



Original Article

Optimization of ultrasound-assisted extraction of glycyrrhizic acid from licorice using response surface methodology

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ABSTRACT

Background: The present study optimized ultrasound-assisted extraction conditions to maximize extraction yields of glycyrrhizic acid from licorice.

Methods: The optimal extraction temperature (X_1), extraction time (X_2), and methanol concentration (X_3) were identified using response surface methodology (RSM). A central composite design (CCD) was used for experimental design and analysis of the results to obtain the optimal processing parameters.

Results: Statistical analyses revealed that three variables and the quadratic of X_1 , X_2 , and X_3 had significant effects on the yields and were followed by significant interaction effects between the variables of X_2 and X_3 ($p < 0.01$). A 3D response surface plot and contour plots derived from the mathematical models were applied to determine the optimal conditions. The optimum ultrasound-assisted extraction conditions were as follows: extraction temperature, 69 °C; extraction time, 34 min; and methanol concentration, 57%. Under these conditions, the experimental yield of glycyrrhizic acid was 3.414%, which agreed closely with the predicted value (3.406%).

Conclusion: The experimental values agreed with those predicted by RSM models, thus indicating the suitability of the model employed and the success of RSM in optimizing the extraction conditions.

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1. Introduction

Licorice is a well-known Chinese herb, which has been used in food and medicinal remedies for thousands of years.¹ Licorice has been the most important ingredient of Chinese tradi-

tional medicine since ancient times, and is prescribed in 60% of all cases.² It is beneficial due to its antioxidant, antidotal, anti-allergic, anti-inflammatory, antiulcer, antiviral, gastro-protective, and immunomodulatory properties.^{3,4}

Phytochemical studies have revealed that licorice contains two major types of secondary metabolites: triterpene saponins and flavonoids.⁵ Triterpenes and flavonoids contain

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glycyrrhizic acid and glycyrrhetic acid of triterpene, liquiritinapioside, liquiritin, isoliquiritinapioside and isoliquiritin of flavonoids and so on.⁶ Glycyrrhizic acid (GA) is the principal saponin in licorice and is an indicator of licorice quality.^{5,7} GA is widely used as a major therapeutic agent to treat chronic viral hepatitis and allergic dermatitis.⁸ It is also known to have anti-inflammatory, anti-carcinogenic, anti-allergic, anti-arthritic, anti-asthmatic, antibacterial, analgesic amphiestrogenic, and hepato-protective properties.^{9,10}

In recent years, the ultrasound-assisted extraction method has been used to effectively extract chemical constituents from plant materials.^{11,12} Ultrasound enhances the extraction efficiency of organic compounds possibly through cavitation, which occurs in the solvent by the passage of ultrasonic waves.¹³ This facilitates better penetration of the solvent into the sample, increasing the release of compounds from the matrix into the solvent.¹⁴ Optimization of the experimental conditions is a critical step in developing a successful ultrasound-assisted extraction process since several process variables, such as, ultrasound power, process temperature, and sonication time affect the extraction efficiency.¹⁵

Response surface methodology (RSM) is a collection of statistical and mathematical techniques used for developing, improving, and optimizing processes in which a response of interest is influenced by several variables, with the eventual objective of optimizing this response.¹⁶ RSM has a major advantage over the one-factor-at-a-time approach in that it allows for the evaluation of the effect of multiple variables and their interactions on the output variables with a lesser number of trials.¹⁷ The optimization of the extraction process using RSM would not only serve as a visual aid to have a clearer picture about the effects of various factors on extraction but also help us to locate the region where the extraction is optimized.¹⁸ In order to extract the bioactive compounds of the natural products, the RSM has been widely used in many studies.^{19–23} For modeling, we used the central composite design (CCD) method for experimental design, and the results were fitted with a polynomial equation in the vicinity of the optimal condition.²⁴

Therefore, the aim of the present study was to optimize variables of the ultrasound-assisted extraction method such as temperature, time, and concentration of methanol using response surface methodology, by employing central composite design to maximize the extraction of GA from licorice.

2. Methods

2.1. Plant material and reagents

The licorice used in this study was grown in China and purchased from an Oriental pharmacy in Geumsan, South Korea. It was authenticated by Dr. Goya Choi at the Korea Institute of Oriental Medicine (KIOM). Prior to extraction, the sample was pulverized using a disintegrator and then passed through a 600 μm sieve. A voucher specimen was deposited in the herbarium of the Herbal Medicine Resources Group at KIOM. The high performance liquid chromatography grade acetonitrile, methanol, ethanol, and distilled water were obtained from Burdick & Jackson (Muskegon, MI, USA). The analyt-

ical grade acetic acid was obtained from J. T. Baker Inc. (Phillipsburg, NJ, USA). The glycyrrhizic acid (GA) standard was purchased from Wako Pure Chemical Industries (Osaka, Japan).

2.2. Sample preparation

Samples (0.5 g) were placed into an extraction vessel with 12 mL of the extraction solvent and sonicated (ultrasonic cleaner 8510; 250 W, 44 kHz; Branson Co., Danbury, CT, USA)³ for various experimental durations and temperatures. The extracted liquid fraction and the residue were then collected separately, and the residue was re-extracted. After extraction, the sample volumes were made up to 25 mL in volumetric flasks and filtered through a 0.2 μm membrane filter prior to HPLC analysis.

2.3. HPLC analysis

The HPLC system consisted of a Waters e2695 liquid chromatography system (Waters, USA), equipped with a Waters 2998 photodiode array detector. Data processing was carried out with the Empower software (Waters, USA). An XBridge C₁₈ column (4.6 mm \times 250 mm, 5.0 μm , Waters, USA) was employed. The mobile phase was 7% acetic acid and acetonitrile (60:40, v/v) with a flow-rate of 0.8 mL/min. The injection volume for all the samples was 20 μL . A wavelength of 254 nm was used for the detection of glycyrrhizic acid (GA). The GA peak was identified by comparing its retention time with those of standards, and the concentration was calculated from the calibration curves. Results are expressed as the mean values of assays for each experiment, which were performed in triplicate.

2.4. Experimental design

To further study the interaction between the factors, we optimized the operating conditions by RSM and used the central composite design (CCD) method. The range and center point values of three independent variables presented in Table 1 were based on the results of preliminary experiments. This generated 20 treatments with six replications at the central points to estimate the repeatability of the method (Table 2). Extraction temperature (X_1), extraction time (X_2), and methanol concentration (X_3) were chosen as the independent variables. This design was applied to investigate the optimal working conditions for the extraction of GA from licorice.

2.5. Statistical analysis

All the analyses were carried out in triplicate and the experimental results were expressed as mean values. Statistical analysis was performed using the Minitab 16 (Minitab Inc., State College, PA, USA) software. A response surface analysis and analysis of variance (ANOVA) were employed to determine the regression coefficients and statistical significance of the model terms and to fit the mathematical models of the experimental data that aimed to optimize the overall region for response variable.²⁵ A second order polynomial model was applied to predict the response variables as

Table 1 – Independent variables and codified values employed for optimization of the extraction procedure.

Independent variables	Code units	Coded levels				
		–1.68	–1	0	1	1.68
Temperature (°C)	X ₁	43	50	60	70	77
Time (min)	X ₂	28	35	45	55	62
Methanol concentration (%)	X ₃	53	60	70	80	87

Table 2 – Central composite design of three variables with their observed responses.

No.	X ₁ (°C)	X ₂ (min)	X ₃ (%)	Glycyrrhizic acid (%)
1	50 (–1)	35 (–1)	60 (–1)	3.307
2	70 (1)	35 (–1)	60 (–1)	3.414
3	50 (–1)	55 (1)	60 (–1)	3.183
4	70 (1)	55 (1)	60 (–1)	3.272
5	50 (–1)	35 (–1)	80 (1)	3.160
6	70 (1)	35 (–1)	80 (1)	3.266
7	50 (–1)	55 (1)	80 (1)	3.199
8	70 (1)	55 (1)	80 (1)	3.332
9	43 (–1.68)	45 (0)	70 (0)	3.208
10	77 (1.68)	45 (0)	70 (0)	3.337
11	60 (0)	28 (–1.68)	70 (0)	3.275
12	60 (0)	62 (1.68)	70 (0)	3.260
13	60 (0)	45 (0)	53 (–1.68)	3.332
14	60 (0)	45 (0)	87 (1.68)	3.208
15	60 (0)	45 (0)	70 (0)	3.371
16	60 (0)	45 (0)	70 (0)	3.356
17	60 (0)	45 (0)	70 (0)	3.368
18	60 (0)	45 (0)	70 (0)	3.343
19	60 (0)	45 (0)	70 (0)	3.332
20	60 (0)	45 (0)	70 (0)	3.360

shown in Eq. (1)

$$Y = \beta_0 + \beta_1 X_1 + \beta_2 X_2 + \beta_3 X_3 + \beta_{11} X_1^2 + \beta_{22} X_2^2 + \beta_{33} X_3^2 + \beta_{12} X_1 X_2 + \beta_{13} X_1 X_3 + \beta_{23} X_2 X_3 \quad (1)$$

where Y is the predicted response; β_0 is the constant (intercept); β_1 , β_2 , and β_3 are the regression coefficients for the linear effect terms; β_{11} , β_{22} , and β_{33} are the quadratic effect terms; and β_{12} , β_{13} , and β_{23} are the interaction effect terms, respectively.²⁶ The adequacy of the model was determined by evaluating the lack of fit, coefficient of determination (*p*-value), and the Fisher test value (*F*-value) obtained from the analysis of variance (ANOVA) that was generated by the software. Statistical significance of the model and model parameters were determined at the 5% probability level.²⁷

3. Results

3.1. HPLC analysis

The major compound of licorice, glycyrrhizic acid (GA) was detected at 254 nm and representative HPLC chromatograms are shown in Fig. 1. For GA concentrations from 12.5 to 200 µg/mL, the regression equation was $Y = 17842X - 4192.5$, which presented good linearity ($r^2 = 1.0000$).

Table 3 – Contents of glycyrrhizic acid for licorice in various solvents.

Solvent	Content of glycyrrhizic acid (%)		
	Mean	SD	Post-hoc ^a
Methanol	0.845	0.030	A
Ethanol	0.169	0.004	B
Distilled water	ND ^b	–	C

^a Post-hoc by Tukey.
^b ND, not detected.

3.2. Effect of solvent type on the extraction method

The effect of solvent type on the extraction method was investigated at the beginning of the study. The choice of an appropriate solvent is the most important parameter to be optimized for the extraction of specific constituents.¹² The glycyrrhizic acid (GA) molecule has several hydroxyl groups, which renders it easily soluble when extracted by polar solvents.²⁸ In previous studies, different solvents such as methanol, ethanol, and water have been tested.^{8,9,28,29} To select the appropriate extraction solvent, we measured the yields of GA in different solvents. Table 3 shows significant differences in the extraction yields for the three solvents used ($p < 0.001$). The yield of GA was highest with methanol (0.845 ± 0.030), and therefore methanol was chosen as the extraction solvent for the design of experiments.

3.3. Statistical analysis and model fitting

A total of 20 runs were needed for optimizing the three individual parameters in the current CCD. Table 2 shows the experimental conditions and the GA extraction yield results according to the factorial design. The ANOVA results (Table 4) shows that it is possible to plot the response surface for this experimental design. The correlation measure for testing the goodness-of-fit of the regression equation is the adjusted determination coefficient (R^2_{adj}).³⁰ The value of R^2_{adj} is 0.902, which is reasonably close to 1 and indicates a high degree of correlation between the observed and predicted values.¹⁵ The lack-of-fit (*p*-value) was calculated as 0.126. The fitness of the model was evaluated through the lack of fit test ($p > 0.05$), which indicated the adequacy of models to accurately predict the variation.²⁵

The significance of the *F*-value depends on the number of degrees of freedom (DF) in the model and is shown in the *p*-value column (95% confidence level). Thus, the effects lower than 0.05 in this column were considered significant.³¹ The corresponding variables would be more significant if the *F*-value becomes greater and the *p*-value becomes smaller.³² The

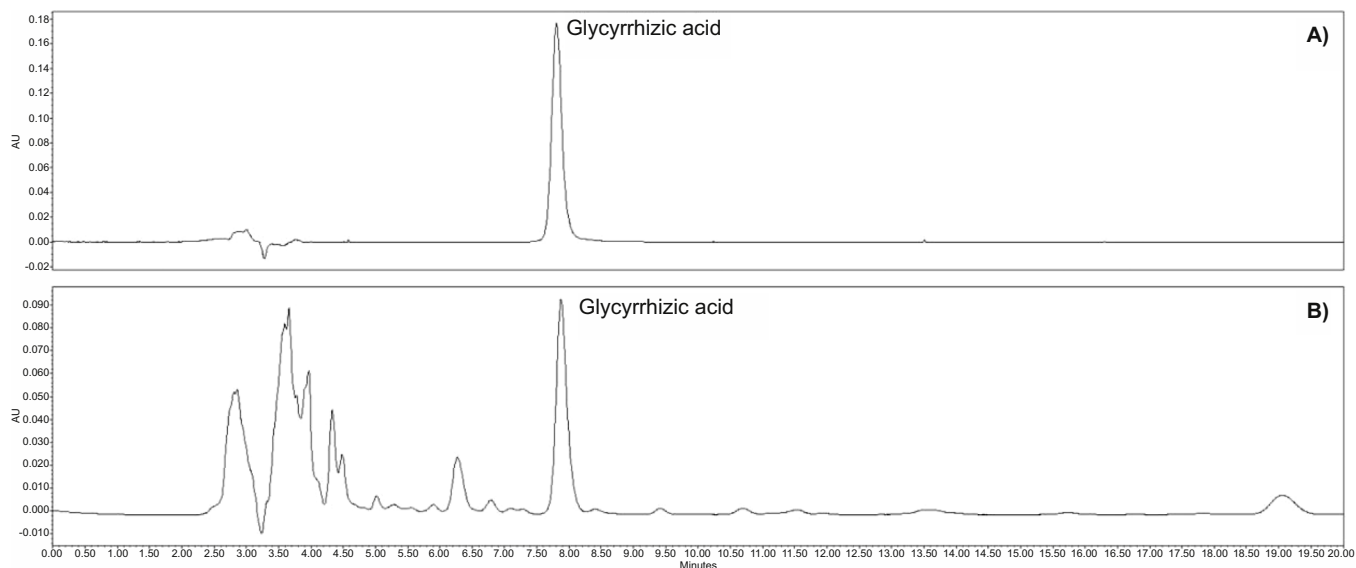


Fig. 1 – HPLC chromatogram of glycyrrhizic acid in standard (a) and sample (b).

Table 4 – Analysis of variance for the fitted quadratic polynomial model of extraction of glycyrrhizic acid.

Source	DF ^a	Sum of squares	F-value	p-value
Regression	9	30.1335	61.57	0.000
Linear	3	15.6342	95.83	0.000
X ₁	1	10.8811	200.09	0.000
X ₂	1	0.7373	13.56	0.001
X ₃	1	4.0158	73.84	0.000
Square	3	9.2582	56.75	0.000
X ₁ X ₁	1	1.5775	48.01	0.000
X ₂ X ₂	1	3.5957	80.13	0.000
X ₃ X ₃	1	4.0850	75.12	0.000
Interaction	3	5.2411	32.12	0.000
X ₁ X ₂	1	0.0036	0.07	0.797
X ₁ X ₃	1	0.0708	1.30	0.259
X ₂ X ₃	1	5.1667	95.01	0.000
Residual error	50	2.7191	–	–
Lack of fit	5	0.4597	1.83	0.126
Pure error	45	2.2594	–	–
Total	59	32.8526	–	–

^a DF, degrees of freedom.

F-test suggested that the model had a high F-value ($F=61.57$) and a low p -value ($p<0.001$), indicating that this model was highly significant. In this case, the linear, quadratic, and interaction terms had high model F-values of 95.83, 56.75, and 32.12, respectively, and all p -values ($p<0.001$) were low, indicating that this model was highly significant.

3.4. Optimization of GA extraction conditions by RSM

The application of RSM offers, based on parameter estimates, an empirical relationship between the response variable (extraction yield of GA) and the test variables under consideration. By applying multiple regression analysis on the experimental data, the response variable and the test variables are related by the following second-order polynomial

Table 5 – Regression coefficients result from the data of central composite design (CCD) experiments.

Term	Coefficient	Standard error	t-value	p-value
Intercept	33.5559	0.05491	611.086	0.000
X ₁	0.5153	0.03643	14.145	0.000
X ₂	-0.1342	0.03643	-3.682	0.001
X ₃	-0.3131	0.03643	-8.593	0.000
X ₁ X ₁	-0.2457	0.03547	-6.929	0.000
X ₂ X ₂	-0.3175	0.03547	-8.952	0.000
X ₃ X ₃	-0.3074	0.03547	-8.667	0.000
X ₁ X ₂	0.0123	0.04760	0.258	0.797
X ₁ X ₃	0.0543	0.04760	1.141	0.259
X ₂ X ₃	0.4640	0.04760	9.747	0.000

equation:

$$\begin{aligned}
 Y = & 33.5559 + 0.5153X_1 - 0.1342X_2 - 0.3131X_3 - 0.2457X_1^2 \\
 & - 0.3175X_2^2 - 0.3074X_3^2 + 0.0123X_1X_2 \\
 & + 0.0543X_1X_3 + 0.4640X_2X_3
 \end{aligned} \quad (2)$$

where Y is the yield of GA (%) and X_1 , X_2 , and X_3 are the coded variables for extraction temperature, extraction time, and methanol concentration, respectively.

Table 5 shows the regression coefficients for each term in the model and the Student's t -test statistics and probability values for the significance of the terms. The p -value is used as a tool to check the significance of each coefficient and the interaction strength between each independent variable.³³ The corresponding variables will be more significant if the absolute t -value becomes larger and the p -value becomes smaller.³⁴ Thus, the smaller the values of p were, the more significant the corresponding coefficients were. It can be seen from this table that the linear coefficients (X_1 , X_2 , X_3) were significant at the level of $p<0.01$ or $p<0.001$. The quadratic terms for all factors X_1X_1 , X_2X_2 , X_3X_3 and the interaction fac-

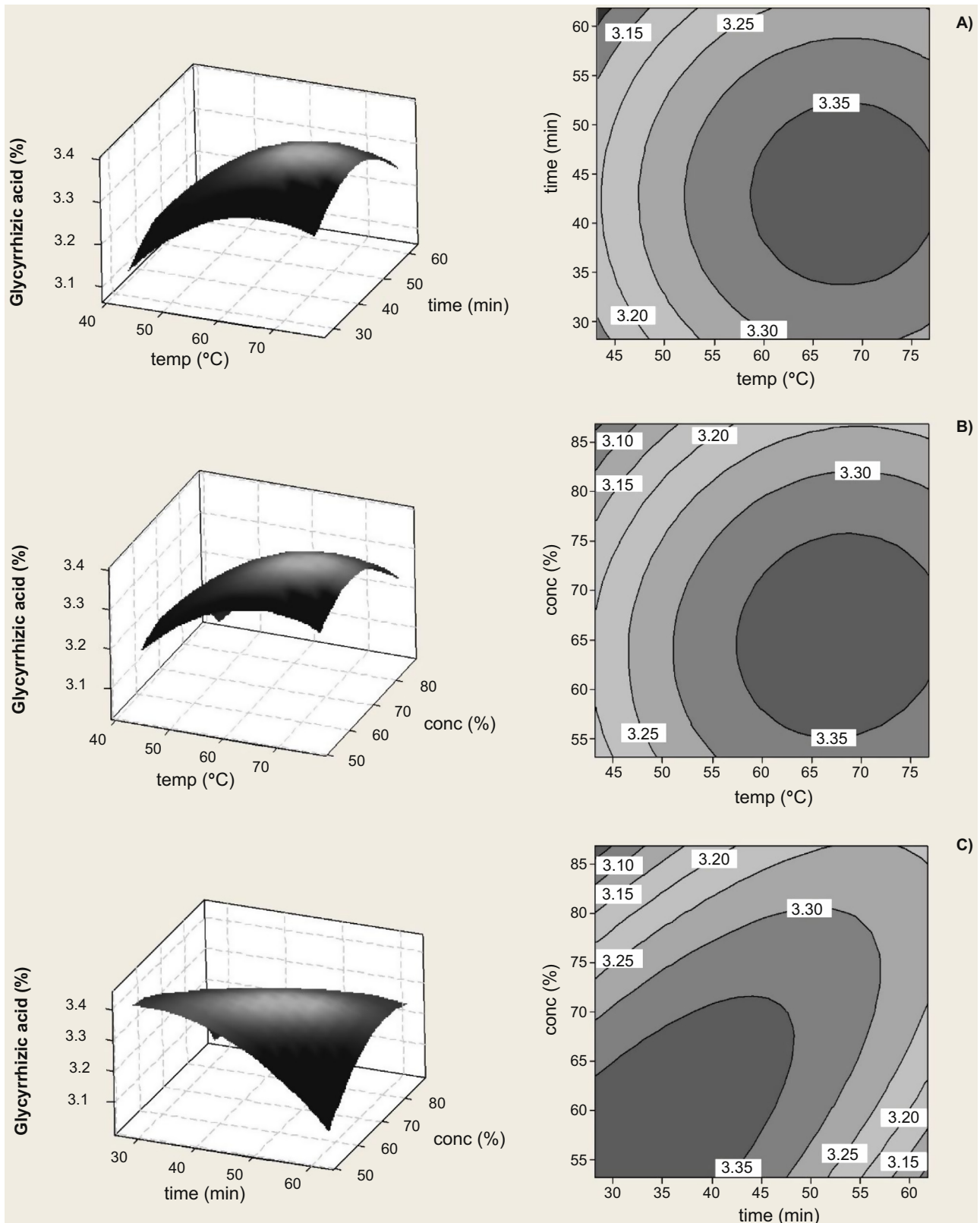


Fig. 2 – Response surface plots and contour plots showing the effects of variables on the response Y (Glycyrrhizic acid yield).

tors X_2X_3 were significant, with very small p -value ($p < 0.001$). The other coefficient terms were considered not significant ($p > 0.05$).

The 3D response surface plot and 2D contour plot are the graphical representations of the regression equation, and the results of the GA extraction yield, as affected by the extraction

temperature (X_1), extraction time (X_2), and methanol concentration (X_3), are presented in Fig. 2. In Fig. 2a, the 3D response surface plot and the contour plot were developed for the extraction yield of GA with varying extraction temperature and extraction time at fixed methanol concentration (70%). At a fixed extraction time, the GA yield increased with increasing extraction temperatures ranging from 43 °C to 69 °C. The 3D response surface plot and the contour plot in Fig. 2b show the extraction yield of GA as a function of extraction temperature and methanol concentration at a fixed extraction time (45 min). Higher yields of GA were obtained with higher extraction temperatures and lower methanol concentrations, within the chosen experimental range. The extraction yield of GA affected by different extraction times and methanol concentrations are given in Fig. 2c, when the extraction temperature was fixed at 60 °C. The interaction between the extraction time and the methanol concentration was very significant ($p < 0.001$). The response curves demonstrate that higher yields are obtained at shorter extraction time and lower methanol concentrations.

4. Discussion

Response surface methodology plays a key role in identifying the optimum values of the independent variables efficiently, optimum values under which dependent variables could achieve a maximum response.²⁷ The response surface plot and contour plot provide a method to visualize the relationship between responses and experimental levels of each variable and the type of interactions between two test variables.³⁰

In this experiment, at a fixed extraction time the GA yield increased with increasing extraction temperatures. The same result was obtained by Charpe et al.,⁴ and so it can be considered that the solubility of GA increases with an increase in temperature, and hence the amount of extracted GA also increases. Moreover, it was found out that the GA yield increased with an increase in extraction time followed by a decline with further increases of the extraction time. The extraction yield decreased, which may be due to bioactive degradation upon extended ultrasound activity.¹² Mason et al.³⁵ reported that ultrasound can induce acoustic cavitation and rupture of plant cells. When extraction time is extended, plant cells are completely cracked by the effects of acoustic cavitation, and extraction yields increase. However, when plant cells rupture, insoluble substances and cytosol get suspended in the extraction liquid, thus resulting in the lower permeability of the solvent.³⁶ Moreover, specific constituents get re-adsorbed on the ruptured plant particles due to their relatively large specific surface areas, and this decreases the yields of the recovered compounds.¹¹ Therefore, it is counterproductive to extend extraction duration once the maximum extraction yield has been achieved.¹²

A numerical optimization was performed through the desirability function method to determine the optimum level of process variables to obtain maximum GA extract yield. A methanol concentration of 57%, extraction temperature of 69 °C, and a 34 min extraction time were determined to be the optimal conditions for extraction. The maximum response

was found as 3.406% under these operating conditions. The experiment was repeated to recheck the procedure, and this was performed in triplicate, at the optimal conditions, to compare the predicted result with the practical value. A mean value of 3.414%, was obtained from the actual extraction procedure, and this demonstrates the validity of the RSM model, indicating that the model is adequate for this extraction process.

In the present work, response surface methodology with a central composite design (CCD) was applied to investigate the ultrasound-assisted extraction of glycyrrhizic acid from licorice. The experimental results showed that all three process variables, that is, the extraction temperature, extraction time, and methanol concentration, contributed to the extraction of GA. The optimal extraction conditions of GA were determined as follows: extraction temperature 69 °C, extraction time 34 min, and methanol concentration 57%. Under these conditions, the experimental yield of GA was 3.414%, which agreed closely with the predicted yield value (3.406%). The experimental values agreed with those predicted by RSM models, thus indicating the suitability of the model employed and the success of RSM in optimizing the extraction conditions.

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