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## Protection Against a Mixed SHIV Challenge by a Broadly Neutralizing Antibody Cocktail

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### Abstract

HIV-1 sequence diversity presents a major challenge for the clinical development of broadly neutralizing antibodies (bNAbs) for both therapy and prevention. Sequence variation in critical bNAb epitopes has been observed in the majority of HIV-1 infected individuals and can lead to viral escape following bNAb monotherapy in humans. In this study, we show that viral sequence diversity can limit both the therapeutic and prophylactic efficacy of bNAbs in rhesus monkeys. We first demonstrate that monotherapy with the V3 glycan-dependent antibody 10-1074, but not PGT121, results in rapid selection of pre-existing viral variants containing N332/S334 escape mutations and loss of therapeutic efficacy in SHIV-SF162P3-infected rhesus monkeys. We then show that the V3 glycan-dependent antibody PGT121 alone and the V2 glycan-dependent antibody PGDM1400 alone both fail to protect against a mixed challenge with SHIV-SF162P3 and SHIV-325C. In contrast, the combination of both bNAbs provides 100% protection against this

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mixed SHIV challenge. These data reveal that single bNAbs efficiently select resistant viruses from a diverse challenge swarm to establish infection, demonstrating the importance of bNAb cocktails for HIV-1 prevention.

## Introduction

Broadly neutralizing antibodies (bNAbs) against HIV-1 Env are currently being developed for both HIV-1 prevention and therapy (1, 2). However, HIV-1 diversity presents a major challenge for the clinical development of bNAbs. Recent studies have demonstrated that bNAb monotherapy in chronically HIV-1-infected individuals rapidly selects for resistant viral variants (3–7), suggesting that cocktails of bNAbs will be required for effective therapeutic strategies. However, the question of whether multiple bNAbs will be required to protect against acquisition of infection has not previously been studied in detail.

Previous studies in non-human primates that have demonstrated bNAb-mediated protection against simian-human immunodeficiency virus (SHIV) challenge have typically used single challenge viruses (8–10). During sexual transmission of HIV-1 across mucosal barriers, however, exposure to a swarm of viral variants is common, even though only a small number of founder viruses typically establish the infection (11, 12). These data suggest that bNAb combinations may also be required for HIV-1 prevention strategies.

In this study, we explored whether single bNAbs would select for resistant SHIV variants in both therapeutic and prophylactic experiments in rhesus monkeys. We also evaluated whether a bNAb cocktail would be required to protect against a mixed SHIV challenge. We selected the V3 glycan-specific antibody PGT121 and the V2 glycan-specific antibody PGDM1400 (13, 14) for this study, as these bNAbs target different epitopes and are both currently being evaluated in clinical trials (NCT02960581, NCT03205917).

## Results

### Rapid viral escape from single bNAb therapy

It has been hypothesized that certain bNAbs, such as the V3 glycan-dependent antibody PGT121 (15), might have an intrinsically higher bar to escape than other bNAbs targeting this epitope (16) as a result of making multiple glycan contacts on the Env surface, a phenomenon termed “glycan promiscuity” (17). We therefore first compared the therapeutic efficacy of the two related V3 glycan-dependent antibodies, PGT121 and 10-1074 (15, 18), in chronically SHIV-SF162P3-infected rhesus monkeys. Animals were infected with SHIV-SF162P3 approximately 7 months prior to a single i.v. infusion with 10 mg/kg PGT121 (N=4) or 10-1074 (N=2). Both antibodies had comparable neutralizing potency against SHIV-SF162P3 *in vitro* (IC<sub>50</sub> of 0.1 µg/ml for 10-1074, IC<sub>50</sub> of 0.1 µg/ml for PGT121) but resulted in markedly different therapeutic efficacy *in vivo* (Fig. 1). Consistent with our previous findings (16), PGT121 infusion resulted in up to a 3 log reduction of plasma viral loads to undetectable levels, which was followed by viral rebound when PGT121 titers declined to subtherapeutic levels. In contrast, 10-1074 infusion resulted in only a transient 1.5 log decline of plasma viral loads followed by rapid viral rebound to baseline levels by

day 14, which is comparable to the reported efficacy of 10-1074 in SHIV-AD8-infected monkeys (19) and HIV-1-infected humans (6).

Viral sequencing by single genome amplification following 10-1074 infusion demonstrated the emergence of Env mutations at critical contact sites (N332D/T/S/I/K, S334N/R), which eliminate the N-linked glycan at position 332 (Fig. 2). These mutations were present at detectable but low levels in the challenge stock and in baseline plasma samples (Fig. 3A), suggesting that 10-1074 selected rare pre-existing viral escape variants. In contrast, no mutations were observed in the PGT121-treated animals following rebound, consistent with our prior observations (16). Moreover, an N332A point mutation in SHIV-SF162P3 completely abrogated 10-1074 neutralization activity *in vitro* but only partially reduced PGT121 neutralization activity (Fig. 3B). Taken together, these data suggest that PGT121 has a higher bar to escape than 10-1074 for SHIV-SF162P3, presumably as a result of the ability of PGT121 to make multiple glycan contacts on HIV-1 Env (17), and thus a single N332/S334 point mutation in the context of SHIV-SF162P3 may be insufficient to escape from PGT121.

### Complementary HIV-1 coverage by PGT121 and PGDM1400

PGT121 covers approximately 60–70% of global viruses (15), and thus we explored which bNAbs would complement PGT121 to improve global virus coverage (20). PGDM1400 is a V2 glycan-dependent bNAb with exceptional neutralizing potency and breadth (13). We evaluated the neutralizing activity of PGT121, PGDM1400, and the combination of PGT121+PGDM1400 against a panel of 118 multiclade pseudoviruses in TZM-bl assays. PGDM1400 covered 77% of viruses in this panel with a median IC80 of 0.57 µg/ml, and PGT121 covered 60% of viruses in this panel with a median IC80 of 3.26 µg/ml (Fig. 4A). The combination of both bNAbs neutralized 97% of pseudoviruses with a median IC80 of 0.03 µg/ml, indicating that the combination was substantially more potent than either bNAb alone ( $P=1.0\times 10^{-6}$  and  $P=4.2\times 10^{-11}$  comparing IC80 titers for the combination versus PGDM1400 alone and PGT121 alone, respectively, Wilcoxon rank-sum tests) and also had greater breadth than either bNAb alone ( $P=2.2\times 10^{-6}$  and  $P=2.2\times 10^{-13}$  comparing breadth for the combination versus PGDM1400 alone and PGT121 alone, respectively, Fisher's exact tests) (Fig. 4B). These data suggest a remarkable complementarity between PGT121 and PGDM1400, as viruses resistant to one of these antibodies are largely susceptible to the other antibody. The combination of PGT121+PGDM1400 covered 97–100% of viruses from clades A, B, C, and CRF01 in this global panel (Fig. 4C). Moreover, the combination led to complete (>95%) neutralization of 86% of viruses in this panel, as compared with 59% for PGDM1400 and 53% for PGT121 ( $P=9.3\times 10^{-6}$  and  $P=5.1\times 10^{-8}$ , respectively, Fisher's exact tests) (Fig. S1).

### *In vivo* protection against mixed SHIV challenge

The complementarity of PGT121 and PGDM1400 *in vitro* suggest the combination of these two bNAbs as an HIV-1 prevention strategy. We therefore evaluated the protective efficacy of PGDM1400 alone, PGT121 alone, or the combination of PGT121+PGDM1400 in rhesus monkeys against a mixed SHIV challenge with the clade B SHIV-SF162P3 (21) and the clade C SHIV-325C (22). SHIV-SF162P3 was sensitive *in vitro* to PGT121 but resistant to

PGDM1400, whereas SHIV-325C was sensitive *in vitro* to PGDM1400 but resistant to PGT121 (Table 1). 20 rhesus monkeys (N=5/group) were infused i.v. with 10 mg/kg of PGDM1400, 10 mg/kg of PGT121, the combination of 5 mg/kg PGT121 + 5 mg/kg PGDM1400, or phosphate-buffered saline as control. Animals were challenged the following day by the i.r. route with 500 TCID<sub>50</sub> of SHIV-SF162P3 + 500 TCID<sub>50</sub> of SHIV-325C. Pharmacokinetics of the two bNAbs were similar by both idiotype-specific ELISAs and virus-specific NAb assays (Figs. S2, S3, S4).

Following challenge, all sham control animals became infected with peak plasma viral loads of 6.60–7.73 log RNA copies/ml on day 14 (Fig. 5). Similarly, 100% (5 of 5) of animals that received PGDM1400 alone became infected with peak plasma viral loads of 5.46–7.74 log RNA copies/ml, and 100% (5 of 5) of animals that received PGT121 alone also became infected but with lower peak plasma viral loads of 3.97–5.26 log RNA copies/ml. In contrast, 0% (0 of 5) of animals that received the combination of PGT121+PGDM1400 exhibited detectable plasma viral loads (>50 RNA copies/ml) at any point in time (Fig. 5), indicating that only the PGT121+PGDM1400 combination protected against this mixed SHIV challenge (P=0.008 comparing the combination group with either the PGDM1400 alone group or the PGT121 alone group, Fisher's exact tests).

Viral sequencing by single genome amplification at weeks 2-6 following challenge indicated that 100% of clones in the PGT121-treated animals were SHIV-325C, whereas 100% of clones in the PGDM1400-treated animals were SHIV-SF162P3 (Fig. 6, Table 2), consistent with the resistance patterns of these viruses (Table 1). The sham controls were detectably infected with only SHIV-SF162P3 (Fig. 6, Table 2), which has greater replication capacity than SHIV-325C. These findings were confirmed by strain-specific RT-PCR assays (Figs. S5, S6), demonstrating that each bNAb alone efficiently selected resistant variants from the challenge swarm for the establishment of primary infection.

### Diversity of HIV-1 sequences in target bNAb epitopes

We next evaluated the variation in key PGDM1400 and PGT121 contact and signature positions in HIV-1-infected humans. Signature residues were resolved using a phylogenetically corrected strategy using large panels of M group or C clade viruses (B. Korber et al., submitted), and contact sites were found by structural modeling using structures of PGT145 and PGT122 complexed with HIV-1 Env and of PGDM1400 and PGT121 Fabs (13, 23–25) (Fig. S7). The variation of key signature residues at contact sites for PGDM1400 and PGT121 binding to HIV-1 Env from multiple clades was then defined (Figs. S8, S9). In these Logo plots, “O” represents an Asn that occurs in a potential N-linked glycosylation site (PNGS).

We assessed sequence variability in these key PGDM1400 and PGT121 signature positions in 10 HIV-1-infected individuals that had been sampled longitudinally and for whom there were >150 full-length published *env* sequences in the Los Alamos Sequence Database. The key N332 PNGS for PGT121 exhibited variability in 8/10 individuals, including in those with primarily sensitive virus, and the D325 site showed variability in 7/10 individuals (Fig. 8; Table S1). D325 is a key contact residue, and a D325A substitution can reduce neutralization sensitivity 9-fold and can completely abrogate sensitivity in conjunction with

the loss of the N332 glycosylation site (26). The key N160 PNGS for PGDM1400 was variable in only 3/10 individuals, but a critical R166 signature was either variable or lost in 5/10 individuals, and the key K/R169 positive charge was either variable or lost in 10/10 individuals (Fig. 8; Table S1) (23). These findings in a random sample of HIV-1 infected individuals suggest that diverse resistance profiles evolve over time in HIV-1-infected humans.

## Discussion

Our data demonstrate that bNABs can rapidly and specifically select for resistant viral variants within a diverse challenge swarm, resulting in efficient breakthrough infection with resistant SHIV strains. Both PGDM1400 alone and PGT121 alone failed to protect against challenge with a mixture of SHIV-SF162P3 and SHIV-325C in rhesus monkeys, whereas the combination of both bNABs protected with 100% efficacy. These findings suggest that a combination of bNABs will be required to provide optimal protection against acquisition of HIV-1 infection.

All the sham controls exhibited only SHIV-SF162P3 replication by both single-genome amplification as well as subtype-specific RT-PCR assays. The absence of detectable SHIV-325C replication in the sham controls suggests that SHIV-SF162P3 has higher replicative capacity than SHIV-325C *in vivo*, although we cannot exclude the possibility that a low level of SHIV-325C replication occurred in these animals below the level of detection (<50 copies/ml). In the presence of PGT121 selection pressure, however, robust infection with SHIV-325C occurred in 100% of animals. These findings are consistent with the observation that during primary HIV-1 infection transmitted founder viruses with higher replication rates are selected from a mixed swarm (27). Whether bNAB-mediated selection of resistant viral variants occurs entirely at the mucosal site of inoculation or at distal sites of early infection (28, 29), however, remains to be determined.

Multiple previous studies have shown that single bNABs and NAB combinations robustly protect against SHIV challenges in rhesus monkeys (8–10), presumably as a result of relatively limited viral sequence diversity in most SHIV challenge stocks. Our findings suggest that the increased viral diversity observed in HIV-1 swarms may compromise the efficacy of single bNABs for prevention of acquisition of HIV-1 infection, as a result of a greater frequency of resistant viral variants and the remarkable efficiency of bNABs to select resistant viruses within a swarm. Indeed, in the plasma viruses of the 10 randomly selected individuals that we analyzed, variations in key PGDM1400 and PGT121 contact and signature positions were frequent. Moreover, bNABs rapidly select minor resistant variants in chronically infected hosts, as shown here for 10-1074 as well as in prior studies in SHIV-infected rhesus monkeys (19) and HIV-1-infected humans (3–7), although certain bNABs such as PGT121 may have a higher bar to escape than 10-1074 against a subset of viruses. PGT121 and PGDM1400, both alone and in combination, are currently being explored in clinical trials (NCT02960581, NCT03205917).

A limitation of this study are that even two SHIVs might not be representative of a diverse HIV-1 swarm. In addition, this study assessed only one bNAB combination, and thus testing

additional bNAb combinations against mixed SHIV challenges will be required to further define the generalizability of our findings.

Taken together, these data demonstrate the importance of developing bNAb cocktails for both prevention and therapy of HIV-1 infection to cover viral diversity as well as resistant variants within diverse viral populations.

## Materials and Methods

### Animals and study design

The overall objective of this study was to investigate the protective efficacy of PGDM1400 and PGT121, alone or in combination, against a challenge with two SHIVs in rhesus monkeys. One additional study was performed to test the therapeutic activity of the antibodies PGT121 and 10-1074 in chronically SHIV-SF162P3 infected macaques. Animals were randomly allocated to groups, and virologic data was generated blinded. Placebo controls (saline) were used for the protection study. 5 animals per group was used similar to previous studies (10, 30, 31). The number of animals analyzed is stated in the figure legends. Primary data can be found in the Figures. All animal studies were approved by the appropriate Institutional Animal Care and Use Committee (IACUC). Monkeys were housed at Bioqual, Rockville, MD and AlphaGenesis, Yemasee, SC.

**Single SHIV treatment study**—6 outbred, Indian-origin male and female rhesus monkeys (*Macaca mulatta*) were genotyped and selected as negative for the protective MHC class I alleles *Mamu-A\*01*, *Mamu-B\*08*, and *Mamu-B\*17*. TRIM5 polymorphisms were balanced equally among groups. Animals were chronically infected with SHIV-SF162P3 and received 10 mg/kg of PGT121 or 10-1074 by the i.v. route.

**Double SHIV challenge study**—20 outbred, Indian-origin male and female rhesus monkeys (*Macaca mulatta*) were genotyped and selected as negative for the protective MHC class I alleles *Mamu-A\*01*, *Mamu-B\*08*, and *Mamu-B\*17*. TRIM5 polymorphisms were balanced equally among groups. Animals received 5-10 mg/kg of PGT121, PGDM1400 or both by the i.v. route and were challenged i.r. with 500 TCID<sub>50</sub> of SHIV-SF162P3 + 500 TCID<sub>50</sub> of SHIV-325C. Generation of these SHIV stocks has been previously described (21, 22, 32).

**Antibodies**—10-1074, PGT121 and PGDM1400 were produced as previously described (15) and purified by Protein A affinity matrix (GE Healthcare). All the monoclonal antibody preparations were endotoxin free.

**ELISAs**—PGDM1400 and PGT121 antibody titers were measured by a quantitative ELISA as previously described (15) using anti-idiotypic antibody coated microtiter plates to capture the monoclonal antibodies followed by detection using a HRP-conjugated anti-human IgG antibody.

**TZM-bl neutralization assays**—Neutralization of the two SHIV challenge stocks was evaluated *in vitro* by using TZM-bl target cells and a luciferase reporter assay as described (33).

**Viral RNA assays**—Plasma SHIV RNA levels were measured using a *gag*-targeted quantitative real-time RT-PCR assay, and tissue levels of SHIV RNA and DNA were measured using *gag*-targeted, nested quantitative hybrid real time/digital RT-PCR and PCR assays, as previously described (16).

**Strain-specific RT-PCR assays**—Viral RNA was reverse transcribed using the universal SHIV primer v1-EnvR, 5'-CAATAATTGTCTGGCCTGTACCGTC. Probes and primers were designed to target the specific regions of either SHIV-SF162P3 or SHIV-325C. Primers including SF162P3 v1-EnvF, 5'-TTGGAGAATGCTACTAATACCAC, v1-EnvR, 5'-CCTATGCTTGTGGTGACGTT and probe, 5'-ATGAACAGAGGAGAAATA linked to FAM and BHQ (Biosearch Technology) were used for SHIV-SF162P3-specific viral load assay; primers including 325C v1-EnvF, 5'-CGGGAAACATAACATGTAGATCAAA, v1-EnvR, 5'-TTCTCCCTTTCTCCTCCATCA and probe, 5'-ACAGGACTACTGGTGACAC linked to Cy5 (Integrated DNA Technologies) were used for SHIV-325C-specific viral load assay.

**SHIV-SF162P3 deep sequencing**—Env amplicon deep sequencing was performed using pairs of primers designed to target V3 and V2 regions of SHIV-SF162P3 Env. SHIV-SF162P3 RNA was isolated and reverse transcribed by SF162P3 NGS-V3R, 5'-TTCTGGGTCCCCCTCCTGAGG. Primers including NGS-V3F, 5'-GTACAGCTGAAGGAATCTGTAG, and NGS-V3R were used for V3 amplification; primers including NGS V2F, 5'-GTAATTGGAAAGAGATGAACAGAGGAG, and NGS V2R, 5'-GGGCACAATAATGTATGGGAATTGG were used for V2 amplification. NEBNext DNA Library Prep Kit for Illumina (New England Biolabs) was used for V3 and V2 amplicon library preparation based on manufacturer's protocols. Illumina MiSeq Sequencing 150bp Paired End (PE150) was performed by the Dana-Farber Cancer Institute Molecular Biology Core Facility.

**Sequence analysis**—Adaptor sequences and low-quality bases (quality scores < 30) were removed from Illumina sequences using bbdduk from the BBTools suite (<http://jgi.doe.gov/data-and-tools/bbtools>). The following adaptor sequences were used: the NEBnext 'universal' primer, 5'-AATGATACGGCGACCACCGAGATCTACACTCTTTCCCTACACGACGCTCTTCCGATCT-3' and its complement; two index primers, 5'-CAAGCAGAAGACGGCATAACGAGATCACTGT-GTGACTGGAGTTCAGACGTGTGCTCTTCCGATC-3' (for the V2 sequences), and 5'-CAAGCAGAAGACGGCATAACGAGAT-TCAAGTGTGACTGGAGTTCAGACGTGTGCTCTTCCGATC-3' (for the V3 sequences), and their reverse complements (dash characters delimit the complements of the sequencing bar codes). Adaptor trimming used the parameters "ktrim=r k=27 mink=4 hdist=2 tpe tbo"; quality trimming used "qtrim=rl trimq=30". Paired-end sequences were aligned against SF162P3 Env using Bowtie2 (<http://bowtie-bio.sourceforge.net/bowtie2/index.shtml>); version

2.1.0; parameters “-very-sensitive-local -reorder”), sorted and indexed using samtools, processed into paired-end fasta files and translated into amino-acids using custom Perl code (wfischer@lanl.gov). PCR-primer derived sequences were removed by visual inspection of translated sequences (V3-F: VQLKESV; V3-R: SGGDPE; V2-F: NWKEMNRG; V2-R: PIPHYCA) using Aliview (34). Sequences were removed from the data set if they were not complete throughout the regions of interest, or if they contained stop-codons. Potential N-linked glycosylation sites (PNGS) were computed by matches to the recognition sequence N-x-[ST], where x represents any amino-acid other than proline, and represented in alignments by the letter ‘O’. Finally, columns matching signature positions were extracted from the alignment based on HXB2 numbering (<https://www.hiv.lanl.gov/content/sequence/LOCATE/locate.html>), and the PDB entry 5FYJ, chain G).

**Single genome amplification (SGA)**—SGA assays were performed essentially as described (11) except that the primers using here were designed to target conserved region of SHIV SF162P3 and 325C. Briefly, viral RNA was isolated and reverse transcribed to viral cDNA using SHIV SGA EnvR1, 5′-CAATAATTGTCTGGCCTGTACCGTC. First-round PCR was carried out with Q5 High-Fidelity 2X Master Mix (NEB) together with primer SHIV SGA EnvF1, 5′-TATGGGGTACCTGTGTGGAA and SHIV SGA EnvR1. PCR conditions were programmed as follows, 1 cycle of 98°C for 30 s, 35 cycles of 98°C for 15s, 55°C for 15s and 72°C for 55s, followed by a final extension of 72°C for 2 min. 1 μL of first-round PCR product was add to Q5 Master Mix with primer SHIV SGA EnvF2, 5′-GCCTGTGTACCCACAGAC and EnvR2, 5′- ATAGTGCTTCCTGCTGC. PCR conditions were programmed as above but increased to 45 cycles for the second step. Amplicons from cDNA dilutions resulting in less than 30% positive were considered to result from amplification of a single cDNA amplification and were processed for sequencing. For each sample, 15-30 sequences were analyzed.

**Env contact sites for PGDM1400**—Since no structures exist for PGDM1400 in complex with Env trimers, a cryo-EM structure of the related antibody PGT145 in complex with Env trimer (23) and structural modeling was used to find Env contact sites for PGDM1400. The HCDR3 from the crystal structure of the PGDM1400 Fab (13) to the PGT145 Fab, was aligned using positions 88-108 and the ‘align’ function from Pymol. All Env amino acids and glycans that had any heavy atoms within 8.5Å of PGDM1400 heavy atoms were isolated. The list below shows PGDM1400 HXB2 positions of contact sites (including glycans). Most of the contact sites are shared between PGDM1400 and PGT145, with 119,129,130 and 158 in contact with PGT145 alone, and 200 and 314 in contact PGDM1400 alone. When the PGDM1400 contact sites contained amino acids that were significantly associated with sensitivity or resistance with a q-value of < 0.2 (Korber et al., submitted), they are shown below in bold, and these are the positions that were tracked globally and within subjects using published longitudinal sequencing data (Fig. 4). Positions 160-162 comprise a glycosylation site with the motif Nx[ST] that is essential an Env to be sensitive to PGDM1400 neutralization. A positive charge at position 169 was also particularly highly significantly associated with PGDM1400 sensitivity (Korber et al, submitted). The other sites (161, 165, 166, and 167) had significant but more modest associations.



PGDM1400 contact sites: 120 121 122 123 124 125 126 127 128 159 **160 161 162** 163 164 **165 166 167** 168 **169** 170 171 200 309 312 313 314 315.

**Env contact sites for PGT121**—Since no structures exist for PGT121 in complex with Env trimers, a cryo-EM structure of the related antibody PGT122 in complex with Env trimer (24) was used and the contact surface of PGT121 on the SOSIP trimer was modeled. Specifically, HCDR3 from the crystal structure of the PGT121 Fab crystal structure (35) to the PGT122 Fab was aligned using positions 88-101 and the ‘align’ function from Pymol. Then all Env amino acids and glycans that had any heavy atoms within 8.5Å of PGT121/PGT122 Fab heavy atoms were isolated. These contact sites are listed below. Env sequences in hypervariable region of V1 cannot be aligned, so standard signature analysis on this region was not performed, and these positions are shown italicized. The contact sites with significant PGT121 resistance signatures ( $q < 0.2$ , Korber et al, submitted), are shown below in bold.

PGT121 contact sites: *133 134 135 136 137 138 138A 138B 138C 138D 138E 151 152 154 175 296 297 298 299* **300 301** 302 322 322A 323 324 **325** 326 327 328 329 330 331 **332** 385 414 415 416 417 418 419.

PGT121 glycan sites: 156 **301 332** 392 413 442.

Several strong signatures that were not directly in the contact surface were also included. The potential N-linked glycosylation site (PNGS) at 295 is a favored signature for PGT121, but it is not present in this particular structure. The potential N-linked glycosylation site (PNGS) at 334, which is often gained when the key signature site when the PNGS at N332 is lost, was also included. The signature sites were then resolved using a phylogenetically corrected strategy (36, 37) to identify amino acid in key positions that are associated with either resistance or sensitivity to PGT121 to large panels of 207 M group viruses or 200 C clade viruses (Korber et al., submitted).

**Statistical analyses**—Analyses of independent data were performed by Wilcoxon rank sum tests, and comparisons of categorical variables were performed using Fisher’s exact tests. P values less than 0.05 were considered significant. Combination IC80 titers were calculated using the Bliss-Hill model, which has been shown to provide accurate predictions of combination neutralization properties using those of individual bNAbs (20). Statistical analyses were performed using GraphPad Prism or the Stats module in Scipy (<http://www.scipy.org>2015). MEGA6 was used to do the single genome sequence alignments and create the maximum likelihood tree.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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**One sentence summary**

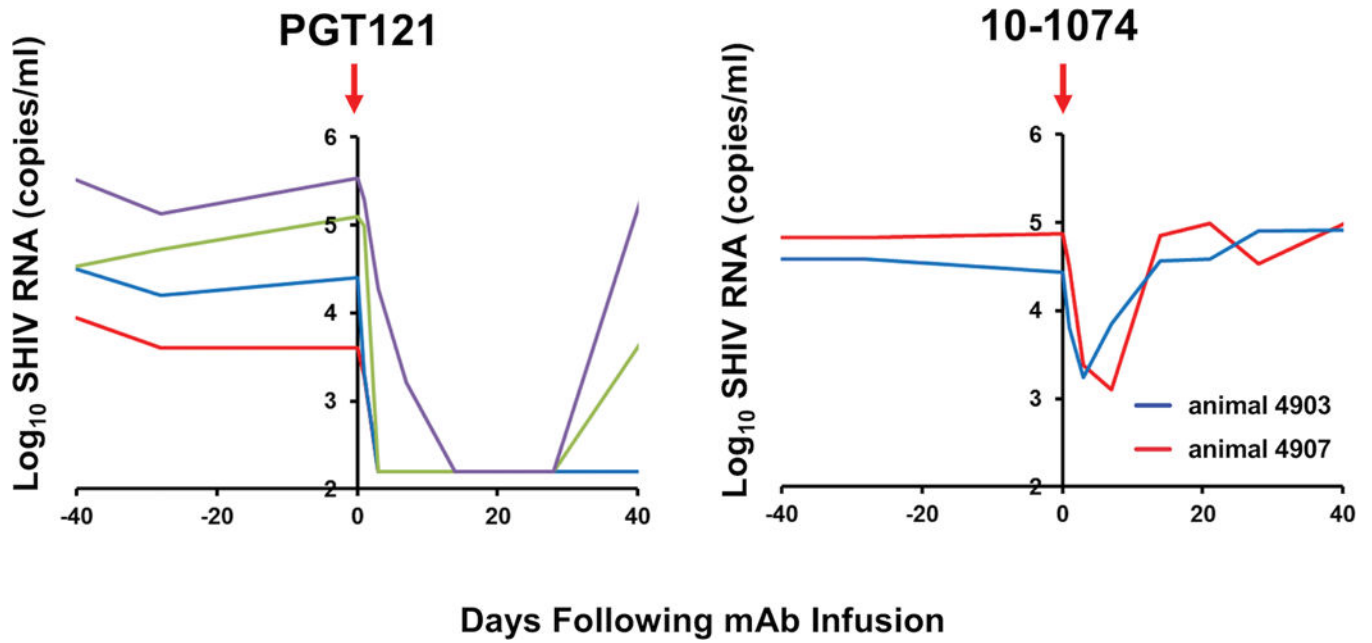
A combination of HIV-1-specific broadly neutralizing antibodies are necessary to protect rhesus monkeys against a mixed SHIV challenge.

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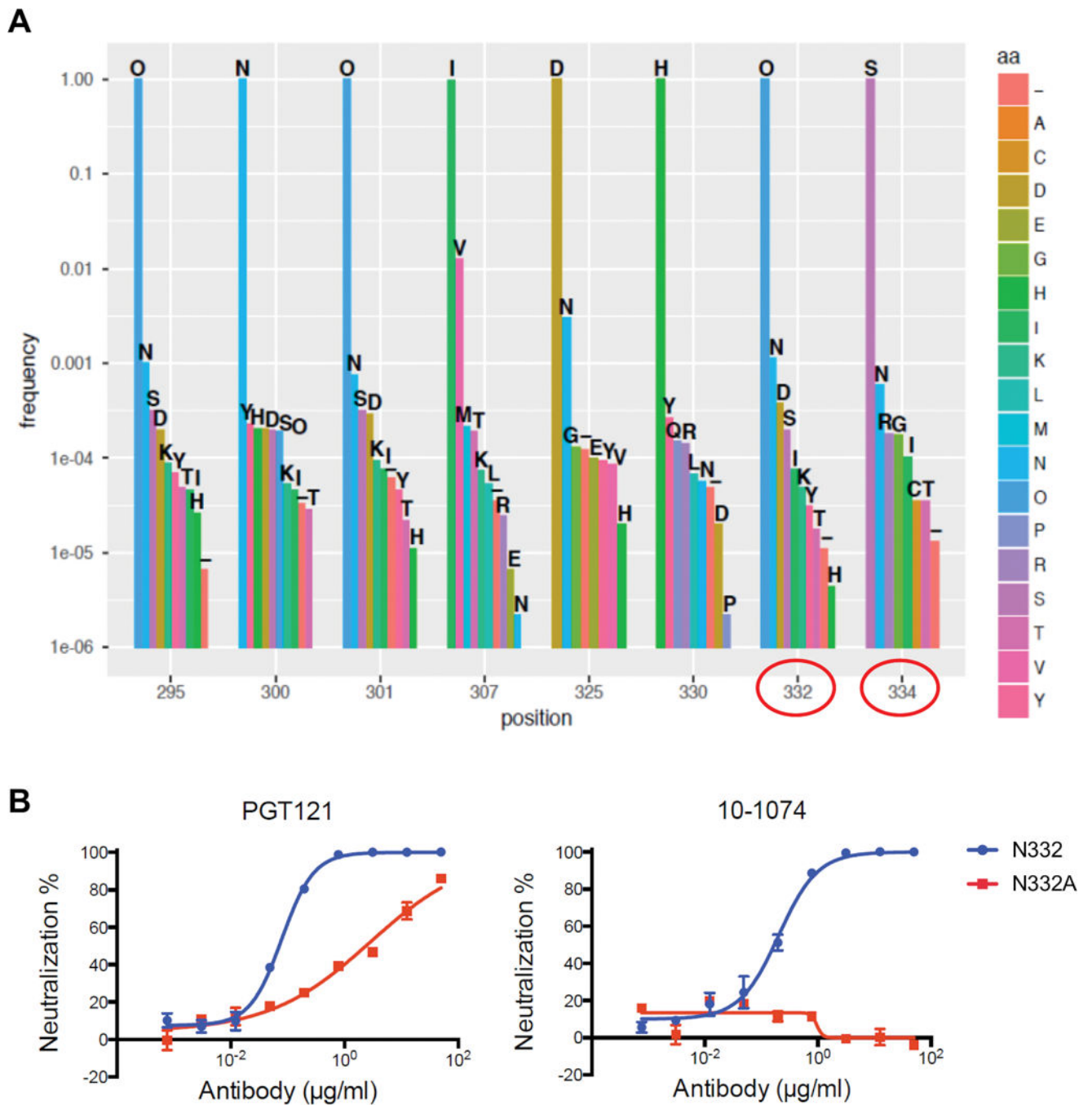
**Figure 1. SHIV-SF162P3 escape from 10-1074 in rhesus monkeys**  
 (A) Log plasma viral RNA copies/ml in chronically SHIV-SF162P3-infected rhesus monkeys following infusion with a single dose of 10 mg/kg 10-1074 or PGT121 on day 0. Detection limit is 50 copies/ml.

	296	332 334 335		296	332 334 335	
<b>Animal 4903</b>	NCTRPNNNTRKSIPIGPGKAFYATGDIIGDIRQAHCNISG			<b>Animal 4907</b>	NCTRPNNNTRKSIPIGPGKAFYATGDIIGDIRQAHCNISG	
	↓				↓	
<b>Day 0</b>	4903wk0_A1	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk0_A7	NCTRPNNNTRKSIPLGPGKVIYATGGIIGDIRQAHCNISG	
	4903wk0_A2	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk0_A8	NCTRPNNNTRKSIPLGPGKVIYATGGIIGDIRQAHCNISG	
	4903wk0_A4	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk0_B10	NCTRPNNNTRKSIHFGPGQIYATGAIIGDIRQAHCNISG	
	4903wk0_B1	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk0_B7	NCTRPNNNTRKSIPIGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk0_B3	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk0_E9	NCTRPNNNTRKSIPLGPGQIYATGGIIGDIRQAHCNISG	
	4903wk0_B5	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk0_G12	NCTRPNNNTRKSIPLGPGQIYATGGIIGDIRQAHCNISG	
	4903wk0_B6	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk0_G9	NCTRPNNNTRKSIPLGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk0_C3	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk0_H10	NCTRPNNNTRKSIPLGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk0_C6	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk2_A10	NCTRPNNNTRKSIPLGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk0_E2	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk2_A11	NCTRPNNNTRKSIPLGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk0_F6	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk2_A12	NCTRPNNNTRKSIPLGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk0_G2	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk2_A7	NCTRPNNNTRKSIPLGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk0_G6	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk2_B10	NCTRPNNNTRKSIPLGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk0_H4	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk2_B7	NCTRPNNNTRKSIPLGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk2_A1	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk2_B8	NCTRPNNNTRKSIPLGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk2_A4	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk2_C11	NCTRPNNNTRKSIPLGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk2_A6	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk2_D10	NCTRPNNNTRKSIPLGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk2_B1	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk2_D8	NCTRPNNNTRKSIPLGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk2_B3	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk2_D9	NCTRPNNNTRKSIPLGPGKAIYATGGIIGDIRQAHCNISG	
<b>Day 14</b>	4903wk2_C1	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG	N332D/T/S/I	4907wk2_E9	NCTRPNNNTRKSIPLGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk2_C2	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG	S334N/R/S	4907wk2_G12	NCTRPNNNTRKSIPLGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk2_C5	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk2_G8	NCTRPNNNTRKSIPLGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk2_E1	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk2_H7	NCTRPNNNTRKSIPLGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk2_E2	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk2_H9	NCTRPNNNTRKSIPLGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk2_E2	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk6_A11	NCTRPNNNTRKSIPIGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk2_F2	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk6_B12	NCTRPNNNTRKSIPIGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk2_F5	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk6_B7	NCTRPNNNTRKSIPIGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk2_G3	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk6_B8	NCTRPNNNTRKSIPIGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk6_A1	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk6_C10	NCTRPNNNTRKSIPIGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk6_A2	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk6_C11	NCTRPNNNTRKSIPIGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk6_B1	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk6_E9	NCTRPNNNTRKSIPIGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk6_B3	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk6_F12	NCTRPNNNTRKSIPIGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk6_C5	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG		4907wk6_F7	NCTRPNNNTRKSIPIGPGKAIYATGGIIGDIRQAHCNISG	
<b>Day 42</b>	4903wk6_D2	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG	N332S	4907wk6_G8	NCTRPNNNTRKSIPIGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk6_E1	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG	S334N	4907wk6_H8	NCTRPNNNTRKSIPIGPGKAIYATGGIIGDIRQAHCNISG	
	4903wk6_E3	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG				
	4903wk6_F2	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG				
	4903wk6_F4	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG				
	4903wk6_F5	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG				
	4903wk6_G5	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG				
	4903wk6_G6	NCTRPNNNTRKSIHIGPGRAFYATGDIIGDIRQAHCNISG				

**Figure 2. Analysis of SHIV *env* sequences in 10-1074 treated animals**

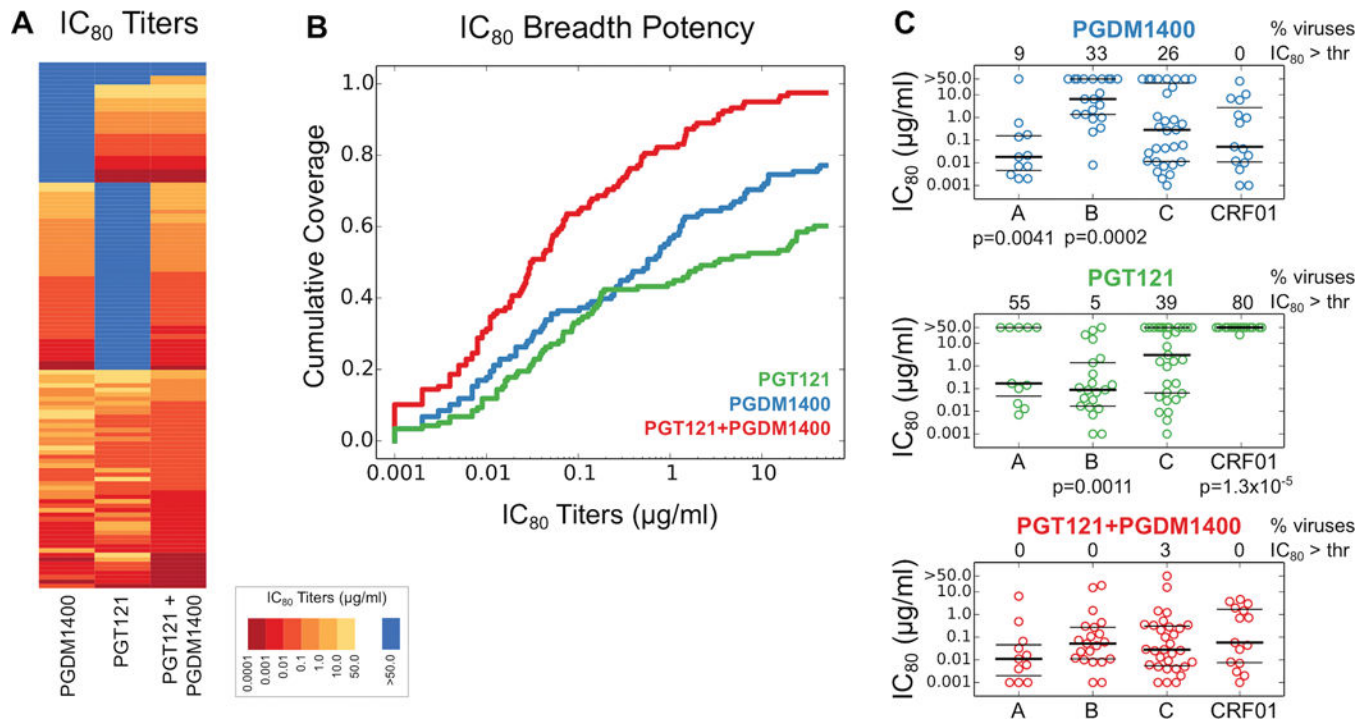
Viral sequences by single genome amplification (SGA) of plasma viruses before and after 10-1074 administration. Highlighted in red are amino acid residues that were detected at day 14 and 42.



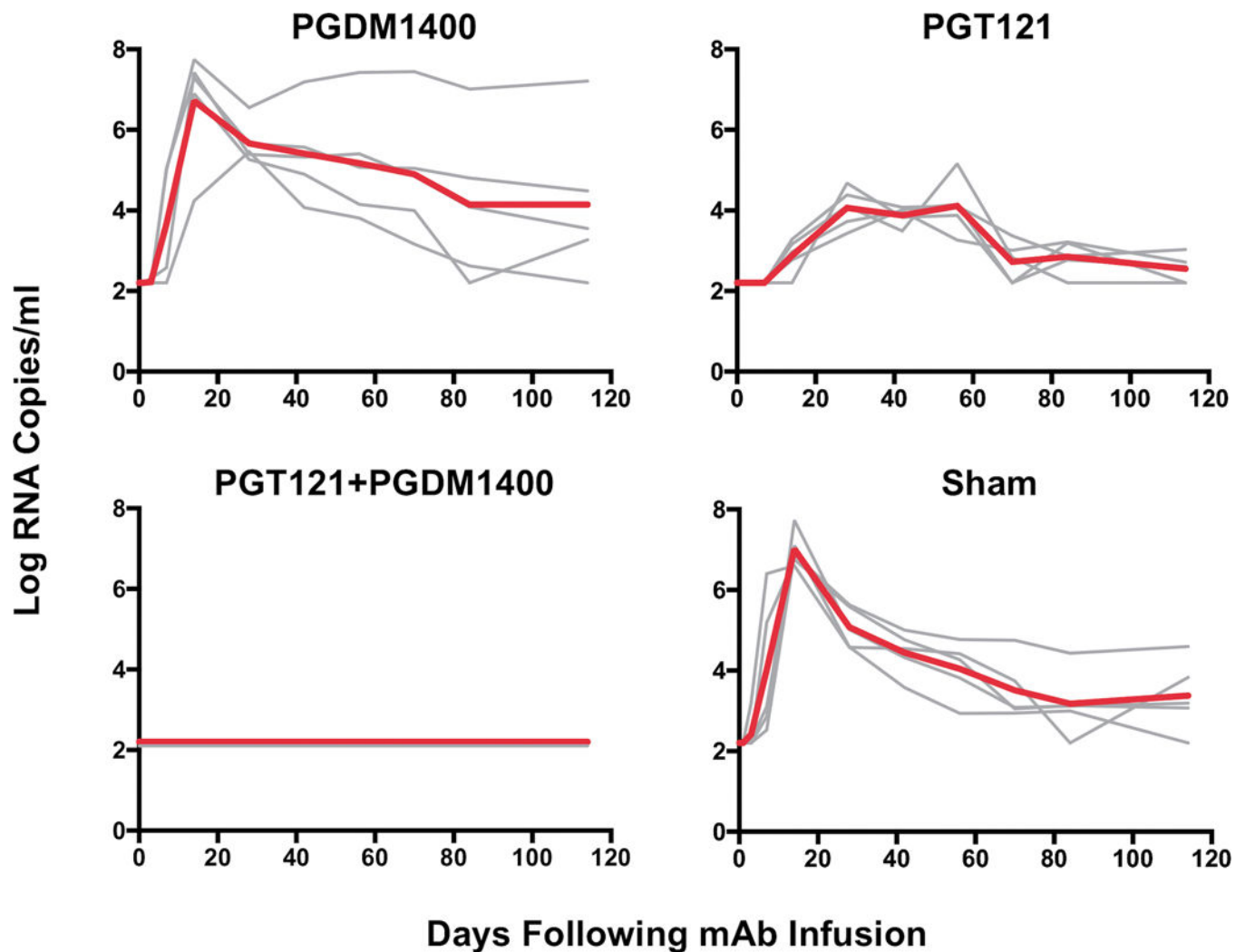


**Figure 3. Frequencies of amino acids at critical PGT121 and 10-1074 contact sites in the SHIV-SF162P3 challenge stock**

(A) “O” indicates an Asn that is part of a potential N-linked glycosylation site (PNGS) (positions 295, 301, 332). The critical N332 and S334 residues are circled in red. (B) PGT121 and 10-1074 neutralization potency of SHIV-SF162P3 (wild-type) and SHIV-SF162P3 containing an N332A mutation.

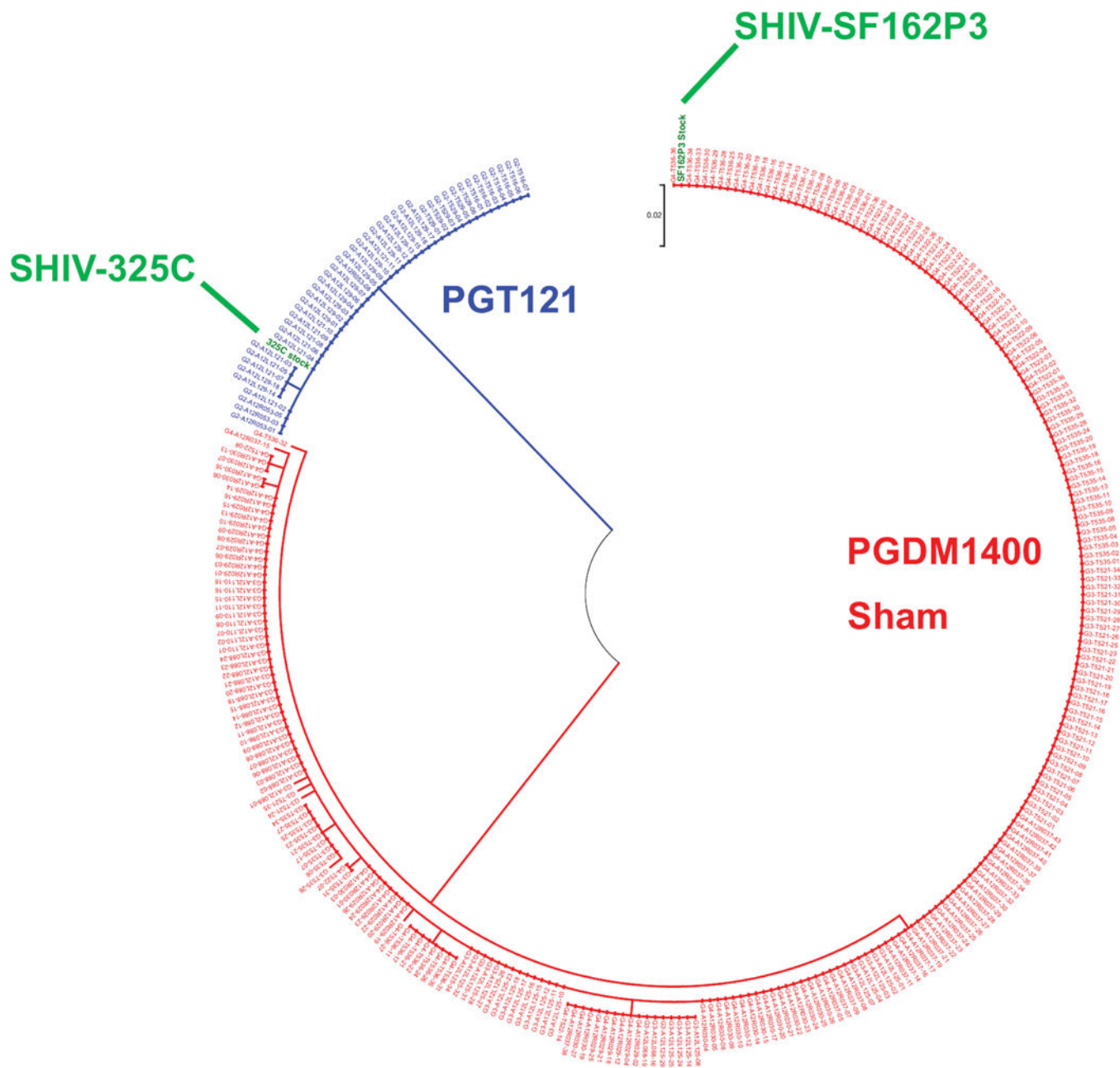


**Figure 4. Complementarity of neutralization profiles of PGDM1400 and PGT121 against HIV-1** (A) IC<sub>80</sub> titers for PGDM1400, PGT121, and the combination of PGT121+PGDM1400 against a panel of 118 multiclade viruses. IC<sub>80</sub> titers are shown as a heatmap with viruses represented in rows. Dark red indicates more potent neutralization and light yellow indicates less potent neutralization. Blue indicates IC<sub>80</sub> neutralization titers >50 μg/ml. The IC<sub>80</sub> titers in the combination reflect the concentration of each bNAb. (B) IC<sub>80</sub> breadth-potency plots for PGDM1400, PGT121, and the combination of PGT121+PGDM1400. (C) IC<sub>80</sub> titers for PGDM1400, PGT121, and the combination of PGT121+PGDM1400 for pseudoviruses from clades A, B, C, and CRF01. Bold horizontal lines represent medians, thin horizontal lines are 25th and 75th percentiles. The percent of viruses with IC<sub>80</sub> titers >50 μg/ml are shown on the top of each panel. P values reflect Fisher's exact tests.



**Figure 5. Protective efficacy of the combination of PGT121+PGDM1400 against a mixed SHIV challenge in rhesus monkeys**

5 animals per group received either an i.v. single dose of PGDM1400, PGT121, the combination of PGT121+PGDM1400, or saline (Sham) before being rectally challenged with a high dose of both SHIV-SF162P3 and SHIV-325c. Log plasma viral RNA copies/ml following mixed challenge with SHIV-SF162P3 and SHIV-325C. Red line indicates median values. Detection limit is 50 copies/ml.



**Figure 6. Analysis of SHIV *env* sequences in breakthrough infections**  
 Maximum likelihood tree depicting SHIV *env* sequences by single genome amplification (SGA) of plasma viruses at weeks 2-6 following challenge. Highlighted in green are the sequences of the challenge stock SHIVs.

## PGDM1400 Contact Signature

## PGT121 Contact Signature



**Figure 7. Intrapatient variation in key contact signatures for PGDM1400 and PGT121**  
 LOGO plots of viral sequence diversity in the key PGDM1400 and PGT121 contact sites (Figs. S6-S8) in 10 HIV-1-infected individuals for whom >150 full-length *env* sequences were available in the Los Alamos Sequence Database. The subject ID is indicated above each plot. The x-axis indicates the amino-acid position based on HxB2 numbering. The y-axis indicates the probability of an amino-acid at this location. “O” indicates an Asn that is part of a potential N-linked glycosylation site (PNGS). Blue reflects sensitivity signatures, red reflects resistance signatures, and black reflects no statistically significant associated with antibody sensitivity.

**Table 1**

IC50 and IC80 neutralization titers (in  $\mu\text{g/ml}$ ) of PGT121 and PGDM1400 against SHIV-SF162P3 and SHIV-325C.

Antibody	SHIV-SF162P3		SHIV-325C	
	IC50	IC80	IC50	IC80
<b>PGDM1400</b>	>50	>50	0.015	0.061
<b>PGT121</b>	0.085	0.17	>50	>50

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**Table 2**

Number of SHV-strain-specific single genome sequences in the animals that experienced break-through infection.

Group	Monkey	Total SGA sequences	
		SHIV-SF162P3	SHIV-325c
PGT121 alone	A12R053	0	8
	A12L121	0	11
	A12L129	0	15
	T516	0	2
	T529	0	6
PGDM1400 alone	A12L110	18	0
	A12L125	33	0
	A12L088	24	0
	T521	35	0
	T535	36	0
Sham	A12R029	25	0
	A12R030	27	0
	A12R037	43	0
	T522	36	0
	T536	36	0