have

acquired mutations *d* and *b*, respectively. Subclone 4 (green cells) has mutations *b* and *c*, indicating that it shares a common ancestor with subclone 3. **b** | Intratumoural heterogeneity within a metastasis. The metastasis-initiating cell (dark green cell) contains the initial, distinct set of mutations common to all cells of the metastasis (genotype *n* plus mutations *b* and *c* from panel **a**). The metastasis-initiating cell itself no longer exists, as once it divides and accumulates a new mutation or mutations it has evolved. Subclone 1 (light green cell) represents direct descendants of the metastasis-initiating cell that acquired mutation *x*, and subclone 2 (pink cell) represents the direct descendants that acquired mutation *y*. Subclone 3 (dark beige cell) has mutations *y* and *z*, indicating that it shares a common ancestor with subclone 2. **c** | Intratumoural heterogeneity of metastasis-initiating cells within a primary tumour. The metastasis-initiating cells share a common ancestor, yet, nonetheless, have distinct mutations that distinguish one from the other (that is, blue versus green genotypes). As each initiating cell is the ancestral cell for its respective metastasis, every descendant cell will inherit this founding set of mutations.

**Table 1**

Major pathways targeted by somatic alterations in the clonal expansion phase of pancreatic cancer[*]

| Pathway | Genes targeted | Prevalence in pancreatic cancer (%) | Mutation effect | Downstream consequences | Evolutionary stage of occurrence[‡] |
|---|---|---|---|---|---|
| RAS–ERK signalling | • KRAS | • 95 | Activating | • Ligand-dependent RTK growth independence | Stage 1 or 2 |
| | • BRAF | • 5 | | • Immunosuppression | |
| | | | | • Metabolic reprogramming | |
| | | | | • Protein scavenging | |
| G1/S transition | • CDKN2A | • 90 | Inactivating | Loss of G1/S checkpoint | Stage 1 or 2 |
| | • APC2 | • <5 | | | |
| | • CHD1 | • <5 | | | |
| | • FBXW7 | • <5 | | | |
| DNA damage response | • TP53 | • 80–85 | Gain of function (*TP53* only) or inactivating | • Loss of G1/S checkpoint | Stage 1 or 2 |
| | • ATM | • <5 | | • Loss of G2/M checkpoint | |
| | • Numerous others | • <5 each | | • Resistance to apoptotic signals | |
| TGFβ signalling | • SMAD4 | • 55 | Inactivating | • Loss of homeostatic mechanisms | Stage 2 |
| | • TGFBR1 | • 5–10 | | • Loss of expression of genes co-regulated by TGFβ and p53 | |
| | • TGFBR2 | • 5–10 | | | |
| | • ACVR1B | • <5 | | | |
| | • SMAD3 | • <5 | | | |
| Epigenomic reprogramming (SWI/SNF) | • AR/D1A | • <10 | Inactivating | Inability to disrupt histone–DNA contacts within nucleosomes | Stage 2 or 3 |
| | • AR/D1B | • <10 | | | |
| | • AR/D2 | • <10 | | | |
| | • PBRM1 | • 5 | | | |
| | • SMARCA2 | • 5 | | | |
| | • SMARCA4 | • 5 | | | |

| Pathway | Genes targeted | Prevalence in pancreatic cancer (%) | Mutation effect | Downstream consequences | Evolutionary stage of occurrence[‡] |
|---|---|---|---|---|---|
| Epigenomic reprogramming (KMT2) | • KMT2C<br>• KMT2D<br>• KMT2A | • <10<br>• <10<br>• <10 | Inactivating | Reduced H3K4 methylation | Stage 2 or 3 |
| Cell stress response | MKK4 | <5 | Inactivating | • Loss of JNK signalling<br>• Perturbed TLR signalling | Stage 2 |
| Axonal guidance | • ROBO1<br>• ROBO2<br>• SLIT | 5 | Inactivating | Altered cellular migration | Stage 2 or 3 |
| RNA splicing | SF3B1 | ~10 | Altered function | • Disruption of Polycomb repressive complex binding to HOX genes<br>• Altered pre-mRNA splicing | Stage 2 or 3 |
| Homophilic cell adhesion | • PCDH15<br>• Numerous others | 10<5 each | Inactivating | Calcium-dependent cell adhesion within the cadherin superfamily | Stage 2 or 3 |

*
Data shown are a summary of those described in greater detail in REFS 43,44.

‡
Stage 1 is the initiation stage, stage 2 is clonal expansion and stage 3 is the introduction to foreign microenvironments.

*ACVR1B*, activin A receptor type 1B; *APC2*, anaphase promoting complex subunit 2; *ARID*, AT-rich interactive domain-containing; *ATM*, ataxia telangiectasia mutated; *CDKN2A*, cyclin-dependent kinase inhibitor 2A; *CHD1*, chromodomain helicase DNA-binding protein 1; *FBXW7*, F-box and WD repeat domain containing 7; H3K4, histone H3 lysine 4; HOX, homeobox; JNK, JUN N-terminal kinase; KMT2, histone-lysine N-methyltransferase 2; *MKK4*, MAPK kinase 4; *PBRM1*, polybromo 1; *PCDH15*, protocadherin 15; *ROBO*, homologue of drosophila roundabout; *SF3B1*, splicing factor 3b subunit 1; *SLIT*, homologue of drosophila slit; RTK, receptor tyrosine kinase; *SMARCA*, SWI/SNF-related matrix-associated actin-dependent regulator of chromatin subfamily A member; TGFβ, transforming growth factor-β; *TGFBR1*, TGFβ receptor 1; TLR, Toll-like receptor.