Clinical effects and safety of intra-arterial infusion therapy of cisplatin suspension in lipiodol combined with 5-fluorouracil versus sorafenib, for advanced hepatocellular carcinoma with macroscopic vascular invasion without extra-hepatic spread: A prospective cohort study

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Abstract. Although sorafenib and hepatic arterial infusion chemotherapy (HAIC) have been proven to improve prognosis in hepatocellular carcinoma (HCC) patients with macroscopic vascular invasion (MVI), the most appropriate approach remains unclear. The present multicenter, non-randomized, prospective cohort study aimed to compare the efficacy and safety of HAIC and sorafenib in patients with advanced HCC and MVI, without extra-hepatic spread (EHS) and Child-Pugh class A disease. The present study was performed between April 2008 and March 2014, and 64 HCC patients with MVI, without EHS and Child-Pugh class A disease were registered. Of these patients, 44 were treated with HAIC and 20 with sorafenib. HAIC involved cisplatin (50 mg fine powder in 5-10 ml lipiodol) and a continuous infusion of 5-fluorouracil (FU) (1,500 mg/5 days), which is referred to as new 5-FU and cisplatin therapy (NFP). The primary outcome was progression-free survival, and the secondary outcome was overall survival (OS). Clinical factors influencing OS and the therapeutic effect were identified using univariate and

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multivariate analyses. There were no differences in clinical factors between the two groups. The median progression-free survival was 5.1 and 9.5 months in the sorafenib and NFP groups, respectively (P=0.001). The complete response (CR) or partial response (PR) rates were 10 and 71% in the sorafenib and NFP groups, respectively (P<0.001). The median OS in the sorafenib and NFP groups was 13.2 and 30.4 months, respectively (P=0.013). Multivariate analysis revealed that the independent predictors of survival were Child-Pugh score (5, P=0.022, 95% CI, 0.191-0.892), grade of portal vein invasion (brunch, P=0.009, 95% CI, 0.220-0.752), and therapeutic effect (CR or PR, P<0.001, 95% CI, 0.220-0.752), and the independent predictor of therapeutic effect was therapeutic regimen (NFP, P<0.001, 95% CI, 0.006-0.199). NFP should be the first choice for patients with advanced HCC and MVI, without EHS and Child-Pugh A disease.

Introduction

Hepatocellular carcinoma (HCC) is one of the most common malignancies globally (1-3). Among the various types of liver cancer, HCC is a major histological subtype and accounts for about four-fifths of all primary liver cancer cases (4). Recent advanced imaging procedures have led to increased detection of early stage HCC and improved survival, because curative therapies, such as hepatic resection, liver transplantation, and radiofrequency ablation, are possible in early stage patients (5). However, long-term survival remains unsatisfactory, because of high recurrence rates, even after curative therapy (6).

The development of advanced HCC with macroscopic vascular invasion (MVI) especially hinders the use of additional curative therapies, and therefore, this condition contributes to poor survival. MVI, including the presence of a tumor thrombus in the major portal vein, is known to be the

most important negative risk factor for survival after resection or liver transplantation in patients with HCC (7,8). The median survival time of HCC patients with MVI has been reported to be 2-3 months (9,10).

The landscape of systemic therapy for advanced HCC has changed with the advent of molecular-targeted therapy. Sorafenib, a relatively new molecular-targeted therapy for advanced HCC, was approved in Japan in May 2009 (11-13). Sorafenib is a multi-kinase inhibitor targeting the vascular endothelial growth factor receptor, platelet-derived growth factor receptor, and proto-oncoprotein c-Raf, among others (14,15). The efficacy and safety of sorafenib in advanced HCC patients were demonstrated in the Sorafenib HCC Assessment Randomized Protocol (SHARP) (16), and Asia-Pacific studies (17). However, sorafenib monotherapy confers less than 3 months of actual survival benefit in both Western and Asian populations (16,17).

Hepatic arterial infusion chemotherapy (HAIC) via an implanted port system has been reported to be a useful therapeutic option for advanced HCC, especially in patients with a major portal vein tumor thrombus (PVTT), and response to HAIC is considered an important prognostic factor (18-25). Various chemotherapeutic regimens are used for HAIC, and the combination of cisplatin and 5-fluorouracil (5-FU) is one of the most common regimens. Repeated HAIC using low-dose 5-FU and cisplatin (low-dose FP) has been shown to be useful in patients with advanced HCC and tumor thrombus in the portal vein (18,19). Additionally, Nagamatsu et al investigated the efficacy and safety of the new combination therapy of cisplatin-lipiodol suspension and 5-FU for HCC with portal vein tumor thrombus, which was referred to as the new 5-FU and cisplatin therapy (NFP) (25). The authors demonstrated that the response rate was high at 86.3% and the median survival time (MST) was 33 months using NFP for unresectable HCC with portal vein tumor thrombus. While sorafenib and HAIC have been proven to improve prognosis in HCC patients with MVI (26), which is the better option remains to be determined.

The aim of the present multicenter, non-randomized, prospective cohort study was to investigate the efficacy and safety of NFP and compare the findings to those of sorafenib in patients with advanced HCC and MVI, without extra-hepatic spread (EHS) and Child-Pugh class A disease.

Patients and methods

Patients. The present study was performed between April 2008 and March 2014. A total of 64 HCC patients with MVI, without EHS and Child-Pugh class A disease were registered. Of the 64 patients, 20 were treated with sorafenib and 44 were treated with NFP. Prior to several treatments, all the patients in the sorafenib group were treated without NFP and all the patients in the NFP group were treated without sorafenib. The eligibility criteria for this study were as follows: i) Eastern Cooperative Oncology Group (ECOG) performance status of 0-2 (there were no patients with ECOG 2), ii) measurable disease using the Response Evaluation Criteria in Solid Tumors (RECIST) (27), iii) Child-Pugh class A liver function, iv) leukocyte count of ≥2,000/mm³, v) platelet count of ≥50x109/l, vi) hemoglobin level of ≥8.5 g/dl, vii) serum creatinine level of <1.5 mg/dl, and

viii) no ascites or encephalopathy. The enrolled patients were treated with sorafenib at one of the following 14 experienced member institutions of the Kurume Liver Cancer Study Group of Japan: Asakura Medical Association Hospital, Chikugo City Hospital, Kurume General Hospital, Kurume University Medical Center, Kurume University School of Medicine, Kyushu Medical Center, Nagata Hospital, Ōmuta City Hospital, Saga Social Insurance Hospital, Social Insurance Tagawa Hospital, St. Mary's Hospital, Tobata Kyoritsu Hospital, Yame General Hospital, and Yokokura Hospital. The primary outcome of this study was radiologic progression-free survival, which was defined as the time from the initiation of treatment to the date of disease progression. The secondary outcome of this study was overall survival (OS), which was defined as the time from the initiation of treatment to the date of death or the patient's last follow-up. Relevant data from all patient clinical records, including medical history, laboratory results, radiological findings, histological results, and survival data, were prospectively collected.

The study protocol was approved by the Ethics Committee of Kurume University (no. 09227) and the University Hospital Medical Information Network (UMIN) Center (no. UMIN000004022), and conformed to the guidelines of the 1975 Declaration of Helsinki. Patients were provided comprehensive information on the details of the clinical study, and each patient provided written informed consent prior to participation.

Diagnosis. HCC was either confirmed histologically or diagnosed using non-invasive criteria according to the European Association for the Study of Liver (28). Intrahepatic lesions and vascular invasion were diagnosed using a combination of contrast-enhanced computed tomography (CT), magnetic resonance imaging (MRI), ultrasonography (US), and digital subtraction angiography. Additionally, α-fetoprotein (AFP), lens culinaris agglutinin-reactive fraction of AFP (AFP-L3), and des-γ-carboxy prothrombin (DCP) serum levels were measured up to 1 month before treatment. Hepatic functional reserve was evaluated before treatment using the Child-Pugh scoring system. Tumor stage was determined according to the Barcelona Clinic Liver Cancer (BCLC) staging classification (29).

Sorafenib treatment. Performance status was used to determine the initial sorafenib dose, at the discretion of the chief physician. Discontinuation and dose reduction were allowed based on tolerance. Side effects of sorafenib treatment were documented according to the National Cancer Institute's Common Terminology Criteria for Adverse Events (CTCAE), version 4.0. Treatments were discontinued upon development of CTCAE grade 3 or higher adverse events with the exception of a platelet count of <25x10⁹/l and a leukocyte count of <1,500/ mm³.

Implantation of arterial catheter. An indwelling catheter (5-Fr W-spiral Catheter; Piolax, Tokyo, Japan) was inserted through the femoral or brachial artery, with the distal end of the catheter extended into the hepatic artery or gastroduodenal artery, and the proximal end connected to the port system (SOPH-A-PORT; Sophisa, Besançon, France), which was

Table I. Patient characteristics (n=64).

Variables	Sorafenib (n=20)	NFP (n=44)	P-value
Age (years)	65.4±8.1	63.4±10.0	0.426
Sex, n (%)			0.519
Male	17 (85)	33 (75)	
Female	3 (15)	11 (25)	
HBs antigen, n (%)			0.238
Present	5 (25)	6 (14)	
Absent	15 (75)	38 (86)	
HCV antibody, n (%)			0.054
Present	8 (40)	29 (66)	
Absent	12 (60)	15 (34)	
Child-Pugh score, n (%)			0.787
5	10 (50)	19 (43)	
6	10 (50)	25 (57)	
AFP (ng/ml), n (%)			0.787
<1,000	5 (25)	22 (50)	
≥1,000	15 (75)	22 (50)	
DCP (AU/ml), n (%)			0.791
<1,000	12 (60)	24 (55)	
≥1,000	8 (40)	20 (45)	
Previous treatment, n (%)			0.787
Present	12 (60)	28 (64)	
Absent	8 (40)	16 (36)	
Maximum tumor size, mm	74.3±54.2	74.2±33.3	0.995
Grade of portal vein invasion, n (%)			0.124
Trunk	5 (25)	4 (9)	
Branch	15 (75)	40 (91)	
Hepatic vein invasion, n (%)			0.486
Present	2 (10)	8 (18)	
Absent	18 (90)	36 (82)	

NFP, new 5-fluorouracil and cisplatin therapy; HB, hepatitis B; HCV, hepatitis C virus; AFP, α -fetoprotein; DCP, des- γ -carboxy prothrombin Results are presented as n (%) or mean \pm standard deviation.

Table II. Therapeutic effects in all patients (n=64).

Therapeutic effect	Sorafenib (n=20)	NFP (n=44)	
CR	0 (0)	10 (23)	
PR	2 (10)	21 (48)	
SD	8 (40)	8 (18)	
PD	10 (50)	5 (11)	

NFP, new 5-fluorouracil and cisplatin therapy; CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease Results are presented as n (%).

implanted subcutaneously. The right gastric, gastroduodenal, and posterior superior pancreaticoduodenal arteries were

occluded with VortX coils (Boston Scientific, Marlborough, MA, USA) to prevent gastroduodenal ulcers caused by anticancer agents.

Therapeutic NFP regimen. The NFP regimen comprised a combination of 50 mg cisplatin in 5-10 ml lipiodol and a continuous infusion of 5-FU (1,500 mg/5 days). On day 1 of treatment, cisplatin with lipiodol was injected through the reservoir catheter followed by 5-FU (250 mg). Then, 5-FU (1,250 mg) was continuously infused using a balloon pump (SUREFUSER PUMP, Nipro Pharma Corporation, Osaka, Japan) for 5 days. This regimen was administered once a week during the first 2 weeks of admission, and then, the combination of 20-35 mg cisplatin with 2-5 ml lipiodol and 500-1,000 mg 5-FU was infused every 2 weeks at the out-patient department as long as possible. Treatment was discontinued in case of the occurrence of grade 3 or higher adverse effects according

Table III. Univariate and multivariate analyses of overall survival.

Variable		Multivariate analysis	
	Univariate analysis P-value	95% CI	P-value
Age (≥65 years)	0.456		
Sex (male)	0.242		
HBs Ag (+)	0.631		
HCV Ab (+)	0.661		
Child-Pugh score (5)	0.004	0.191-0.892	0.022
AFP (≥1,000 ng/ml)	0.169		
DCP (≥1,000 mAU/ml)	0.452		
Previous treatment (present)	0.457		
Maximum tumor size (≥100 mm)	0.267		
Grade of portal vein invasion (Branch)	< 0.001	0.118-0.614	0.002
Hepatic vein invasion (present)	0.176		
Regimen (NFP)	0.015		
Therapeutic effect (CR or PR)	<0.001	0.220-0.752	0.009

CI, confidence interval; HB, hepatitis B; HCV, hepatitis C virus; AFP, α -fetoprotein; DCP, des- γ -carboxy prothrombin; NFP, new 5-fluorouracil and cisplatin therapy; CR, complete response; PR, partial response.

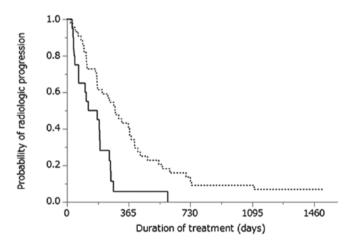


Figure 1. Kaplan-Meier analysis of progression-free survival in patients treated with sorafenib (solid line; n=20) and those treated with NFP (dotted line; n=44). The median survival times were 5.1 and 9.5 months, respectively (P=0.001).

to the ECOG classification (30), with the exception of total bilirubin >3.0 mg/dl, platelet count $<25x10^9$ /l, and leukocyte count <1,500/ mm³.

Assessment of tumor response. To determine the therapeutic effect, baseline tumor measurements were obtained within 1 month before treatment by combining the largest diameters of selected target lesions in each patient as measured using CT or MRI. CT or MRI was performed 4-6 weeks after the initial treatment cycle and every 2-3 months thereafter. The therapeutic effect was determined according to the best overall response, which was defined by the RECIST as follows: Complete response (CR), disappearance of all measurable lesions for >4 weeks; partial response (PR), >30%

decrease in the sum of the largest target-lesion diameters and no development of a new lesion for >4 weeks; progressive disease (PD), >25% increase in the sum of the largest target-lesion diameters or appearance of a new lesion; and stable disease (SD), neither PR nor PD seen for >8 weeks (31). Patients who died before their first radiographic assessment were classified as having PD. Data from patients who died without tumor progression were censored. The response rate was defined, on the basis of independent radiologic review, as the percentage of patients whose best-response RECIST rating of CR or PR was maintained for at least 1 month after the first demonstration of such a rating. The disease-control rate was defined, on the basis of independent radiologic review, as the percentage of patients whose best-response RECIST rating of CR, PR, or SD was maintained for at least 1 month after the first demonstration of such a rating.

Statistical analysis. Baseline patient characteristics were analyzed using descriptive statistical methods. Survival curves were calculated using the Kaplan-Meier method. Univariate analysis of survival curves was performed using the log-rank test. P<0.05 was considered statistically significant. The Cox proportional-hazards model was used to evaluate the interaction between baseline characteristics and overall survival or therapeutic effect. The JMP software (SAS Institute, Inc., Cary, NC, USA), version 12, was used for all analyses.

Results

Patient characteristics. The sorafenib therapy group (n=20) included 17 (85%) men and 3 (15%) women, with a mean age of 65.4 years (Table I). Chronic hepatitis C virus infection was the predominant cause of HCC (n=8; 40%), followed by chronic hepatitis B virus infection (n=5; 25%). Of the 20 patients, 10 (50%) had a Child-Pugh score of 5 and 10 (50%) had a

Table IV. Univariate and multivariate analyses of the comparison of patient characteristics based on therapeutic effect.

			Univariate analysis P-value	Multivariate analysis	
Variables		SD + PD (n=33)		95% CI	P-value
Age (≥65 years)	68.3±9.5	68.6±8.6	0.892		
Sex (male)	25/6	25/8	0.763		
Child-Pugh score (5)	13/18	22/11	0.078		
AFP (≥1,000 ng/ml)	19/12	18/15	0.248		
DCP (≥1,000 mAU/ml)	9/22	14/19	0.042		
Previous treatment (present)	21/10	23/10	0.140		
Maximum tumor size (≥100 mm)	19/12	31/2	0.045	1.961-75.12	0.007
Grade of portal vein invasion (Branch)	5/26	4/29	0.729		
Hepatic vein invasion (present)	6/25	4/29	0.326		
Regimen (NFP)	18/13	2/31	<0.001	0.006-0.199	< 0.001

CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease, CI, confidence interval; AFP, α -fetoprotein; DCP, des- γ -carboxy prothrombin; NFP, new 5-fluorouracil and cisplatin therapy Results are presented as n or mean \pm standard deviation.

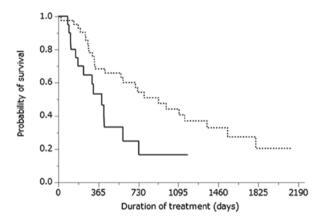


Figure 2. Kaplan-Meier analysis of overall survival in patients treated with sorafenib (solid line; n=20) and those treated with NFP (dotted line; n=44). The median survival times were 13.2 and 30.4 months, respectively (P=0.013).

Child-Pugh score of 6. Prior to sorafenib therapy, 12 (60%) patients received previous treatment. HCC showed portal vein invasion, with 5 (25%) patients having main trunk invasion and 15 (75%) having first or second branch invasion, and the mean size was 74.3 mm.

On the other hand, the NFP group (n=44) included 33 (75%) men and 11 (25%) women, with a mean age of 63.4 years (Table I). Chronic hepatitis C virus infection was the predominant cause of HCC (n=29; 66%), followed by chronic hepatitis B virus infection (n=5; 14%). Of the 44 patients, 19 (43%) had a Child-Pugh score of 5 and 25 (57%) had a Child-Pugh score of 6. Prior to NFP, 28 (64%) patients received previous treatment. HCC showed portal vein invasion, with 4 (9%) having main trunk invasion and 40 (91%) having first or second branch invasion, and the mean size was 74.2 mm.

There were no statistically significant differences in the clinical factors between the groups.

Overall response and efficacy. Table II shows the results at the first radiologic assessment according to the RECIST. Of the

20 patients treated with sorafenib, 0 (0%), 2 (10%), and 8 (40%) patients had CR, PR, and SD, respectively. The response rate was 10%, and the disease-control rate was 50%.

On the other hand, of the 44 patients treated with NFP, 10 (23%), 21 (48%), and 8 (18%) patients had CR, PR, and SD, respectively. The response rate was 71%, and the disease-control rate was 89%.

Factors associated with survival outcomes. Cox proportional-hazards regression analysis was performed to identify the independent factors associated with survival (Table III). Univariate analysis of survival identified 4 baseline patient characteristics as prognostic indicators for OS, including Child-Pugh score, grade of portal vein invasion, regimen, and therapeutic effect. Multivariate analysis confirmed that Child-Pugh score [5; P=0.022, 95% confidence interval (CI)=0.191-0.892], grade of portal vein invasion (branch; P=0.002, 95% CI=0.118-0.614), and therapeutic effect (CR/PR; P=0.009, 95% CI=0.220-0.752) were independent factors for survival.

Cumulative progression-free survival curves of patients treated with either sorafenib therapy or NFP are shown in Fig. 1. The MST was 5.1 months for patients treated with sorafenib therapy and 9.5 months for those treated with NFP (P=0.002). Cumulative OS curves of patients treated with sorafenib therapy or NFP are shown in Fig. 2. The MST was 13.2 months for patients treated with sorafenib therapy and 30.4 months for those treated with NFP (P=0.013).

Comparison of patient characteristics based on therapeutic effect. Cox proportional-hazards regression analysis was performed to identify the independent factors associated with therapeutic effect (Table IV). Univariate analysis of the therapeutic effect identified three baseline patient characteristics as prognostic indicators for therapeutic response, including DCP level at baseline, maximum tumor size, and regimen. Multivariate analysis confirmed that maximum tumor size (≥100 mm, P=0.007, 95% CI=1.960-75.10) and regimen (NFP,

P<0.001, 95% CI=0.006-0.199) were independent factors for therapeutic response.

Adverse events. Severe adverse events were observed in 5 patients. In the sorafenib group, 2 patients had hepatic failure, while in the NFP group, 2 patients had hepatic failure and 1 had a pseudo-aneurysm. In the two groups, treatment-related mortality was not observed.

Discussion

Sorafenib, an oral multi-kinase inhibitor and a new molecular-targeted therapy for advanced HCC has been shown to offer a significant survival benefit with good tolerance in two randomized phase III placebo-controlled trials (16,17). Thus, it has become the standard treatment for advanced HCC. In the SHARP trial, the median overall survival times of all patients and patients with MVI treated with sorafenib were 10.7 and 8.1 months, respectively (16). However, contrary to our expectations, the survival and therapeutic advantages of sorafenib are modest.

PVTT is a common complication in HCC, and it has been reported in 65% of cases at autopsy (32). PVTT often leads to extensive spreading of the tumor and can increase portal venous blood pressure, resulting in the fatal rupture of esophageal varices (33). PVTT can also decrease portal flow that may lead to ascites, jaundice, hepatic encephalopathy, or liver failure (33). Therefore, the presence of PVTT is one of the most significant prognostic factors of poor outcome (34,35), and it has been reported that these patients survive only 2.7-4 months if left untreated (35,36). In advanced HCC patients with PVTT, standard treatments have not been established. The prognosis for advanced HCC with PVTT is dismal owing to poor response to current treatment modalities (37). Although the BCLC staging system recommends sorafenib in these patients, its efficacy is limited. In another study, the MST of patients with PVTT was only 5 months (38). Thus, HAIC is considered an alternative treatment modality (38).

The difference between NFP and conventional TACE is the administration of a drug repeatedly from the reservoir system without using embolic material. As embolic material is not used, it becomes possible to enhance the therapeutic effect by repeating the treatment. The difference between NFP and conventional HAI is the use of lipiodol. By using lipiodol, it is possible to temporarily enhance the antitumor effect through the vascular embolic effect.

The response rate and disease-control rate were 10 and 50% in the sorafenib therapy group, and 71 and 89% in the NFP group, respectively (Table II). We demonstrated that the therapeutic response rate of NFP was superior to that of sorafenib. The rationale of the cisplatin + 5-FU regimen is that cisplatin and 5-FU have antitumor effects (39), and cisplatin has a synergistic effect as a modulator of 5-FU (40). In the present study, 5-FU was continuously infused for 5 days. 5-FU does not show a dose-dependent effect, but shows a time-dependent antitumor effect (41). Continuous infusion of 5-FU may enhance the antitumor effect in cisplatin-lipiodol plus 5-FU therapy compared with other HAIC regimens. The antitumor effect has been reported to be more potent with anticancer agents in

lipiodol suspension than with anticancer agents alone, such as sorafenib (42).

Multivariate analysis identified three baseline patient characteristics as prognostic indicators for overall survival, including the Child-Pugh score, grade of portal vein invasion, and therapeutic effect (Table III). We demonstrated that the therapeutic response was a significant risk factor adversely affecting survival in this study. Consistent with a previous study showing that early radiological progression after treatment predicts poor survival, our patients, who developed early PD, had significantly worse OS (43). Another multivariate analysis identified two baseline patient characteristics as prognostic indicators for therapeutic effect, including maximum tumor size and regimen (Table IV). In our study, patients treated with NFP survived longer than patients treated with sorafenib monotherapy. We demonstrated that the therapeutic response was more effective in patients treated with NFP than in patients treated with sorafenib. HAIC is a reasonable drug delivery system for patients with advanced HCC because advanced HCC receives most of its blood supply from the hepatic artery, and the non-cancerous liver is supplied mainly by the portal vein (44). HAIC appears to deliver high concentrations of chemotherapeutic agents to HCC tissues selectively, with low toxicity for non-cancerous liver tissues and the whole body. Several reports described the effects of HAIC with cisplatin and 5-FU or systemic interferon-α therapy with HAIC using 5-FU for HCC patients with tumor thrombosis in the first branches and the portal vein trunks (21,45). Therefore, we showed that NFP is more effective than sorafenib therapy in patients with advanced HCC and MVI.

The present study has limitations. First, there was no protocol to guide treatment selection. Therefore, the treatments were selected at the discretion of the chief physician and were not randomized. This resulted in a selection bias for patients treated with sorafenib therapy and NFP, although there were no significant differences in the patient characteristics between the two groups. Second, some patients received multiple treatments other than sorafenib therapy or NFP. Third, the modified RECIST criteria should have also been used for the evaluation of tumor response and disease control rate. However, the therapeutic effect was determined according to only the RECIST criteria at the different centers in the sorafenib group. Therefore, we were unable to use the modified RECIST for the evaluation of tumor response and the disease control rate. Lastly, the size of the study cohort was relatively small. To confirm the superiority of NFP over sorafenib in patients with advanced HCC and MVI, prospective randomized studies with a larger number of subjects are required.

In conclusion, our results showed that NFP was a significantly positive prognostic treatment for patients with advanced HCC and MVI. The survival potential was greater in patients treated with NFP than in those treated with sorafenib. Therefore, NFP should be the first choice for patients with advanced HCC and MVI, without EHS and Child-Pugh A disease.

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